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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation RITA ALLEN FOUNDATION, INC.		A Employer identification number 13-6116429
Number and street (or P.O. box number if mail is not delivered to street address) 92 NASSAU STREET, 3RD FLOOR	Room/suite	B Telephone number (609) 683-8010
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08542		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 154,207,042.	J Accounting method: ☐ Cash ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		3,219.	3,219.		STATEMENT 1
4 Dividends and interest from securities		1,831,199.	2,250,503.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,770,947.			
b Gross sales price for all assets on line 6a 58,073,590.					
7 Capital gain net income (from Part IV, line 2)			1,475,616.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,494.	11,585.		STATEMENT 3
12 Total. Add lines 1 through 11		3,607,859.	3,740,923.		
13 Compensation of officers, directors, trustees, etc		561,508.	0.		561,508.
14 Other employee salaries and wages		462,656.	0.		462,656.
15 Pension plans, employee benefits		249,801.	0.		249,801.
16a Legal fees STMT 4		14,180.	0.		14,180.
b Accounting fees STMT 5		43,850.	0.		43,850.
c Other professional fees STMT 6		1,164,604.	1,090,332.		63,356.
17 Interest			37,652.		
18 Taxes STMT 7			19,175.		
19 Depreciation and depletion					
20 Occupancy		110,147.	0.		110,147.
21 Travel, conferences, and meetings		69,531.	0.		69,531.
22 Printing and publications					
23 Other expenses STMT 8		727,135.	115,090.		727,135.
24 Total operating and administrative expenses. Add lines 13 through 23		3,403,412.	1,262,249.		2,302,164.
25 Contributions, gifts, grants paid		5,775,480.			5,775,480.
26 Total expenses and disbursements. Add lines 24 and 25		9,178,892.	1,262,249.		8,077,644.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-5,571,033.			
b Net investment income (if negative, enter -0-)			2,478,674.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	11,886,329.	6,670,822.	6,670,822.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	80,114,040.	81,764,173.	81,764,173.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	60,143,995.	65,765,994.	65,765,994.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶ SECURITY DEPOSIT)	6,053.	6,053.	6,053.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	152,150,417.	154,207,042.	154,207,042.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	148,466,572.	150,541,898.	
	25 Temporarily restricted	104,223.	85,522.	
	26 Permanently restricted	3,579,622.	3,579,622.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	152,150,417.	154,207,042.	
	31 Total liabilities and net assets/fund balances	152,150,417.	154,207,042.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 152,150,417.
2 Enter amount from Part I, line 27a	2 -5,571,033.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3 7,627,658.
4 Add lines 1, 2, and 3	4 154,207,042.
5 Decreases not included in line 2 (itemize) ▶	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 154,207,042.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES	P		
b SEE ATTACHMENT B	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43,086,848.		39,963,651.	3,123,197.
b			-1,647,581.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			3,123,197.
b			-1,647,581.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,475,616.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	7,870,335.	161,887,375.	.048616
2014	7,737,150.	167,005,174.	.046329
2013	7,177,028.	155,553,514.	.046139
2012	7,079,635.	143,958,992.	.049178
2011	6,640,171.	150,362,307.	.044161

2 Total of line 1, column (d)	2	.234423
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046885
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	148,013,959.
5 Multiply line 4 by line 3	5	6,939,634.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	24,787.
7 Add lines 5 and 6	7	6,964,421.
8 Enter qualifying distributions from Part XII, line 4	8	8,077,644.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	24,787.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	24,787.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	24,787.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	171,188.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	171,188.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	146,401.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 146,401. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.RITAALLEN.ORG	13	X
14 The books are in care of ► THE FOUNDATION Telephone no. ► (609) 683-8010 Located at ► 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ ZIP+4 ► 08542		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► UNITED KINGDOM	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		561,508.	85,475.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN KARTT - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM & EVALUATION 35.00	167,189.	33,935.	0.
RUTH STEVENS - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM, COMMUNICATIONS AND ADMINIS 35.00	97,117.	17,760.	0.
MOLLY SHARLACH - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	COMMUNICATIONS AND DIGITAL ENGAGEME 35.00	51,949.	16,220.	0.
MICHAEL PARDY - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	SPECIAL PROJECTS 35.00	50,381.	5,486.	0.

Total number of other employees paid over \$50,000

0

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Paid Employees, and Contractors (continued)

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NEW PROVIDENCE ASSET MANAGEMENT LP - 570 LEXINGTON AVE., 5TH FL., NEW YORK, NY 10022	INVESTMENT MANAGEMENT	486,227.
BROWN ADVISORY MANAGEMENT, LLC - 901 S. BOND STREET, SUITE 400, BALTIMORE, MD 21231	INVESTMENT MANAGEMENT	180,039.
REINHART PARTNERS, INC. - 1500 W. MARKET ST., SUITE 100, MEQUON, WI 53092	INVESTMENT MANAGEMENT	79,237.

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENT C	
	568,290.
2 SEE ATTACHMENT C	
	52,000.
3	
4	

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
	All other program-related investments. See instructions.	
3		
Total. Add lines 1 through 3		0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	143,233,694.
b	Average of monthly cash balances	1b	7,028,232.
c	Fair market value of all other assets	1c	6,053.
d	Total (add lines 1a, b, and c)	1d	150,267,979.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	150,267,979.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,254,020.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	148,013,959.
6	Minimum investment return. Enter 5% of line 5	6	7,400,698.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,400,698.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	24,787.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	24,787.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,375,911.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,375,911.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,375,911.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,077,644.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,077,644.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	24,787.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,052,857.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				7,375,911.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			7,652,090.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 8,077,644.				
a Applied to 2015, but not more than line 2a			7,652,090.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				425,554.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				6,950,357.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACADEMY OF AMERICAN POETS 75 MAIDAN LANE, SUITE 901 NEW YORK, NY 10038	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	15,000.
AFRICAN LEADERSHIP FOUNDATION PO BOX 7408 NEW YORK, NY 10150	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	15,000.
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE (PYMT 1 OF 3)	75,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET, 18TH FL NEW YORK, NY 10004-2400	N/A	PC	2016 EMPLOYEE MATCHING GIFT	200.
AMERICAN PAIN SOCIETY 8735 W HIGGINS RD CHICAGO, IL 60631	N/A	PC	SAC GRANT	50,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	5,775,480.
b Approved for future payment				
AMERICAN ACADEMY OF ARTS & SCIENCE 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE	150,000.
CAL POLY SAN LUIS OBISPO 1 GRAND AVEENUE SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY	100,000.
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	BUILDING THE KNOWLEDGE AND CAPACITY OF FUNDERS SUPPORTING THE PUBLIC ENGAGEMENT WITH EVIDENCE	125,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3b	1,780,000.

Form 990-PF (2016)

Part XV: Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASHOKA 1700 NORTH MOORE ST., SUITE 2000 ARLINGTON, VA 22209	N/A	PC	ALL AMERICA: TOOLKIT PROGRAM	50,000.
ASPEN INSTITUTE CITIZENSHIP AND AMERICAN ONE DUPONT CIRCLE, NW, SUITE 700 WASHINGTON, DC 20036	N/A	PC	SCIENCE AND CIVICS PROGRAM (PYMT 1 OF 1)	75,000.
BRANDEIS UNIVERSITY 415 SOUTH STREET MAILSTOP 100 WALTHAM, MA 02453	N/A	PC	GRANT-GENERAL OPERATING	160,000.
BUCKNELL UNIVERSITY 301 MARKET STREET SUITE #2 LEWISBURG, PA 17847	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
CAL POLY CORPORATION 1 GRAND AVENUE SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY PROGRAM (PYMT 1 OF 2)	100,000.
CANCER INSTITUTE OF NJ 120 ALBANY STREET, SUITE 201 NEW BRUNSWICK, NJ 08901	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVENUE, 7TH FLOOR CAMBRIDGE, MA 02139	N/A	PC	ROLES AND PERSPECTIVES OF FOUNDATION PROGRAM OFFICERS	50,000.
CENTRAL MICHIGAN UNIVERSITY CARLIN ALUMNI HOUSE MT. PLEASANT, MI 48859	N/A	PC	2016 EMPLOYEE MATCHING GIFT	1,000.
CIVIC NATION 1120 G STREET, #930 WASHINGTON, DC 20005	N/A	PC	WE WILL CAMPAIGN TOOLKIT	50,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	DOS SANTOS, CAMILA 2016 SCHOLAR	100,000.
Total from continuation sheets				5,620,280.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	HAMMELL, CHRISTOPHER 2012 SCHOLAR	110,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	HAMMELL, MOLLY 2014 SCHOLAR	110,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087-9789	N/A	PC	DING, LEI 2014 SCHOLAR	100,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087-9789	N/A	PC	KOHI, MINOREE 2015 SCHOLAR	100,000.
COMMUNITY FOUNDATION OF GREATER NEW HAVEN 70 AUDUBON STREET, 5TH FLR NEW HAVEN, CT 06510	N/A	PC	FOR THE JOAN A. STEITZ FUND	6,000.
COMMUNITY PARTNERS INTERNATIONAL 2560 NINTH STREET, SUITE 315B BERKELEY, CA 94710	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
COMPASS SCIENCE COMMUNICATION, INC. 721 NW 9TH AVE., SUITE 235 PORTLAND, OR 97209	N/A	PC	EXPANDING THE REACH OF COMPASS MESSAGE BOX PROGRAM (PYMT 1 OF 1)	50,000.
COUNCIL OF NEW JERSEY GRANTMAKERS 111 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	CNJG IN EXCESS DUES 2017	8,290.
COUNCIL OF NEW JERSEY GRANTMAKERS 111 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	CNJG LEADERSHIP	1,040.
COUNCIL ON FOUNDATIONS PO BOX 75661 BALTIMORE, MD 21275-5661	N/A	PC	2016 IN EXCESS BASE DUES	19,600.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CUNY GRADUATE SCHOOL OF JOURNALISM FNDN 219 W. 40TH ST. NEW YORK, NY 10018	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
DATAKIND 156 FIFTH AVENUE SUITE 502 NEW YORK, NY 10010	N/A	PC	DATAKIND LABS OPEN BLUEPRINT PROGRAM (PYMT 1 OF 1)	75,000.
DUKE UNIVERSITY LOCKBOX 602651, CHARLOTTE, NC 28262	N/A	PC	BOYCE, MICHAEL 2013 SCHOLAR	100,000.
EXPONENT PHILANTHROPY 1720 N STREET, NW WASHINGTON, DC 20036	N/A	PC	SUPPORT OF 20TH ANNIVERSARY CAMPAIGN	5,000.
FORDHAM UNIVERSITY 45 COLUMBUS AVENUE, 8TH FLOOR NEW YORK, NY 10025	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
GALLATIN VALLEY LAND TRUST PO BOX 7021 BOZEMAN, MT 59771	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
GEORGE STREET PLAYHOUSE, INC. 9 LIVINGSTON AVENUE NEW BRUNSWICK, NJ 08901	N/A	PC	GRANT-GENERAL OPERATING	10,000.
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET, NW, SUITE 404 WASHINGTON, DC 20036	N/A	PC	9/1/16-8/31/17 PORTION IN EXCESS OF BASE DUES	2,550.
HEALTHRIGHT INTERNATIONAL 240 GREENE STREET, 2ND FLOOR NEW YORK, NY 10003	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE, NJ 08648	N/A	PC	2016 EMPLOYEE MATCHING GIFT	600.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE, NJ 08648	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
HORIZONS NATIONAL 120 POST ROAD WEST, SUITE 202 WESTPORT, CT 06880	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GRANT-SIMONS CENTER FOR SYSTEMS BIOLOGY	50,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
INTERNET ARCHIVE 300 FUNSTON AVENUE SAN FRANCISCO, CA 94118	N/A	PC	POLITICAL TV AD ARCHIVE (PYMT 1 OF 1)	110,000.
KENT PLACE SCHOOL 42 NORWOOD AVENUE SUMMIT, NJ 07901	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
LELAN STANFORD JUNIOR UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	SCHERRER, GREGORY 2014 PAIN SCHOLAR	50,000.
MAPLIGHT 2223 SHATTUCK AVE. BERKELY, CA 94704	N/A	PC	VOTERS EDGE PROGRAM (PYMT 1 OF 1)	150,000.
MCCARTER THEATER 91 UNIVERSITY PLACE PRINCETON, NJ 08540	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	NETWORKING TO IMPROVE SCIENCE COMMUNICATION(2 OF 2)	25,000.
MEEDAN INC. 1355 MARKET ST., SUITE 488 SAN FRANCISCO, CA 94103	N/A	PC	FIRST DRAFT PROJECT	40,000.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE, BOX 701 NEW YORK, NY 10065	N/A	PC	KENTSIS, ALEX 2016 SCHOLAR	100,000.
MPN RESEARCH FOUNDATION 180 NORTH MICHIGAN AVE., SUITE 1870 CHICAGO, IL 60601	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
NATIONAL PUBLIC RADIO, INC. 1111 NORTH CAPITOL ST NE WASHINGTON, DC 20002	N/A	PC	INNOVATIVE SCIENCE PROGRAMMING (PYMT 1 OF 2)	75,000.
NEW CANAAN COUNTRY SCHOOL PO BOX 997 NEW CANAAN, CT 06840	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	20,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE., NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SCIENCE PHILANTHROPY ALLIANCE	50,000.
NEW YORK COLLABORATES FOR AUTISM 3 EAST 54TH STREET, 5TH FLOOR NEW YORK, NY 10022	N/A	PC	2016 DIRECTOR DEISGNATED GRANT	10,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012	N/A	PC	YE, YI 2015 PAIN SCHOLAR	50,000.
NONPROFIT FINANCE FUND 5 HANOVER SQUARE, 9TH FLOOR NEW YORK, NY 10004	N/A	PC	CIVIC TECH RESEARCH PROJECT (PYMT 1 OF 2)	13,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NONPROFIT FINANCE FUND 5 HANOVER SQUARE, 9TH FLOOR NEW YORK, NY 10004	N/A	PC	CIVIC TECH RESEARCH PROJECT (PYMT 2 OF 2)	13,500.
NONPROFIT INFORMATION NETWORKING ASSOC. 112 WATER STREET BOSTON, MA 02109	N/A	PC	IMPLEMENTATION OF STRATEGIC PLAN (PYMT 1 OF 1)	50,000.
NORTHWESTERN UNIVERSITY 633 CLARK STREET, RM G 547 EVANSTON, IL 60208	N/A	PC	KOZOROVITSKIY, YEVGENIA 2015 SCHOLAR	100,000.
NYU SCHOOL OF MEDICINE PO BOX 415026 BOSTON, MA 02241-5026	N/A	PC	LONG, MICHAEL 2012 SCHOLAR	100,000.
ONLINE NEWS ASSOCIATION 1111 NORTH CAPITOL STREET NE, SIXTH FLOOR WASHINGTON, DC 20002	N/A	PC	CHALLENGE FUND FOR JOURNALISM EDUCATION (PYMT 1 OF 2) YRS 3/4	75,000.
PARTICIPATORY BUDGETING PROJECT INC. 540 PRESIDENT STREET, 3RD FLOOR BROOKLYN, NY 11215	N/A	PC	GRANT PAYMENT (1 OF 2)	100,000.
PARTICIPATORY POLITICS FOUNDATION 156 5TH AVE. 2ND FL. NEW YORK, NY 10010	N/A	PC	COUNCILMATIC PROGRAM (PYMT 2 OF 2)	75,000.
PEAR TREE POINT SCHOOL FOUNDATION, INC. 90 PEAR TREE POINT ROAD DARIEN, CT 06820	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
PEOPLE & STORIES 295 EGGERTS CROSSING ROAD LAWRENCEVILLE, NJ 08648	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
PHILANTHROPY NY 79 FIFTH AVENUE, FOURTH FLOOR NEW YORK, NY 10003	N/A	PC	2017 IN EXCESS BASE DUES	450.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PHYSICIANS FOR HUMAN RIGHTS 256 WEST 38TH STREET, 9TH FLOOR NEW YORK, NY 10018	N/A	PC	SAC GRANT	13,000.
PINGRY SCHOOL 131 MARTINSVILLE ROAD BASKING RIDGE, NJ 07920	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST., 10TH FLOOR NEW YORK, NY 10038	N/A	PC	2016 EMPLOYEE MATCHING GIFT	100.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 2 MORRISSEY BOULEVARD DORCHESTER, MA 02125	N/A	PC	GRANT-HARVARD NEURODISCOVERY CENTER(PYMT 2 OF 2)	50,000.
PRINCETON PUBLIC LIBRARY FOUNDATION 65 WITHERSPOON STREET PRINCETON, NJ 08542	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	3,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	4,000.
PRINCETON UNIV ART MUSEUM-DD GRANT 701 CARNEGIE CENTER, SUITE 443 PRINCETON, NJ 08540	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	4,000.
PRINCETON UNIVERSITY 330 ALEXANDER STREET PRINCETON, NJ 08544	N/A	PC	E-CLUB IGNITE STEM CONF DEPT #15571	4,000.
PRINCETON-BLAIRSTOWN CENTER 13 ROSZEL ROAD, SUITE C204A PRINCETON, NJ 08540	N/A	PC	PILOT SUMMER BRIDGE PROGRAM FOR AT-RISK YOUTH	15,000.
PROPUBLICA 155 AVENUE OF THE AMERICAS 13TH FLR NEW YORK, NY 10013	N/A	PC	DEVELOPMENT OF API-HEALTH DATABASE (PYMT 1 OF 1)	50,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PUBLIC LAB PO BOX 426113 CAMBRIDGE, MA 02142	N/A	PC	COMMUNITY ENGAGEMENT EVALUATION (PYMT 2 OF 3)	50,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	KNIGHT, ZACHARY 2014 SCHOLAR	100,000.
RIDGEFIELD ACADEMY 11 BURR ROAD WESTPORT, CT 06880	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	UPSTART CO-LAB PROGRAM(PYMT 1 OF 1)	50,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT-LISTEN FOR GOOD PROGRAM(PYMT 1 OF 2)	30,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT (PYMT 1 OF 3)	250,000.
RUTGERS UNIVERSITY FOUNDATION 120 ALBANY STREET, SUITE 201 NEW BRUNSWICK, NJ 08901	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	LAW, JULIE 2015 SCHOLAR	100,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	CHALASANI, SREEKANTH 2012 SCHOLAR	100,000.
SAVE, A FRIEND TO HOMELESS ANIMALS 1010 ROUTE 601 SKILLMAN, NJ 08558	N/A	PC	2016 EMPLOYEE MATCHING GIFT	145.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SEEPOLITICAL INC. 133 W. CHANNEL RD. # 1 SANTA MONICA, CA 90402	N/A	PC	VOTER INFORMATION PROGRAM (PYMT 1 OF 1)	50,000.
SOUTH KENT SCHOOL 40 BULLS BRIDGE ROAD SOUTH KENT, CT 06785	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	15,000.
STANFORD JAZZ WORKSHOP PO BOX 20454 STANFORD, CA 94309	N/A	PC	GRANT-GENERAL OPERATING	10,000.
STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144-4253	N/A	PC	GREENLEAF, WILLIAM 2013 SCHOLAR	100,000.
STOCKTON UNIVERSITY FOUNDATION 101 VERA KING FARRIS DRIVE K-204 GALLOWAY, NJ 08205	N/A	PC	LIFE AND WORK OF ANNE MORROW LINDBERGH	15,000.
THE FOUNDATION CENTER 24 OLD SLIP, 24TH FLOOR NEW YORK, NY 10005	N/A	PC	PUBLIC SCIENCE ENGAGEMENT VISUAL DATA ANALYSIS	15,000.
THE FOUNDATION CENTER 24 OLD SLIP, 24TH FLOOR NEW YORK, NY 10005	N/A	PC	DEMOCRACY MAPPING (PYMT 1 OF 1)	50,000.
THE MAGNUM FOUNDATION 59 E 4TH STREET 7W NEW YORK, NY 10003	N/A	PC	2016 EMPLOYEE MATCHING GIFT	250.
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	GRANT-GENERAL OPERATING	100,000.
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	SATURDAY MATINEE RADIO BROADCAST GRANT	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NATURE CONSERVANCY 2101 NORTH FRONT STREET, BUILDING #1, SUITE 200 HARRISBURG, PA 17110	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
THE NEW JERSEY TECHNOLOGY COUNCIL 96 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	10,000.
THE OPENGOV FOUNDATION PO BOX 1388 VISTA, CA 92085	N/A	PC	THE CHICAGO WAY PROGRAM (PYMT 2 OF 2)	100,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	KLINGE, SEBASTIAN 2014 SCHOLAR	100,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	MARRAFFINI, LUCIANO 2012 SCHOLAR	100,000.
THE SEEING EYE, INC. PO BOX 375 MORRISTOWN, NJ 07963-0375	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	30,000.
UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	PC	GRANT-HOMES FOR AGED	80,000.
UNIV OF PENNSYLVANIA - DD GRANT 2929 WALNUT STREET, SUITE 300 PHILADELPHIA, PA 19104-5099	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
UNIV. OF TEXAS MD ANDERSON CANCER CENTER PO BOX 4390 HOUSTON, TX 77210-4390	N/A	PC	SCHLACHER, KATHARINA 2016 SCHOLAR	100,000.
UNIVERSITY OF ALABAMA AT BIRMINGHAM 1720 2ND AVENUE SOUTH, AB 990 BIRMINGHAM, AL 35924-0109	N/A	PC	SORGE, ROBERT 2015 PAIN SCHOLAR	50,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF CALGARY 2500 UNIVERSITY DRIVE NW CALGARY, CANADA	N/A	PC	TRANG, TUAN 2014 PAIN SCHOLAR	50,000.
UNIVERSITY OF CALIFORNIA, DAVIS PO BOX 989062 WEST SACRAMENTO, CA 95798-9062	N/A	PC	TIAN, LIN 2014 SCHOLAR	100,000.
UNIVERSITY OF CINCINNATI 51 GOODMAN DRIVE, SUITE 530, PO BOX 210222 CINCINNATI, OH 45221-0222	N/A	PC	DAVIDSON, STEVE 2016 SCHOLAR	50,000.
UNIVERSITY OF MICHIGAN PO BOX 223131 PITTSBURGH, PA 15251-2131	N/A	PC	DUS, MONICA 2016 SCHOLAR	110,000.
UNIVERSITY OF NEW ENGLAND 11 HILLS BEACH RD. BIDDEFORD, ME 04005	N/A	PC	HANLON, KATHERINE 2016 SCHOLAR	50,000.
UNIVERSITY OF NORTH CAROLINA PO BOX 402420 ATLANTA, GA 30384-2420	N/A	PC	LI, BO 2016 SCHOLAR	100,000.
UNIVERSITY OF NOTRE DAME 1251 N. EDDY STREET, SUITE 300 SOUTH BEND, IN 46617-1403	N/A	PC	2016 DIRECTOR DESIGNATED GRANT	5,000.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	WILUSZ, JEREMY 2015 SCHOLAR	100,000.
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	N/A	PC	ENGAGING NEWS PROJECT (PYMT 1 OF 3)	75,000.
UT SOUTHWESTERN MEDICAL CENTER PO BOX 841753 DALLAS, TX 75284-1753	N/A	PC	LIU, XIN 2012 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UT SOUTHWESTERN MEDICAL CENTER PO BOX 841753 DALLAS, TX 75284-1753	N/A	PC	SCHOGGINS, JOHN 2015 SCHOLAR	110,000.
WGBH EDUCATIONAL FOUNDATION 1 GUEST STREET BRIGHTON, MA 02135	N/A	PC	SCIENCE MEDIA SYMPOSIUM (PYMT 2 OF 2)	75,000.
WGBH EDUCATIONAL FOUNDATION 1 GUEST STREET BRIGHTON, MA 02135	N/A	PC	SCIENCE COMMUNICATION FELLOW PROGRAM (PYMT 1 OF 2)	75,000.
WHYY 150 N. 6TH STREET PHILADELPHIA, PA 19106	N/A	PC	2016 EMPLOYEE MATCHING GIFT	120.
WILLIAMS COLLEGE 75 PARK STREET WILLIAMSTOWN, MA 01267	N/A	PC	2016 EMPLOYEE MATCHING GIFT	135.
YALE UNIVERSITY 47 COLLEGE STREET, SUITE 216 NEW HAVEN, CT 06510-3209	N/A	PC	GRACHEVA, ELANA 2013 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL ST NE WASHINGTON, DC 20002	N/A	PC	SUPPORTING INNOVATIVE SCIENCE PROGRAMMING	75,000.
ONLINE NEWS ASSOCIATION 1111 NORTH CAPITOL STREET NE, SIXTH FLOOR WASHINGTON, DC 20002	N/A	PC	CHALLENGE FUND FOR INNOVATION IN JOURNALISM EDUCATION	75,000.
PARTICIPATORY BUDGETING PROJECT 540 PRESIDENT STREET, 3RD FLOOR BROOKLYN, NY 11215	N/A	PC	PARTICIPATION LAB	100,000.
PUBLIC LABORATORY FOR OPEN TECH & SCIENCE 630 FLUSHING AVE BROOKLYN, NY 11206	N/A	PC	OCCEEF	50,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	LISTEN FOR GOOD	30,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT	500,000.
SCIENCECOUNTS 529 14TH ST. NW, SUITE 1050 WASHINGTON, DC 20001	N/A	PC	RAISING VOICES FOR SCIENCE - A TEST CAMPAIGN	250,000.
THE CONVERSATION 25 FAIRFAX STREET WEST NEWTON, MA 02465	N/A	PC	UNLOCKING HIGH QUALITY SCIENCE FOR THE WIDER COMMUNITY	100,000.
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	N/A	PC	ENGAGING NEWS PROJECT	150,000.
WGBH EDUCATION FOUNDATION 1 GUEST STREET BRIGHTON, MA 02135	N/A	PC	SCIENCE MEDIA SYMPOSIUM	75,000.
Total from continuation sheets				1,405,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ENDOWMENT CASH	3,219.	3,219.	
TOTAL TO PART I, LINE 3	3,219.	3,219.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAIN DISTRIBUTIONS	8.	0.	8.	8.	
INTEREST AND DIVIDENDS	1,831,191.	0.	1,831,191.	1,831,191.	
INTEREST AND DIVIDENDS THROUGH K-1S	0.	0.	0.	419,304.	
TO PART I, LINE 4	1,831,199.	0.	1,831,199.	2,250,503.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT REVENUE	2,494.	2,494.	
OTHER PARTNERSHIP INCOME THROUGH K-1S	0.	9,091.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,494.	11,585.	

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	14,180.	0.		14,180.
TO FM 990-PF, PG 1, LN 16A	14,180.	0.		14,180.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	43,850.	0.		43,850.
TO FORM 990-PF, PG 1, LN 16B	43,850.	0.		43,850.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	1,010,304.	999,388.		0.
BNYM CUSTODY FEES	90,944.	90,944.		0.
OTHER PROFESSIONAL FEES	31,726.	0.		31,726.
OTHER CONSULTING EXPENSES	31,630.	0.		31,630.
TO FORM 990-PF, PG 1, LN 16C	1,164,604.	1,090,332.		63,356.

FORM 990-PF	TAXES		STATEMENT		7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX WITHHELD	0.	19,175.		0.	
TO FORM 990-PF, PG 1, LN 18	0.	19,175.		0.	

FORM 990-PF	OTHER EXPENSES		STATEMENT		8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	7,176.	0.		7,176.	
OFFICE EXPENSES	8,952.	0.		8,952.	
MEMBERSHIPS AND DUES	26,060.	0.		26,060.	
ANNUAL REPORT FILING	1,528.	0.		1,528.	
SCIENTIFIC ADVISORY HONORARIA	30,000.	0.		30,000.	
IT SERVICE & WEB DESIGN	41,867.	0.		41,867.	
PAYROLL SERVICE	3,690.	0.		3,690.	
GRANT SOFTWARE & WEB SERVICES	35,114.	0.		35,114.	
OFFICE FURNITURE AND EQUIPMENT	11,146.	0.		11,146.	
PROGRAM/COMMUNICATIONS EXPENSE	28,481.	0.		28,481.	
STRATEGIC PLANNING	31,616.	0.		31,616.	
SCHOLARS PLENARY	501,505.	0.		501,505.	
OTHER PARTNERSHIP DEDUCTIONS	0.	115,090.		0.	
TO FORM 990-PF, PG 1, LN 23	727,135.	115,090.		727,135.	

FORM 990-PF	CORPORATE STOCK		STATEMENT		9
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK - SEE ATTACHMENT A			81,764,173.	81,764,173.	
TOTAL TO FORM 990-PF, PART II, LINE 10B			81,764,173.	81,764,173.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHMENT A	FMV	9,935,304.	9,935,304.
HEDGE FUNDS - SEE ATTACHMENT A	FMV	50,310,173.	50,310,173.
PRIVATE EQUITIES - SEE ATTACHMENT A	FMV	5,520,517.	5,520,517.
TOTAL TO FORM 990-PF, PART II, LINE 13		65,765,994.	65,765,994.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	11
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
KATHERINE BELYI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	SECRETARY 20.00	52,950.	15,636.	0.
WILLIAM F. GADSDEN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	CHAIRMAN OF THE BOARD 10.00	7,000.	0.	0.
ELIZABETH G. CHRISTOPHERSON 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	PRESIDENT AND CEO 35.00	400,595.	69,839.	0.
KARI WILLIAMS TYKSINSKI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	TREASURER/FINANCE 20.00	89,463.	0.	0.
SAMUEL S.-H. WANG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
ROBBERT DIJKGRAAF 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	3,500.	0.	0.
SIVAN HONG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.

LANDON Y. JONES
92 NASSAU STREET, 3RD FLOOR
PRINCETON, NJ 08540

DIRECTOR
1.00

0. 0. 0.

HONORABLE THOMAS H. KEAN
92 NASSAU STREET, 3RD FLOOR
PRINCETON, NJ 08540

DIRECTOR
1.00

0. 0. 0.

GENEVA OVERHOLSER
92 NASSAU STREET, 3RD FLOOR
PRINCETON, NJ 08540

DIRECTOR
1.00

4,000. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

561,508. 85,475. 0.