



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation  
**THE RIDGEFIELD FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**570 LEXINGTON AVENUE, 33RD FL**

City or town, state or province, country, and ZIP or foreign postal code  
**NEW YORK, NY 10022**

**A Employer identification number**  
13-6093563

**B Telephone number (see instructions)**  
(212) 750-9330

**C** If exemption application is pending, check here.

**D** 1. Foreign organizations, check here.   
2. Foreign organizations meeting the 85% test, check here and attach computation.

**E** If private foundation status was terminated under section 507(b)(1)(A), check here.

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

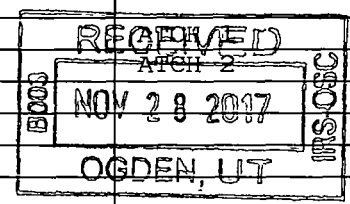
**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 75,072,460.**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments	1,517.	1,517.		
4	Dividends and interest from securities	783,137.	806,282.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,252,894.			
b	Gross sales price for all assets on line 6a	16,990,508.			
7	Capital gain net income (from Part IV, line 2)		1,252,894.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 3	186,848.	49,542.		
12	<b>Total.</b> Add lines 1 through 11	2,224,396.	2,110,235.		
13	Compensation of officers, directors, trustees, etc.	261,886.	130,943.		130,943.
14	Other employee salaries and wages	246,500.	123,250.		123,250.
15	Pension plans, employee benefits	36,152.	18,076.		18,076.
16a	Legal fees (attach schedule) ATCH 4	113,176.	56,588.		56,588.
b	Accounting fees (attach schedule) ATCH 5	202,228.	101,114.		101,114.
c	Other professional fees (attach schedule) [6]	249,385.	230,635.		18,750.
17	Interest ATCH 7	1,950.	1,950.		
18	Taxes (attach schedule) (see instructions) [8]	76,988.	28,412.		18,326.
19	Depreciation (attach schedule) and depletion	2,620.			
20	Occupancy	165,339.	82,670.		82,669.
21	Travel, conferences, and meetings	22,022.			22,022.
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 9	183,059.	111,030.		22,545.
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	1,561,305.	884,668.		594,283.
25	Contributions, gifts, grants paid	3,664,900.			3,664,900.
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	5,226,205.	884,668.	0.	4,259,183.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-3,001,809.			
b	<b>Net investment income</b> (if negative, enter -0-)		1,225,567.		
c	<b>Adjusted net income</b> (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	16,965,452.	17,471,193.	17,471,193.
	3	Accounts receivable ▶ 596,471.			
		Less: allowance for doubtful accounts ▶	382,263.	596,471.	596,471.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 10	6,264,372.	7,491,162.	8,233,865.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule)			
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 11	44,617,353.	39,701,342.	48,673,027.	
14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule)	59,260. 48,852.	4,321. 10,408.	ATCH 12 10,408.	
15	Other assets (describe ▶ ATCH 13)	87,496.	87,496.	87,496.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I)	68,321,257.	65,358,072.	75,072,460.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	68,321,257.	65,358,072.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)	68,321,257.	65,358,072.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	68,321,257.	65,358,072.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 68,321,257.
2	Enter amount from Part I, line 27a	2 -3,001,809.
3	Other increases not included in line 2 (itemize) ▶ ATCH 14	3 38,624.
4	Add lines 1, 2, and 3	4 65,358,072.
5	Decreases not included in line 2 (itemize) ▶	5
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6 65,358,072.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,252,894.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2015	4,368,779.	81,964,869.	0.053301	
2014	3,983,713.	80,518,991.	0.049475	
2013	4,047,366.	77,981,087.	0.051902	
2012	4,223,558.	76,408,048.	0.055276	
2011	4,104,062.	77,873,254.	0.052702	
2 Total of line 1, column (d)			2	0.262656
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3	0.052531
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4	72,068,375.
5 Multiply line 4 by line 3.			5	3,785,824.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6	12,256.
7 Add lines 5 and 6.			7	3,798,080.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8	4,259,183.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments, total credits, penalty, tax due, overpayment, and amount of line 10 to be credited to 2017 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about influencing legislation, political purposes, Form 1120-POL filing, tax on political expenditures, reimbursement, IRS reporting, changes in governing instruments, unrelated business gross income, liquidation, 508(e) requirements, state reporting, claiming status, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of STEVEN ROSENTHAL Telephone no 212-750-9330 Located at 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY ZIP+4 10022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) ATCH 15

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		261,886.	14,981.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 17		227,000.	20,283.	0.

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 18		482,461.

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	57,261,924.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	15,903,939.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	73,165,863.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	73,165,863.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	1,097,488.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 . . . . .	<b>5</b>	72,068,375.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	3,603,419.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	3,603,419.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	12,256.
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	12,256.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	<b>3</b>	3,591,163.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	3,591,163.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	3,591,163.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	4,259,183.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	4,259,183.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	12,256.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	4,246,927.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				3,591,163.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .				
b Total for prior years 20 14 , 20 13 , 20 12				
3 Excess distributions carryover, if any, to 2016:				
a From 2011 . . . . .	224,877.			
b From 2012 . . . . .	422,708.			
c From 2013 . . . . .	212,018.			
d From 2014 . . . . .	84,166.			
e From 2015 . . . . .	317,175.			
f Total of lines 3a through e . . . . .	1,260,944.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 4,259,183.				
a Applied to 2015, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2016 distributable amount. . . . .				3,591,163.
e Remaining amount distributed out of corpus. . .	668,020.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,928,964.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	224,877.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	1,704,087.			
10 Analysis of line 9				
a Excess from 2012 . . . . .	422,708.			
b Excess from 2013 . . . . .	212,018.			
c Excess from 2014 . . . . .	84,166.			
d Excess from 2015 . . . . .	317,175.			
e Excess from 2016 . . . . .	668,020.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns for Tax year (2016) and Prior 3 years (2015, 2014, 2013) and a Total column. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i></p> <p>ATCH 19</p>				
<b>Total</b> .....				<b>3a</b> 3,664,900.
<p><b>b</b> <i>Approved for future payment</i></p>				
<b>Total</b> .....				<b>3b</b>



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash... (2) Other assets... b Other transactions... (1) Sales of assets to a noncharitable exempt organization... (2) Purchases of assets from a noncharitable exempt organization... (3) Rental of facilities, equipment, or other assets... (4) Reimbursement arrangements... (5) Loans or loan guarantees... (6) Performance of services or membership or fundraising solicitations... c Sharing of facilities, equipment, mailing lists, other assets, or paid employees... d If the answer to any of the above is "Yes," complete the following schedule...

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... 2b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee, Date, Title, and a box for IRS discussion: May the IRS discuss this return with the preparer shown below (see instructions)?

Paid Preparer Use Only: Print/Type preparer's name (BARBARA HASSELMAN), Preparer's signature, Date (11/13/17), Firm's name (MARCUM LLP), Firm's address (750 THIRD AVENUE, NEW YORK, NY 10017), Firm's EIN, and Phone no (212-485-5500).

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					236,316.	
218,610.		FIDELITY #9879 - VARIOUS S/T COVERED 222,477.					VARIOUS -3,867.	VARIOUS
2,992,326.		FIDELITY #9691 - VARIOUS S/T COVERED 3,763,008.					VARIOUS -770,682.	VARIOUS
		OCA EAGLE GLOBAL MLP - K1 - S/T 74,478.					VARIOUS -74,478.	VARIOUS
2,651,057.		FIDELITY # 9879 - VARIOUS L/T COVERED 2,456,724.					VARIOUS 210,228.	VARIOUS
1,999,970.		FIDELITY #9691 - 89,325.592 SHS EDGEWOOD 1,819,792.					07/21/2014 180,178.	07/25/2016
2,742,769.		FIDELITY #9691 - 184,528 SHS PERSHING SQ 3,163,893.					VARIOUS -421,124.	VARIOUS
215,160.		OCA EAGLE GLOBAL MLP - K1 - L/T PROPERTY TYPE: OTHER				P	VARIOUS 215,160.	VARIOUS
6,465.		OCA R/E OPPO FD I - K1 - L/T					VARIOUS 6,465.	VARIOUS
		SECTION 1231 - OCA EAGLE GLOBAL MLP - K- 2,607.					-2,607.	
18,337.		SECTION 1231 - OCA R/E OPPO FD I - K-1					18,337.	
		SECTION 1231 - GSO CAP SOL FD II AIV-2 - 64.					-64.	
		SECTION 1256 - OCA R/E OPPO FD I - K1 578.					-578.	

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,561,935.		CHESAPEAKE PTNR INT'L 2,000,000.					1,561,935.	
2,347,563.		SACHEM HEAD OFFSHORE 2,249,888.					97,675.	
TOTAL GAIN (LOSS) .....							<u>1,252,894.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FIDELITY #9693	118.	118.
FIDELITY #9879	8.	8.
FIDELITY #9691	1,351.	1,351.
BNY CHECKING INTEREST	40.	40.
TOTAL	<u>1,517.</u>	<u>1,517.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST - OCA EAGLE GLOBAL MLP - K1	3,064.	3,064.
INTEREST - OCA R/E OPPO FD I - K1	7,861.	7,861.
INTEREST - GSO CAP SOL FD II AIV-2 - K1	741.	741.
ORD DIV - FIDELITY #9693	24,400.	24,400.
ORD DIV - FIDELITY #9693 - ACC INT PAID	-23,256.	-23,256.
ORD DIV - FIDELITY #9879	126,686.	126,686.
ORD DIV - FIDELITY #9691	588,772.	588,772.
ORD DIV - OCA EAGLE GLOBAL MLP - K1	36,988.	36,988.
ORD DIV - OCA R/E OPPO FD I - K1	41,026.	41,026.
INT - OCA EAGLE GLOBAL MLP - K1 (UBTI)	1,217.	
FIDELITY-ACCRUED INTEREST PAID	-24,362.	
<b>TOTAL</b>	<u>783,137.</u>	<u>806,282.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORD INC - OCA EAGLE GLOBAL MLP - K1	49,003.	49,003.
ORD INC - OCA R/E OPPO FD I - K1	-2,034.	-2,034.
ORD INC -GSO CAP SOL FD II AIV-2 - K1	-1,403.	-1,403.
COD - OCA EAGLE GLOBAL MLP - K1	2,699.	2,699.
COD - OCA R/E OPPO FD I - K1	4,119.	4,119.
OTHER INC - OCA EAGLE GLOBAL MLP - K1	-8,582.	-8,582.
ROYALTIES - OCA EAGLE GLOBAL MLP - K1	12.	12.
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1	648.	648.
OTHER INC - OCA EAGLE GLOBAL MLP - K1	91.	91.
OTHER INC - ANCHORAGE CAPITAL PTNR	23,173.	23,173.
OTH INC - OCA EAG GLOB- K1 (BK, NOT TAX)	59,998.	
OTH INC - GSO CAP FD II-K1 (BK, NOT TAX)	83,179.	
OTH INC -OCA RE OPPO FD-K1 (BK, NOT TAX)	233.	
ORD INC - OCA EAGLE GLOB MLP - K1 (UBTI)	-27,359.	
ORD INC - GSO CAP FD II AIV-2 -K1 (UBTI)	25,817.	
RENTAL INC -OCA EAGLE GLOBAL MLP - K1	305.	305.
RENTAL INC - OCA R/E OPPO FD I - K1	-18,489.	-18,489.
RENTAL INC - OCA R/E OPPO FD I-K1 (UBTI)	-4,562.	
<b>TOTALS</b>	<u>186,848.</u>	<u>49,542.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CADWALADER, WICKERSHAM & TAFT	105,076.	52,538.		52,538.
CRAVATH, SWAINE & MOORE LLP	8,100.	4,050.		4,050.
TOTALS	<u>113,176.</u>	<u>56,588.</u>		<u>56,588.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MARCUM LLP - AUDIT FEES	36,728.	18,364.		18,364.
UHY ADVISORS	165,500.	82,750.		82,750.
TOTALS	<u>202,228.</u>	<u>101,114.</u>		<u>101,114.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT ADVISORY FEES:			
OFFIT CAPITAL ADVISORS LLC	55,850.	55,850.	
STUART SILVER	156,035.	156,035.	
	37,500.	18,750.	18,750.
TOTALS	<u>249,385.</u>	<u>230,635.</u>	<u>18,750.</u>

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OCA EAGLE GLOBAL MLP K-1	705.	705.
OCA REAL ESTATE OPPO FD K-1	1,245.	1,245.
TOTALS	<u>1,950.</u>	<u>1,950.</u>

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL EXCISE TAX	30,000.		
PAYROLL TAXES	36,653.	18,327.	
FOREIGN TAX	10,085.	10,085.	
NYS CORP TAX (UBIT)	250.		
	<u>76,988.</u>	<u>28,412.</u>	<u>18,326.</u>
TOTALS			

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE	2,315.	1,158.	1,157.
MESSENGER SERVICE	247.	123.	124.
CAR SERVICE	1,513.	757.	756.
SUPPLIES	3,903.	1,951.	1,952.
COPIER MACHINE	4,166.	2,083.	2,083.
INTERNET ACCESS	1,890.	945.	945.
FILING FEES	1,500.	750.	750.
OTHER OFFICE EXPENSE	1,032.	516.	516.
BANK CHARGES	1,334.	667.	667.
INSURANCE	19,356.	9,678.	9,678.
PAYROLL FEES	1,793.	897.	896.
COMPUTER SOFTWARE	2,619.	1,309.	1,310.
POSTAGE & SHIPPING	3,423.	1,712.	1,711.
PORT. DED - OCA EAG GLO MLP K1	74,593.	74,593.	
PORT. DED - OCA R/E OPP FD K1	11,840.	11,840.	
PORT. DED - GSO CAP FD II K1	500.	500.	
PORT. DED - GSO CAP SOL K1	3.	3.	
SEC. 59(E)2 GSO CAP SOL K1-UBIT	49,291.		
OTHER DED. GSO CAP SOL K1-UBIT	116.		
OTHER DED. OCA EAGLE GLOBAL K1	143.	143.	
OTHER DED. GSO CAP SOL FD K1	12.	12.	
OTHER DED. FIDELITY #9879	1,296.	1,296.	
OTHER DED. FIDELITY# 9691	97.	97.	
NON DED. GSO CAP SOL FD K1	77.		
<b>TOTALS</b>	<b>183,059.</b>	<b>111,030.</b>	<b>22,545.</b>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ADVISORY RESEARCH LYRICAL US VALUE EQUITY	2,963,117. 4,528,045.	2,951,939. 5,281,926.
TOTALS	<u>7,491,162.</u>	<u>8,233,865.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EUROPEAN INVESTORS	808,548.	800,348.
CHESAPEAKE PTRS INTL LTD		
STEPSTONE PE PARTNERS	1,026,048.	1,281,620.
PERSHING SQUARE INTL LTD		
ANCHORAGE CAPITAL PARTNERS	2,731,756.	4,168,861.
OCA EAGLE GLOBAL MLP LLC	3,441,555.	5,378,868.
EII INTERNATIONAL PRPTY FUND	716,580.	504,279.
OCA REAL ESTATE OPP FUND I LP	-247,531.	530,934.
OCA SA MEZZANINE FUND II	1,901,146.	2,024,377.
VANGUARD S&P 500 INDEX FUND	13,432,426.	17,525,956.
EDGEWOOD GROWTH FUND INSTL	4,643,311.	5,051,329.
GSO CAPITAL SOLUTIONS FUND	1,373,097.	1,546,993.
SCAHEM HEAD OFFSHORE LTD	2,250,112.	2,330,785.
WISDOMTREE TRUST JAPAN HEDGE		
VANGUARD INSTUTIONAL INDEX	3,550,272.	3,573,007.
DOUBLELINE TOTAL RETURN BOND F	4,074,022.	3,955,670.
TOTALS	<u>39,701,342.</u>	<u>48,673,027.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 13

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSIT	87,496.	87,496.
TOTALS	<u>87,496.</u>	<u>87,496.</u>

ATTACHMENT 14

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR YEAR ADJUSTMENT	38,624.
TOTAL	<u>38,624.</u>

ATTACHMENT 15FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: LUXFESTIVAL  
GRANTEE'S ADDRESS: BP 30  
CITY, STATE & ZIP: ECHTERNACH  
FOREIGN COUNTRY: LUXEMBOURG L-6401  
GRANT DATE: 06/02/2016  
GRANT AMOUNT: 27,900.  
GRANT PURPOSE: MUSEUM & CULTURAL  
AMOUNT EXPENDED: 27,900.  
ANY DIVERSION? NO

DATES OF REPORTS:  
VERIFICATION DATE:

## RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION  
PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER  
THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: MUSEE D'ART MODERNE (MUDAM)  
GRANTEE'S ADDRESS: 3 PARK DRAI  
CITY, STATE & ZIP: EECELEN  
FOREIGN COUNTRY: LUXEMBOURG L-1499  
GRANT DATE: 07/27/2016  
GRANT AMOUNT: 139,000.  
GRANT PURPOSE: MUSEUM & CULTURAL  
AMOUNT EXPENDED: 139,000.  
ANY DIVERSION? NO

DATES OF REPORTS:  
VERIFICATION DATE:

## RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION  
PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER  
THAN ITS INTENDED PURPOSE.

FORM 990PF, PART VIII. - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STUART SILVER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	SECRETARY/DIRECTOR 1.00	0.	0.	0.
MARGOT GIBIS 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022 VP/DIRECTOR (JANUARY TO AUGUST) PRESIDENT/DIRECTOR AS OF AUGUST 2016	PRESIDENT/DIRECTOR 40.00	99,390.	7,624.	0.
ARTHUR S. HOFFMAN 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022 PREVIOUSLY - PRES/TREASURER/DIREC DECEASED AUGUST 2016	PRESIDENT/TREASURER/DIRECTOR 40.00	162,496.	7,357.	0.
JEAN WAGENER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
LAUREL EISNER 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022 DIRECTOR (JANUARY TO SEPTEMBER) CHAIR AS OF 9/29/16	CHAIR/DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUNHEE GERTZ 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
JOHN ROBINSON 570 LEXINGTON AVE, 33RD FL NEW YORK, NY 10022 RESIGNED 9/29/16	CHAIR/DIRECTOR 1.00	0.	0.	0.
GRAND TOTALS		<u>261,886.</u>	<u>14,981.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
STEVEN ROSENTHAL 570 LEXINGTON AVE 33RD FL NEW YORK, NY 10022	OFFICE MANAGER 40.00	167,000.	12,645.
KAREN J CORSI 570 LEXINGTON AVE 33RD FL NEW YORK, NY 10022	ADMIN ASSISTANT 40.00	60,000.	7,638.
	TOTAL COMPENSATION	<u>227,000.</u>	<u>20,283.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OFFIT CAPITAL ADVISORS 485 LEXINGTON AVENUE NEW YORK, NY 10017	INVESTMENT ADV FEE	156,035.
UHY ADVISORS 1185 AVENUE OF AMERICAS 38TH FL NEW YORK, NY 10036	TAX/ACCOUNTING/CONSU	165,500.
CADWALADER, WICKERSHAM AND TAFT LLP 200 LIBERTY STREET NEW YORK, NY 10281	LEGAL SERVICE	105,076.
FIDELITY INVESTMENTS PO BOX 673000 DALLAS, TX 75267	INVESTMENT ADV FEE	55,850.
	TOTAL COMPENSATION	<u>482,461.</u>

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 19

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
-----------------------------------	---	---	---------------

GRANTEES THAT ARE PUBLIC OR PUBLIC CHARITIES EQUIVALENTS (SEE ATTACHMENT A) NEW YORK, NY 10022	NONE PC	VARIOUS - SEE ATTACHMENT A	3,664,900.
---	------------	----------------------------	------------

TOTAL CONTRIBUTIONS PAID  
3,664,900.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER INCOME - OCA EAGLE GLOBAL MLP K1			14	59,998.	
OTHER INCOME - GSO CAP SOL K1			14	83,179.	
OTHER INCOME - OCA R/E OPPO FS K1			14	233.	
ORD INCOME - OCA EAGLE GLOBAL MLP K1	900099	-27,359.			
ORD INCOME - GSO CAP SOL K1	900099	25,817.			
OCA REAL ESTATE OPP FD K1 - NET RENTAL LOSS	900099	-4,562.	16	-18,184.	
ORD INC - OCA EAGLE GLOBAL MLP - K1			14	49,003.	
ORD INC - OCA R/E OPPO FD I - K1			14	-2,034.	
ORD INC -GSO CAP SOL FD II AIV-2 - K1			14	-1,403.	
COD - OCA EAGLE GLOBAL MLP - K1			14	2,699.	
COD - OCA R/E OPPO FD I - K1			14	4,119.	
OTHER INC - OCA EAGLE GLOBAL MLP - K1			14	-8,582.	
ROYALTIES - OCA EAGLE GLOBAL MLP - K1			15	12.	
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1			15	648.	
OTHER INC - OCA EAGLE GLOBAL MLP - K1			14	91.	
OTHER INC - ANCHORAGE CAPITAL PTNR			14	23,173.	

TOTALS

-6,104.

192,952.