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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016Department of the Treasury
Internal Revenue Service

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Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation M V HAGGIN IN MEMORY OF J B A HAGGIN			A Employer identification number 13-6078494	
Number and street (or P O box number if mail is not delivered to street address) BNY Mellon, N A - P O Box 185		Room/suite	B Telephone number (see instructions) (212) 922-8188	
City or town, state or province, country, and ZIP or foreign postal code Pittsburgh PA 15230-0185		C If exemption application is pending, check here ☐		
Foreign country name Foreign province/state/country Foreign postal code		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☒ Amended return ☐ Address change ☐ Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐		
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 23,652,565		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	471,591	467,044		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	417,798			
	b Gross sales price for all assets on line 6a 7,785,415				
	7 Capital gain net income (from Part IV, line 2)		417,798		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	889,389	884,842	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	126,395	75,837		50,558
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	22,370	8,691		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5,832	5,082		750
	24 Total operating and administrative expenses. Add lines 13 through 23	154,597	89,610	0	51,308
	25 Contributions, gifts, grants paid	1,156,154			1,156,154
26 Total expenses and disbursements. Add lines 24 and 25	1,310,751	89,610	0	1,207,462	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-421,362				
b Net investment income (if negative, enter -0-)		795,232			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		-16,109	-16,109
	2 Savings and temporary cash investments	1,042,790	283,238	283,238
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
Liabilities	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	20,198,964	20,620,199	23,385,436
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,241,754	20,887,328	23,652,565
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	21,241,754	20,887,328	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	21,241,754	20,887,328	
	31 Total liabilities and net assets/fund balances (see instructions)	21,241,754	20,887,328	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,241,754
2 Enter amount from Part I, line 27a	2	-421,362
3 Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	73,169
4 Add lines 1, 2, and 3	4	20,893,561
5 Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	6,233
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	20,887,328

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	417,798
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,269,587	23,775,941	0.053398
2014	1,267,468	24,068,498	0.052661
2013	1,330,324	23,077,397	0.057646
2012	1,161,532	22,182,142	0.052363
2011	1,248,750	22,071,109	0.056578
2 Total of line 1, column (d)			2 0.272646
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.054529
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 22,797,609
5 Multiply line 4 by line 3			5 1,243,131
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,952
7 Add lines 5 and 6			7 1,251,083
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 1,207,462

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	15,905
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	15,905
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,905
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	15,938
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	15,938
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	32
11	Enter the amount of line 10 to be Credited to 2017 estimated tax 32 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY AG REG # 00-29-65		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ▶ N/A		
14 The books are in care of ▶ BNY MELLON, N.A. Telephone no ▶ (212) 922-8188		
Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N.A. P O Box 185 Pittsburgh, PA 15230-0185	TRUSTEE SEE ATTACHED	198,014	NONE	NONE
BNY Mellon, N.A. P O Box 185 Pittsburgh, PA 15230-0185	FEE REIMBURSEMENT	-71,619	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		NONE

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	22,526,928
b	Average of monthly cash balances	1b	617,853
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	23,144,781
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	23,144,781
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	347,172
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,797,609
6	Minimum investment return. Enter 5% of line 5	6	1,139,880

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,139,880
2a	Tax on investment income for 2016 from Part VI, line 5	2a	15,905
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,905
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,123,975
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,123,975
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,123,975

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,207,462
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,207,462
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,207,462

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,123,975
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013	26,053			
d From 2014	94,934			
e From 2015	107,839			
f Total of lines 3a through e	228,826			
4 Qualifying distributions for 2016 from Part XII, line 4 $\blacktriangleright$ \$ 1,207,462				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				1,123,975
e Remaining amount distributed out of corpus	83,487			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	312,313			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	312,313			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013	26,053			
c Excess from 2014	94,934			
d Excess from 2015	107,839			
e Excess from 2016	83,487			

Form 990-PF (2016)

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

NOT APPLICABLE

- b** The form in which applications should be submitted and information and materials they should include:

NOT APPLICABLE

- c** Any submission deadlines

NOT APPLICABLE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NOT APPLICABLE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total				3a 1,156,154
b Approved for future payment NONE				
Total				3b NONE

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash

(2) Other assets

b Other transactions

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/22/2017
Date

VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Shawn P. Hanlon

Preparer's signature

2010 - cm

Date	
------	--

5/22/2017

Check ☒ if self-employed

PTIN

P00965923.

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	(412) 355-6000
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Part I, Line 5 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions										103,920		Capital Gains/Losses		7,785,415		7,387,617		417,798	
										0		Other sales		0		0		0	
Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss					
1 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	2/15/2016	61	82					-1					
2 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	3/15/2016	792	805					-13					
3 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	4/15/2016	57	58					-1					
4 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	5/15/2016	55	57					-2					
5 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	6/15/2016	50	52					-2					
6 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	7/15/2016	52	54					-2					
7 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	8/15/2016	53	54					-1					
8 FHLMC GOLD T49004 3.0%	31287CAD4	X				11/5/2013	9/15/2016	609	641					-32					
9 FHLMC GOLD T49004 3.0%	31287CAD4	X				21/9/2014	12/15/2016	94	98					-4					
10 FHLMC GOLD T49004 3.0%	31287CAE2	X				21/9/2014	1/15/2017	98	102					-4					
11 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2016	187	173					14					
12 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2016	163	169					-6					
13 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2016	163	169					-6					
14 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2016	282	273					9					
15 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2016	98	103					-5					
16 FHLMC GOLD T49004 3.0%	31287CAE2	X				21/9/2014	11/15/2016	94	98					-4					
17 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	12/15/2016	749	798					-49					
18 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2017	281	293					-12					
19 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2016	94	98					-4					
20 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2016	15,067	15,013					54					
21 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2016	5,620	3,787					1,833					
22 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2016	14,519	9,348					5,171					
23 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	6/15/2016	583	641					-58					
24 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	7/15/2016	25,523	24,800					723					
25 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	8/15/2016	486	534					-48					
26 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	9/15/2016	289	293					-4					
27 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	10/15/2016	135	142					-7					
28 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	11/15/2016	202	212					-10					
29 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	12/15/2016	101	106					-5					
30 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2017	186	195					-9					
31 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2017	93	98					-5					
32 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2017	283	297					-14					
33 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2017	142	148					-6					
34 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2017	273	289					-16					
35 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	6/15/2017	138	145					-7					
36 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	7/15/2017	265	278					-13					
37 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	8/15/2017	133	140					-7					
38 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	9/15/2017	230	241					-11					
39 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	10/15/2017	115	121					-6					
40 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	11/15/2017	232	243					-11					
41 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	12/15/2017	116	122					-6					
42 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2018	170	178					-8					
43 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2018	85	89					-4					
44 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2018	320	336					-16					
45 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2018	180	189					-9					
46 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2018	182	191					-9					
47 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	6/15/2018	91	98					-7					
48 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	7/15/2018	210	221					-11					
49 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	8/15/2018	37	37					0					
50 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	9/15/2018	37	38					-1					
51 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	10/15/2018	37	38					-1					
52 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	11/15/2018	37	38					-1					
53 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	12/15/2018	92	98					-6					
54 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2019	93	97					-4					
55 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2019	95	99					-4					
56 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2019	95	99					-4					
57 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2019	93	97					-4					
58 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2019	93	98					-5					
59 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	6/15/2019	94	98					-4					
60 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	7/15/2019	94	98					-4					
61 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	8/15/2019	94	98					-4					
62 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	9/15/2019	94	98					-4					
63 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	10/15/2019	94	98					-4					
64 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	11/15/2019	94	98					-4					
65 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	12/15/2019	94	98					-4					
66 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	1/15/2020	94	98					-4					
67 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	2/15/2020	94	98					-4					
68 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	3/15/2020	94	98					-4					
69 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	4/15/2020	94	98					-4					
70 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	5/15/2020	94	98					-4					
71 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	6/15/2020	94	98					-4					
72 FHLMC GOLD T49004 3.0%	31287CAE2	X				10/9/2015	7/15/2020	94	98					-4					

Part I, Line 5 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss					
Short Term CG Distributions		0	Capital Gains/Losses		7,785,415		7,387,817		417,798					
		0	Other sales		0		0		0					
Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	7/23/2016	86	72					14
74 FINMA AL8223 POOL 4.5%	3138EN4H9	X				2/24/2015	9/25/2016	466	508					-42
75 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	7/25/2016	463	492					-29
76 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	9/25/2016	52	56					-4
77 FINMA AL8223 POOL 4.5%	3138EN4H9	X				2/24/2015	9/25/2016	739	806					-67
78 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	9/25/2016	82	89					-7
79 FINMA AL8223 POOL 4.5%	3138EN4H9	X				2/24/2015	9/28/2016	30,457	30,212					245
80 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	9/28/2016	2,468	2,446					22
81 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	10/25/2016	834	908					-74
82 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	2/25/2016	207	220					-13
83 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	2/25/2016	23	24					-1
84 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	4/25/2016	211	224					-13
85 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	7/28/2016	13,205	13,004					201
86 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	5/25/2016	207	220					-13
87 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	9/25/2016	259	275					-16
88 FINMA AL8223 POOL 4.5%	3138EN4H9	X				9/28/2015	9/25/2016	92	98					-6
89 FINMA AL8223 POOL 4.5%	3138EN4H9	X				12/16/2014	2/25/2016	777	833					-56
90 US TREASURY NIB 1% 5/31/17	912828VET	X				9/14/2016	8/18/2016	10,052	10,053					-1
91 UNITED STATES TREAS 0.87	912828VH9	X				6/4/2014	3/10/2016	15,000	15,017					-17
92 UNITED STATES TREAS 0.87	912828VH9	X				6/4/2014	3/10/2016	35,042	35,040					2
93 UNITED STATES TREAS 0.87	912828VH9	X				6/16/2014	4/22/2016	5,014	4,997					17
94 UNITED STATES TREAS 0.87	912828VH9	X				12/30/2015	6/7/2016	5,011	4,995					16
95 UNITED STATES TREAS 2.12	912828XG0	X				7/17/2015	12/0/2016	5,138	5,136					2
96 UNITED STATES TREAS 2.12	912828XG0	X				10/5/2015	12/0/2016	5,138	5,135					3
97 VALERO ENERGY CORP NEW	919131Y00	X				2/11/2016	3/17/2016	26,981	22,976					3,985
98 VALERO ENERGY CORP NEW	919131Y00	X				3/2/2016	10/28/2016	22,280	22,158					124
99 VALERO ENERGY CORP NEW	919131Y00	X				2/11/2016	10/28/2016	2,932	2,735					197
100 VALERO ENERGY CORP NEW	919131Y00	X				2/11/2016	11/3/2016	9,212	8,753					459
101 VALERO ENERGY CORP NEW	919131Y00	X				6/22/2016	11/3/2016	14,393	13,250					1,143
102 VERIZON COMMUNICATIONS	92343V104	X				9/29/2015	9/2/2016	24,703	20,488					4,215
103 VERIZON COMMUNICATIONS	92343V104	X				6/18/2015	9/9/2016	15,687	15,792					-125
104 WALGREEN CO 3.1%	931422A22	X				6/10/2014	9/25/2016	20,331	22,103					-1,772
105 WALGREEN CO 3.1%	931422A22	X				5/6/2013	3/17/2016	5,001	5,055					-54
106 WALGREEN CO 3.1%	931422A22	X				5/6/2013	4/5/2016	5,053	5,055					-2
107 WALGREEN CO 3.1%	931422A22	X				6/18/2014	4/5/2016	5,053	4,971					82
108 WELLS FARGO & COMPANY	94974BGM8	X				9/10/2015	7/20/2016	24,233	26,308					-2,075
109 WELLS FARGO & COMPANY	94974BGM8	X				7/12/2016	9/8/2016	5,147	5,188					-41
110 WELLS FARGO BANK NA 1	94988J505	X				5/25/2016	7/12/2016	20,244	19,991					253
111 YUM CHINA HOLDINGS INC	98850P109	X				2/23/2009	12/6/2016	13,141	3,764					9,377
112 YUM CHINA HOLDINGS INC	98850P109	X				3/11/2009	12/6/2016	22,368	5,816					16,552
113 YUM CHINA HOLDINGS INC	98850P109	X				12/20/2013	12/6/2016	1,957	1,461					496
114 YUM CHINA HOLDINGS INC	98850P109	X				4/24/2014	12/6/2016	5,592	4,357					1,235
115 ALLERGAN PLC	G0177J108	X				9/28/2015	12/0/2016	20,841	22,088					-1,247
116 ALLERGAN PLC	G0177J108	X				9/28/2015	12/0/2016	10,710	11,387					-677
117 ALLERGAN PLC	G0177J108	X				9/30/2013	12/0/2016	15,920	7,921					7,999
118 ACCENTURE PLC-CLA	G1151C101	X				8/19/2015	2/11/2016	9,526	10,469					-943
119 FINMA AL8223 POOL 3.0%	31418CAF1	X				7/8/2016	12/25/2016	186	194					-8
120 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/28/2016	12/25/2016	31	32					-1
121 FINMA AL8223 POOL 3.0%	31418CAF1	X				7/8/2016	12/25/2016	208	216					-8
122 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/28/2016	12/25/2016	35	38					-3
123 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/12/2016	10/25/2016	157	163					-6
124 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/12/2016	11/25/2016	168	174					-6
125 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/12/2016	12/25/2016	155	161					-6
126 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/12/2016	12/25/2016	210	218					-8
127 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/31/2016	10/25/2016	201	211					-10
128 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/31/2016	11/25/2016	167	178					-11
129 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/31/2016	12/25/2016	167	178					-11
130 FINMA AL8223 POOL 3.0%	31418CAF1	X				8/31/2016	12/25/2016	367	385					-18
131 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/18/2016	12/25/2016	52	54					-2
132 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/18/2016	12/25/2016	34	35					-1
133 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/13/2016	10/25/2016	287	297					-10
134 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/13/2016	11/25/2016	299	309					-10
135 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/11/2016	11/25/2016	67	69					-2
136 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/18/2016	11/25/2016	33	34					-1
137 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/13/2016	12/25/2016	347	359					-12
138 FINMA AL8223 POOL 3.0%	31418CAF1	X				9/13/2016	12/25/2016	306	318					-12
139 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/11/2016	12/25/2016	77	80					-3
140 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/11/2016	12/25/2016	105	111					-6
141 FINMA AL8223 POOL 3.0%	31418CAF1	X				10/11/2016	12/25/2016	678	739					-61
142 FINMA AL8223 POOL 3.0%	31418CAF1	X				2/24/2015	2/25/2016	75	82					-7
143 FINMA AL8223 POOL 3.0%	31418CAF1	X				2/24/2015	2/25/2016	691	753					-62
144 FINMA AL8223 POOL 3.0%	31418CAF1	X				2/24/2015	2/25/2016	691	753					-62

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss				
Short Term CG Distributions		103,620		Capital Gains/Losses		Other Sales		7,785,415		7,387,817				
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145 FNMA ALB223 POOL 4.5%	313EN4H9	X				9/28/2015	3/25/2016	77	84					-7
146 FNMA ALB223 POOL 4.5%	313EN4H9	X				2/24/2015	4/25/2016	634	691					-57
147 FNMA ALB223 POOL 4.5%	313EN4H9	X				9/28/2015	4/25/2016	70	77					-7
148 FNMA ALB223 POOL 4.5%	313EN4H9	X				2/24/2015	5/25/2016	680	741					-61
149 FNMA ALB223 POOL 4.5%	313EN4H9	X				9/28/2015	5/25/2016	78	82					-4
150 FNMA POOL # MA2705 3.0%	3141BCAF1	X				7/8/2016	11/25/2016	149	155					-6
151 FNMA POOL # MA2705 3.0%	3141BCAF1	X				9/28/2016	4/20/2016	25	26					-1
152 FHLMC GOLD POOL G08871	3128MAJ97	X				3/15/2016	5/15/2016	5,022	5,018					4
153 FHLMC GOLD POOL G08871	3128MAJ97	X				10/9/2015	4/20/2016	39,209	38,922					287
154 FHLMC GOLD POOL G08871	3128MAJ97	X				10/9/2015	5/15/2016	928	928					-39
155 FHLMC GOLD POOL G08871	3128MAJ97	X				12/8/2016	1/15/2017	126	129					-3
156 FHLMC GOLD POOL G08871	3128MAJ97	X				9/28/2016	11/15/2016	132	140					-8
157 FHLMC GOLD POOL G08871	3128MAJ97	X				9/28/2016	12/15/2016	208	221					-13
158 FHLMC GOLD POOL G08871	3128MAJ97	X				9/28/2016	11/5/2017	224	238					-14
159 FHLMC GOLD POOL G08871	3128MAJ97	X				8/17/2013	2/15/2016	347	356					-9
160 FHLMC GOLD POOL G08871	3128MAJ97	X				8/17/2013	2/15/2016	33,660	33,008					652
161 FHLMC GOLD POOL G08871	3128MAJ97	X				11/18/2013	2/15/2016	131	135					-4
162 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	2/15/2016	44	45					-1
163 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	3/3/2016	10,815	10,518					297
164 FHLMC GOLD POOL G08871	3128MAJ97	X				13/20/2014	3/3/2016	3,538	3,459					79
165 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	3/3/2016	3,538	3,485					53
166 FHLMC GOLD POOL G08871	3128MAJ97	X				11/18/2013	3/15/2016	147	152					-5
167 FHLMC GOLD POOL G08871	3128MAJ97	X				13/20/2014	3/15/2016	49	51					-2
168 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	3/15/2016	48	50					-2
169 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	2/15/2016	69	74					-5
170 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	2/15/2016	35	37					-2
171 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	3/15/2016	110	117					-7
172 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	3/15/2016	55	58					-3
173 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	4/15/2016	85	91					-6
174 FHLMC GOLD POOL G08871	3128MAJ97	X				3/25/2014	4/15/2016	43	45					-2
175 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	5/15/2016	68	72					-4
176 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	5/15/2016	34	36					-2
177 FHLMC GOLD POOL G08871	3128MAJ97	X				2/4/2014	5/15/2016	114	121					-7
178 FHLMC GOLD POOL G08871	3128MAJ97	X				10/18/2016	12/5/2017	39	40					-1
179 FHLMC GOLD POOL G08871	3128MAJ97	X				2/19/2015	9/9/2016	5,001	4,977					24
180 U S TREASURY NOTE 0.75%	31418CCM4	X				12/8/2016	1/25/2017	39	39					0
181 U S TREASURY NOTE 0.75%	31418CCM4	X				12/9/2016	1/25/2017	114	120					-6
182 U S TREASURY NOTE 0.75%	31418CCM4	X				4/10/2013	10/28/2016	15,146	15,490					-344
183 U S TREASURY NOTE 0.75%	31418CCM4	X				4/10/2013	10/31/2016	10,097	10,327					-230
184 U S TREASURY NOTE 0.75%	31418CCM4	X				8/24/2014	2/20/2016	353	366					-13
185 U S TREASURY NOTE 0.75%	31418CCM4	X				8/24/2014	2/20/2016	30	32					-2
186 U S TREASURY NOTE 0.75%	31418CCM4	X				8/24/2014	2/20/2016	286	289					-3
187 U S TREASURY NOTE 0.75%	31418CCM4	X				8/24/2014	2/20/2016	10,003	9,980					23
188 U S TREASURY NOTE 0.75%	31418CCM4	X				10/15/2015	3/20/2016	38	39					-1
189 U S TREASURY NOTE 0.75%	31418CCM4	X				8/24/2014	4/20/2016	349	352					-3
190 U S TREASURY NOTE 0.75%	31418CCM4	X				10/15/2015	4/20/2016	50	51					-1
191 U S TREASURY NOTE 0.75%	31418CCM4	X				9/16/2015	8/30/2016	5,003	4,989					14
192 U S TREASURY NOTE 0.75%	31418CCM4	X				9/16/2015	8/30/2016	10,004	9,978					26
193 U S TREASURY NOTE 0.75%	31418CCM4	X				8/14/2016	8/14/2016	15,076	15,078					-2
194 U S TREASURY NOTE 0.75%	31418CCM4	X				8/14/2016	8/14/2016	5,029	5,028					1
195 U S TREASURY NOTE 0.75%	31418CCM4	X				8/14/2016	8/14/2016	5,021	5,028					-7
196 U S TREASURY NOTE 0.75%	31418CCM4	X				9/25/2015	12/20/2016	37	38					-1
197 U S TREASURY NOTE 0.75%	31418CCM4	X				4/9/2015	2/20/2016	228	235					-7
198 U S TREASURY NOTE 0.75%	31418CCM4	X				11/7/2014	2/11/2016	2,615	2,337					278
199 U S TREASURY NOTE 0.75%	31418CCM4	X				11/7/2014	4/20/2016	37,821	37,288					533
200 U S TREASURY NOTE 0.75%	31418CCM4	X				11/7/2014	4/20/2016	24,978	24,942					36
201 U S TREASURY NOTE 0.75%	31418CCM4	X				11/6/2014	10/7/2016	4,066	2,912					1,154
202 U S TREASURY NOTE 0.75%	31418CCM4	X				10/28/2016	1/20/2016	25,886	19,223					6,663
203 U S TREASURY NOTE 0.75%	31418CCM4	X				10/28/2016	12/8/2016	8,381	5,924					2,457
204 U S TREASURY NOTE 0.75%	31418CCM4	X				8/23/2016	12/5/2016	16,421	9,855					6,566
205 U S TREASURY NOTE 0.75%	31418CCM4	X				7/21/2016	9/2/2016	23,359	19,426					3,933
206 U S TREASURY NOTE 0.75%	31418CCM4	X				7/21/2016	10/13/2016	4,630	4,619					11
207 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	45,470	44,665					805
208 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	808	820					-12
209 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	71	73					-2
210 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	4,630	4,619					11
211 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	45,470	44,665					805
212 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	808	820					-12
213 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	71	73					-2
214 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	4,630	4,619					11
215 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	45,470	44,665					805
216 U S TREASURY NOTE 0.75%	31418CCM4	X				7/12/2016	10/13/2016	808	820					-12

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss					
Short Term CG Distributions		103,820	Capital Gains/Losses		7,795,415		7,387,817		417,798					
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
217 FNMA BC1168	4.0% 6/1	X				8/31/2016	10/25/2016	724	779					-55
218 FNMA BC1168	4.0% 6/1	X				8/31/2016	11/25/2016	448	482					-34
219 FNMA BC1168	4.0% 6/1	X				8/31/2016	12/9/2016	38,854	39,757					-903
220 FNMA BC1168	4.0% 6/1	X				8/31/2016	12/25/2016	775	833					-58
221 FNMA POOL # BC2981	3.0% 3/14	X				3/3/2016	4/25/2016	148	149					-3
222 FNMA POOL # BC2981	3.0% 3/14	X				3/3/2016	4/25/2016	235	240					-5
223 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	1,096	1,115					-19
224 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	23	24					-1
225 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	842	853					-11
226 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	20	21					-1
227 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	959	976					-17
228 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	492	500					-8
229 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	532	542					-10
230 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	576	587					-11
231 FNMA MA1897	3.5% 5/1	X				5/23/2014	5/25/2016	15	15					0
232 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	138	146					-8
233 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	100	107					-7
234 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	363	388					-25
235 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	91	97					-6
236 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	153	164					-11
237 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	38	41					-3
238 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	317	338					-21
239 FNMA POOL MA2484	4.0% 3/14	X				3/3/2016	4/25/2016	79	85					-6
240 FNMA POOL MA2705	3.0% 3/14	X				7/8/2016	9/25/2016	147	153					-6
241 FNMA POOL MA2705	3.0% 3/14	X				7/8/2016	9/25/2016	139	145					-6
242 FNMA POOL MA2705	3.0% 3/14	X				9/28/2016	10/25/2016	23	24					-1
243 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	9/12/2016	32	35					-3
244 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	7/12/2016	9	10					-1
245 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	8/12/2016	32	35					-3
246 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	10/13/2016	38	39					-3
247 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	11/13/2016	33	35					-2
248 UBS COM MTG TR 2012-C13	80289GAC5	X				6/14/2016	12/13/2016	36	39					-3
249 UNION PAC CORP	907818108	X				3/24/2009	1/22/2016	51,861	15,914					35,947
250 UNION PAC CORP	907818108	X				8/15/2014	1/22/2016	7,827	3,448					-559
251 UNION PAC CORP	907818108	X				8/3/2015	1/22/2016	693	11,201					-3,574
252 UNION PAC CORP	907818108	X				2/4/2016	10/27/2016	1,100	836					-270
253 NXP SEMICONDUCTORS N V	N8598X109	X				2/4/2016	10/28/2016	49,828	38,017					264
254 NXP SEMICONDUCTORS N V	N8598X109	X				2/4/2016	11/2/2016	49,685	38,017					11,811
255 NXP SEMICONDUCTORS N V	N8598X109	X				2/4/2016	11/11/2016	21,388	16,652					4,734
256 NXP SEMICONDUCTORS N V	N8598X109	X				2/11/2016	11/11/2016	35,131	24,239					11,892
257 NXP SEMICONDUCTORS N V	N8598X109	X				3/17/2016	9/2/2016	16,164	8,938					7,226
258 BROADCOM LTD	Y09827109	X				3/25/2014	10/15/2016	86	91					-5
259 FLMC GOLD POOL C91738	3128P74X8	X				2/4/2014	11/15/2016	103	109					-6
260 FLMC GOLD POOL C91738	3128P74X8	X				3/25/2014	11/15/2016	51	54					-3
261 FLMC GOLD POOL C91738	3128P74X8	X				2/4/2014	12/15/2016	138	147					-9
262 FLMC GOLD POOL C91738	3128P74X8	X				3/25/2014	12/15/2016	69	73					-4
263 FLMC GOLD POOL C91738	3128P74X8	X				2/4/2014	11/5/2017	98	105					-7
264 FLMC GOLD POOL C91738	3128P74X8	X				3/25/2014	11/5/2017	50	52					-2
265 FLMC GOLD POOL C91738	3128P74X8	X				2/4/2014	2/15/2016	91	97					-6
266 FLMC GOLD POOL C91339	3128P7PV3	X				2/4/2014	3/15/2016	87	93					-6
267 FLMC GOLD POOL C91339	3128P7PV3	X				2/4/2014	4/15/2016	128	138					-10
268 FLMC GOLD POOL C91339	3128P7PV3	X				2/4/2014	5/15/2016	155	166					-11
269 FLMC GOLD POOL C91339	3128P7PV3	X				8/25/2015	10/20/2016	80	84					-4
270 GNMA I MA3873	3.0% 8/1	X				8/25/2015	10/20/2016	80	84					-4
271 GNMA I MA3873	3.0% 8/1	X				8/25/2015	10/20/2016	20	21					-1
272 GNMA I MA3873	3.0% 8/1	X				8/25/2015	11/20/2016	123	128					-5
273 GNMA I MA3873	3.0% 8/1	X				8/25/2015	11/20/2016	123	128					-5
274 GNMA I MA3873	3.0% 8/1	X				8/25/2015	11/20/2016	123	128					-5
275 GNMA I MA3873	3.0% 8/1	X				9/22/2016	11/20/2016	31	32					-1
276 GNMA I MA3873	3.0% 8/1	X				8/25/2016	12/20/2016	210	220					-10
277 GNMA I MA3873	3.0% 8/1	X				8/25/2016	12/20/2016	210	220					-10
278 GNMA I MA3873	3.0% 8/1	X				9/22/2016	12/20/2016	53	55					-2
279 GNMA I MA3873	3.0% 8/1	X				11/28/2016	12/20/2016	53	54					-1
280 GNMA I MA3873	3.0% 8/1	X				8/25/2016	12/20/2017	159	167					-8
281 GNMA I MA3873	3.0% 8/1	X				8/25/2016	12/20/2017	159	167					-8
282 GNMA I MA3873	3.0% 8/1	X				9/22/2016	12/20/2017	40	42					-2
283 GNMA I MA3873	3.0% 8/1	X				11/15/2016	12/20/2017	41	41					0
284 GNMA POOL MA2484	4.0% 3/14	X				5/23/2016	11/20/2016	239	255					-16
285 FNMA POOL MA2484	4.0% 3/14	X				5/23/2016	9/13/2016	4,978	4,981					3
286 PHILLIPS 66	718548104	X				12/11/2014	2/11/2016	18,898	17,506					1,392
287 PIONEER NATURAL RESOUR	723781707	X				2/19/2015	5/3/2016	22,302	22,428					-126
288 PIONEER NATURAL RESOUR	723781707	X				2/19/2015	8/25/2016	180	180					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss		
Short Term CG Distributions		0	Other sales		7,785,415		7,387,617		0		417,798		
Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
289 PIONEER NATURAL RESOUR	723787107	X			2/17/2015	8/25/2016	24,875	21,698				0	3,177
290 SALESFORCE COM INC	794661302	X			3/30/2016	7/12/2016	822	741				0	81
291 SALESFORCE COM INC	794661302	X			3/31/2016	7/12/2016	13,148	11,816				0	1,332
292 SALESFORCE COM INC	794661302	X			8/19/2016	10/13/2016	26,165	28,628				0	-2,463
293 SALESFORCE COM INC	794661302	X			3/31/2016	10/13/2016	2,122	2,215				0	-83
294 SALESFORCE COM INC	794661302	X			3/31/2016	10/14/2016	4,320	4,431				0	-111
295 SALESFORCE COM INC	794661302	X			6/30/2016	10/14/2016	20,160	6,078				0	14,082
296 SALESFORCE COM INC	794661302	X			6/30/2016	10/18/2016	29,082	8,683				0	20,399
297 SCHLUMBERGER LTD	806857108	X			1/22/2013	8/23/2016	3,435	3,653				0	-218
298 SCHLUMBERGER LTD	806857108	X			5/28/2015	8/23/2016	15,541	17,324				0	-1,783
299 SCHLUMBERGER LTD	806857108	X			7/22/2015	8/23/2016	5,562	5,749				0	-187
300 SEMPRA ENERGY	816851109	X			5/6/2015	1/6/2016	22,161	24,801				0	-2,640
301 SEMPRA ENERGY	816851109	X			5/7/2015	1/6/2016	9,603	10,810				0	-1,207
302 SEMPRA ENERGY	816851109	X			6/29/2015	1/6/2016	10,434	11,255				0	-821
303 SEMPRA ENERGY	816851109	X			6/5/2008	1/7/2016	60,664	38,919				0	20,745
304 SEMPRA ENERGY	816851109	X			6/29/2015	1/7/2016	20,837	23,606				0	-2,769
305 SERVICE NOW INC	81782P102	X			8/15/2013	3/17/2016	9,128	5,922				0	3,206
306 SERVICE NOW INC	81782P102	X			8/14/2013	3/17/2016	18,256	12,920				0	5,336
307 SERVICE NOW INC	81782P102	X			9/14/2013	3/30/2016	23,306	16,365				0	6,941
308 SERVICE NOW INC	81782P102	X			9/9/2013	3/31/2016	10,426	7,263				0	3,163
309 SERVICE NOW INC	81782P102	X			8/9/2013	3/31/2016	33,416	23,487				0	9,919
310 SIMON PROPERTY GROUP	828607C07	X			4/29/2013	8/2/2016	22,607	24,367				0	-1,760
311 SIMON PROPERTY GROUP	845487AL3	X			4/29/2013	8/11/2016	16,953	18,275				0	-1,322
312 SOUTHWESTERN ENERGY	845487AL3	X			3/20/2015	2/20/2016	3,138	5,076				0	-1,938
313 SOUTHWESTERN ENERGY	845487AL3	X			3/20/2015	2/20/2016	3,144	5,076				0	-1,932
314 STARBUCKS CORP	855244AH2	X			8/1/2015	1/20/2016	5,390	4,889				0	501
315 UNION PAC CORP	907816108	X			8/4/2015	1/22/2016	33,973	47,127				0	-13,154
316 U S TREASURY BOND	912810RM2	X			1/13/2015	1/13/2016	20,473	19,984				0	489
317 U S TREASURY BOND	912810RM2	X			9/9/2015	1/15/2016	15,548	14,879				0	669
318 U S TREASURY BOND	912810RM2	X			9/9/2015	2/10/2016	11,023	9,920				0	1,103
319 U S TREASURY BOND	912810RM2	X			9/16/2015	2/10/2016	5,511	4,911				0	600
320 U S TREASURY BOND	912810RM2	X			7/10/2015	10/19/2016	11,002	9,623				0	1,379
321 U S TREASURY BOND	912810RM2	X			9/16/2015	10/19/2016	11,002	9,623				0	1,379
322 U S TREASURY NOTE	912828A27	X			10/27/2015	10/31/2016	9,688	9,673				0	15
323 UNITED STATES TREAS	912828D01	X			10/13/2016	10/31/2016	14,774	14,841				0	-67
324 UNITED STATES TREAS	912828A42	X			1/28/2014	2/4/2016	20,662	19,766				0	896
325 UNITED STATES TREAS	912828A42	X			1/19/2015	2/4/2016	10,331	10,093				0	238
326 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	6/15/2016	122	130				0	-8
327 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	7/15/2016	126	135				0	-9
328 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	8/15/2016	157	168				0	-11
329 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	9/15/2016	169	181				0	-12
330 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	10/15/2016	141	151				0	-10
331 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	11/15/2016	141	151				0	-10
332 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	12/15/2016	128	136				0	-8
333 FHLWC GOLD POOL C91339	3128P7P3	X			2/4/2014	1/15/2017	141	151				0	-10
334 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	2/15/2016	342	365				0	-23
335 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	3/15/2016	295	315				0	-20
336 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	4/15/2016	538	575				0	-37
337 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	5/15/2016	695	711				0	-16
338 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	6/15/2016	847	908				0	-61
339 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	7/15/2016	146	156				0	-10
340 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	8/15/2016	287	308				0	-21
341 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	9/15/2016	299	319				0	-20
342 GNMA POOL II MA3874	36178SJT5	X			10/3/2016	12/30/2016	337	358				0	-21
343 GNMA POOL II MA3874	36178SJT5	X			10/3/2016	1/20/2017	286	304				0	-18
344 GNMA POOL II MA3875	36178SJT5	X			10/8/2016	1/20/2016	1,842	1,880				0	-38
345 GNMA POOL II MA3875	36178SJT5	X			10/8/2016	1/22/2016	60,267	61,102				0	-835
346 GNMA POOL II MA4070	361795QX8	X			12/7/2016	1/20/2017	164	199				0	-35
347 GNMA POOL II MA4070	361795QX8	X			1/22/2016	1/20/2017	2,019	2,145				0	-126
348 GNMA POOL II MA4129	361795SS7	X			1/27/2016	1/20/2017	78	84				0	-6
349 GNMA POOL II MA4129	361795SS7	X			8/25/2015	1/15/2016	1,041	1,113				0	-72
350 GNMA POOL II MA4129	361795SS7	X			8/25/2015	2/15/2016	400	428				0	-28
351 GNMA POOL II MA4129	361795SS7	X			8/25/2015	2/24/2016	28,806	28,878				0	-72
352 GNMA POOL II MA4129	361795SS7	X			8/25/2015	3/15/2016	947	1,012				0	-65
353 GNMA POOL II MA4129	361795SS7	X			8/1/2015	1/20/2016	5,390	4,980				0	410
354 STARBUCKS CORP	855244AH2	X			8/1/2015	1/27/2016	10,526	9,980				0	546
355 STARBUCKS CORP	855244AH2	X			3/20/2013	2/23/2016	83,997	89,433				0	-5,436
356 STARBUCKS CORP	855244AH2	X			7/8/2013	12/30/2016	129,448	135,157				0	-5,709
357 STARBUCKS CORP	855244AH2	X			8/27/2013	12/30/2016	24,486	24,840				0	-354
358 STARBUCKS CORP	855244AH2	X			1/20/2013	12/30/2016	47,082	48,693				0	-1,611
359 STARBUCKS CORP	855244AH2	X			7/31/2014	12/30/2016	97,534	104,743				0	-7,209
360 FHLWC GOLD POOL J15724	3128PVL00	X			10/23/2013	11/15/2016	356	381				0	-25

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss					
Short Term CG Distributions		103,820	Capital Gains/Losses		Other sales		7,785,415		7,367,817					
		0												
	Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
433	FNMA MA2653 4.0% 6/	31418B597	X			7/28/2016	11/25/2016	154	165					-11
434	FNMA MA2653 4.0% 6/	31418B597	X			7/28/2016	12/25/2016	81	87					-6
435	FNMA MA2653 4.0% 6/	3140E6W17	X			8/25/2016	8/25/2016	580	593					-13
436	BIOGEN INC	09062X103	X			8/4/2016	12/01/2016	11,823	14,520					-2,697
437	BIOGEN INC	09062X103	X			8/4/2016	12/01/2016	793	970					-177
438	BIOGEN INC	09062X103	X			2/4/2016	12/19/2016	64,168	69,208					-5,040
439	BIOGEN INC	09062X103	X			8/3/2015	12/19/2016	3,993	4,528					-535
440	BLACKROCK INC 6.25%	09247XAC5	X			4/25/2013	10/5/2016	15,708	18,250					-2,542
441	BLACKROCK INC 6.25%	09247XAC5	X			5/9/2013	11/4/2016	16,298	18,250					-1,952
442	BOSTON PROPERTIES LP 4	10112RA53	X			8/25/2015	5/26/2016	57,231	60,988					-3,757
443	CVS HEALTH CORPORATION	126501000	X			8/25/2015	8/11/2016	26,979	28,736					-1,757
444	CVS HEALTH CORPORATION	126501000	X			9/22/2015	8/11/2016	26,203	28,968					-2,765
445	CVS HEALTH CORPORATION	126501000	X			12/2/2014	10/4/2016	21,902	21,815					87
446	CAPITAL ONE FINANCIAL CO	14040H105	X			12/22/2014	10/4/2016	2,908	2,908					12
447	CAPITAL ONE FINANCIAL CO	14040H105	X			7/19/2013	10/5/2016	94,768	90,708					4,060
448	CAPITAL ONE FINANCIAL CO	14040H105	X			12/2/2014	10/5/2016	13,842	13,814					28
449	CAPITAL ONE FINANCIAL CO	14040H105	X			8/4/2014	9/14/2016	50,477	47,508					2,969
450	CARDINAL HEALTH INC	14149Y108	X			6/5/2015	9/14/2016	30,136	28,474					1,662
451	CARDINAL HEALTH INC	14149Y108	X			2/19/2015	4/18/2016	14,953	14,471					482
452	CHEESECAKE FACTORY INC	163072101	X			12/15/2014	4/19/2016	504	487					17
453	CHEESECAKE FACTORY INC	163072101	X			12/17/2014	4/19/2016	6,555	6,338					217
454	CHEESECAKE FACTORY INC	163072101	X			2/19/2015	4/19/2016	8,976	8,821					155
455	CHEESECAKE FACTORY INC	163072101	X			12/16/2014	3/10/2016	33,535	33,871					-336
456	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	1,142	1,224					-82
457	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	283	304					-21
458	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	180	193					-13
459	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	252	270					-18
460	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	230	247					-17
461	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	192	206					-14
462	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	251	270					-19
463	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	247	261					-14
464	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	186	199					-13
465	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	177	190					-13
466	FNMA MA2653 4.0%	3138ENZ05	X			12/16/2014	3/25/2016	180	193					-13
467	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	203	210					-7
468	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2015	12/5/2016	51	53					-2
469	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	69	71					-2
470	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	405	409					-4
471	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	58	60					-2
472	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	271	273					-2
473	FNMA MA2653 4.0%	3138ENZ05	X			6/9/2015	12/5/2016	158	164					-6
474	FNMA MA2653 4.0%	3138ENZ05	X			4/21/2016	9/25/2016	364	377					-13
475	FNMA MA2653 4.0%	3138ENZ05	X			4/21/2016	9/25/2016	383	396					-13
476	FNMA MA2653 4.0%	3138ENZ05	X			4/21/2016	9/25/2016	378	391					-13
477	FNMA MA2653 4.0%	3138ENZ05	X			5/11/2015	2/20/2016	98	100					-2
478	FNMA MA2653 4.0%	3138ENZ05	X			9/25/2015	2/20/2016	33	33					0
479	FNMA MA2653 4.0%	3138ENZ05	X			1/13/2016	2/20/2016	33	33					0
480	FNMA MA2653 4.0%	3138ENZ05	X			4/9/2015	3/3/2016	34,008	34,001					7
481	FNMA MA2653 4.0%	3138ENZ05	X			5/11/2015	3/3/2016	4,858	4,823					35
482	FNMA MA2653 4.0%	3138ENZ05	X			4/9/2015	3/20/2016	242	250					-8
483	FNMA MA2653 4.0%	3138ENZ05	X			5/11/2015	3/20/2016	104	108					-4
484	FNMA MA2653 4.0%	3138ENZ05	X			9/25/2015	3/20/2016	35	35					0
485	FNMA MA2653 4.0%	3138ENZ05	X			1/13/2016	3/20/2016	35	35					0
486	FNMA MA2653 4.0%	3138ENZ05	X			5/11/2015	4/20/2016	117	120					-3
487	FNMA MA2653 4.0%	3138ENZ05	X			9/25/2015	4/20/2016	59	60					-1
488	FNMA MA2653 4.0%	3138ENZ05	X			1/13/2016	4/20/2016	59	60					-1
489	FNMA MA2653 4.0%	3138ENZ05	X			1/13/2016	4/20/2016	40	44					-4
490	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	135	145					-10
491	FNMA MA2653 4.0%	3138ENZ05	X			11/2/2016	12/5/2017	68	73					-5
492	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	126	131					-5
493	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	167	160					7
494	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	159	166					-7
495	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	170	177					-7
496	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	243	253					-10
497	FNMA MA2653 4.0%	3138ENZ05	X			7/28/2016	12/5/2017	187	195					-8
498	FNMA MA2653 4.0%	3138ENZ05	X			12/17/2016	3/1/2017	31	31					0
499	FNMA MA2653 4.0%	3138ENZ05	X			4/11/2016	2/25/2016	39	42					-3
500	FNMA MA2653 4.0%	3138ENZ05	X			7/30/2014	2/25/2016	39	42					-3
501	FNMA MA2653 4.0%	3138ENZ05	X			5/15/2015	2/25/2016	98	104					-6
502	FNMA MA2653 4.0%	3138ENZ05	X			4/12/2014	3/25/2016	98	104					-6
503	FNMA MA2653 4.0%	3138ENZ05	X			7/30/2014	3/25/2016	98	104					-6
504	FNMA MA2653 4.0%	3138ENZ05	X			7/30/2014	3/25/2016	98	104					-6

Long Term CG Distributions										Amount				
Short Term CG Distributions										103,620	0			
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
649 FHLMC GOLD A92821	5.0%	X	312941D20			4/15/2013	10/15/2016	500	546					-46
650 FHLMC GOLD A82821	5.0%	X	312941D20			6/27/2013	10/15/2016	45	45					-3
651 FHLMC GOLD A92821	5.0%	X	312941D20			4/15/2013	11/15/2016	168	163					-15
652 FHLMC GOLD A92821	5.0%	X	312941D20			6/27/2013	11/15/2016	14	15					-1
653 FHLMC GOLD A92821	5.0%	X	312941D20			4/15/2013	12/15/2016	424	464					-40
654 FHLMC GOLD A92821	5.0%	X	312941D20			6/27/2013	12/15/2016	35	38					-3
655 INTEL CORP		X	458140T00			10/7/2016	11/1/2016	24,112	26,683					-2,571
656 INTEL CORP		X	458140T00			10/7/2016	11/16/2016	2,431	2,988					-557
657 INTEL CORP		X	458140T00			6/23/2016	11/16/2016	27,068	29,726					-2,658
658 INTERCONTINENTAL EXCH	45868F 104	X	526			6/9/2014	7/20/2016	526	381					-145
659 INTERCONTINENTAL EXCH	45868F 104	X	526			7/19/2013	7/20/2016	48,109	32,623					15,486
660 JOHNSON & JOHNSON	478160104	X	8/4/2015			8/4/2015	1/20/2016	33,462	35,073					-1,611
661 JOHNSON & JOHNSON	478160104	X	8/4/2015			8/4/2015	5/19/2016	14,151	12,628					1,525
662 JOHNSON & JOHNSON	478160104	X	8/3/2015			8/3/2015	5/19/2016	9,771	8,670					1,101
663 JOHNSON & JOHNSON	478160104	X	8/3/2015			8/3/2015	10/6/2016	17,425	14,650					2,775
664 JOHNSON & JOHNSON	478160104	X	7/9/2015			7/9/2015	10/6/2016	2,015	1,663					352
665 KOHLS CORP	500255104	X	11/7/2016			11/7/2016	5/9/2016	50,855	62,121					-11,266
666 ABVIE INC	00287Y 109	X	7/18/2014			7/18/2014	1/13/2016	77,372	82,442					-5,070
667 FNMA 2014-2B ND	3.0%	X	3138A1K47			4/11/2014	12/25/2016	370	4527					-4,157
668 FNMA POOL # 253S84	8.0%	X	31371LTV1			4/9/2013	2/24/2016	4,710	5					4,705
669 FNMA POOL # 253S84	8.0%	X	31371LTV1			7/12/2013	2/24/2016	237	38					-207
670 FNMA POOL # 253S84	8.0%	X	31371LTV1			4/9/2013	2/25/2016	32	5					27
671 FNMA POOL # 253S84	8.0%	X	31371LTV1			7/12/2013	2/25/2016	51	55					-4
672 FNMA POOL # 555S28	8.0%	X	31385XD95			4/9/2013	2/25/2016	394	428					-34
673 FNMA POOL # 555S28	8.0%	X	31385XD95			8/29/2013	3/25/2016	5	5					0
674 FNMA POOL # 555S28	8.0%	X	31385XD95			8/29/2013	3/25/2016	394	428					-34
675 FNMA POOL # 555S28	8.0%	X	31385XD95			8/29/2013	3/25/2016	5	5					0
676 FNMA POOL # AH4794 5% 2/1		X	3138ABKG4			4/9/2013	3/25/2016	394	428					-34
677 FNMA POOL # AH4794 5% 2/1		X	3138ABKG4			8/29/2013	3/25/2016	5	5					0
678 FNMA POOL # AH4794 5% 2/1		X	3138ABKG4			8/29/2013	3/25/2016	394	428					-34
679 FNMA POOL # AH4794 5% 2/1		X	3138ABKG4			8/29/2013	3/25/2016	5	5					

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss					
Short Term CG Distributions		103,620	Capital Gains/Losses		7,785,415		7,387,817		417,798					
		0	Other sales		0		0		0					
Description	CUSIP #	Check "x" to include in Part IV	Purchaser	Check "x" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
937 FNMA POOL # MA2485 3.5%	31418BX24	X				2/2/2016	3/25/2016	127	133					-6
938 FNMA POOL # MA3597 3.5%	38179RTJ2	X				4/19/2016	9/20/2016	473	500					-27
939 FNMA POOL # MA3597 3.5%	38179RTJ2	X				4/19/2016	10/20/2016	29,394	29,397					-3
940 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2016	126	135					-9
941 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2016	249	267					-18
942 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2016	83	89					-6
943 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2016	312	336					-24
944 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2016	810	883					-73
945 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2016	54	58					-4
946 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2016	378	408					-30
947 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	12/20/2016	657	716					-59
948 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	1/20/2017	44	47					-3
949 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	2/20/2017	307	331					-24
950 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	3/20/2017	853	930					-77
951 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	4/20/2017	57	61					-4
952 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2017	398	430					-32
953 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2017	721	786					-65
954 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2017	48	51					-3
955 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2017	336	363					-27
956 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2017	572	624					-52
957 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2017	38	41					-3
958 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2017	267	288					-21
959 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	12/20/2017	225	243					-18
960 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	1/20/2018	44	48					-4
961 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	2/20/2018	83	85					-2
962 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	3/20/2018	84	87					-3
963 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	4/20/2018	650	671					-21
964 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2018	49,185	48,181					1,005
965 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2018	805	832					-27
966 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2018	346	353					-7
967 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2018	235	239					-4
968 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2018	222	227					-5
969 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2018	282	288					-6
970 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2018	98	107					-9
971 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	12/20/2018	140	150					-10
972 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	1/20/2019	140	152					-12
973 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	2/20/2019	153	160					-7
974 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	3/20/2019	122	131					-9
975 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	4/20/2019	304	319					-15
976 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2019	87	91					-4
977 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2019	305	319					-14
978 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2019	87	91					-4
979 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2019	239	249					-11
980 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2019	68	71					-3
981 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2019	385	404					-19
982 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2019	110	116					-6
983 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	12/20/2019	9,882	9,833					49
984 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	1/20/2020	33,571	33,385					186
985 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	2/20/2020	590	618					-28
986 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	3/20/2020	169	177					-8
987 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	4/20/2020	962	1,008					-46
988 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2020	74	77					-3
989 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2020	176	185					-9
990 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2020	203	213					-10
991 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2020	215	226					-11
992 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2020	579	622					-43
993 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2020	193	207					-14
994 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2020	15,687	15,772					-85
995 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	12/20/2020	45,921	46,149					-228
996 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	1/20/2021	1,058	1,146					-88
997 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	2/20/2021	261	270					-9
998 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	3/20/2021	112	115					-3
999 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	4/20/2021	112	121					-9
1000 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	5/20/2021	610	643					-33
1001 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	6/20/2021	754	796					-42
1002 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	7/20/2021	427	451					-24
1003 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	8/20/2021	379	391					-12
1004 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	9/20/2021	271	284					-13
1005 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	10/20/2021	1,084	1,136					-52
1006 FNMA POOL # MA3598 4.0%	38179RTK3	X				4/19/2016	11/20/2021	54,413	54,215					198

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss				
Short Term CG Distributions		103,620		Capital Gains/Losses Other sales		7,785,415		7,367,817		417,798				
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1001 U.S. TREASURY NOTE 0.75%	912828TW00	X				4/29/2015	8/1/2016	5,007	4,956					11
1002 U.S. TREASURY NOTE 0.75%	912828TW00	X				3/24/2015	8/1/2016	5,007	4,992					15
1003 U.S. TREASURY NOTE 0.75%	912828TW00	X				3/24/2015	8/30/2016	5,003	4,992					11
1004 U.S. TREASURY NOTE 2.25%	912828G338	X				2/3/2016	5/3/2016	5,213	5,193					20
1005 U.S. TREASURY INFLATIO 0.1%	912828K33	X				8/13/2015	3/17/2016	15,344	15,075					269
1006 U.S. TREASURY INFLATIO 0.1%	912828K33	X				9/28/2015	3/17/2016	15	14					1
1007 UNITED STATES TREASURY 0.8%	912828M72	X				2/10/2016	5/11/2016	5,014	5,016					-2
1008 UNITED STATES TREASURY 0.8%	912828M72	X				2/10/2016	8/18/2016	5,014	5,016					-2
1009 UNITED STATES TREASURY 0.8%	912828M72	X				1/15/2016	8/31/2016	15,031	15,009					22
1010 UNITED STATES TREASURY 0.8%	912828M72	X				2/10/2016	8/31/2016	5,010	5,016					-6
1011 UNITED STATES TREASURY 0.8%	912828M72	X				8/14/2016	8/31/2016	10,021	10,029					-8
1012 U.S. TREAS INFL INDOX 1.375%	912828MF4	X				4/15/2016	3/4/2016	17,366	19,452					-2,086
1013 UNITED STATES TREAS 1.1%	912828P37	X				4/15/2016	4/26/2016	4,941	4,953					-12
1014 U.S. TREASURY NOTE 1.37%	912828RH5	X				7/8/2014	1/13/2016	10,073	9,954					119
1015 U.S. TREASURY NOTE 1.37%	912828RH5	X				7/8/2014	3/9/2016	30,295	29,863					432
1016 U.S. TREASURY NOTE 1.37%	912828RH5	X				7/8/2014	3/15/2016	5,042	4,977					65
1017 U.S. TREASURY NOTE 1.25%	912828S78	X				2/12/2015	2/4/2016	15,202	14,974					226
1018 U.S. TREASURY NOTE 1.25%	912828S78	X				2/12/2015	2/11/2016	5,048	4,974					74
1019 U.S. TREASURY NOTE 1.25%	912828S78	X				2/12/2015	9/22/2016	5,048	4,974					74
1020 U.S. TREASURY NOTE 1.25%	912828S78	X				2/12/2015	10/11/2016	5,034	4,974					60
1021 U.S. TREASURY NOTE 1.25%	912828S78	X				1/8/2014	10/11/2016	10,069	9,832					237
1022 U.S. TREASURY NOTE 1.25%	912828S78	X				1/8/2014	11/8/2016	5,039	4,917					122
1023 U.S. TREASURY NOTE 1.25%	912828S78	X				1/8/2014	11/14/2016	5,015	4,917					98
1024 U.S. TREASURY NOTE 0.625%	912828TS9	X				1/30/2015	3/30/2016	9,984	9,979					5
1025 U.S. TREASURY NOTE 0.625%	912828TS9	X				10/22/2015	3/30/2016	4,992	5,003					-11
1026 U.S. TREASURY NOTE 0.625%	912828TS9	X				3/4/2016	3/30/2016	9,984	9,965					18
1027 U.S. TREASURY NOTE 0.625%	912828TS9	X				3/4/2016	4/13/2016	4,993	4,983					10
1028 U.S. TREASURY NOTE 0.625%	912828TS9	X				12/8/2015	5/11/2016	4,997	4,977					20
1029 U.S. TREASURY NOTE 0.625%	912828TS9	X				5/28/2014	5/18/2016	9,980	9,923					57
1030 U.S. TREASURY NOTE 0.625%	912828TS9	X				5/28/2014	5/24/2016	4,998	4,962					28

Part I, Line 18 (990-PF) - Taxes

		22,370	8,691	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	8,691	8,691		
2	PY EXCISE TAX DUE	2,599			
3	ESTIMATED EXCISE PAYMENTS	11,080			

Part I, Line 23 (990-PF) - Other Expenses

		5,832	5,082	0	750
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	NY STATE FILING FEE	750			750
2	INVESTMENT EXPENSE - INTEREST	5,082	5,082		

Part II, Line 13 (990-PF) - Investments - Other

		20,198,964		20,620,199		23,385,436	
Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year		
1			0				
2	CELANESE CORP DEL		0	55,053	125,197		
3	CELGENE CORP 2.875% 8/15/20		0	14,979	15,172		
4	CELGENE CORP		0	86,122	91,327		
5	CHEVRONTXACO CORP		0	63,590	111,226		
6	CITIGROUP INC 4.45% 9/29/27		0	47,474	45,719		
7	CITIZENS BANK NA/PROVIDENCE RI		0	20,038	19,878		
8	CITIZENS FINANCIAL GROUP INC		0	104,950	171,737		
9	COMCAST CORP 3.125% 7/15/22		0	31,428	30,627		
10	COMCAST CORP NEW CL A		0	33,196	77,336		
11	CONSTELLATION BRANDS INC		0	86,355	103,791		
12	CONSUMERS ENERGY CO 3.25% 8/15/4		0	9,922	8,828		
13	RABOBANK NEDERLAND		0	38,394	37,612		
14	COSTCO WHSL CORP NEW		0	73,808	109,355		
15	CREDIT SUISSE GRP FU 3.125% 12/10/20		0	25,256	24,922		
16	CUBESMART LP 4.8% 7/15/22		0	22,117	21,533		
17	DFA EMERG MKTS CORE EQUITY		0	410,041	380,176		
18	DEUTSCHE BK AG LONDON		0	20,432	20,473		
19	DISNEY (WALT) COMPANY HOLDING CO		0	56,338	122,146		
20	DOMINION RES INC VA NEW		0	85,651	95,738		
21	DOW CHEMICAL COMPANY COMMON		0	99,053	115,584		
22	DREYFUS SELECT MGR US EQ-Y		0	230,000	235,572		
23	DREYFUS FLOATING RATE INC-Y		0	246,000	235,764		
24	DREYFUS PREM LT TRM HI YLD-R		0	232,847	222,475		
25	DREYFUS GLOBAL REAL ES SEC-Y		0	335,525	345,913		
26	DREYFUS GROWTH & VALUE FDS INC		0	409,000	401,306		
27	DREYFUS/NEWTON INTERN EQTY-Y		0	746,000	666,063		
28	DREYFUS MIDCAP INDEX-I		0	800,000	813,896		
29	EASTMAN CHEMICAL CO 3.6% 8/15/22		0	10,593	10,254		
30	EBAY INC 2.6% 7/15/22		0	28,714	29,078		
31	ELECTRONIC ARTS		0	97,499	96,087		
32	ENTERPRISE PRODUCTS 2.55% 10/15/19		0	10,129	10,102		
33	EXELON CORP 3.4% 4/15/26		0	15,015	14,718		
34	EXELON CORP		0	96,146	106,470		
35	EXXON MOBIL CORP		0	15,000	15,032		
36	EXXON MOBIL CORP		0	15,260	101,813		
37	FACEBOOK INC		0	99,276	228,489		
38	FHLMC GOLD POOL #G0871 3.5% 8/01/46		0	20,542	19,888		
39	FHLMC GOLD G08733 3.5% 11/01/46		0	35,729	35,683		
40	FHLMC GOLD POOL J15724 4.0% 6/01/26		0	14,794	14,565		
41	FHLMC GOLD POOL C91339 4.0% 10/01/30		0	6,298	6,250		
42	FHLMC GOLD POOL C91738 4.0% 11/01/33		0	9,534	9,555		
43	FG GOLD POOL T49004 3.0% 9/01/27		0	4,599	4,564		
44	FHLMC GOLD POOL T49005 3.5% 12/01/28		0	14,195	13,931		
45	FHLMC GOLD POOL A90393 5.0% 12/01/39		0	13,494	13,804		
46	FHLMC GOLD A92821 5.0% 7/01/40		0	18,246	18,232		

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
47	FHLMC GOLD A95828 4.5% 12/01/40		0	56,206	56,287
48	FHLMC GOLD POOL Q4259 3.5% 8/01/46		0	20,711	20,066
49	FHLMC GOLD Q43259 3.5% 9/01/46		0	35,575	35,378
50	FNMA REMIC TRUST 2014-3.0% 3/25/40		0	24,331	24,282
51	FNMA POOL 0AJ1758		0	9,830	9,660
52	FNMA AL4082 3.5% 10/01/27		0	11,729	11,529
53	FNMA POOL AL4191 3.5% 1/01/28		0	16,384	16,269
54	FNMA POOL # AL6150 4.0% 8/01/27		0	9,165	9,024
55	FNMA POOL # AL6895 3.5% 5/1/45		0	26,490	26,334
56	FNMA AL9121 4.0% 7/01/29		0	14,752	14,632
57	FNMA POOL AU6278 5.0% 11/01/43		0	3,969	3,962
58	FNMA POOL # AY5580 3.5% 6/01/45		0	40,686	39,615
59	FNMA AY8429 3.5% 8/01/45		0	23,880	23,823
60	FNMA POOL # BC0885 3.0% 5/01/46		0	29,582	28,601
61	FNMA BC9007 4.0% 11/01/46		0	42,114	41,952
62	FNMA POOL # BD1480 3.5% 9/01/46		0	31,695	30,658
63	FNMA MA1897 3.5% 5/01/44		0	8,526	8,509
64	FNMA POOL MA2484 4.0% 12/01/45		0	22,420	22,167
65	FNMA POOL MA2561 3.0% 3/01/31		0	44,856	44,141
66	FNMA MA2653 4.0% 6/01/46		0	15,372	15,053
67	FNMA POOL # MA2670 3.0% 7/01/46		0	35,119	33,712
68	FNMA POOL # MA2705 3.0% 7/01/46		0	35,589	33,979
69	FNMA POOL # MA2737 3.0% 9/01/46		0	40,939	39,166
70	FNMA POOL # MA2752 3.0% 9/01/31		0	41,086	40,245
71	FNMA MA2775 2.5% 9/01/31		0	65,763	63,869
72	FNMA POOL MA2879 4.0% 12/01/46		0	42,244	42,094
73	FIDELITY NATL INFORM 3.875% 6/05/24		0	26,078	25,506
74	FLORIDA ST HURRICANE 2.995% 7/01/20		0	55,000	56,317
75	FORD MOTOR CREDIT CO 3.219% 1/09/22		0	25,473	24,720
76	GNMA II MA3873 3.0% 8/20/46		0	51,022	49,527
77	GNMA POOL II MA3874 3.5% 8/20/46		0	25,786	25,217
78	GNMA II POOL #MA4070 4.0% 11/20/46		0	63,533	63,578
79	GNMA II MA4129 4.5% 12/20/46		0	10,744	10,690
80	GENERAL ELECTRIC CO		0	97,412	109,336
81	GENERAL ELEC CAP CORP		0	34,671	33,272
82	GOLDMAN SACHS GROUP		0	34,275	37,046
83	HSBC FINANCE CORP		0	47,934	44,962
84	HALLIBURTON COMPANY COM		0	61,981	108,991
85	HARTFORD FINL SVCS GROUP INC COM		0	131,644	158,674
86	HOME DEPOT INC USD 0.05		0	51,408	156,203
87	HONEYWELL INTL INC		0	54,703	167,867
88	ILLINOIS TOOL WORKS INC COM		0	130,674	183,690
89	INCYTE GENOMICS INC		0	63,830	66,479
90	INTEL CORP 2.7% 12/15/22		0	19,986	20,090
91	INTEL CORP		0	25,203	27,967
92	INTEL CORPORATION		0	105,041	115,701

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
93	INTERCONTINENTAL EXCHANGE GRO		0	95,374	23,385,436
94	JPMORGAN CHASE & CO 3.375% 5/01/23		0	39,248	150,924
95	JOHNSON & JOHNSON COM		0	96,428	39,857
96	KIMCO REALTY CORP 3.4% 11/01/22		0	15,872	114,404
97	KROGER CO/THE 2.6% 2/01/21		0	14,993	15,222
98	ELI LILLY & CO COM		0	121,911	14,969
99	MARATHON PETROLEUM CORP		0	114,857	111,060
100	MASSACHUSETTS ST		0	31,900	128,896
101	MERCK & CO INC		0	110,495	32,202
102	MET LIFE GLOB FNDG 7.717% 2/15/19		0	45,930	118,917
103	MICROSOFT CORP 3.75% 2/12/45		0	24,798	39,152
104	MICROSOFT CORP COM		0	40,560	23,444
105	MICRON TECHNOLOGY		0	143,337	57,790
106	MONDELEZ INTERNATIONAL INC		0	109,008	173,606
107	MONSTER BEVERAGE CORP		0	59,546	116,145
108	MORGAN STANLEY 4.875% 11/01/22		0	41,874	115,328
109	NYSE EURONEXT		0	30,732	42,870
110	ASG MANAGED FUTURES STRAT-Y		0	250,000	30,167
111	NEW YORK NY		0	23,172	252,233
112	NEW YORK NY CITY MU 6.282% 6/15/42		0	35,247	22,227
113	NIKE INC CL B		0	123,753	33,912
114	NUCOR CORP		0	76,044	116,096
115	NVIDIA CORP		0	135,986	99,994
116	OAKLAND CA UNIF SCH 9.5% 8/01/34		0	22,700	209,210
117	ONTARIO (PROVINCE OF) 4.0% 10/07/19		0	40,198	23,703
118	ORACLE CORP		0	20,633	36,980
119	ORACLE CORP 2.5% 5/15/22		0	14,947	20,243
120	PALO ALTO NETWORKS INC		0	70,280	14,896
121	PANERA BREAD CO		0	105,030	64,026
122	PEPSICO INC 4.5% 1/15/20		0	23,415	99,674
123	PEPSICO INC		0	94,735	21,521
124	PETROLEOS MEXICANOS		0	31,446	180,487
125	PFIZER INC COM		0	49,049	30,090
126	PHILADELPHIA PA SCH 5.06% 9/01/42		0	20,000	87,696
127	PHILIP MORRIS INTERNATIONAL		0	61,823	18,947
128	PIONEER NAT RES CO		0	80,352	115,277
129	PRES & FELLOWS OF HAR 3.15% 7/15/46		0	9,983	93,997
130	PROCTER & GAMBLE CO COM		0	109,535	8,947
131	PUBLIC SERVICE ENTERP 1.6% 11/15/19		0	9,988	156,389
132	QUALCOMM INC		0	177,808	9,856
133	ROYAL BK CANADA 1.25% 6/16/17		0	34,987	179,300
134	SALESFORCE COM INC		0	24,530	35,010
135	SCHLUMBERGER LIMITED COM		0	33,318	77,360
136	SCHWAB CHARLES CORP NEW		0	143,802	102,167
137	SCRIPPS NETWORKS INTERACTIVE I		0	19,680	177,220
138	SOUTH CAROLINA ST PU 2.388% 12/01/23		0	15,000	20,044
			0	15,000	14,536

Part II, Line 13 (990-PF) - Investments - Other

		20,198,964	20,620,199	23,385,436
Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
139 SPECTRA ENERGY PARTNER 3 5% 3/15/2		0	9,964	9,767
140 DREYFUS SELECT MGR S/C GR-Y		0	392,000	384,901
141 DREYFUS INTENATION STOCK-Y		0	763,000	742,780
142 DREYFUS SELECT MGR S/C VL-Y		0	384,000	390,803
143 SYNCHRONY FINANCIAL		0	109,136	143,629
144 TCW EMERGING MARKETS INCOME FUND		0	412,866	385,647
145 TAIWAN SEMICONDUCTOR ADR		0	120,838	128,800
146 TANGER PROPERTIES LP 3.125% 9/01/26		0	19,947	18,787
147 TELEFONICA EMISIONES SAU		0	37,898	37,501
148 TEVA PHARMACEUTICALS 3 15% 10/01/2		0	19,947	18,439
149 3M CO		0	90,365	113,213
150 TIME WARNER INC 4 0% 1/15/22		0	32,820	31,147
151 TIME WARNER INC		0	158,673	205,609
152 TSS 4 8 04/01/26 CORP 4 8% 4/01/26		0	31,619	32,326
153 TOYOTA MOTOR CREDIT 1 55% 7/13/18		0	19,956	19,995
154 21ST CENTY FOX AMER 6 15% 3/01/37		0	30,892	29,256
155 UBS COM MTG TR 2012-C1 3 4% 5/10/45		0	15,933	15,442
156 U S TREASURY BOND 2 875% 8/15/45		0	19,152	19,246
157 U S TREASURY BOND		0	63,217	53,320
158 U S ETREASURY NOTE 2 25% 8/15/46		0	24,397	21,020
159 U S TREASURY INFLATIO 0.125% 4/15/20		0	20,466	20,858
160 U S TREAS-CPI INFLATION INDEX		0	33,008	29,390
161 UNITED STATES TREAS 1 125% 1/15/19		0	145,401	144,723
162 U S. TREASURY NOTE 2 625%		0	61,841	61,066
163 U S TREASURY NOTE		0	34,503	34,055
164 U S TREAS-CPI		0	53,183	49,801
165 U S TREASURY INFLATIO 0.375% 7/15/25		0	35,434	35,481
166 UNITED STATES TREAS 1 375% 8/31/23		0	4,947	4,737
167 UNITED TECHNOLOGIES CORP		0	50,726	123,322
168 UNITED HEALTH GROUP INC		0	158,993	188,047
169 UNIVERSAL HEALTH SVCS INC CL B		0	90,922	69,679
170 UNIV OF CALIFORNIA 4.131% 5/15/45		0	20,082	19,720
171 VALERO ENERGY CORP NEW		0	38,256	112,728
172 VERIZON COMMUNICATION 6 55% 9/15/4		0	31,058	31,224
173 VERIZON COMMUNICATIONS INC		0	30,868	51,245
174 VISA INC		0	131,812	133,414
175 VIRTUS EMERGING MKTS OPPOR-I		0	407,846	384,940
176 VOYA FINANCIAL INC		0	125,775	143,153
177 WFRBS 2013-C13 A4 3 001% 5/15/45		0	51,499	50,521
178 WELLS FARGO & COMPANY 2.6% 7/22/20		0	15,504	15,087
179 WELLS FARGO & COMPANY 4.9% 11/17/4		0	20,546	20,542
180 WELLS FARGO & CO NEW		0	72,028	119,038
181 WILLIAMS CO INC		0	82,977	84,701
182 YUM BRANDS INC		0	38,881	97,528
183 ZIMMER HLDGS INC		0	96,512	81,218
184 ALLERGAN PLC		0	94,949	116,766

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
185	ACCENTURE PLC IRELAND SHS CL A		0	70,564	84,568
186	EATON CORP PLC		0	104,086	142,231
187	INGERSOLL-RAND PLC		0	86,633	176,344
188	INVESCO LTD		0	134,514	154,431
189	NABORS INDUSTRIES LTD COM		0	66,303	106,928
190	BROADCOM LTD		0	130,963	262,503
191	A.B.B FIN USA INC 2.875% 5/08/22		0	30,716	30,307
192	AT&T INC		0	34,499	31,727
193	AT&T INC		0	137,474	169,269
194	ABBOTT LABORATORIES		0	67,041	67,986
195	ABBVIE INC 2.9% 11/06/22		0	15,216	14,816
196	ADOBE SYSTEMS INC 3.25% 2/01/25		0	14,852	15,007
197	ADOBE SYS INC COM		0	74,909	144,542
198	DREYFUS GLOBAL REAL RET -Y		0	245,000	222,596
199	ADVANTAGE DYN TOTAL RET -Y		0	250,000	283,089
200	AGILENT TECHNOLOGIES INC		0	61,461	67,884
201	ALEXANDRIA REAL ESTATE 4 3% 1/15/26		0	16,172	15,416
202	ALEXION PHARMACEUTICALS INC		0	98,832	90,906
203	ALLY FINANCIAL INC		0	153,390	138,085
204	ALPHABET INC/CA		0	257,250	335,206
205	AMAZON.COM INC		0	29,450	29,688
206	AMAZON.COM INC		0	97,282	272,953
207	AMERICAN TOWER CORP-CL A		0	104,941	117,305
208	AMGEN INC 5.65% 6/15/42		0	41,343	38,368
209	ANHEUSER-BUSH INBEV FI 4 9% 2/01/46		0	19,953	21,617
210	APACHE CORP 3.25% 4/15/22		0	14,711	15,236
211	APPLE INC 4 375% 5/13/45		0	19,815	20,546
212	APPLE COMPUTER INC COM		0	9,804	126,591
213	ARROW ELECTRONICS INC 3.5% 4/01/22		0	19,910	19,801
214	BP CAP MKTS PLC 4.75% 3/10/19		0	33,319	31,802
215	BNY MELLON INCOME STK FD CL M		0	425,000	456,758
216	BNY MELLON INTL EQUITY INCOME FUND		0	254,000	219,537
217	BNY MELLON MID CAP STK FD CL M		0	635,000	849,678
218	BNY MELLON INTL APPR FD CL M		0	728,734	667,629
219	BNY MELLON S/T US GVT SECS FD CL M		0	718,573	699,193
220	BNY MELLON EMERGING MKTS FD CL M		0	408,612	363,509
221	BANK AMER CORP		0	155,041	207,740
222	BANK OF AMERICA CORP 2.6% 1/15/19		0	30,084	30,256
223	BANK OF AMERICA CORP 3.95% 4/21/25		0	24,926	24,867
224	BIOMARIN PHARMACEUTICAL INC		0	62,655	51,692
225	BIAGEN INC 2.9% 9/15/20		0	19,958	20,255
226	BLACKROCK INC		0	12,167	10,352
227	BOSTON PROPERTIES LP		0	16,665	15,848
228	BRISTOL MYERS SQUIBB CO COM		0	70,628	85,322
229	BURLINGTON NORTH SANTA 3.45% 9/15/2		0	26,011	26,109
230	CVS HEALTH CORP		0	19,689	21,509

Part II, Line 13 (990-PF) - Investments - Other

		20,198,964	20,620,199	23,385,436
		Book Value	Book Value	FMV
		Beg of Year	End of Year	End of Year
Asset Description	Basis of Valuation			
231 CABOT OIL & GAS CORP		0	79,070	76,854
232 CALIFORNIA ST EARTHQ 2.805% 7/01/19		0	19,999	20,236

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	LOSS ON CY SALES NOT SETTLED AT YEAR END	1	72,322
2	PURCHASE OF ACCRUED INTEREST C/O FROM PY	2	548
3	TIPS DEFLATION ADJUSTMENT	3	299
4	Total	4	73,169

Line 5 - Decreases not included in Part III, Line 2

1	PURCHASE OF ACCRUED INTEREST C/O TO NEXT YEAR	1	1,195
2	BASIS CHANGE ON DIVS TREATED AS ROC - TCW EMERGING	2	1,017
3	BASIS CHANGE ON DIVS TREATED AS ROC - EATON CORP PLC	3	4,021
4	COST BASIS ADJUSTMENT	4	
5	Total	5	6,233

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Long Term CG Distributions						Amount:							
Short Term CG Distributions						103,620	0						
Description of Property Sold	CUSIP #	Aquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
277 GNMA II MA3873 3.0% 8	361795J57		8/25/2016	12/20/2016	210			220	-10				-10
278 GNMA II MA3873 3.0% 8	361795J57		12/20/2016	12/20/2016	53			55	-2				-2
279 GNMA II MA3873 3.0% 8	361795J57		11/28/2016	12/20/2016	53			54	-1				-1
280 GNMA II MA3873 3.0% 8	361795J57		8/25/2016	12/20/2016	159			167	-8				-8
281 GNMA II MA3873 3.0% 8	361795J57		8/25/2016	12/20/2016	159			167	-8				-8
282 GNMA II MA3873 3.0% 8	361795J57		9/22/2016	12/20/2016	40			42	-2				-2
283 GNMA II MA3873 3.0% 8	361795J57		11/28/2016	12/20/2016	40			41	-1				-1
284 GNMA POOL II MA3874 3.5%	361795J15		10/3/2016	11/20/2016	239			255	-16				-16
285 FNMA POOL MA2484 4.0%	31418BXN1		5/23/2016	9/13/2016	4,978			4,961	17				17
286 PHILLIPS 66	718548I04		12/11/2014	2/11/2016	18,898			17,606	1,292				1,292
287 PIONEER NATURAL RESOUR	723787I07		2/19/2015	5/3/2016	22,302			22,429	-127				-127
288 PIONEER NATURAL RESOUR	723787I07		2/19/2015	8/25/2016	180			160	20				20
289 PIONEER NATURAL RESOUR	723787I07		2/17/2015	8/25/2016	24,875			21,698	3,177				3,177
290 SALESFORCE COM INC	79466L302		3/30/2016	7/12/2016	822			741	81				81
291 SALESFORCE COM INC	79466L302		3/31/2016	7/12/2016	13,148			11,816	1,332				1,332
292 SALESFORCE COM INC	79466L302		8/19/2016	10/13/2016	26,165			28,628	-2,463				-2,463
293 SALESFORCE COM INC	79466L302		3/31/2016	10/13/2016	2,122			2,215	-93				-93
294 SALESFORCE COM INC	79466L302		3/31/2016	10/14/2016	4,320			4,431	-111				-111
295 SALESFORCE COM INC	79466L302		6/30/2010	10/14/2016	20,160			6,078	14,082				14,082
296 SALESFORCE COM INC	79466L302		6/30/2010	10/18/2016	29,082			8,683	20,399				20,399
297 SCHLUMBERGER LTD	806857I08		12/20/2013	8/23/2016	3,435			3,653	-218				-218
298 SCHLUMBERGER LTD	806857I08		5/26/2015	8/23/2016	15,541			17,324	-1,783				-1,783
299 SCHLUMBERGER LTD	806857I08		7/22/2015	8/23/2016	5,562			5,749	-187				-187
300 SEMPRA ENERGY	816851I09		5/6/2015	1/6/2016	22,161			24,801	-2,640				-2,640
301 SEMPRA ENERGY	816851I09		5/7/2015	1/6/2016	9,603			10,810	-1,207				-1,207
302 SEMPRA ENERGY	816851I09		6/29/2015	1/6/2016	10,434			11,255	-821				-821
303 SEMPRA ENERGY	816851I09		6/5/2008	1/7/2016	60,694			39,919	20,745				20,745
304 SEMPRA ENERGY	816851I09		6/29/2015	1/7/2016	20,837			23,606	-2,769				-2,769
305 SERVICENOW INC	81762P102		8/15/2013	3/17/2016	9,128			6,522	2,606				2,606
306 SERVICENOW INC	81762P102		8/14/2013	3/17/2016	18,256			12,920	5,336				5,336
307 SERVICENOW INC	81762P102		8/14/2013	3/30/2016	23,306			16,365	6,941				6,941
308 SERVICENOW INC	81762P102		8/9/2013	3/30/2016	10,426			7,263					

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions	103,620
Short Term CG Distributions	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Short Term CG Distributions												
103,920													0												
Description of Property Sold													CUSIP #												
415 FNMA POOL #ALB970 3.5%													3138EPW83												
416 FNMA POOL #ALB970 3.5%													3138EPW83												
417 FNMA POOL #ALB970 3.5%													3138EPW83												
418 FNMA POOL #ALB970 3.5%													3138EPW83												
419 FNMA POOL # BC2681 3.0%													3140EWM77												
420 FNMA BC907 4.0% 11													3140FSAHB												
421 FNMA POOL # BD1480 3.5%													3140FSAHB												
422 FNMA POOL # BD1480 3.5%													3140FSAHB												
423 FNMA POOL # BD1480 3.5%													3140FSAHB												
424 FNMA POOL # 962301 4.5%													31414CRW0												
425 FNMA POOL # 962301 4.5%													31414CRW0												
426 FNMA MA2609 3.5% 5/													31418B311												
427 FNMA MA2609 3.5% 5/													31418B311												
428 FNMA MA2609 3.5% 5/													31418B311												
429 FNMA MA2609 3.5% 5/													31418B311												
430 FNMA MA2609 3.5% 5/													31418B311												
431 FNMA MA2653 4.0% 6/													31418B5P7												
432 FNMA MA2653 4.0% 6/													31418B5P7												
433 FNMA MA2653 4.0% 6/													31418B5P7												
434 FNMA MA2653 4.0% 6/													31418B5P7												
435 FNMA POOL # BC2681 3.0%													3140EWM77												
436 BIOGEN INC													09062X103												
437 BIOGEN INC													09062X103												
438 BIOGEN INC													09062X103												
439 BIOGEN INC													09062X103												
440 BLACKROCK INC 8.25%													09247XAC5												
441 BLACKROCK INC 6.25%													09247XAC5												
442 BOSTON PROPERTIES LP 4													10112RAS3												
443 CVS HEALTH CORPORATION													126550100												
444 CVS HEALTH CORPORATION													126550100												
445 CVS HEALTH CORPORATION													126550100												
446 CAPITAL ONE FINANCIAL CO													14040H105												
447 CAPITAL ONE FINANCIAL CO													14040H105												
448 CAPITAL ONE FINANCIAL CO													14040H105												
449 CAPITAL ONE FINANCIAL CO													14040H105												
450 CARDINAL HEALTH INC													14149Y108												
451 CARDINAL HEALTH INC													14149Y108												
452 CHEESECAKE FACTORY INC													163072101												
453 CHEESECAKE FACTORY INC													163072101												
454 CHEESECAKE FACTORY INC													163072101												
455 CHEESECAKE FACTORY INC													163072101												
456 FNMA POOL # ALB150 4.0%													3138ENZQ5												
457 FNMA POOL # ALB150 4.0%													3138ENZQ5												
458 FNMA POOL # ALB150 4.0%													3138ENZQ5												
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468 FNMA POOL # ALB150 4.0%													3138ENZQ5												
469 FNMA POOL # ALB150 4.0%													3138ENZQ5												
470 FNMA POOL # ALB150 4.0%													3138ENZQ5												
471 GNMA II POOL # MA1589 3.0													38179NX45												
472 GNMA II POOL # MA1589 3.0													38179NX45												
473 GNMA II POOL # MA1589 3.0													38179NX45												
474 FNMA POOL #ALB970 3.5%													3138EPW83												
475 FNMA POOL # AL7654 3.0%													3138EOOG0												
476 FNMA POOL # AL7654 3.0%													3138EOOG0												
477 FNMA POOL # AL7654 3.0%													3138EOOG0												
478 GNMA II POOL # MA2753 3.0													38179RBW8												
479 GNMA II POOL # MA2753 3.0													38179RBW8												
480 GNMA II POOL # MA2753 3.0													38179RBW8												
481 GNMA II POOL # MA2753 3.0													38179RBW8												
482 GNMA II POOL # MA2753 3.0													38179RBW8												
483 GNMA II POOL # MA2753 3.0													38179RBW8												

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

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Long Term CG Distributions	103,620
Short Term CG Distributions	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount									
		103,620		0									
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost of Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses
691 FNMA AR8598	3 5% 6/	3138W6RS7	9/9/2013	3/25/2016	125			0	124	1	0	0	314,178
692 FNMA AR8598	3 5% 6/	3138W6RS7	9/9/2013	3/25/2016	125			0	124	1	0	0	314,178
693 FNMA AR8598	3 5% 6/	3138W6RS7	9/9/2013	3/25/2016	125			0	258	-8	0	0	314,178
694 FHLMC GOLD A92821	5 0/9	312941D20	4/15/2013	1/15/2017	220			0	241	-21	0	0	314,178
695 FNMA AR8598	3 5% 6/	3138W6RS7	7/8/2013	4/1/2016	72			0	68	4	0	0	314,178
698 ACTIVISION BLIZZARD INC		00507V109	10/13/2015	2/3/2018	13,480			0	13,518	-38	0	0	314,178
697 ACTIVISION BLIZZARD INC		00507V109	10/9/2015	2/4/2016	34,047			0	34,265	-218	0	0	314,178
698 ACTIVISION BLIZZARD INC		00507V109	10/13/2015	2/4/2016	8,910			0	9,232	-322	0	0	314,178
699 ADOBE SYSTEMS INC		00724F101	3/30/2016	7/12/2016	975			0	939	36	0	0	314,178
700 ADOBE SYSTEMS INC		00724F101	3/31/2016	7/12/2016	13,651			0	13,129	522	0	0	314,178
701 ADOBE SYSTEMS INC		00724F101	3/31/2016	9/30/2016	6,482			0	5,627	855	0	0	314,178
702 ADOBE SYSTEMS INC		00724F101	8/4/2015	9/30/2016	9,291			0	7,055	2,236	0	0	314,178
703 ADVANSIX INC		00773T101	4/13/2005	10/6/2016	797			0	285	512	0	0	314,178
704 ADVANSIX INC		00773T101	4/13/2005	11/3/2016	11			0	3	8	0	0	314,178
705 AETNA INC		00817Y108	1/13/2016	1/14/2016	79,920			0	79,216	704	0	0	314,178
706 AGILENT TECHNOLOGIES INC		00846U101	12/9/2015	10/6/2016	14,151			0	12,380	1,771	0	0	314,178
707 AGILENT TECHNOLOGIES INC		00846U101	12/9/2015	10/19/2016	25,442			0	23,110	2,332	0	0	314,178
708 ALPHABET INC/CA-CL A		02079K305	9/23/2018	12/2/2018	22,878			0	24,448	-1,571	0	0	314,178
709 ALPHABET INC/CA-CL A		02079K305	8/2/2016	12/2/2016	6,863			0	7,173	-310	0	0	314,178
710 ALPHABET INC/CA-CL A		02079K305	8/2/2016	12/2/2016	20,979			0	21,519	-540	0	0	314,178
711 ALPHABET INC/CA-CL A		02079K305	7/17/2015	12/2/2016	3,885			0	3,481	404	0	0	314,178
712 AMGEN INC		031162100	10/12/2015	7/8/2016	24,212			0	23,373	839	0	0	314,178
713 AMGEN INC		031162100	9/16/2013	10/19/2016	39,727			0	28,741	10,986	0	0	314,178
714 AMGEN INC		031162100	10/20/2013	10/19/2016	9,851			0	6,975	2,876	0	0	314,178
715 AMGEN INC		031162100	10/12/2015	10/19/2016	4,845			0	4,613	232	0	0	314,178
716 ANACOR PHARMACEUTICAL		00287YAN9	7/15/2015	5/26/2018	38,856			0	62,164	-13,308	0	0	314,178
717 ABVIE INC	1 8% 5/14	3138A6KG4	5/5/2015	10/27/2016	15,047			0	14,965	82	0	0	314,178
718 FNMA POOL # AH4794 5% 2/1		3138A6KG4	4/9/2013	4/25/2016	11,142			0	1,239	-87	0	0	314,178
719 FNMA POOL # AH4794 5% 2/1		3138A6KG4	8/29/2013	4/25/2016	95			0	103	-8	0	0	314,178
720 FNMA POOL # AH4794 5% 2/1		3138A6KG4	4										

Long Term CG Distributions	103,620
Short Term CG Distributions	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions	103,620
Short Term CG Distributions	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		103,620		0		7,681,795		0		7,367,617		314,178		0		0		314,178	
Short Term CG Distributions																			
		CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj Basis or Losses	Gains Minus Excess FMV Over Adj Basis or Losses				
1105	U S TREASURY NOTE 0 8251	912828TS9		10/27/2015	3/30/2016	4,992			5,003	-11		0	0	-11					
1106	U S TREASURY NOTE 0 8251	912828TS9		3/4/2016	3/30/2016	9,984			9,986	18		0	0	18					
1107	U S TREASURY NOTE 0 8251	912828TS9		3/4/2016	4/13/2016	4,993			4,983	10		0	0	10					
1108	U S TREASURY NOTE 0 8251	912828TS9		12/8/2015	5/11/2016	4,997			4,977	20		0	0	20					
1109	U S TREASURY NOTE 0 8251	912828TS9		5/28/2014	5/18/2016	9,980			9,923	57		0	0	57					
1110	U S TREASURY NOTE 0 8251	912828TS9		5/28/2014	5/24/2016	4,988			4,962	26		0	0	26					

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	BNY Mellon, N.A.	X	P O Box 185	Pittsburgh	PA	15230-0185		TRUSTEE	SEE ATTAC	198,014	NONE	NONE
2	BNY Mellon, N.A.	X	P O Box 185	Pittsburgh	PA	15230-0185		FEE	REIMBURS	-71,619	NONE	NONE

126,395

0

0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	5/10/2016	2	4,250
3 Second quarter estimated tax payment	6/9/2016	3	2,800
4 Third quarter estimated tax payment	9/9/2016	4	570
5 Fourth quarter estimated tax payment	12/8/2016	5	3,380
6 Other payments	5/15/2017	6	4,858
7 Total		7	15,958

M V HAGGIN IN MEMORY OF J B A HAGGIN

13-6078494 Page 1 of 2

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF KENTUCKY

Street

800 ROSE STREET

City

LEXINGTON

State

KY

Zip Code

40508

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

578,078

Name

JAMES B. HAGGIN MEMORIAL HOSPITAL

Street

464 LINDEN AVENUE

City

HARRODSBURG

State

KY

Zip Code

40330

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

231,231

Name

MIDWAY COLLEGE

Street

512 E STEPHENS STREET

City

MIDWAY

State

KY

Zip Code

40347

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

40,000

Name

HINDMAN SETTLEMENT SCHOOL

Street

71 JUSTICE CENTER DR

City

HINDMAN

State

KY

Zip Code

41822

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

25,000

Name

SAYRE SCHOOL

Street

194 N LIMESTONE

City

LEXINGTON

State

KY

Zip Code

40507

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

30,000

Name

ALICE LLOYD COLLEGE

Street

100 PURPOSE RD

City

PIPPA PASSES

State

KY

Zip Code

41844

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

38,369

M V HAGGIN IN MEMORY OF J B A HAGGIN

13-6078494 Page 2 of 2

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CAMPBELLSVILLE UNIVERSITY

Street

1 UNIVERSITY DRIVE

City

CAMPBELLSVILLE

State

KY

Zip Code

42718

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

38,369

Name

FRONTIER NURSING UNIVERSITY

Street

195 SCHOOL STREET

City

HYDEN

State

KY

Zip Code

41746

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

38,369

Name

CENTRE COLLEGE OF KENTUCKY

Street

600 W WALNUT STREET

City

DANVILLE

State

KY

Zip Code

40422

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

38,369

Name

GEORGETOWN COLLEGE

Street

400 E COLLEGE STREET

City

GEORGETOWN

State

KY

Zip Code

40324

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

25,000

Name

UNION COLLEGE

Street

310 COLLEGE STREET

City

BARBOURVILLE

State

KY

Zip Code

40906

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

38,369

Name

BEREA COLLEGE

Street

101 CHESTNUT STREET

City

BEREA

State

KY

Zip Code

40404

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

35,000

Attachment to Form 990PF Part VIII, Column (b) Title and Average Hours Per Week Devoted To Position

The compensation reported in column (b) paid to BNY Mellon, N.A. as Corporate Trustee is calculated based on market value and current fee schedule. It is not determined on an hourly basis. Corporate Trustee services include administrative responsibilities, grant requirements, recordkeeping, investment management, income collection, statement and accounting services, and regulatory reporting.

Amended Return Statement of Explanation of Changes

Taxpayer Name: M V HAGGIN IN MEMORY OF J B A HAGGIN

Trust EIN: 13-6078494

Tax Year Ending: December 31, 2016

The above listed return is being amended to reverse a positive deflation amount of \$1,632. This caused the interest and dividend income on Form 990PF to be overstated.
