

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	133,108	254,097	254,097
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	399,692	198,977	200,016
	b	Investments—corporate stock (attach schedule)	4,261,493	4,596,742	4,762,734
	c	Investments—corporate bonds (attach schedule)	150,701	150,352	150,093
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	129,637	130,794	121,346
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,074,631	5,330,962	5,488,286	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	5,074,631	5,330,962	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	5,074,631	5,330,962		
31	Total liabilities and net assets/fund balances (see instructions) .	5,074,631	5,330,962		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,074,631
2	Enter amount from Part I, line 27a	2	280,911
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	5,355,542
5	Decreases not included in line 2 (itemize) ▶ _____	5	24,580
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	5,330,962

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	455,758
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	263,514	5,476,811	0 048114
2014	269,942	5,625,004	0 04799
2013	247,622	5,230,070	0 047346
2012	235,731	4,798,247	0 049129
2011	224,941	4,852,023	0 04636

2 Total of line 1, column (d)	2	0 238939
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047788
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,309,734
5 Multiply line 4 by line 3	5	253,742
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,517
7 Add lines 5 and 6	7	259,259
8 Enter qualifying distributions from Part XII, line 4 ,	8	252,067

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	11,033
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	11,033
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,033
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	6,530
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	7,224
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	13,754
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,721
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 2,721 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>U S TRUST FIDUCIARY TAX SVC</u> Telephone no ► <u>(888) 866-3275</u>			

Located at ► PO BOX 1802 PROVIDENCE RI ZIP+4 ► 029011802

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input checked="" type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	No
	Organizations relying on a current notice regarding disaster assistance check here.	► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BANK OF AMERICA N A 114 W 47TH STREET NEW YORK, NY 10036	TRUSTEE 1	59,802		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. <input type="checkbox"/> 		0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	5,194,024
b	Average of monthly cash balances.	1b	196,569
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,390,593
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,390,593
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	80,859
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,309,734
6	Minimum investment return. Enter 5% of line 5.	6	265,487

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	265,487
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	11,033
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,033
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	254,454
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	254,454
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	254,454

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	252,067
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	252,067
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	252,067

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				254,454
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			212,942	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 252,067				
a Applied to 2015, but not more than line 2a			212,942	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				39,125
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				215,329
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	0			
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CHRISTINE O'DONNELL
114 W 47TH STREET
NEW YORK, NY 10036
(646) 855-1011

b The form in which applications should be submitted and information and materials they should include

www.bankofamerica.com/grantmaking

c Any submission deadlines

JUNE 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONPROFITS THAT HELP ENSURE THE WELL BEING AND SELF SUFFICIENCY OF LOW INCOME WOMEN AND CHILDREN

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	215,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	138,289	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	455,758	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				594,047	
13 Total. Add line 12, columns (b), (d), and (e).			13		594,047

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

Paid Preparer Use Only					
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
750 ABBVIE INC COM		2008-02-01	2016-12-14
150 ALPHABET INC CL A COM		2006-07-18	2016-12-14
700 ALTRIA GROUP INC		2015-11-13	2016-12-14
1090 BB&T CORPORATION		2015-07-06	2016-12-14
425 BB&T CORPORATION		2015-11-03	2016-12-14
1000 BP AMOCO P L C SPONSORED ADR		2015-11-13	2016-12-14
295 CHEVRONTEXACO CORP COM		2008-08-20	2016-12-14
230 CORNING INC		2008-06-17	2016-12-14
1400 CORNING INC		2012-10-25	2016-12-14
735 DOMINION RESOURCES INC		2015-07-06	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
46,377		22,491	23,886
122,587		30,678	91,909
46,796		39,585	7,211
50,931		43,993	6,938
19,858		16,016	3,842
36,622		33,415	3,207
34,593		25,110	9,483
5,619		6,138	-519
34,200		17,086	17,114
55,548		49,823	5,725

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			23,886
			91,909
			7,211
			6,938
			3,842
			3,207
			9,483
			-519
			17,114
			5,725

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
570 EXXON MOBIL CORPORATION		1975-07-17	2016-12-14
2235 FORD MTR CO DEL COM		2015-07-06	2016-12-14
290 INTERNATIONAL PAPER COMPANY		2015-07-06	2016-12-14
750 INTERNATIONAL PAPER COMPANY		2015-11-13	2016-12-14
820 J P MORGAN CHASE & CO COM		2015-07-06	2016-12-14
450 J P MORGAN CHASE & CO COM		2015-11-13	2016-12-14
500 JOHNSON & JOHNSON		2015-11-13	2016-12-14
285 JOHNSON & JOHNSON		2015-07-06	2016-12-14
200 KIMBERLY CLARK CORP COM		2015-11-13	2016-12-14
375 L BRANDS INC COM		2015-11-13	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
52,235		1,597	50,638
28,044		33,054	-5,010
15,369		13,844	1,525
39,747		30,420	9,327
69,027		55,146	13,881
37,881		29,633	8,248
58,462		50,166	8,296
33,323		27,971	5,352
23,114		23,447	-333
27,012		34,351	-7,339

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			50,638
			-5,010
			1,525
			9,327
			13,881
			8,248
			8,296
			5,352
			-333
			-7,339

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 MCDONALDS CORP		2015-11-03	2016-12-14
290 MCDONALDS CORP		2015-07-06	2016-12-14
245 MERCK & CO INC NEW COM		2015-07-06	2016-12-14
925 MERCK & CO INC NEW COM		2015-11-13	2016-12-14
575 MICROSOFT CORPORATION		2010-04-05	2016-12-14
1000 MICROSOFT CORPORATION		2010-07-21	2016-12-14
250 PNC BK CORP		2015-11-13	2016-12-14
490 PROCTER & GAMBLE CO COM		2015-07-06	2016-12-14
315 PRUDENTIAL FINL INC COM		2015-07-06	2016-12-14
200 PRUDENTIAL FINL INC COM		2015-11-03	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
12,274		11,169	1,105
35,594		27,716	7,878
15,324		14,089	1,235
57,856		49,271	8,585
36,258		16,863	19,395
63,057		25,225	37,832
28,469		23,275	5,194
41,832		39,194	2,638
32,616		27,540	5,076
20,709		16,989	3,720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,105
			7,878
			1,235
			8,585
			19,395
			37,832
			5,194
			2,638
			5,076
			3,720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 ROCKWELL INTL CORP		2015-11-13	2016-12-14
600 TARGET CORP		2010-08-25	2016-12-14
250 TEXAS INSTRS INC		2015-11-13	2016-12-14
1310 US BANCORP DEL COM NEW		2010-07-21	2016-12-14
200000 UNITED STATES TREAS NT DTD 08/31/11 1 000% DUE 08/31/16		2014-05-30	2016-08-31
1190 VERIZON COMMUNICATIONS		2015-07-02	2016-12-14
800 WISDOMTREE EUROPE HEDGED EQUITY FUND		2015-11-03	2016-12-14
1785 WISDOMTREE EUROPE HEDGED EQUITY FUND		2015-07-06	2016-12-14
150 XILINX INCOPRORATED		2015-11-03	2016-12-14
706 EXCELSIOR ABSOLUTE RETURN LIQUIDATING TR 2		2010-01-04	2016-08-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
27,410		20,641	6,769
46,491		30,903	15,588
18,328		14,116	4,212
67,210		30,431	36,779
200,000		200,000	
62,170		56,235	5,935
45,366		49,194	-3,828
101,223		107,866	-6,643
8,473		7,113	1,360
852		101	751

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			6,769
			15,588
			4,212
			36,779
			5,935
			-3,828
			-6,643
			1,360
			751

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
150 ACCENTURE PLC CL A COM		2015-11-13	2016-12-14
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,746		15,520	3,226
			4,817

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,226

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACCION EAST INC C/O MR PAUL QUINTERO 80 MAIDEN LANE SUITE 903 NEW YORK, NY 10038	N/A	PC	UNRESTRICTED GENERAL	10,000
HENRY STREET SETTLEMENT ATTN DAVID GARZA 265 HENRY STREET NEW YORK, NY 10002	N/A	PC	UNRESTRICTED GENERAL	15,000
BOWERY RESIDENTS' COMMITTEE ATTN MR MUZZY ROSENBLATT 131 WEST 25TH STREET 12TH FLOOR NEW YORK, NY 10001	N/A	PC	UNRESTRICTED GENERAL	15,000
SAFE HORIZON INC MS ARIEL ZWANG 2 LAFAYETTE STREET 3RD FLOOR NEW YORK, NY 10007	N/A	PC	UNRESTRICTED GENERAL	15,000
SANCTUARY FOR FAMILIES INC PO BOX 1406 WALL STREET STATION NEW YORK, NY 10268	N/A	PC	UNRESTRICTED GENERAL	25,000
Total ► 3a				215,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRONXWORKS 60 EAST TREMONT AVENUE BRONX, NY 104535842	N/A	PC	UNRESTRICTED GENERAL	15,000
ODYSSEY FOUNDATION DR PETER PROVET 120 WALL STREET NEW YORK, NY 10005	N/A	PC	UNRESTRICTED GENERAL	10,000
URBAN JUSTICE CENTER MS MADELINE GARCIA BIGELOW 40 RECTOR STREET 9TH FLOOR NEW YORK, NY 10006	N/A	PC	UNRESTRICTED GENERAL	20,000
LEGAL INFORMATION FOR FAMILIES TODAY ATTN MS SHARON A MYRIE 32 COURT STREET SUITE 1208 BROOKLYN, NY 11201	N/A	PC	UNRESTRICTED GENERAL	15,000
INTERNATIONAL RESCUE COMMITTEE INC ATTN DAVID MILIBAND 263 WEST 38TH STREET FLOOR 6 NEW YORK, NY 10018	N/A	PC	UNRESTRICTED GENERAL	15,000
Total ▶ 3a				215,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUNSET PARK HEALTH COUNCIL INC LFHC - FAMILY SUPPORT 6025 6TH AVENUE BROOKLYN, NY 11220	N/A	PC	UNRESTRICTED GENERAL	15,000
THE NEW YORK OPPORTUNITY NETWORK INC MS JESSICA PLISKA 55 EXCHANGE PLACE SUITE 503 NEW YORK, NY 10005	N/A	PC	UNRESTRICTED GENERAL	15,000
GRACE OUTREACH ATTN MR ANDREW RUBINSON 378 E 151ST STREET 5TH FLOOR BRONX, NY 10455	N/A	PC	UNRESTRICTED GENERAL	15,000
UPWARDLY GLOBAL 505 8TH AVENUE SUITE 602 NEW YORK, NY 10018	N/A	PC	UNRESTRICTED GENERAL	15,000
Total 3a				215,000

TY 2016 Compensation Explanation

Name: UW EDWARD & ELLEN ROCHE REL FDN

EIN: 13-5622067

Person Name	Explanation
BANK OF AMERICA N A	SEE ATTACHED

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: UW EDWARD & ELLEN ROCHE REL FDN

EIN: 13-5622067

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
706 EXCELSI	2016-08		2010-01	PURCHASER	852	101			751	

TY 2016 General Explanation Attachment**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

TY 2016 Investments Corporate Bonds Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067

Name of Bond	End of Year Book Value	End of Year Fair Market Value
25243YAR0 DIAGEO CAP PLC CO GT	50,329	50,074
05531FAM5 BB&T CORP SR MEDIUM	49,875	49,918
084670BH0 BERKSHIRE HATHAWAY I	50,148	50,101

TY 2016 Investments Corporate Stock Schedule

Name: UW EDWARD & ELLEN ROCHE REL FDN
EIN: 13-5622067

Name of Stock	End of Year Book Value	End of Year Fair Market Value
166764100 CHEVRON CORP COM		
30231G102 EXXON MOBIL CORP COM	1,639	52,802
87612E106 TARGET CORP COM		
887317303 TIME WARNER INC NEW	23,286	70,467
713448108 PEPSICO INC COM	31,585	66,440
902973304 US BANCORP DEL COM N		
037833100 APPLE INC COM	56,502	99,026
17275R102 CISCO SYS INC COM	38,952	58,627
219350105 CORNING INC COM		
594918104 MICROSOFT CORP COM		
693390841 PIMCO HIGH YIELD FD	112,061	106,961
1261292H7 INTERNATIONAL EQUITY	520,798	340,625
29099J109 EMERGING MARKETS STO	318,963	367,180
260543103 DOW CHEM CO COM	19,850	36,621
00287Y109 ABBVIE INC COM		
4812A4351 JPMORGAN STRATEGIC I	59,250	57,950
693475857 PNC FINL SVCS GROUP	26,178	27,290
902973817 US BANCORP DEL PRFD	27,969	25,210
74925K581 BOSTON PARTNERS LONG	73,200	77,250
73935S105 POWERSHARES DB COMMO	171,393	154,519
922908553 VANGUARD REIT ETF	157,520	169,599
97717X701 WISDOMTREE EUROPE HE		
055622104 BP P L C SPONSORED A		
20825C104 CONOCOPHILLIPS COM	42,610	41,366
49456B101 KINDER MORGAN INC DE	20,304	18,121
460146103 INTERNATIONAL PAPER		
369604103 GENERAL ELEC CO COM	30,326	31,600
539830109 LOCKHEED MARTIN CORP	38,764	47,489
773903109 ROCKWELL AUTOMATION		
911312106 UNITED PARCEL SVC IN	51,058	57,320

Name of Stock	End of Year Book Value	End of Year Fair Market Value
254687106 DISNEY WALT CO COM D	28,323	25,534
345370860 FORD MTR CO DEL COM		
437076102 HOME DEPOT INC COM	50,104	53,632
501797104 L BRANDS INC COM		
580135101 MCDONALDS CORP COM		
918204108 V F CORP COM	45,556	38,679
02209S103 ALTRIA GROUP INC COM		
191216100 COCA COLA CO COM	18,688	18,657
494368103 KIMBERLY CLARK CORP		
500754106 KRAFT HEINZ CORP COM	27,094	58,155
718172109 PHILIP MORRIS INTL I	62,840	68,618
742718109 PROCTER & GAMBLE CO		
G5960L103 MEDTRONIC PLC COM	46,193	44,519
031162100 AMGEN INC COM	27,824	26,318
478160104 JOHNSON & JOHNSON CO		
532457108 LILLY ELI & CO COM	54,166	48,911
58933Y105 MERCK & CO INC NEW C		
717081103 PFIZER INC COM	48,623	45,959
054937107 BB&T CORP COM		
09247X101 BLACKROCK INC CL A	63,141	68,497
14040H105 CAPITAL ONE FINL COR	35,744	36,205
46625H100 J P MORGAN CHASE & C		
59156R108 METLIFE INC COM	44,300	44,190
693475105 PNC FINL SVCS GROUP		
744320102 PRUDENTIAL FINL INC		
949746101 WELLS FARGO & CO NEW	55,223	54,559
G1151C101 ACCENTURE PLC CL A C		
02079K107 ALPHABET INC CL C CO	27,447	104,196
02079K305 ALPHABET INC CL A CO		
458140100 INTEL CORP COM	16,128	18,135

Name of Stock	End of Year Book Value	End of Year Fair Market Value
882508104 TEXAS INSTRS INC COM		
92826C839 VISA INC CL A COM	23,543	23,406
983919101 XILINX INC COM		
00206R102 AT&T INC COM	55,954	66,772
92343V104 VERIZON COMMUNICATIO		
25746U109 DOMINION RES INC VA		
592905509 METRO WEST T/R BD CL	280,294	268,074
207543877 SMALL CAP GROWTH LEA	107,254	106,725
302993993 MID CAP VALUE CTF	179,574	179,203
303995997 SMALL CAP VALUE CTF	103,216	106,394
323991307 MID CAP GROWTH CTF	144,056	139,499
99Z466197 INTERNATIONAL FOCUSE	112,381	107,830
464287655 ISHARES RUSSELL 2000	107,535	106,532
921943858 VANGUARD FTSE DEVELO	97,389	95,369
922042858 VANGUARD FTSE EMERGI	214,961	210,923
922908363 VANGUARD S&P 500 ETF	399,983	393,169
09256H286 BLACKROCK STRATEGIC	162,000	162,330
38145C646 GOLDMAN SACHS STRATE	135,000	135,281

TY 2016 Investments Government Obligations Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067**US Government Securities - End
of Year Book Value:**

198,977

**US Government Securities - End
of Year Fair Market Value:**

200,016

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
99Z353023 EXCELSIOR ABSOLUTE R			
300862109 UST EXCELSIOR VENTUR	AT COST	20,306	12,132
8EQO29993 MAN FRM ALTERNATIVE	AT COST	110,488	109,214

TY 2016 Other Decreases Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067

Description	Amount
CTF ADJUSTMENT	581
TYE INCOME ADJUSTMENT	150
PARTNERSHIP ADJUSTMENT	21,055
COST ADJUSTMENT	2,004
ADJUSTMENT TO CARRYING VALUE	790

TY 2016 Other Expenses Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE- PRIN	515	515		0
OTHER ALLOCABLE EXPENSE-INCOME	515	515		0
OTHER INVESTMENT FEE	50	50		0
STATE FILING FEE	250	0		250
DIVIDEND INVESTMENT EXPENSE -	215	215		0
PARTNERSHIP EXPENSES		1,495		0

TY 2016 Other Income Schedule

Name: UW EDWARD & ELLEN ROCHE REL FDN

EIN: 13-5622067

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME		402	

TY 2016 Other Professional Fees Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES - BOA	12,896			12,896

TY 2016 Taxes Schedule**Name:** UW EDWARD & ELLEN ROCHE REL FDN**EIN:** 13-5622067

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,719	2,719		0
EXCISE TAX - PRIOR YEAR	19,470	0		0
EXCISE TAX ESTIMATES	1,220	0		0
FOREIGN TAXES ON QUALIFIED	364	364		0
FOREIGN TAXES ON NONQUALIFIED	120	120		0