Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation THE CAMILLE AND HENRY DREYFUS FOUNDATION, INC.

A Employer identification number 13-5570117

Number and street (or P.O box number if mail is not delivered to street address) 555 MADISON AVENUE

Room/suite

B Telephone number (see instructions) (212) 753-1760

City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 94,237,667.

J Accounting method: ☐ Cash ☐ Accrual [X] Other (specify) MODIFIED CASH

(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,138,373.	1,837,225.		ATCH 1
5a	Gross rents	9,500.	9,500.		
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,609,140.			
b	Gross sales price for all assets on line 6a 91,210,438.		POSTMARK	RECEIVED	
7	Capital gain net income (from Part IV, line 2)		4,308,794.		
8	Net short-term capital gain				
9	Income modifications		11 08 2017	11 13 2017	
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold		OGDEN		
c	Gross profit or (loss) (attach schedule)		SERVICE CENTER		
11	Other income (attach schedule) ATCH 2	843,844.	-223,658.		
12	**Total.** Add lines 1 through 11	4,600,857.	5,931,861.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	507,500.			507,500.
14	Other employee salaries and wages	248,876.	12,442.		236,434.
15	Pension plans, employee benefits	219,418.	10,972.		208,446.
16a	Legal fees (attach schedule) ATCH 3	7,686.			7,686.
b	Accounting fees (attach schedule) ATCH 4	47,781.	2,389.		45,392.
c	Other professional fees (attach schedule) [5]	388,311.	323,895.		64,416.
17	Interest ATCH 6		8,630.		
18	Taxes (attach schedule) (see instructions) [7]	47,642.	31,669.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	255,754.	12,787.		242,967.
21	Travel, conferences, and meetings	7,796.	390.		7,406.
22	Printing and publications	10,616.	531.		10,085.
23	Other expenses (attach schedule) ATCH 8	253,768.	289,262.		250,777.
24	**Total operating and administrative expenses.** Add lines 13 through 23	1,995,148.	692,967.		1,581,109.
25	Contributions, gifts, grants paid	2,754,834.			2,754,834.
26	**Total expenses and disbursements.** Add lines 24 and 25	4,749,982.	692,967.	0.	4,335,943.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	-149,125.			
b	**Net investment income** (if negative, enter -0-)		5,238,894.		
c	**Adjusted net income** (if negative, enter -0-)				

SCANNED NOV 14 2017

9

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	724,765.	28,300,281.	28,300,281.
	3	Accounts receivable ▶ 1,667,627.			
		Less: allowance for doubtful accounts ▶		1,667,627.	1,667,627.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 9	46,351,680.	52,050,939.	52,050,939.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 10	45,224,074.	12,218,820.	12,218,820.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	92,300,519.	94,237,667.	94,237,667.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	92,320,519.	94,237,667.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	92,320,519.	94,237,667.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	92,320,519.	94,237,667.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	92,320,519.
2	Enter amount from Part I, line 27a	2	-149,125.
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3	2,508,031.
4	Add lines 1, 2, and 3	4	94,679,425.
5	Decreases not included in line 2 (itemize) ▶ ATCH 12	5	441,758.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	94,237,667.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	4,308,794.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	5,293,511.	96,560,881.	0.054820
2014	4,526,093.	100,801,660.	0.044901
2013	4,976,007.	85,706,794.	0.058058
2012	4,319,873.	82,804,210.	0.052170
2011	4,877,360.	94,087,581.	0.051839

2	**Total** of line 1, column (d)	2	0.261788
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052358
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	95,487,851.
5	Multiply line 4 by line 3	5	4,999,553.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	52,389.
7	Add lines 5 and 6	7	5,051,942.
8	Enter qualifying distributions from Part XII, line 4	8	4,335,943.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	104,778.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	104,778.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	104,778.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 87,298.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 60,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	147,298.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	42,520.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 42,520. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NEW YORK, CALIFORNIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.DREYFUS.ORG

14 The books are in care of ▶ THE FOUNDATION Telephone no. ▶ 212-753-1760

Located at ▶ 555 MADISON AVENUE, NEW YORK, NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) . . . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		507,500.	24,000.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GERARD BRANDENSTEIN	ASSOCIATE DIRECTOR 40.00	141,000.	16,920.	0.
ADAM LORE	OPERATIONS MANAGER 40.00	83,917.	10,070.	0.

Total number of other employees paid over $50,000 . . . . . . ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 14		256,644.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHMENT 15A	2,780,747.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	47,436,588.
b	Average of monthly cash balances	1b	2,917,608.
c	Fair market value of all other assets (see instructions).	1c	46,587,785.
d	**Total** (add lines 1a, b, and c)	1d	96,941,981.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	96,941,981.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,454,130.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	95,487,851.
6	**Minimum investment return.** Enter 5% of line 5	6	4,774,393.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	4,774,393.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	104,778.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	4,882.		
c	Add lines 2a and 2b			2c	109,660.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	4,664,733.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4.			5	4,664,733.
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	4,664,733.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	4,335,943.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,335,943.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,335,943.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				4,664,733.
2 Undistributed income, if any, as of the end of 2016.				
a Enter amount for 2015 only				
b Total for prior years. 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016:				
a From 2011 ...... 142,641.				
b From 2012 ...... 27,886.				
c From 2013 ...... 717,531.				
d From 2014				
e From 2015 ...... 512,207.				
f Total of lines 3a through e	1,400,265.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 4,335,943.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				4,335,943.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	328,790.			328,790.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	1,071,475.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	1,071,475.			
10 Analysis of line 9				
a Excess from 2012 ...				
b Excess from 2013 ... 559,268.				
c Excess from 2014 ...				
d Excess from 2015 ... 512,207.				
e Excess from 2016 ...				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 15

b The form in which applications should be submitted and information and materials they should include:

ATCH 16

c Any submission deadlines.

SEE ATTACHED BROCHURES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED BROCHURES

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 17				
Total ▶ **3a**				2,754,834.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,138,373.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	9,500.	9,500.
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900099	160,719.	18	2,448,421.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 18		5,360.		740,983.	88,001.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		166,079.		4,337,277.	97,501.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 4,600,857.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11	RETURNED GRANTS
5B	OFFICE SPACE RENTAL

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Henry C. Walter | Oct. 27, 2017 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JAMES J REILLY		OCT 25 2017		P00183769

Firm's name ▶ CONDON O'MEARA MCGINTY & DONNELLY L — Firm's EIN ▶ 13-3628255

Firm's address ▶ ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1405 — Phone no 212-661-7777

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		THRU ADAMAS PARTNERS, LP PROPERTY TYPE: OTHER				P	692,909.	
59239484.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 58711568.				P	527,916.	
		THRU NGEN III, LP PROPERTY TYPE: OTHER				P	24,622.	
		THRU ADAMAS OPPORTUNITIES PROPERTY TYPE: OTHER				P	601,090.	
		THRU COLCHESTER GLOBAL BOND FUND PROPERTY TYPE: OTHER				P	-17,909.	
		THRU DAVIDSON KEMPNER INSTL. PARTNERS PROPERTY TYPE: OTHER				P	310,487.	
		THRU AMERICAN SECURITIES PROPERTY TYPE: OTHER				P	10,743.	
		THRU MARATHON LONDON INTERNATIONAL PROPERTY TYPE: OTHER				P	1,193,898.	
		THRU CITY OF LONDON-EMERGING MARKETS PROPERTY TYPE: OTHER				P	128,035.	
		THRU DENHAM COMMODITY, LP PROPERTY TYPE: OTHER				P	-32,581.	
		LESS AMOUNT ATTRIBUTABLE TO UBIT PROPERTY TYPE: OTHER				P	-160,719.	
		THRU THE TAP FUND LTD PROPERTY TYPE: OTHER				P	-555,278.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		THRU MASON CAPITAL LTD PROPERTY TYPE: OTHER				P		
							-274,792.	
		THRU DISPOSITION OF ADAMAS PARTNERS PROPERTY TYPE: OTHER				P		
8,074,885.		7,797,326.					277,559.	
		THRU DISPOSITION OF ADAMAS OPPORTUNITES PROPERTY TYPE: OTHER				P		
5,555,772.		5,221,773.					333,999.	
		THRU DISPOSITION OF COLCHESTER GLOBAL BO PROPERTY TYPE: OTHER				P		
4,430,787.		3,728,205.					702,582.	
		THRU DAVIDSON KEMPNER INSTL. PARTNERS PROPERTY TYPE: OTHER				P		
3,045,608.		3,043,369.					2,239.	
		THRU DISPOSITION OF MARATHON LONDON INTE PROPERTY TYPE: OTHER				P		
10863902.		10319908.					543,994.	
TOTAL GAIN(LOSS)							4,308,794.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS	1,138,373.	1,138,373.
INTEREST & DIVIDEND INCOME FROM AMERICAN SECURITIES L.P.		35,923.
INTEREST INCOME FROM NGEN III, L.P.		1,441.
INTEREST AND DIVIDEND INCOME FROM DENHAM COMMODITY		2,397.
INTEREST AND DIVIDEND INCOME FROM COLCHESTER GLOBAL BOND FUND		142,958.
INTEREST AND DIVDEND INCOME FROM ADAMAS PARTNERS, L.P.		5,132.
INTEREST AND DIVDEND INCOME FROM ADAMAS OPPORTUNITIES, L.P.		35,857.
INTEREST & DIVIDEND INCOME FROM DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP		91,012.
INTEREST & DIVIDEND INCOME FROM CITY OF LONDON EMERGING MARKETS COUNTRY FUND		96,015.
INTEREST AND DIVDEND INCOME FROM MARATHON-LONDON INTERNATIONAL INVESTMENT TRUST I		288,014.
INTEREST INCOME FROM LEGACY VENTURE VII, LLC		9.
INTEREST INCOME FROM AMERICAN SECURITIES VII, L.P.		94.
TOTAL	1,138,373.	1,837,225.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RETURNED GRANTS	88,001.	
NET INCOME FROM LIMITED PARTNERSHIPS AND LIKE INVESTMENTS	755,843.	-218,298.
LESS: AMOUNT ATTRIBUTABLE TO UBIT		-5,360.
TOTALS	843,844.	-223,658.

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
HARTER SECREST & EMERY LLP	5,397.			5,397.
RODNEY CORPORATION	2,289.			2,289.
TOTALS	7,686.			7,686.

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CONDON O'MEARA MCGINTY & DONNELLY LLP - AUDIT & TAX	26,850.	1,342.		25,508.
L.H. FRISHKOFF & COMPANY LLP - BOOKKEEPING SERVICES	20,931.	1,047.		19,884.
TOTALS	47,781.	2,389.		45,392.

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
LIMITED PARTNERSHIPS INVESTMENT ADVISORY FEES	67,251.	67,251.	
PRIME BUCHHOLZ & ASSOC., INC. INVESTMENT ADVISORY FEES	82,874.	82,874.	
GRANTS AVISORY AND CONSULTING	64,416.		64,416.
MARATHON LONDON INTERNATIONAL PERFORMANCE FEE	112,746.	112,746.	
ANCHORAGE CAPITAL GROUP, LLC MANAGEMENT FEE	61,024.	61,024.	
TOTALS	388,311.	323,895.	64,416.

ATTACHMENT 6

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST EXPENSE - PARTNERSHIP		112,238.
LESS: AMOUNT ATTRIBUTABLE TO UBI		-103,608.
TOTALS		8,630.

ATTACHMENT 7

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	45,000.	
STATE UBI TAXES	2,642.	2,642.
FOREIGN TAXES - PARTNERSHIPS		31,669.
LESS: AMOUNT ATTRIBUTABLE TO UBI		-2,642.
TOTALS	47,642.	31,669.

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE & FAX	7,134.	357.	6,777.
COMPUTER EXPENSES	8,580.	429.	8,151.
WORLD WIDE WEB EXPENSES	3,482.	174.	3,308.
OFFICE SUPPLIES	7,385.	369.	7,016.
SERVICE CONTRACTS	709.	35.	674.
PROGRAM EXPLORATION	123,595.		123,595.
MEETING REGISTRATION	1,961.	98.	1,863.
MEMBERSHIP EXPENSES	889.	44.	845.
INSURANCE EXPENSE	3,884.	194.	3,690.
K-1 ORDINARY EXPENSE	164.	164.	
POSTAGE & SHIPPING	6,035.	302.	5,733.
MISCELLANEOUS	26.	1.	25.
MEDIA RELATIONS	16,402.	820.	15,582.
SPECIAL PROGRAMS AND MEETINGS	71,927.		71,927.
MAINTENANCE	85.	4.	81.
OTHER DEDUCTIONS FROM LIMITIED PARTNERSHIPS		307,732.	
LESS: AMOUNT ATTRIBUTIBLE TO UBIT		-21,461.	
STATE FILING FEES	1,510.		1,510.
TOTALS	253,768.	289,262.	250,777.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VOYA GLOBAL REAL ESTATE	561,554.	561,554.
VANGUARD INFLATION-PROTECT SEC		
VANGUARD TOTAL BOND MKT INDEX	7,384,651.	7,384,651.
VANGUARD TOTAL STOCK MARKET	19,264,147.	19,264,147.
VANGUARD ENERGY FUND ADMIRAL		
VANGUARD- DEVEL MARKETS INDEX		
VANGUARD EMERGING MARKETS		
VANGUARD SHORT TERM TREASURY	86,424.	86,424.
VANGUARD SHORT TERM TREASURY	51,016.	51,016.
VANGUARD TOTAL STOCK MARKET	28,528.	28,528.
VANGUARD TOTAL BOND MARKET	17,443.	17,443.
VANGUARD SMALL CAP INDEX ADM	27,116.	27,116.
RS GLOBAL NATURAL RESOURCES		
VANGUARD MIDCAP INDEX FUND ADM	105,248.	105,248.
VANGUARD EMERGING MARKETS	59,443.	59,443.
VANGUARD MID CAP INDEX ADM	29,330.	29,330.
VANGUARD PACIFIC STOCK INDEX	57,930.	57,930.
VANGUARD TOTAL BOND MARKET	109,923.	109,923.
VANGUARD EMERGING MARKETS	35,976.	35,976.
VANGUARD EMERGING MARKET	16,679.	16,679.
VANGUARD TOTAL STOCK MARKET	100,939.	100,939.
VANGUARD EUROPEAN STOCK INDEX	16,239.	16,239.
VANGUARD INTER-TWRM INVEST	1,871,749.	1,871,749.
VANGUARD REIT INDEX FUND	3,479,688.	3,479,688.
VANGUARD SHORT-TERM INVEST	2,817,452.	2,817,452.
VANGAURD STERM INF PRO SEC INX	3,833,839.	3,833,839.
VANGUARD TOT INTL BOND IX	1,897,955.	1,897,955.
VANGUARD TOT INTL STOCK IX	10,197,670.	10,197,670.
TOTALS	52,050,939.	52,050,939.

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ADAMAS OPPORTUNITIES LP		
ADAMAS PARTNERS LP		
COLCHESTER GLOBAL BOND FUND		
DAVIDSON KEMPER INSTITUTIONAL PARTNERS LP		
NGEN II, LP	24,405.	24,405.
NGEN III, LP	1,273,652.	1,273,652.
DAVIDSON KEMPER LONG TERM DISTRESSED OPP. INT'L LP	406,380.	406,380.
AMERICAN SECURITIES PARTNERS	1,331,126.	1,331,126.
MARATHON LONDON INTERNATIONAL INVESTMENT TRUST I		
CITY OF LONDON- EMERGING MARKETS COUNTRY FUND	5,067,794.	5,067,794.
DENHAM COMMODITY PARTNERS FUND	730,036.	730,036.
MASON CAPITAL		
ANCHORAGE CAPITAL PARTNERS	3,134,529.	3,134,529.
TAP FUND		
LEGACY VENTURE VIII, LLC	53,426.	53,426.
AMERICAN SECURITIES VII	197,472.	197,472.
TOTALS	12,218,820.	12,218,820.

ATTACHMENT 11

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED GAINS ON INVESTMENTS		2,508,031.
	TOTAL	2,508,031.

ATTACHMENT 12

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
UNREALIZED LOSS FROM PARTNERSHIPS AND ALTERNATIVE INVESTMENTS	441,758.
TOTAL	441,758.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HENRY C. WALTER 555 MADISON AVENUE NEW YORK, NY 10022	PRESIDENT 2.00	57,500.	0.	0.
DOROTHY DINSMOOR 555 MADISON AVENUE NEW YORK, NY 10022	VICE PRESIDENT & SECRETARY 5.00	10,000.	0.	0.
H. SCOTT WALTER 555 MADISON AVENUE NEW YORK, NY 10022	TREASURER 4.00	43,500.	0.	0.
EDWARD A. REILLY 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	32,000.	0.	0.
MARY ANNE FOX 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	13,000.	0.	0.
JOHN I. BRAUMAN 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	36,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MATTHEW V. TIRRELL 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	30,500.	0.	0.
JOHN R. BLUM 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	27,500.	0.	0.
PAUL WOITACH C/O THE DREYFUS FOUNDATION R. ZARE 555 MADISON AVE NEW YORK, NY 10022	DIRECTOR 2.00	33,000.	0.	0.
RICHARD N. ZARE 555 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 2.00	24,500.	0.	0.
DR. MARK CARDILLO 555 MADISON AVENUE NEW YORK, NY 10022	EXECUTIVE DIRECTOR 40.00	200,000.	24,000.	0.
	GRAND TOTALS	507,500.	24,000.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 14

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
PRIME BUCHHOLZ & ASSOCIATES, INC. 25 CHESTNUT STREET PORTSMOUTH, NH 03801	INVEST ADVISORY FEES	82,874.
ANCHORAGE CAPITAL GROUP, LLC 610 BRAODWAY NEW YORK, NY 10012	INVEST ADVISORY FEES	61,024.
MARATHON LONDON INTERNATIONAL 5 UPPER ST. MARTIN'S LANE LONDON UNITED KINGDOM WC2H 9EA	INVEST ADVISORY FEES	112,746.
TOTAL COMPENSATION		256,644.

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DR. MARK CARDILLO
THE CAMILLE & HENRY DREYFUS FDN
555 MADISON AVE., NY, NY 10022
212-753-1760

ATTACHMENT 16

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

APPLICATION FORMS SUPPLIED BY THE FOUNDATION WHERE APPLICABLE OR BY LETTER - SEE ATTACHED BROCHURES.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 17

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHMENT 16A	NONE PC	SEE SCHEDULE ATTACHED	2,754,834.
		TOTAL CONTRIBUTIONS PAID	2,754,834.

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Dreyfus Awards / American Chemical Society

Catherine H. Middlecamp Nelson Institute for Environmental Studies University of Wisconsin-Madison Madison WI 53706	$10,000.00
Luis Colon Chemistry University at Buffalo Buffalo NY 14260	$10,000.00
Carol Fierke Biological Chemistry University of Michigan Ann Arbor MI 48109	$10,000.00
American Chemical Society 1155 Sixteenth Street, NW Washington DC 20036	$15,834.00
Mary Kirchhoff Education Division American Chemical Society 1155 16th Street, NW Washington DC 20036	$50,000.00

Jean Dreyfus Lectureship for Undergraduate Institution

Diana McGill Chemistry Northern Kentucky University SC 204 F, Nunn Dr. Highland Heights KY 41099-1905	$11,000.00
Shaun Murphree	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Chemistry Allegheny College 520 N. Main Street Meadville PA 16335	$5,500.00
Chris Pursell Chemistry Trinity University One Trinity Place San Antonio TX 78212-7200	$11,000.00
Kerry Rouhier Chemistry Kenyon College 200 N. College Road Gambrier OH 43022	$11,000.00
David Alberg Chemistry Carleton College Mudd Hall 191 (2-CHEM) Northfield MN 55057	$7,500.00
Scott Reed Chemistry University of Colorado Denver 1151 Arapahoe Street, Suite 3071 Denver CO 80217-3364	$7,500.00
Matthew Sonntag Chemistry and Biochemistry Albright College North 13th and Bern Streets Reading PA 19612	$11,000.00
Marion Martin Chemistry	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Furman University 3300 Poinsett Hwy. Greenville SC 29613	$11,000.00
Kerry Karukstis Chemistry Harvey Mudd College 301 Platt Blvd. Claremont CA 91711	$18,500.00
Amanda Murphy Chemistry Western Washington University 516 High Street Bellingham WA 98225	$13,000.00
Robbie Iuliucci Chemistry Washington & Jefferson College 60 South Lincoln Street Washington PA 15301	$13,000.00
Kristy Miller Chemistry University of Evansville 1800 Lincoln Avenue Evansville IN 47722	$7,500.00
John Hanson Chemistry University of Puget Sound 1500 N. Warner St. #1015 Tacoma WA 98416	$7,500.00

Postdoctoral Program in Environmental Chemistry

Michelle Chang
Chemistry

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

University of California, Berkeley 125 Lewis Berkeley CA 94720	$60,000.00
Poul B. Petersen Chemistry and Chemical Biology Cornell University B46 Baker Laboratory Ithaca NY 14853	$60,000.00
Athanasios Nenes Earth and Atmospheric Sciences Georgia Institute of Technology School of Chemical and Biomolecular Eng. Atlanta GA 30332	$60,000.00
Elad Harel Chemistry Northwestern University 2145 Sheridan Road Evanston IL 60208	$60,000.00
Jennifer Lewis Engineering and Applied Science Harvard University Pierce Hall Room 221 Cambridge MA 02138	$60,000.00
Yogesh Surendranath Chemistry Massachusetts Institute of Technology 77 Massachusetts Ave. Cambridge MA 02139	$60,000.00
Richard Lunt Chemical Engineering	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Michigan State University 4135 Engineering Building East Lansing MI 48824	$60,000.00
Kyoung-Shin Choi Chemistry University of Wisconsin,ÄìMadison 1101 University Avenue Madison WI 53706	$60,000.00
Timothy Bertram Chemistry and Biochemistry University of California, San Diego 9500 Gilman Drive MC 0314 La Jolla CA 92093	$60,000.00
Peidong Yang Chemistry University of California, Berkeley B68 Hildebrand Hall Berkeley CA 94720	$60,000.00
Eric Schelter Chemistry University of Pennsylvania 231 South 34th St. Philadelphia PA 19104	$60,000.00
Thuc-Quyen Nguyen Center for Polymers and Organic Solids University of California, Santa Barbara 2520A Physical Sciences Building North Santa Barbara CA 93106	$60,000.00
Zhenan Bao Chemical Engineering Stanford University 443 Via Ortega, Room 307	

Stanford CA 94305	$60,000.00
Matthew Kanan Chemistry Stanford University 333 Campus Drive Stanford CA 94305	$60,000.00

Special Grant Program in the Chemical Sciences

Edwin Constable Chemistry University of Basel Vizerektorat, Petersgraben 35 Basel Switzerland CH-4003	$20,000.00
Mary Kirchhoff Education Division American Chemical Society 1155 Sixteenth Street, NW Washington DC 20036	$55,000.00
Kevin Shea Chemistry Smith College 100 Green St. Northampton MA 01063	$30,000.00
Kathy Fleming Development American Chemical Society 1155 Sixteenth Street, NW Washington DC 20036	$34,000.00

Senior Scientist Mentor Program

Jacques L. Zakin

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Chemical and Biomolecular Engineering The Ohio State University 140 W. 19th Avenue Columbus OH 43210	$10,000.00
Kenneth Hedberg Chemistry Oregon State University Gilbert Hall 153 Corvallis OR 97331	$10,000.00
Henry DePhillips Chemistry Trinity College 300 Summit Street Hartford CT 06106	$10,000.00
Ronald Danner Chemical Engineering The Pennsylvania State University 163 Fenske Laboratory University Park PA 16802	$10,000.00
Gamil A. Guirgis Chemistry and Biochemistry College of Charleston 202 Calhoun Street Charleston SC 29424	$10,000.00
Albert Padwa Chemistry Emory University 1515 Dickey Drive Atlanta GA 30322	$10,000.00
James Dye Chemistry Michigan State University	

578 S. Shaw Lane East Lansing MI 48824-1322	$10,000.00
Roger DeKock Chemistry and Biochemistry Calvin College 3201 Burton Street, SE Grand Rapids MI 49546	$10,000.00
R. Stephen Berry Chemistry The University of Chicago 929 East 57th Street Chicago IL 60637	$10,000.00
Takeshi Oka Chemistry and Astronomy and Astrophysics The University of Chicago 5735 South Ellis Avenue Chicago IL 60637	$10,000.00
Stuart Rice Chemistry The University of Chicago 929 East 57th Street Chicago IL 60637	$10,000.00
Andrew Streitwieser Chemistry University of California, Berkeley Berkeley CA 94720-1460	$10,000.00
Richard Kirchner Chemistry and Biochemistry Manhattan College 4513 Manhattan College Parkway Bronx NY 10471	$10,000.00

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Claude Yoder Chemistry Franklin & Marshall College P.O. Box 3003 Lancaster PA 17604	$10,000.00
Joseph Sherma Chemistry Lafayette College 326 Hugel Science Center Easton PA 18042	$10,000.00
Raymond Dessy Chemistry Virginia Polytechnic Institute and State University 2024 Hahn Hall South Blacksburg VA 24061	$10,000.00
Terry Miller Chemistry and Biochemistry The Ohio State University 120 W. 18th Avenue Columbus OH 43210	$10,000.00
Edward Cussler Chemical Engineering and Materials Science University of Minnesota 421 Washington Ave., SE #151 Minneapolis MN 55455	$10,000.00
Kenneth Suslick Chemistry University of Illinois at Urbana-Champaign 600 S. Mathews Ave. Urbana IL 61801	$10,000.00
Susan Jackels Chemistry	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Seattle University 901 12th Avenue Seattle WA 98122	$10,000.00
Peter H. von Hippel Chemistry and Biochemistry University of Oregon 215 Klamath Hall Eugene OR 97403	$10,000.00

Camille Dreyfus Teacher-Scholar Award Program

Mircea Dinca Chemistry Massachusetts Institute of Technology 77 Massachusetts Ave. Cambridge MA 02139	$75,000.00
Luis M. Campos Chemistry Columbia University 3000 Broadway, MC3124 New York NY 10027	$75,000.00
Aditya S. Khair Chemical Engineering Carnegie Mellon University 5000 Forbes Avenue Pittsburgh PA 15213	$75,000.00
Amanda J. Morris Chemistry Virginia Polytechnic Institute and State University 3109 Hahn Hall South Blacksburg VA 24060	$75,000.00

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

Qiu Wang Chemistry Duke University 124 Science Drive Durham NC 27708	$75,000.00
Nathaniel K. Szymczak Chemistry University of Michigan 930 N. University Ave. Ann Arbor MI 48109	$75,000.00
Eranda Nikolla Chemical Engineering and Materials Science Wayne State University 5050 Anthony Wayne Drive Detroit MI 48202	$75,000.00
Jared C. Lewis Chemistry The University of Chicago 5735 South Ellis Avenue Chicago IL 60637	$75,000.00
Neal K. Devaraj Chemistry and Biochemistry University of California, San Diego 9500 Gilman Drive MC 0359 La Jolla CA 92093	$75,000.00
William C. Chueh Materials Science & Engineering Stanford University 496 Lomita Mall Stanford CA 94305	$75,000.00
Naomi S. Ginsberg Chemistry and Physics	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

University of California, Berkeley Hildebrand Hall D64 Berkeley CA 94720	$75,000.00
Michael D. Pluth Chemistry and Biochemistry University of Oregon 1253 University of Oregon Eugene OR 97403	$75,000.00
Andrew J. Boydston Chemistry University of Washington Box 351700 Seattle WA 98195	$75,000.00

Henry Dreyfus Teacher-Scholar Awards Program

Fadi Bou-Abdallah Chemistry The State University of New York at Potsdam 44 Pierrepont Avenue Potsdam NY 13676	$60,000.00
Katherine E. Plass Chemistry Franklin & Marshall College P.O. Box 3003 Lancaster PA 17604	$60,000.00
Liliya A. Yatsunyk Chemistry and Biochemistry Swarthmore College 500 College Ave. Swarthmore PA 19081	$60,000.00
Kelling J. Donald Chemistry	

The Camille and Henry Dreyfus Foundation, Inc.
Award Payments
January 1, 2016 - December 31, 2016

University of Richmond 28 Westhampton Way Richmond VA 23173	$60,000.00
Matthew T. Whited Chemistry Carleton College One North College Street Northfield MN 55057	$60,000.00
Jane M. Liu Chemistry Pomona College 645 North College Avenue Claremont CA 91711	$60,000.00
Total Grants Paid	**$2,754,834**

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 18

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
RETURNED GRANTS					88,001.
NET INCOME FROM LIMITED PARTNERSHIPS AND LIKE INVESTMENTS		5,360.	14	740,983.	
TOTALS		5,360.		740,983.	88,001.