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Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: **ELIAS FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **P O. BOX 1501, NJ2-130-03-31**

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: **PENNINGTON NJ 08534-1501**

Foreign country name: \_\_\_\_\_ Foreign province/state/county: \_\_\_\_\_ Foreign postal code: \_\_\_\_\_

**A Employer identification number**  
13-4092287

**B Telephone number (see instructions)**  
609-274-6834

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

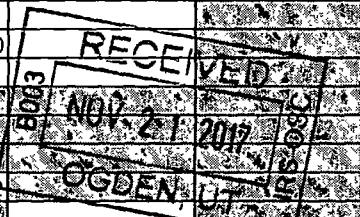
**G** Check all that apply  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 8,856,452**

**J** Accounting method  Cash  Accrual  Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	1,614,537			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	109,457	109,070		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	177,812			
	b Gross sales price for all assets on line 6a 1,207,126				
	7 Capital gain net income (from Part IV, line 2)		177,812		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	10,023	14,933			
12 <b>Total.</b> Add lines 1 through 11	1,911,829	301,815	0		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,575			2,575
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	87,107	52,265		34,842
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	18,320	224		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,147			1,147
	22 Printing and publications				
	23 Other expenses (attach schedule)	9,893	1,675		8,218
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	119,042	54,164	0	46,782
	25 Contributions, gifts, grants paid	511,456			511,456
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	630,498	54,164	0	558,238	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,281,331				
b <b>Net investment income</b> (if negative, enter -0-)		247,651			
c <b>Adjusted net income</b> (if negative, enter -0-)			0		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	134,866	63,487	63,487
	2	Savings and temporary cash investments	645,187	418,081	418,081
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 550,000			
		Less allowance for doubtful accounts ▶		550,000	550,000
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	101,046	100,659	104,715
	b	Investments—corporate stock (attach schedule)	3,702,799	3,617,789	5,006,429
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	1,117,128	1,523,425	2,713,740	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶	81,178			
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	5,782,204	6,273,441	8,856,452	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	5,782,204	6,273,441	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	5,782,204	6,273,441		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	5,782,204	6,273,441		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,782,204
2	Enter amount from Part I, line 27a	2	1,281,331
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	442,894
4	Add lines 1, 2, and 3	4	7,506,429
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	1,232,988
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,273,441

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	177,812	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	900,282	6,981,706	0.128949
2014	1,293,156	7,967,694	0.162300
2013	998,261	6,738,467	0.148144
2012	694,510	5,676,174	0.122355
2011	547,953	4,702,411	0.116526
2 Total of line 1, column (d)			0.678274
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.135655
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			6,853,320
5 Multiply line 4 by line 3			929,687
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,477
7 Add lines 5 and 6			932,164
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			558,238

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	4,953	
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	4,953	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	4,953	
<b>6</b>	Credits/Payments			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	10,230	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	10,230	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	5,277	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>Refunded</b>	<b>11</b>	5,277	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation ▶ \$ _____ <b>(2)</b> On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	Yes	No
			X
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	X	
<b>14</b>	The books are in care of <b>▶</b> UST-MLT, A DIVISION OF BANK OF AMERICA, N Telephone no <b>▶</b> 609-274-6834 Located at <b>▶</b> 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 <b>▶</b> 08534-1501		
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here <b>▶</b> <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>▶</b> 15		
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>▶</b>	Yes	No
			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>▶</b> <input type="checkbox"/>	1b	N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a During the year did the foundation pay or incur any amount to
  - (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b	N/A	
6b		X
7b	N/A	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		
	00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UST-MLT, A DIVISION OF BANK OF AMERICA, N A 1300 MERRILL LYNCH DRIVE, PENNINGTON, NJ 08534-1501	AGENT FOR TRUSTEE	63,151
.....		
.....		
.....		
.....		
.....		
<b>Total number of others receiving over \$50,000 for professional services</b>		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE .....	
2 .....	
3 .....	
4 .....	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount		
1 NONE .....			
2 .....			
All other program-related investments See instructions 3 NONE .....			
<b>Total. Add lines 1 through 3</b>		▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	6,469,382
<b>b</b>	Average of monthly cash balances	<b>1b</b>	488,303
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	6,957,685
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	6,957,685
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	104,365
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	6,853,320
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	342,666

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	342,666
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	4,953
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	4,953
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	337,713
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	39,723
<b>5</b>	Add lines 3 and 4	<b>5</b>	377,436
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	377,436

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	558,238
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	558,238
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	558,238

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				377,436
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011	330,230			
<b>b</b> From 2012	437,597			
<b>c</b> From 2013	685,322			
<b>d</b> From 2014	937,309			
<b>e</b> From 2015	564,278			
<b>f</b> Total of lines 3a through e	2,954,736			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 558,238				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				377,436
<b>e</b> Remaining amount distributed out of corpus	180,802			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,135,538			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	330,230			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,805,308			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012	437,597			
<b>b</b> Excess from 2013	685,322			
<b>c</b> Excess from 2014	937,309			
<b>d</b> Excess from 2015	564,278			
<b>e</b> Excess from 2016	180,802			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

JACQUELINE MANN                      JAMES E MANN

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<b>Total</b>			▶ <b>3a</b>	511,456
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<b>Total</b>			▶ <b>3b</b>	0





ELIAS FOUNDATION

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Amnesty International USA Inc

**Street**

5 Penn Plaza, 16th Floor

**City**

New York

**State**

NY

**Zip Code**

10001

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

15,000

**Name**

Equal Justice Initiative

**Street**

122 Commerce St

**City**

Montgomery

**State**

AL

**Zip Code**

36104-2538

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

15,000

**Name**

Oxfam-America, Inc

**Street**

226 Causeway Street Fifth Floor

**City**

Boston

**State**

MA

**Zip Code**

02114

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

40,000

**Name**

Partners In Health

**Street**

P O Box 996

**City**

Frederick

**State**

MD

**Zip Code**

21705

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

10,000

**Name**

Institute Of Heartmath

**Street**

Po Box 146

**City**

Boulder Creek

**State**

CA

**Zip Code**

95006-0146

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

3,995

**Name**

Freedom Food Alliance Incorporated

**Street**

24 Waterbury Pkwy

**City**

Cortlandt Mnr

**State**

NY

**Zip Code**

10567-1522

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

27,650

ELIAS FOUNDATION

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

New Jersey Policy Perspective Inc

**Street**

137 W Hanover St

**City**

Trenton

**State**

NJ

**Zip Code**

08618-4823

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

10,000

**Name**

Tides Foundation

**Street**

Po Box 29903

**City**

San Francisco

**State**

CA

**Zip Code**

94129-0903

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

40,710

**Name**

The Bronx Freedom Fund Inc

**Street**

360 E 161St St

**City**

Bronx

**State**

NY

**Zip Code**

10451-4142

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

3,000

**Name**

Domestic Workers United Inc

**Street**

1000 Dean St Ste 307

**City**

Brooklyn

**State**

NY

**Zip Code**

11238-3384

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

6,000

**Name**

Preble Street

**Street**

38 Preble Street 3Rd Floor

**City**

Portland

**State**

ME

**Zip Code**

04101-4952

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

3,000

**Name**

Southern Center For Human Rights

**Street**

83 Poplar St Nw

**City**

Atlanta

**State**

GA

**Zip Code**

30303-2122

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Mindful Schools

**Street**

1260 45Th Street Surte B

**City**

Emeryville

**State**

CA

**Zip Code**

94608-2907

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

400

**Name**

Childrens Village Inc

**Street**

Echo Hls

**City**

Dobbs Ferry

**State**

NY

**Zip Code**

10522-0000

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

45,000

**Name**

Just Leadership

**Street**

1900 Lexington Ave

**City**

New York

**State**

NY

**Zip Code**

10035

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Wespac Foundation Inc

**Street**

31 Mamaroneck Ave Ste 403

**City**

White Plains

**State**

NY

**Zip Code**

10601-3378

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

81,500

**Name**

Community-Word Project Inc

**Street**

11 Broadway Ste 508

**City**

New York

**State**

NY

**Zip Code**

1004-3105

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

850

**Name**

Community Voices Heard Inc

**Street**

115 E 106Th Street 3Rdf

**City**

New York

**State**

NY

**Zip Code**

10029-4614

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

15,000

ELIAS FOUNDATION

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Fordham University

**Street**

Office of Development and University Relations

**City**

New York

**State**

NY

**Zip Code**

10023

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

4,707

**Name**

Southern Maine Workers Center

**Street**

68 Washington Ave

**City**

Portland

**State**

ME

**Zip Code**

04101

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

10,000

**Name**

Housing Initiatives Of Princeton Charitable Tr

**Street**

33 Mercer St

**City**

Princeton

**State**

NJ

**Zip Code**

08540-6807

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

10,000

**Name**

Cgdcny Inc

**Street**

45 Ludlow St Ste 312

**City**

Yonkers

**State**

NY

**Zip Code**

10705-1949

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

93,550

**Name**

Trenton Civil Circus Project Inc

**Street**

455 Cherry Valley Rd

**City**

Princeton

**State**

NJ

**Zip Code**

08540-7916

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

15,000

**Name**

Westchester United Inc

**Street**

82 Prospect St

**City**

White Plains

**State**

NY

**Zip Code**

10606-3421

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

500

ELIAS FOUNDATION

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Neighbors Link Corp

**Street**

27 Columbus Ave

**City**

Mount Kisco

**State**

NY

**Zip Code**

10549-2603

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

1,000

**Name**

Kid in Danger

**Street**

116 W Illinois St, Suite 4E

**City**

Chicago

**State**

IL

**Zip Code**

60654

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Westchester Community College Foundation, Inc

**Street**

75 Grasslands Road

**City**

Valhalla

**State**

NY

**Zip Code**

10595

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

444

**Name**

Trenton Area Soup Kitchen (TASK)

**Street**

72 1/2 Escher Street

**City**

Trenton

**State**

NJ

**Zip Code**

08605

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

United For A Fair Economy Inc

**Street**

1 Milk St Ste 5

**City**

Boston

**State**

MA

**Zip Code**

02109-5413

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

10,000

**Name**

Westchester Childrens Association Inc

**Street**

470 Mamaroneck Ave Ste 3040

**City**

White Plains

**State**

NY

**Zip Code**

10605-1830

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

11,150

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Midwest Academy, INC

**Street**

1420 Chase Ct

**City**

Carmel

**State**

IN

**Zip Code**

46032

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

American Civil Liberties Union Inc

**Street**

125 Broad St 18th Floor

**City**

New York

**State**

NY

**Zip Code**

10033

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Road to Recovery

**Street**

411 S 26th St Apt 2

**City**

Laramie

**State**

WY

**Zip Code**

82070

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

3,000

**Name**

Mercer Alliance to End Homlessness Inc

**Street**

3150 Brunswick Pike Ste 230

**City**

Lawrencville

**State**

NJ

**Zip Code**

08648-2420

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**



**Part I, Line 11 (990-PF) - Other Income**

		10,023	14,933	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	PARTNERSHIP INCOME	-4,910		
2	OTHER INCOME	14,933	14,933	

**Part I, Line 16a (990-PF) - Legal Fees**

		2,575	0	0	2,575
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	LEGAL FEES	2,575			2,575

**Part I, Line 16c (990-PF) - Other Professional Fees**

		87,107	52,265	0	34,842
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	UST-MLT FEES AS AGENT	63,151	37,891		25,260
2	ADVISING/CONSULTING FEES	23,956	14,374		9,582

**Part I, Line 18 (990-PF) - Taxes**

		18,320	224	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	224	224		
2	PY EXCISE TAX DUE	7,866			
3	ESTIMATED EXCISE PAYMENTS	10,230			

**Part I, Line 23 (990-PF) - Other Expenses**

		9,893	1,675	0	8,218
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PARTNERSHIP DEDUCTIONS	1,525	1,525		
2	EMA ANNUAL FEE	150	150		
3	MISC OTHER CHARITABLE EXPENSES	8,218	0		8,218

Part II, Line 7 (990-PF) - Other Notes

Borrower's Name	Check 'X' if Business	Check 'X' if 501(c)(3) Org	Original Amount	Net Balance Due Beginning of Year	Balance Due End of Year	Allowance for Doubtful Accts End of Year	FMV of Other Notes	Security Provided	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Purpose of Loan	Consideration Description	Consideration FMV	Relationship
1. BUILDING FROM BELOW		X	550,000	0	550,000	0	550,000		3/24/2016	1/23/2026	PER LOAN DOCUMENT	1.17%	PURCHASE PROPERTY			

**Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations**

		Federal		State/Local		0		104,715	
		101,046	100,659	0	0	0	0	104,715	0
	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year	State/Local Obligation		
1									
2	U.S. TREASURY NOTE		0	100,659		104,715			



**Part II, Line 13 (990-PF) - Investments - Other**

Asset Description		1,117,128	1,523,425	2,713,740
1		Book Value Beg. of Year	Book Value End of Year	FMV End of Year
2	BLACKROCK FDS LOW DURATION PORT	0	247,855	246,347
3	BLACKROCK GLOBAL	0	299,999	277,952
4	LOOMIS SAYLES STRATEGIC	0	377,729	344,744
5	VANGUARD EXTID MKT ETF	0	201,353	230,160
6	EMA ACCOUNT		396,489	1,614,537

**Part III (990-PF) - Changes in Net Assets or Fund Balances****Line 3 - Other increases not included in Part III, Line 2**

1	Checks Written in 2016 Cleared in 2017	1	55,000
2	Gain on PY Sales Settled in CY	2	302,057
3	Mutual Fund and CTF Income Addition	3	980
4	Federal Excise Tax Refund	4	34,254
5	Return of PY Grants Paid	5	39,723
6	Cost Basis Adjustment	6	10,880
7	Total	7	442,894

**Line 5 - Decreases not included in Part III, Line 2**

1	Bond Amortization	1	387
2	Excess FMV over Cost of Assets Contributed	2	1,163,923
3	Mutual Fund and CTF Income Subtraction	3	1,160
4	Checks Written in 2015 Cleared in 2016	4	56,000
5	Imputed Interest Adjustment	5	7,684
6	Partnership Income Adjustment	6	3,834
7	Total	7	1,232,988

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		10,896		0		1,196,230		1,029,314		166,916		166,916	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj. Basis of Losses	166,916	166,916	
1 EXXON MOBIL CORP COM	30231G102		5/4/2011	9/21/2016	8,313		0	8,454	-141	0	0	0	0	-141	0	0	
2 EXXON MOBIL CORP COM	30231G102		2/23/2012	9/21/2016	8,313		0	8,313	-366	0	0	0	0	-366	0	0	
3 EXXON MOBIL CORP COM	30231G102		3/6/2015	9/21/2016	16,626		0	17,244	-618	0	0	0	0	-618	0	0	
4 EXXON MOBIL CORP COM	30231G102		2/2/2012	9/21/2016	16,626		0	16,626	-47	0	0	0	0	-47	0	0	
5 EXXON MOBIL CORP COM	30231G102		1/10/2011	9/21/2016	16,626		0	16,626	1,605	0	0	0	0	1,605	0	0	
6 EXXON MOBIL CORP COM	30231G102		5/28/2014	9/21/2016	16,626		0	20,295	-3,669	0	0	0	0	-3,669	0	0	
7 EXXON MOBIL CORP COM	30231G102		2/9/2012	9/21/2016	16,626		0	16,626	-347	0	0	0	0	-347	0	0	
8 FACEBOOK INC	30303M102		10/24/2014	9/19/2016	25,699		0	15,969	9,730	0	0	0	0	9,730	0	0	
9 GENERAL ELECTRIC	369604103		5/19/2011	9/14/2016	14,900		0	9,968	4,932	0	0	0	0	4,932	0	0	
10 GENERAL ELECTRIC	369604103		1/10/2011	9/14/2016	14,900		0	9,298	5,612	0	0	0	0	5,612	0	0	
11 GOLDCORP INC	380956409		1/18/2011	9/14/2016	4,712		0	12,252	-7,540	0	0	0	0	-7,540	0	0	
12 GOLDCORP INC	380956409		2/12/2013	9/14/2016	3,141		0	7,022	-3,881	0	0	0	0	-3,881	0	0	
13 GOLDCORP INC	380956409		1/30/2013	9/14/2016	14,136		0	32,388	-18,252	0	0	0	0	-18,252	0	0	
14 GOLDCORP INC	380956409		2/9/2012	9/14/2016	7,854		0	23,858	-15,796	0	0	0	0	-15,796	0	0	
15 GOLDCORP INC	380956409		1/20/2011	9/14/2016	1,571		0	4,012	-2,441	0	0	0	0	-2,441	0	0	
16 HESS CORP	42809H107		1/5/2016	2/5/2016	39,011		0	47,245	-8,234	0	0	0	0	-8,234	0	0	
17 HESS CORP	42809H107		6/3/2014	2/5/2016	39,011		0	91,610	-52,599	0	0	0	0	-52,599	0	0	
18 KKR & CO LP DEL	48248M102		4/6/2015	9/19/2016	7,161		0	11,875	-4,714	0	0	0	0	-4,714	0	0	
19 KKR & CO LP DEL	48248M102		11/17/2014	9/19/2016	14,321		0	22,841	-8,520	0	0	0	0	-8,520	0	0	
20 KKR & CO LP DEL	48248M102		7/15/2013	9/19/2016	28,642		0	42,429	-13,787	0	0	0	0	-13,787	0	0	
21 KKR & CO LP DEL	48248M102		3/28/2013	9/19/2016	14,321		0	20,032	-5,711	0	0	0	0	-5,711	0	0	
22 KKR & CO LP DEL	48248M102		2/12/2013	9/19/2016	14,321		0	18,599	-4,278	0	0	0	0	-4,278	0	0	
23 PJT PARTNERS INC SHS	69343T107		5/19/2011	1/12/2016	171		0	27	144	0	0	0	0	144	0	0	
24 PJT PARTNERS INC SHS	69343T107		3/7/2011	1/12/2016	122		0	19	103	0	0	0	0	103	0	0	
25 PJT PARTNERS INC SHS	69343T107		2/14/2011	1/12/2016	1,218		0	190	1,028	0	0	0	0	1,028	0	0	
26 PJT PARTNERS INC SHS	69343T107		5/13/2014	1/12/2016	292		0	46	246	0	0	0	0	246	0	0	
27 PJT PARTNERS INC SHS	69343T107		2/22/2012	1/12/2016	317		0	50	267	0	0	0	0	267	0	0	
28 SCHLUMBERGER LTD	80685T108		1/10/2011	9/21/2016	7,642		0	8,078	-436	0	0	0	0	-436	0	0	
29 SCHLUMBERGER LTD	80685T108		4/7/2015	9/21/2016	15,283		0	17,341	-2,058	0	0	0	0	-2,058	0	0	
30 UNDER ARMOUR INC CLASS	904311296		7/1/2016	7/1/2016	0		0	0	0	0	0	0	0	0	0	0	
31 VANGUARD EXT MKT ETF	922908652		7/15/2013	4/25/2016	84,417		0	74,258	10,159	0	0	0	0	10,159	0	0	
32 UBS GROUP AG NAMEN-AKT	H4209T107		12/22/2015	1/19/2016	189,343		0	43,800	125,543	0	0	0	0	125,543	0	0	
33 UBS GROUP AG NAMEN-AKT	H4209T107		12/22/2015	3/11/2016	83,956		0	21,900	62,056	0	0	0	0	62,056	0	0	
34 UBS GROUP AG NAMEN-AKT	H4209T107		12/22/2015	4/21/2016	16,504		0	4,380	12,124	0	0	0	0	12,124	0	0	
35 UBS GROUP AG NAMEN-AKT	H4209T107		12/22/2015	4/25/2016	33,243		0	8,760	24,483	0	0	0	0	24,483	0	0	
36 UBS GROUP AG NAMEN-AKT	H4209T107		5/17/2016	5/17/2016	13,927		0	13,927	0	0	0	0	0	0	0	0	
37 UBS GROUP AG NAMEN-AKT	H4209T107		12/22/2015	6/27/2016	102,323		0	35,040	67,283	0	0	0	0	67,283	0	0	
38 ADVANSIX INC	00773T101		1/10/2011	12/9/2016	159		0	58	101	0	0	0	0	101	0	0	
39 ADVANSIX INC	00773T101		2/12/2013	12/9/2016	79		0	38	41	0	0	0	0	41	0	0	
40 ADVANSIX INC	00773T101		2/9/2012	12/9/2016	159		0	64	95	0	0	0	0	95	0	0	
41 ADVANSIX INC	00773T101		5/4/2011	12/9/2016	79		0	32	47	0	0	0	0	47	0	0	
42 BOEING COMPANY	097023105		1/10/2011	9/14/2016	25,493		0	13,835	11,658	0	0	0	0	11,658	0	0	
43 BOEING COMPANY	097023105		2/12/2013	9/14/2016	38,240		0	22,858	15,384	0	0	0	0	15,384	0	0	
44 BOEING COMPANY	097023105		2/12/2012	9/14/2016	12,747		0	7,505	5,242	0	0	0	0	5,242	0	0	
45 E M C CORPORATION MASS	268648102		4/9/2012	1/5/2016	25,664		0	28,755	-3,091	0	0	0	0	-3,091	0	0	
46 E M C CORPORATION MASS	268648102		3/26/2013	1/5/2016	25,664		0	23,806	1,858	0	0	0	0	1,858	0	0	
47 E M C CORPORATION MASS	268648102		4/24/2012	1/5/2016	25,664		0	27,728	-2,062	0	0	0	0	-2,062	0	0	
48 E M C CORPORATION MASS	268648102		2/12/2013	1/5/2016	51,328		0	48,960	2,368	0	0	0	0	2,368	0	0	
49 ADVANSIX INC	00773T101		2/9/2012	1/12/2016	79		0	56	23	0	0	0	0	23	0	0	
50 PJT PARTNERS INC SHS	69343T107		5/19/2011	1/12/2016	609		0	95	514	0	0	0	0	514	0	0	
51 PLAINS ALL AMERN PIPL LP	726503105		2/7/2011	2/9/2016	5,936		0	9,702	-3,766	0	0	0	0	-3,766	0	0	
52 PLAINS ALL AMERN PIPL LP	726503105		1/10/2011	2/9/2016	2,968		0	4,819	-1,851	0	0	0	0	-1,851	0	0	
53 PLAINS ALL AMERN PIPL LP	726503105		6/3/2014	2/9/2016	7,420		0	24,834	-17,414	0	0	0	0	-17,414	0	0	
54 PLAINS ALL AMERN PIPL LP	726503105		5/13/2014	2/9/2016	8,904		0	14,666	-5,762	0	0	0	0	-5,762	0	0	
55 PLAINS ALL AMERN PIPL LP	726503105		5/3/2011	2/9/2016	15,283		0	14,408	865	0	0	0	0	865	0	0	
56 SCHLUMBERGER LTD	80685T108		7/13/2016	9/21/2016	15,283		0	15,751	-468	0	0	0	0	-468	0	0	
57 SCHLUMBERGER LTD	80685T108		3/28/2013	9/21/2016	30,587		0	30,172	415	0	0	0	0	415	0	0	
58 SCHLUMBERGER LTD	80685T108		2/12/2013	9/21/2016	7,842		0	7,854	-12	0	0	0	0	-12	0	0	
59 SCHLUMBERGER LTD	80685T108		2/9/2012	9/21/2016	15,283		0	15,762	-479	0	0	0	0	-479	0	0	
60 SCHLUMBERGER LTD	80685T108		5/19/2011	9/21/2016	7,642		0	8,339	-697	0	0	0	0	-697	0	0	
61 SCHLUMBERGER LTD	80685T108		2/3/2011	9/21/2016	7,642		0	8,968	-1,326	0	0	0	0	-1,326	0	0	
62 BLACKSTONE					1,877		0	0	1,877	0	0	0	0	1,877	0	0	
63 KKR					2,435		0	0	2,435	0	0	0	0	2,435	0	0	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	JACQUELINE MANN		10 OLD ROAD LANE	MOUNT KISCO	NY	10549		PRESIDENT	15 00	0		0
2	JAMES E MANN		10 OLD ROAD LANE	MOUNT KISCO	NY	10549		SECRETARY	10 00	0		
3	ALISON MANN		C/O JJ MANN 10 OLD ROAD LANE	MOUNT KISCO	NY	10549		VICE PRESIDENT	10 00	0		
4	ANASTASIA MANN		C/O JJ MANN 10 OLD ROAD LANE	MOUNT KISCO	NY	10549		VICE PRESIDENT	10 00	0		
5	ELDAR SHAFIR		C/O JJ MANN 10 OLD ROAD LANE	MOUNT KISCO	NY	10549		TREASURER	10 00	0		

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	<u>Date</u>	<u>1</u>	<u>Amount</u>
1 Credit from prior year return		1	
2 First quarter estimated tax payment	<u>5/15/2016</u>	2	<u>1,909</u>
3 Second quarter estimated tax payment	<u>6/15/2016</u>	3	<u>8,321</u>
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	<u>10,230</u>

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

<b>Name of the organization</b> ELIAS FOUNDATION	<b>Employer identification number</b> 13-4092287
---	---

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ .....

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> ELIAS FOUNDATION	<b>Employer identification number</b> 13-4092287
---	---

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MR AND MRS MANN ----- 10 OLD ROAD LANE ----- MOUNT KISCO NY 10548 ----- Foreign State or Province ----- Foreign Country -----	\$ 1,614,537	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization ELIAS FOUNDATION	Employer identification number 13-4092287
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**Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----

<b>Name of organization</b> ELIAS FOUNDATION	<b>Employer identification number</b> 13-4092287
---	---

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions) ▶ \$ ..... 0  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	..... ..... .....	..... ..... .....	..... ..... .....
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
..... ..... .....		..... ..... .....	
For Prov                      Country			
-----	..... ..... .....	..... ..... .....	..... ..... .....
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
..... ..... .....		..... ..... .....	
For Prov                      Country			
-----	..... ..... .....	..... ..... .....	..... ..... .....
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
..... ..... .....		..... ..... .....	
For Prov                      Country			
-----	..... ..... .....	..... ..... .....	..... ..... .....
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
..... ..... .....		..... ..... .....	
For Prov                      Country			



Primary Account

ELIAS FOUNDATION  
320 7TH AVE  
#338  
BROOKLYN NY 11215

# YOUR MERRILL LYNCH REPORT

December 01, 2016 - December 30, 2016

## PORTFOLIO SUMMARY

	December 30	November 30	Month Change
<b>Net Portfolio Value</b>	<b>\$1,677,615.19</b>	<b>\$124,918.01</b>	<b>\$1,552,697.18 ▲</b>
Your assets	\$1,677,615.19	\$124,918.01	\$1,552,697.18 ▲
Your liabilities			
Your Net Cash Flow (Inflows/Outflows)	(\$61,840.54)	\$91,194.61	
Securities You Transferred In/Out			
<b>Subtotal Net Contributions</b>	<b>(\$61,840.54)</b>	<b>\$91,194.61</b>	
Your Dividends/Interest Income	\$0.72	\$0.27	
Your Market Gains/(Losses)	\$1,614,537.00		
<b>Subtotal Investment Earnings</b>	<b>\$1,614,537.72</b>	<b>\$0.27</b>	

If you have questions on your statement, call 24-Hour Assistance: (800) MERRILL (800) 637-7455  
Access Code: 79-657-02002

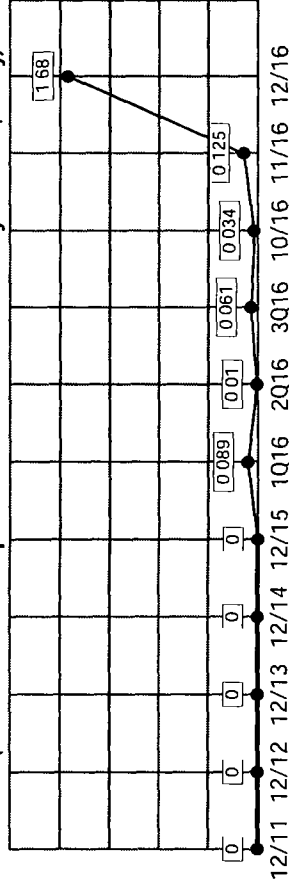
Investment Advice and Guidance:  
Call Your Private Wealth Advisor

Your Private Wealth Advisor:  
MARIA BRISBANE  
ONE BRYANT PARK, 28TH FLOOR  
NEW YORK NY 10036  
maria\_brisbane@ml.com  
1-800-893-4806

Up-to-date account information can be viewed at [www.mymerrill.com](http://www.mymerrill.com), where your statements are archived for three or more years

Questions about MyMerrill? Click the "help" tab at the top of the screen once you log in.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in millions, 2011-2016



## LOOKING FOR YOUR TAX DOCUMENTS?

We will begin mailing the 1099 tax reporting statement to eligible clients during the last week of January. Most statements will be mailed or posted online before February 15. Enroll in online delivery to access your tax documents before they arrive in the mail.

The Private Banking and Investment Group makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. Investment products:  Are Not FDIC Insured  Are Not Bank Guaranteed  May Lose Value  
MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation.

Primary Account

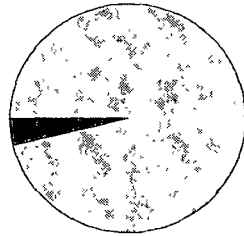
**24-Hour Assistance: (800) MERRILL**  
Access Code: 79-657-02002

# YOUR PORTFOLIO REVIEW

December 01, 2016 - December 30, 2016

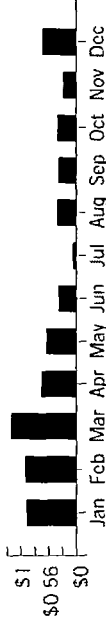
## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%



Current Value	Allocation
1,614,537.00	96.24%
63,078.19	3.76%
<b>\$1,677,615.19</b>	<b>100%</b>

## CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	-	-
Taxable Interest	0.72	7.56
Tax-Exempt Dividends	-	-
Taxable Dividends	-	-
<b>Total</b>	<b>\$0.72</b>	<b>\$7.56</b>

**Your Estimated Annual Income** **\$6 31**

## TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
UBS GROUP AG NAMEN-AKT.	1,614,537.00	96.24%
+MIL BANK DEPOSIT PROGRAM	63,077.00	3.76%
+FDIC INSURED NOT SIPC COVERED		
CASH	1.19	

## FINANCIAL MARKET INDICATORS

	This Report	Last Report	Previous Year End
S&P 500	2238.83	2198.81	2043.94
Three-Month Treasury Bills	.50%	.48%	16%
Long-Term Treasury Bonds	3.07%	3.03%	3.02%
One-Month LIBOR	.76%	.57%	.42%
NASDAQ	5383.12	5323.68	5007.41



PRIVATE BANKING & INVESTMENT GROUP

Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number

24-Hour Assistance: (800) MERRILL

Access Code: 79-657-02002

ELIAS FOUNDATION  
320 7TH AVE  
#338  
BROOKLYN NY 11215

**Net Portfolio Value: \$1,677,615.19**

Your Private Wealth Advisor:

MARIA BRISBANE  
ONE BRYANT PARK, 28TH FLOOR  
NEW YORK NY 10036  
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## Elias - Operating

December 01, 2016 - December 30, 2016

	This Statement	Year to Date
<b>Opening Value (12/01)</b>	<b>\$124,918.01</b>	
Total Credits	0.72	1,139,730.67
Total Debits	(61,840.54)	(1,210,963.17)
Securities You Transferred In/Out		
Market Gains/(Losses)	1,614,537.00	1,614,537.00
<b>Closing Value (12/30)</b>	<b>\$1,677,615.19</b>	

### ASSETS

	December 30	November 30
Cash/Money Accounts	63,078.19	124,918.01
Fixed Income		
Equities	1,614,537.00	
Mutual Funds		
Options		
Other		
<i>Subtotal (Long Portfolio)</i>	<b>1,677,615.19</b>	124,918.01
<b>TOTAL ASSETS</b>	<b>\$1,677,615.19</b>	<b>\$124,918.01</b>

### LIABILITIES

Debit Balance	
Short Market Value	
<b>TOTAL LIABILITIES</b>	
<b>NET PORTFOLIO VALUE</b>	<b>\$1,677,615.19</b>

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PRIVATE BANKING & INVESTMENT GROUP

ELIAS FOUNDATION

Account Number

24-Hour Assistance: (800) MERRILL  
Access Code: 79-657-02002

# Elias - Operating

December 01, 2016 - December 30, 2016

## CASH FLOW

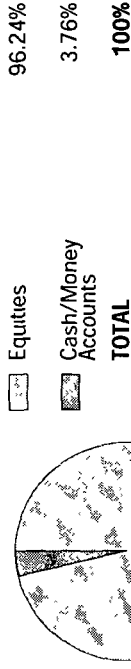
	This Statement	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$124,918.01</b>	
<b>CREDITS</b>		
Funds Received	-	139,723.00
Electronic Transfers	-	-
Other Credits	-	1,000,000.11
<b>Subtotal</b>		<b>1,139,723.11</b>
<b>DEBITS</b>		
Electronic Transfers	(6,995.54)	(747,825.16)
Margin Interest Charged	-	-
Other Debits	-	(270.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	(54,845.00)	(462,868.01)
<b>Subtotal</b>	<b>(61,840.54)</b>	<b>(1,210,963.17)</b>
<b>Net Cash Flow</b>	<b>(\$61,840.54)</b>	<b>(\$71,240.06)</b>

## OTHER TRANSACTIONS

Dividends/Interest Income	0.72	7.56
Security Purchases/Debits	-	-
Security Sales/Credits	-	-
<b>Closing Cash/Money Accounts</b>	<b>\$63,078.19</b>	
Securities You Transferred In/Out	-	-

## ASSET ALLOCATION \*

\* Estimated Accrued Interest not included; may not reflect all holdings, does not include asset categories less than 1%.



Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings

## DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports	X	
Trade Confirms		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements	X	

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ELIAS FOUNDATION

Account Number:

**YOUR EMA BANK DEPOSIT INTEREST SUMMARY**

December 01, 2016 - December 30, 2016

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	24,917	88,755	.01	0.72	<b>63,077</b>
<b>TOTAL ML Bank Deposit Program</b>	24,917			0.72	<b>63,077</b>

**YOUR EMA ASSETS**

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1.19	1.19		1.19		
+ML BANK DEPOSIT PROGRAM	63,077 00	63,077 00	1.0000	63,077.00	6	.01
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		63,078 19		63,078.19	6	.01

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
UBS GROUP AG NAMEN-AKT.	XUHJF	N/A	87,837	N/A	N/A	15.6934	1,378,461.18	N/A		
1 CHF PAR ORDINARY		N/A	15,043	N/A	N/A	15 6934	236,075 82	N/A		
Subtotal			102,880				1,614,537.00			
<b>TOTAL</b>							1,614,537.00			

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	63,078.19	1,677,615.19			6	

**Notes**

Total values exclude N/A items

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