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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE JAMES AND ROBIN COOGAN FOUNDATION		A Employer identification number 13-4090179
Number and street (or P O box number if mail is not delivered to street address) 51 PONDFIELD RD	Room/suite	B Telephone number 914-961-1300
City or town, state or province, country, and ZIP or foreign postal code BRONXVILLE, NY 10708		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☒ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,930,586. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		6,515.	6,515.		STATEMENT 1
4 Dividends and interest from securities		30,594.	30,594.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-44,534.			
b Gross sales price for all assets on line 6a 279,966.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		-7,425.	37,109.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,500.	800.		700.
c Other professional fees STMT 4		2,500.	500.		2,000.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		13,285.	12,447.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		17,285.	13,747.		2,700.
25 Contributions, gifts, grants paid		71,050.			71,050.
26 Total expenses and disbursements Add lines 24 and 25		88,335.	13,747.		73,750.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-95,760.			
b Net investment income (if negative, enter -0-)			23,362.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	26,153.	17,272.	17,272.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,232,376.	1,385,784.	1,385,784.
	c Investments - corporate bonds STMT 9	228,419.	100,169.	100,169.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	277,481.	427,361.	427,361.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,764,429.	1,930,586.	1,930,586.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	1,764,429.	1,930,586.		
30 Total net assets or fund balances	1,764,429.	1,930,586.		
31 Total liabilities and net assets/fund balances	1,764,429.	1,930,586.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,764,429.
2 Enter amount from Part I, line 27a	2	-95,760.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	269,621.
4 Add lines 1, 2, and 3	4	1,938,290.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	7,704.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,930,586.

Form 990-PF (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ENTERPRISE PRODUCES AS NOMINEE	P		12/31/16
b MAGELLAN MIDSTREAM LLP AS NOMINEE	P		12/31/16
c PUBLICLY TRADED SECURITIES-SHWAB AS NOMINEE	P		07/05/16
d PUBLICLY TRADED SECURITIES-SHWAB AS NOMINEE	P		12/31/16
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		91.	-91.
b 55.			55.
c 14,332.		17,172.	-2,840.
d 265,579.		307,237.	-41,658.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-91.
b			55.
c			-2,840.
d			-41,658.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-44,534.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	76,250.	1,786,342.	.042685
2014	76,581.	1,326,170.	.057746
2013	75,850.	1,610,743.	.047090
2012	73,600.	1,535,741.	.047925
2011	67,075.	1,566,917.	.042807

2 Total of line 1, column (d)	2	.238253
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047651
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,798,827.
5 Multiply line 4 by line 3	5	85,716.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	234.
7 Add lines 5 and 6	7	85,950.
8 Enter qualifying distributions from Part XII, line 4	8	73,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	467.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	467.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	467.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		467.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► JAMES COOGAN Telephone no. ► (914) 961-1300		
Located at ► 51 PONDFIELD RD., BRONXVILLE, NY ZIP+4 ► 10708		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15 N/A	
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES M. COOGAN	PRESIDENT			
34 STONYGATE OVAL				
NEW ROCHELLE, NY 10804	2.00	0.	0.	0.
ROBIN COOGAN	SECRETARY/TREASURER			
34 STONYGATE OVAL				
NEW ROCHELLE, NY 10804	1.00	0.	0.	0.
WILLIAM E. SULZER	DIRECTOR			
48 EAST GRAND AVE.				
MONTVALE, NJ 07645	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A		Summary of Direct Charitable Activities	
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 DIRECT CASH GRANTS TO SEVERAL NEEDY CHARITABLE, RELIGIOUS,
AND EDUCATIONAL ORGANIZATIONS.

71,050.

2

3

4

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A
---	-----

2

All other program-related investments. See instructions

3

Total. Add lines 1 through 3

0.

Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,796,181.
b	Average of monthly cash balances	1b	30,039.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,826,220.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,826,220.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,393.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,798,827.
6	Minimum investment return. Enter 5% of line 5	6	89,941.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	89,941.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	467.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	467.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	89,474.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	89,474.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	89,474.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	73,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	73,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	73,750.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				89,474.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			68,959.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 73,750.				
a Applied to 2015, but not more than line 2a			68,959.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				4,791.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				84,683.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b 85% of line 2a**

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JAMES M. COOGAN, 914-961-1300

51 PONDFIELD RD., BRONXVILLE, NY 10708

b The form in which applications should be submitted and information and materials they should include:

BY LETTER SUPPORTED BY BUDGET FOR EXPENDITURE OF REQUESTED GRANT.

c Any submission deadlines:

NOVEMBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALS ASSOCIATION PO BOX 6051 ALBERT LEA, MN 56007	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
ALZHEIMER'S ASSOCIATION 2900 WESTCHESTER AVE. PURCHASE, NY 10577	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
AMERICAN CANCER SOCIETY 250 WILLIAMS ST. NW ATLANTA, GA 30303	NONE	PUBLIC CHARITY	UNRESTRICTED	500.
AMERICAN RED CROSS PO BOX 807 NEW YORK, NY 10113	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
BOYS & GIRLS CLUB OF NEW ROCHELLE 79 SEVENTH ST. NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	500.
Total			SEE CONTINUATION SHEET(S)	71,050.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

Signature of officer or trustee

Date: _____

Title

* PRESIDENT

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

Paid
Preparer
Use Only

ANTHONY PENNELLA

Preparer's signature
Anthony Smulke
& CO., LLP

04/24/17

P00834560

Firm's name ► D'ARCANGELO & CO., LLP

Firm's EIN ► 13-2550103

Firm's address ► 800 WESTCHESTER AVE, SUITE N-400
RYE BROOK, NY 10573-1301

Phone no 914-694-4600

Form **990-PF** (2016)

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CALVARY HOSPITAL FUND INC. 1740 EASTCHESTER RD BRONX, NY 10461	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	250.
CANCER SUPPORT TEAM 2000 WESTCHESTER AVENUE, STE. 103 PURCHASE, NY 10577	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	5,000.
CATHOLIC CHARITIES 1011 FIRST AVE. NEW YORK, NY 10022	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	5,000.
CATHOLIC RELIEF SERVICES 228 WEST LEXINGTON ST. BALTIMORE, MD 21201	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	5,000.
CLUSTER COMMUNITY SERVICES 501 ST. JUDE PLACE MEMPHIS, TN 38105	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
COMMUNITY 2000 EDUCATION FOUNDATION PO BOX 1161 CHARLESTOWN, RI 02813	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	1,000.
COVENANT HOUSE PO BOX 96708 WASHINGTON, DC 20090	NONE	PUBLIC CHARITY	UNRESTRICTED	500.
DIOCESE OF PALM BEACH 9995 N. MILITARY TRAIL PALM BEACH GARDENS, FL 33410	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
ELINOR MARTIN RESIDENCE FOR MOTHER AND CHILD PO BOX 28 NEW ROCHELLE, NY 10804	NONE	PUBLIC CHARITY	UNRESTRICTED	500.
EMPIRE CENTER FOR PUBLIC POLICY INC 100 STATE STREET ALBANY, NY 12207	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
Total from continuation sheets				69,300.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FORDHAM PREPERATORY SCHOOL WILMOT RD NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
HABITAT FOR HUMANITY 524 MAIN STREET NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
HARBOUR RIDGE FAMILY FOUNDATION 12600 HARBOUR RIDGE BLVD. PALM CITY, FL 34990	NONE	PUBLIC CHARITY	UNRESTRICTED	200.
HOPE COMMUNITY SERVICES 50 WASHINGTON AVE. NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED	500.
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVE. NEW YORK, NY 10022	NONE	PUBLIC CHARITY	UNRESTRICTED	500.
JUDICIAL WATCH 425 THIRD STREET WASHINGTON, DC 20024	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
KNIGHTS OF COLUMBUS CHARITIES, INC. ONE COLUMBUS PLAZA NEW HAVEN, CT 06510	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
LITERACY VOLUNTEERS OF WASH. COUNTY 93 TOWER STREET WESTERLY, RI 02891	NONE	PUBLIC CHARITY	UNRESTRICTED	200.
LUPUS FOUNDATION OF AMERICA 2000 L STREET, NW, STE 410 WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	100.
MAC ANGELS 2005 PALMER AVENUE, STE. 291 LARCHMONT, NY 10538	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARCH OF DIMES P.O. BOX 5041 WHITE PLAINS, NY 10605	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	250.
MARY THERESA ROSE FUND 33 WOLFE DRIVE WANAEUE, NJ 07465	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	1,000.
MASSACHUSETTS EYE AND EAR FOUNDATION 243 CHARLES STREET BOSTON, MA 02114	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
MEALS ON WHEELS OF NEW ROCHELLE 50 PINTARD AVE. NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED	750.
MT. ST. MICHAEL ACADEMY 4300 MURDOCK AVE. BRONX, NY 10466	NONE	PUBLIC CHARITY	UNRESTRICTED	23,000.
NEW YORK PRESBYTERIAN LAWRENCE HOSPITAL 55 PALMER AVENUE BRONXVILLE, NY 10708	NONE	PUBLIC CHARITY	UNRESTRICTED	600.
NY CREATIVE ARTS THERAPY FOUNDATION 16 VILLARD AVE. HASTINGS, NY 10706	NONE	PUBLIC CHARITY	UNRESTRICTED	100.
PGA REACH 100 AVENUE OF THE CHAMPIONS PALM BEACH GARDENS, FL 33418	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
POLICE ASSOCIATION OF NEW ROCHELLE 475 NORTH AVENUE NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	UNRESTRICTED	600.
QUONOCONTAUG HISTORICAL SOCIETY PO BOX 46 CHARLESTOWN, RI 02813	NONE	PUBLIC CHARITY	UNRESTRICTED	200.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RHODE ISLAND CENTER ASSISTING THOSE IN NEED 805 ALTON CAROLINA RD CHARLESTOWN, RI 02813	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	500.
SAFESPACE 612 SE DIXIE HWY STUART, FL 34994	NONE	PUBLIC CHARITY	UNRESTRICTED	1,000.
SALT PONDS COALITION PO BOX 875 CHARLESTOWN, RI 02813	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	500.
SCARSDALE VOLUNTEER AMBULANCE CORPS PO BOX 92 SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
ST. ELIZABETH SETON CHILDRENS' FOUNDATION 300 CORPORATE BLVD SOUTH YONKERS, NY 10701	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	1,000.
ST. JUDES CHILDRENS' HOSPITAL 501 ST. JUDE PLACE MEMPHIS, TN 38105	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	1,000.
ST. VINCENTS HOSPITAL 275 NORTH ST HARRISON, NY 10528	NONE	PUBLIC CHARITY	UNRESTRICTED	1,500.
SWIM ACCROSS AMERICA 11600 N. COMMUNITY HOUSE RD., STE 100 CHARLOTTE, NC 28277	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
THE SHARING COMMUNITY INC PO BOX 657 YONKERS, NY 10702	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
TREASURE COAST HOSPICE 9065 SE BRIDGE ROAD HOBE SOUND, FL 33455	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF ST. LUCIE 4800 S. US HIGHWAY 1 FORT PIERCE, FL 34982	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	1,000.
URSULINE SCHOOL 1354 NORTH AVE. NEW ROCHELLE, NY 10804	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	1,250.
VILLANOVA LAW SCHOOL 800 LANCASTER AVENUE VILLANOVA, PA 19085	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	1,000.
WESTERLY HOSPITAL 25 WELLS ST. WESTERLY, RI 02891	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	250.
WHITE PLAINS HOSPITAL 41 EAST POST RD WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	UNRESTRICTED GRANTS	5,000.
WORLD WAR II VETERANS COMMITTEE 1100 N. GLEBE RD. ARLINGTON, VA 22201	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
YMCA OF OCEAN COMMUNITY 95 HIGH STREET WESTERLY, RI 02891	NONE	PUBLIC CHARITY	UNRESTRICTED	1,500.
YONKERS PAL FOUNDATION 127 NORTH BROADWAY YONKERS, NY 10701	NONE	PUBLIC CHARITY	UNRESTRICTED	300.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB AS NOMINEE	6,497.	6,497.	
ENTERPRISE PRODUCTS PARTNERS LP	11.	11.	
MAGELLAN AS NOMINEE	4.	4.	
STERLING BANK	3.	3.	
TOTAL TO PART I, LINE 3	6,515.	6,515.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB AS NOMINEE	30,592.	0.	30,592.	30,592.	
MAGELLAN AS NOMINEE	2.	0.	2.	2.	
TO PART I, LINE 4	30,594.	0.	30,594.	30,594.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT	1,500.	800.		700.
TO FORM 990-PF, PG 1, LN 16B	1,500.	800.		700.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ADMINISTRATIVE	2,500.	500.		2,000.	
TO FORM 990-PF, PG 1, LN 16C	2,500.	500.		2,000.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	12,447.	12,447.		0.	
NYS FILING FEE	250.	0.		0.	
FEDERAL EXCISE TAXES	588.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	13,285.	12,447.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	6
DESCRIPTION	AMOUNT				
UNREALIZED GAIN ON MARKETABLE SECURITIES	269,611.				
EXEMPT DIVIDENDS	10.				
TOTAL TO FORM 990-PF, PART III, LINE 3	269,621.				

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION	AMOUNT				
PASSIVE LOSS ON PUBLICLY TRADED PARTNERSHIPS	7,704.				
TOTAL TO FORM 990-PF, PART III, LINE 5	7,704.				

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITY SECURITIES	1,385,784.	1,385,784.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,385,784.	1,385,784.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
FIXED INCOME	100,169.	100,169.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	100,169.	100,169.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MONEY MARKET FUNDS	FMV	312,777.	312,777.
PUBLICLY TRADED PARTNERSHIP	FMV	114,584.	114,584.
TOTAL TO FORM 990-PF, PART II, LINE 13		427,361.	427,361.