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Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
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 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2016**  
**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016**

Name of foundation The HHD Foundation		<b>A Employer identification number</b> 13-4076952	
Number and street (or P O box number if mail is not delivered to street address) 201 East 28th Street		<b>B Telephone number (see instructions)</b> (212) 808-0070	
City or town, state or province, country, and ZIP or foreign postal code New York, NY 10016		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 479,274		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	729	729	729	
	<b>4</b> Dividends and interest from securities	4,929	4,929	4,929	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	2,135			
	<b>b</b> Gross sales price for all assets on line 6a 225,300				
	<b>7</b> Capital gain net income (from Part IV, line 2)		2,135		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	7,793	7,793	5,658		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	2,925			2,925
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	403	73		330
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	2,392	2,392		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	5,720	2,465		3,255
	<b>25</b> Contributions, gifts, grants paid	24,550			24,550
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	30,270	2,465		27,805	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-22,477				
<b>b Net investment income</b> (if negative, enter -0-)		5,328			
<b>c Adjusted net income</b> (if negative, enter -0-)			5,658		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	211,160	197,064	197,064
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ 7,345 Less allowance for doubtful accounts ▶ _____	7,345	7,345	7,345
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	271,198	274,865	274,865
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	489,703	479,274	479,274	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	2,780	2,780	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)		1	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	2,780	2,781	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	486,923	476,493		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	486,923	476,493		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	489,703	479,274		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	486,923
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-22,477
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	12,047
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	476,493
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	476,493

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> Wells Fargo 3897-7470 Short Term Covered	P	2016-01-01	2016-07-15
<b>b</b> Wells Fargo 3897-7470 S/T Non Covered	P	2016-01-01	2016-07-15
<b>c</b> Wells Fargo 3897-7470 L/T Covered	P	2001-01-01	2016-07-15
<b>d</b> Wells Fargo 3897-7470 L/T Non Covered	P	2001-01-01	2016-07-15
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 142,341		144,563	-2,222
<b>b</b> 4,024		4,220	-196
<b>c</b> 57,288		51,004	6,284
<b>d</b> 21,647		23,378	-1,731
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			-2,222
<b>b</b>			-196
<b>c</b>			6,284
<b>d</b>			-1,731
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	2,135
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-2,418

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	22,900	499,255	0 04587
2014	35,840		
2013	10,462		
2012	26,950	495,699	0 05437
2011	17,406	473,776	0 03674

<b>2</b> Total of line 1, column (d)	2	0 136975
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 027395
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	477,221
<b>5</b> Multiply line 4 by line 3	5	13,073
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	53
<b>7</b> Add lines 5 and 6	7	13,126
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	27,805

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and total tax due/overpayment. Includes sub-tables 6a-6d for credits.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of Telephone no
Located at ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here . . . . . 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.  Yes  No

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Betsy Rothstein 201 East 28th Street Apt 14C New York, NY 10016	Trustee 0 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	273,031
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	204,112
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	7,345
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	484,488
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	484,488
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	7,267
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	477,221
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	23,861

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	23,861
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	53
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	53
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	23,808
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	23,808
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	23,808

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	27,805
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	27,805
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	53
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	27,752

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				23,808
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				2,761
<b>c</b> From 2013. . . . .				10,495
<b>d</b> From 2014. . . . .				36,052
<b>e</b> From 2015. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	49,308			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>27,805</u>				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				23,808
<b>e</b> Remaining amount distributed out of corpus	3,997			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	53,305			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	53,305			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				2,761
<b>b</b> Excess from 2013. . . . .				10,495
<b>c</b> Excess from 2014. . . . .				36,052
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .				3,997

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				24,550
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Heifer International 1 World Avenue Little Rock, AR 72207	NONE	PC	CHARITABLE	2,086
Doctors without Borders 333 7th Avenue 2nd Floor New York, NY 10001	NONE	n/a	CHARITABLE	3,000
Smile Train 41 Madison Avenue 28th Floor New York, NY 10010	NONE	PC	CHARITABLE	250
Network for Good 514 10th street nw washington dc, DC 20004	NONE	n/a	charitable	1,614
IntHudson Inc POBox 862 Ossining, NY 10562	NONE	n/a	Charitable	600
Galapagos Conservancy 11150 Fairfax Blvd Suite 408 Fairfax, VA 22030	NONE	PC	Charitable	400
Catskill Animal Sanctuary 316 Old Stage Rd Saugerties, NY 12477	NONE	PC	Charitable	200
Make A Wish Retail 832 S Broadway STE 500 Tarrytown, NY 10591	NONE	PC	Charitable	200
World Wildlife Fund 1250 24th StreetNW Washington, DC 20037	NONE	PC	Charitable	800
Prison Fellowship 44180 Riverside Parkway Lansdowne, VA 20176	NONE	n/a	Charitable	300
Coalition for the Homeless 129 Fulton St New York, NY 10038	NONE	PC	Charitable	250
The Nature Conservancy 4245 North Fairfax Drive suite 100 Arlington, VA 22203	NONE	n/a	Charitable	500
Compassionate 5 South Main Street STE 318 White River Junction, VT 05001	NONE	n/a	Charitable	500
The Elephant Sanctuary 183 Buck Hollow RD Hohenwald, TN 38462	NONE	n/a	Charitable	300
The David Sheldrck Wildlife Trust PO Box 15555 Nairobi, Nairobi KE	NONE	n/a	Charitable	500
<b>Total . . . . . ▶</b>				24,550
<b>3a</b>				

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
<b>a</b> <i>Paid during the year</i>					
Butternut MTGSOC of friends POBox 89 Fly Creek, NY 13337		NONE	PC	Charitable	3,000
Puppies Behind Bars 263W 38th St Fl 4th New York, NY 10018		NONE	n/a	Charitable	500
City Critters PO Box 1345 New York, NY 10013		NONE	n/a	Charitable	300
AVP Inc 2717 RT 22 Paterson, NY 12563		NONE	n/a	Charitable	300
Exodus Transitional Community 85 Cannon Street Poughkeepsie, NY 12601		NONE	n/a	Charitable	5,000
Osborne Association 809 Westchester Avenue Bronx, NY 10455		NONE	PC	Charitable	500
Sentencing Project 1705 DeSales Street NW 8th Fl Washington, DC 20036		NONE	PC	charitable	300
LA Vet Med Assoc 5576 Corporate Ave Cypress, CA 90630		None	PC	Charitable	500
Democracy Now 207 W 25th Street Floor 11 New York, NY 10001		None	PC	Charitable	150
Rehabilitation Through Arts 12 Huntville Rd Katonah, NY 10536		None	PC	Charitable	1,500
ASPCA 424 E 92nd Street New York, NY 10128		None	PC	Charitable	500
Green Bronx Machine 2705 Morris Ave Bronx, NY 10468		None	PC	Charitable	500
<b>Total . . . . . ▶</b>					24,550
<b>3a</b>					

**TY 2016 Accounting Fees Schedule****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 16000303**Software Version:** 2016v3.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
legal and professional fees	2,925	0	0	2,925

**TY 2016 Other Expenses Schedule****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
investment management fees- wells fargo	2,392	2,392		

**TY 2016 Other Increases Schedule****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 16000303**Software Version:** 2016v3.0

<b>Description</b>	<b>Amount</b>
Net Unrealized gain on investments	12,047

**TY 2016 Other Liabilities Schedule****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Rounding		1

**TY 2016 Taxes Schedule****Name:** The HHD Foundation**EIN:** 13-4076952**Software ID:** 16000303**Software Version:** 2016v3.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
federal excise tax	230			230
foreign tax withheld	73	73		
nys department of law	100			100

# HHD Foundation

Tax ID # : 13-4076952

2016 Form 990 PF,

Part II Balance Sheet Line 106 **HHD FOUNDATION**

DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER 3897-7470

## Additional information

Gross proceeds

THIS PERIOD	THIS YEAR
24,177 31	203,652 62

## Portfolio detail

### Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals. Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

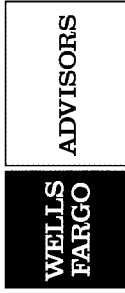
DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.02	0.00	57 83	0 00
BANK DEPOSIT SWEEP	5.00	0.01	14,470 91	1 44
Interest Period 12/01/16 - 12/31/16				
<b>Total Cash and Sweep Balances</b>	<b>5.02</b>		<b>\$14,528.74</b>	<b>\$1.44</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

## Stocks, options & ETFs

### Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GUGGENHEIM S&P 500 ET									
PURE GROWTH									
RPG									
Acquired 04/27/12 L	68		49 37	3,357 49		5,682 76	2,325 27		
Acquired 05/03/12 L	123		48 89	3,359 20		10,279 11	4,264 99		
Acquired 10/07/13 L	13		48 92	6,014 12		1,086 41	260 13		
			63 56	6,017 28					
				826 28					



DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER 3897-7470

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 04/01/16 S	22	79.91	1,758.12		1,838.54	80.42		
<b>Total</b>	<b>226</b>	<b>\$52.90</b> <b>\$52.92</b>	<b>\$11,956.01</b> <b>\$11,960.88</b>	<b>83.5700</b>	<b>\$18,886.82</b>	<b>\$6,930.81</b>	<b>\$80.90</b>	<b>0.43</b>
<b>ISHARES ET</b>								
S&P SMALL CAP 600 GROWTH								
IJT								
Acquired 05/03/12 L	23	81.59	1,876.57		3,450.00	1,573.43		
Acquired 10/07/13 L	3	107.71	323.13		450.00	126.87		
<b>Total</b>	<b>26</b>	<b>\$84.60</b>	<b>\$2,199.70</b>	<b>150.0000</b>	<b>\$3,900.00</b>	<b>\$1,700.30</b>	<b>\$40.19</b>	<b>1.03</b>
<b>ISHARES ET</b>								
S&P SMALL CAP 600 VALUE								
IJS								
Acquired 12/02/15 L	47	113.99	5,357.53		6,580.47	1,222.94		
Acquired 04/01/16 S	56	113.17	6,337.66		7,840.56	1,502.90		
<b>Total</b>	<b>103</b>	<b>\$113.55</b>	<b>\$11,695.19</b>	<b>140.0100</b>	<b>\$14,421.03</b>	<b>\$2,725.84</b>	<b>\$175.51</b>	<b>1.22</b>
<b>ISHARES ET</b>								
RUSSELL 1000 GROWTH								
IWF								
Acquired 05/03/12 L	26	65.64	1,706.79		2,727.40	1,020.61		
Acquired 10/07/13 L	17	78.17	1,328.89		1,783.30	454.41		
<b>Total</b>	<b>43</b>	<b>\$70.60</b>	<b>\$3,035.68</b>	<b>104.9000</b>	<b>\$4,510.70</b>	<b>\$1,475.02</b>	<b>\$64.58</b>	<b>1.43</b>
<b>ISHARES ET</b>								
MSCI USA ESG SELECT								
KLD								
Acquired 09/17/12 L	484	61.01	29,530.58		44,532.84	15,002.26		
Acquired 10/07/13 L	20	70.48	1,409.60		1,840.20	430.60		
<b>Total</b>	<b>504</b>	<b>\$61.39</b>	<b>\$30,940.18</b>	<b>92.0100</b>	<b>\$46,373.04</b>	<b>\$15,432.86</b>	<b>\$721.22</b>	<b>1.56</b>
<b>ISHARES ET</b>								
1-3 YR TREASURY BOND								
SHY								
Acquired 09/03/15 L	58	84.81	4,919.52		4,898.10	-21.42		
Acquired 04/01/16 S	193	84.94	16,393.42		16,298.85	-94.57		
<b>Total</b>	<b>251</b>	<b>\$84.91</b>	<b>\$21,312.94</b>	<b>84.4500</b>	<b>\$21,196.95</b>	<b>-\$115.99</b>	<b>\$151.35</b>	<b>0.71</b>

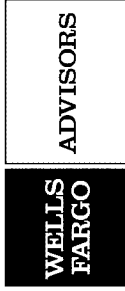
HHD Foundation  
 Tax ID # : 13-4076952  
 2016 Form 990 PF,  
 Part II Balance Sheet Line 10b

HHD FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016  
 ACCOUNT NUMBER 3897-7470

**Stocks, options & ETFs**  
**Stocks and ETFs continued**

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
ISHARES RUSSELL MID-CAP VALUE IWS	23	46 53	1,070 36	80.4300	1,849 89	779 53		
Acquired 08/01/12 L	5	61 12	305 60		402 15	96 55		
Acquired 10/07/13 L	54	65 99	3,563 82		4,343 22	779 40		
<b>Total</b>	<b>82</b>	<b>\$60.24</b>	<b>\$4,939.78</b>	<b>80.4300</b>	<b>\$6,595.26</b>	<b>\$1,655.48</b>	<b>\$138.41</b>	<b>2.10</b>
POWERSHARES EM MAR ET SOV DE PT PCY	1	27 46	27 46		28 26	0 80		
Acquired 10/07/13 L	50	28 44	1,422 46		1,413 00	-9 46		
Acquired 04/01/16 S	51	<b>\$28.43</b>	<b>\$1,449.92</b>	<b>28.2600</b>	<b>\$1,441.26</b>	<b>-\$8.66</b>	<b>\$74.86</b>	<b>5.19</b>
<b>Total</b>	<b>51</b>	<b>\$28.43</b>	<b>\$1,449.92</b>	<b>28.2600</b>	<b>\$1,441.26</b>	<b>-\$8.66</b>	<b>\$74.86</b>	<b>5.19</b>
POWERSHARES S&P 500 ET LOW VOLATILITY PORTFOLIO SPLV	367	32 80	12,041 22	41 5800	15,259 86	3,218 64	309 74	2 02
Acquired 01/17/14 L	90	48 11	4,330 12	47 9400	4,314 60	-15 52	151 83	3 51
VANGUARD FTSE ET EUROPEAN V GK	79	54 37	4,296 01		4,591 48	295 47		
Acquired 04/01/16 S	81	55 61	4,504 41		4,707 72	203 31		
<b>Total</b>	<b>160</b>	<b>\$55.00</b>	<b>\$8,800.42</b>	<b>58.1200</b>	<b>\$9,299.20</b>	<b>\$498.78</b>	<b>\$246.40</b>	<b>2.65</b>
VANGUARD GROWTH ET VUG	66	109 91	7,254 67		7,357 68	103 01		
Acquired 10/30/15 L	63	107 17	6,752 32		7,023 24	270 92		
Acquired 04/01/16 S	129	<b>\$108.58</b>	<b>\$14,006.99</b>	<b>111.4800</b>	<b>\$14,380.92</b>	<b>\$373.93</b>	<b>\$199.56</b>	<b>1.39</b>
<b>Total</b>	<b>129</b>	<b>\$108.58</b>	<b>\$14,006.99</b>	<b>111.4800</b>	<b>\$14,380.92</b>	<b>\$373.93</b>	<b>\$199.56</b>	<b>1.39</b>
VANGUARD HIGH DIVIDEND YIELD INDEX FUNDS ETF VYM	273	73 25	19,999 84	75 7700	20,685 21	685 37	602 23	2 91
Acquired 09/02/16 S	7 15							



DECEMBER 1, 2016 - DECEMBER 31, 2016  
ACCOUNT NUMBER 3897-7470

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VANGUARD INTL EQUITY ET									
INDEX FDS-FTSE ALL WORLD									
EX USA SMALL CAP INDEX									
VSS									
Acquired 02/01/13 L		29	94.58	2,743.06		2,726.87	-16.19		
Acquired 10/07/13 L		2	99.42	198.86		188.06	-10.80		
<b>Total</b>	<b>1.01</b>	<b>31</b>	<b>\$94.90</b>	<b>\$2,941.92</b>	<b>94.0300</b>	<b>\$2,914.93</b>	<b>-\$26.99</b>	<b>\$85.28</b>	<b>2.93</b>
VANGUARD INTL EQUITY ET									
INDEX FDS FTSE EMERGING									
MKTS ETF									
VWVO									
Acquired 04/01/16 S		251	34.63	8,692.13		8,980.78	288.65		
Acquired 08/01/16 S		251	37.02	9,294.03		8,980.78	-313.25		
<b>Total</b>	<b>6.21</b>	<b>502</b>	<b>\$35.83</b>	<b>\$17,986.16</b>	<b>35.7800</b>	<b>\$17,961.56</b>	<b>-\$24.60</b>	<b>\$451.80</b>	<b>2.52</b>
VANGUARD MALVERN FDS ET									
SHORT TERM INFLATION									
PROTECTED SECS INDEX FD									
VTIP									
Acquired 11/01/16 S	4.48	264	49.67	13,112.88	49.1600	12,978.24	-134.64	98.73	0.76
VANGUARD MID-CAP ET									
GROWTH FUND									
VOT									
Acquired 09/04/12 L		8	66.29	530.36		845.36	315.00		
Acquired 10/07/13 L		1	85.48	85.49		105.67	20.18		
Acquired 01/17/14 L		20	90.56	1,811.20		2,113.40	302.20		
<b>Total</b>	<b>1.06</b>	<b>29</b>	<b>\$83.69</b>	<b>\$2,427.05</b>	<b>105.6700</b>	<b>\$3,064.43</b>	<b>\$637.38</b>	<b>\$24.96</b>	<b>0.81</b>
VANGUARD MID-CAP VALUE									
INDEX FUND									
VOE									
Acquired 04/01/16 S	2.25	67	87.20	5,843.05	97.1900	6,511.73	668.68	124.75	1.91
VANGUARD REIT ET									
VNQ									
Acquired 04/01/14 L		10	69.60	696.07		825.30	129.23		
Acquired 04/01/16 S		42	70.56	705.60		3,466.26	-51.63		
Acquired 04/01/16 S			83.75	3,517.89					
<b>Total</b>	<b>1.48</b>	<b>52</b>	<b>\$81.04</b>	<b>\$4,213.96</b>	<b>82.5300</b>	<b>\$4,291.56</b>	<b>\$77.60</b>	<b>\$206.75</b>	<b>4.82</b>

HHD Foundation  
 Tax ID # : 13-4076952  
 2016 Form 990 PF,  
 Part II Balance Sheet Line 10b

HHD FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016  
 ACCOUNT NUMBER 3897-7470

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	ADJ PRICE/ ORIG PRICE	QUANTITY	% OF ACCOUNT	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VANGUARD SHORT TERM BOND ETF									
BSV		254	6.97	20,185.38	79.4500	20,180.30	-5.08	297.68	1.47
VANGUARD TOTAL INTL ET BOND									
BNDX		25		1,330.75		1,357.25	26.50		
Acquired 12/01/15 L	54.43	68		3,701.91		3,691.72	-10.19		
Acquired 04/01/16 S									
<b>Total</b>	<b>\$54.11</b>	<b>93</b>	<b>1.74</b>	<b>\$5,032.66</b>	<b>54.2900</b>	<b>\$5,048.97</b>	<b>\$16.31</b>	<b>\$95.51</b>	<b>1.89</b>
VANGUARD VALUE ET VTI									
Acquired 11/01/16 S	85.89	222	7.13	19,067.58	93.0100	20,648.22	1,580.64	504.60	2.44
<b>Total Stocks and ETFs</b>			<b>94.98</b>	<b>\$237,518.63</b>		<b>\$274,864.79</b>	<b>\$37,346.16</b>	<b>\$4,846.84</b>	<b>1.76</b>
<b>Total Stocks, options &amp; ETFs</b>			<b>94.98</b>	<b>\$237,518.63</b>		<b>\$274,864.79</b>	<b>\$37,346.16</b>	<b>\$4,846.84</b>	<b>1.76</b>

**Activity detail**

**Income and distributions**

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/07	Cash	DIVIDEND		ISHARES ETF 1-3 YR TREASURY BOND 120716 251		12.44
12/07	Cash	DIVIDEND		VANGUARD TOTAL INTL ETF BOND 120716 186		12.28
12/07	Cash	DIVIDEND		VANGUARD TOTAL BOND ETF MARKET 120716 171		27.41
12/20	Cash	DIVIDEND		VANGUARD MALVERN FDS ETF SHORT TERM INFLATION PROTECTED SECS INDEX FD 122016 264		98.74