Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: ROSE FAMILY FOUNDATION

A Employer identification number: 13-4016964

Number and street (or P O box number if mail is not delivered to street address): 1654 TIGERTAIL AVENUE | Room/suite

B Telephone number: 585-732-3937

City or town, state or province, country, and ZIP or foreign postal code: MIAMI, FL 33133-2543

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 4,742,670.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	87,723.	87,723.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	94,351.			
	b Gross sales price for all assets on line 6a 1,093,225.				
	7 Capital gain net income (from Part IV, line 2)		94,351.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	3,455.	3,455.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	185,529.	185,529.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	5,300.	0.		0.
	c Other professional fees STMT 4	32,657.	32,657.		0.
	17 Interest				
	18 Taxes STMT 5	1,416.	971.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	290.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	39,663.	33,628.		0.
	25 Contributions, gifts, grants paid	318,650.			318,650.
	26 **Total expenses and disbursements.** Add lines 24 and 25	358,313.	33,628.		318,650.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<172,784.>			
	b **Net investment income** (if negative, enter -0-)		151,901.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	55,693.	275,506.	275,506.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 7	25,010.	25,010.	24,508.
	b	Investments - corporate stock STMT 8	2,098,267.	1,940,572.	2,293,158.
	c	Investments - corporate bonds STMT 9	429,329.	360,075.	354,926.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	1,884,024.	1,718,376.	1,794,572.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,492,323.	4,319,539.	4,742,670.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	4,492,323.	4,319,539.	
	30	**Total net assets or fund balances**	4,492,323.	4,319,539.	
	31	**Total liabilities and net assets/fund balances**	4,492,323.	4,319,539.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,492,323.
2	Enter amount from Part I, line 27a	2	<172,784.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,319,539.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,319,539.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT	P		
b	SEE ATTACHED STATEMENT	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	104,452.		133,068.	<28,616.>
b	988,773.		865,806.	122,967.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<28,616.>
b				122,967.
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	94,351.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	346,839.	5,073,177.	.068367
2014	317,759.	5,328,692.	.059632
2013	317,958.	5,178,130.	.061404
2012	238,074.	4,769,438.	.049917
2011	180,535.	4,596,313.	.039278

2	**Total** of line 1, column (d)	2	.278598
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055720
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,602,035.
5	Multiply line 4 by line 3	5	256,425.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,519.
7	Add lines 5 and 6	7	257,944.
8	Enter qualifying distributions from Part XII, line 4	8	318,650.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	1,519.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,519.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,519.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,464.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,464.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	55.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶		**Refunded** ▶	11	

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TRILLIUM ASSET MANAGMENT Telephone no. ▶617-532-6658
Located at ▶711 ATLANTIC AVE, 4TH FLOOR, BOSTON, MA ZIP+4 ▶02111-2809

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

				Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No
If "Yes," list the years ▶ ______, ______, ______, ______

				Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ ______, ______, ______, ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

				Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,517,966.
b	Average of monthly cash balances	1b	154,151.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,672,117.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,672,117.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	70,082.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,602,035.
6	**Minimum investment return.** Enter 5% of line 5	6	230,102.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	230,102.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 1,519.		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	1,519.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	228,583.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	228,583.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	228,583.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	318,650.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	318,650.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,519.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	317,131.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				228,583.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015 9,988.				
f Total of lines 3a through e	9,988.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶$ 318,650.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				228,583.
e Remaining amount distributed out of corpus	90,067.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	100,055.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	100,055.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015 9,988.				
e Excess from 2016 90,067.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC CHARITY	GENERAL SUPPORT	318,650.
Total			▶ 3a	318,650.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	87,723.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	3,455.	
8 Gain or (loss) from sales of assets other than inventory			18	94,351.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		185,529.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 185,529.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 5/10/17 | Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
EILEEN C. SEMMLER		5/9/17		P01239376
Firm's name ▶ BONADIO & CO., LLP			Firm's EIN ▶ 16-1131146	
Firm's address ▶ 171 SULLY'S TRAIL, SUITE 201 PITTSFORD, NY 14534			Phone no. (585) 381-1000	

Form 990-PF (2016)

623622 11-23-16

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TRILLIUM ASSET MANAGEMENT	87,723.	0.	87,723.	87,723.	
TO PART I, LINE 4	87,723.	0.	87,723.	87,723.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
IROQUOIS VALLEY FARMS LLC FLOW THRU K-1	<845.>	<845.>	
SJF VENTURES III LP	4,300.	4,300.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,455.	3,455.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,300.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	5,300.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	32,657.	32,657.		0.
TO FORM 990-PF, PG 1, LN 16C	32,657.	32,657.		0.

FORM 990-PF	TAXES		STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	971.	971.		0.
FEDERAL ESTIMATED TAX	445.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,416.	971.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS FILING FEE	250.	0.		0.
PUBLICATION FEE	40.	0.		0.
TO FORM 990-PF, PG 1, LN 23	290.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT BONDS	X		25,010.	24,508.
TOTAL U.S. GOVERNMENT OBLIGATIONS			25,010.	24,508.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			25,010.	24,508.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	1,940,572.	2,293,158.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,940,572.	2,293,158.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	360,075.	354,926.
TOTAL TO FORM 990-PF, PART II, LINE 10C	360,075.	354,926.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INTERNATIONAL FUNDS	COST	210,088.	226,435.
ALTERNATIVE INVESTMENTS	COST	272,070.	313,304.
IROQUOIS VALLEY FARMS LLC	COST	0.	0.
IROQUOIS VALLEY FARMLAND REIT	COST	136,218.	154,833.
OTHER FIXED INCOME	COST	1,100,000.	1,100,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,718,376.	1,794,572.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ANN R PODLIPNY 98 BIRCH ROAD CHESTER, NH 03036-4001	VICE PRESIDENT/SECRETARY 0.50	0.	0.	0.
SIMON M ROSE 1654 TIGERTAIL AVENUE MIAMI, FL 33133-2543	PRESIDENT 0.20	0.	0.	0.
JAMES A ROSE 300 MARKS LANE CHAPEL HILL, NC 27516	TREASURER 0.20	0.	0.	0.
MARIAN H ROSE 9 OLD CORNER ROAD BEDFORD, NY 10506	DIRECTOR 0.20	0.	0.	0.
DAVID ROSE PO BOX 228 YORK, NY 14592	DIRECTOR 0.20	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Schwab One® Account of
ROSE FAMILY FOUNDATION

Account Number
9721-8625

Statement Period
December 1-31, 2016

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value	% of Account Assets
Cash	5,141.69	<1%
Total Cash	5,141.69	<1%

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND: SWGXX	270,364.0900	1.0000	270,364.09	0.01%	6%
Total Money Market Funds [Sweep]			270,364.09		6%

Total Cash & Money Market [Sweep]	[illegible]	7%

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Fixed Income

Agency Securities	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
OVERSEAS PRIVATE 3.28%29	**25,000.0000**	**98.0326**	**24,508.15**	**25,009.02**	**<1%**	**(500.87) b**	**820.00**
DUE 09/15/29	25,000.0000	100 0400	25,010.00	25,009.02	09/18/14	(500.87) b	3.27%
CUSIP: 690353C21							
MOODY'S: NR S&P: NR							***Accrued Interest: 36.44***
Total Agency Securities	25,000.0000		24,[illegible]	25,009.02	<1%	(500.87) b	820.00
Government Bonds		Total Cost Basis:	25,01[illegible]				

Total Accrued Interest for Agency Securities: 36.44

Corporate Bonds	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
CALVERT SOCIAL IN 1.5%17	**50,000.0000**	**100.1221**	**50,061.05**	**50,000.00**	**1%**	**61.05**	**750.00**
DUE 02/28/17	50,000.0000	100 0000	50,000.00	50,000.00	02/28/13	61.05	1.50%
CUSIP: 13161E5L7							
MOODY'S: NR S&P: NR							***Accrued Interest: 627.08***
SAFEWAY INC 6.35%17	**10,000.0000**	**101.7500**	**10,175.00**	**10,730.24**	**<1%**	**(555.24) b**	**635.00**
DUE 08/15/17	10,000.0000	111.5790	11,157.90	10,730.24	09/06/12	(555.24) b	3.75%
CUSIP: 786514BP3							
MOODY'S: B3 S&P· B-							***Accrued Interest: 5.29***
CALVERT SOCIAL INVS 2%18	**50,000.0000**	**100.9710**	**50,485.50**	**50,000.00**	**1%**	**485.50**	**1,000.00**
DUE 02/28/18	50,000 0000	100.0000	50,000.00	50,000.00	02/28/13	485.50	2.00%
CUSIP: 13161E5M5							
MOODY'S: NR S&P: NR							***Accrued Interest: 836.11***

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
KONINKLIJKE PHI 5.75%18F	**15,000.0000**	**104.7500**	**15,712.50**	**15,868.21**	**<1%**	**(155.71)** [b]	**862.50**
CALLED	15,000.0000	114.0856	17,112.85	15,868.21	06/17/14	(155.71) [b]	1.82%
RATE TBD EFF: 01/20/2017							
CUSIP: 500472AB1							
MOODY'S: Baa1 S&P: BBB+							***Accrued Interest: 263.54***
VORNADO REALTY L. 2.5%19	**20,000.0000**	**100.0912**	**20,018.24**	**19,933.80**	**<1%**	**84.44**	**500.00**
DUE 06/30/19	20,000.0000	99.6690	19,933.80	19,933.80	06/09/14	84.44	2.57%
CALLABLE 05/30/19 AT 100.00000							
CUSIP: 929043AH0							
MOODY'S: Baa2 S&P: BBB							***Accrued Interest: 1.39***
DISCOVERY COMMS 5.625%19	**20,000.0000**	**107.9755**	**21,595.10**	**21,831.46**	**<1%**	**(236.36)** [b]	**1,125.00**
DUE 08/15/19	20,000.0000	114.6030	22,920.60	21,831.46	09/18/14	(236.36) [b]	2.44%
CUSIP: 25470DAA7							
MOODY'S: Baa3 S&P: BBB-							***Accrued Interest: 425.00***
CALVERT SOCIAL IN 1.5%20	**50,000.0000**	**99.1584**	**49,579.20**	**50,000.00**	**1%**	**(420.80)**	**750.00**
DUE 01/15/20	50,000.0000	100.0000	50,000.00	50,000.00	01/15/15	(420.80)	1.50%
CUSIP: 13161GBC5							
MOODY'S: NR S&P: NR							***Accrued Interest: 720.83***

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
SYMANTEC CORP 4.2%20	**20,000.0000**	**102.4919**	**20,498.38**	**20,573.01**	**<1%**	**(74.63)** b	**840.00**
DUE 09/15/20	20,000.0000	104.3350	20,867.00	20,573.01	05/27/14	(74.63) b	3.42%
CUSIP: 871503AH1							
MOODY'S: Baa3 S&P: BBB-							***Accrued Interest: 247.33***
HSBC HLDGS PLC 5.1%21F	**15,000.0000**	**108.1893**	**16,228.40**	**16,388.14**	**<1%**	**(159.74)** b	**765.00**
DUE 04/05/21	15,000.0000	113.5226	17,028.40	16,388.14	06/17/14	(159.74) b	2.89%
CUSIP: 404280AK5							
MOODY'S: A1 S&P: A							***Accrued Interest: 182.75***
NORDSTROM INC 4%21	**10,000.0000**	**104.6247**	**10,462.47**	**10,511.10**	**<1%**	**(48.63)**	**400.00**
DUE 10/15/21	10,000.0000	105 1110	10,511.10	10,511.10	12/19/16	(48.63)	2.85%
CALLABLE 07/15/21 AT 100.00000							
CUSIP: 655664AP5							
MOODY'S: Baa1 S&P: BBB+							***Accrued Interest: 84.44***
APPLE INC 2.85%23	**20,000.0000**	**100.0550**	**20,011.00**	**20,676.91**	**<1%**	**(665.91)** b	**570.00**
DUE 02/23/23	20,000.0000	103.4910	20,698.20	20,676.91	05/27/16	(665.91) b	2.28%
CALLABLE 12/23/22 AT 100.00000							
CUSIP: 037833BU3							
MOODY'S: Aa1 S&P: AA+							***Accrued Interest: 202.67***

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
CALVERT SOCIAL INVT 3%24	**25,000.0000**	**100.4371**	**25,109.28**	**25,000.00**	**<1%**	**109.28**	**750.00**
DUE 01/02/24	25,000.0000	100.0000	25,000.00	25,000.00	12/31/13	109.28	3.00%
CUSIP: 13161G4D1							
MOODY'S: NR S&P: NR							***Accrued Interest: 720.83***
CALVERT SOCIAL INVT 3%24	**25,000.0000**	**100.3508**	**25,087.70**	**25,000.00**	**<1%**	**87.70**	**750.00**
DUE 03/15/24	25,000.0000	100.0000	25,000.00	25,000.00	03/14/14	87.70	3.00%
CUSIP: 13161G5E8							
MOODY'S: NR S&P: NR							***Accrued Interest: 595.83***
EUROPEAN INVT BK 2.5%24F	**20,000.0000**	**99.5086**	**19,901.72**	**19,845.40**	**<1%**	**56.32**	**500.00**
DUE 10/15/24	20,000.0000	99.2270	19,845.40	19,845.40	10/08/14	56.32	2.58%
EUROPEAN INVT BK							
CUSIP: 298785GQ3							
MOODY'S: Aaa S&P: AAA							***Accrued Interest: 105.56***
Total Corporate Bonds	**350,000.0000**		**354,9[illegible]**	**356,368.27**	**8%**	**(1,432.73) b**	**10,197.50**
		Total Cost Basis:	*360,07[illegible].25 t,R*				

Total Accrued Interest for Corporate Bonds: 5,018.65

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Fixed Income (continued)

Other Fixed Income	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
SHARED INTEREST 0.5%17 [7]	**50,000.0000**	**100.0000**	**50,000.00**	**50,000.00**	**1%**	**0.00**	**250.00**
PROM NOTE DUE 3/31/17	50,000.0000	100.0000	50,000.00 [a]	50,000.00	05/03/13	0.00	0.49%
DELAWARE CUSIP: 81999ADB3							***Accrued Interest: 63.19***
MERCY LOAN FUND 2.5%17 [7]	**150,000.0000**	**0.0000**	**150,000.00**	**150,000.00**		**(0.00)**	**3,750.00**
PROM NOTE DUE 7/1/17	150,000.0000	100.0000	150,000.00 [a]	150,000.00	05/03/13	(0.00)	2.48%
COLORADO CUSIP: 58999BBB1							***Accrued Interest: 2,364.58***
VERMONT COMM LN 2.5%18 [7]	**175,000.0000**	**100.0000**	**175,000.00**	**175,000.00**	**4%**	**0.00**	**4,375.00**
PROM NOTE DUE 2/15/18	175,000.0000	100.0000	175,000.00 [a]	175,000.00	05/03/13	0.00	2.48%
VERMONT CUSIP· 92499ARF1							***Accrued Interest: 2,746.53***
COOPERATIVE FUND NE 2%18 [7]	**175,000.0000**	**100.0000**	**175,000.00**	**175,000.00**	**4%**	**0.00**	**3,500.00**
PROM NOTE DUE 4/1/18	175,000.0000	100.0000	175,000.00 [a]	175,000.00	05/03/13	0.00	1.98%
MASSACHUSETTS CUSIP: 21699ADZ9							***Accrued Interest: 2,625.00***

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Other Fixed Income (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
NEW HAMPSHIRE CMNTY 3%18 [7]	**150,000.0000**	**0.0000**	**150,000.00**	**150,000.00**		**(0.00)**	**4,500.00**
PROM NOTE DUE 6/30/18 NEW HAMPSHIRE CUSIP: 64199AHH0	150,000.0000	100.0000	150,000.00 [a]	150,000.00	05/03/13	(0.00)	2.98%
							Accrued Interest: 2,262.50
CRAFT3 3%18 [7]	**100,000.0000**	**100.0000**	**100,000.00**	**100,000.00** [I]	**2%**	**N/A** [I]	**3,000.00**
PROM NOTE DUE 7/31/18 WASHINGTON CUSIP: 22499ACF4	100,000.0000	N/A	100,000.00	100,000.00	11/13/13	N/A	N/A
							Accrued Interest: 1,258.33
CEI INVESTMENT NT 2.5%18 [7]	**100,000.0000**	**100.0000**	**100,000.00**	**100,000.00**	**2%**	**0.00**	**2,500.00**
PROM NOTE DUE 10/1/18 MAINE CUSIP: 12599BNE3	100,000.0000	100.0000	100,000.00 [a]	100,000.00	09/25/13	0.00	2.48%
							Accrued Interest: 583.33

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Investment Detail - Fixed Income (continued)

Other Fixed Income (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
THE REINVESTMENT 2.25%18 [7]	**200,000.0000**	**0.0000**	**200,000.00**	**200,000.00**		**(0.00)**	**4,500.00**
PROM NOTE DUE 12/31/18	200,000.0000	100.0000	200,000.00 [a]	200,000.00	09/25/13	(0.00)	2.23%
PRIVATE PLACEMENT							
CUSIP: 75999BDQ0							***Accrued Interest: 12.50***
Total Other Fixed Income	**1,100,000.0000**		**1,100,000.00 TR**	**1,100,000.00**	**18%**	**(0.00)**	**29,375.00**
		Total Cost Basis:	***1,100,000.00 TR***				
							Total Accrued Interest for Other Fixed Income: 13,782.63
Total Fixed Income	**1,475,000.0000**		**1,479,43[illegible]**	**1,481,367.29**	**27%**	**(1,933.60) [b]**	**40,392.50**
		Total Cost Basis:	***1,485,08[illegible]***				

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment.
Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ACUITY BRANDS INC	**166.0000**	**230.8600**	**38,322.76**	**<1%**	**10,603.35**	**0.22%**	**86.32**
SYMBOL: AYI	166.0000	166.9843	27,719.41	03/23/15	10,603.35	649	Long-Term

Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
ADOBE SYSTEMS INC	**563.0000**	**102.9500**	**57,960.85**	**1%**	**39,426.87**	**N/A**	**N/A**
SYMBOL: ADBE	563.0000	32.9200	18,533.98	09/13/12	39,426.87	1570	Long-Term
ALKERMES PLC F	**505.0000**	**55.5800**	**28,067.90**	**<1%**	**3,256.14**	**N/A**	**N/A**
SYMBOL: ALKS	505.0000	49.1321	24,811.76	09/27/16	3,256.14	95	Short-Term
ALPHABET INC.	**75.0000**	**792.4500**	**59,433.75**	**1%**	**14,586.28**	**N/A**	**N/A**
CLASS A	64.0000	561.0445	35,906.85	10/30/14	14,809.95	793	Long-Term
SYMBOL: GOOGL	11.0000	812.7836	8,940.62	09/27/16	(223.67)	95	Short-Term
Cost Basis			*44,847.47*				
AMERICAN WATER WORKS	**590.0000**	**72.3600**	**42,692.40**	**1%**	**(3,489.53)**	**2.07%**	**885.00**
SYMBOL: AWK	590.0000	78.2744	46,181.93	06/22/16	(3,489.53)	192	Short-Term
ASML HLDGS NV F	**378.0000**	**112.2000**	**42,411.60**	**1%**	**1,457.77**	**1.07%**	**456.88**
UNSPONSORED ADR	378 0000	108.3434	40,953.83	06/15/15	1,457.77	565	Long-Term
1 ADR REPS 1 ORD SHS							
SYMBOL: ASML							
AUTODESK INC	**1,000.0000**	**74.0100**	**74,010.00**	**2%**	**13,999.64**	**N/A**	**N/A**
SYMBOL: ADSK	650.0000	61.8159	40,180.39	12/17/15	7,926.11	380	Long-Term
	350.0000	56.6570	19,829.97	03/14/16	6,073.53	292	Short-Term
Cost Basis			*60,010 36*				
BECTON DICKINSON&CO	**139.0000**	**165.5500**	**23,011.45**	**<1%**	**(1,725.96)**	**1.76%**	**405.88**
SYMBOL: BDX	139.0000	177.9669	24,737.41	09/27/16	(1,725.96)	95	Short-Term
BIOGEN INC	**185.0000**	**283.5800**	**52,462.30**	**1%**	**(18,276.47)**	**N/A**	**N/A**
SYMBOL: BIIB	73.0000	472.1573	34,467.49	03/23/15	(13,766.15)	649	Long-Term
	112 0000	323.8507	36,271.28	08/03/15	(4,510.32)	516	Long-Term
Cost Basis			*70,738.77*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
BORG WARNER INC	**684.0000**	**39.4400**	**26,976.96**	**<1%**	**3,428.13**	**1.41%**	**383.04**
SYMBOL: BWA	684.0000	34.4281	23,548.83	09/27/16	3,428.13	95	Short-Term
CERNER CORP	**937.0000**	**47.3700**	**44,385.69**	**1%**	**9,931.97**	**N/A**	**N/A**
SYMBOL: CERN	937.0000	36.7702	34,453.72	09/13/12	9,931.97	1570	Long-Term
CHIPOTLE MEXICAN GRL	**64.0000**	**377.3200**	**24,148.48**	**<1%**	**(4,165.36)**	**N/A**	**N/A**
SYMBOL· CMG	64.0000	442.4037	28,313.84	01/25/16	(4,165.36)	341	Short-Term
CHURCH & DWIGHT CO	**761.0000**	**44.1900**	**33,628.59**	**<1%**	**(2,980.03)**	**1.60%**	**540.31**
SYMBOL: CHD	761 0000	48.1059	36,608.62	09/27/16	(2,980.03)	95	Short-Term
CISCO SYSTEMS INC	**2,036.0000**	**30.2200**	**61,527.92**	**1%**	**21,898.03**	**3.44%**	**2,117.44**
SYMBOL: CSCO	2,036.0000	19.4645	39,629.89	09/13/12	21,898.03	1570	Long-Term
CITRIX SYSTEMS INC	**540.0000**	**89.3100**	**48,227.40**	**1%**	**16,479.62**	**N/A**	**N/A**
SYMBOL: CTXS	540.0000	58.7921	31,747.78	06/24/13	16,479.62	1286	Long-Term
EATON CORP PLC F	**658.0000**	**67.0900**	**44,145.22**	**1%**	**7,005.63**	**3.39%**	**1,500.24**
SYMBOL: ETN	338.0000	57.8959	19,568.82	06/24/13	3,107.60	1286	Long-Term
	320 0000	54.9086	17,570.77	09/15/15	3,898.03	473	Long-Term
Cost Basis			*37,139 59*				
GLAXOSMITHKLINE PLC F	**941.0000**	**38.5100**	**36,237.91**	**<1%**	**(4,443.15)**	**4.84%**	**1,756.00**
UNSPONSORED ADR	941.0000	43.2317	40,681.06	09/27/16	(4,443.15)	95	Short-Term
1 ADR REPS 2 ORD SHS							
SYMBOL: GSK							***Accrued Dividend: 436.86***
HERCULES CAPITAL INC	**1,798.0000**	**14.1100**	**25,369.78**	**<1%**	**931.79**	**8.78%**	**2,229.52**
SYMBOL: HTGC	900.0000	13.5392	12,185.29	09/27/16	513.71	95	Short-Term
	898.0000	13.6444	12,252.70	12/08/16	418.08	23	Short-Term
Cost Basis			*24,437.99*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
HEXCEL CORP	**999.0000**	**51.4400**	**51,388.56**	**1%**	**12,033.36**	**0.85%**	**439.56**
SYMBOL: HXL	999.0000	39.3945	39,355.20	09/16/14	12,033.36	837	Long-Term
HOLOGIC INC	**1,859.0000**	**40.1200**	**74,583.08**	**2%**	**33,027.75**	**N/A**	**N/A**
SYMBOL: HOLX	1,609.0000	20.2989	32,661.08	09/13/12	31,892.00	1570	Long-Term
	250.0000	35.5770	8,894.25	03/14/16	1,135.75	292	Short-Term
Cost Basis			*41,555.33*				
INTERFACE INC	**1,692.0000**	**18.5500**	**31,386.60**	**<1%**	**2,068.63**	**1.29%**	**406.08**
SYMBOL: TILE	1,692.0000	17.3274	29,317.97	05/08/13	2,068.63	1333	Long-Term
J B HUNT TRANSPORT	**362.0000**	**97.0700**	**35,139.34**	**<1%**	**9,267.77**	**0.90%**	**318.56**
SYMBOL: JBHT	362.0000	71 4684	25,871.57	03/18/14	9,267.77	1019	Long-Term
JOHNSON CTLS INTL F	**938.0000**	**41.1900**	**38,636.22**	**<1%**	**(4,221.00)**	**N/A**	**N/A**
SYMBOL: JCI	938.0000	45.6900	42,857.22	09/06/16	(4,221.00)	116	Short-Term
MERCK & CO INC	**763.0000**	**58.8700**	**44,917.81**	**1%**	**(2,816.77)**	**3.12%**	**1,403.92**
SYMBOL: MRK	763.0000	62.5617	47,734.58	09/27/16	(2,816.77)	95	Short-Term
							Accrued Dividend: 358.61
MIDDLEBY CORP THE	**230.0000**	**128.8100**	**29,626.30**	**<1%**	**20,659.25**	**N/A**	**N/A**
SYMBOL: MIDD	230.0000	38.9871	8,967.05	09/13/12	20,659.25	1570	Long-Term
MSCI INC	**561.0000**	**78.7800**	**44,195.58**	**1%**	**(3,807.63)**	**1.42%**	**628.32**
SYMBOL: MSCI	561 0000	85.5672	48,003.21	09/27/16	(3,807.63)	95	Short-Term
NIKE INC	**625.0000**	**50.8300**	**31,768.75**	**<1%**	**(6,406.94)**	**1.25%**	**400.00**
CLASS B	625.0000	61.0811	38,175.69	01/25/16	(6,406.94)	341	Short-Term
SYMBOL· NKE							***Accrued Dividend: 112.50***

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Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
NOVARTIS AG F	**813.0000**	**72.8400**	**59,218.92**	**1%**	**17,076.03**	**3.73%**	**2,209.69**
ADR	563.0000	49.5600	27,902.28 t	09/20/05	13,106.64	4120	Long-Term
1 ADR REPS 1 ORD SHS	150.0000	45.5455	6,831.83 t	05/20/10	4,094 17	2417	Long-Term
SYMBOL: NVS	100.0000	74.0878	7,408.78	03/14/16	(124.78)	292	Short-Term
Cost Basis			*42,142.89*				
NXP SEMICONDUCTORS XXX	**400.0000**	**98.0100**	**39,204.00**	**<1%**			
SUBMIT FOR TENDER			36,341.60				
EXP: 02/06/2017							
ORMAT TECHNOLOGIES	**914.0000**	**53.6200**	**49,008.68**	**1%**	**24,715.72**	**0.52%**	**255.92**
SYMBOL: ORA	689.0000	22.3278	15,383.91	06/25/13	21,560.27	1285	Long-Term
	225.0000	39.5957	8,909.05	03/14/16	3,155.45	292	Short-Term
Cost Basis			*24,292.96*				
OWENS ILLINOIS INC	**2,265.0000**	**17.4100**	**39,433.65**	**<1%**	**2,411.08**	**N/A**	**N/A**
SYMBOL: OI	1,465.0000	17.0479	24,975.21	12/17/15	530.44	380	Long-Term
	800.0000	15.0592	12,047.36	03/14/16	1,880.64	292	Short-Term
Cost Basis			*37,022.57*				
PALO ALTO NETWORKS	**289.0000**	**125.0500**	**36,139.45**	**<1%**	**(5,929.52)**	**N/A**	**N/A**
SYMBOL: PANW	179.0000	143.7388	25,729.25	03/23/15	(3,345.30)	649	Long-Term
	110.0000	148.5429	16,339.72	01/25/16	(2,584.22)	341	Short-Term
Cost Basis			*42,068.97*				
PANERA BREAD COMPANY	**252.0000**	**205.0900**	**51,682.68**	**1%**	**10,577.59**	**N/A**	**N/A**
CLASS A	175.0000	168.4426	29,477.46	09/16/13	6,413.29	1202	Long-Term
SYMBOL: PNRA	9.0000	169.9366	1,529.43	09/24/13	316.38	1194	Long-Term
	68.0000	148.5029	10,098.20	06/18/14	3,847.92	927	Long-Term
Cost Basis			*41,105 09*				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
PAYPAL HOLDINGS INCO	**1,494.0000**	**39.4700**	**58,968.18**	**1%**	**19,029.71**	**N/A**	**N/A**
SYMBOL PYPL	422.0000	7.3516	3,102.40 t	01/27/09	13,553.94	2895	Long-Term
	237 0000	31.5082	7,467.46	03/27/13	1,886.93	1375	Long-Term
	350.0000	33.8765	11,856.80	09/15/15	1,957.70	473	Long-Term
	485.0000	36.1068	17,511.81	06/22/16	1,631.14	192	Short-Term
Cost Basis			*39,938.47*				
ROYAL BANK OF CANADA F	**670.0000**	**67.7100**	**45,365.70**	**1%**	**8,784.29**	**3.62%**	**1,645.48**
SYMBOL: RY	495.0000	54.0392	26,749.45	12/17/15	6,767.00	380	Long-Term
	175.0000	56.1826	9,831.96	03/14/16	2,017.29	292	Short-Term
Cost Basis			*36,581.41*				
SALESFORCE COM	**535.0000**	**68.4600**	**36,626.10**	**<1%**	**6,073.92**	**N/A**	**N/A**
SYMBOL: CRM	535.0000	57.1068	30,552.18	06/18/14	6,073.92	927	Long-Term
SAP SPONSORED F	**365.0000**	**86.4300**	**31,546.95**	**<1%**	**789.53**	**1.51%**	**476.39**
ADR	365.0000	84.2669	30,757.42	12/08/16	789.53	23	Short-Term
1 ADR REPS 1 ORD SHS							
SYMBOL: SAP							
SMITH A O	**850.0000**	**47.3500**	**40,247.50**	**<1%**	**7,255.67**	**1.01%**	**408.00**
SYMBOL: AOS	850.0000	38.8139	32,991.83	12/17/15	7,255.67	380	Long-Term
SONOCO PRODUCTS CO	**477.0000**	**52.7000**	**25,137.90**	**<1%**	**4,347.96**	**2.80%**	**705.96**
SYMBOL: SON	477.0000	43.5847	20,789.94	06/18/14	4,347.96	927	Long-Term
STARBUCKS CORP	**912.0000**	**55.5200**	**50,634.24**	**1%**	**27,007.92**	**1.80%**	**912.00**
SYMBOL: SBUX	912.0000	25.9060	23,626.32	09/13/12	27,007.92	1570	Long-Term

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SVB FINANCIAL GROUP	**450.0000**	**171.6600**	**77,247.00**	**2%**	**41,897.11**	**N/A**	**N/A**
SYMBOL: SIVB	250.0000	60.8991	15,224.79	09/13/12	27,690.21	1570	Long-Term
	200.0000	100.6255	20,125.10	03/14/16	14,206.90	292	Short-Term
Cost Basis			*35,349.89*				
TESLA MOTORS INC	**125.0000**	**213.6900**	**26,711.25**	**<1%**	**(1,181.05)**	**N/A**	**N/A**
SYMBOL: TSLA	60.0000	231.7461	13,904.77	12/17/15	(1,083.37)	380	Long-Term
	65.0000	215.1927	13,987.53	03/14/16	(97.68)	292	Short-Term
Cost Basis			*27,892.30*				
UMPQUA HOLDINGS CORP	**3,673.0000**	**18.7800**	**68,978.94**	**2%**	**8,375.45**	**3.40%**	**2,350.72**
SYMBOL: UMPQ	1,702.0000	16.4878	28,062 36	09/16/13	3,901.20	1202	Long-Term
	721.0000	17.4320	12,568 51	09/16/14	971.87	837	Long-Term
	1,250.0000	15.9780	19,972.62	06/22/16	3,502.38	192	Short-Term
Cost Basis			*60,603.49*				
UNILEVER N V F	**1,570.0000**	**41.0600**	**64,464.20**	**2%**	**(577.04)**	**3.45%**	**2,226.89**
UNSPONSORED ADR	840 0000	38.7734	32,569.66	12/20/12	1,920.74	1472	Long-Term
1 ADR REPS 1 ORD SHS	513.0000	43.7342	22,435.68	12/17/15	(1,371.90)	380	Long-Term
SYMBOL. UN	217.0000	46.2483	10,035.90	09/27/16	(1,125.88)	95	Short-Term
Cost Basis			*65,041 24*				
UNITED NATURAL FOODS	**1,394.0000**	**47.7200**	**66,521.68**	**2%**	**(9,318.90)**	**N/A**	**N/A**
SYMBOL: UNFI	350.0000	58.7316	20,556.09	09/13/12	(3,854.09)	1570	Long-Term
	414.0000	63.4581	26,271.68	09/16/14	(6,515.60)	837	Long-Term
	630.0000	46.0520	29,012.81	08/03/15	1,050.79	516	Long-Term
Cost Basis			*75,840.58*				
VERISK ANALYTICS INC	**405.0000**	**81.1700**	**32,873.85**	**<1%**	**(827.37)**	**N/A**	**N/A**
CLASS A	405.0000	83.2128	33,701.22	12/08/16	(827.37)	23	Short-Term
SYMBOL: VRSK							

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
VODAFONE GROUP F	**1,187.0000**	**24.4300**	**28,998.41**	**<1%**	**(5,775.85)**	**8.38%**	**2,430.81**
UNSPONSORED ADR	1,187.0000	29.2959	34,774.26	09/27/16	(5,775.85)	95	Short-Term
1 ADR REPS 10 ORD SHS							
SYMBOL: VOD							***Accrued Dividend: 597.80***
WABTEC	**588.0000**	**83.0200**	**48,815.76**	**1%**	**11,445.48**	**0.48%**	**235.20**
SYMBOL. WAB	148.0000	41 0297	6,072.41	09/13/12	6,214.55	1570	Long-Term
	440.0000	71.1315	31,297.87	12/17/15	5,230.93	380	Long-Term
Cost Basis			*37,370.28*				
WATERS CORP	**257.0000**	**134.3900**	**34,538.23**	**<1%**	**8,505.79**	**N/A**	**N/A**
SYMBOL: WAT	257.0000	101.2935	26,032.44	09/16/14	8,505.79	837	Long-Term
WHITEWAVE FOODS	**760.0000**	**55.6000**	**42,256.00**	**<1%**	**11,630.49**	**N/A**	**N/A**
SYMBOL: WWAV	252.0000	42.6714	10,753.20	03/23/15	3,258.00	649	Long-Term
	508.0000	39.1187	19,872.31	12/17/15	8,372.49	380	Long-Term
Cost Basis			*30,625.51*				
WHOLE FOODS MARKET	**1,442.0000**	**30.7600**	**44,355.92**	**1%**	**(20,877.07)**	**1.75%**	**778.68**
SYMBOL: WFM	859.0000	48.9740	42,068.68	09/13/12	(15,645.84)	1570	Long-Term
	583.0000	39.7329	23,164.31	05/13/14	(5,231.23)	963	Long-Term
Cost Basis			*65,232.99*				
XYLEM INC.	**1,000.0000**	**49.5200**	**49,520.00**	**1%**	**16,557.50**	**1.25%**	**619.60**
SYMBOL: XYL	1,000.0000	32.9625	32,962.50	09/15/15	16,557.50	473	Long-Term
Total Equities	**43,136.0000**		**2,[illegible],168.30 TIP**	**54%**	**349,723.58**		**29,212.41**
Corporate Stock		*Total Cost Basis:*	*1,9[illegible],872.41 TIP*				

Total Accrued Dividend for Equities: 1,505.77

Investment Detail - Equities (continued)

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DOMINI IMPACT INTL EQTY INST SYMBOL: DOMOX	15,264 2600	7.3500	112,192.31	3%	6.36	95,748.96	16,443.35
PAX MSCI INTL ESG INDEX FD INDVL INV SYMBOL: PXINX	14,665.3410	7 7900	114,243.01	3%	7.80	114,339.43	(96.42)
Total Equity Funds International Funds	29,929.6010		226,435.32	6%		210,088.39	16,346.93
Total Mutual Funds	29,929.6010		226,435.32	6%		210,088.39	16,346.93

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Other Assets

Other Assets	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
EIGHTPOINTTHREE ENER LP	**2,900.0000**	**12.9800**	**37,642.00**	**<1%**	**(3,557.77)**	**7.41%**	**2,790.96**
SYMBOL: CAFD	413.0000	13.2083	5,455.03	09/15/15	(94.29)	473	Long-Term
	787 0000	13 3099	10,474.90	09/16/15	(259.64)	472	Long-Term
	1,000.0000	14.9613	14,961.30	12/17/15	(1,981.30)	380	Long-Term
	700.0000	14.7264	10,308.54	01/25/16	(1,222.54)	341	Short-Term
Cost Basis			*41,199.77*				
FOREST CITY REALTY T	**2,343.0000**	**20.8400**	**48,828.12**	**1%**	**5,024.09**	**1.15%**	**562.32**
REIT	999.0000	16.2157	16,199.57	09/13/12	4,619.59	1570	Long-Term
SYMBOL: FCEA	794.0000	20.1366	15,988.53	09/16/14	558.43	837	Long-Term
	550.0000	21.1198	11,615.93	03/14/16	(153.93)	292	Short-Term
Cost Basis			*43,804.03*				
HANNON ARMSTRONG SUS	**2,125.0000**	**18.9900**	**40,353.75**	**<1%**	**1,625.57**	**6.31%**	**2,550.00**
REIT	1,400.0000	18.7367	26,231.50	09/16/15	354.50	472	Long-Term
SYMBOL. HASI	725.0000	17.2368	12,496.68	01/25/16	1,271.07	341	Short-Term
Cost Basis			*38,728.18*			***Accrued Dividend: 701.25***	
HCP INC REIT	**1,073.0000**	**29.7200**	**31,889.56**	**<1%**	**(9,579.76)**	**4.97%**	**1,588.04**
REIT	1,073.0000	38.6480	41,469.32	08/05/16	(9,579.76)	148	Short-Term
SYMBOL: HCP							
PROLOGIS INC	**691.0000**	**52.7900**	**36,477.89**	**<1%**	**10,935.13**	**3.18%**	**1,160.88**
REIT	691 0000	36.9649	25,542.76	09/13/12	10,935.13	1570	Long-Term
SYMBOL: PLD							

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Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SJF VENTURES III LP [7]	**118,113.0000**	**1.0000**	**118,113.00**	**3%**	**N/A**	**N/A**	**N/A**
PRIVATE LTD PARTNERSHIP	2,299.0000	N/A	please provide	06/30/14	N/A	915	Long-Term
DELAWARE	13,922.0000	N/A	please provide	06/30/14	N/A	915	Long-Term
	8,208.0000	N/A	please provide	09/30/14	N/A	823	Long-Term
	15,000.0000	N/A	please provide	11/25/14	N/A	767	Long-Term
	15,000.0000	N/A	please provide	03/17/15	N/A	655	Long-Term
	6,847.0000	N/A	please provide	03/31/15	N/A	641	Long-Term
	4,087.0000	N/A	please provide	06/30/15	N/A	550	Long-Term
	6,243.0000	N/A	please provide	09/30/15	N/A	458	Long-Term
	9,525.0000	N/A	please provide	12/31/15	N/A	366	Short-Term
	15,000.0000	N/A	please provide	01/26/16	N/A	340	Short-Term
	3,434.0000	N/A	please provide	03/31/16	N/A	275	Short-Term
	3,548.0000	N/A	please provide	06/30/16	N/A	184	Short-Term
	15,000.0000	N/A	please provide	08/25/16	N/A	128	Short-Term
Cost Basis			*81,326.00*				
Total Other Assets	**127,245.0000**		**313,304.32**	**7%**	**4,447.28**		**8,652.20**
		Total Cost Basis:	**272,070.08**				

Total Accrued Dividend for Other Assets 701.25

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Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Total

Total Investment Detail	4,237,837.50
Total Account Value	4,587,837.50
Total Cost Basis	4,183,321.89

1 - 0			
1 - 1	Cash	275,506.00	+
1 - 2	Government Bonds	24,508.00	+
1 - 3	Corporate Bonds	354,926.00	+
1 - 4	Other Fixed Income	1,100,000.00	+
1 - 5	Corporate Stock	2,293,158.00	+
1 - 6	International Funds	226,435.00	+
1 - 7	Other Assets	313,304.00	+
1 - T	Total Market Value	4,587,837.00	*
2 - 0			
2 - 1		4,587,837.00	+
2 - 2	Iroquois	154,833.00	+
2 - T	Total Market Value	4,742,670.00	*TR

1 - 0			
1 - 1	Cash	275,506.00	+
1 - 2	Gov't Bonds	25,010.00	+
1 - 3	Corp Bonds	360,075.00	+
1 - 4	Other Fixed Income	1,100,000.00	+
1 - 5	Corp Stock	1,940,572.00	+
1 - 6	Int. Funds	210,088.00	+
1 - 7	Other Assets	272,070.00	+
1 - T	Total Cost Basis	4,183,321.00	*
2 - 0			
2 - 1		4,183,321.00	+
2 - 2	Iroquois	136,218.00	+
2 - T	Total Cost Basis	4,319,539.00	* TR

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Rose Family Foundation
13-4016964
Form 900-PF, Part XV, Item 3a

DATE	2016 CONTRIBUTIONS	AMOUNT
1/4/2016	Childrens Environmental Literacy Foundation	$ 20,000.00
1/5/2016	Transplanting Traditions Community Farm	$ 58,650.00
1/27/2016	Equicenter	$ 75,000.00
3/3/2016	The American Friends of the Heschel Center	$ 30,000.00
4/27/2016	Randalls Island Waterfront Stewardship	$ 75,000.00
6/20/2016	Huichol Center	$ 60,000.00
	TOTAL	**$ 318,650.00**

Rose Family Foundation
13-4016964
Realized Gains Losses

				Short Term		Long Term		Gain or Loss	
Date Acquired	Date Sold	Security	Quantity	Cost	Proceeds	Cost	Proceeds	Short Term	Long Term
3/23/2015	1/4/2016	Acuity Brands, Inc	36 0000	6,011 44	8,303 16			2,291.72	-
3/23/2015	1/4/2016	Biogen Inc	29 0000	13,692 57	8,545 28			(5,147.29)	-
12/17/2015	1/4/2016	Unilever N V	213 0000	9,315 40	8,939.23			(376.17)	-
3/23/2015	1/4/2016	The WhiteWave Foods Company	224 0000	9,558 39	8,639 53			(918 86)	-
9/16/2014	1/4/2016	Adobe Systems Inc	150 0000			10,586 76	13,699 00	-	3,112 24
9/13/2012	1/4/2016	Cisco Systems Inc	320 0000			6,228 67	8,402 42	-	2,173 75
9/13/2012	1/4/2016	Hologic Inc	200 0000			4,059 80	7,564 11	-	3,504 31
9/20/2005	1/4/2016	Novartis AG	71 0000			3,518 76	6,056 97	-	2,538 21
9/16/2014	1/4/2016	Novartis AG	41 0000			3,880 74	3,497 69	-	(383 05)
12/17/2014	1/4/2016	Ormat Technologies Inc	263 0000			7,201 87	9,342 11	-	2,140 24
9/24/2013	1/4/2016	Panera Bread Co	50 0000			8,496 84	9,520 87	-	1,024 03
9/13/2012	1/4/2016	Starbucks Corporation	143 0000			3,704 56	8,305 06	-	4,600 50
9/16/2014	1/4/2016	SVB Financial Group	74 0000			8,430 97	8,602 79	-	171 82
6/15/2015	1/25/2016	BorgWarner Inc	314 0000	18,858 54	8,945 99			(9,912.55)	-
5/26/2010	1/25/2016	Baxalta Incorporated	74 0000			1,402 09	3,101 37	-	1,699 28
6/24/2010	1/25/2016	Baxalta Incorporated	350 0000			6,484 15	14,668 66	-	8,184 51
9/16/2013	1/25/2016	BorgWarner Inc	503 0000			25,346 42	14,330 69	-	(11,015 73)
3/27/2013	1/25/2016	Lululemon Athletica Inc	310 0000			19,448 33	17,826 30	-	(1,620 03)
12/19/2013	1/25/2016	Lululemon Athletica Inc	329 0000			19,449 00	18,918 88	-	(530 12)
9/13/2012	1/25/2016	Minerals Technologies	491 0000			18,023 71	19,007 52	-	983 81
12/17/2014	1/25/2016	Minerals Technologies	265 0000			17,656 35	10,258 64	-	(7,397 71)
2/28/2013	2/29/2016	Calvert Social Invt Fndtn 1 000% Due 02-28-16	50,000 0000			50,000 00	50,000 00	-	-
6/15/2015	3/14/2016	HSBC Hldgs PLC ADS	520 0000	24,328 58	16,864 37			(7,464.21)	-
9/6/2012	2/29/2016	Morgan Stanley 3 800% Due 04-29-16	50,000 0000			50,463 50	50,150 00	-	(313 50)
9/13/2012	3/14/2016	Adobe Systems Inc	160 0000			5,267 20	13,934 14	-	8,666 94
9/16/2014	3/14/2016	Adobe Systems Inc	53 0000			3,740 66	4,615 69	-	875 03
6/24/2013	3/14/2016	Eaton Corporation, plc	400 0000			24,038 36	24,126 47	-	88 11
9/13/2012	3/14/2016	HSBC Hldgs PLC ADS	543 0000			25,293 08	17,610 29	-	(7,682 79)
9/24/2013	3/14/2016	Panera Bread Co	30 0000			5,098 11	6,297 18	-	1,199 07
3/18/2014	3/14/2016	Verisk Analytics, Inc	350 0000			22,032 26	26,666 03	-	4,633 77
6/15/2015	4/22/2016	ABB Ltd	402 0000	9,053 42	8,336 79			(716 63)	-
12/17/2015	4/22/2016	A.O Smith Corp	95 0000	7,374 64	7,587 18			212.54	-
10/30/2014	4/22/2016	Discovery Communications	279 0000			10,061 05	8,010 01	-	(2,051 04)
12/17/2014	4/22/2016	Ormat Technologies Inc	178 0000			4,874 27	7,701 48	-	2,827 21
9/24/2013	4/22/2016	Panera Bread Co	36 0000			6,117 73	7,661 44	-	1,543 71
12/21/2012	4/22/2016	Rogers Corporation	150 0000			7,224 60	8,778 91	-	1,554 31
6/18/2014	4/22/2016	Sonoco Products Co	200 0000			8,716 95	9,489 84	-	772 89
3/18/2014	4/22/2016	Umpqua Holdings Corp	170 0000			3,150 43	2,715 21	-	(435 22)
9/16/2014	4/22/2016	Umpqua Holdings Corp	396 0000			6,903 09	6,324 85	-	(578 24)
9/13/2012	4/22/2016	Wabtec Corporation	132 0000			5,415 94	10,676 75	-	5,260 81
9/13/2012	5/13/2016	Interval Leisure Group Inc	0 2789			4 07	4 12	-	0 05
6/18/2014	6/21/2016	Salesforce com Inc	50 0000			2,855 34	4,059 96	-	1,204 62
3/23/2015	6/22/2016	Acuity Brands, Inc	66 0000			11,020 97	16,524 77	-	5,503 80
9/13/2012	6/22/2016	Discovery Communications	689 0000			20,244 61	17,885 28	-	(2,359 33)
10/30/2014	6/22/2016	Discovery Communications	460 0000			16,588 11	11,940 83	-	(4,647 28)
9/13/2012	6/22/2016	Interval Leisure Group Inc	125 0000			1,314 11	1,903 04	-	588 93
9/16/2014	6/22/2016	Interval Leisure Group Inc	107 0000			1,556 01	1,617 60	-	61 59
12/21/2012	6/22/2016	Rogers Corporation	235 0000			11,318 55	14,541 33	-	3,222 78
9/13/2012	8/5/2016	Starwood Hotels & Resorts	291 0000			16,068 35	22,969 39	-	6,901 04
9/16/2014	8/5/2016	Starwood Hotels & Resorts	248 0000			19,075 96	19,575 29	-	499 33
9/6/2016	9/6/2016	Johnson Controls International plc	0 4911	22 44	23 65			1 21	-
3/14/2016	9/6/2016	Brandywine Realty Trust	850 0000	11,178.06	13,546 26			2,368 20	-
9/13/2012	9/6/2016	Johnson Controls, Inc	1,123 0000			32,375 82	49,313 66	-	16,937 84
9/16/2013	9/22/2016	ARM Holdings plc	515 0000			23,967 45	34,325 73	-	10,358 28
9/13/2012	9/26/2016	ABB Ltd	95 0000			1,852 63	2,136 60	-	283 97
6/15/2015	9/26/2016	ABB Ltd	940 0000			21,169 65	21,141 11	-	(28 54)
12/17/2014	9/26/2016	Brandywine Realty Trust	1,606 0000			25,190 43	25,594 47	-	404 04
9/13/2012	9/26/2016	BT Group PLC ADS	984 0000			18,928 24	24,983 64	-	6,055 40
9/13/2012	9/26/2016	CBRE Group, Inc	1,076 0000			20,928 65	30,406 77	-	9,478 12
12/19/2013	9/26/2016	Gilead Sciences Inc	571 0000			42,299 96	46,000 91	-	3,700 95
6/25/2013	9/26/2016	Ormat Technologies Inc	71 0000			1,585 28	3,491 94	-	1,906 66
12/17/2014	9/26/2016	Ormat Technologies Inc	356 0000			9,748 55	17,508 86	-	7,760 31
9/13/2012	9/26/2016	ProLogis, Inc	383 0000			14,157 57	20,817 69	-	6,660 12
8/3/2015	9/26/2016	Rogers Corporation	158 0000			8,797 59	9,427 15	-	629 56
9/16/2014	9/26/2016	SVB Financial Group	16 0000			1,822 91	1,692 87	-	(130 04)
3/23/2015	9/26/2016	The WhiteWave Foods Company	24 0000			1,024 11	1,315 53		291 42
3/23/2015	9/26/2016	The WhiteWave Foods Company	643 0000			27,437 72	35,245 28	-	7,807 56
6/25/2013	9/27/2016	Ormat Technologies Inc	376 0000			8,395 28	18,479 28	-	10,084 00
12/21/2012	9/27/2016	Rogers Corporation	37 0000			1,782 07	2,210 32	-	428.25
8/3/2015	9/27/2016	Rogers Corporation	364 0000			20,527 72	21,744 69	-	1,216 97
9/13/2012	9/27/2016	SVB Financial Group	139 0000			8,464 99	14,789 29	-	6,324 30
9/16/2014	9/27/2016	SVB Financial Group	67 0000			7,633 44	7,128 64	-	(504 80)
11/1/2016	11/1/2016	Quality Care Properties, Inc	0 6000	9 75	8 52			(1 23)	-
10/31/2016	12/7/2016	Adient plc Ord Shs	93 0000	4,332 40	5,279 58			947 18	-
3/14/2016	12/7/2016	First Solar, Inc	180 0000	12,730 24	6,055 38			(6,674 86)	-
11/1/2016	12/7/2016	Quality Care Properties, Inc	214 0000	6,601 90	3,376 81			(3,225 09)	-
9/13/2012	12/7/2016	ABB Ltd	1,618 0000			31,553 27	34,078 89	-	2,525 62
9/16/2013	12/7/2016	First Solar, Inc	762 0000			29,638 08	25,634 44	-	(4,003 64)
3/18/2014	12/7/2016	First Solar, Inc	72.0000			4,163 89	2,422.15	-	(1,741 74)
		Totals		133,067.77	104,451.73	865,805.63	988,772.89	(28,616.04)	122,967.26

Total Cost Basis	998,873 40
Total Proceeds	1,093,224 62
Net Gain/Loss	94,351.22