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**Return of Private Foundation**

**2016**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation  
**JOHN-CHRISTOPHE SCHLESINGER FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**14 WEST LANE**

City or town, state or province, country, and ZIP or foreign postal code  
**POUND RIDGE, NY 10576**

A Employer identification number  
**13-3984550**

B Telephone number  
**914-764-5123**

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
**\$ 2,196,220.** (Part I, column (d) must be on cash basis)

J Accounting method:  Cash  Accrual  
 Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		34,668.	34,668.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		192,478.			
b Gross sales price for all assets on line 6a		580,425.			
7 Capital gain net income (from Part IV, line 2)			192,478.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		704.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		227,850.	227,146.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees		26,729.	26,729.		0.
17 Interest					
18 Taxes		144.	144.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		15.	15.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		26,888.	26,888.		0.
25 Contributions, gifts, grants paid		109,126.			109,126.
26 Total expenses and disbursements. Add lines 24 and 25		136,014.	26,888.		109,126.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		91,836.			
b Net investment income (if negative, enter -0-)			200,258.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 26 2017

Operating and Administrative Expenses

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**JOHN-CHRISTOPHE SCHLESINGER  
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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	165,278.	31,982.	31,982.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <span style="float: right;">STMT 6</span>	1,200,150.	1,425,282.	2,151,711.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <span style="float: right;">STMT 7</span>	12,527.	12,527.	12,527.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,377,955.	1,469,791.	2,196,220.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,377,955.	1,469,791.	
30 Total net assets or fund balances	1,377,955.	1,469,791.		
31 Total liabilities and net assets/fund balances	1,377,955.	1,469,791.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,377,955.
2 Enter amount from Part I, line 27a	91,836.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	1,469,791.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,469,791.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEUBERGER BERMAN-JP MORGAN	P	VARIOUS	06/30/16
b NEUBERGER BERMAN-JP MORGAN	P	VARIOUS	06/30/16
c NEUBERGER BERMAN-NATIONAL FINANCIAL	P	VARIOUS	12/31/16
d NEUBERGER BERMAN-NATIONAL FINANCIAL	P	VARIOUS	12/31/16
<b>e CAPITAL GAINS DIVIDENDS</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 249,419.		148,208.	101,211.
b 32,304.		36,168.	-3,864.
c 94,826.		105,440.	-10,614.
d 203,008.		98,131.	104,877.
e 868.			868.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			101,211.
b			-3,864.
c			-10,614.
d			104,877.
e			868.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	192,478.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	132,194.	2,186,062.	.060471
2014	109,350.	2,382,753.	.045892
2013	106,797.	2,169,710.	.049222
2012	45,400.	1,780,834.	.025494
2011	100,297.	1,752,791.	.057221

2 Total of line 1, column (d)	2	.238300
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047660
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,022,263.
5 Multiply line 4 by line 3	5	96,381.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,003.
7 Add lines 5 and 6	7	98,384.
8 Enter qualifying distributions from Part XII, line 4	8	109,126.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)	
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1 2,003.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).	2 0.
3 Add lines 1 and 2	3 2,003.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5 2,003.
6 Credits/Payments:	
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,524.
b Exempt foreign organizations - tax withheld at source	6b
c Tax paid with application for extension of time to file (Form 8868)	6c
d Backup withholding erroneously withheld	6d
7 Total credits and payments. Add lines 6a through 6d	7 1,524.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8 2.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 481.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11

Part VII-A Statements Regarding Activities		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			X
c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.			X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?			X
b If "Yes," has it filed a tax return on Form 990-T for this year?			
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T			X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV			X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			X

N/A

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	X	
14 The books are in care of <b>RICHARD SCHLESINGER</b> Telephone no. <b>914-764-5123</b> Located at <b>14 WEST LANE, POUND RIDGE, NY</b> ZIP+4 <b>10576</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)  N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576	DIRECTOR 0.00	0.	0.	0.
SHEILA SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576	DIRECTOR 0.00	0.	0.	0.
A. LAUREN MONAHAN 82 JACOBS LANE NORWELL, MA 02061	DIRECTOR 0.00	0.	0.	0.
KATHERINE S. FATES 520 IPSWICH ROAD BOXFORD, MA 01921	DIRECTOR 0.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	1,936,979.
b	Average of monthly cash balances	1b	116,080.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,053,059.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,053,059.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,796.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,022,263.
6	Minimum investment return. Enter 5% of line 5	6	101,113.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,113.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,003.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,003.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	99,110.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	99,110.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	99,110.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	109,126.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	109,126.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,003.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	107,123.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				99,110.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	5,670.			
b From 2012				
c From 2013				
d From 2014				
e From 2015	26,243.			
f Total of lines 3a through e	31,913.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	109,126.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				99,110.
e Remaining amount distributed out of corpus	10,016.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	41,929.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	5,670.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	36,259.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015	26,243.			
e Excess from 2016	10,016.			

**JOHN-CHRISTOPHE SCHLESINGER  
FOUNDATION**

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶ \_\_\_\_\_  
**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**RICHARD SCHLESINGER**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

JOHN-CHRISTOPHE SCHLESINGER  
FOUNDATION

Form 990-PF (2016)

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**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
SEE SCHEDULE ATTACHED	N/A	N/A	EDUCATIONAL	109,126.
<b>Total</b>			▶ 3a	109,126.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			▶ 3b	0.





**John - Christophe Schlesinger Foundation  
Donations  
2016**

<u>Organization</u>	<u>Amount</u>
Alta Community Enrichment	500
AB Instead Institute of Foreign Education	1,630
American Cancer	100
Arts for Healing Inc	1,000
Beth Israel Deaconess Medical Center	10,000
Best Buddies Challenge	250
Boston Children's Hospital	1,500
Boston Museum of Science	500
Chabad Lubavitch	100
Chilrens Cancer	300
Corporate Angel Network	250
City Harvest	1,000
City Meals-On-Wheels	500
Continue Mission	(1,000)
Doctors Without Borders	4,000
Eddie Wilson Special Education Scholarship	500
Food Bank for Westchester	250
Friends of Alta Inc.	1,500
Hablemos Spanish Language Society	1,245
Habitat for Humanity	500
Himalayan Cataract Project	5,000
Hiram Halle Library	250
Horizons National Student	3,000
Human Outreach Project	20,000
INH Cconference Source	3,600
Kipp Ma	1,500
Lee David Pesky Learning Center	750
Little Sisters Fund	4,000
Manhattan Institute	2,500
Maui Arts and Cultural Center	1,500
Maui Humane Society Inc.	2,000
National Avalanche	500
National MPS Society Inc.	250
National September 11 Memorial & Museum	400
New Canaan County School	300
Northern Westchester Hospital	500
One Degree Forward Organization	5,000
Partners in Health	3,500
Phillips Academy	1,000
Pound Ridge Volunteer Ambulance Corps	500
Pound Ridge Volunteer Fire Department	500
Random Acts of Flowers	5,000
Robin Hood Foundation	1,000
Ronald McDonald House	1,000
Shriners Childrens Hospital	3,000
Smile Train	1,250
Smilow Cancer Hospital	5,000
Snowbird Sports Education Foundaton	1,000
St. Jude's Hospital	3,000
Toronto Fdn	2,000
United Jewish Appeal	1,800
Visitor Industry Charity	150
Wasatch Adaptive Sports	2,000
Yale Hockey Association	750
Yale Parent's Fund	1,000

Total

109,125

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
NEUBERGER BERMAN	18,663.	434.	18,229.	18,229.		
NEUBERGER BERMAN	16,873.	434.	16,439.	16,439.		
TO PART I, LINE 4	35,536.	868.	34,668.	34,668.		

FORM 990-PF	OTHER INCOME			STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME		
NONTAXABLE DISTRIBUTION-JP MORGAN	264.	0.			
NONTAXABLE DISTRIBUTION-NATIONAL FINANCIAL	440.	0.			
TOTAL TO FORM 990-PF, PART I, LINE 11	704.	0.			

FORM 990-PF	OTHER PROFESSIONAL FEES				STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
INVESTMENT MANAGEMENT FEE	26,729.	26,729.			0.	
TO FORM 990-PF, PG 1, LN 16C	26,729.	26,729.			0.	

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FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	144.	144.		0.
TO FORM 990-PF, PG 1, LN 18	144.	144.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	12.	12.		0.
MISCELLANEOUS	3.	3.		0.
TO FORM 990-PF, PG 1, LN 23	15.	15.		0.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - SEE ATTACHED SCHEDULE	1,425,282.	2,151,711.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,425,282.	2,151,711.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
COMMODITIES	COST	1,000.	1,000.
EURO CURRENCY CONTRACT	COST	11,527.	11,527.
TOTAL TO FORM 990-PF, PART II, LINE 13		12,527.	12,527.



Statement for the Period December 1, 2016 to December 31, 2016

JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBJ-038008 NB Account Number: 550-72456

NEUBERGER BERMAN

## Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

For additional information regarding your holdings, please refer to the footnotes at the end of the statement.

### CASH AND CASH EQUIVALENTS - 1.46% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Unrealized Gain (Loss)
<b>Money Markets</b>						
DREYFUS GOVERNMENT CASH MGMT ADMIN	DAGXX	31,982.24	\$1.00	\$31,982.24		
7 DAY YIELD 34%	CASH					
<b>Total Cash and Cash Equivalents</b>				<b>\$31,982.24</b>		

### HOLDINGS > EQUITIES - 98.54% of Total Account Value

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
<b>Equity</b>								
ACCENTURE PLC CLS A US00.0000225	ACN	05/20/09	250	\$117.13	\$29,282.50	\$605.00	\$7,570.85 T	\$21,711.65
Estimated Yield 2.06%	CASH							
Average Unit Cost \$30.28								
AIR PRODUCTS & CHEM	APD		450	\$143.82	\$64,719.00	\$1,548.00	\$58,783.29 T	\$5,935.71
Estimated Yield 2.39%	CASH							
Next Dividend Payable: 02/13/17		08/26/15	100	\$143.82	\$14,382.00		\$12,422.15 T	\$1,959.85 LT
Average Unit Cost \$130.63		Unit Cost \$124.22						
		08/26/15	50	\$143.82	\$7,191.00		\$6,388.82 T	\$802.38 LT
		Unit Cost \$127.77						
		10/29/15	100	\$143.82	\$14,382.00		\$12,517.24 T	\$1,864.76 LT
		Unit Cost \$125.17						
		12/01/15	100	\$143.82	\$14,382.00		\$12,527.28 T	\$1,854.72 LT
		Unit Cost \$125.27						
		12/20/16	100	\$143.82	\$14,382.00		\$14,928.00	(\$546.00) ST
		Unit Cost \$149.28						
AON PLC COM US00.01 CL A	AON	11/13/12	450	\$111.53	\$50,188.50	\$594.00	\$25,365.60 T	\$24,822.90
Estimated Yield 1.18%	CASH							
Average Unit Cost \$56.37								

Statement for the Period December 1, 2016 to December 31, 2016

JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NB03-038008 NB Account Number: 550-72456



HOLDINGS > EQUITIES continued

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
ASSURANT INC Estimated Yield 2.28% Average Unit Cost \$76.28	AIZ CASH	03/09/16	250	\$92.86	\$23,215.00	\$530.00	\$19,069.28 T	\$4,145.72
ATHENE HOLDING LTD COM USD0 001 CL A Average Unit Cost \$40.00	ATH CASH	12/09/16	900	\$47.99	\$43,191.00		\$36,000.00	\$7,191.00
B/E AEROSPACE INC COM Estimated Yield 1.39% Average Unit Cost \$41.08	BEAV CASH	01/11/16 Unit Cost \$39.77 02/12/16 Unit Cost \$40.71 06/24/16 Unit Cost \$46.92	1,250 500 600 150	\$60.19 \$60.19 \$60.19 \$60.19	\$75,237.50 \$30,095.00 \$36,114.00 \$9,028.50	\$1,050.00	\$51,349.42 T \$19,883.35 T \$24,427.38 T \$7,038.69	\$23,888.08 \$10,211.65 ST \$11,886.82 ST \$1,989.81 ST
BERKSHIRE HATHAWAY INC DEL CL B NEW Average Unit Cost \$144.86	BRKB CASH	03/31/15	200	\$162.98	\$32,596.00		\$28,972.32 T	\$3,623.68
BOEING CO Estimated Yield 3.64% Next Dividend Payable 03/03/17 Average Unit Cost \$71.31	BA CASH	08/31/12	500	\$155.68	\$77,840.00	\$2,840.00	\$35,652.83 T	\$42,187.17
C S X CORP Estimated Yield 2.00% Average Unit Cost \$27.43	CSX CASH	09/11/15 Unit Cost \$28.47 11/18/15 Unit Cost \$28.19 12/03/15 Unit Cost \$27.18 12/11/15 Unit Cost \$25.11	2,550 1,100 450 400 600	\$35.93 \$35.93 \$35.93 \$35.93 \$35.93	\$91,621.50 \$39,523.00 \$16,168.50 \$14,372.00 \$21,558.00	\$1,836.00	\$69,939.89 T \$31,313.04 T \$12,687.53 T \$10,872.72 T \$15,066.60 T	\$21,681.61 \$8,209.96 LT \$3,480.97 LT \$3,499.28 LT \$6,491.40 LT
CABOT OIL & GAS CP COM Estimated Yield 0.34% Average Unit Cost \$8.00	COG CASH	11/05/10	4,000	\$23.36	\$93,440.00	\$320.00	\$31,980.40 T	\$61,459.60
CHUBB LIMITED COM NPV ISIN #CH0044328745 Estimated Yield 2.08%	CB CASH	10/26/16	600	\$132.12	\$79,272.00	\$1,656.00	\$75,896.22	\$3,375.78



**NEUBERGER BERMAN**

Statement for the Period December 1, 2016 to December 31, 2016  
 JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBJ-038008 NB Account Number: 550-72456

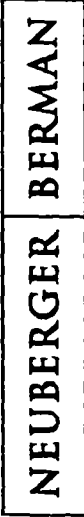
**HOLDINGS > EQUITIES** *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
CHUBB LIMITED COM NPV ISIN #CH0044328745	CB	<i>continued</i>						
Average Unit Cost								
COCA COLA CO	KO	01/11/16	700	\$41.46	\$29,022.00	\$980.00	\$29,112.72 T	(\$90.72)
Estimated Yield 3.37%								
Average Unit Cost								
CONAGRA BRANDS INC	CAG		1,250	\$39.55	\$49,437.50	\$1,000.00	\$45,653.87	\$3,783.63
Estimated Yield 2.02%								
Next Dividend Payable 03/01/17		06/23/16	850	\$39.55	\$33,617.50		\$31,330.76	\$2,286.74 ST
Average Unit Cost		Unit Cost \$36.86	400	\$39.55	\$15,820.00		\$14,323.11	\$1,496.89 ST
		Unit Cost \$35.81						
D R HORTON INC COM	DHI	03/23/15	900	\$27.33	\$24,597.00	\$360.00	\$24,606.00 T	(\$9.00)
Estimated Yield 1.46%								
Average Unit Cost								
EGG RESOURCES INC	EGG	02/15/12	1,000	\$101.10	\$101,100.00	\$670.00	\$57,506.67 T	\$43,593.33
Estimated Yield 0.66%								
Next Dividend Payable 01/31/17								
Average Unit Cost								
FEDEX CORP COM	FDX		350	\$186.20	\$65,170.00	\$560.00	\$55,350.01 T	\$9,819.99
Estimated Yield 0.85%								
Next Dividend Payable 01/03/17		09/04/15	150	\$186.20	\$27,930.00		\$22,295.75 T	\$5,634.25 LT
Average Unit Cost		Unit Cost \$148.64	200	\$186.20	\$37,240.00		\$33,054.26	\$4,185.74 ST
		Unit Cost \$165.27						
FLY LEASING LTD SPONSORED ADR	FLY	08/18/08	3,000	\$13.30	\$39,900.00	\$3,000.00	\$37,926.09 T	\$1,973.91
Estimated Yield 7.51%								
Average Unit Cost								
JPMORGAN CHASE & CO	JPM	09/01/16	750	\$86.29	\$64,717.50	\$1,440.00	\$50,499.53	\$14,217.97
Estimated Yield 2.72%								
Next Dividend Payable 01/31/17								
Average Unit Cost								
KRAFT HEINZ CO COM	KHC	11/13/12	450	\$87.32	\$39,294.00	\$1,080.00	\$19,821.50 T	\$19,472.50
Estimated Yield 2.74%								
Average Unit Cost								

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Statement for the Period December 1, 2016 to December 31, 2016

JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBJ-038008 NB Account Number: 550-72456



**HOLDINGS > EQUITIES** *continued*

Description	Symbol/Cusip Account Type Acquired	Date	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
LILLY ELI & CO COM NPV	LLY		700	\$73.55	\$51,485.00	\$1,458.00	\$52,304.96 T	(\$819.96)
Estimated Yield 2.82%	CASH	03/23/15	300	\$73.55	\$22,065.00		\$23,121.96 T	(\$1,058.96) LT
Average Unit Cost \$74.72		Unit Cost \$77.07	300					
		04/02/15	300	\$73.55	\$22,065.00		\$21,258.00 T	\$807.00 LT
		Unit Cost \$70.86	300					
		01/11/16	100	\$73.55	\$7,355.00		\$7,925.00 T	(\$570.00) ST
		Unit Cost \$79.25	100					
MICROSOFT CORP	MSFT		1,450	\$62.14	\$90,103.00	\$2,262.00	\$36,860.89 T	\$53,242.11
Estimated Yield 2.51%	CASH	08/05/11	700	\$62.14	\$43,498.00		\$17,985.35 T	\$25,512.65 LT
Next Dividend Payable 03/09/17		Unit Cost \$25.69	700					
Average Unit Cost \$25.42		08/08/11	300	\$62.14	\$18,642.00		\$7,495.14 T	\$11,146.86 LT
		Unit Cost \$24.98	300					
		08/17/11	400	\$62.14	\$24,856.00		\$10,034.49 T	\$14,821.51 LT
		Unit Cost \$25.09	400					
		11/14/11	50	\$62.14	\$3,107.00		\$1,345.91 T	\$1,761.09 LT
		Unit Cost \$26.92	50					
OCCIDENTAL PETROLEUM CORP	OXY	08/19/09	1,100	\$71.23	\$78,353.00	\$3,344.00	\$74,952.84 T	\$3,400.16
Estimated Yield 4.26%	CASH							
Next Dividend Payable 01/13/17								
Average Unit Cost \$68.14								
ORACLE CORP COM	ORCL		2,025	\$38.45	\$77,861.25	\$1,215.00	\$54,474.35 T	\$23,386.90
Estimated Yield 1.56%	CASH	02/28/06	1,025	\$38.45	\$39,411.25		\$14,035.12 T	\$25,376.13 LT
Next Dividend Payable 01/26/17		Unit Cost \$13.69	1,025					
Average Unit Cost \$26.90		03/29/16	750	\$38.45	\$28,837.50		\$30,540.38 T	(\$1,702.88) ST
		Unit Cost \$40.72	750					
		05/03/16	250	\$38.45	\$9,612.50		\$9,898.85 T	(\$286.35) ST
		Unit Cost \$39.60	250					
PACKAGING CORP AMER	PKG	08/11/09	800	\$84.82	\$67,856.00	\$2,016.00	\$13,498.79 T	\$54,357.21
Estimated Yield 2.97%	CASH							
Next Dividend Payable 01/13/17								
Average Unit Cost \$16.87								
PFEZIER INC	PFE		2,700	\$32.48	\$87,696.00	\$3,456.00	\$47,981.61 T	\$39,714.39



Statement for the Period December 1, 2016 to December 31, 2016

JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBJ-038008 NB Account Number: 550-72456



HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip	Date	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
<b>PFIZER INC</b>	PFE	<i>continued</i>						
Estimated Yield 3.94%	CASH	12/10/09	400	\$32.48	\$12,992.00		\$7,368.36 T	\$5,623.64 LT
Next Dividend Payable 03/01/17		Unit Cost \$18.42						
Average Unit Cost \$17.77		10/20/10	2,000	\$32.48	\$64,960.00		\$35,407.00 T	\$29,553.00 LT
		Unit Cost \$17.70						
		11/04/10	300	\$32.48	\$9,744.00		\$5,206.25 T	\$4,537.75 LT
		Unit Cost \$17.35						
<b>PIONEER NATURAL RESOURCES CO</b>	PXD		600	\$180.07	\$108,042.00	\$48.00	\$37,853.97 T	\$70,188.03
Estimated Yield 0.04%	CASH	05/25/10	500	\$180.07	\$90,035.00		\$28,775.60 T	\$61,259.40 LT
Average Unit Cost \$63.09		Unit Cost \$57.55						
		08/02/11	100	\$180.07	\$18,007.00		\$9,078.37 T	\$8,928.63 LT
		Unit Cost \$90.78						
<b>PNC FINL SVCS GROUP</b>	PNC		650	\$116.96	\$76,024.00	\$1,430.00	\$58,330.50 T	\$17,693.50
Estimated Yield 1.88%	CASH	11/19/14	300	\$116.96	\$35,088.00		\$26,062.53 T	\$9,025.47 LT
Average Unit Cost \$89.74		Unit Cost \$66.88						
		02/13/15	350	\$116.96	\$40,936.00		\$32,267.97 T	\$8,668.03 LT
		Unit Cost \$92.19						
<b>RYANAIR LTD ADR EACH REPR 5 ORD EURO.012</b>	RYAAY		1,200	\$83.26	\$99,912.00		\$95,842.01 T	\$4,069.99
POST REV SP	CASH	11/18/15	400	\$83.26	\$33,304.00		\$32,136.08 T	\$1,167.92 LT
Average Unit Cost \$79.87		Unit Cost \$80.34						
		01/13/16	200	\$83.26	\$16,652.00		\$17,180.68 T	(\$528.68) ST
		Unit Cost \$85.90						
		04/11/16	150	\$83.26	\$12,489.00		\$12,449.67 T	\$39.33 ST
		Unit Cost \$83.00						
		05/17/16	200	\$83.26	\$16,652.00		\$15,942.54 T	\$709.46 ST
		Unit Cost \$79.71						
		08/12/16	100	\$83.26	\$8,326.00		\$7,251.02	\$1,074.98 ST
		Unit Cost \$72.51						
		08/26/16	150	\$83.26	\$12,489.00		\$10,882.02	\$1,606.98 ST
		Unit Cost \$72.55						
<b>TEXTRON INC</b>	TXT		1,200	\$48.56	\$58,272.00	\$96.00	\$38,428.20 T	\$19,843.80
Estimated Yield 0.16%	CASH							

Statement for the Period December 1, 2016 to December 31, 2016

JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBJ-038008 NB Account Number: 550-72456

**NEUBERGER BERMAN**

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
<b>TEXTRON INC</b>								
Next Dividend Payable	01/01/17	08/13/13	900	\$48.56	\$43,704.00		\$25,459.20 T	\$18,244.80 LT
Average Unit Cost	\$32.02	Unit Cost						
		04/28/15	300	\$48.56	\$14,568.00		\$12,969.00 T	\$1,599.00 LT
		Unit Cost						
		Unit Cost						
<b>TORCHMARK CORP</b>								
Estimated Yield	0.75%	05/20/09	750	\$73.76	\$55,320.00	\$420.00	\$12,449.76 T	\$42,870.24
Next Dividend Payable	02/01/17	CASH						
Average Unit Cost	\$16.60							
<b>UNILEVER N V N Y SHS NEW</b>								
Estimated Yield	3.41%	10/30/08	175	\$41.06	\$7,185.50	\$245.37	\$4,087.58 T	\$3,097.92
Average Unit Cost	\$23.36	CASH						
<b>UNITED CONTINENTAL HOLDINGS INC COM</b>								
US00 01		07/25/14	800	\$72.88	\$58,304.00		\$36,839.68 T	\$21,464.32
Average Unit Cost	\$46.05	CASH						
<b>UNUM GROUP</b>								
Estimated Yield	1.82%		2,050	\$43.93	\$90,056.50	\$1,640.00	\$32,748.16 T	\$57,308.34
Average Unit Cost	\$15.97	10/09/03	1,900	\$43.93	\$83,467.00		\$30,135.33 T	\$53,331.67 LT
		Unit Cost						
		03/04/05	150	\$43.93	\$6,589.50		\$2,612.83 T	\$3,976.67 LT
		Unit Cost						
		Unit Cost						
<b>VERSUM MATLS INC COM</b>								
Average Unit Cost	\$24.96		175	\$28.07	\$4,912.25		\$4,367.75 T	\$544.50
		08/26/15	50	\$28.07	\$1,403.50		\$1,237.18 T	\$166.32 LT
		Unit Cost						
		08/28/15	25	\$28.07	\$701.75		\$636.27 T	\$65.48 LT
		Unit Cost						
		10/29/15	50	\$28.07	\$1,403.50		\$1,246.65 T	\$156.85 LT
		Unit Cost						
		12/01/15	50	\$28.07	\$1,403.50		\$1,247.65 T	\$155.85 LT
		Unit Cost						
<b>WEYERHAEUSER CO COM</b>								
Estimated Yield	4.12%	01/12/16	700	\$30.09	\$21,063.00	\$868.00	\$18,922.05 T	\$2,140.95
Average Unit Cost	\$27.03	CASH						
<b>WHIRLPOOL CORP</b>								
		09/01/16	250	\$181.77	\$45,442.50	\$1,000.00	\$44,282.45	\$1,160.05



Statement for the Period December 1, 2016 to December 31, 2016  
 JOHN CHRISTOPHE SCHLESINGER FOUNDATION - Unincorporated Assn  
 Account Number: NBU-038008 NB Account Number: 550-72456

**NEUBERGER BERMAN**

**HOLDINGS > EQUITIES** *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
WHIRLPOOL CORP	WHR	<i>continued</i>						
Average Unit Cost	CASH			\$177.13				
<b>Total Equity</b>				\$2,151,729.00	\$39,585.37	\$1,425,282.04	\$726,446.96	
<b>Total Equities</b>				\$2,151,729.00	\$39,585.37	\$1,425,282.04	\$726,446.96	
<b>Total Securities</b>				\$2,151,729.00	\$39,585.37	\$1,425,282.04	\$726,446.96	
<b>TOTAL ACCOUNT VALUE</b>				\$2,183,711.24	\$39,585.37	\$1,425,282.04	\$726,446.96	

**TOTAL PORTFOLIO VALUE (EXCLUDES ACCRUALS)**

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/14/16	CASH	YOU BOUGHT	ATHENE HOLDING LTD COM USD0001 CL A PROSPECTUS UNDER SEPARATE COVER TRD EXECUTED BY GSGC @ 40	900	(\$36,000.00)
12/23/16	CASH	YOU BOUGHT	AIR PRODUCTS & CHEM AVERAGE PRICE TRADE DETAILS ON REQUEST @ 149.28	100	(\$14,928.00)
<b>Total Securities Purchased</b>					<b>(\$50,928.00)</b>

**Securities Sold**

Neuberger Berman

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

NEUBERGER BERMAN

Account No. 541-25519  
Account Name JOHN-CHRISTOPHE SCHLESINGER  
Recipient's Identification Number XX-XXX4550  
Account Executive No. 002  
CORRECTED 02/25/17

NEUBERGER BERMAN BD LLC  
1290 AVE OF THE AMERICAS  
25TH FLOOR  
NEW YORK, NY 10104

Telephone Number (212) 476-5505

2016 Supplemental Gain or (Loss) Information  
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date	Symbol	Description	Quantity	Cost Basis	Realized Gain or (Loss)
01/13/16	✓S	FEDEX CORP	150 00000	19,559.34	26,939.58 (7,380.24)
		FDX 31428X106			
05/13/16	S	SANDISK CORP	150.00000	11,410.90	7,942.73 T 3,468.17
		80004C101			
05/19/16	S	WESTERN DIGITAL CORP	35 00000	1,333.71	1,285.90 T 47.81
		WDC 958102105			
<b>3 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)</b>					<b>36,168.21 (3,864.26)</b>

2016 Supplemental Gain or (Loss) Information  
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date	Symbol	Description	Quantity	Cost Basis	Realized Gain or (Loss)
01/06/16	H	ANADARKO PETROLEUM CORP	400.00000	17,860.27	6,508.00 9,352.27
		APC 032511107			
02/12/16	H	BOEING CO	100.00000	10,908.80	7,548.78 3,360.02
		BA 097023105			
04/04/16	H	CALIFORNIA RESOURCES CORPORATION	102 00000	121.26	124.40 (3 14)
		13057Q107			
01/05/16	H	INTERNATIONAL BUSINESS MACHINES CORP	250 00000	33,896.35	23,210.80 10,685.55
		IBM 459200101			
02/12/16	H	INTERNATIONAL PAPER CO	500 00000	17,196.42	13,483.03 T 3,713.39
		IP 460146103			
02/12/16	H	INTERNATIONAL PAPER CO	500.00000	17,196.43	13,721.49 T 3,474.94
		IP 460146103			

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN BD LLC  
 1290 AVE OF THE AMERICAS  
 25TH FLOOR  
 NEW YORK, NY 10104

Account Name JOHN-CHRISTOPHE SCHLESINGER  
 Recipient's Identification Number XX-XXX4550  
 Account Executive No. 002  
 Telephone Number. (212) 476-5505  
 CORRECTED: 02/25/17

**2016 Supplemental Gain or (Loss) Information (continued)**  
**Long-Term Gain or (Loss) from Transactions not Reported to IRS**

DATE	TYPE	ISSUER	QUANTITY	ACQUISITION COST	FAIR MARKET VALUE	REALIZED GAIN OR (LOSS)
03/16/16	H	JOHNSON & JOHNSON	150 00000	16,094.34	10,467.81	5,626.53
		478160104				
03/15/16	H	JOHNSON & JOHNSON	550 00000	59,303.72	38,381.98	20,921.74
		478160104				
03/29/16	H	MICROSOFT CORP	200 00000	10,942.88	5,431.15	5,511.73
		594918104				
03/29/16	H	MICROSOFT CORP	350 00000	19,150.04	9,421.38	9,728.66
		594918104				
05/18/16	H	PACKAGING CORP AMER	100 00000	6,489.94	2,776.29	3,713.65
		695156109				
03/31/16	H	PACKAGING CORP AMER	300 00000	18,109.04	8,328.87	9,780.17
		695156109				
05/18/16	H	PACKAGING CORP AMER	300 00000	19,469.81	5,062.05	14,407.76
		695156109				
01/20/16	H	UNUM GROUP	100 00000	2,679.84	1,741.89	937.95
		91529Y106				
<b>14 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)</b>				<b>249,419.14</b>	<b>148,207.92</b>	<b>101,211.22</b>

**FOOTNOTES**

A - Position carried at Average Cost.

T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement. JPMSLLC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose

E - Adjusted for option exercise or assignment

**DISPOSAL METHODS**

Blank - FIFO (First In First Out); L - LIFO (Last In First Out); S - Specific Match (Versus Purchase Method), H - High Cost Method; C - Low Cost Method; X - High Cost Long-Term

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