



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation THE SERIS FOUNDATION		A Employer identification number 13-3969875	
% ERIKA KLAPPER			
Number and street (or P O box number if mail is not delivered to street address) 3333 HENRY HUDSON PARKWAY Suite APT		B Telephone number (see instructions)	
Room/suite			
City or town, state or province, country, and ZIP or foreign postal code RIVERDALE, NY 10463		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 451,718		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	70,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	4,153	4,153		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,546			
	b Gross sales price for all assets on line 6a 49,139				
	7 Capital gain net income (from Part IV, line 2)		10,546		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	84,699	14,699			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,062			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5,063	4,963		100
	24 Total operating and administrative expenses. Add lines 13 through 23	8,125	4,963		100
	25 Contributions, gifts, grants paid	41,900			41,900
26 Total expenses and disbursements. Add lines 24 and 25	50,025	4,963		42,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	34,674				
b Net investment income (if negative, enter -0-)		9,736			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	164,778	188,478	188,478
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	197,735	208,709	252,085
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	11,155	11,155	11,155	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	373,668	408,342	451,718	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	373,668	408,342		
30	Total net assets or fund balances (see instructions)	373,668	408,342		
31	Total liabilities and net assets/fund balances (see instructions) .	373,668	408,342		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	373,668
2	Enter amount from Part I, line 27a	2	34,674
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	408,342
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	408,342

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1 a MORGAN STANLEY #8295 SHORT TERM COVERED	P	2016-01-01	2016-12-31
b MORGAN STANLEY #8295 SHORT TERM NONCOVERED	P	2016-01-01	2016-12-31
c MORGAN STANLEY #8295 LONG TERM COVERED	P	2015-01-01	2016-12-31
d MORGAN STANLEY #8295 LONG TERM NONCOVERED	P	2015-01-01	2016-12-31
e CAPITAL GAIN DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,562		19,430	1,132
b 32			32
c 21,092		16,156	4,936
d 7,413		3,007	4,406
e			40

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,132
b			32
c			4,936
d			4,406
e			

2 Capital gain net income or (net capital loss)	}	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	10,546
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	7,452	295,147	0 025248
2014	9,410	250,618	0 037547
2013	15,165	240,541	0 063045
2012	15,060	236,385	0 06371
2011	34,842	250,708	0 138974
2 Total of line 1, column (d)			2 0 328524
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 065705
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 444,147
5 Multiply line 4 by line 3			5 29,183
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 97
7 Add lines 5 and 6			7 29,280
8 Enter qualifying distributions from Part XII, line 4			8 42,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, tax based on investment income, credits/payments, and tax due/overpayment. Total tax due is 2,277.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political campaign participation, political expenditures, unrelated business income, liquidation, and state reporting.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address
14 The books are in care of ERIKA KLAPPER Telephone no (212) 874-2726
Located at 4720 DELAFIELD AVENUE RIVERDALE NY ZIP+4 10471
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 2002, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ERIKA KLAPPER 3333 HENRY HUDSON PARKWAY APT 12N RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DANIEL KLAPPER 3333 HENRY HUDSON PARKWAY APT 12N RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DAVID KLAPPER 3333 HENRY HUDSON PARKWAY APT 12N RIVERDALE, NY 10463	DIRECTOR 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances

Total number of other employees paid over \$50,000. **Total** number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 	
2 	
3 All other program-related investments. See instructions	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	228,927
b	Average of monthly cash balances.	1b	210,829
c	Fair market value of all other assets (see instructions).	1c	11,155
d	Total (add lines 1a, b, and c).	1d	450,911
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	450,911
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	6,764
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	444,147
6	Minimum investment return. Enter 5% of line 5.	6	22,207

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	22,207
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	97
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	97
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	22,110
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	22,110
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	22,110

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	42,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	42,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	97
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	41,903

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				22,110
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 2013, 2012		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	22,373			
b From 2012.	3,241			
c From 2013.	3,234			
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	28,848			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>42,000</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				22,110
e Remaining amount distributed out of corpus	19,890			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	48,738			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	22,373			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	26,365			
10 Analysis of line 9				
a Excess from 2012.	3,241			
b Excess from 2013.	3,234			
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	19,890			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				41,900
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RIVERDALE JEWISH CENTER 3700 INDEPENDENCE AVENUE RIVERDALE, NY 10463	NONE	PC	CHARITABLE	2,000
BIKUR CHOLIM OF MANHATTAN 180 RIVERSIDE DRIVE NEW YORK, NY 10024	NONE	PC	CHARITABLE	100
AMERICAN FRIENDS OF YESHIVAT YESHREI LEV 1324 GRANDVIEW TERRACE FAR ROCKAWAY, NY 11691	NONE		CHARITABLE	10,000
MANHATTAN HIGH SCHOOL FOR GIRLS 154 EAST 70TH STREET NEW YORK, NY 10021	NONE	PC	CHARITABLE	2,000
TORAH V'CHESED NANASH 629 W 239TH ST BRONX, NY 10463	NONE	PC	CHARITABLE	11,800
Total 3a				41,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTRAL CHARITY INSTITUTE 1274 49TH STREET BROOKLYN, NY 11219	NONE	PC	CHARITABLE	10,000
ORTHODOX UNION 11 BROADWAY NEW YORK, NY 10004	NONE	PC	CHARITABLE	500
CHABAD OF RIVERDALE 535 WEST 246TH STREET BRONX, NY 10471	NONE	PC	CHARITABLE	5,000
OU ISRAEL FREE SPIRIT 11 BROADWAY FL 14 NEW YORK, NY 10004	NONE	PC	CHARITABLE	500
Total 3a				41,900

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

TY 2016 Investments Corporate Stock Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Name of Stock	End of Year Book Value	End of Year Fair Market Value
21 ADOBE SYSTEMS	1,605	2,162
115 AES CORP	1,374	1,336
14 AETNA INC	1,463	1,736
42 AKAMI TECHNOLOGIES INC	2,485	2,801
8 ALASKA AIR GROUP INC	384	710
15 ALEXION PHARM INC	1,957	1,835
22 ALLERGAN PLC SHS	3,953	4,620
34 ALLIED WORLD ASSUR CO HLDG	974	1,826
31 ALLISON TRANSMN HLDGS INC	896	1,044
3 ALPHABET INC CL A	1,553	2,377
4 ALPHABET INC CL C	2,188	3,087
6 AMAZON INC	1,533	4,499
9 AMC NETWORKS INC CL A	299	471
22 AMERICAN EXPRESS CO	1,665	1,630
83 AMERICAN HOMES 4 RENT	1,295	1,741
10 AMERIPRISE FINCL INC	834	1,109
57 ANADARKO PETE	3,151	3,975
17 ANHEUSER BUSCH	1,629	1,792
21 APACHE CORP	907	1,333
15 APPLE INC	1,596	1,737
84 APPLIED MATERIALS INC	1,298	2,711
28 AUTODESK INC	1,314	2,072
25 AXIS CAPITAL HOLDINGS LTD	1,397	1,632
22 BIOGEN IDEC INC	6,196	6,239
7 BLACKROCK INC	2,096	2,664
11 BOEING CO	1,413	1,712
29 BRISTOL MYERS SQUIBB CO	1,641	1,695
11 BROADCOM CORP	946	1,944
86 CALPINE CORP NEW	1,410	983
36 CARNIVAL CP NEW PAIRED COM	1,583	1,874

Name of Stock	End of Year Book Value	End of Year Fair Market Value
17 CBS CORP NEW CL B	857	1,082
24 CELEGNE CORP	2,218	2,778
47 CHARLES SCHWAB NEW	1,251	1,855
2 CHIPOTLE MEDICAL GRILL INC	761	755
66 CISCO SYS INC	1,730	1,995
69 CITIGROUP INC	3,633	4,101
11 CITRIX SYSTEMS INC	738	982
52 COCA COLA CO	2,079	2,156
93 COMCAST CORP CL A	4,675	6,422
68 CONSOL ENERGY INC	843	1,240
51 CREE RESEARCH INC	1,341	1,346
24 CVS HEALTH CORP	2,634	2,446
21 DENTSPLY SIRONA INC	1,254	1,212
42 DEVON ENERGY CORP NEW	1,756	1,918
26 DISCOVER FINCL SVCS	1,385	1,874
24 DISCOVERY COMMUNICATIONS	702	658
20 DOLBY CLA A COM	619	904
25 DU PONT EI DE NEMOURS & CO	1,211	1,835
80 EBAY INC	2,004	2,375
16 ECOLAB INC	1,729	1,876
52 EXELON CORP	1,760	1,845
20 FACEBOOK INC CL A	1,403	2,301
30 FLUOR CORP NEW	1,370	1,576
30 FORTINET INC	942	904
138 FREEPORT MCMORAN INC	1,361	1,820
11 GATX CORP	501	677
52 GENERAL ELECTRIC CO	1,276	1,643
57 GENTEX CORP	882	1,122
47 HALLIBURTON CO	1,782	2,542
21 HOME DEPOT INC	2,390	2,816

Name of Stock	End of Year Book Value	End of Year Fair Market Value
13 HONEYWELL INTERNATIONAL INC	1,235	1,506
48 IMMUNOGEN INC	567	98
32 INVESCO LTD	915	971
17 IONIS PHARMACEUTICALS INC	356	813
45 JOHNSON & JOHNSON	4,357	5,184
35 JOHNSON CTLS INTL PLC	1,266	1,442
8 JONES LANG LASALLE INC	626	808
148 KEYCORP NEW	1,927	2,704
19 KLA TENCOR CORP	940	1,495
17 L-3 COMMUNICATIONS HOLDING	1,779	2,586
1 LIBERTY BROADBAND CORP S-A	5	72
3 LIBERTY BROADBAND CORP S-C	60	222
3 LIBERTY INTERACTIVE CO	62	111
33 LIBERTY INTERACTIVE CORP QV	785	659
9 LIBERTY MEDIA C SER A	126	311
18 LIBERTY MEDIA C SER C	249	611
1 LIBERTY MEDIA CORP S-C	18	21
2 LIBERTY MEDIA CORP SER A	18	63
4 LIBERTY MEDIA CORP SER C	36	125
5 LIONS GATE ENTMNT CORP CL B		123
13 MEDTRONIC PLC SHS	967	926
42 MERCK & CO INC NEW COM	2,378	2,473
29 METLIFE INCORPORATED	1,348	1,563
120 MGIC INVT CORP	970	1,223
84 MICROSOFT CORP	3,609	5,220
20 MONSANTO CO NEW	1,966	2,104
23 MURPHY USA INC COM	1,204	1,414
27 MYLAN N V	981	1,030
20 NASDAQ INC COM	848	1,342
22 NATIONAL OILWELL VARCO INC	863	824

Name of Stock	End of Year Book Value	End of Year Fair Market Value
21 NOVARTIS AG ADR	1,771	1,530
41 NOW INC	788	839
39 NUANCE COMMUNICATIONS INC	643	581
48 NUCOR CORPORATION	2,062	2,857
11 NXP SEMICONDUCTORS NV	822	1,078
57 ONEMAIN HLDGS INC	2,059	1,262
54 ORACLE CORP	2,054	2,076
11 PALO ALTO NETWORKS INC	1,375	1,376
39 PAYPAL HLDGS INC COM	1,367	1,539
29 PEBBLEBROOK HOTEL TR COM	1,031	863
17 PENTAIR LTD	888	953
63 PULTE GROUP INC	1,138	1,158
9 RAYTHEON CO	749	1,278
78 REALOGY HOLDINGS CORP	2,253	2,007
25 RED HAT INC	1,691	1,743
3 REGENERON PHARM	1,407	1,101
127 REGIONS FINANCIAL CORP NEW	1,067	1,824
21 ROCKWELL COLLINS INC	1,883	1,948
44 SCHLUMBERGER LTD	3,365	3,694
47 SEAGATE TECHNOLOGY PLC	1,308	1,794
40 SERVICE CORP INTL	1,139	1,136
12 STANLEY BLACK & DECKER INC	1,104	1,376
19 STERICYCLE INC	1,436	1,464
68 SUNCOR ENERGY INC	1,883	2,223
44 SYMANTEC CORP	921	1,051
88 SYNCHRONY FINANCIAL	2,519	3,192
31 TE CONNECTIVITY LTD NEW	1,650	2,148
50 TERADYNE INC	879	1,270
43 TEXAS INSTRUMENTS	2,035	3,138
39 THE MOSAIC CO	1,021	1,144

Name of Stock	End of Year Book Value	End of Year Fair Market Value
14 THERMO FISHER SCIENTIFIC IN	1,469	1,975
53 TWENTY-FIRST CENTURY FOX	1,485	1,486
95 TWITTER INC	1,844	1,549
42 UNILEVER PLC	1,732	1,709
20 UNITED NATURAL FOODS INC	717	954
18 UNITED PARCEL SERVICE INC	1,666	2,064
16 UNITED RENTALS INC	1,044	1,689
39 UNITEDHEALTH GP INC	3,915	6,242
28 VEECO INSTRUMENTS INC DEL	716	816
15 VERTEX PHARMACEUTICALS	1,004	1,105
36 VISA INC CL A	2,116	2,809
18 VMWARE INC CLASS A	1,329	1,417
9 W W GRAINGER INC	2,092	2,090
25 WALT DISNEY CO HLDG CO	2,471	2,606
315 WEATHERFORD INTERNATIONAL	2,479	1,572
64 WELLS FARGO & CO NEW	2,898	3,527
29 WESTERN DIGITAL CORPORATION	1,503	1,971
31 WEYERHAEUSER CO	906	933
24 XILINX INC	985	1,449
56 YUM CHINA HLDNGS	1,436	1,463
48 ZOETIS INC CL A	2,011	2,569
TOTAL HOLDINGS		

TY 2016 Other Assets Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS & EXCHANGES	11,150	11,150	11,150
DUE FROM BROKER	5	5	5

TY 2016 Other Expenses Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	4,963	4,963		
FILING FEE	100			100

TY 2016 Other Liabilities Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER		

TY 2016 Taxes Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	62			
FEDERAL EXCISE TAX	3,000			

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2016

Name of the organization
THE SERIS FOUNDATION

Employer identification number
13-3969875

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE SERIS FOUNDATION

Employer identification number
13-3969875

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SHIMON LEFKOWITZ 3333 HENRY HUDSON PKWY RIVERDALE, NY 10463	\$ 70,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE SERIS FOUNDATION	Employer identification number 13-3969875
---	---

Part II Noncash Property
--

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	(see instructions) Use duplicate copies of Part II if additional space is needed _____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____

Name of organization THE SERIS FOUNDATION	Employer identification number 13-3969875
---	---

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee