

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE MAURICE SENDAK FOUNDATION INC		A Employer identification number 13-3807627	
% THE MAURICE SENDAK FOUNDATIO			
Number and street (or P O box number if mail is not delivered to street address) 200 CHESTNUT HILL RD	Room/suite	B Telephone number (see instructions) (203) 438-9224	
City or town, state or province, country, and ZIP or foreign postal code RIDGEFIELD, CT 06877		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 55,168,602	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	965,188			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	310,108	310,108		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-159,325			
	b Gross sales price for all assets on line 6a 7,798,985				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 2,052,075	2,052,075		
	12 Total. Add lines 1 through 11	3,168,046	2,362,183		
	13 Compensation of officers, directors, trustees, etc	243,750			243,750
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	27,580			27,580
	16a Legal fees (attach schedule)	 42,376	21,188	0	21,188
	b Accounting fees (attach schedule)	 47,898	23,949	0	23,949
	c Other professional fees (attach schedule)	 338,434	338,434		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	 10,000			
	19 Depreciation (attach schedule) and depletion . . .	 47,604			
	20 Occupancy	90,823			90,823
	21 Travel, conferences, and meetings	8,922			8,922
	22 Printing and publications	7,593			7,593
	23 Other expenses (attach schedule)	 253,584			247,473
	24 Total operating and administrative expenses. Add lines 13 through 23	1,118,564	383,571	0	671,278
	25 Contributions, gifts, grants paid	204,210			204,210
	26 Total expenses and disbursements. Add lines 24 and 25	1,322,774	383,571	0	875,488
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,845,272			
	b Net investment income (if negative, enter -0-)		1,978,612		
c Adjusted net income(if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	10,616	10,616	10,616		
	2	Savings and temporary cash investments	98,846	1,573,045	1,573,045		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	280,728	0	0		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	11,824,714	11,130,941	11,243,395		
	c	Investments—corporate bonds (attach schedule)	200,000	200,000	206,938		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ <u>1,990,911</u> Less accumulated depreciation (attach schedule) ▶ <u>116,695</u>	1,477,264	1,874,216	1,990,911		
15	Other assets (describe ▶ _____)	39,184,055	40,143,697	40,143,697			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	53,076,223	54,932,515	55,168,602			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)	1,000	12,020			
	23	Total liabilities (add lines 17 through 22)	1,000	12,020			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted	53,075,223	54,920,495			
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see instructions)	53,075,223	54,920,495			
	31	Total liabilities and net assets/fund balances (see instructions) .	53,076,223	54,932,515			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	53,075,223
2	Enter amount from Part I, line 27a	2	1,845,272
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	54,920,495
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	54,920,495

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-159,325
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	972,797	12,405,714	0 078415
2014	2,037,158	9,282,175	0 21947
2013	630,000	6,174,273	0 102036
2012	0	2,900,231	0 0
2011	125,000	2,444,843	0 051128
2 Total of line 1, column (d)			2 0 451049
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 09021
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 11,864,116
5 Multiply line 4 by line 3			5 1,070,262
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 19,786
7 Add lines 5 and 6			7 1,090,048
8 Enter qualifying distributions from Part XII, line 4			8 1,317,677

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	19,786
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	19,786
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	19,786
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	38,200
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	38,200
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	18,414
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 18,414 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	Yes
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT, ☐ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>THE MAURICE SENDAK FOUNDATION</u> Telephone no ► <u>(203) 438-9224</u>			

Located at ► 200 CHESTNUT HILL RD RIDGEFIELD CT ZIP+4 ► 06877

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Total number of other employees paid over \$50,000. ☐ Yes ☒ No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE WYLIE AGENCY 250 WEST 57 STREET NEW YORK, NY 10107	LITERARY AGENT	281,314
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE MAURICE SENDAK FOUNDATION IS DEVOTED TO PROMOTING GREATER PUBLIC INTEREST IN AND UNDERSTANDING OF THE LITERARY, ILLUSTRATIVE, AND THEATRICAL ARTS (CONTINUED-SEE FOOTNOTE)	671,278
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	11,462,872
b	Average of monthly cash balances.	1b	251,934
c	Fair market value of all other assets (see instructions).	1c	329,982
d	Total (add lines 1a, b, and c).	1d	12,044,788
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	12,044,788
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	180,672
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	11,864,116
6	Minimum investment return. Enter 5% of line 5.	6	593,206

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	593,206
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	19,786
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	19,786
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	573,420
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	573,420
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	573,420

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	875,488
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	442,189
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,317,677
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	19,786
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,297,891

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				573,420
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 2013, 2012		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 4,471				
b From 2012.				
c From 2013. 337,866				
d From 2014. 1,609,373				
e From 2015. 369,239				
f Total of lines 3a through e.	2,320,949			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,317,677</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				573,420
e Remaining amount distributed out of corpus	744,257			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,065,206			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	4,471			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,060,735			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013. 337,866				
c Excess from 2014. 1,609,373				
d Excess from 2015. 369,239				
e Excess from 2016. 744,257				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	204,210
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	310,108	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	2,052,075	
8 Gain or (loss) from sales of assets other than inventory			18	-159,325	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				2,202,858	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PUBLICLY TRADED SECURITIES	P		
PUBLICLY TRADED SECURITIES	P		
PUBLICLY TRADED SECURITIES	D		
PUBLICLY TRADED SECURITIES	P		
PUBLICLY TRADED SECURITIES	D		
PUBLICLY TRADED SECURITIES			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,309,580		4,358,360	-34,983
2,287,228		2,450,956	-114,337
776,670		809,817	-33,147
175,502		141,830	33,672
249,992		260,533	-10,541
13		2	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-48,780
			-163,728
			-33,147
			33,672
			-10,541
			11

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LYNN CAPONERA	PRESIDENT 45 0	195,000	11,258	0
300 CHESTNUT HILL RD RIDGEFIELD, CT 06877				
DONA MCADAMS	DIRECTOR 35 0	48,750	0	0
5677 SANDGATE RD SANDGATE, VT 05250				
MICHAEL DI CAPUA	DIRECTOR 10 0	0	0	0
221 EAST 17TH ST NEW YORK, NY 10003				
ADAM SPIEGEL	DIRECTOR 1 0	0	0	0
2201 S CARMELINA AVE LOS ANGELES, CA 90064				
DONALD HAMBURG	SECRETARY/TREASURER 1 0	0	0	0
437 MADISON AVE NEW YORK, NY 10022				
JOHN VITALE	DIRECTOR 15 0	0	0	0
888 BELLIS PARKWAY ORADELL, NJ 07649				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ACADEMY IN ROME 7 EAST 60TH STREET NEW YORK, NY 10022		PC	TO SUPPORT ARTISTS, WRITERS & SCHOLARS	15,750
SCHENECTADY GREENMARKET PO BOX 954 SCHENECTADY, NY 12301		PC	TO SUPPORT AVAILABILITY OF LOCAL PRODUCE AND ARTISAN GOODS	2,000
COMFORT FOOD COMMUNITY PO BOX 86 GREENWICH, NY 12834		PC	TO SUPPORT LOCAL RESIDENTS IN NEED	5,000
LAND CONSERVANCY OF RIDGEFIELD INC PO BOX 32 RIDGEFIELD, CT 06877		PC	OPERATING EXPENSES - TO PROTECT THE ENVIRONMENT	15,000
ROUNABOUT THEATRE COMPANY 231 WEST 39TH STREET SUITE 1200 NEW YORK, NY 10018		PC	TO SUPPORT PRODUCTIONS AND ARTS EDUCATION PROGRAMS	5,000
Total ► 3a				204,210

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RIDGEFIELD LIBRARY 472 MAIN STREET RIDGEFIELD, CT 06877		PC	TO SUPPORT LIBRARY PROGRAMS AND SERVICES	12,960
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE NEW YORK, NY 10001		PC	TO FUND RESPONSES TO HEALTH AND HUMANITARIAN CRISES THROUGHOUT THE WORLD	5,000
M SENDAK COMMUNITY SCHOOL PS 118 211 8TH STREET BROOKLYN, NY 11215		PC	TO SUPPORT COLLABORATIVE AND MULTICULTURAL EDUCATIONAL EFFORTS	5,000
826NYC 372 5TH AVENUE BROOKLYN, NY 11215		PC	TO FUND DEVELOPMENT OF STUDENTS' CREATIVE AND EXPOSITORY WRITING SKILLS	5,000
PORTLAND OPERA 211 SE CARUTHERS ST PORTLAND, OR 97214		PC	TO FUND AND INCORPORATE MR SENDAK'S DESIGNS INTO THE OPERA'S PERFORMANCE OF THE MAGIC FLUTE	100,000
Total 				204,210
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE ELEPHANT SANCTUARY IN TENNESSEE PO BOX 393 HOHENWALD, TN 38462		PC	TO SUPPORT NATURAL-HABITAT REFUGES FOR ELEPHANTS	5,000
HISTORIC SALEM COURTHOUSE PRESERVATION ASSOC INC PO BOX 140 SALEM, NY 12865		PC	TO SUPPORT 2016 SUMMER LUNCH, LEARN, AND PLAY ENRICHMENT PROGRAM	3,500
PS118 PTA 211 8TH STREET BROOKLYN, NY 11215		PC	TO CREATE A WHERE THE WILD THINGS GROW LEARNING GARDEN AND ASSOCIATED SIGN	10,000
THE KOPKIND COLONY 158 KOPKIND RD GUILFORD, VT 05301		PC	TO FUND EDUCATIONAL SUMMER RESIDENCY PROGRAMS FOR JOURNALISTS AND COMMUNITY ORGANIZERS	5,000
THE NOEL POINTER FOUNDATION 1368 FULTON STREET BROOKLYN, NY 11216		PC	TO SUPPORT MUSIC EDUCATION	10,000
Total ▶ 3a				204,210

TY 2016 Accounting Fees Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERDON LLP -TAX RETURN PREP	35,400	17,700		17,700
WAKOFF ANDRIULLI-BOOKKEEPING	12,498	6,249		6,249

TY 2016 All Other Program Related Investments Schedule

Name: THE MAURICE SENDAK FOUNDATION INC
EIN: 13-3807627

Category	Amount
NONE	

TY 2016 Contractor Compensation Explanation

Name: THE MAURICE SENDAK FOUNDATION INC
EIN: 13-3807627

Contractor	Explanation
THE WYLIE AGENCY	FEE FOR LITERARY AGENT SERVICES

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	2013-07-12	300,000		L					
200 CHESTNUT HILL	2013-07-12	1,200,000	63,632	M27		43,632			
COMPUTERS	2014-01-01	8,306	4,319	M5		1,595			
FURN & FIXTURES	2013-07-12	10,000	0						
COMPUTER	2015-02-01	2,193	439	M5		702			
OFFICE FURNITURE	2015-03-01	4,907	701	M7		1,202			
CIP - 2015		20,949	0						
COMPUTER	2016-04-01	2,367		M5		473			
CIP - 2016		442,189							

TY 2016 Investments Corporate Bonds Schedule

Name: THE MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS 4.0% DUE 9/15/23	200,000	206,938

TY 2016 Investments Corporate Stock Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES - SEE ATTACHMENT	2,432,918	2,659,780
MUTUAL FUNDS - SEE ATTACHMENT	8,698,023	8,583,615

**TY 2016 Land, Etc.
Schedule****Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	300,000		300,000	
200 CHESTNUT HILL	1,200,000	107,264	1,092,736	
COMPUTERS	8,306	5,914	2,392	
FURN & FIXTURES	10,000	0	10,000	
COMPUTER	2,193	1,141	1,052	
OFFICE FURNITURE	4,907	1,903	3,004	
CIP - 2015	20,949	0	20,949	
COMPUTER	2,367	473	1,894	
CIP - 2016	442,189		442,189	

TY 2016 Legal Fees Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GOLENBOCK EISEMAN ASSOR ET AL	42,376	21,188		21,188

TY 2016 Other Assets Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
WORKS OF ART BY MAURICE SENDAK	19,476,300	19,476,300	19,476,300
ANTIQUES & CERAMICS	600,000	600,000	600,000
MICKEY MOUSE COLLECTIBLES	327,209	327,209	327,209
PHOTOGRAPHS	275,000	275,000	275,000
PUBLICATION AGREEMENTS WITH			
PUBLISHERS FOR BOOKS WRITTEN			
OR ILLUSTRATED BY MAURICE			
SEDAK	12,000,000	12,000,000	12,000,000
ROYALTY AGREEMENTS	0	10,000	10,000
LETTERS AND MANUSCRIPTS	0	25,000	25,000
WORKS OF ART NOT CREATED BY			
MAURICE SENDAK	6,500,000	6,500,000	6,500,000
MISC PREPAID EXPENSE	5,546	0	0
RARE EDITION BOOKS	0	930,188	930,188

TY 2016 Other Expenses Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ARCHIVING & CONSERVATION	74,486			74,486
DATA PROCESSING	5,232			5,232
SUPPLIES	7,951			7,951
POSTAGE & MAILING SERVICE	1,542			1,542
STORAGE	46,143			46,143
INSURANCE	14,411			14,411
MISCELLANEOUS	70,871			64,760
FELLOWSHIP	30,448			30,448
SPEAKER FEES	2,500			2,500

TY 2016 Other Income Schedule

Name: THE MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	2,052,075	2,052,075	

TY 2016 Other Liabilities Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES PAYABLE	1,000	12,020

TY 2016 Other Professional Fees Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	56,888	56,888		
COMMISSIONS	281,546	281,546		

**TY 2016 Other Receivables
from Officers Schedule****Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627**Travel Advance to Officers:**

Item No.	1
Borrower's Name	ESTATE OF MAURICE SENDAK
Borrower's Title	
Original Amount of Loan	
Balance Due	0
Date of Note	
Maturity Date	
Repayment Terms	
Interest Rate	
Security Provided by Borrower	
Purpose of Loan	NOT APPLICABLE SEE FEDERAL FOOTNOTES
Description of Lender Consideration	
Consideration FMV	

TY 2016 Taxes Schedule**Name:** THE MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	10,000			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2016
	Name of the organization THE MAURICE SENDAK FOUNDATION INC	Employer identification number 13-3807627

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE MAURICE SENDAK FOUNDATION INC	Employer identification number 13-3807627
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	estate of MAURICE SENDAK 200 CHESTNUT HILL ridgfield, CT06877	\$ 965,188	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Part II	Noncash Property
----------------	-------------------------

Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE MAURICE SENDAK FOUNDATION INC	Employer identification number 13-3807627
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee