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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	293,761	254,737	254,737
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .		1,048	1,048
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	7,147,320	7,000,874	7,000,874
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	7,060,659	7,301,609	7,301,609
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	14,501,740	14,558,268	14,558,268	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .	24,300	25,000	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	24,300	25,000	
	Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>		
<b>24</b> Unrestricted . . . . .		14,477,440	14,533,268	
<b>25</b> Temporarily restricted . . . . .				
<b>26</b> Permanently restricted . . . . .				
<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>				
<b>27</b> Capital stock, trust principal, or current funds . . . . .				
<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund				
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .		14,477,440	14,533,268	
<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .		14,501,740	14,558,268	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	14,477,440
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-25,362
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	81,190
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	14,533,268
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	14,533,268



**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> MULTISTRATEGY EQUITY FUND	P		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 745,714		289,200	456,514
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			456,514
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	2	456,514
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	722,045	14,971,981	0 048226
2014	760,972	15,106,576	0 050374
2013	719,254	14,230,674	0 050543
2012	546,047	13,319,903	0 040995
2011	651,249	13,196,095	0 049352

  

<b>2</b> Total of line 1, column (d)	2	0 239490
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047898
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	14,340,637
<b>5</b> Multiply line 4 by line 3	5	686,888
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	6,872
<b>7</b> Add lines 5 and 6	7	693,760
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	699,375

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions



**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	6,872
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	6,872
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	6,872
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	7,920
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	7,920
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	1,048
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> 1,048 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY, DE		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No



**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW SMBCGROUP COM</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>Mr Osamu Yoshida</b> Telephone no <b>(212) 224-4915</b>			

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<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). <input type="checkbox"/>	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 ). <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<b>4b</b>		<b>No</b>



<b>5a</b>	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ►	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
	<i>If "Yes" to 6b, file 8870</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
	<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

[illegible]

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

[illegible]

<b>Total</b> number of other employees paid over \$50,000.	0
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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
Total. Add lines 1 through 3 . . . . .	0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	14,264,854
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	294,168
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	14,559,022
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	14,559,022
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	218,385
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	14,340,637
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	717,032

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	717,032
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	6,872
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	6,872
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	710,160
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	710,160
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	710,160

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	699,375
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	699,375
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	6,872
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	692,503

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				710,160
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			40,340	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>699,375</u>				
<b>a</b> Applied to 2015, but not more than line 2a			40,340	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				659,035
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				51,125
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .				



**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
See Additional Data Table	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
See Additional Data Table	
<b>c</b> Any submission deadlines	
See Additional Data Table	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	
See Additional Data Table	



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	463,016
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	0



Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	302,514	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	456,514	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .		0		759,028	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		759,028

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



## Part XVII

<b>Yes</b>	<b>No</b>
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	<b>No</b>
	<b>No</b>

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	<b>No</b>
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	<b>No</b>
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	<b>No</b>
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	<b>No</b>
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	<b>No</b>
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	<b>No</b>
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	<b>No</b>
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[illegible]☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

\*\*\*\*\*

\* \* \* \* \*

Title

May the IRS discuss this return with the preparer shown below (see instr )? ☒ Yes ☐ No

Print/Type preparer's name  PATRICIA MCGOWAN	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P00184514
Firm's name ▶ COHNREZNICK LLP				Firm's EIN ▶ 22-1478099
Firm's address ▶ 350 CHURCH STREET 12TH FLOOR  HARTFORD, CT 06103				Phone no (959) 200-7000



**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Howard Tiegel C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172	DIRECTOR 0 50	0	0	0
JANE HUTTA C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172				
KEI UEDA C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172	SECRETARY 1 00	0	0	0
OSAMU YOSHIDA C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172				
Ryoji Sato C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172	TREASURER 0 50	0	0	0
WILLIAM HANEY C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172				
YOSHIHIRO IKEDA C/O SUMITOMO MITSUI BANKING CORP 277 PARK AVENUE NEW YORK, NY 10172	DIRECTOR/PRESIDENT 0 50	0	0	0



**Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

- a** The name, address, and telephone number of the person to whom applications should be addressed

PRESIDENT SMBC GLOBAL FOUNDATIONINC  
277 Park Avenue  
New York, NY 10172  
(212) 224-4440

- b** The form in which applications should be submitted and information and materials they should include

There is no required form in which applications for such grants should be submitted. Grant requests should include the following information: a description of the organization, its history and overall purposes, the specific purpose for the grant request, explanation of need and amount of cash requested, current financial statements, and a detailed budget showing how the grant would be used, sources and status of other funding, lists of key personnel and qualifications of the organization's staff, and evidence of the organization's tax-exempt status.

- c** Any submission deadlines

There are no submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SMBC Global Foundation, Inc. does not restrict grants by geographical areas. The Foundation only gives to institutions within the United States which are tax-exempt under Section 501(c)(3) of the U.S. Internal Revenue Code, and only for 501(c)(3) purposes outside the United States. It provides grants to programs that provide scholarships and other educational assistance to aid deserving individuals in furthering their educational goals, to provide financial assistance to schools, colleges, universities and other educational organizations, to assist them in carrying out their educational activities, to aid communities in meeting credit needs, to assist organizations dedicated to public health, to assist organizations committed to community service and to the provision of social services to those in need, and to encourage individuals to serve their community, and to promote the arts by providing financial assistance to organizations dedicated to advancing culture and the arts.



**Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

- a** The name, address, and telephone number of the person to whom applications should be addressed

President SMBC Global FoundationInc  
277 Park Avenue  
New York, NY 10172  
(212) 224-4440

- b** The form in which applications should be submitted and information and materials they should include

A REGISTRATION FORM IS REQUIRED THERE ARE TWO PARTS TO THE REGISTRATION FORM THE FIRST PART IS COMPLETED BY THE EMPLOYEE NOTIFYING THE FOUNDATION OF THE AMOUNT OF THE DONATION, THE NAME OF THE 501(C)(3) CHARITABLE ORGANIZATION AND THE TAX DEDUCTIBLE PORTION OF THE DONATION THE FORM IS THEN MAILED TO THE ORGANIZATION THE SECOND PART IS COMPLETED BY CHARITABLE ORGANIZATION THAT RECEIVED THE DONATION THE CHARITABLE ORGANIZATION CONFIRMS THAT IT RECEIVED THE EMPLOYEE'S DONATION AND RETURNS IT TO THE FOUNDATION THE RECIPIENT CHARITY MUST HAVE TAX-EXEMPT STATUS AS RECOGNIZED BY THE IRC SECTION 501(C)(3) IN ORDER TO BE ELIGIBLE TO RECEIVE A MATCHING DONATION AND MUST PROVIDE PROOF OF TAX-EXEMPT STATUS

- c** Any submission deadlines

THERE ARE NO SUBMISSION DEADLINES

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE MAXIMUM AMOUNT DONATED PER EMPLOYEE PER YEAR IS LIMITED TO \$1,000, AND THE MINIMUM FOR MATCHING FUNDS IS \$25 IN 2016, THE FOUNDATION MADE DONATIONS TOTALING \$60,000 RELATING TO THIS PROGRAM AN ADDITIONAL \$5920 WAS GIVEN TO JAPAN SOCIETY'S KUMAMOTO EARTHQUAKE RELIEF FUND THE FOUNDATION RESERVES THE RIGHT TO REFUSE TO MATCH EMPLOYEE DONATIONS GIVEN TO A NON 501(C)(3) TAX-EXEMPT CHARITIES, ADVOCACY, RELIGIOUS AND POLITICAL GROUPS, OR ORGANIZATIONS THAT SUPPORT BIASED OR DISCRIMINATORY POLICIES PAYMENTS TO AN ORGANIZATION OR INSTITUTION THAT ARE MADE IN CONSEQUENCE OF, OR LEAD TO, A DIRECT MATERIAL BENEFIT (SUCH AS GOODS AND SERVICES OF MORE THAN A NOMINAL VALUE) TO THE DONOR OR A MEMBER OF HIS OR HER FAMILY, OR AN INDIVIDUAL DESIGNATED BY THE DONOR, ARE NOT ELIGIBLE TO BE MATCHED BY GRANTS FROM THE FOUNDATION THE FOUNDATION WILL ONLY MATCH THE PORTION OF AN EMPLOYEE'S TOTAL CONTRIBUTION THAT IS FULLY TAX-DEDUCTIBLE UNDER U S LAW



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Alzheimer's Foundation of America 322 8th Avenue 7th Floor New York, NY 10001		pc	In support of the organization's general operation	200
AFS- USA inc 120 Wall St 4 th Floor New York, NY 10005		PC	In support of the organization's general operation	100
Alzheimer's Disease and Related Disorders New York 360 Lexington Avenue 4th Floor New York, NY 10017		PC	In support of the organization's general operation	500
American Cancer Society 250 Williams St Atlanta, GA 30303		PC	In support of the organization's general operation	550
American Foundation for Suicide Prevention 120 Wall St 29th Floor New York, NY 10005		PC	In support of the organization's general operation	900
American Friends of Migdal OHR 1560 Broadway Suite 708 New York, NY 10036		PC	In support of the organization's general operation	360
American Heart Association 10900-B Stonelake Blvd Suite 320 Austin, TX 78759		PC	In support of the organization's general operation	25
Anjuman Wazifa Sadaat-O-Momineen 1 Presidential Drive East Plainview, NY 11803		PC	In support of the organization's general operation	1,000
Asian Cultural Council 6 West 48th Street 12th Floor New York, NY 10036		PC	In support of cultural activities	5,000
ASPCA 424 E 92 St New York, NY 10128		PC	In support of the organization's general operation	400
Avon 39 New Venture Fund Inc POBOX 66120 Washington, DC 20035		PC	In support of the organization's research to find cures for the cancer	425
Avon Breast Cancer PO BOX 66120 Washington, DC 20035		PC	In support of the organization's general operation	464
Babson College 231 Forest Street Babson Park Babson Park, MA 02457		PC	In support of the organization's general operation	500
Bal Jaget - Children's World Inc 5199 E Pacific Coast Highway Suite 204 Long Beach, CA 90804		PC	In support for the organization's educational activities	300
Beacon School Parent Association 522 West 44th Street New York, NY 10036		PC	In support for the organization's educational activities	250
<b>Total . . . . . ►</b> <b>3a</b>				463,016



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Best Buddies International Inc 100 Southeast Second Street Suite 2200 Miami, FL 33131		PC	In support of the organization's general operation	50
Boston College 140 Commonwealth Ave Chestnut Hill, MA 02467		PC	In support for the organization's educational activities	150
Brown University Box 1877 Providence, RI 02912		PC	In support for the organization's educational activities	100
Brunswick School 100 Maher Avenue Greenwich, CT 06830		PC	In support for the organization's educational activities	1,000
Bryant University BOX 40 1150 Douglas Pike Smithfield, RI 02917		PC	In support for the organization's educational activities	500
Calvary Fund at Calvary Hospital 1740 Eastchester Road Bronx, NY 10461		PC	In support of the organization's general operation	100
Caringkind (Alzheimer's Disease and Related Disorders New York 360 Lexington Avenue 4th Floor New York, NY 10017		PC	In support for the organization's educational activities	300
Carleton College 1 North College Street Northfield, MN 55057		PC	In support for the organization's educational activities	1,000
Carnegie Mellon University 6 PPG Place 11th Floor Pittsburg, PA 15222		PC	In support for the organization's educational activities	250
Catalyst Inc 120 Wall Street 15th Floor New York, NY 10005		PC	To be a Supporter in the U S and Japan	20,000
Chabad of Hewlett Jewish Center 44 Everett Ave Hewlett, NY 11557		PC	In support of the organization's general operation	1,000
Chaminade Development Fund Inc 340 Jackson Avenue Mineola, NY 11501		PC	In support of the organization's general operation	1,000
Chapin School 4101 Princeton Pike Princeton, NJ 08540		PC	In support for the organization's educational activities	1,000
Children Brain Tumor Foundation 1460 Broadway New York, NY 10036		PC	In support of the organization's general operation	150
Christian Appalachian Project 2528 Palumbo Drive Lexington, KY 40509		PC	In support of the organization's general operation	1,000
<b>Total . . . . . ►</b> <b>3a</b>				463,016



Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Christodora Inc One East 53 rd Street 14 th Floor New York, NY 10022		PC	In support of the organization's general operation	250
City Harvest Inc 6 East32nd Street 5th FL New York, NY 10016		PC	In support of the organization's general operation	500
Columbia University Columbia Business School Uris Hall 3022 Broadway Room 2M9 New York, NY 10027		PC	In support of the educational and cultural activities of the Center on Japanese Economy and Business	50,000
Columbia University 622 West 113th Street Mail Cord 4524 New York, NY 10025		PC	In support for the organization's educational activities	400
Community Foundation of Northeast Iowa 3117 Greenhill Circle Cedar Falls, IA 50613		PC	In support of the organization's general operation	500
Cornell University 130 E Seneca St Ste 400 Ithaca, NY 14850		PC	In support of the organization's general operation	250
Dalton School 108 East 89th Street New York, NY 10128		PC	In support of the organization's general operation	250
Dana- Farber Cancer Institute Inc 450 Brookline Avenue BP 418 Boston, MA 02215		PC	In support of the organization's general operation	300
DAV PObox14301 Cincinatti, OH 41073		PC	In support of the organization's general operation	300
Detroit Pit Crew Dog Rescue 38028 Rockhill Street Clinton Township, MI 48036		PC	In support of the organization's general operation	312
Elevator Repair Service Theater 47 Great Jones St 3rd FL New York, NY 10012		PC	In support of the organization's general operation	100
Environmental Defense Fund 257 Park Avenue South New York, NY 10010		PC	In support of the organization's general operation	1,000
Epiphany School Foundation Inc 234 East 22 St New York, NY 10010		PC	In support for the organization's educational activities	1,000
Foundation Fighting Blindness Inc 7168 Columbia Gateway Drive Sute 100 Columbia, MD 21046		PC	In support of the organization's general operation	1,000
Four Freedoms Park Conservancy Inc 1110 2nd Avenue Suite 301 New York, NY 10010		PC	In support of the organization's general operation	75
Total . . . . . ►				463,016
3a				



Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Frederick Douglass Academy (FDA) 2581 Adam Clayton Powell Jr Blvd New York, NY 10039		PC	2017 Japan trip program for Japanese language students and chaperones at FDA	35,000
Friends of Learning Community Charter School 2495 Kennedy Blvd Jersey City, NJ 07304		PC	In support for the organization's educational activities	500
Friends of the Elysian Charter 1460 Garden Street Hoboken, NJ 07030		PC	In support of the organization's general operation	1,000
Georgetown University 37th and Ostreet NW Washington, DC 20057		PC	In support for the organization's educational activities	150
Global Citizens Initiative Inc 73 Arch Street 2nd Floor Greenwich, CT 06830		PC	In support of the organization's general operation	500
Global Giving 1110 Vermont Ave NW Suite 550 Washington, DC 20005		PC	In support of the organization's general operation	50
Guiding Eyes for the Blind 611 Granite Springs Rd Yorktown Hts, NY 10598		PC	In support of the organization's general operation	100
Habitat for Humanity 111 John St 23rd Floor New York, NY 10038		PC	In support of the organization's general operation	100
Hamilton Madison House 2M9 New York, NY 10027		PC	In support of the organization's general operationits for the Japanese Mental Health Clinic	5,000
Harlem Wellness Center Inc 44 W 105th St Suite 4A New York, NY 10027		PC	In support of the organization's general operation	250
Helping Hand For Relief and Development Inc 21199 Hilltop Street Southfield, MI 48033		PC	In support of the organization's general operation	1,000
Hofstra University 101 Hofstra University Hofstra Hall Rm102 Hempsted, NY 11549		PC	In support for the organization's educational activities	250
Hospice Care Network 99 Sunnyside Blvd Woodbury, NY 11797		PC	In support of the organization's general operation	250
Hospital For Special Surgery Fund Inc 535 East 70th Street New York, NY 10021		PC	In support of the organization's general operation	100
Housing Works 57 Willoughby Street 2 FL Brooklyn, NY 11201		PC	In support of the organization's general operation	500
Total . . . . . ►				463,016
3a				



Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Houston Humane Society PO BOX 450528 Houston, TX 77245		PC	In support of the organization's general operation	200
IIE (Institute of International Education) 809 United Nations Plaza New York, NY 10017		PC	To administer SMBC Global Foundation Inc's Asian Scholarship Program	58,180
IUC (Inter-University Center for Japanese Studies - Stanford University) Stanford Univesity Board of Trustees of the Leland Stanford Jun Palo Alto, CA 94304		PC	In support of the activities of Inter-University Center for Japanese Language Studies	20,000
Japan Day 1650 Broadway Suite 1210 New York, NY 10019		PC	In support of its cultural activities	10,000
Japan Society 333 East 47th Street New York, NY 10017		PC	In support of the Kumamoto, Japan Earthquake Relief Fund	20,975
JDRF 26 Broadway 14th Floor New York, NY 10004		PC	In support of the organization's general operation	160
JEI (Japanese Educational Institution) (Annual Donation) 150 Purchase Street Suite 11R rye, NY 10580		PC	In support of maintaining the quality of Japanese education	20,000
Jewish Heritage Programs 4032 Spruce Street Philadelphia, PA 19104		PC	In support of the organization's general operation	1,000
Junior Achievement of Southwest Texas 2115 E Governors Circle Houston, TX 77092		PC	In support of the organization's general operation	200
Kelly SBrush Foundation 7 Aspen Drive Suite 1 South Burlington, VT 05403		PC	In support of the organization's general operation	200
La Esperanza The Hope of the Pioneer Valley 602 Dwight Street Holyoke, MA 01040		PC	In support of the organization's general operation	1,000
Lead the Way Fund 390 Plandome Rd Suite 221 Manhasset, NY 11030		PC	In support of the organization's general operation	100
Lehigh University 622 Brodhead Avenue Bethlehem, PA 18015		PC	In support of the organization's general operation	700
Long Island Lutheran High School Association of Nassau and Suffolk Counties 131 Brookville Road Brookville, NY 15845		PC	In support of the organization's general operation	50
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10065		PC	In support of the organization's general operation	1,820
Total . . . . . ►				463,016
3a				



Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Movember Foundation 8559 Higuera Street Culver City, CA 90232		PC	In support of the organization's general operation	25
MTC (Spring Gala) 311 West 43rd St 8th Fl New York, NY 10036		PC	In support of MTC's 2016 benefit fundraiser	75,200
Multiple Sclerosis Foundation Inc 6520 N Andrews ave Fort Lauderdale, FL 33309		PC	In support of the organization's general operation	25
National MS Society 1480 US Highway 9 North Woodbridge, NJ 07095		PC	In support of the organization's general operation	300
National MS Society 8111 N Stadium Dr 100 Houston, TX 77054		PC	In support of the organization's general operation	250
National MS Society 733 Third Avenue New York, NY 10017		PC	In support of the organization's general operation	355
National Multiple Sclerosis Society NYC Southern NY chapter 733 Third Avenue New York, NY 10017		PC	In support of the organization's general operation	150
New York Public Radio 160 Varick Street 9th Floor New York, NY 10013		PC	In support of the organization's general operation	120
New York Cares 65 Broadway 19th Floor New York, NY 10006		PC	In support of relief efforts and programs in 2016	25,000
New York Public Library 47 Great Jones St 3rd FL New York, NY 10012		PC	In support of the organization's general operation	100
New York Road Runners 9 East 89th Street New York, NY 10128		PC	In support of the organization's general operation	100
PS 101Q's Parents Association Inc 2 Russell Place Forest Hills, NY 11375		PC	In support of the organization's general operation	101
Parent Teacher Association of 78 Q PTA 48-09 Center Blvd Long Island City NY 11109 Long Island City, NY 11109		PC	In support for the organization's educational activities	1,000
Parents of PS 334 Inc 100 West 77th Street New York NY 10024 New York, NY 10024		PC	In support for the organization's educational activities	700
PatientsInto People 102 Taylors Mills Rd Manalapan NJ 07726 Manalapan, NJ 07726		PC	In support of the organization's general operation	500
Total . . . . . ►				463,016
3a				



Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Proctor Academy PO Box 500 204 Main Street ANDOVER, NH 03216		PC	In support of the organization's general operation	1,500
Project Renewal 200 Varick Street 9th FL New York, NY 10014		PC	In support of the organization's general operation	500
PS 033 M Chelsea Prep PTA 281 9th Avenue New York, NY 10001		PC	In support for the organization's educational activities	1,000
PS527 Parent and Teacher Association 323 E 91 Street New York, NY 10128		PC	In support of the organization's general operation	1,000
Rails to Trails Conservancy 2121 Ward Court NW-5th Floor Washington, DC 20037		PC	In support of the organization's for the Breast cancer	40
Regis High School 55 E 84 st New York, NY 10028		PC	In support for the organization's educational activities	1,000
Robin Hood Foundation 826 Broadway 7th Floor New York, NY 10003		PC	In support of the organization's general operation	250
Ronald McDonald House Charities Inc 2915 Jorie Boulevard Oak Brook Oak Brook, IL 60523		PC	In support of the organization's general operation	625
Saint Francis University PO Box 600 Advancement Loretto, PA 15940		PC	In support for the organization's educational activities	500
San Marino Schools Foundation 1665 West Drive San Marino, CA 91108		PC	In support for the organization's educational activities	1,000
Save the Children 501 Kings HWY E Ste 400 Fairfield, CT 06825		PC	In support for the organization's educational activities	1,354
South East Consortium for Special Service Inc 740 West Boston Post Rd Suite 301 Mamaroneck, NY 10543		PC	In support of the organization's general operation	200
Special Olympics NewYork 504 Balltown Rd Schenectady, NY 12304		PC	In support for the organization's educational activities	50
St Agnes Academic HS 13-20 124 Street College Point, NY 11356		PC	In support for the organization's educational activities	250
St Benedict's Prep 520 Dr Marin Luther King Jr Blvd Newark, NJ 07102		PC	In support of the organization's general operation	1,000
Total . . . . . ►				463,016
3a				



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
St Bernard's School 4 East 98th Street New York, NY 10029		PC	In support for the organization's educational activities	250
St Ignatius College Preparatory 2001 37th Avenue San Francisco, CA 94127		PC	In support for the organization's educational activities	1,000
St John University 800 Utopia Parkway Queens, NY 11439		PC	In support for the organization's educational activities	100
St Jude Children's Research Hospital 262 Danny Thomas Place Memphis, TN 38105		PC	In support of the organization's general operation	300
St Mary Foundation for Children 2901 216 St Bayside, NY 11360		PC	In support for the organization's educational activities	200
St Stephen of Hungary School Foundation 1562 First Avebye Suite 114 New York, NY 10028		PC	In support for the organization's educational activities	100
Stanford Silicon Valley New Japan Program at Stanford University Walter H S Stanford Univesity Board of Trustees of the Leland Stanford Jun Palo Alto, CA 94304		PC	In support of the organization's general operation	30,000
Susan G Komen Northeastern NY 246 West 38th Street Suite 503 New York, NY 10018		PC	In support of the organization's general operation	25
TABLE FOR TWO PO Box 1103 New York, NY 10163		PC	In support of the organization's general operation	5,000
Talent and Gifted School for Young Scholar PTA Inc 240 E 109th Street New York, NY 10029		PC	In support for the organization's educational activities	1,000
The ALS Association Greater Philadelphia Chapter 321 Norristown Rd Suite 260 Ambler, PA 19002		PC	In support of the organization's general operation	200
The Baccalaureate School for global education PTA 34-12 36th Avenue Astoria, NY 11106		PC	In support for the organization's educational activities	100
The Bowery Mission (Christian Herald Association Inc ) 432 Park Avenue South 3rd Floor New York, NY 10016		PC	In support of the organization's general operation	425
The Chapin School 100 East End Avenue New York, NY 10028		PC	In support for the organization's educational activities	500
The Kelly SBrush Foundation 7 Aspen Drive Suite 1 South Burlington, VT 05403		PC	In support of the organization's general operation	295
Total . . . . . ►				463,016
3a				



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
The Noguchi Museum 32-37 Vernon Boulevard Long Island City, NY 11106		PC	To purchase a Sponsor Table (10 seats) at the Noguchi Museum's Spring Benefit event	8,500
The Pelham Education Foundation PO Box 8302 Pelham, NY 10803		PC	In support of the organization's general operation	200
The Pennsylvania State University One Old Main University Park, PA 10882		PC	In support of the organization's general operation	1,000
The Trevor Project 8704 Santa Monica Blvd Suite 200 West Hollywood, CA 90069		PC	In support of the organization's general operation	500
The Wooster Group Inc 33 Wooster Street New York, NY 10013		PC	In support of the organization's general operation	100
University of Denver Colorado Seminary 2199 SUniversi ty Boulevard Denver, CO 80210		PC	In support for the organization's educational activities	200
University of Rochester PO BOX 270032 Rochester, NY 14627		PC	In support of the organization's general operation	752
University of Virginia POBOX 400807 Charlottesville, VA 22904		PC	In support for the organization's educational activities	1,000
Urban Arts Partnership 21 Howard St 5th FL New York, NY 10013		PC	In support of the organization's general operation	150
US Fund for Unicef 125 Maiden Lane New York, NY 10038		PC	In support of the organization's general operation	175
USTC Alumni Association in Greater New York 4415 Purves ST 14 A Queens, NY 11101		PC	In support of the organization's general operation	1,030
Washington University Campus Box 1082 One Brookings Dr St Louis, MO 63130		PC	In support for the organization's educational activities	25
Wikimedia Foundation 149 New Montgomery 3rd Floor San Francisco, CA 94105		PC	In support of the organization's general operation	100
World Vision Inc 34834 Weyerhaeuser ways Federal Way, WA 98063		PC	In support of the organization's general operation	125
Yellowstone Park Foundation 222 East Main Street Suite 301 Bozeman, MT 59715		PC	In support of the organization's general operation	40
Total . . . . . ► 3a				463,016



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Hitotsubashi University 2-1 Naka Kunitachi- shi tokyo JA		PC	In support of the Hitotsubashi University Fund	21,283
<b>Total . . . . .</b>				463,016
<b>3a</b>				



**TY 2016 Accounting Fees Schedule****Name:** SMBC GLOBAL FOUNDATION INC**EIN:** 13-3766226

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	25,500	2,550		22,950



**TY 2016 General Explanation Attachment****Name:** SMBC GLOBAL FOUNDATION INC**EIN:** 13-3766226**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1		form 990 pf, part xv	ON DECEMBER 12, 2013 THE ORGANIZATION RECIEVED A PRIVATE LETTER RULING FROM THE IRS STATING THAT THE IRS HAS APPROVED THE PROCEDURES THAT THE ORGANIZATION HAS IN PLACE FOR ITS SCHOLARSHIP PROGRAM, AND DETERMINED THAT SUCH EXPENDITURES WILL BE NOT BE CONSIDERED TAXABLE EXPENDITURES AWARDS MADE UNDER THESE PROCEDURES ARE SCHOLARSHIP OR FELLOWSHIP GRANTS, AND ARE NOT TAXABLE TO THE RECIPIENT IF THEY ARE USED FOR QUALIFIED TUITION AND RELATED EXPENSES



**General Explanation Attachment**

Identifier	Return Reference	Explanation	
2		Form 990 pf, Part VII-B, line 1a(3)	<p>As a substantial contributor, Sumitomo Misui Banking Corporation is a disqualified person with respect to the SMBC Global Foundation, Inc (the Foundation) within the meaning of IRC Section 4946(a)(1)(A) Sumitomo Mitsui Banking Corporation provides nominal office space, office supplies, and furnishings for the use of the Foundation wholly without charge to the Foundation This activity is a permissible activity in accordance with Treasury Regulation Section 53.4941(d)-2(d)</p> <p>(3) Sumitomo Mitsui Banking Corporation and its wholly-owned subsidiaries also provide general banking functions to the Foundation The activity is a permissible activity in accordance with Treasury Regulation Section 53.4941(d)-2(c)(4)</p>



**General Explanation Attachment**

Identifier	Return Reference	Explanation	
3		form 990 pf, Part VII-B, line 1a(4)	AS A SUBSTANTIAL CONTRIBUTOR, SUMITOMO MITSUI BANKING CORPORATION IS A DISQUALIFIED PERSON WITH RESPECT TO THE SMBC GLOBAL FOUNDATION, INC (THE FOUNDATION) WITHIN THE MEANING OF IRC SECTION 4946(A)(1)(A) SUMITOMO MITSUI BANKING CORPORATION PROVIDES, OR ALTERNATIVELY, ENGAGES INDEPENDENT THIRD PARTIES TO PROVIDE, CERTAIN ACCOUNTING, BOOKKEEPING, RECORDKEEPING AND OTHER PERSONAL SERVICES TO THE FOUNDATION, ALL OF WHICH ARE REASONABLE AND NECESSARY TO CARRY OUT THE EXEMPT PURPOSE OF THE FOUNDATION THE FOUNDATION REIMBURSES SUMITOMO MITSUI BANKING CORPORATION FOR ITS REASONABLE AND CUSTOMARY EXPENSES INCURRED IN PROVIDING THESE SERVICES THE ACTIVITY IS A PERMISSIBLE ACTIVITY IN ACCORDANCE WITH TREASURY REGULATION 53.4941(D)-3(C)



**General Explanation Attachment**

Identifier	Return Reference	Explanation	
4		form 990 pf, part xv, Line 2C	The submission deadline for a matching contribution to be made w ithin the calendar year is set annually in December to allow sufficient time for processing



## TY 2016 Investments Corporate Bonds Schedule

**Name:** SMBC GLOBAL FOUNDATION INC

**EIN:** 13-3766226

Name of Bond	End of Year Book Value	End of Year Fair Market Value
COMMONFUND - BONDS	7,301,609	7,301,609



## TY 2016 Investments Corporate Stock Schedule

**Name:** SMBC GLOBAL FOUNDATION INC

**EIN:** 13-3766226

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMONFUND - EQUITY	7,000,874	7,000,874



**TY 2016 Other Expenses Schedule****Name:** SMBC GLOBAL FOUNDATION INC**EIN:** 13-3766226**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Registration	995	0		995
Books, newspapers and magazines	150	0		150
BANK FEES	50	0		50



**TY 2016 Other Increases Schedule**

**Name:** SMBC GLOBAL FOUNDATION INC  
**EIN:** 13-3766226

Description	Amount
unrealized gain on investments	81,190



**TY 2016 Other Professional Fees Schedule****Name:** SMBC GLOBAL FOUNDATION INC**EIN:** 13-3766226

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ADMINISTRATIVE EXPENSES	45,714	45,714		0
MANAGEMENT SERVICE FEE	235,793	23,579		212,214



**TY 2016 Taxes Schedule****Name:** SMBC GLOBAL FOUNDATION INC**EIN:** 13-3766226

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	6,872	0		0