

EXTENDED TO NOVEMBER 15, 2017

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

THE LAURIE M. TISCH FOUNDATION, INC

Number and street (or P.O. box number if mail is not delivered to street address)

655 MADISON AVENUE

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10065

A Employer identification number

13-3693585

B Telephone number

212-521-2930C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ **120,589,519.**

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I**Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received	2,022,250.		N/A	
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	33,213.	33,213.		STATEMENT 1
4	Dividends and interest from securities	808,027.	808,027.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	429,018.			
b	Gross sales price for all assets on line 6a	6,300,274.			
7	Capital gain net income (from Part IV, line 2)		429,018.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	40,385.	-733.		STATEMENT 3
12	Total. Add lines 1 through 11	3,332,893.	1,269,525.		
13	Compensation of officers, directors, trustees, etc	251,692.	0.		251,692.
14	Other employee salaries and wages	139,524.	0.		139,524.
15	Pension plans, employee benefits	91,426.	0.		91,426.
16a	Legal fees				
b	Accounting fees				
c	Other professional fees	256,065.	0.		256,065.
17	Interest	331.	331.		0.
18	Taxes	120,954.	55,905.		23,931.
19	Depreciation and depletion	7,757.	0.		
20	Occupancy	256,124.	0.		256,124.
21	Travel, conferences, and meetings	79,890.	0.		79,890.
22	Printing and publications				
23	Other expenses	264,951.	162,212.		102,739.
24	Total operating and administrative expenses. Add lines 13 through 23	1,468,714.	218,448.		1,201,391.
25	Contributions, gifts, grants paid	5,876,164.			5,876,164.
26	Total expenses and disbursements. Add lines 24 and 25	7,344,878.	218,448.		7,077,555.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-4,011,985.			
b	Net investment income (if negative, enter -0-)		1,051,077.		
c	Adjusted net income (if negative, enter -0-)			N/A	

ENVELOPE
POSTMARK DATE
NOV 09 2017

SCANNED NOV 15 2017

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	21,195,286.	10,240,831.	10,240,831.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock	STMT 9 19,010,134.	19,011,755.	80,665,082.
	c	Investments - corporate bonds			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation			
12		Investments - mortgage loans			
13		Investments - other	STMT 10 24,113,040.	25,012,177.	27,269,969.
14		Land, buildings, and equipment: basis	458,967.		
		Less: accumulated depreciation	STMT 11 256,641.	210,083.	202,326.
15		Other assets (describe)	STATEMENT 12 68,606.	2,211,311.	2,211,311.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	64,597,149.	56,678,400.	120,589,519.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe)	DUE TO NON-BROKERS 2,938,681.	42,917.	
	23	Total liabilities (add lines 17 through 22)	2,938,681.	42,917.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	☐		
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	☒		
	27	Capital stock, trust principal, or current funds	61,658,468.	56,635,483.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30	Total net assets or fund balances	61,658,468.	56,635,483.		
31	Total liabilities and net assets/fund balances	64,597,149.	56,678,400.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	61,658,468.
2	Enter amount from Part I, line 27a	2	-4,011,985.
3	Other increases not included in line 2 (itemize)	3	1,009,506.
4	Add lines 1, 2, and 3	4	58,655,989.
5	Decreases not included in line 2 (itemize)	5	2,020,506.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	56,635,483.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST QESG - SEE ATTACHMENT (PAGE 21 OF 61)		P	VARIOUS	VARIOUS
c NORTHERN TRUST QESG - SEE ATTACHMENT (PAGE 28 OF 61)		P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 3,625,397.		3,446,961.	178,436.
c			
d 2,669,388.		2,424,295.	245,093.
e 5,489.			5,489.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			178,436.
c			
d			245,093.
e			5,489.
2 Capital gain net income or (net capital loss)		2 429,018.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	15,062,292.	118,376,308.	.127241
2014	15,173,903.	110,717,338.	.137051
2013	15,012,613.	102,003,397.	.147178
2012	9,433,022.	91,539,260.	.103049
2011	7,720,747.	87,148,568.	.088593

2 Total of line 1, column (d)	2	.603112
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.120622
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	113,624,353.
5 Multiply line 4 by line 3	5	13,705,597.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,511.
7 Add lines 5 and 6	7	13,716,108.
8 Enter qualifying distributions from Part XII, line 4	8	7,077,555.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b.		1	21,022.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,022.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,022.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	41,118.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	150,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	191,118.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	170,096.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ 170,096. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 13	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► BARRY L. BLOOM, ESQ Telephone no. ► 212-521-2930 Located at ► 655 MADISON AVENUE, 11TH FLOOR, NEW YORK, NY ZIP+4 ► 10065		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► UNITED KINGDOM	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURIE M. TISCH 655 MADISON AVENUE, 11TH FL NEW YORK, NY 10065	PRESIDENT, CHIEF EXECUTIVE 40.00	0.	0.	0.
RICHARD E. LUFTGLASS 156 WEST 56TH STREET NEW YORK, NY 10019	EXECUTIVE DIRECTOR, SECRETARY, VP, COO 40.00	251,692.	46,781.	0.
BARRY L. BLOOM 655 MADISON AVENUE, 11TH FL NEW YORK, NY 10065	TREASURER 1.00	0.	0.	0.
ALAN H. HIRSCHFELD 655 MADISON AVENUE, 11TH FL NEW YORK, NY 10065	VICE PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHELLE BAE - 156 WEST 56TH STREET, NEW YORK, NY 10019	GRANTS & OFFICE ADMINISTRATOR 40.00	72,631.	22,424.	0.
EMELYN ARIAS - 156 WEST 56TH STREET, NEW YORK, NY 10019	GRANTS & OFFICE ADMINISTRATOR 40.00	66,893.	22,221.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DANIEL J. EDELMAN, INC. 250 HUDSON STREET, NEW YORK, NY 10013	COMMUNICATION STRATEGIES	193,766.
BKSK ARCHITECTS LLP - 28 WEST 25TH STREET, 4TH FLOOR, NEW YORK, NY 10010	BUILDING IMPROVEMENT	146,556.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3 N/A	
	0.
Total. Add lines 1 through 3	0.

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	77,940,644.
b	Average of monthly cash balances	1b	14,931,779.
c	Fair market value of all other assets	1c	22,516,102.
d	Total (add lines 1a, b, and c)	1d	115,388,525.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	33,852.
3	Subtract line 2 from line 1d	3	115,354,673.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,730,320.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	113,624,353.
6	Minimum investment return. Enter 5% of line 5	6	5,681,218.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,681,218.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	21,022.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,022.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,660,196.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,660,196.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,660,196.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,077,555.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,077,555.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,077,555.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,660,196.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	3,368,765.			
b From 2012	4,862,601.			
c From 2013	10,199,515.			
d From 2014	9,901,654.			
e From 2015	9,764,233.			
f Total of lines 3a through e	38,096,768.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	7,077,555.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				5,660,196.
e Remaining amount distributed out of corpus	1,417,359.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	39,514,127.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	3,368,765.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	36,145,362.			
10 Analysis of line 9:				
a Excess from 2012	4,862,601.			
b Excess from 2013	10,199,515.			
c Excess from 2014	9,901,654.			
d Excess from 2015	9,764,233.			
e Excess from 2016	1,417,359.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE				5,876,164.
Total			3a	5,876,164.
b Approved for future payment				
NONE				
Total			3b	0.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

THE LAURIE M. TISCH FOUNDATION, INC

Employer identification number

13-3693585

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

THE LAURIE M. TISCH FOUNDATION, INC**13-3693585****Part I****Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>JOAN H. TISCH</u> <u>C/O BARRY L. BLOOM, 655 MADISON</u> <u>AVENUE, 11TH FL</u> <u>NEW YORK, NY 10065</u>	\$ <u>2,022,250.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE LAURIE M. TISCH FOUNDATION, INC**13-3693585****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

--	--	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

--	--	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
J.P. MORGAN CHASE	69.	69.	
MERRILL LYNCH	43.	43.	
NORTHERN TRUST COMPANY	33,101.	33,101.	
TOTAL TO PART I, LINE 3	33,213.	33,213.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LOEWS CORPORATION	132,233.	0.	132,233.	132,233.	
NORTHERN TRUST COMPANY: INTEREST	3.	0.	3.	3.	
NORTHERN TRUST COMPANY: NT					
QUALITY ESG	675,791.	0.	675,791.	675,791.	
NORTHERN TRUST QESG - SEE ATTACHMENT (PAGE 45 OF 61)	5,489.	5,489.	0.	0.	
TO PART I, LINE 4	813,516.	5,489.	808,027.	808,027.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST QESG - SEE ATTACHMENT (PAGE 59 OF 61)	-733.	-733.	
FEDERAL EXCISE TAX REFUND	41,118.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	40,385.	-733.	

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	256,065.	0.		256,065.
TO FORM 990-PF, PG 1, LN 16C	256,065.	0.		256,065.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	41,118.	0.		0.
DELAWARE ANNUAL REPORT	25.	25.		0.
NYS FILING FEES	1,500.	1,500.		0.
FOREIGN TAX WITHHELD - NT				
QUALITY ESG	54,380.	54,380.		0.
PAYROLL TAXES	23,931.	0.		23,931.
TO FORM 990-PF, PG 1, LN 18	120,954.	55,905.		23,931.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CORPORATE AGENCY FEES	172.	172.		0.
CUSTODIAL FEES	162,040.	162,040.		0.
OFFICE EXPENSES	40,246.	0.		40,246.
INSURANCE	5,023.	0.		5,023.
TECHNOLOGY EXPENSES	57,470.	0.		57,470.
TO FORM 990-PF, PG 1, LN 23	264,951.	162,212.		102,739.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
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DESCRIPTION	AMOUNT
EXCESS OF FAIR MARKET VALUE OVER BASIS OF STOCK CONTRIBUTED ROUNDING	1,009,502. 4.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,009,506.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 8
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DESCRIPTION	AMOUNT
ALTERNATE VALUE ADJUSTMENT FOR COMMON STOCKS RECEIVED	2,020,506.
TOTAL TO FORM 990-PF, PART III, LINE 5	2,020,506.

FORM 990-PF	CORPORATE STOCK	STATEMENT 9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MILLENNIUM INTERNATIONAL	2,452,398.	10,455,445.
ECF VALUE FUND INTERNATIONAL LTD.	10,000,000.	12,271,783.
12,500 SHS LIBERTY BROADBAND CORP. CLASS A	209,044.	905,750.
42,766 SHS LIBERTY BROADBAND CORP. CLASS C	946,822.	3,167,687.
39,000 SHS HOWARD HUGHES CORP COM.	2,388,782.	4,449,900.
16,657 SHS AUTOZONE INC	534,056.	13,155,532.
230,144 SHS AUTONATION INC.	2,464,374.	11,196,506.
510,180 SHS LOEWS CORP.	14,658.	23,891,729.
25,000 SHS LOEWS CORP.	1,621.	1,170,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	19,011,755.	80,665,082.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST - NT QUALITY ESG	COST	25,012,177.	27,269,969.
TOTAL TO FORM 990-PF, PART II, LINE 13		25,012,177.	27,269,969.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	130,092.	36,529.	93,563.
FURNITURE & FIXTURES	40,290.	40,290.	0.
COMPUTERS	50,573.	50,573.	0.
COMPUTERS	4,408.	4,408.	0.
LEASEHOLD IMPROVEMENTS	119,519.	25,925.	93,594.
FURNITURE & FIXTURES	30,456.	30,456.	0.
COMPUTER SOFTWARE	25,039.	25,039.	0.
LEASEHOLD IMPROVEMENTS	17,000.	3,361.	13,639.
FURNITURE & FIXTURES	4,594.	4,594.	0.
COMPUTERS	6,791.	6,791.	0.
FURNITURE & FIXTURES	6,755.	6,001.	754.
COMPUTER SOFTWARE	14,475.	14,474.	1.
COMPUTERS	3,500.	3,198.	302.
COMPUTERS	5,475.	5,002.	473.
TOTAL TO FM 990-PF, PART II, LN 14	458,967.	256,641.	202,326.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS - DANIEL J. EDELMAN, INC.	33,000.	33,000.	33,000.
FURNISHINGS	35,606.	73,231.	73,231.
DEPOSITS & CAPITALIZED COSTS - 167 EAST 73RD STREET	0.	2,105,080.	2,105,080.
TO FORM 990-PF, PART II, LINE 15	68,606.	2,211,311.	2,211,311.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 13
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
JOAN H. TISCH	C/O BARRY L. BLOOM, 655 MADISON AVENUE, 11TH FL NEW YORK, NY 10065

FORM 990-PF

EXPLANATION CONCERNING PART VII-A, LINE 12

STATEMENT 14

EXPLANATION

ALL DISTRIBUTIONS TO DONOR ADVISED FUNDS FOR WHICH THE FOUNDATION OR A DISQUALIFIED PERSON HAD ADVISORY PRIVILEGES WERE TREATED AS QUALIFYING DISTRIBUTIONS ON THE CURRENT TAX RETURN. DUE TO ADMINISTRATIVE CAPABILITIES, THE DONOR ADVISED FUND IS ABLE TO MAKE DISTRIBUTIONS TO A BROADER SELECTION OF CHARITIES.

LAURIE M. TISCH FOUNDATION, INC.
GRANTS
FOR THE YEAR ENDED DECEMBER 31, 2016

PAYEE	AMOUNT	LOCATION	
AARON DAVIS HALL	15,000	NEW YORK,	NY
92ND STREET Y	16,666	NEW YORK,	NY
ACTOR'S FUND OF AMERICA	1,500	NEW YORK,	NY
ALLIANCE OF RESIDENT THEATRES	1,500	NEW YORK,	NY
ARDEA ARTS	2,500	NEW YORK,	NY
ARTS & BUSINESS COUNCIL, INC	7,500	NEW YORK,	NY
ASIAN AMERICAN WRITER'S WORKSHOP, INC	3,750	NEW YORK,	NY
ASPEN INSTITUTE	682,500	WASHINGTON	DC
ASPEN MUSIC FESTIVAL AND SCHOOL	5,000	ASPEN	CO
ATHLETE ALL Y	3,333	NEW YORK,	NY
B LAB COMPANY	25,000	BERWYN	PA
BABSON COLLEGE	25,000	BABSON PARK	MA
BALLET THEATER FOUNDATION	25,000	NEW YORK,	NY
BALLET HISPANICO	5,000	NEW YORK,	NY
BEDFORD STUYVESANT RESTORATION CORPORATION	25,000	BROOKLYN,	NY
BOROUGH OF MANHATTAN COMMUNITY COLLEGE FUND	2,000	NEW YORK,	NY
BOOKS FOR KIDS FOUNDATION	15,000	NEW YORK,	NY
BRONX MUSEUM OF THE ARTS	25,000	BRONX,	NY
BROOKLYN PUBLIC LIBRARY FOUNDATION	11,000	BROOKLYN,	NY
BROOKLYN YOUTH CHORUS ACADEMY	10,000	BROOKLYN,	NY
CARDOZO SCHOOL OF LAW	150,000	NEW YORK,	NY
CENTER FOR ARTS EDUCATION	55,000	NEW YORK,	NY
CENTER FOR TRADITIONAL MUSIC AND DANCE, INC	10,000	NEW YORK,	NY
CENTER FOR URBAN PEDAGOGY	10,000	BROOKLYN,	NY
CHAMAH	2,500	NEW YORK,	NY
CHELSEA PIERS SCHOLARSHIP FUND	2,500	NEW YORK,	NY
CHILD MIND INSTITUTE, INC	10,000	NEW YORK,	NY
CHILDREN'S MUSEUM OF MANHATTAN	60,000	NEW YORK,	NY
CITYMEALS-ON-WHEELS	7,500	NEW YORK,	NY
COLUMBIA UNIVERSITY	1,000	NEW YORK,	NY
COOL CULTURE INC	15,000	BROOKLYN	NY
COMMITTEE AGAINST ANTI-ASIAN VIOLENCE	3,750	NEW YORK,	NY
CREATIVE TIME	20,000	NEW YORK,	NY
CROHNS & COLITIS FOUNDATION OF AMERICA	1,000	NEW YORK,	NY
DOMORE4 GOOD	10,000	NEW YORK,	NY
DREAMYARD PROJECT	22,500	BRONX,	NY
ECHOING GREEN	50,000	NEW YORK,	NY
ELIZABETH CADY STANTON AND SUSAN B ANTHONY STATUE FUND INC	5,000	BROOKLYN	NY
ELLEN P HERMANSON FOUNDATION	1,000	GREAT NECK,	NY
ELTON JOHN AIDS FOUNDATION	50,000	NEW YORK,	NY
EUGENIO MARIA DE HOSTOS COMMUNITY COLLEGE FOUNDATION	50,000	BRONX,	NY
EXPANDED SCHOOLS	3,500	NEW YORK,	NY
FASHION INSTITUTE OF TECHNOLOGY FOUNDATION	2,500	NEW YORK,	NY
FOUNTAIN HOUSE	1,000	NEW YORK,	NY
FRIENDS OF MATERIALS FOR THE ARTS, INC	7,500	LONG ISLAND CITY,	NY
FUND FOR PUBLIC HEALTH IN NEW YORK, INC.	250,000	NEW YORK,	NY
FUND FOR THE CITY OF NEW YORK	8,000	NEW YORK,	NY
GIFT OF LIFE BONE MARROW FOUNDATION	1,000	BOCA RATON	FL
GODS LOVE WE DELIVER	15,000	NEW YORK,	NY
GLOBAL HEALTH CORPS INC	50,000	NEW YORK,	NY
GREATER WASHINGTON EDUCATIONAL TELECOM ASSOCIATION, INC	50,000	ARLINGTON,	VA
GREEN CITY FORCE	25,000	BROOKLYN	NY
HARLEM SCHOOL OF THE ARTS	15,000	NEW YORK,	NY
HARLEM PARK TO PARK INITIATIVE	2,500	NEW YORK,	NY
HARVARD BUSINESS SCHOOL CLUB OF GREATER NY	5,000	NEW YORK,	NY
HELP USA	5,000	NEW YORK,	NY
HOT BREAD KITCHEN	10,000	NEW YORK,	NY
HUNGER FREE AMERICA	25,000	NEW YORK,	NY
HUNTER COLLEGE FOUNDATION	75,000	NEW YORK,	NY
INTERNATIONAL DOCUMENTARY ASSOCIATION	50,000	LOS ANGELES	CA
INTERNATIONAL RESCUE COMMITTEE	50,000	NEW YORK,	NY
INDEPENDENT FEATURE PROJECT	20,000	NEW YORK,	NY
JAZZ AT LINCOLN CENTER	25,000	NEW YORK,	NY

LAURIE M. TISCH FOUNDATION, INC.
GRANTS
FOR THE YEAR ENDED DECEMBER 31, 2016

PAYEE	AMOUNT	LOCATION	
JEWISH CHILD CARE ASSOCIATION	2,500	NEW YORK,	NY
JEWISH COMMUNAL FUND	50,000	NEW YORK,	NY
KIDS OF NYU FOUNDATION	10,000	NEW YORK,	NY
KITCHEN	2,500	NEW YORK,	NY
LANG LANG INTERNATIONAL MUSIC FOUNDATION	5,000	NEW YORK,	NY
LIGHTHOUSE INTERNATIONAL	1,500	NEW YORK,	NY
LINCOLN CENTER FOR THE PERFORMING ARTS	675,000	NEW YORK,	NY
LOCAL INITIATIVES SUPPORT	322,000	NEW YORK,	NY
LUPUS RESEARCH ALLIANCE	2,500	NEW YORK,	NY
MAKE THE ROAD NEW YORK	20,000	BROOKLYN	NY
MANHATTAN THEATRE CLUB	7,500	NEW YORK,	NY
MAYORS FUND TO ADVANCE NYC	100,000	NEW YORK,	NY
MEMORIAL SLOAN-KETTERING CANCER CENTER	50,000	NEW YORK,	NY
MIND-BUILDERS CREATIVE ARTS	15,000	BRONX,	NY
MOUNT SINAI MEDICAL CENTER	50,000	NEW YORK,	NY
MUSIC ASSOCIATES OF ASPEN, INC	30,000	ASPEN	CO
MUSIC AT THE ANTHOLOGY	7,500	NEW YORK,	NY
MUSIC FOR AUTISM, INC	5,000	NEW YORK,	NY
NAACP LEGAL DEFENSE AND EDUCATION FUND, INC	11,500	NEW YORK,	NY
NAPLES CHILDREN AND EDUCATION FOUNDATION	10,000	NAPLES	FL
NATIONAL CONSTITUTION CENTER	2,500	PHILADELPHIA,	PA
NATIONAL FISH AND WILDLIFE FOUNDATION	5,000	WASHINGTON	DC
NEW YORK BOTANICAL GARDEN	25,000	BRONX,	NY
NEW YORK CITY CENTER	15,000	NEW YORK,	NY
NEW YORK FOUNDATION FOR ARCHITECTURE	14,000	NEW YORK,	NY
NEW YORK IMMIGRATION COALITION	5,000	NEW YORK,	NY
NEW YORK LANDMARKS CONSERVANCY	2,500	NEW YORK,	NY
NEW YORK YOUTH SYMPHONY	2,500	NEW YORK,	NY
NEW YORK WOMENS FOUNDATION	150,000	NEW YORK,	NY
NEW YORK PRESBYTERIAN HOSPITAL	1,000	NEW YORK,	NY
NO LONGER EMPTY	15,000	NEW YORK,	NY
NORTH STAR FUND	10,000	NEW YORK,	NY
NORTHEAST BROOKLYN HOUSING DEVELOP CORP	25,000	BROOKLYN	NY
NORTHSIDE CENTER FOR CHILD DEVELOPMENT	1,500	NEW YORK,	NY
NYU HOSPITALS CENTER	30,000	NEW YORK,	NY
PEN AMERICAN CENTER	2,500	NEW YORK,	NY
PHILANTHROPY NEW YORK	18,100	NEW YORK,	NY
PUBLIC HEALTH SOLUTIONS	20,000	NEW YORK,	NY
PUBLICOLOR	5,000	NEW YORK,	NY
QUEENS MUSEUM	15,000	QUEENS	NY
ROCKEFELLER PHILANTHROPY ADVISORS	2,500	NEW YORK,	NY
SCAN NEW YORK	1,000	NEW YORK,	NY
SEVENTH REGIMENT ARMORY CONSERVANCY, INC	15,000	NEW YORK,	NY
SHARE OUR STRENGTH	25,000	WASHINGTON	DC
SING FOR HOPE	2,000	NEW YORK,	NY
SKIDMORE COLLEGE	200,000	SARATOGA SPRINGS,	NY
SKOWHEGAN SCHOOL OF PAINTING & SCULPTURE	2,500	NEW YORK,	NY
SPEYER LEGACY SCHOOL	1,000	NEW YORK,	NY
STUDIO MUSEUM IN HARLEM	65,400	NEW YORK,	NY
SYMPHONY SPACE	2,000	NEW YORK,	NY
TAKE THE FIELD	16,670	NEW YORK,	NY
TOM COUGHLIN JAY FUND FOUNDATION	75,000	JACKSONVILLE BEACH,	FL
UNITED WAY OF NEW YORK CITY	25,000	NEW YORK,	NY
WHITNEY MUSEUM OF AMERICAN ART	1,496,995	NEW YORK	NY
WOMENS MEDIA CENTER	2,500	WASHINGTON	DC
WOMEN'S HOUSING & ECONOMIC DEVELOPMENT CORPORATION	20,000	BRONX,	NY
WOMEN IN NEED, INC.	1,500	NEW YORK	NY
WOMENS VOICES FOR CHANGE	2,500	NEW YORK	NY
YMCA OF GREATER NEW YORK	2,500	NEW YORK	NY
YOUNG WOMEN'S LEADERSHIP NETWORK	35,000	NEW YORK,	NY
TOTAL	5,876,164 00		

LAURIE M. TISCH FOUNDATION, INC.
GRANTS
FOR THE YEAR ENDED DECEMBER 31, 2016

<u>PAYEE</u>	<u>AMOUNT</u>	<u>LOCATION</u>
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All contributions were made to further educational, religious, medical, cultural, societal and environmental causes.

2016 Tax Information Statement

Page 8 of 61

Recipient's Name and Address:
LAURIE TISCH SUSSMAN FOUNDATION
TISCH FINANCIAL MANAGEMENT
ATTN: VINCENT CASASANTA
655 MADISON AVENUE, 11TH FLOOR
NEW YORK, NY 10065

Account Number: 17-10858
Recipient's Tax ID Number: XX-XXX3585

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
3555.0	UNILEVER NV EUR1(DRIP LINE 01/03/2016)									
N89782697	03/09/2016	09/04/2015	0.00	0.00			0.00		0.00	0.00
2200.0	ARC RES LTD CDA COM									
00208D408	03/24/2016	09/04/2015	30,008.73	30,797.87			-789.14		0.00	0.00
100.0	BSTN PPTYS INC									
101121101	03/24/2016	12/11/2015	12,359.73	12,227.96			131.77		0.00	0.00
1000.0	BROOKFIELD ASSET MGMT INC VOTING SHS CL A VOTING SHS CL A									
112585104	03/24/2016	09/04/2015	33,794.31	30,893.63			2,900.68		0.00	0.00
2600.0	CITIGROUP INC COM NEW COM NEW									
172967424	03/24/2016	09/04/2015	107,975.64	131,560.00			-23,584.36		0.00	0.00
100.0	CUMMINS ENGINE INC									
231021106	03/24/2016	09/04/2015	10,628.76	11,801.00			-1,172.24		0.00	0.00
400.0	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK									
25470F104	03/24/2016	09/04/2015	10,947.76	10,696.00			251.76		0.00	0.00
500.0	DISCOVERY COMMUNICATIONS INC NEW COM SERC COM SER C									
25470F302	03/24/2016	09/04/2015	13,014.76	12,620.00			394.76		0.00	0.00
400.0	DR PEPPER SNAPPLE GROUP INC COM STK									
26138E109	03/24/2016	09/04/2015	35,907.21	30,312.00			5,595.21		0.00	0.00

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2016 Tax Information Statement

Page 9 of 61

Recipient's Name and Address:
LAURIE TISCH SUSSMAN FOUNDATION
TISCH FINANCIAL MANAGEMENT
ATTN: VINCENT CASASANTA
655 MADISON AVENUE, 11TH FLOOR
NEW YORK, NY 10065

Account Number: 17-10858
Recipient's Tax ID Number: XX-XXX3585

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
700.0	EDISON INTL									
281020107	03/24/2016	09/04/2015	50,111.90	39,942.00			10,169.90		0.00	0.00
1100.0	HP INC COM									
40434L105	03/24/2016	09/04/2015	13,111.71	14,148.22			-1,036.51		0.00	0.00
4761.0	HEWLETT PACKARD ENTERPRISE CO COM									
42824C109	03/24/2016	09/04/2015	82,861.97	67,310.94			15,551.03		0.00	0.00
400.0	MARATHON PETE CORP COM									
56585A102	03/24/2016	09/04/2015	14,731.67	18,540.00			-3,808.33		0.00	0.00
100.0	METTLER TOLEDO INTERNATIONAL INC									
592688105	03/24/2016	09/04/2015	33,451.27	28,900.00			4,551.27		0.00	0.00
1000.0	PROLOGIS INC COM									
74340W103	03/24/2016	09/04/2015	41,749.08	36,690.00			5,059.08		0.00	0.00
903.0	QUALCOMM INC									
747525103	03/24/2016	09/04/2015	45,501.17	49,032.90			-3,531.73		0.00	0.00
500.0	SANDISK CORP									
80004C101	03/24/2016	09/04/2015	38,064.17	26,425.00			11,639.17		0.00	0.00
1000.0	SILVER WHEATON CORP COM									
828336107	03/24/2016	09/04/2015	17,165.40	11,506.26			5,659.14		0.00	0.00

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2016 Tax Information Statement

Page 10 of 61
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 ATTN: VINCENT CASASANTA
 655 MADISON AVENUE, 11TH FLOOR
 NEW YORK, NY 10065

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Description of property			Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number										Withheld	State Tax Withheld
156.0	SYNCHRONY FINL COM										
87165B103			03/24/2016	09/04/2015	4,392.86	3,565.60			827.26	0.00	0.00
200.0	TEXAS INSTRUMENTS INC										
882508104			03/24/2016	09/04/2015	11,259.75	9,308.00			1,951.75	0.00	0.00
600.0	TRIMBLE NAVIGATION LTD										
896239100			03/24/2016	09/04/2015	14,501.68	10,962.00			3,539.68	0.00	0.00
711.0	WOODSIDE PETE LTD COM										
980228100			03/24/2016	09/04/2015	14,281.63	15,285.78			-1,004.15	0.00	0.00
785.0	GEA GROUP AG NPV										
D28304109			03/24/2016	09/04/2015	36,960.43	29,525.24			7,435.19	0.00	0.00
193.0	MERCK KGAA NPV										
D5357W103			03/24/2016	09/04/2015	16,213.76	17,932.92			-1,719.16	0.00	0.00
1802.0	KLEPIERRE EUR1.40										
F5396X102			03/24/2016	09/04/2015	83,453.33	77,782.54			5,670.79	0.00	0.00
46.0	UNIBAIL-RODAMCO SE EUR5										
F95094110			03/24/2016	09/04/2015	12,334.42	11,593.15			741.27	0.00	0.00
4200.0	REXAM NEW ORD GBP0.8035714										
G12740224			03/24/2016	09/04/2015	37,309.66	34,234.01			3,075.65	0.00	0.00

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Page 11 of 61

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Description of property

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2281.0	HSBC HLDGS ORD USD0.50									
G4634U169	03/24/2016	Various	14,283.62	17,925.89			-3,642.27		0.00	0.00
11442.0	WM MORRISON SUPERMARKETS ORD GBP0.10									
G62748119	03/24/2016	09/04/2015	32,446.09	29,248.74			3,197.35		0.00	0.00
91.0	KUEHNE & NAGEL INTERNATIONAL AG CHF1 (REGD)									
H4673L145	03/24/2016	09/04/2015	12,536.51	11,873.53			662.98		0.00	0.00
2400.0	KURARAY CO NPV									
J37006137	03/24/2016	09/04/2015	28,707.88	26,746.74			1,961.14		0.00	0.00
200.0	ORIENTAL LAND CO LTD NPV									
J6174U100	03/24/2016	09/04/2015	14,352.17	10,447.53			3,904.64		0.00	0.00
1500.0	SHOWA SHELL SEKIYU KK NPV									
J75390104	03/24/2016	12/11/2015	13,044.19	13,253.38			-209.19		0.00	0.00
2914.0	DELTA LLOYD NV EURO.20									
N25633103	03/24/2016	09/04/2015	14,105.72	28,764.32			-14,658.60		0.00	0.00
733.0	RELX NV									
N7364X107	03/24/2016	09/04/2015	12,523.98	11,093.67			1,430.31		0.00	0.00
1040.0	WESTPAC BANKING CORPORATION NPV									
Q97417101	03/24/2016	09/04/2015	25,063.48	21,883.84			3,179.64		0.00	0.00

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Page 12 of 61

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LAURIE TISCH SUSSMAN FOUNDATION
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Description of property			Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Date Acquired								Withheld	State Tax Withheld
2341.0	SKANDINAVISKA ENSKILDA BANKEN SER'A'NPV										
W25381141	03/24/2016	09/04/2015			22,858.96	26,623.82			-3,764.86	0.00	0.00
268.0	KONE CORPORATION NPV ORD 'B'										
X4551T105	03/24/2016	09/04/2015			12,555.97	10,126.06			2,429.91	0.00	0.00
12539.0	NOKIA OYJ EURO.06										
X61873133	03/24/2016	09/04/2015			74,421.00	78,010.81			-3,589.81	0.00	0.00
182.0	CAMERON INT'L CORP COM										
13342B105	04/04/2016	09/04/2015			2,628.08	2,466.20			161.88	0.00	0.00
200.0	APPLE COMPUTER INC										
037833100	04/05/2016	09/04/2015			21,992.52	21,856.00			136.52	0.00	0.00
6460.0	DELTA LLOYD NV 11/04/2016										
N25633343	04/06/2016	09/04/2015			9,613.67	0.00			9,613.67	0.00	0.00
2432.0	BANCO BILBAO VIZCAYA ARGENTARIA EURO.49 SUB RIGHTS 19/04/16										
E1R80J647	04/13/2016	09/04/2015			325.60	0.00			325.60	0.00	0.00
0.31	SCHLUMBERGER LTD ISIN #AN8068571086										
806857108	04/15/2016	09/04/2015			22.19	23.25			-1.06	0.00	0.00
15578.0	UNICREDIT SPA RIGHT 26 APRIL 2016										
T9T224567	05/03/2016	09/04/2015			0.00	0.00			0.00	0.00	0.00

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Page 13 of 61

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Description of property										
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0.52	CRODA INTL									
G25536148	05/09/2016	09/04/2015	22.53	23.07			-0.54		0.00	0.00
0.33	INTERCONTINENTAL HOTELS GROUP ORD GBP0.1896656535									
G4803Z106	05/09/2016	09/04/2015	12.86	14.46			-1.60		0.00	0.00
999.0	SANDISK CORP									
80004C101	05/13/2016	09/04/2015	67,432.50	44,126.74			23,305.76		0.00	0.00
6026.0	RELX NV RIGHT 12/05/2016									
BYD4M2	05/20/2016	09/04/2015	0.00	0.00			0.00		0.00	0.00
0.46	WESTERN DIGITAL CORP COM									
958102105	05/25/2016	09/04/2015	17.35	16.73			0.62		0.00	0.00
2007.0	#REORG/COCA-COLA ENTERPRISES CASH AND STOCK MERGER									
19122T109	05/31/2016	09/04/2015	29,101.50	22,458.33			6,643.17		0.00	0.00
3555.0	UNILEVER NV EUR1 SUB RIGHTS/RECEIPTS 15/06/16									
N89782713	06/01/2016	09/04/2015	0.00	0.00			0.00		0.00	0.00
3326.0	EDENRED RIGHT 03 JUN 2016									
F3192Q140	06/15/2016	09/04/2015	0.00	0.00			0.00		0.00	0.00
800.0	ARC RES LTD CDA COM									
00208D408	06/21/2016	09/04/2015	13,440.29	11,199.23			2,241.06		0.00	0.00

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Description of property		Date Sold or Disposed		Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
157.0	AMAZON COM INC	06/21/2016	06/21/2016	12/11/2015	112,379.72	103,206.37			9,173.35		0.00	0.00
247.0	ANALOG DEVICES INC	06/21/2016	06/21/2016	09/09/2015	14,091.04	14,391.58			-300.54		0.00	0.00
1455.0	BK AMER CORP COM	06/21/2016	06/21/2016	Various	19,802.11	23,311.08			-3,508.97		0.00	0.00
194.0	CSRA INC COM	06/21/2016	06/21/2016	09/04/2015	4,595.75	5,087.95			-492.20		0.00	0.00
500.0	CANADIAN NATL RY CO COM	06/21/2016	06/21/2016	09/04/2015	29,224.83	26,903.68			2,321.15		0.00	0.00
705.0	CENTENE CORP DELAWARE COM	06/21/2016	06/21/2016	09/04/2015	48,714.43	42,271.80			6,442.63		0.00	0.00
151358101	COMCAST CORP NEW CL A	06/21/2016	06/21/2016	03/24/2016	18,758.59	17,646.00			1,112.59		0.00	0.00
300.0	COMCAST CORP NEW CL A	06/21/2016	06/21/2016	09/04/2015	9,917.06	6,668.45			3,248.61		0.00	0.00
20030N101	COMPUTER SCIENCES CORP	06/21/2016	06/21/2016	09/04/2015	48,982.93	29,809.59			19,173.34		0.00	0.00
194.0	DIGITAL RLTY TR INC COM	06/21/2016	06/21/2016	09/09/2015								
205363104												
471.0												
253868103												

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Page 15 of 61

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Description of property

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1576.0	EXPRESS SCRIPTS HLDG CO COM									
30219G108	06/21/2016	09/04/2015	117,787.67	130,981.36			-13,193.69		0.00	0.00
439.0	EXXON MOBIL CORP									
30231G102	06/21/2016	Various	40,176.40	32,114.63			8,061.77		0.00	0.00
98.0	F5 NETWORKS INC									
315616102	06/21/2016	09/04/2015	11,689.18	11,647.30			41.88		0.00	0.00
1270.0	FLUOR CORP									
343412102	06/21/2016	Various	65,174.97	57,226.18			7,948.79		0.00	0.00
800.0	INTACT FINL CORP COM									
45823T106	06/21/2016	09/04/2015	55,780.34	56,239.33			-458.99		0.00	0.00
1685.0	MARATHON PETE CORP COM									
56585A102	06/21/2016	09/04/2015	59,293.85	78,099.75			-18,805.90		0.00	0.00
364.0	NATIONAL-OILWELL INC									
637071101	06/21/2016	09/04/2015	12,973.76	14,723.80			-1,750.04		0.00	0.00
918.0	NVIDIA CORP									
67066G104	06/21/2016	09/04/2015	43,383.73	19,743.43			23,640.30		0.00	0.00
306.0	OCEANEERING INTERNATIONAL INC									
675232102	06/21/2016	09/04/2015	9,620.43	12,751.02			-3,130.59		0.00	0.00

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964.0	PUBLIC SVC ENTERPRISE GROUP INC									
744573106	06/21/2016	09/04/2015	42,665.70	36,988.68			5,677.02		0.00	0.00
3411.0	TERADATA CORP DEL COM STK									
88076W103	06/21/2016	09/04/2015	96,153.99	96,974.73			-820.74		0.00	0.00
238.0	WESTERN DIGITAL CORP COM									
958102105	06/21/2016	09/04/2015	11,628.42	8,653.68			2,974.74		0.00	0.00
3637.0	WEYERHAEUSER CO									
962166104	06/21/2016	09/04/2015	103,906.82	99,581.06			4,325.76		0.00	0.00
2007.0	COCA-COLA EUROPEAN PARTNERS PLC ORD EURO.01									
G25839104	06/21/2016	09/04/2015	75,321.06	77,891.67			-2,570.61		0.00	0.00
117.0	CHUBB LTD ORD CHF24.15									
H1467J104	06/21/2016	09/04/2015	14,679.66	11,719.89			2,959.77		0.00	0.00
5200.0	HINO MTRS LTD COM									
433406105	06/22/2016	09/04/2015	53,707.52	54,837.48			-1,129.96		0.00	0.00
447.0	OMV AG NPV									
A51460110	06/22/2016	03/24/2016	12,717.48	12,524.68			192.80		0.00	0.00
222.0	KBC GROEP NV NPV									
B5337G162	06/22/2016	12/11/2015	12,862.26	13,365.87			-503.61		0.00	0.00

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Page 17 of 61

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17-10858

Account Number:

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83.0	ALLIANZ SE NPV(REGD)(VINKULIERT)												
D03080112		06/22/2016	09/04/2015			12,924.64	12,893.39			31.25		0.00	0.00
146.0	DEUTSCHE BOERSE AG NPV(REGD)												
D1882G119		06/22/2016	09/04/2015			13,213.22	12,732.86			480.36		0.00	0.00
401.0	METRO AG ORD NPV												
D53968125		06/22/2016	03/24/2016			12,759.72	11,189.89			1,569.83		0.00	0.00
1645.0	RWE AG NPV												
D6629K109		06/22/2016	Various			24,809.41	21,372.00			3,437.41		0.00	0.00
1350.0	SIEMENS AG NPV(REGD)												
D69671218		06/22/2016	09/04/2015			146,180.46	128,958.91			17,221.55		0.00	0.00
280.0	AMADEUS IT GROUP SA EURO.01												
E04908112		06/22/2016	09/04/2015			12,779.06	10,995.93			1,783.13		0.00	0.00
2432.0	BANCO BILBAO VIZCAYA ARGENTARIA SA EURO.49												
E11805103		06/22/2016	09/04/2015			15,414.11	21,234.09			-5,819.98		0.00	0.00
405.0	CAP GEMINI EUR8												
F13587120		06/22/2016	09/04/2015			39,687.76	34,521.87			5,165.89		0.00	0.00
68.0	L'OREAL EURO.20												
F58149133		06/22/2016	09/04/2015			12,910.43	11,023.04			1,887.39		0.00	0.00

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2016 Tax Information Statement

Page 18 of 61

Recipient's Name and Address:
 LAURIE TISCH SUSSMAN FOUNDATION
 TISCH FINANCIAL MANAGEMENT
 ATTN: VINCENT CASASANTA
 655 MADISON AVENUE, 11TH FLOOR
 NEW YORK, NY 10065

Account Number: 17-10858
 Recipient's Tax ID Number: XX-XXX3585

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

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Description of property				Federal Income Tax		State Tax				
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Withheld	Withheld
703.0	VIVENDI SA EUR5.50									
F97982106	06/22/2016	09/04/2015	12,955.02	16,568.00			-3,612.98		0.00	0.00
6322.0	BT GROUP ORD GBP0.05									
G16612106	06/22/2016	Various	39,932.08	40,408.11			-476.03		0.00	0.00
680.0	EXPERIAN ORD USD0.10									
G32655105	06/22/2016	09/04/2015	13,185.58	10,895.50			2,290.08		0.00	0.00
128.0	RECKITT BENCK GRP ORD GBP0.10									
G74079107	06/22/2016	09/04/2015	12,779.83	11,162.03			1,617.80		0.00	0.00
4588.0	3I GROUP ORD GBP0.738636									
G88473148	06/22/2016	09/04/2015	37,414.53	33,168.83			4,245.70		0.00	0.00
4000.0	KAWASAKI HEAVY INDUSTRIES NPV									
J31502107	06/22/2016	09/04/2015	12,088.02	14,338.12			-2,250.10		0.00	0.00
2000.0	MITSUBISHI ELECTRIC CORP NPV									
J43873116	06/22/2016	09/04/2015	24,596.82	18,679.47			5,917.35		0.00	0.00
1400.0	OMRON CORP NPV									
J61374120	06/22/2016	09/04/2015	48,399.89	46,224.63			2,175.26		0.00	0.00
1400.0	SHIONOGI & CO LTD NPV									
J74229105	06/22/2016	09/04/2015	77,801.31	54,142.19			23,659.12		0.00	0.00

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2016 Tax Information Statement

Page 19 of 61

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Cusip Number	Date Sold or Disposed	Date Acquired															
4200.0	T&D HOLDINGS INC NPV																
J86796109	06/22/2016	09/04/2015		37,611.34		52,919.63						-15,308.29		0.00		0.00	
1107.0	TRYG A/S DKK5																
K9640A110	06/22/2016	09/09/2015		20,380.62		21,949.58						-1,568.96		0.00		0.00	
3546.0	DELTA LLOYD NV EURO.20																
N25633103	06/22/2016	09/04/2015		15,718.29		35,002.84						-19,284.55		0.00		0.00	
5657.0	LENLEASE GROUP NPV (STAPLED)																
Q55368114	06/22/2016	09/04/2015		57,019.82		52,917.91						4,101.91		0.00		0.00	
582.0	WESTPAC BANKING CORPORATION NPV																
Q97417101	06/22/2016	09/04/2015		12,873.70		12,246.53						627.17		0.00		0.00	
2337.0	BOLIDEN AB NPV																
W17218103	06/22/2016	09/04/2015		43,697.46		37,303.89						6,393.57		0.00		0.00	
247.0	SAMPO PLC SER'A'NPV																
X75653109	06/22/2016	09/04/2015		10,795.66		11,794.61						-998.95		0.00		0.00	
7612.0	BALL UK ACQUISITION LTD																
5A6NVMU	07/14/2016	09/04/2015		40,958.22		38,610.78						2,347.44		0.00		0.00	
6026.0	RELX NV EURO.07(STK DIV 18/08/2016)																
N7364X149	08/26/2016	09/04/2015		0.00		0.00						0.00		0.00		0.00	

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2016 Tax Information Statement

Page 20 of 61

Account Number: 17-10858
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228.0	BSTN PPTY INC									
101121101	09/29/2016	12/11/2015	31,196.55	27,879.75			3,316.80		0.00	0.00
922.0	SOUTHWESTERN ENERGY CO COM									
845467109	09/29/2016	Various	12,317.65	13,036.40			-718.75		0.00	0.00
289.0	RWE AG NPV									
D6629K109	09/29/2016	03/24/2016	4,898.35	3,472.25			1,426.10		0.00	0.00
1783.0	ANTOFAGASTA ORD GBP0.05									
G0398N128	09/29/2016	03/24/2016	11,980.47	11,924.15			56.32		0.00	0.00
2159.0	BERKLEY GP HOLDINGS									
G1191G120	09/29/2016	03/24/2016	72,000.35	99,862.67			-27,862.32		0.00	0.00
1800.0	AEON FINANCIAL SERVICE CO LTD NPV									
J0021H107	09/29/2016	03/24/2016	32,642.73	43,966.67			-11,323.94		0.00	0.00
3586.0	AUCKLAND INTERNATIONAL AIRPORT NPV									
Q06213146	09/29/2016	12/11/2015	18,689.52	12,915.45			5,774.07		0.00	0.00
3856.0	FLETCHER BUILDING NPV									
Q3915B105	09/29/2016	12/11/2015	29,931.19	18,347.48			11,583.71		0.00	0.00
3600.0	CENOVUS ENERGY INC COM									
15135U109	12/19/2016	09/29/2016	57,013.80	50,514.26			6,499.54		0.00	0.00

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2016 Tax Information Statement

Page 21 of 61

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INTEL CORP COM		376.0										
		458140100	12/19/2016	03/24/2016	13,682.60	11,964.32			1,718.28		0.00	0.00
MARRIOTT INTL INC NEW CL A		355.0										
		571903202	12/19/2016	09/29/2016	30,437.04	23,852.45			6,584.59		0.00	0.00
DEUTSCHE BOERSE AG NPV(REGD)		170.0										
		D1882G119	12/19/2016	03/24/2016	13,644.12	13,971.89			-327.77		0.00	0.00
CHRISTIAN DIOR SE EUR2		433.0										
		F26334106	12/19/2016	06/22/2016	89,097.28	72,517.49			16,579.79		0.00	0.00
TELIA COMPANY AB NPV		2399.0										
		W95890104	12/19/2016	03/24/2016	9,387.16	12,344.96			-2,957.80		0.00	0.00
Total Short Term Sales					3,625,396.93	3,446,961.22	0.00	0.00	178,435.71		0.00	0.00
Long Term Sales												
EMC CORP MASS		733.0										
		268648102	09/07/2016	09/04/2015	17,628.65	13,435.84			4,192.81		0.00	0.00
UNILEVER NV EURO.16 (DRIPLINE 30/08/16)		3555.0										
		N89782721	09/07/2016	09/04/2015	0.00	0.00			0.00		0.00	0.00

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2016 Tax Information Statement

Page 22 of 61

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0.7	DELL TECHNOLOGIES INC COM CL V COM CL V									
24703L103	09/26/2016	09/04/2015	33.66	33.60			0.06		0.00	0.00
573.0	AMERICAN INTERNATIONAL GROUP INC COM COM NEW									
026874784	09/29/2016	09/04/2015	34,087.02	33,285.57			801.45		0.00	0.00
17.0	AUTOZONE INC									
05332102	09/29/2016	09/04/2015	13,017.80	12,201.07			816.73		0.00	0.00
347.0	BALL CORPORATION									
058498106	09/29/2016	09/04/2015	27,950.24	23,434.30			4,515.94		0.00	0.00
349.0	BEST BUY INC									
086516101	09/29/2016	09/04/2015	13,205.87	12,783.87			422.00		0.00	0.00
43.0	BIOGEN INC COMMON STOCK									
09062X103	09/29/2016	09/04/2015	13,406.67	12,997.61			409.06		0.00	0.00
200.0	CANADIAN PACIFIC RAILWAY LTD COM									
13645T100	09/29/2016	09/04/2015	29,662.63	27,987.43			1,675.20		0.00	0.00
162.0	CATERPILLAR INC									
149123101	09/29/2016	09/04/2015	14,171.14	11,843.82			2,327.32		0.00	0.00
755.0	CENTENE CORP DELAWARE COM									
15135B101	09/29/2016	09/04/2015	51,942.86	45,269.80			6,673.06		0.00	0.00

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Page 23 of 61

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736.0	CITIGROUP INC COM NEW COM NEW									
172967424	09/29/2016	09/04/2015	34,576.52	37,241.60			-2,665.08		0.00	0.00
81.0	DELL TECHNOLOGIES INC COM CL V COM CL V									
24703L103	09/29/2016	09/04/2015	3,863.61	3,888.00			-24.39		0.00	0.00
482.0	DIGITAL RLTY TR INC COM									
253868103	09/29/2016	Various	47,090.37	30,132.88			16,957.49		0.00	0.00
271.0	WALT DISNEY CO									
254687106	09/29/2016	09/04/2015	24,939.58	27,365.58			-2,426.00		0.00	0.00
111.0	EXPRESS SCRIPTS HLDG CO COM									
30219G108	09/29/2016	09/04/2015	7,869.72	9,225.21			-1,355.49		0.00	0.00
869.0	HP INC COM									
40434L105	09/29/2016	09/04/2015	13,451.82	11,177.09			2,274.73		0.00	0.00
1200.0	HINO MTRS LTD COM									
433406105	09/29/2016	09/04/2015	12,899.87	12,654.80			245.07		0.00	0.00
382.0	MASCO CORP									
574599106	09/29/2016	09/04/2015	13,281.85	10,023.68			3,258.17		0.00	0.00
679.0	MICROSOFT CORP COM									
594918104	09/29/2016	09/04/2015	39,362.26	28,938.98			10,423.28		0.00	0.00

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Page 24 of 61

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563.0	NATIONAL-OILWELL INC									
637071101	09/29/2016	09/04/2015	20,881.21	22,773.35			-1,892.14		0.00	0.00
571.0	NVIDIA CORP									
67066G104	09/29/2016	09/04/2015	38,050.61	12,241.10			25,809.51		0.00	0.00
977.0	ROCKWELL INTL CORP NEW									
773903109	09/29/2016	09/04/2015	114,970.85	103,825.79			11,145.06		0.00	0.00
2347.0	SCHLUMBERGER LTD ISIN #AN8068571086									
806857108	09/29/2016	Various	183,364.53	175,739.64			7,624.89		0.00	0.00
191.0	TEXAS INSTRUMENTS INC									
882508104	09/29/2016	09/04/2015	13,270.39	8,889.14			4,381.25		0.00	0.00
84.0	THERMO ELECTRON CORP									
883556102	09/29/2016	09/04/2015	13,344.78	10,179.12			3,165.66		0.00	0.00
398.0	WELLS FARGO & CO NEW									
949746101	09/29/2016	Various	17,981.24	20,953.30			-2,972.06		0.00	0.00
3610.0	WOODSIDE PETE LTD COM									
980228100	09/29/2016	09/04/2015	75,927.56	77,611.32			-1,683.76		0.00	0.00
1334.0	PROSIEBENSAT.1 MEDIA SE NPV									
D6216S143	09/29/2016	Various	57,092.97	65,582.13			-8,489.16		0.00	0.00

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Page 25 of 61

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Cusip Number	Date Sold or Disposed	Date Acquired							Withheld		Withheld	
778.0	CAP GEMINI EUR8											
F13587120	09/29/2016	09/04/2015		76,914.09	66,316.08			10,598.01	0.00		0.00	0.00
114.0	ACCENTURE PLC SHS CL A NEW											
G1151C101	09/29/2016	09/04/2015		13,816.49	10,768.44			3,048.05	0.00		0.00	0.00
16355.0	BT GROUP ORD GBP0.05											
G16612106	09/29/2016	09/04/2015		83,576.32	105,757.93			-22,181.61	0.00		0.00	0.00
765.0	BUNGE LIMITED											
G16962105	09/29/2016	09/04/2015		45,409.40	53,588.25			-8,178.85	0.00		0.00	0.00
453.0	SABMILLER PLC ORD USD0.10											
G77395104	09/29/2016	09/04/2015		26,407.98	20,424.18			5,983.80	0.00		0.00	0.00
3200.0	SANDS CHINA LTD USD0.01											
G7800X107	09/29/2016	09/04/2015		13,990.68	11,119.32			2,871.36	0.00		0.00	0.00
1357.0	WPP PLC ORD GBP0.10											
G9788D103	09/29/2016	09/04/2015		32,154.16	27,365.80			4,788.36	0.00		0.00	0.00
739.0	NOVARTIS AG RES											
H5820Q150	09/29/2016	Various		59,900.95	70,293.85			-10,392.90	0.00		0.00	0.00
14000.0	ASAHI KASEI CORP NPV											
J0242P110	09/29/2016	09/04/2015		113,742.36	101,764.04			11,978.32	0.00		0.00	0.00

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2016 Tax Information Statement

Page 26 of 61
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Cusip Number	Date Sold or Disposed	Date Acquired							Withheld	State Tax Withheld
400.0	FUJII HEAVY INDUSTRIES NPV									
J14406136	09/29/2016	09/04/2015		15,488.58	13,238.64			2,249.94	0.00	0.00
3000.0	TOKYO GAS CO LTD NPV									
J87000105	09/29/2016	09/04/2015		13,977.84	15,209.72			-1,231.88	0.00	0.00
400.0	TOYOTA MOTOR CORP NPV									
J92676113	09/29/2016	Various		23,512.77	23,935.72			-422.95	0.00	0.00
7747.0	AUCKLAND INTERNATIONAL AIRPORT NPV									
O06213146	09/29/2016	09/04/2015		40,375.84	23,744.55			16,631.29	0.00	0.00
12305.0	FLETCHER BUILDING NPV									
O3915B105	09/29/2016	Various		95,514.36	54,546.00			40,968.36	0.00	0.00
5925.0	BRAMBLES LTD NPV									
Q6634U106	09/29/2016	09/04/2015		54,560.00	41,433.33			13,126.67	0.00	0.00
1773.0	TELENOR ASA ORD NOK6									
R21882106	09/29/2016	09/04/2015		30,024.21	35,083.80			-5,059.59	0.00	0.00
7067.0	SKANDINAVISKA ENSKILDA BANKEN SER'A NPV									
W25381141	09/29/2016	09/04/2015		70,023.58	80,371.86			-10,348.28	0.00	0.00
4630.0	NOKIA OYJ EURO.06									
X61873133	09/29/2016	09/04/2015		26,495.08	28,805.33			-2,310.25	0.00	0.00

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2016 Tax Information Statement

Page 27 of 61

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Cusip Number	Date Sold or Disposed	Date Acquired							Withheld	State Tax Withheld
25400.0	AIA GROUP LIMITED NPV									
Y002A1105	09/29/2016	09/04/2015		172,710.17	136,819.28			35,890.89	0.00	0.00
1930.0	SABMILLER PLC ORD USD0.10									
G77395104	10/13/2016	09/04/2015		105,852.77	87,016.94			18,835.83	0.00	0.00
3555.0	UNILEVER NV EURO.16(STK DIV 29/11/2016)									
N89782747	12/07/2016	09/04/2015		0.00	0.00			0.00	0.00	0.00
362.0	INTEL CORP COM									
458140100	12/19/2016	12/11/2015		13,173.15	12,496.24			676.91	0.00	0.00
1503.0	MARRIOTT INTL INC NEW CL A									
571903202	12/19/2016	09/04/2015		128,864.40	105,255.09			23,609.31	0.00	0.00
277.0	NVIDIA CORP									
67066G104	12/19/2016	09/04/2015		27,699.39	5,915.06			21,784.33	0.00	0.00
282.0	VOYA FINL INC COM									
929089100	12/19/2016	09/04/2015		11,234.63	11,688.90			-454.27	0.00	0.00
2398.0	WELLS FARGO & CO NEW									
949746101	12/19/2016	09/04/2015		132,294.77	123,017.40			9,277.37	0.00	0.00
388.0	ALLIANZ SE NPV(REGD)(VINKULIERT)									
D03080112	12/19/2016	09/04/2015		63,105.31	60,272.74			2,832.57	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

Page 28 of 61

Account Number: 17-10858

Recipient's Tax ID Number: XX-XXX3585

Recipient's Name and Address:

LAURIE TISCH SUSSMAN FOUNDATION

TISCH FINANCIAL MANAGEMENT

ATTN: VINCENT CASASANTA

655 MADISON AVENUE, 11TH FLOOR

NEW YORK, NY 10065

Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
1065.0	DEUTSCHE BOERSE AG NPV(REGD)									
D1882G119	12/19/2016	09/04/2015	85,476.37	92,880.08			-7,403.71		0.00	0.00
602.0	SAP SE									
D66992104	12/19/2016	09/04/2015	51,120.64	38,903.17			12,217.47		0.00	0.00
16.0	BROOKFIELD BUSINESS PARTNERS L P UNIT LTD PARTNERSHIP									
G16234109	12/19/2016	09/04/2015	386.52	380.61			5.91		0.00	0.00
482.0	NOVARTIS AG RES									
H5820Q150	12/19/2016	09/04/2015	34,475.81	45,637.63			-11,161.82		0.00	0.00
1565.0	AUSTRALIA & NEW ZEALAND BANK GROUP NPV									
Q09504137	12/19/2016	09/04/2015	33,939.22	29,543.28			4,395.94		0.00	0.00
860.0	ITALGAS SPA NPV									
T6R89Z103	12/19/2016	09/04/2015	3,220.20	3,590.71			-370.51		0.00	0.00
2129.0	TELIA COMPANY AB NPV									
W95890104	12/19/2016	09/04/2015	8,330.66	11,760.49			-3,429.83		0.00	0.00
600.0	HONG KONG EXCHANGES & CLEARING NPV									
Y3506N139	12/19/2016	09/04/2015	14,292.94	13,634.57			658.37		0.00	0.00
Total Long Term Sales			2,669,387.92	2,424,294.65	0.00	0.00	245,093.27		0.00	0.00

This is important tax information and is being furnished to you.



NORTHERN TRUST

2016 Tax Information Statement

LAURIE TISCH SUSSMAN FOUNDATION

Page 45 of 61
17-10858
XX-XXX3585
Ref: MKC

Account Number:
Tax ID Number:

Capital Gain Distributions

Description	Amount of dividend
BSTN PPTYS INC	147.91
PROLOGIS INC COM	1,278.22
REXAM NEW ORD GBP0.8035714	49.48
SIMON PPTY GROUP INC NEW	9.54
UDR INC COM STK	605.76
VORNADO RLTY TR COM	93.48
WEYERHAEUSER CO	2,254.94
Total capital gain distributions	4,439.33

Unrecaptured Section 1250 Gain

Description	Amount of dividend
BSTN PPTYS INC	87.58
DIGITAL RLTY TR INC COM	58.84
PROLOGIS INC COM	609.54
UDR INC COM STK	284.92
VORNADO RLTY TR COM	8.84
Total Unrecaptured Section 1250 Gain	1,049.72

Important Tax Information



NORTHERN TRUST

2016 Tax Information Statement

LAURIE TISCH SUSSMAN FOUNDATION

Page 59 of 61
Account Number: 17-10858
Tax ID Number: XX-XXX3585
Ref: MKC

Payments/Receipts Made on Behalf of LAURIE TISCH SUSSMAN FOUNDATION

The following transactions from the account are presented to assist you in the preparation of your individual tax return. Transactions are shown under three general headings of, where applicable, Medical Expenses, Taxes Paid and Miscellaneous. They are intended to cover those items the account paid on your behalf during the tax year that you may want to consider for your individual tax return. We recommend that you check your statement of transactions for any corrections or additions.

Miscellaneous

Date	Description	Payments	Receipts
Other Disbursements			
12/31/2016	2016 TOTAL NON-REPORTABLE SETTLED FX DEALS	4,441.26	
	2016 TOTAL NON-REPORTABLE SETTLED FX DEALS		
	Total Other Disbursements	4,441.26	0.00
Other Receipts			
12/31/2016	2016 TOTAL SECTION 988 TRANSACTIONS - TRANSLATION GAIN/LOSS ON CASH BALANCES		3,708.27
	2016 TOTAL SECTION 988 TRANSACTIONS - TRANSLATION GAIN/LOSS ON CASH BALANCES		
01/28/2016	AMADEUS IT GROUP SA EUR0.01 D04FGW4 ES SPAIN XD 28/01/16 PY 28/01/16 TAX REFUND ON AMAT IT		40.70
07/28/2016	AMADEUS IT GROUP SA EUR0.01 D05DH3J ES SPAIN XD 25/07/16 PY 28/07/16 TAX REFUND ON AMAS IT		47.61
01/12/2016	BANCO BILBAO VIZCAYA ARGENTARIA SA EUR0.49 D04H58S ES SPAIN RG XD 12/01/16 PY 12/01/16 TAX REFUND ON BNC BIL VZ A		8.67
05/27/2016	GALP ENERGIA SGPS SA EUR1 D05DDKW PT PORTUGAL SGPS XD 25/05/16 PY 27/05/16 TAX REFUND ON GALP ENERGIA		23.64

Important Tax Information