

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE STANTON FOUNDATION C/O BAKER TILLY		A Employer identification number 13-3598005
Number and street (or P.O. box number if mail is not delivered to street address) ONE PENN PLAZA	Room/suite 3000	B Telephone number 212-697-6900
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10119		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 188,352,744.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		119.	119.		STATEMENT 1
4 Dividends and interest from securities		3,928,000.	3,865,106.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		4,321,757.			
b Gross sales price for all assets on line 6a 89,503,291.					
7 Capital gain net income (from Part IV, line 2)			4,321,757.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<53,891.>	<353.>		STATEMENT 3
12 Total. Add lines 1 through 11		8,195,985.	8,186,629.		
13 Compensation of officers, directors, trustees, etc		280,000.	56,000.		224,000.
14 Other employee salaries and wages		233,551.	0.		233,551.
15 Pension plans, employee benefits		11,172.	0.		11,172.
16a Legal fees STMT 4		27,126.	0.		27,126.
b Accounting fees STMT 5		31,846.	5,271.		26,575.
c Other professional fees STMT 6		186,780.	0.		186,780.
17 Interest					
18 Taxes STMT 7		223,159.	116,606.		21,553.
19 Depreciation and depletion		183.	0.		
20 Occupancy		149,277.	0.		149,277.
21 Travel, conferences, and meetings		21,666.	0.		21,666.
22 Printing and publications		5,280.	0.		5,280.
23 Other expenses STMT 8		1,077,406.	870,580.		196,402.
24 Total operating and administrative expenses. Add lines 13 through 23		2,247,446.	1,048,457.		1,103,382.
25 Contributions, gifts, grants paid		14,711,826.			14,711,826.
26 Total expenses and disbursements. Add lines 24 and 25		16,959,272.	1,048,457.		15,815,208.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<8,763,287.>			
b Net investment income (if negative, enter -0-)			7,138,172.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			381,339.	636,865.	793,136.
	2 Savings and temporary cash investments			23,621,908.	16,463,419.	16,643,419.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 9			88,943,600.	73,844,357.	98,152,190.
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 10				59,991,076.	73,221,124.	72,763,999.
14 Land, buildings, and equipment: basis ▶ 1,224.						
Less accumulated depreciation STMT 11 ▶ 1,224.				183.	0.	0.
15 Other assets (describe ▶ STATEMENT 12)				60,362.	62,299.	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)				172,998,468.	164,228,064.	188,352,744.
17 Accounts payable and accrued expenses						
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			172,998,468.	164,228,064.	
30 Total net assets or fund balances			172,998,468.	164,228,064.		
31 Total liabilities and net assets/fund balances			172,998,468.	164,228,064.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	172,998,468.
2 Enter amount from Part I, line 27a	2	<8,763,287.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	164,235,181.
5 Decreases not included in line 2 (itemize) ▶ PRIOR YEAR ADJUSTMENT	5	7,117.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	164,228,064.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL BANK (70-734500) (SEE ATTACHED)	P		
b CAPITAL BANK (70-734500) (SEE ATTACHED)	P		
c CAPITAL BANK (70-734501) (SEE ATTACHED)	P		
d CAPITAL BANK (70-734501) (SEE ATTACHED)	P		
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 56,607,144.		53,189,928.	3,417,216.
b 17,535,676.		18,278,212.	<742,536.>
c 10,224,769.		8,472,660.	1,752,109.
d 5,133,435.		5,240,734.	<107,299.>
e 2,267.			2,267.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,417,216.
b			<742,536.>
c			1,752,109.
d			<107,299.>
e			2,267.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,321,757.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	26,143,532.	215,516,140.	.121307
2014	16,602,863.	229,028,033.	.072493
2013	13,268,004.	227,310,553.	.058370
2012	13,094,275.	217,621,766.	.060170
2011	10,247,957.	225,830,374.	.045379

2 Total of line 1, column (d)	2	.357719
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.071544
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	188,747,887.
5 Multiply line 4 by line 3	5	13,503,779.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	71,382.
7 Add lines 5 and 6	7	13,575,161.
8 Enter qualifying distributions from Part XII, line 4	8	15,815,208.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 71,382.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 71,382.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 71,382.
6 Credits/Payments:		
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 156,441.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 156,441.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 85,059.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 85,059. Refunded ☐ 0.	11 0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY, MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X
Website address N/A		
14 The books are in care of BAKER TILLY Telephone no. (212) 697-6900		
Located at ONE PENN PLAZA, SUITE 3000, NEW YORK, NY ZIP+4 10119		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW H. WEISS C/O BTVK; ONE PENN PLAZA, STE 3000 NEW YORK, NY 10119	TRUSTEE 20.00	100,000.	0.	0.
ELISABETH K. ALLISON 69 PINEHURST ROAD BELMONT, MA 02178	TRUSTEE 30.00	180,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHERINE E DUMEER - 1 EARHART STREET #309, CAMBRIDGE, MA 02141	PROGRAM OFFICER 40.00	60,560.	0.	0.
VALERIE LESTER 18 ELM STREET #8, WALTHAM, MA 02453	ADMIN/FINANCE COORDINATOR 40.00	54,004.	0.	0.
ERICA CARERE 87 PINGHURST RD, BELMONT, MA 02478	PROGRAM OFFICER 20.00	50,760.	0.	0.

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	170,005,146.
b	Average of monthly cash balances	1b	19,934,310.
c	Fair market value of all other assets	1c	1,682,764.
d	Total (add lines 1a, b, and c)	1d	191,622,220.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	191,622,220.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,874,333.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	188,747,887.
6	Minimum investment return. Enter 5% of line 5	6	9,437,394.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,437,394.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	71,382.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	71,382.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,366,012.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	9,366,012.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,366,012.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	15,815,208.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,815,208.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	71,382.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	15,743,826.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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THE STANTON FOUNDATION
C/O BAKER TILLY
(see instructions)

Form 990-PF (2016)

Part XIII

16)	C/O BAKER	
	Undistributed Income (see instructions)	(a)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
				9,366,012.
			0.	
		0.		
16:				
18.				
17.				
79.				
49.	25,384,993.		0.	
208.			0.	
e 2a				9,366,012.
or				
tions)	0.			0.
s				
)	6,449,196.			
nt	0.			
of corpus				
2016				
same amount				
n as	31,834,189.		0.	
ract line 5				
ne. Subtract			0.	
s'			0.	
h a notice of			0.	
r on which			0.	
een previously				
. Taxable				0.
015. Subtract line				
mount - see instr.				
2016. Subtract				
. This amount must				
ributions out of	0.			
ements imposed by	0.			
- 4942(g)(3) (Election				
instructions)				
arryover from 2011				
or line 7				
carryover to 2017.	31,834,189.			
8 from line 6a				
	2,338,318.			
	2,041,947.			
	5,424,679.			
	15,580,049.			
	6,449,196.			

- | | | |
|----|--|-------------|
| 1 | Distributable amount for 2016 from Part XI, line 7 | |
| 2 | Undistributed income, if any, as of the end of 2016 | |
| a | Enter amount for 2015 only | |
| b | Total for prior years: | |
| 3 | Excess distributions carryover, if any, to 2016: | |
| a | From 2011 | 2,338,318. |
| b | From 2012 | 2,041,947. |
| c | From 2013 | 5,424,679. |
| d | From 2014 | 15,580,049. |
| e | From 2015 | |
| f | Total of lines 3a through e | 25,3 |
| 4 | Qualifying distributions for 2016 from Part XII, line 4: $\blacktriangleright$ \$ 15,815,208. | |
| a | Applied to 2015, but not more than line 2a | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | |
| c | Treated as distributions out of corpus (Election required - see instructions) | |
| d | Applied to 2016 distributable amount | |
| e | Remaining amount distributed out of corpus | |
| 5 | Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (e)) | |
| 6 | Enter the net total of each column as indicated below: | |
| a | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | |
| d | Subtract line 6c from line 6b. Taxable amount - see instructions | |
| e | Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. | |
| f | Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 | |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | |
| 8 | Excess distributions carryover from 2011 not applied on line 5 or line 7 | |
| 9 | Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | |
| 10 | Analysis of line 9: | |
| a | Excess from 2012 | 2,338,3 |
| b | Excess from 2013 | 2,041,9 |
| c | Excess from 2014 | 5,424,6 |
| d | Excess from 2015 | 15,580,0 |
| e | Excess from 2016 | 6,449, |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b. Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE STANTON FOUNDATION

C/O BAKER TILLY

13-3598005

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Form 990-PF (2016)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
2016 LIST OF CONTRIBUTIONS (SEE ATTACHED)	NONE	501(C)(3)	CHARITABLE CONTRIBUTION	14,711,826.
Total				14,711,826.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2016)

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:							
a _____							
b _____							
c _____							
d _____							
e _____							
f _____							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments				14	119.		
4 Dividends and interest from securities				14	3,928,000.		
5 Net rental income or (loss) from real estate:							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income				01	<53,891.>		
8 Gain or (loss) from sales of assets other than inventory				18	4,321,757.		
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue:							
a _____							
b _____							
c _____							
d _____							
e _____							
12 Subtotal. Add columns (b), (d), and (e)			0.		8,195,985.	0.	
13 Total. Add line 12, columns (b), (d), and (e)						8,195,985.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF (2016)

C/O BAKER TILLY

13-3598005

Page 13

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

Date _____

Date 11/09/2017 Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

STEPHEN APONTE, CPA

11/3/17

P00532049

Firm's name ► **BAKER TILLY VIRCHOW KRAUSE, LLP**

Firm's EIN ► 39-0859910

Firm's address ► ONE PENN PLAZA, SUITE 3000
NEW YORK, NY 10119

Phone no. 212-697-6900

Form **990-PF** (2016)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JPMORGAN CHASE	119.	119.	
TOTAL TO PART I, LINE 3	119.	119.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL BANK (70-734500) - DIVIDEND	2,351,981.	1,565.	2,350,416.	2,350,416.	
CAPITAL BANK (70-734500) - INTEREST	1,120,705.	0.	1,120,705.	1,120,705.	
CAPITAL BANK (70-734500) - TAX EXEMPT INCOME	62,894.	0.	62,894.	0.	
CAPITAL BANK (70-734500) -ACCRUED INTEREST PAID	<10,887.>	0.	<10,887.>	<10,887.>	
CAPITAL BANK (70-734501) - DIVIDEND	405,574.	702.	404,872.	404,872.	
TO PART I, LINE 4	3,930,267.	2,267.	3,928,000.	3,865,106.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1 BROAD STREET FINANCIAL COMPANY (REPORTED ON 990-T) NET INCOME/(LOSS) FROM PASSTHROUGH K-1S	<53,538.>	0.	
	<353.>	<353.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<53,891.>	<353.>	

FORM 990-PF	LEGAL FEES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE	27,126.	0.		27,126.
TO FM 990-PF, PG 1, LN 16A	27,126.	0.		27,126.

FORM 990-PF	ACCOUNTING FEES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEE	10,431.	3,129.		7,302.
AUDIT FEE	21,415.	2,142.		19,273.
TO FORM 990-PF, PG 1, LN 16B	31,846.	5,271.		26,575.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEE	186,780.	0.		186,780.
TO FORM 990-PF, PG 1, LN 16C	186,780.	0.		186,780.

FORM 990-PF	TAXES		STATEMENT 7	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	85,000.	0.		0.
FOREIGN TAXES	116,606.	116,606.		0.
STATE FILING FEES	2,000.	0.		2,000.
PAYROLL TAX	19,553.	0.		19,553.
TO FORM 990-PF, PG 1, LN 18	223,159.	116,606.		21,553.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEE	870,580.	870,580.		0.
INSURANCE	12,485.	0.		12,485.
STORAGE	3,796.	0.		3,796.
IT EXPENSE	1,950.	0.		1,950.
OFFICE EXP	12,907.	0.		2,484.
PUBLIC RELATIONS	650.	0.		650.
DUES & SUBSCRIPTIONS	389.	0.		389.
POSTAGE	2,489.	0.		2,489.
SEMINAR EXPENSE	133,022.	0.		133,021.
MEALS AND ENTERTAINMENT	31,366.	0.		31,366.
MISCELLANEOUS EXPENSE	7,772.	0.		7,772.
TO FORM 990-PF, PG 1, LN 23	1,077,406.	870,580.		196,402.

FORM 990-PF

CORPORATE STOCK

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	73,844,357.	98,152,190.
TOTAL TO FORM 990-PF, PART II, LINE 10B	73,844,357.	98,152,190.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	FMV	71,590,007.	71,132,882.
AEA INVESTORS LQ LLC	FMV	31,090.	31,090.
BROAD STREET FINANCIAL COMPANY	FMV	1,600,027.	1,600,027.
TOTAL TO FORM 990-PF, PART II, LINE 13		73,221,124.	72,763,999.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	1,224.	1,224.	0.
TOTAL TO FM 990-PF, PART II, LN 14	1,224.	1,224.	0.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	13,152.	2,265.	0.
EMPLOYEE LOAN RECEIVABLE	25,104.	32,868.	0.
SECURITY DEPOSIT	22,106.	27,166.	0.
TO FORM 990-PF, PART II, LINE 15	60,362.	62,299.	0.

FORM 990-PF PAGE 1

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828111 04-01-18

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2016 Tax Information Statement

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Account Number: 70-734501
Recipient's Tax ID Number: XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax		
Cusip Number	Date Sold or Disposed	Acquired	Ordinary						Withheld	State Tax Withheld	
Short Term Sales											
2665.0 ACTIVISION BLIZZARD INC											
00507V109	05/11/2016	02/11/2016	98,886.13	80,069.12				18,817.01	0.00	0.00	
985.0 AMERISOURCEBERGEN CORP											
03073E105	05/23/2016	05/11/2016	73,077.91	74,699.45				-1,621.54	0.00	0.00	
900.0 ASTRAZENECA PLC ADR											
046353108	10/14/2016	05/11/2016	27,559.19	25,764.57				1,794.62	0.00	0.00	
1800.0 ASTRAZENECA PLC ADR											
046353108	10/14/2016	05/11/2016	55,123.79	51,529.14				3,594.65	0.00	0.00	
200.0 ASTRAZENECA PLC ADR											
046353108	10/17/2016	05/11/2016	6,054.36	5,725.46				328.90	0.00	0.00	
5440.0	ASTRAZENECA PLC ADR										
046353108	10/17/2016	05/11/2016	164,920.88	155,732.51				9,188.37	0.00	0.00	
1525.0 ASTRAZENECA PLC ADR											
046353108	11/11/2016	05/11/2016	42,824.72	43,656.63				-831.91	0.00	0.00	
1235.0 ASTRAZENECA PLC ADR											
046353108	11/11/2016	05/11/2016	34,584.18	35,354.72				-770.54	0.00	0.00	
400.0 CATERPILLAR INC											
149123101	06/02/2016	05/11/2016	29,314.16	29,017.04				297.12	0.00	0.00	

This is important tax information and is being furnished to you.

2016 Tax Information Statement

Page 8 of 36

Account Number: 70-734501
 Recipient's Tax ID Number: XX-XXX8005

Recipient's Name and Address:

THE STANTON FOUNDATION
 C/O ANDREW WEISS, TRUSTEE
 BAKER TILLY VIRCHOW KRAUSE LLP
 ONE PENN PLAZA - SUITE 3000
 NEW YORK, NY 10119

CAPITAL BANK & TRUST
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date		Proceeds	Cost or Other Basis		Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Acquired								Withheld	State Tax Withheld
1300.0	CATERPILLAR INC										
149123101	10/17/2016	05/11/2016		113,300.71	94,305.38				18,995.33	0.00	0.00
0.224	CHARTER COMMUNICATIONS INC CL A										
16119P108	05/18/2016	05/11/2016		50.31	53.75				-3.44	0.00	0.00
3800.0	COCA-COLA CO										
191216100	05/11/2016	Various		172,804.28	160,473.04				12,331.24	0.00	0.00
6100.0	COLUMBIA PIPELINE GROUP INC										
198280109	07/01/2016	05/11/2016		155,550.00	155,656.75				-106.75	0.00	0.00
4500.0	CONOCOPHILLIPS										
20825C104	07/29/2016	05/11/2016		183,144.65	196,887.60				-13,742.95	0.00	0.00
800.0	CVS HEALTH CORPORATION										
126650100	10/17/2016	Various		70,779.49	83,068.35				-12,288.86	0.00	0.00
360.0	CVS HEALTH CORPORATION										
126650100	10/17/2016	10/19/2015		31,306.17	37,271.34				-5,965.17	0.00	0.00
1100.0	DAVITA INC										
23918K108	05/11/2016	Various		83,953.13	92,234.45				-8,281.32	0.00	0.00
500.0	DISCOVER FINANCIAL SERVICES										
254709108	09/13/2016	05/11/2016		29,083.61	27,718.80				1,364.81	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734501
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BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
100.0 DISCOVER FINANCIAL SERVICES		254709108	09/13/2016	05/11/2016	5,815.37	5,543.76			271.61	0.00	0.00
800.0 DISCOVER FINANCIAL SERVICES		254709108	09/14/2016	05/11/2016	46,272.35	44,350.08			1,922.27	0.00	0.00
100.0 DISCOVER FINANCIAL SERVICES		254709108	09/15/2016	05/11/2016	5,754.70	5,543.76			210.94	0.00	0.00
700.0 DISCOVER FINANCIAL SERVICES		254709108	09/15/2016	05/11/2016	40,368.32	38,806.32			1,562.00	0.00	0.00
200.0 DISCOVER FINANCIAL SERVICES		254709108	09/16/2016	05/11/2016	11,416.17	11,087.52			328.65	0.00	0.00
100.0 DISCOVER FINANCIAL SERVICES		254709108	09/19/2016	05/11/2016	5,756.77	5,543.76			213.01	0.00	0.00
300.0 DISCOVER FINANCIAL SERVICES		254709108	09/21/2016	05/11/2016	17,179.84	16,631.28			548.56	0.00	0.00
100.0 DISCOVER FINANCIAL SERVICES		254709108	09/23/2016	05/11/2016	5,771.46	5,543.76			227.70	0.00	0.00
100.0 DISCOVER FINANCIAL SERVICES		254709108	10/05/2016	05/11/2016	5,714.89	5,543.76			171.13	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734501
Recipient's Tax ID Number: XX-XXX8005

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
100.0	DISCOVER FINANCIAL SERVICES										
254709108		10/10/2016	05/11/2016	5,679.34	5,543.76			135.58		0.00	0.00
100.0	DISCOVER FINANCIAL SERVICES										
254709108		10/12/2016	05/11/2016	5,559.17	5,543.76			15.41		0.00	0.00
1100.0	DISCOVER FINANCIAL SERVICES										
254709108		10/17/2016	05/11/2016	60,244.25	60,981.36			-737.11		0.00	0.00
300.0	DISCOVER FINANCIAL SERVICES										
254709108		10/18/2016	05/11/2016	16,458.39	16,631.28			-172.89		0.00	0.00
2400.0	DISCOVER FINANCIAL SERVICES										
254709108		10/18/2016	05/11/2016	131,542.33	133,050.24			-1,507.91		0.00	0.00
1000.0	EOG RESOURCES INC										
26875P101		05/11/2016	11/06/2015	80,302.24	84,980.20			-4,677.96		0.00	0.00
3500.0	EXXON MOBIL CORP										
30231G102		05/11/2016	Various	310,883.16	272,502.71			38,380.45		0.00	0.00
1000.0	ILLUMINA INC										
452327109		05/11/2016	09/18/2015	138,917.77	208,246.90			-69,329.13		0.00	0.00
6910.0	INVESCO LTD										
G491BT108		05/11/2016	Various	200,699.33	186,286.05			14,413.28		0.00	0.00

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2016 Tax Information Statement

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Recipient's Name and Address:

THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Account Number: 70-734501

Recipient's Tax ID Number: XX-XXX8005

Corrected ☐ FATCA ☐ 2nd TIN notice ☐

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.

Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
2700.0	LAS VEGAS SANDS CORP									
517834107		05/11/2016	05/06/2016	122,643.74	122,782.50			-138.76	0.00	0.00
300.0	LAS VEGAS SANDS CORP									
517834107		10/17/2013	05/09/2016	17,191.96	13,587.21			3,604.75	0.00	0.00
200.0	LAS VEGAS SANDS CORP									
517834107		10/17/2016	05/09/2016	11,398.59	9,058.14			2,340.45	0.00	0.00
100.0	LILLY ELI & COMPANY COMMON STOCK									
532457108		07/21/2016	07/21/2015	8,024.12	8,679.74			-655.62	0.00	0.00
900.0	MEAD JOHNSON NUTRITION CO - A									
582839106		06/24/2016	05/11/2016	78,779.78	76,755.78			2,024.00	0.00	0.00
1600.0	MICROSOFT CORPORATION COMMON STOCK									
594918104		05/11/2016	01/06/2016	81,695.65	86,699.84			-5,004.19	0.00	0.00
1400.0	MONDELEZ INTERNATIONAL INC									
609207105		05/11/2016	02/24/2016	62,138.20	56,439.46			5,698.74	0.00	0.00
2600.0	MOODYS CORP									
615369105		05/11/2016	Various	246,745.01	284,513.14			-37,768.13	0.00	0.00
1540.0	NOVO NORDISK A/S CL B ADR									
670100205		10/17/2016	05/11/2016	61,921.12	84,065.67			-22,144.55	0.00	0.00

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2200.0 OCCIDENTAL PETROLEUM CORP	674599105	05/11/2016	Various	167,564.40	155,141.24			12,423.16	0.00	0.00
2085.0 OCCIDENTAL PETROLEUM CORP	674599105	12/13/2016	Various	151,075.17	157,314.79			-6,239.62	0.00	0.00
2600.0 ROCHE HLDG LTD-SPONSORED ADR	771195104	12/21/2016	05/11/2016	73,338.16	81,406.00			-8,067.84	0.00	0.00
6200.0 ROYAL DUTCH SHELL CL B ADR	780259107	05/11/2016	Various	317,172.68	310,577.66			6,595.02	0.00	0.00
500.0 SIEMENS AG ADR	826197501	10/17/2016	05/11/2016	57,356.59	53,735.00			3,621.59	0.00	0.00
600.0 SIEMENS AG ADR	826197501	10/18/2016	05/11/2016	69,512.56	64,482.00			5,030.56	0.00	0.00
100.0 SIEMENS AG ADR	826197501	10/18/2016	05/11/2016	11,582.50	10,747.00			835.50	0.00	0.00
1970.0 UNILEVER PLC ADR AMER SHS SPON	904767704	09/27/2016	05/11/2016	93,072.98	89,884.40			3,188.58	0.00	0.00
6300.0 VIACOM INC CLASS B	92553P201	05/11/2016	Various	254,945.98	289,746.74			-34,800.76	0.00	0.00

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Account Number:

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IRVINE, CA 92618

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Description of property													
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld			
6600.0	WASTE CONNECTIONS INC (OLD)												
941053100	06/06/2016	05/11/2016	432,300.00	458,630.04			-26,330.04		0.00	0.00			
8080.0	XILINX INC												
983919101	05/11/2016	Various	348,322.00	364,909.04			-16,587.04		0.00	0.00			
Total Short Term Sales			5,133,434.71	5,240,733.75	0.00	0.00	-107,299.04		0.00	0.00			
Long Term Sales													
670.0	ACCENTURE PLC US\$												
G1151C101	10/17/2016	01/12/2012	78,719.26	35,988.38			42,730.88		0.00	0.00			
260.0	ALPHABET INC CL A												
02079K305	05/11/2016	11/03/2008	190,383.05	44,689.96			145,693.09		0.00	0.00			
100.0	ALPHABET INC CL C												
02079K107	05/11/2016	11/03/2008	71,696.43	17,186.29			54,510.14		0.00	0.00			
135.0	ALPHABET INC CL C												
02079K107	06/03/2016	11/03/2008	97,548.95	23,201.49			74,347.46		0.00	0.00			
2300.0	AMERICAN TOWER CORP												
03027X100	05/11/2016	Various	243,338.83	121,056.19			122,282.64		0.00	0.00			

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	1105.0	AMERISOURCEBERGEN CORP								
	03073E105	11/10/2016	Various	86,700.71	86,048.38			652.33	0.00	0.00
	1005.0	AMERISOURCEBERGEN CORP								
	03073E105	12/08/2015	Various	77,285.62	77,983.47			-697.85	0.00	0.00
	500.0	APPLE INC								
	037833100	05/11/2016	02/09/2010	46,308.99	13,986.16			32,322.83	0.00	0.00
	1215.0	AUTOMATIC DATA PROCESSING INC COMMON STOCK								
	053015103	09/30/2016	03/27/2014	107,324.43	81,405.82			25,918.61	0.00	0.00
	630.0	BOEING CO								
	097023105	10/18/2016	Various	85,147.18	59,774.67			25,372.51	0.00	0.00
	3000.0	BROADCOM LTD								
	Y09827109	05/11/2016	03/27/2014	431,735.58	186,332.11			245,403.47	0.00	0.00
	2500.0	CERNER CORP								
	156782104	05/11/2016	06/04/2008	135,925.78	28,673.19			107,252.59	0.00	0.00
	2400.0	CHEVRON CORP								
	166764100	05/11/2016	Various	242,729.73	296,290.89			-53,561.16	0.00	0.00
	3000.0	CME GROUP INC								
	12572Q105	05/11/2016	Various	280,921.67	243,283.10			37,638.57	0.00	0.00

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ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Account Number: 70-734501

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CAPITAL BANK & TRUST
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IRVINE, CA 92618

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Description of property												
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld		
1500.0	CROWN CASTLE INTERNATIONAL CORP											
22822V101	05/11/2016	02/10/2015	135,297.49	130,249.05			5,048.44		0.00	0.00		
1580.0	DOW CHEMICAL CO											
260543103	06/13/2016	Various	83,311.58	55,521.57			27,790.01		0.00	0.00		
2425.0	DOW CHEMICAL CO											
260543103	10/17/2016	Various	128,945.34	72,342.00			56,603.34		0.00	0.00		
1600.0	EATON CORP PLC											
G29183103	05/11/2016	12/03/2012	99,198.63	74,283.15			24,915.48		0.00	0.00		
1815.0	EATON CORP PLC											
G29183103	09/27/2016	12/03/2012	114,883.72	84,264.95			30,618.77		0.00	0.00		
1200.0	EATON CORP PLC											
G29183103	10/17/2016	12/03/2012	74,536.37	55,712.36			18,824.01		0.00	0.00		
820.0	EATON CORP PLC											
G29183103	10/17/2016	12/03/2012	51,401.64	38,070.12			13,331.52		0.00	0.00		
0.5	FORTIVE CORPORATION											
34959J108	07/05/2016	12/24/2006	25.39	8.91			16.48		0.00	0.00		
900.0	FORTIVE CORPORATION											
34959J108	07/06/2016	Various	42,970.28	19,760.76			23,209.52		0.00	0.00		

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CAPITAL BANK & TRUST
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ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Description of property

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2016 Tax Information Statement

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Account Number: 70-734501
 Recipient's Tax ID Number: XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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 THE STANTON FOUNDATION
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 BAKER TILLY VIRCHOW KRAUSE LLP
 ONE PENN PLAZA - SUITE 3000
 NEW YORK, NY 10119

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Description of property			Date		Proceeds	Cost or Other Basis		Wash-Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired									
400.0	HEXCEL CORP										
428291108	10/17/2016	06/19/2015			16,828.63	20,694.52			-3,865.89	0.00	0.00
600.0	HEXCEL CORP										
428291108	10/17/2016	06/19/2015			25,279.24	31,041.78			-5,762.54	0.00	0.00
500.0	HEXCEL CORP										
428291108	10/18/2016	06/19/2015			21,110.33	25,868.15			-4,757.82	0.00	0.00
2305.0	HEXCEL CORP										
428291108	10/18/2016	Various			97,291.93	118,942.97			-21,651.04	0.00	0.00
790.0	HOME DEPOT INC										
437076102	07/22/2016	09/14/2011			107,610.98	26,470.45			81,140.53	0.00	0.00
700.0	ILLUMINA INC										
452327109	11/11/2016	Various			96,791.91	139,686.51			-42,894.60	0.00	0.00
200.0	ILLUMINA INC										
452327109	11/14/2016	08/24/2015			27,296.88	38,609.33			-11,312.45	0.00	0.00
120.0	ILLUMINA INC										
452327109	11/15/2016	08/24/2015			16,275.05	23,165.59			-6,890.54	0.00	0.00
2600.0	INCYTE CORP										
45337C102	05/11/2016	Various			183,865.46	196,416.28			-12,550.82	0.00	0.00

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Description of property

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2016 Tax Information Statement

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NEW YORK, NY 10119

Account Number: 70-734501

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IRVINE, CA 92618

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
JABIL CIRCUIT INC	4900.0									
	466313103	05/11/2016	Various	84,344.31	81,520.62			2,823.69	0.00	0.00
LAS VEGAS SANDS CORP	3500.0									
	517834107	05/11/2016	Various	158,982.63	198,067.22			-39,084.59	0.00	0.00
LAS VEGAS SANDS CORP	3190.0									
	517834107	10/17/2016	Various	182,807.89	179,973.20			2,834.69	0.00	0.00
LIBERTY GLOBAL PLC CL A	9055.0									
	G5480U104	05/11/2016	Various	336,449.29	354,074.34			-17,625.05	0.00	0.00
LIBERTY GLOBAL PLC CL C	795.0									
	G5480U120	05/11/2016	Various	28,812.16	30,790.36			-1,978.20	0.00	0.00
LILLY ELI & COMPANY COMMON STOCK	1035.0									
	532457108	07/21/2016	07/07/2015	83,049.69	91,679.06			-8,629.37	0.00	0.00
LILLY ELI & COMPANY COMMON STOCK	1500.0									
	532457108	12/13/2016	07/07/2015	103,120.05	132,868.21			-29,748.16	0.00	0.00
LILLY ELI & COMPANY COMMON STOCK	2040.0									
	532457108	12/14/2016	Various	138,959.72	177,118.04			-38,158.32	0.00	0.00
LYONDELLBASELL INDUSTRIES NV	2315.0									
	N53745100	05/11/2016	Various	189,575.61	204,949.91			-15,374.30	0.00	0.00

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Cusip Number	Date Sold or Disposed	Date Acquired													
4580.0	MERCURY GENERAL CORP														
589400100	05/11/2016	Various	237,141.26	269,548.44						-32,407.18		0.00	0.00	0.00	0.00
1500.0	NIELSEN HOLDINGS PLC														
G6518L108	05/11/2016	Various	78,066.24	39,295.44						38,770.80		0.00	0.00	0.00	0.00
560.0	NIELSEN HOLDINGS PLC														
G6518L108	05/17/2016	09/30/2011	29,066.78	14,636.55						14,430.23		0.00	0.00	0.00	0.00
200.0	NORFOLK SOUTHERN CORPORATION COMMON STOCK														
655844108	10/17/2016	06/11/2013	18,972.02	15,486.40						3,485.62		0.00	0.00	0.00	0.00
400.0	NORFOLK SOUTHERN CORPORATION COMMON STOCK														
655844108	10/18/2016	Various	38,067.36	30,916.55						7,150.81		0.00	0.00	0.00	0.00
200.0	NORFOLK SOUTHERN CORPORATION COMMON STOCK														
655844108	10/18/2016	03/27/2012	19,129.18	13,236.56						5,892.62		0.00	0.00	0.00	0.00
3890.0	NUCOR CORP														
670346105	05/11/2016	02/11/2015	185,079.44	185,281.48						-202.04		0.00	0.00	0.00	0.00
3500.0	PACWEST BANCORP														
695263103	05/11/2016	Various	135,442.15	162,377.11						-26,934.96		0.00	0.00	0.00	0.00
100.0	PACWEST BANCORP														
695263103	10/17/2016	02/11/2015	4,148.28	4,607.64						-459.36		0.00	0.00	0.00	0.00

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	100.0	PACWEST BANCORP									
	695263103	10/17/2016	02/11/2015	4,148.90	4,607.64			-458.74		0.00	0.00
	600.0	PACWEST BANCORP									
	695263103	10/18/2016	02/11/2015	24,787.79	27,645.84			-2,858.05		0.00	0.00
	1800.0	PACWEST BANCORP									
	695263103	10/19/2016	Various	75,303.87	82,855.79			-7,551.92		0.00	0.00
	910.0	PACWEST BANCORP									
	695263103	10/20/2016	Various	38,012.51	41,524.65			-3,512.14		0.00	0.00
	8240.0	PAYCHEX INC									
	704326107	05/11/2016	Various	429,908.49	398,766.34			31,142.15		0.00	0.00
	10370.0	PFIZER INC COMMON STOCK									
	717081103	05/11/2016	Various	344,615.58	333,815.24			10,800.34		0.00	0.00
	1100.0	PNC FINANCIAL SERVICES GROUP INC									
	693475105	05/11/2016	03/23/2015	95,063.88	105,131.40			-10,067.52		0.00	0.00
	200.0	PNC FINANCIAL SERVICES GROUP INC									
	693475105	09/27/2016	Various	17,486.39	19,078.56			-1,592.17		0.00	0.00
	880.0	PNC FINANCIAL SERVICES GROUP INC									
	693475105	09/27/2016	03/19/2015	76,633.56	83,396.46			-6,762.90		0.00	0.00

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2016 Tax Information Statement

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Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

Account Number: 70-734501
Recipient's Tax ID Number: XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	285.0	PRAXAIR INC								
	74005P104	09/27/2016	09/24/2014	33,346.09	38,118.66			-4,772.57	0.00	0.00
	685.0	PRAXAIR INC								
	74005P104	11/10/2016	Various	80,112.26	88,854.96			-8,742.70	0.00	0.00
	5795.0	ROYAL CARIBBEAN CRUISES								
	V7780T103	05/11/2016	Various	435,025.21	252,801.11			182,224.10	0.00	0.00
	835.0	SCHLUMBERGER LTD								
	806857108	10/18/2016	02/22/2010	67,813.05	51,537.95			16,275.10	0.00	0.00
	700.0	SCHLUMBERGER LTD								
	806857108	10/18/2016	02/22/2010	56,959.99	43,205.47			13,754.52	0.00	0.00
	26700.0	SIRIUS XM HOLDINGS INC								
	82968B103	05/11/2016	05/01/2013	104,554.90	89,653.26			14,901.64	0.00	0.00
	1500.0	SIRIUS XM HOLDINGS INC								
	82968B103	09/26/2013	05/01/2013	6,227.71	5,036.70			1,191.01	0.00	0.00
	1700.0	SIRIUS XM HOLDINGS INC								
	82968B103	09/26/2016	05/01/2013	7,054.67	5,708.26			1,346.41	0.00	0.00
	100.0	SIRIUS XM HOLDINGS INC								
	82968B103	09/27/2016	05/01/2013	417.29	335.78			81.51	0.00	0.00

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	2200.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/27/2016	05/01/2013	9,170.49	7,387.16			1,783.33	0.00	0.00
	300.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/28/2016	05/01/2013	1,244.97	1,007.34			237.63	0.00	0.00
	100.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/28/2016	05/01/2013	416.29	335.78			80.51	0.00	0.00
	2600.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/28/2016	05/01/2013	10,824.60	8,730.28			2,094.32	0.00	0.00
	300.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/29/2016	05/01/2013	1,254.51	1,007.34			247.17	0.00	0.00
	1800.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/29/2016	05/01/2013	7,474.33	6,044.04			1,430.29	0.00	0.00
	2600.0		SIRIUS XM HOLDINGS INC							
	82968B103	09/30/2016	05/01/2013	10,852.16	8,730.28			2,121.88	0.00	0.00
	700.0		SIRIUS XM HOLDINGS INC							
	82968B103	10/03/2016	05/01/2013	2,930.62	2,350.46			580.16	0.00	0.00
	2400.0		SIRIUS XM HOLDINGS INC							
	82968B103	10/03/2016	05/01/2013	10,053.38	8,058.72			1,994.66	0.00	0.00

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
	300.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/04/2016	05/01/2013	1,251.63	1,007.34			244.29		0.00	0.00
	800.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/05/2016	05/01/2013	3,338.08	2,686.24			651.84		0.00	0.00
	1100.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/07/2016	05/01/2013	4,569.52	3,693.58			875.94		0.00	0.00
	200.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/13/2016	05/01/2013	819.38	671.56			147.82		0.00	0.00
	300.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/14/2016	05/01/2013	1,235.61	1,007.34			228.27		0.00	0.00
	2315.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/18/2016	05/01/2013	9,523.46	7,773.31			1,750.15		0.00	0.00
	1400.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/19/2016	05/01/2013	5,783.41	4,700.92			1,082.49		0.00	0.00
	1100.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/20/2016	05/01/2013	4,540.37	3,693.58			846.79		0.00	0.00
	1400.0	SIRIUS XM HOLDINGS INC									
	82968B103	10/21/2016	05/01/2013	5,786.63	4,700.92			1,085.71		0.00	0.00

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 IRVINE, CA 92618

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Description of property		Date		Proceeds		Cost or Other Basis		Wash Loss Disallowed		Net Gain or Loss		Federal Income Tax Withheld		State Tax Withheld	
Cusip Number	Date Sold or Disposed	Acquired													
2200.0	SIRIUS XM HOLDINGS INC														
82968B103	10/24/2016	05/01/2013		9,107.79		7,387.16				1,720.63		0.00		0.00	0.00
1200.0	SIRIUS XM HOLDINGS INC														
82968B103	10/24/2016	05/01/2013		4,997.89		4,029.36				968.53		0.00		0.00	0.00
2200.0	SIRIUS XM HOLDINGS INC														
82968B103	10/25/2016	05/01/2013		9,140.79		7,387.16				1,753.63		0.00		0.00	0.00
900.0	SIRIUS XM HOLDINGS INC														
82968B103	10/25/2016	05/01/2013		3,757.14		3,022.02				735.12		0.00		0.00	0.00
2800.0	SIRIUS XM HOLDINGS INC														
82968B103	10/26/2013	05/01/2013		11,705.98		9,401.84				2,304.14		0.00		0.00	0.00
2800.0	STARBUCKS CORP														
855244109	05/11/2016	Various		157,503.00		114,659.65				42,843.35		0.00		0.00	0.00
21455.0	TAIWAN SEMICONDUCTOR SPONS ADR														
874039100	05/11/2016	Various		497,886.73		504,488.77				-6,602.04		0.00		0.00	0.00
800.0	VERISIGN INC														
92343E102	05/11/2016	04/23/2014		67,850.36		40,025.68				27,824.68		0.00		0.00	0.00
100.0	VERISIGN INC														
92343E102	07/11/2016	04/23/2014		8,549.94		5,003.21				3,546.73		0.00		0.00	0.00

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Description of property		Date		Date		Proceeds	Cost or Other Basis		Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax	
Cusip Number	Date Sold or Disposed	Acquired	VERISIGN INC	VERISIGN INC	VERISIGN INC		Other Basis	U				Withheld	U	Withheld	U
100.0	VERISIGN INC														
92343E102	07/11/2016	04/23/2014			8,542.93		5,003.21				3,539.72	0.00		0.00	0.00
200.0	VERISIGN INC														
92343E102	07/12/2016	04/23/2014			16,975.50		10,006.42				6,969.08	0.00		0.00	0.00
200.0	VERISIGN INC														
92343E102	07/12/2016	Various			17,011.04		10,002.33				7,008.71	0.00		0.00	0.00
100.0	VERISIGN INC														
92343E102	07/13/2016	04/23/2014			8,455.40		4,999.12				3,456.28	0.00		0.00	0.00
100.0	VERISIGN INC														
92343E102	07/13/2016	04/23/2014			8,500.56		4,999.12				3,501.44	0.00		0.00	0.00
300.0	VERISIGN INC														
92343E102	07/14/2016	04/23/2014			25,403.74		14,997.36				10,406.38	0.00		0.00	0.00
200.0	VERISIGN INC														
92343E102	07/15/2016	04/23/2014			16,765.33		9,998.24				6,767.09	0.00		0.00	0.00
100.0	VERISIGN INC														
92343E102	07/19/2016	04/23/2014			8,307.28		4,999.12				3,308.16	0.00		0.00	0.00
100.0	VERISIGN INC														
92343E102	07/20/2016	04/23/2014			8,397.57		4,999.12				3,398.45	0.00		0.00	0.00

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost of Other Basis	Market Discount	Wash Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
VERISIGN INC	100.0									
	92343E102	07/22/2016	04/23/2014	8,348.78	4,999.12			3,349.66	0.00	0.00
VERISIGN INC	60.0									
	92343E102	07/25/2016	04/23/2014	5,019.68	2,999.47			2,020.21	0.00	0.00
VERISIGN INC	810.0									
	92343E102	08/30/2016	04/23/2014	60,251.99	40,492.87			19,759.12	0.00	0.00
VIACOM INC CLASS B	600.0									
	92553P201	09/23/2016	08/11/2015	21,314.11	27,591.24			-6,277.13	0.00	0.00
VIACOM INC CLASS B	500.0									
	92553P201	09/26/2016	08/11/2015	17,574.46	22,992.70			-5,418.24	0.00	0.00
VIACOM INC CLASS B	650.0									
	92553P201	09/26/2016	Various	22,691.20	29,687.20			-6,996.00	0.00	0.00
VISA INC CL A	1600.0									
	92826C839	05/11/2016	09/25/2014	123,991.05	84,424.08			39,566.97	0.00	0.00
XILINX INC	1020.0									
	983919101	05/11/2016	10/29/2014	43,971.34	44,367.76			-396.42	0.00	0.00
YUM BRANDS INC	2410.0									
	988498101	10/17/2016	Various	210,208.14	200,407.80			9,800.34	0.00	0.00
Total Long Term Sales				10,224,769.04	8,472,108.74	0.00	0.00	1,752,660.30	0.00	0.00

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**CAPITAL
GROUP**

PRIVATE
CLIENT
SERVICES

Detailed Holdings List by Sector

THE STANTON FOUNDATION - US EQUITY

Account Number XXXX34501

As of December 31, 2016
Reporting Currency USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
Cash and Cash Equivalents									
STATE STREET INSTITUTIONAL U S GOVERNMENT MONEY MARKET FUND ADM	1,636,386	1.00	1,636,386	1.00	1,636,386	5.0	0	53	0.0
Total Cash and Cash Equivalents			1,636,386		1,636,386	5.0	0	53	0.0
Equity									
ENERGY									
CHEVRON CORP	4,530	113.40	513,692	117.70	533,181	1.6	19,489	19,570	3.7
CONOCOPHILLIPS	4,610	42.36	208,011	50.14	246,187	0.8	38,177	4,910	2.0
ENBRIDGE INC US\$	14,365	41.63	597,980	42.12	605,054	1.9	7,074	22,855	3.8
EOG RESOURCES INC	2,620	88.02	230,614	103.10	284,882	0.9	34,268	1,755	0.7
EXXON MOBIL CORP	3,375	73.10	246,712	90.26	304,628	0.9	57,916	10,125	3.3
HALLIBURTON CO	3,100	39.02	122,824	54.09	167,679	0.5	44,855	2,232	1.3
OCCIDENTAL PETROLEUM CORP	4,250	67.85	288,371	71.23	302,728	0.9	14,358	12,920	4.3
SCHLUMBERGER LTD	6,885	66.54	391,566	83.95	494,046	1.5	102,479	11,770	2.4
TOTAL ENERGY			2,599,771		2,918,384	9.0	318,614	86,137	3.0
MATERIALS									
MONSANTO CO NEW COM	3,970	79.91	317,240	105.21	417,684	1.3	100,444	8,575	2.1
PRAXAIR INC	1,745	120.65	210,534	137.19	204,497	0.6	(6,037)	5,235	2.6
SHERWIN WILLIAMS CO	255	270.31	68,929	268.74	68,529	0.2	(400)	857	1.3
TOTAL MATERIALS			596,703		690,709	2.1	94,007	14,667	2.1
INDUSTRIALS									
BOEING CO	2,170	68.77	149,235	155.68	337,826	1.0	188,591	12,326	3.7
C H ROBINSON WORLDWIDE INC	2,240	71.52	160,209	73.28	164,102	0.5	3,893	4,032	2.5



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Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Income	Annual Yield(%)
CANADIAN NATIONAL RAILWAY CO USS	3,125	65.65	205,141	67.40	210,625	0.6	5,484	3,509	1.7
EATON CORP. PLC	4,455	46.43	206,832	67.09	298,888	0.9	92,054	10,157	3.4
GENERAL ELECTRIC CO (USD)	7,100	30.27	214,893	31.60	224,360	0.7	9,467	6,816	3.0
HEXCEL CORP	7,280	46.48	339,128	51.44	374,483	1.2	43,355	3,203	0.9
IDEX CORP	2,760	69.39	191,526	90.06	248,566	0.8	57,039	3,754	1.5
LOCKHEED MARTIN CORP	310	240.20	74,460	249.84	77,481	0.2	3,021	2,257	2.9
NIELSEN HOLDINGS PLC	4,320	25.73	111,156	41.95	181,224	0.6	70,068	5,357	3.0
NORFOLK SOUTHERN CORP	5,060	77.65	392,147	108.07	545,754	1.7	153,607	11,918	2.2
NORTHROP GRUMMAN CORP	1,060	217.75	230,813	232.58	246,535	0.8	15,722	3,816	1.6
TRANSNIGM GROUP INC	905	234.25	211,884	245.96	225,309	0.7	13,425	0	0.0
UNION PACIFIC CORP	700	84.67	59,268	103.68	72,576	0.2	13,308	1,694	2.3
WASTE CONNECTIONS INC	7,075	66.07	467,422	78.89	656,024	1.7	88,603	6,094	0.9
WASTE MANAGEMENT INC	2,685	56.47	151,628	70.91	190,393	0.6	38,766	4,403	2.3
TOTAL INDUSTRIALS			3,157,852		3,954,144	12.2	796,293	78,336	2.0
CONSUMER DISCRETIONARY									
ADVANCED AUTO PARTS INC	2,660	157.51	418,980	169.12	449,859	1.4	30,879	638	0.1
AMAZON.COM INC	680	772.97	448,325	749.87	434,925	1.3	(13,400)	710	0.0
CARNIVAL CORP COMMON PAIRED STOCK	2,500	50.36	125,903	52.06	130,150	0.4	4,248	3,500	2.7
CHARTER COMMUNICATIONS INC CL A	1,565	213.12	331,398	287.92	447,716	1.4	116,317	0	0.0
COMCAST CORP CL A (NEW)	8,030	14.15	113,595	69.05	554,472	1.7	440,876	8,833	1.6
DOLLAR GENERAL CORP	5,285	74.06	386,130	74.07	391,460	1.2	5,330	5,285	1.4
HOME DEPOT INC	675	123.04	83,050	134.08	90,504	0.3	7,454	1,863	2.1
NEWELL BRANDS INC	14,605	20.62	301,176	24.85	652,113	2.0	350,937	11,100	1.7
NIKE INC CL B	4,300	57.05	245,328	50.83	218,569	0.7	(26,759)	3,096	1.4



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Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
CONSUMER DISCRETIONARY									
NORWEGIAN CRUISE LINE HOLDINGS LTD	3,400	46.76	158,973	42.53	144,602	0.4	(14,371)	0	0.0
PANERA BREAD CO CL A	1,525	205.34	313,139	205.09	312,762	1.0	(377)	0	0.0
PRICELINE GROUP INC/THE	80	1,267.46	101,397	1,266.66	117,286	0.4	15,889	0	0.0
SCRIPPS NETWORKS INTERACTIVE CL A	2,650	48.75	129,179	71.37	189,131	0.6	59,951	2,650	1.4
STARBUCKS CORP	9,030	38.01	343,188	55.52	501,348	1.5	158,158	9,030	1.8
YUM BRANDS INC	3,270	56.22	183,826	63.33	207,089	0.6	23,263	3,924	1.9
YUM CHINA HOLDINGS INC	3,270	22.26	72,799	28.12	85,412	0.3	12,613	0	0.0
TOTAL CONSUMER DISCRETIONARY			3,756,385		4,927,394	15.2	1,171,008	49,919	1.0
CONSUMER STAPLES									
COCA-COLA CO	6,715	42.05	282,380	41.46	278,404	0.9	(3,976)	9,401	3.4
DIAGEO PLC SPONSORED ADR	4,600	109.43	503,380	103.94	478,124	1.5	(25,256)	14,334	3.0
MONDELEZ INTERNATIONAL INC	2,015	40.31	81,233	44.33	89,325	0.3	8,092	1,531	1.7
NESTLE NAM SPON ADR	4,415	70.17	309,906	71.74	316,732	1.0	6,827	8,596	2.7
PHILIP MORRIS INTERNATIONAL INC	4,970	66.10	328,523	91.49	454,705	1.4	126,182	20,675	4.6
PROCTER & GAMBLE CO	6,395	80.17	513,048	84.08	705,852	2.2	192,804	22,482	3.2
RECKITT BENCKISER GROUP PLC SPON ADR	3,900	18.50	72,169	16.80	65,520	0.2	(6,649)	1,505	2.3
UNILEVER PLC ADR AMER BHS SPON	6,630	45.63	302,504	40.70	269,841	0.8	(32,663)	9,163	3.4
TOTAL CONSUMER STAPLES			2,553,042		2,658,503	8.2	105,481	87,687	3.3
HEALTH CARE									
AETNA INC	3,390	109.51	371,251	124.01	420,394	1.3	49,143	3,390	0.8
AMERISOURCEBERGEN CORP	1,150	76.72	88,233	78.19	89,919	0.3	1,686	1,679	1.9
ASTRAZENECA PLC ADR	10,850	27.70	300,517	27.32	296,422	0.9	(4,095)	14,865	5.0
CERNER CORP	8,330	14.62	121,813	17.97	394,592	1.2	272,779	0	0.0
DANAHER CORP	4,305	30.02	129,243	77.84	335,101	1.0	205,859	2,153	0.6





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Detailed Holdings List by Sector

THE STANTON FOUNDATION - US EQUITY

Account Number: XXXX34501

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
DAVITA INC	586	83.84	49,048	84.20	37,557	0.1	(11,491)	0	0.0
EXPRESS SCRIPTS HOLDING CO	6,560	58.68	384,936	68.79	451,262	1.4	66,327	0	0.0
GILEAD SCIENCES INC	3,600	23.52	84,669	71.81	257,798	0.8	173,127	6,788	2.6
INCYTE CORP	3,170	72.86	230,982	100.27	317,856	1.0	86,874	0	0.0
JOHNSON & JOHNSON	1,095	119.09	130,408	116.21	126,155	0.4	(4,253)	3,504	2.8
MEDTRONIC PLC	6,620	79.04	523,253	71.23	471,543	1.5	(51,710)	11,386	2.4
NOVO NORDISK A/S CL B ADR	1,560	54.59	85,157	35.86	55,942	0.2	(29,216)	1,607	2.9
ULTRAGENYX PHARMACEUTICAL INC	1,105	66.16	73,101	70.31	77,693	0.2	4,591	0	0.0
UNITEDHEALTH GROUP INC	2,950	138.91	409,776	160.04	472,118	1.5	62,342	7,375	1.6
TOTAL HEALTH CARE			2,982,387		3,804,349	11.7	821,963	52,726	1.4

FINANCIALS

AON PLC	2,305	70.49	162,482	111.53	257,077	0.8	94,595	3,043	1.2
BANK OF NEW YORK MELLON CORP	3,295	39.60	130,490	47.38	156,117	0.5	25,637	2,604	1.6
CHUBB LTD	4,855	111.76	542,608	132.12	641,443	2.0	98,835	13,400	2.1
CME GROUP INC	5,080	57.85	293,879	115.35	585,978	1.8	292,099	12,182	2.1
GOLDMAN SACHS GROUP INC	1,545	89.63	138,480	239.45	369,950	1.1	231,470	4,017	1.1
JPMORGAN CHASE & CO	6,190	47.80	294,873	86.29	534,136	1.6	239,263	11,886	2.2
MARSH & MCLENNAN COS INC	10,615	41.53	440,805	67.59	717,468	2.2	276,663	14,436	2.0
MOODY'S CORP	2,190	106.58	231,218	94.27	206,451	0.6	(24,767)	3,329	1.6
NEW YORK COMMUNITY BANCORP	11,960	14.90	178,188	15.91	190,284	0.6	12,095	8,133	4.3
PNC FINANCIAL SERVICES GROUP INC	980	94.77	92,873	116.96	114,621	0.4	21,747	2,159	1.9
WELLS FARGO & CO (NEW)	10,210	51.87	529,585	55.11	562,673	1.7	33,088	15,519	2.8
TOTAL FINANCIALS			3,035,271		4,336,196	13.4	1,300,924	90,614	2.1

INFORMATION TECHNOLOGY

ACCENTURE PLC US\$	850	53.61	45,566	117.13	99,561	0.3	53,994	2,057	2.1
ALPHABET INC CL A	80	144.11	11,529	792.45	63,896	0.2	51,867	0	0.0



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As of December 31, 2016

Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Income	Annual Yield(%)
ALPHABET INC CL C	295	171.86	50,700	771.82	227,687	0.7	176,987	0	0.0
ANALOG DEVICES INC	5,430	68.21	305,226	72.02	391,327	1.2	86,100	9,122	2.3
APPLE INC	4,115	26.37	108,532	115.82	476,599	1.5	368,067	9,382	2.0
ASML HOLDING NV	1,566	103.65	162,052	112.20	175,503	0.5	13,451	1,607	0.9
AUTOMATIC DATA PROCESSING INC	5,450	77.80	423,997	102.78	560,151	1.7	136,154	12,426	2.2
BROADCOM LTD	2,575	54.82	141,419	176.77	455,183	1.4	313,764	10,508	2.3
HP INC	17,245	10.65	183,702	14.84	255,916	0.8	72,214	9,157	3.6
JABIL CIRCUIT INC	3,495	16.35	57,154	23.67	82,727	0.3	25,572	1,118	1.4
JACK HENRY & ASSOC INC	7,500	58.33	437,488	88.78	665,850	2.1	228,362	8,400	1.3
MICROSOFT CORP	11,900	37.19	442,591	82.14	739,466	2.3	296,875	18,564	2.5
TEXAS INSTRUMENTS INC	7,610	36.94	281,136	72.97	555,302	1.7	274,166	15,220	2.7
TRIMBLE INC	2,500	29.39	73,488	30.15	75,375	0.2	1,887	0	0.0
VERISIGN INC	475	49.99	23,746	76.07	36,133	0.1	12,387	0	0.0
VISA INC CL A	9,420	44.28	417,147	78.02	734,948	2.3	317,801	6,217	0.9
XILINX INC	3,180	43.37	137,914	60.37	191,977	0.6	54,063	4,198	2.2
TOTAL INFORMATION TECHNOLOGY			3,303,368		5,790,189	17.8	2,486,821	107,975	1.9
TELECOMMUNICATION SERVICES									
VERIZON COMMUNICATIONS	7,765	50.42	391,479	53.38	414,496	1.3	23,017	17,937	4.3
TOTAL TELECOMMUNICATION SERVICES			391,479		414,496	1.3	23,017	17,937	4.3
UTILITIES									
SEMPRA ENERGY	4,160	99.20	412,683	100.64	418,662	1.3	5,980	12,563	3.0
TOTAL UTILITIES			412,683		418,662	1.3	5,980	12,563	3.0



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Detailed Holdings List by Sector

THE STANTON FOUNDATION - US EQUITY
Account Number: XXXX34501

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
REAL ESTATE									
AMERICAN TOWER CORP	2,965	26.74	79,287	105.68	313,341	1.0	234,054	6,879	2.2
CROWN CASTLE INTERNATIONAL CORP	4,290	90.77	389,413	88.77	372,243	1.1	(17,170)	16,302	4.4
IRON MOUNTAIN INCORPORATED	7,510	28.19	211,673	32.48	243,925	0.8	32,252	16,522	6.8
TOTAL REAL ESTATE			680,374		929,509	2.9	249,136	39,703	4.3
Total Equity			23,469,314		30,842,535	95.0	7,373,224	638,264	2.1
Total Net Assets			25,105,700		32,478,922	100.0	7,373,224	638,317	2.0



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Portfolio Holdings Summary by Sector

THE STANTON FOUNDATION
Account Number: XXXX34500

As of December 31, 2016
Reporting Currency: USD

Description	Total Cost	Total Market Value	% of Asset Class	% of Total Net Assets
Cash and Cash Equivalents				
CASH AND CASH EQUIVALENTS	14,795,275	14,795,275	99.8	9.7
PENDING PURCHASES/SALES	31,758	31,758	0.2	0.0
Total Cash and Cash Equivalents	14,827,033	14,827,033	100.0	9.7
Fixed Income				
REAL ESTATE	179,730	181,463	0.3	0.1
ASSET BACKED	4,247,329	4,228,855	5.9	2.8
MORTGAGE BACKED	6,661,839	6,415,375	9.0	4.2
GOVERNMENT	38,818,997	38,690,975	54.4	25.2
MUNICIPALS	2,170,560	2,180,254	3.1	1.4
CORPORATE BONDS	19,511,552	19,435,959	27.3	12.7
Total Fixed Income	71,590,007	71,132,882	100.0	46.4
Equity				
ENERGY	5,031,407	5,869,488	8.7	3.8
MATERIALS	2,179,028	2,484,136	3.1	1.6
INDUSTRIALS	5,945,862	8,064,739	12.0	5.3
CONSUMER DISCRETIONARY	6,972,111	8,680,631	12.9	5.7
CONSUMER STAPLES	5,140,905	6,064,984	9.0	4.0
HEALTH CARE	4,939,127	6,341,068	9.4	4.1
FINANCIALS	7,461,664	10,386,528	15.4	6.8
INFORMATION TECHNOLOGY	7,933,047	13,866,745	20.6	8.0
TELECOMMUNICATION SERVICES	2,319,113	2,590,900	3.8	1.7
UTILITIES	1,418,341	1,455,800	2.2	0.9



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Portfolio Holdings Summary by Sector

THE STANTON FOUNDATION
Account Number: XXXX34500

As of December 31, 2016
Reporting Currency: USD

Description	Total Cost	Total Market Value	% of Asset Class	% of Total Net Assets
REAL ESTATE	1,034,440	1,504,635	2.2	1.0
Total Equity	50,375,043	67,309,655	100.0	43.9
Total Net Assets	136,792,083	153,269,569		100.0



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Detailed Holdings List by Tax Country

THE STANTON FOUNDATION
Account Number XXXX34500

As of December 31, 2016
Reporting Currency USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
Cash and Cash Equivalents									
STATE STREET INSTITUTIONAL TREAS MMKT FD PREM	7,282,138	1.00	7,282,138	1.00	7,282,138	4.8	0	590	0.0
STATE STREET INSTITUTIONAL U.S. GOVERNMENT MONEY MARKET FUND ADM	6,513,137	1.00	6,513,137	1.00	6,513,137	4.2	0	209	0.0
WELLS FARGO BANK NA CD1/18/2017	1,000,000	1.00	1,000,000	100.00	1,000,000	0.7	0	10,100	1.0
PENDING PURCHASES/SALES			31,758		31,758				
Total Cash and Cash Equivalents			14,827,033		14,827,033	9.7	0	10,899	0.1
Fixed Income									
CANADA									
ROYAL BANK OF CANADA USD SR UNSEC 1.25% 06-16-17	285,000	100.03	285,086	100.03	285,098	0.2	13	3,563	1.3
TORONTO DOMINION BANK SR UNSEC 1.45% 09-09-18	375,000	99.98	374,933	99.91	373,178	0.2	(1,755)	5,438	1.5
TORONTO DOMINION BANK SR UNSEC 1.625% 03-13-18	300,000	100.00	299,991	100.10	300,312	0.2	321	4,875	1.6
TRANS CANADA PIPELINES SR UNSEC 1.875% 01-12-18	450,000	99.88	448,578	100.16	450,707	0.3	2,129	8,438	1.9
TOTAL CANADA			1,408,587		1,409,294	0.9	708	22,313	1.6
NETHERLANDS									
RABOBANK NEDERLAND SR UNSEC 2.25% 01-14-19	250,000	99.43	248,570	100.57	251,413	0.2	2,843	5,625	2.2
SHELL INTL FINANCE SR UNSEC 1.375% 09-12-19	300,000	99.97	299,913	99.60	295,791	0.2	(4,122)	4,125	1.4
SHELL INTL FINANCE SR UNSEC 1.9% 08-10-18	265,000	99.93	264,825	100.61	266,619	0.2	1,794	5,035	1.9



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Detailed Holdings List by Tax Country

THE STANTON FOUNDATION
Account Number: XXXX34500

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Income	Annual Yield(%)
TEVA PHARMACEUTICAL SR UNSEC 1.7% 07-19-19	90,000	99.99	89,992	98.25	88,428	0.1	(1,564)	1,530	1.7
TEVA PHARMACEUTICAL SR UNSEC1.4% 07-20-18	90,000	99.91	89,923	99.19	89,267	0.1	(656)	1,260	1.4
TOTAL NETHERLANDS			993,223		991,517	0.6	(1,705)	17,575	1.8
NORWAY									
STATOIL ASA SR UNSEC 2.25% 11-08-2019	290,000	99.96	289,878	100.83	292,407	0.2	2,529	6,525	2.2
TOTAL NORWAY			289,878		292,407	0.2	2,529	6,525	2.2
SWEDEN									
SVENSKA HANDELSBANKEN AB SR UNSEC 1.50% 09-06-19	250,000	99.74	249,358	98.50	246,250	0.2	(3,108)	3,750	1.5
TOTAL SWEDEN			249,358		246,250	0.2	(3,108)	3,750	1.5
SWITZERLAND									
CREDIT SUISSE SR UNSEC 1.375% 05-26-17	300,000	99.97	299,913	100.03	300,087	0.2	174	4,125	1.4
TOTAL SWITZERLAND			299,913		300,087	0.2	174	4,125	1.4
UNITED KINGDOM									
HSBC HOLDINGS PLC SR UNSEC2.95% 05-25-21	200,000	100.87	201,734	99.96	199,924	0.1	(1,810)	5,900	3.0
TOTAL UNITED KINGDOM			201,734		199,924	0.1	(1,810)	5,900	3.0



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Account Number. XXXX34500

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UNITED STATES									
3M COMPANY SR UNSEC 1.625% 09-19-21	160,000	100.17	160,264	97.45	155,922	0.1	(4,342)	2,600	1.7
ABBVIE INC SR UNSEC 1.8% 05-14-18	300,000	99.90	299,694	100.08	300,225	0.2	531	5,400	1.8
ABBVIE INC SR UNSEC 2.5% 05-14-20	185,000	99.65	184,356	100.03	185,048	0.1	692	4,625	2.5
ACE INA HOLDINGS INC SR UNSEC 2.3% 11-03-20	70,000	99.94	69,961	99.96	69,984	0.1	23	1,610	2.3
AETNA INC SR UNSEC 1.7% 06-07-18	125,000	100.06	125,076	99.91	124,884	0.1	(192)	2,125	1.7
AETNA INC SR UNSEC 1.9% 08-07-19	110,000	100.19	110,210	99.77	109,748	0.1	(462)	2,090	1.9
AETNA INC SR UNSEC FRN (L+65) 12-08-17	300,000	100.00	300,000	100.35	301,035	0.2	1,035	4,802	1.6
AMCAR 14-3 A3 1.15% 06-10-19/06-08-17	85,997	100.00	85,994	99.96	85,960	0.1	(35)	989	1.2
AMCAR 15-3 C 2.73% 03-08-21/05-08-19	750,000	101.93	764,473	100.81	756,075	0.5	(8,398)	20,475	2.7
AMERICAN HONDA FINANCE CORP SR UNSEC 1.2% 07-12-19	500,000	99.90	499,500	98.22	491,115	0.3	(8,385)	6,000	1.2
AMERICAN HONDA FINANCE CORP SR UNSEC 1.65% 07-12-21	75,000	99.97	74,975	96.31	72,230	0.1	(2,746)	1,238	1.7
ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21	150,000	99.89	149,531	100.58	150,863	0.1	1,332	3,975	2.6
APPLE INC SR UNSEC 0.9% 05-12-17	455,000	99.93	454,686	99.98	454,927	0.3	241	4,095	0.9
APPLE INC SR UNSEC 2.0% 05-08-20	300,000	99.85	299,535	100.19	300,558	0.2	1,023	6,000	2.0
BANK OF AMERICA CORP SR UNSEC 1.25% 02-14-17	250,000	99.91	249,780	100.01	250,015	0.2	235	3,125	1.3
BANK OF AMERICA CORP SR UNSEC 1.75% 06-05-18	305,000	99.91	304,735	100.00	304,988	0.2	253	6,338	1.8
BAY AREA TOLL AUTH 06C2 CA VAR 04/01/45	400,000	100.03	400,120	100.02	400,084	0.3	(36)	5,800	1.5
BB&T CORP SR UNSEC 1.0% 04-03-17	300,000	99.72	299,166	99.97	299,919	0.2	753	3,000	1.0



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Detailed Holdings List by Tax Country

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Account Number: XXXX34500

As of December 31, 2016
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Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Income	Annual Yield(%)
BB&T CORP SR UNSEC 2.45% 01-15-20	150,000	100.76	151,136	100.78	151,176	0.1	41	3,675	2.4
BECTON DICKINSON SR UNSEC 1.8% 12-15-17	232,000	100.00	232,000	100.23	232,527	0.2	527	4,176	1.8
BERKSHIRE HATHAWAY FIN SR UNSEC 1.15% 08-15-18	185,000	99.99	184,978	99.50	184,071	0.1	(907)	2,128	1.2
BERKSHIRE HATHAWAY FIN SR UNSEC 1.3% 08-15-19	185,000	99.90	184,821	98.79	182,754	0.1	(2,066)	2,405	1.3
BERKSHIRE HATHAWAY FIN SR UNSEC 1.45% 03-07-18	215,000	99.96	214,916	100.10	215,211	0.1	295	3,118	1.5
BERKSHIRE HATHAWAY FIN SR UNSEC 1.7% 03-15-19	215,000	99.92	214,837	99.91	214,809	0.1	(28)	3,655	1.7
BOEING CO SR UNSEC 1.65% 10-30-20	85,000	98.66	83,862	98.08	83,370	0.1	(492)	1,403	1.7
BSCMS 07-PW16 A1A VAR 08-11-40/05-11-17	600,936	113.27	680,654	100.96	606,723	0.4	(73,931)	34,319	5.7
CATHOLIC HEALTH INITIATIVES TAXABLE 1.6% 11/01/2017	200,000	99.97	199,942	100.44	200,876	0.1	934	3,200	1.6
CHAIT 16-A6 A6 1.10% 01-15-20/01-16-18	410,000	99.99	409,957	99.76	409,024	0.3	(933)	4,510	1.1
CHEVRON CORP SR UNSEC FRN (L+50) 05-16-18	460,000	100.00	460,000	100.37	461,688	0.3	1,688	6,492	1.4
CISCO SYSTEMS INC SR UNSEC 1.40% 09-20-19	300,000	99.89	299,667	98.91	296,730	0.2	(2,937)	4,200	1.4
CLEVELAND OH ARPT SYS TAXABLE AMBAC 06B SF 5 239% 01-01-17	185,000	100.00	185,000	100.01	185,015	0.1	15	9,692	5.2
COCA-COLA CO SR UNSEC 1.375% 05-30-19	500,000	99.93	499,650	99.34	496,680	0.3	(2,970)	6,875	1.4
CRART 15-1 A3 1.33% 04-15-19/10-16-17	99,640	99.99	99,634	100.06	99,697	0.1	63	1,315	1.3
CRART 15-1 A4 1.82% 09-15-20/05-15-19	195,000	99.98	194,951	100.33	195,647	0.1	696	3,549	1.8



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Detailed Holdings List by Tax Country

THE STANTON FOUNDATION

Account Number: XXXX34500

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
DCENT 15-A1 A1 FRN (L 35) 08-17-20	230,000	100.00	230,000	100.15	230,347	0.2	347	2,424	1.1
DISNEY WALT CO SR UNSEC 0.875% 07-12-19	165,000	99.66	164,446	97.99	161,684	0.1	(2,762)	1,444	0.9
DISNEY WALT CO SR UNSEC 1.65% 01-08-19	195,000	99.87	194,739	100.10	195,187	0.1	449	3,218	1.7
DUKE ENERGY PROGRESS INC SR SEC 1ST LIEN FRN (L+20) 11-20-17	145,000	99.84	144,764	100.08	145,110	0.1	346	1,611	1.1
ELI LILLY & CO SR UNSEC 1.25% 03-01-18	90,000	99.91	89,921	100.02	90,022	0.1	101	1,125	1.3
EXXON MOBIL SR UNSEC FRN (L+15) 03-15-19	450,000	100.00	450,000	100.34	451,517	0.3	1,517	5,010	1.1
FGLMC 5.5 A23893 110K MAX 07-01-34	23,825	107.78	25,678	110.84	26,408		729	1,310	5.0
FHLMC 1.0% 12-15-17	600,000	99.90	599,382	100.09	600,514	0.4	1,132	6,000	1.0
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	116,791	106.28	124,127	105.10	122,745	0.1	(1,382)	3,765	3.1
FL ST BRD OF ADMIN HURRICANE TAXABLE 16A 2.163% 07-01-19	500,000	100.00	500,000	100.69	503,445	0.3	3,445	10,815	2.2
FNA 15-M13 ASQ2 (MF) 1.646% 09-25-19	200,000	101.00	202,003	99.88	199,760	0.1	(2,243)	3,292	1.7
FNCN 4.0 AB1441 09/01/20	159,841	105.86	169,207	103.05	164,722	0.1	(4,485)	6,394	3.9
FNCT 3.5 AS4449 02-01-35	884,611	105.91	936,883	103.77	917,932	0.6	(18,951)	30,961	3.4
FNCT 3.5 MA2497 01-01-36	995,155	104.36	1,038,537	103.56	1,030,599	0.7	(7,938)	34,830	3.4
FORDF 15-1 A1 1.42% 01-15-20/18	410,000	99.98	409,909	99.91	409,623	0.3	(286)	5,822	1.4
FORDF 16-3 A1 1.70% 07-15-21	375,000	99.98	374,935	98.97	371,134	0.2	(3,802)	6,375	1.7
G2RF 5.047 AG8142 06-20-84	378,370	107.09	405,184	103.30	390,845	0.3	(14,339)	19,096	4.9
G2RF 5.075 778095 02-20-64	447,998	108.93	488,000	104.33	467,383	0.3	(20,617)	22,738	4.9
G2RF 5.18 AG8139 06-20-64	505,359	108.96	550,642	104.78	529,499	0.3	(21,144)	26,178	4.9
G2RF 5.2 725891 02-20-64	187,137	107.28	200,786	102.66	192,116	0.1	(8,650)	9,731	5.1
G2RF 6.64 AA7554 07-20-64	282,944	110.63	313,029	105.26	297,825	0.2	(15,203)	18,788	6.3
G2SF 4.5 MA3036 08-20-45	883,662	107.45	949,522	106.83	944,051	0.6	(5,471)	39,765	4.2



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GENERAL ELEC CAP CORP SR UNSEC 2.3% 04/27/2017	145,000	103.52	150,107	100.38	145,554	0.1	(4,553)	3,335	2.3
GENERAL ELECTRIC CO 5.25% 12/06/17	236,000	115.75	272,003	103.59	243,446	0.2	(28,557)	12,338	5.1
GOLDMAN SACHS GROUP SR UNSEC 2.3% 12-13-19	300,000	99.93	299,775	99.89	299,661	0.2	(114)	6,900	2.3
GOLDMAN SACHS GROUP SR UNSEC FRN(L+177) 02-25-21	450,000	100.62	452,789	102.97	463,370	0.3	10,571	12,151	2.6
HOME DEPOT INC SR UNSEC FRN (L+37) 09-15-17	150,000	100.00	150,000	100.17	150,254	0.1	254	2,000	1.3
INDUSTRY CA TAL AGM TAXABLE 15A 3.139% 01-01-20	300,000	100.00	300,000	101.75	305,250	0.2	5,250	9,417	3.1
INTERAMER DEV BK 1.0% 05-13-19	460,000	99.70	458,620	98.60	453,562	0.3	(5,058)	4,600	1.0
JOHNSON & JOHNSON SR UNSEC 1.125% 03-01-19	245,000	99.99	244,971	99.20	243,033	0.2	(1,938)	2,756	1.1
JOHNSON & JOHNSON SR UNSEC 2.45% 03-01-26	120,000	99.86	119,831	95.64	114,772	0.1	(5,059)	2,940	2.6
JP MORGAN CHASE SR UNSEC 2.2% 10-22-19	305,000	101.40	309,267	100.44	306,349	0.2	(2,918)	6,710	2.2
JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17	473,262	111.85	529,351	100.68	476,495	0.3	(52,857)	27,036	5.7
JPMORGAN CHASE SR UNSEC 2.55% 03-01-21	305,000	99.93	304,771	99.69	304,042	0.2	(729)	7,778	2.6
MERCK & CO INC SR UNSEC FRN VAR 05-18-18	250,000	100.00	250,000	100.39	250,970	0.2	970	3,172	1.3
MICROSOFT CORP SR UNSEC 1.10% 08-08-19	300,000	99.90	299,691	98.66	295,965	0.2	(3,726)	3,300	1.1
MORGAN STANLEY SR UNSEC 2.45% 02-01-19	215,000	99.93	214,856	100.69	216,477	0.1	1,621	5,268	2.4



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NATIONAL RURAL UTIL SR SEC 1ST LIEN 2.3% 11-01-20	95,000	99.80	94,808	99.40	94,432	0.1	(376)	2,185	2.3
NATIONAL RURAL UTIL SR UNSEC 1.5% 11-01-19	750,000	100.00	750,000	99.16	743,708	0.5	(6,293)	11,250	1.5
NJ EDA SCH FACS TAXABLE 15YY 4.447% 06-15-20	245,000	100.00	245,000	100.88	247,411	0.2	2,411	10,895	4.4
NJ HIED STU LN 13-1A AMT 5.0% 12-01-17	525,000	102.94	540,440	102.68	539,049	0.4	(1,391)	26,250	4.9
PEFCO 1.375% 02-15-17	1,000,000	99.96	999,560	100.09	1,000,940	0.7	1,380	13,750	1.4
PEPSICO INC SR UNSEC 1.35% 10-04-19	245,000	99.99	244,963	99.24	243,136	0.2	(1,825)	3,308	1.4
PFIZER INC SR UNSEC 1.2% 08-01-18	370,000	99.93	369,730	99.74	369,031	0.2	(699)	4,440	1.2
PNC BANK NA SR UNSEC 1.45% 07-29-19	375,000	99.86	374,475	98.64	369,900	0.2	(4,575)	5,438	1.5
PNC BANK NA SR UNSEC 1.7% 12-07-18	300,000	99.82	299,754	99.82	299,457	0.2	(297)	5,100	1.7
PUB SVC EL & GAS SR SEC 1ST LIEN 1.9% 03-15-21	73,000	99.84	72,885	98.22	71,698	0.1	(1,186)	1,387	1.9
SDART 13-1 C 1.76% 01-15-19/12-15-16	126,132	100.30	126,507	100.08	126,235	0.1	(272)	2,220	1.8
SDART 13-2 C 1.95% 03-15-19/17	136,468	101.02	137,860	100.16	136,682	0.1	(1,178)	2,661	2.0
SDART 15-3 C 2.74% 01-16-21	750,000	101.89	762,656	101.00	757,500	0.5	(5,156)	20,550	2.7
SDART 15-5 A2A 1.4% 11-15-18/12-17-18	20,540	100.00	20,539	100.00	20,540	0.0	1	288	1.4
SDART 16-2 A3 1.56% 05-15-20	100,000	99.98	99,983	99.92	99,917	0.1	(66)	1,560	1.6
SDART 16-2 C 2.66% 11-15-21	70,000	99.98	69,984	100.28	70,193	0.1	208	1,862	2.7
SIMON PROPERTY GROUP SR UNSEC 2.2% 02-01-19	180,000	99.85	179,730	100.81	181,483	0.1	1,753	3,960	2.2
SOUTHERN CAL EDISON SR SEC 1ST LIEN SER 14B 1.125% 05-01-17	170,000	99.97	169,946	100.01	170,022	0.1	77	1,913	1.1
STACR 14-DN1 M1 FRN (L+100) 02-25-24	48,255	100.00	48,255	100.04	48,273	0.0	17	764	1.6



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TOYOTA MTR CREDIT SR UNSEC 1.55% 10-18-19	500,000	99.95	499,750	98.79	493,950	0.3	(5,800)	7,750	1.6
TOYOTA MTR CREDIT SR UNSEC 1.7% 02-19-19	500,000	99.99	499,940	99.88	498,420	0.3	(1,520)	8,500	1.7
UNITED HEALTHCARE GROUP SR UNSEC 1.4% 12-15-17	120,000	99.86	119,834	100.02	120,023	0.1	188	1,680	1.4
UNITED STATES TREASURY NOTE DTD 1/31/2013 0.75% 1/31/2018	1,500,000	100.21	1,503,091	99.93	1,498,890	1.0	(4,201)	13,125	0.9
US BANCORP SR UNSEC FRN (L40) 04-25-19	250,000	100.00	250,000	100.12	250,298	0.2	298	3,204	1.3
US BANK SR UNSEC 1.375% 09-11-17	300,000	99.94	299,832	100.08	300,240	0.2	408	4,125	1.4
US TREASURY I/L FRN 0 125% 04-15-20	774,143	100.03	774,337	101.04	782,186	0.5	7,848	968	0.1
US TREASURY I/L FRN 0 125% 04-15-21	2,549,700	101.50	2,586,040	100.57	2,564,284	1.7	(23,756)	3,187	0.1
US TREASURY NOTE 0.625% 06-30-18	2,250,000	99.99	2,249,736	99.98	2,235,938	1.5	(13,799)	14,063	0.6
US TREASURY NOTE 0.625% 07-31-17	5,000,000	99.81	4,990,742	99.96	4,997,850	3.3	7,108	31,250	0.6
US TREASURY NOTE 0.625% 12-31-16	1,500,000	99.85	1,497,680	100.00	1,500,000	1.0	2,320	9,375	0.6
US TREASURY NOTE 0.75% 07-15-19	1,500,000	100.02	1,500,293	98.55	1,478,205	1.0	(22,088)	11,250	0.8
US TREASURY NOTE 0.875% 05-31-18	3,500,000	99.91	3,496,855	99.81	3,493,175	2.3	(3,680)	30,625	0.9
US TREASURY NOTE 1.0% 03/31/17	1,500,000	100.90	1,513,540	100.12	1,501,880	1.0	(11,660)	15,000	1.0
US TREASURY NOTE 1.125% 06-15-18	1,500,000	100.30	1,504,453	100.12	1,501,787	1.0	(2,667)	16,875	1.1
US TREASURY NOTE 1.25% 12-15-18	1,500,000	99.99	1,499,780	100.11	1,501,635	1.0	1,855	18,750	1.3
US TREASURY NOTE 1.625% 06-30-20	1,810,000	99.90	1,808,232	100.06	1,811,167	1.2	2,935	29,413	1.6
US TREASURY NOTE 1.625% 07-31-20	4,135,000	100.54	4,157,290	99.95	4,132,899	2.7	(24,391)	67,194	1.6
US TREASURY NOTE 0.75% 02-15-19	790,000	99.50	786,054	99.00	782,132	0.5	(3,923)	5,925	0.8
US TREASURY NOTE 0.75% 08-31-18	1,000,000	99.56	995,625	99.38	993,830	0.6	(1,795)	7,500	0.8
US TREASURY NOTE 0.875% 04-15-19	1,000,000	99.66	996,641	99.12	991,152	0.6	(5,489)	8,750	0.9
US TREASURY NOTE 0.875% 05-15-19	2,500,000	99.39	2,484,668	99.04	2,476,075	1.6	(8,593)	21,875	0.9
US TREASURY NOTE 1.0% 03-15-19	2,000,000	99.93	1,998,594	99.47	1,989,380	1.3	(9,214)	20,000	1.0





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US TREASURY NOTE 1.375% 01-31-21	410,000	101.41	415,782	98.42	403,514	0.3	(12,268)	5,636	1.4
VISA INC SR UNSEC 1.2% 12-14-17	305,000	99.95	304,838	99.99	304,957	0.2	119	3,660	1.2
WELLS FARGO CO SR UNSEC 1.15% 06-02-17	300,000	99.87	299,622	99.87	299,922	0.2	300	3,450	1.2
WELLS FARGO CO SR UNSEC 1.65% 01-22-18	250,000	99.97	249,928	100.00	249,993	0.2	65	4,125	1.7
WELLS FARGO CO SR UNSEC 1.75% 05-24-18	250,000	99.86	249,893	99.52	248,793	0.2	(1,100)	4,375	1.8
WELLS FARGO CO SR UNSEC 1.8% 11-28-18	250,000	99.92	249,793	99.96	249,893	0.2	100	4,500	1.8
WELLS FARGO CO SR UNSEC 2.15% 12-06-19	250,000	99.86	249,655	99.94	249,845	0.2	190	5,375	2.2
WFNMT 15-C A 1.26% 03-15-21/05-15-17	460,000	99.99	459,946	100.06	460,281	0.3	335	5,796	1.3
TOTAL UNITED STATES			68,147,315		67,693,402	44.2	(453,915)	1,055,468	1.6
Total Fixed Income			71,590,007		71,132,882	46.4	(457,127)	1,115,656	1.6
Equity									
AUSTRALIA									
SYDNEY AIRPORT STAPLED SECURITY	31,013	4.53	140,456	4.32	134,106	0.1	(6,350)	6,940	5.2
TOTAL AUSTRALIA			140,456		134,106	0.1	(6,350)	6,940	5.2
BELGIUM									
KBC GROEP NV	4,125	63.35	261,320	61.93	255,475	0.2	(5,845)	8,685	3.4
TOTAL BELGIUM			261,320		255,475	0.2	(5,845)	8,685	3.4



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BERMUDA									
INVESCO LTD	7,175	26.69	191,514	30.34	217,690	0.1	26,176	8,036	3.7
JARDINE MATHESON HLD (SINGAPORE)	6,200	61.84	383,379	55.25	342,550	0.2	(40,829)	8,990	2.6
NORWEGIAN CRUISE LINE HOLDINGS LTD	11,100	37.62	419,856	42.53	472,083	0.3	52,227	0	0.0
YTECH HOLDINGS LTD	47,800	13.15	628,439	13.37	639,273	0.4	10,834	20,073	3.1
TOTAL BERMUDA			1,623,187		1,671,596	1.1	48,408	37,099	2.2
CANADA									
CANADIAN NATIONAL RAILWAY CO US\$	2,655	51.43	136,546	67.40	178,947	0.1	42,401	2,982	1.7
ENBRIDGE INC C\$	15,425	45.53	702,367	42.08	649,123	0.4	(53,244)	24,356	3.8
WASTE CONNECTIONS INC	3,010	74.18	223,270	78.59	236,556	0.2	13,286	2,167	0.9
TOTAL CANADA			1,062,183		1,064,626	0.7	2,443	29,505	2.8
CURACAO									
SCHLUMBERGER LTD	6,285	55.68	349,966	83.95	527,626	0.3	177,660	12,570	2.4
TOTAL CURACAO			349,966		527,626	0.3	177,660	12,570	2.4
DENMARK									
CARLSBERG A/S B	5,015	84.79	425,218	86.31	432,842	0.3	7,624	6,391	1.5
NOVO NORDISK A/S CL B	23,031	21.54	495,993	36.07	830,666	0.5	334,673	20,873	2.5
TOTAL DENMARK			921,211		1,263,508	0.8	342,297	27,264	2.2



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FINLAND									
SAMPO OYJ A SHS	9,391	27.25	255,914	44.84	421,061	0.3	165,146	21,256	5.1
TOTAL FINLAND			255,914		421,061	0.3	165,146	21,256	5.0
FRANCE									
BNP PARIBAS	16,212	48.00	778,186	63.74	1,033,418	0.7	255,232	39,425	3.8
DANONE	5,610	54.15	303,779	63.38	355,537	0.2	51,758	9,449	2.7
ESSILOR INTL	4,409	65.21	287,532	113.01	498,273	0.3	210,741	5,152	1.0
JOUECAUX SA	1,231	28.11	34,607	29.40	36,195	0.0	1,588	726	2.0
L'AIR LIQUIDE (L)	1,781	110.13	196,149	111.22	198,088	0.1	1,940	4,875	2.5
L'OREAL	3,566	125.17	446,360	182.55	650,962	0.4	204,602	11,638	1.8
LVMH MOET HENNESSY VUITTON SE	1,953	174.23	340,271	190.97	372,962	0.2	32,691	7,402	2.0
PERNOD RICARD SA	7,660	78.66	602,550	108.38	830,196	0.5	227,646	15,160	1.8
SAFRAN SA	13,144	65.22	857,199	72.03	946,751	0.6	89,552	20,341	2.2
TOTAL SA (FR)	5,690	55.97	318,484	51.29	291,840	0.2	(26,644)	14,616	5.0
TOTAL FRANCE			4,165,116		5,214,223	3.4	1,049,106	128,784	2.5
GERMANY									
BRENNTAG AG	1,890	54.70	103,392	55.66	105,199	0.1	1,807	1,990	1.9
DEUTSCHE WOHNEN AG (BEARER)	7,262	21.39	155,301	31.55	229,138	0.1	73,837	4,128	1.8
SAP SE	4,172	87.33	364,360	87.01	363,014	0.2	(1,346)	5,051	1.4
TOTAL GERMANY			623,054		697,351	0.5	74,298	11,169	1.6
HONG KONG									
AIA GROUP LTD	230,000	3.46	796,839	5.64	1,297,734	0.8	500,895	21,624	1.7
LINK REIT (REIT)	14,000	7.11	99,575	6.50	90,999	0.1	(8,576)	8,953	4.3
TOTAL HONG KONG			896,414		1,388,733	0.9	492,319	25,577	1.8



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INDIA									
HDFC BANK LTD	6,435	41.06	264,247	60.88	390,476	0.3	126,229	2,593	0.7
TOTAL INDIA			264,247		390,476	0.3	126,229	2,593	0.7
IRELAND									
ACCENTURE PLC US\$	1,580	53.55	84,606	117.13	185,065	0.1	100,460	3,824	2.1
EATON CORP PLC	10,140	48.12	487,979	67.09	680,293	0.4	192,313	23,119	3.4
MEDTRONIC PLC	3,235	75.50	244,238	71.23	230,429	0.2	(13,809)	5,564	2.4
RYANAIR HOLDINGS PLC SP ADR	1,700	73.57	125,063	83.26	141,542	0.1	16,479	0	0.0
TOTAL IRELAND			941,887		1,237,329	0.8	295,443	32,507	2.6
JAPAN									
ASAHI KASEI CORP	70,000	7.86	550,456	8.73	610,766	0.4	60,311	11,982	2.0
DENSO CORP	5,200	39.47	205,265	43.33	225,321	0.1	20,056	5,340	2.4
FANUC CO	5,100	100.44	512,229	169.58	864,877	0.6	352,648	14,060	1.6
HAMAMATSU PHOTONIC KK	18,400	17.64	324,579	26.32	484,231	0.3	159,652	5,354	1.1
HOSHIZAKI CORP	1,600	88.96	142,333	79.16	126,664	0.1	(15,669)	959	0.8
JAPAN TOBACCO INC	4,100	39.27	161,001	32.90	134,883	0.1	(26,118)	4,491	3.3
KEYENCE CORP	2,110	160.39	338,429	686.38	1,448,261	0.9	1,109,832	2,709	0.2
MURATA MANUFACTURING CO LTD	5,100	47.30	241,236	133.94	683,084	0.4	441,848	9,602	1.4
NTT DOCOMO	7,400	19.77	146,272	22.79	188,653	0.1	22,381	5,067	3.0
ORACLE CORP JAPAN	4,100	44.57	182,731	50.41	206,676	0.1	23,944	3,860	1.9
SEVEN & I HOLDING CO LTD	9,700	43.33	420,331	38.11	369,670	0.2	(50,661)	7,471	2.0
SHISEIDO CO	17,000	25.25	429,195	25.32	430,438	0.3	1,243	2,910	0.7
SMC CORP	2,700	97.78	264,010	238.82	644,816	0.4	380,806	4,622	0.7
SOFTBANK GROUP CORP	17,000	41.93	712,742	66.46	1,129,745	0.7	417,003	8,402	0.6
SYSMEX CORP	2,500	16.60	41,510	57.94	144,850	0.1	103,340	1,198	0.8
TOTAL JAPAN			4,672,938		7,672,933	5.0	3,000,616	86,026	1.1



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LUXEMBOURG									
SAMSONITE INTERNATIONAL SA	69,000	3.33	230,075	2.86	197,107	0.1	(32,967)	4,540	2.3
SES FDR CL A (PARIS)	7,069	22.18	156,752	22.03	155,700	0.1	(1,053)	9,673	6.2
TOTAL LUXEMBOURG			386,827		352,807	0.2	(34,020)	14,213	4.0
NETHERLANDS									
ABN AMRO GROUP NV CVA GDR	5,785	20.88	120,808	22.16	128,198	0.1	7,390	0	0.0
AIRBUS GROUP SE	8,049	60.37	485,906	66.15	532,480	0.3	46,574	11,016	2.1
ASML HOLDING NV	10,715	45.60	487,554	112.28	1,203,035	0.8	715,482	11,844	1.0
GEMALTO NV	5,003	68.59	343,135	57.82	289,259	0.2	(53,876)	2,475	0.9
TOTAL NETHERLANDS			1,437,403		2,152,972	1.4	715,570	25,335	1.2
NORWAY									
DNB ASA	19,300	16.09	310,471	14.86	286,756	0.2	(23,715)	10,050	3.5
TOTAL NORWAY			310,471		286,756	0.2	(23,715)	10,050	3.5
SINGAPORE									
BROADCOM LTD	4,888	41.28	201,755	176.77	864,052	0.6	662,297	19,943	2.3
SINGAPORE TELECOMM	345,700	2.71	937,488	2.52	871,503	0.6	(65,986)	41,784	4.8
TOTAL SINGAPORE			1,139,243		1,735,554	1.1	596,311	61,727	3.6
SOUTH AFRICA									
NASPERS LIMITED CL N SHS SPONSORED ADR	46,415	15.71	729,095	14.62	678,587	0.4	(50,508)	1,285	0.2
TOTAL SOUTH AFRICA			729,095		678,587	0.4	(50,508)	1,285	0.2



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Detailed Holdings List by Tax Country

THE STANTON FOUNDATION
Account Number: XXXX34500

As of December 31, 2016
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Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
SPAIN									
AMADEUS IT GROUP SA	10,016	41.75	418,136	45.45	455,199	0.3	37,063	8,805	1.9
TOTAL SPAIN			418,136		455,199	0.3	37,063	8,805	1.9
SWEDEN									
ASSA ABLOY AB CL B	27,550	8.75	241,088	18.57	511,532	0.3	270,444	8,016	1.6
MODERN TIMES GROUP B	3,630	26.98	97,877	29.65	107,616	0.1	9,739	4,584	4.3
SVENSKA HANDELSBANKEN AB CL A SHS	10,414	16.85	175,491	13.90	144,764	0.1	(30,728)	6,861	4.7
TOTAL SWEDEN			514,457		763,912	0.5	249,455	19,461	2.5
SWITZERLAND									
CHUBB LTD	2,370	82.28	194,995	132.12	313,124	0.2	118,130	6,541	2.1
COMPAGNIE FINANCIERE RICHEMONT SA REG	4,310	27.96	120,510	66.19	285,289	0.2	164,779	7,190	2.5
DKSH HOLDING LTD	2,664	79.53	211,860	68.65	182,872	0.1	(28,988)	3,399	1.9
GIVAUDAN	87	1,772.34	154,193	1,831.21	159,315	0.1	5,122	4,610	2.9
NESTLE SA REG	9,405	44.90	422,304	71.69	674,225	0.4	251,921	20,767	3.1
ROCHE HOLDING AG GENUSSCHEIN	3,583	220.38	789,835	228.26	817,886	0.5	28,232	28,481	3.5
UBS GROUP AG	11,483	20.65	237,155	15.65	179,739	0.1	(57,416)	6,758	3.8
TOTAL SWITZERLAND			2,130,652		2,612,431	1.7	481,780	77,747	3.0
TAIWAN									
TAIWAN SEMICONDUCTOR SPONS ADR	43,115	25.11	1,082,475	28.75	1,239,556	0.8	157,081	32,422	2.6
TOTAL TAIWAN			1,082,475		1,239,556	0.8	157,081	32,422	2.6



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UNITED KINGDOM									
AA PLC	35,903	5.05	181,267	3.41	122,604	0.1	(58,664)	4,028	3.3
AON PLC	2,395	49.05	117,469	111.53	267,114	0.2	149,646	3,161	1.2
ASTRAZENECA PLC (GBP)	10,345	73.17	756,931	54.70	565,864	0.4	(191,067)	25,468	4.5
BCA MARKETPLACE PLC	73,400	2.63	193,112	2.30	168,533	0.1	(24,579)	5,610	3.3
BRITISH AMERICAN TOBACCO PLC	5,815	58.14	338,076	56.97	331,267	0.2	(6,809)	11,176	3.4
DIAGEO PLC	11,355	27.48	312,189	26.01	295,297	0.2	(16,892)	8,287	2.8
IMPERIAL BRANDS PLC	9,622	29.08	279,788	43.67	420,152	0.3	140,364	18,410	4.4
LIBERTY GLOBAL PLC CL A	6,550	33.33	218,316	30.59	200,365	0.1	(17,952)	0	0.0
LIBERTY GLOBAL PLC CL C	825	33.02	27,238	29.70	24,503	0.0	(2,736)	0	0.0
LLOYDS TSB GROUP PLC	285,955	11.17	319,844	0.77	220,328	0.1	(115,515)	8,989	4.1
NATIONAL GRID PLC	17,735	5.62	99,669	11.73	208,033	0.1	108,364	9,513	4.6
NIELSEN HOLDINGS PLC	6,620	25.27	167,287	41.85	277,709	0.2	110,422	8,209	3.0
PRUDENTIAL PLC	7,800	17.70	138,086	20.06	156,450	0.1	18,364	3,789	2.4
RIO TINTO PLC REG	6,786	48.51	329,159	38.93	264,152	0.2	(65,006)	9,035	3.4
ROYAL DUTCH SHELL CL B ADR	17,575	52.02	914,262	57.97	1,018,823	0.7	104,561	66,082	6.5
SCOTTISH & SOUTHERN ENERGY	29,240	22.27	651,037	19.13	559,450	0.4	(91,587)	32,406	5.8
UNILEVER PLC	7,270	40.22	292,434	40.58	295,044	0.2	2,610	9,352	3.2
VODAFONE GROUP PLC	123,135	3.36	414,205	2.46	303,298	0.2	(110,907)	22,117	7.3
TOTAL UNITED KINGDOM			5,766,369		5,698,985	3.7	(67,383)	245,633	4.3
UNITED STATES									
ACTIVISION BLIZZARD INC	5,955	34.08	202,927	36.11	215,035	0.1	12,108	1,548	0.7
ADVANCED AUTO PARTS INC	435	165.00	71,777	169.12	73,567	0.0	1,791	104	0.1
AES CORP COM	34,855	11.88	414,167	11.62	405,015	0.3	(9,152)	16,730	4.1
AETNA INC	1,120	101.68	113,896	124.01	138,891	0.1	24,995	1,120	0.8
ALPHABET INC CL A	475	285.98	135,841	792.45	376,414	0.2	240,572	0	0.0
ALPHABET INC CL C	292	127.43	37,209	771.82	225,371	0.1	188,162	0	0.0



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AMAZON COM INC	1,175	778.94	915,250	749.87	881,097	0.6	(34,153)	0	0.0
AMERICAN TOWER CORP	5,465	26.74	146,140	105.68	577,541	0.4	431,401	12,679	2.2
ANALOG DEVICES INC	1,170	56.47	66,075	72.62	84,965	0.1	18,890	1,966	2.3
APPLE INC	7,255	48.21	349,799	115.82	840,274	0.5	490,475	16,541	2.0
AUTOMATIC DATA PROCESSING INC	1,245	63.74	79,355	102.78	127,961	0.1	48,607	2,839	2.2
BANK OF NEW YORK MELLON CORP	7,395	39.62	293,024	47.38	350,375	0.2	57,351	5,620	1.6
BOEING CO	2,910	49.06	142,763	155.68	453,029	0.3	310,266	16,529	3.7
CERNER CORP	15,676	45.65	715,548	47.37	742,525	0.5	28,977	0	0.0
CHARTER COMMUNICATIONS INC CL A	1,053	188.70	198,696	287.92	303,180	0.2	104,484	0	0.0
CHEVRON CORP	8,995	83.20	748,426	117.70	1,058,712	0.7	310,286	38,858	3.7
CME GROUP INC	10,375	65.10	675,381	115.35	1,196,756	0.8	521,375	24,900	2.1
COCA-COLA CO	6,685	42.00	280,782	41.46	277,160	0.2	(3,601)	9,359	3.4
COMCAST CORP CL A (NEW)	8,480	9.37	79,471	69.05	585,544	0.4	506,073	9,328	1.6
CONOCOPHILLIPS	1,530	44.28	67,752	50.14	76,714	0.1	8,962	1,530	2.0
CROWN CASTLE INTERNATIONAL CORP	6,995	90.55	633,423	86.77	606,956	0.4	(26,467)	26,581	4.4
DANAHER CORP	4,470	27.37	122,342	77.84	347,945	0.2	225,602	2,235	0.6
DOLLAR GENERAL CORP	2,280	69.17	157,709	74.07	168,880	0.1	11,171	2,280	1.4
DOW CHEMICAL CO	10,425	44.32	462,056	57.22	596,519	0.4	134,462	19,182	3.2
EOG RESOURCES INC	5,160	78.39	404,504	101.10	521,676	0.3	117,172	3,457	0.7
EXPRESS SCRIPTS HOLDING CO	2,565	43.11	110,585	68.79	176,446	0.1	65,862	0	0.0
EXXON MOBIL CORP	7,140	70.93	506,417	90.26	644,456	0.4	138,039	21,420	3.3
GENERAL ELECTRIC CO (USD)	6,335	30.24	191,573	31.60	200,186	0.1	8,613	8,082	3.0
GILEAD SCIENCES INC	5,065	22.85	115,722	71.61	362,705	0.2	246,982	9,522	2.6
GOLDMAN SACHS GROUP INC	3,120	67.65	211,064	239.45	747,084	0.5	536,020	8,112	1.1
HELMERICH & PAYNE	2,995	59.87	179,304	77.40	231,813	0.2	52,509	8,386	3.6
HEXCEL CORP	11,505	40.86	470,094	51.44	591,817	0.4	121,723	5,062	0.9
HP INC	17,905	10.62	190,090	14.84	265,710	0.2	75,620	9,508	3.6
IDEX CORP	2,870	44.36	127,310	90.06	258,472	0.2	131,162	3,903	1.5



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INCYTE CORP	8,585	58.22	499,843	100.27	860,818	0.8	360,975	0	0.0
JABIL CIRCUIT INC	13,520	16.52	223,407	23.67	320,018	0.2	96,611	4,326	1.4
JACK HENRY & ASSOC INC	3,850	33.03	127,148	88.78	341,803	0.2	214,655	4,312	1.3
JOHNSON & JOHNSON	920	119.09	109,567	115.21	105,993	0.1	(3,574)	2,944	2.8
JPMORGAN CHASE & CO	12,362	53.60	662,659	86.28	1,066,717	0.7	404,058	23,735	2.2
LAS VEGAS SANDS CORP	16,965	52.33	887,795	53.41	906,101	0.6	18,306	48,859	5.4
LILLY ELI & CO	4,875	81.29	396,312	73.55	358,556	0.2	(37,755)	10,140	2.8
MARSH & MCLENNAN COS INC	5,415	27.66	149,763	67.59	366,000	0.2	216,237	7,364	2.0
MICROSOFT CORP	8,045	39.48	317,617	62.14	499,916	0.3	182,299	12,550	2.5
MONDELEZ INTERNATIONAL INC	3,545	40.31	142,913	44.33	157,150	0.1	14,237	2,694	1.7
MONSANTO CO NEW COM	4,385	61.51	269,718	105.21	461,346	0.3	191,628	9,472	2.1
MOODYS CORP	5,870	100.95	592,602	94.27	553,365	0.4	(39,237)	8,822	1.6
NEW YORK COMMUNITY BANCORP	13,100	15.29	200,360	15.91	208,421	0.1	8,061	8,908	4.3
NEWELL BRANDS INC	15,165	30.77	468,551	44.85	677,117	0.4	210,566	11,525	1.7
NORFOLK SOUTHERN CORP	2,635	56.11	147,840	108.07	284,764	0.2	136,925	6,219	2.2
OCCIDENTAL PETROLEUM CORP	11,915	70.49	839,926	71.23	848,705	0.6	8,780	36,222	4.3
PANERA BREAD CO CL A	340	185.20	62,970	205.09	89,731	0.1	6,761	0	0.0
PHILIP MORRIS INTERNATIONAL INC	2,760	49.46	136,501	91.49	252,512	0.2	116,011	11,482	4.6
PNC FINANCIAL SERVICES GROUP INC	2,485	87.53	217,504	116.96	290,646	0.2	73,142	5,467	1.9
PRAXAIR INC	1,655	131.30	217,295	117.19	193,949	0.1	(23,346)	4,965	2.6
PROCTER & GAMBLE CO	1,875	78.67	147,504	84.08	157,650	0.1	10,146	5,021	3.2
ROYAL CARIBBEAN CRUISES	7,860	46.60	366,303	82.04	644,834	0.4	278,531	15,991	2.3
SCRIPPS NETWORKS INTERACTIVE CL A	1,820	39.44	71,786	71.37	129,893	0.1	58,107	1,820	1.4
SEMPRA ENERGY	2,815	90.04	253,468	100.64	283,302	0.2	29,834	8,501	3.0
STARBUCKS CORP	8,990	25.43	228,617	55.52	499,125	0.3	270,508	8,990	1.8
TEMPUR SEALY INTERNATIONAL INC	2,260	60.94	137,722	68.28	164,313	0.1	16,591	0	0.0
TEXAS INSTRUMENTS INC	11,915	45.15	537,970	72.97	869,438	0.6	331,468	23,830	2.7
TRANSDIGM GROUP INC	495	222.14	109,960	248.96	123,235	0.1	13,275	0	0.0



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UNITEDHEALTH GROUP INC	995	140.17	139,473	160.04	159,240	0.1	19,766	2,488	1.6
VERISIGN INC	1,275	48.35	61,643	76.07	96,989	0.1	35,346	0	0.0
VERIZON COMMUNICATIONS	2,205	49.16	108,407	53.38	117,703	0.1	9,296	5,094	4.3
VISA INC CLASS B	12,980	42.10	545,515	78.02	1,012,700	0.7	466,184	8,567	0.9
WASTE MANAGEMENT INC	1,745	53.33	93,056	70.91	123,738	0.1	30,682	2,862	2.3
WELLS FARGO & CO (NEW)	5,350	52.52	280,969	55.11	294,839	0.2	13,870	8,132	2.8
XILINX INC	8,770	41.05	360,013	60.37	529,445	0.3	169,432	11,576	2.2
YUM BRANDS INC	7,095	53.13	376,938	63.33	449,326	0.3	72,388	8,514	1.9
YUM CHINA HOLDINGS INC	8,180	21.71	177,551	26.12	213,662	0.1	36,111	0	0.0
TOTAL UNITED STATES			20,282,641		29,393,852	19.2	9,111,214	615,974	2.1
Total Equity			50,375,043		67,309,655	43.9	16,934,618	1,542,629	2.3
Total Net Assets			136,792,083		153,269,569	100.0	16,477,491	2,669,184	1.7

Stanton Foundation
2016 - List of donation (attachment Part XV)

Name of the Organization	Purpose of Grant	Amount
CFR	SNSF Fellowships - true up 2016-17 fellowship year	67,500 00
The Ohio State University Foundation	2016-2017 Next Gen grant 2 of 2	143,750 00
Northeastern University	State Public Records - Financial Disclosure Availability Research	8,500 00
Northeastern University	State Public Records - Financial Disclosure Availability Research - Phase II	39,933 90
Harvard University	Research Support Gift - HKS	2,850,000 00
Aspen Strategy Group	Support for summer 2016 seminar	100,000 00
RAND	2017 Military Immersion Seminars	90,000 00
The University of Virginia Law School Foundation	Stanton Series on Liberty and Security - Nachbar - Year 1	30,000 00
Virginia Polytechnic Institute & State University	VA Tech Course Development	46,200 00
MEF University	Wire Transfer to MEF University - Course Development Grant	50,000 00
University of Oslo	Wire Transfer to University of Oslo - Course Development Grant	45,000 00
Brown University	Course Development - 2016 Pollock & Smith	48,975 00
Lehigh University	Lehigh University Course Development Grant	44,639 00
Ankara University	Grant to Ankara University for Course Development program	50,000 00
FGV	Grant to FGV for Building Capacity in International Security project	160,000 00
Stimson Center	Stimson Center Course Development Grant - Lalwani	50,240 00
University of Pennsylvania - Annenberg Public Policy Center	FactCheck.org - SciCheck renewal	150,000 00
Massachusetts Institute of Technology	Nuclear Security Chair in Nuclear Science and Engineering Dept	5,000,000 00
Wiki Education Foundation	Matching Grant Funds outlined in email dated 1/13/16	9,330 00
Wiki Education Foundation	Matching Grant Funds outlined in email dated 5/9/16	25,000 00
Wiki Education Foundation	Matching Grant Funds outlined in email dated 7/7/16	31,891 79
Wiki Education Foundation	Operating Support FY17	300,000 00
Pioneer Institute	Pioneer Institute History and Civics Year 3 Funding	95,000 00
Yale Law School	Yale MFIA Clinic Grant Year 2 (split with Knight Foundation)	165,721 00
City of Boston	North End Dog Park - Design Grant	20,842 00
Town of Barnstable	Design Grant	24,854 00
Town of Ayer	Design Grant	18,900 00
Town of Danvers	Danvers Park Construction Grant	213,300 00
Town of Natick	DRS Design Grant	25,000 00
Town of West Bridgewater	Dog Park Grant - Design Grant	9,322 00
City of Greenfield	Greenfield Construction Grant	177,872 00
City of Medford	Dog Park Design Grant	24,065 00
Town of Uxbridge	Dog Park Design Grant	20,500 00
City of Chelsea	Design Grant	9,252 50
Town of Burlington	Design Grant	16,938 00
Town of Kingston	Design Grant	21,640 00
Town of Billerica	Design Grant	15,280 00
Town of Ludlow	Dog park design grant	25,000 00
Friends of Peters Park	Gift to Peters Park	5,000 00
City of Agawam	Capital Improvement Grant - 1	3,527 65
Town of Bellingham	Design Grant	12,430 00
Town of West Springfield	Design Grant	22,498 35
City of Fitchburg	Design Grant	22,920 90
City of Quincy	Design Grant	22,500 00
City of New Bedford	New Bedford Construction Grant	225,000 00
Town of Ware	Patrol School K-9 Grant	8,000 00
Town of Warren	Initial K-9 Grant	25,000 00
Town of Mendon	Patrol School K9 Unit Grant	14,000 00
Town of Uxbridge	Patrol School K9 Unit Grant	14,000 00
Town of Newburyport	Patrol School K9 Grant	14,000 00
Town of Winchendon	Patrol School K9 Grant	14,000 00
City of Chicopee	Initial Grant	25,000 00
Town of Ware	Narcotics School K-9 Grant	4,000 00
K-9 Guardian, Inc	Bite suit provided to Berkshire County Sheriff's office	1,604 00
Town of Lynnfield	K9 Grant - Narcotics Training	4,000 00
Town of Amherst	K9 Grant - Patrol Training Reimbursement	8,000 00
Town of Oxford	K9 Grant - Narcotics Training	4,000 00
Town of Dudley	Initial Grant	25,000 00
Town of Somerset	Narcotics Training K9 Grant	4,000 00

Town of Dracut	K9 Grant - Narcotics Training	4,000 00
Town of Winthrop	Narcotics School K-9 Grant	4,000 00
Town of Longmeadow	Narcotics School K9 Grant	4,000 00
Town of Littleton	Narcotics school K9 Grant	4,000 00
City of Revere	Narcotics School Reimbursement	4,000 00
City of Westfield	Westfield K9 Unit Grant - Narcotics Training Reimbursement	4,000 00
Town of Lunenburg	Lunenburg K9 Narcotics School	4,000 00
Town of Somerset	Patrol School Training K9 Grant	14,000 00
Town of Winchendon	Narcotics School K9 Grant	4,000 00
City of Chicopee	Patrol School Grant - 8 Weeks	8,000 00
City of Peabody	Initial K-9 Grant	25,000 00
Town of Seekonk	Initial Grant	25,000 00
Town of Adams	K9 Grant - secondary training	4,000 00
Town of Uxbridge	K-9 Narcotics Training	4,000 00
Town of Mendon	Narcotics School K9 Unit Grant	4,000 00
Town of Warren	Patrol School K-9 Grant	8,000 00
Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,045 61
Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,045 61
Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,045 61
Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,045 61
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Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,736 97
Cherokee County Animal Shelter	Expensing Cherokee County Grant	23,736 97
Lawrence County District Attorney's Office	Lawrence County Animal Cruelty Task Force	15,000 00
Cherokee County Animal Shelter	Doghouse Grant Program	34,756 00
Eastern District Attorney	Anti-Cruelty Task Force Grant - Year 2	120,000 00
Foundation for Individual Rights in Education, Inc	FIRE Introductory Grant	100,000 00
Sponsored Program Services Purdue University	Croney Research Evaluating rehoming practices for breeding dogs	98,839 00
The Ohio State University Foundation	Externships 2016 + discretionary funds	285,808 00
The Ohio State University Foundation	Building Preeminence in General Practice Veterinary Education FY17 grant	2,755,000 00
RCFP	Unrestricted Operating Grant 2016	25,000 00
Electronic Frontier Foundation	2015 Unrestricted Operating Grants	25,000 00
Shorenstein Center	Introductory grant	25,000 00
Electronic Frontier Foundation	Staff support Grant Year 1 (July 1, 2016 - June 30, 2017)	120 000 00

Total Contributions, Gifts, Grants paid in 2016 14,711,825.67

2016 Tax Information Statement

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number
Recipient's Tax ID Number:

70-734500
XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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187137.1816 G2RF 5 2 725891 02-20-64											
3620ACNGS		12/20/2016	03/20/2014	14,607.64	15,671.50			-1,063.86		0.00	0.00
419391 5796 G2RF 6 64 AA7554 07-20-64											
36178FMB9		01/20/2016	08/01/2014	3,210.48	3,551.28			-340.80		0.00	0.00
393824 1525 G2RF 6 64 AA7554 07-20-64											
36178FMB9		02/22/2016	08/01/2014	25,567.43	28,281.49			-2,714.06		0.00	0.00
374656 5204 G2RF 6 64 AA7554 07-20-64											
36178FMB9		03/21/2016	08/01/2014	19,167.63	21,202.34			-2,034.71		0.00	0.00
371935 3164 G2RF 6 64 AA7554 07-20-64											
36178FMB9		04/20/2016	08/01/2014	2,721.20	3,010.07			-288.87		0.00	0.00
359216 9844 G2RF 6 64 AA7554 07-20-64											
36178FMB9		05/20/2016	08/01/2014	12,718.33	14,068.43			-1,350.10		0.00	0.00
339960.355 G2RF 6.64 AA7554 07-20-64											
36178FMB9		06/20/2016	08/01/2014	19,256.63	21,300.78			-2,044.15		0.00	0.00
331881 3778 G2RF 6 64 AA7554 07-20-64											
36178FMB9		07/20/2016	08/01/2014	8,078.98	8,936.59			-857.61		0.00	0.00
318704.3391 G2RF 6 64 AA7554 07-20-64											
36178FMB9		08/22/2016	08/01/2014	13,177.04	14,575.82			-1,398.78		0.00	0.00

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624772.1866 G2RF 6.64 AA7554 07-20-64											
36178FMB9		09/20/2016	Various	6,318.25	6,990.04			-671.79		0.00	0.00
606510 0394 G2RF 6.64 AA7554 07-20-64											
36178FMB9		10/20/2016	Various	9,131.07	10,101.95			-970.88		0.00	0.00
576291 581 G2RF 6.64 AA7554 07-20-64											
36178FMB9		11/21/2016	Various	15,109.23	16,715.73			-1,606.50		0.00	0.00
565888 746 G2RF 6.64 AA7554 07-20-64											
36178FMB9		12/20/2016	Various	5,201.42	5,754.47			-553.05		0.00	0.00
919347 4762 G2SF 4.5 MA3036 08-20-45											
36179RLR8		11/21/2016	11/13/2015	33,043.17	35,505.92			-2,462.75		0.00	0.00
883661 4964 G2SF 4.5 MA3036 08-20-45											
36179RLR8		12/20/2016	11/13/2015	35,685.98	38,345.70			-2,659.72		0.00	0.00
1270 0 GEMALTO NV											
B9MS8P902		05/13/2016	Various	78,216.51	89,095.29			-10,878.78		0.00	0.00
1260 0 GILEAD SCIENCES INC											
375558103		03/29/2016	Various	14,967.20	29,787.73			-85,179.47		0.00	0.00
800 0 GILEAD SCIENCES INC											
375558103		05/12/2016	02/29/2012	66,194.55	18,277.96			47,916.59		0.00	0.00

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GOLDMAN SACHS GROUP FRN SR UNSEC (L 801 12-15-17	450000 0										
38141EC49		02/24/2016	12/11/2014	448,411.50	450,000.00			-1,588.50		0.00	0.00
GOLDMAN SACHS GROUP INC	465 0										
38141G104		06/13/2016	11/03/2008	69,179.52	41,678.42			27,501.10		0.00	0.00
GOLDMAN SACHS GROUP INC	415 0										
38141G104		12/13/2016	11/03/2008	99,270.35	37,196.86			62,073.49		0.00	0.00
GREENE KING PLC	21000 0										
BOHZP1905		05/13/2016	Various	252,147.88	281,697.42			-29,549.54		0.00	0.00
GREENE KING PLC	700 0										
BOHZP1905		10/17/2016	Various	6,202.60	9,419.55			-3,216.95		0.00	0.00
GREENE KING PLC	3300 0										
BOHZP1905		10/17/2016	Various	29,688.70	44,316.86			-14,628.16		0.00	0.00
GREENE KING PLC	4500 0										
BOHZP1905		10/18/2016	Various	40,893.62	59,215.32			-18,321.70		0.00	0.00
GREENE KING PLC	6200 0										
BOHZP1905		10/19/2016	Various	55,927.37	81,208.75			-25,281.38		0.00	0.00
GREENE KING PLC	4400 0										
BOHZP1905		10/20/2016	Various	39,534.79	57,791.62			-18,256.83		0.00	0.00

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GREENE KING PLC	4100 0	10/21/2016	02/02/2015	36,832.77	52,316.24			-15,483.47	0.00	0.00
GREENE KING PLC	1300 0	10/21/2016	02/02/2015	11,682.12	16,588.08			-4,905.96	0.00	0.00
GREENE KING PLC	1600 0	10/24/2016	Various	14,433.48	20,416.09			-5,982.61	0.00	0.00
GREENE KING PLC	1300 0	10/27/2016	Various	11,385.68	16,583.73			-5,198.05	0.00	0.00
GREENE KING PLC	2895 0	10/28/2016	Various	25,250.09	36,896.35			-11,646.26	0.00	0.00
GREENE KING PLC	1000 0	10/28/2016	01/30/2015	8,780.81	12,729.66			-3,948.85	0.00	0.00
HAMAMATSU PHOTONIC KK	16200 0	05/13/2016	Various	413,583.58	310,351.27			103,232.31	0.00	0.00
HAMAMATSU PHOTONIC KK	1100 0	09/16/2016	Various	33,141.77	20,447.14			12,694.63	0.00	0.00
HAMAMATSU PHOTONIC KK	1500 0	09/20/2016	Various	45,524.56	27,095.99			18,428.57	0.00	0.00

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1500 0 HAMAMATSU PHOTONIC KK	640587002	09/21/2016	05/14/2012	45,228.36	27,964.03			17,264.33	0.00	0.00
900 0 HAMAMATSU PHOTONIC KK	640587002	09/23/2016	Various	27,634.81	16,851.82			10,782.99	0.00	0.00
400 0 HAMAMATSU PHOTONIC KK	640587002	09/27/2016	05/08/2012	12,345.65	7,458.33			4,887.32	0.00	0.00
600 0 HAMAMATSU PHOTONIC KK	640587002	09/28/2016	Various	18,485.73	10,783.19			7,702.54	0.00	0.00
400 0 HAMAMATSU PHOTONIC KK	640587002	09/29/2016	12/17/2012	12,338.24	7,054.03			5,284.21	0.00	0.00
375000.0 HARRIS CNTY TX TOLL RD TAXABLE 12D 1 061% 08-15-16	414005PT9	08/15/2016	10/03/2012	375,000.00	375,000.00			0.00	0.00	0.00
900 0 HOME DEPOT INC	437076102	05/12/2016	Various	120,784.83	30,032.64			90,752.19	0.00	0.00
215000 0 IBM CORP SR UNSEC 0 45% 05-06-16	459200HL8	05/06/2016	05/02/2013	215,000.00	214,393.70			606.30	0.00	0.00
285 0 ILLUMINA INC	452327109	11/14/2016	08/24/2015	38,898.05	55,018.28			-16,120.23	0.00	0.00

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ILLUMINA INC	100 0										
452327109		11/15/2016	08/24/2015	13,559.50	19,304.66			-5,745.16		0.00	0.00
ILLUMINA INC	700.0										
452327109		11/15/2016	Various	94,937.81	136,027.32			-40,089.51		0.00	0.00
IMPERIAL BRANDS PLC	1000 0										
045449907		02/03/2016	01/30/2015	54,856.02	47,749.33			7,106.69		0.00	0.00
IMPERIAL BRANDS PLC	1900 0										
045449907		02/11/2016	01/30/2015	98,856.67	90,723.12			8,132.95		0.00	0.00
IMPERIAL BRANDS PLC	4970 0										
045449907		02/15/2016	01/30/2015	257,825.72	237,314.15			20,511.57		0.00	0.00
IMPERIAL BRANDS PLC	10300 0										
045449907		05/13/2016	Various	558,070.68	473,386.57			84,684.11		0.00	0.00
IMPERIAL BRANDS PLC	800 0										
045449907		10/17/2016	01/22/2015	37,618.35	36,249.16			1,369.19		0.00	0.00
IMPERIAL BRANDS PLC	1700 0										
045449907		10/17/2016	Various	79,486.65	75,837.35			3,649.30		0.00	0.00
IMPERIAL BRANDS PLC	2820.0										
045449907		10/18/2016	Various	132,655.20	105,011.27			27,643.93		0.00	0.00

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1485 0	INTERNATIONAL BUSINESS MACHINES CORP									
459200101	11/28/2016	Various	243,993.84	204,820.34			39,173.50		0.00	0.00
100 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/14/2016	04/02/2014	3,449.61	2,684.51			765.10		0.00	0.00
200 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/14/2016	04/02/2014	6,885.90	5,369.03			1,516.87		0.00	0.00
2500 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/17/2016	04/02/2014	85,770.37	67,112.82			18,657.55		0.00	0.00
1900 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/18/2016	04/02/2014	65,047.74	51,005.74			14,042.00		0.00	0.00
400 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/19/2016	04/02/2014	13,680.90	10,738.05			2,942.85		0.00	0.00
600 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/18/2016	04/02/2014	20,486.43	16,107.08			4,379.35		0.00	0.00
100 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/19/2016	04/02/2014	3,416.86	2,684.51			732.35		0.00	0.00
1100 0	IRON MOUNTAIN INCORPORATED									
46284V101	10/19/2016	04/02/2014	37,230.99	29,529.64			7,701.35		0.00	0.00

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IRON MOUNTAIN INCORPORATED	5123 0							74,765.66		0.00	0.00
10/19/2016 Various	46284V101			174,327.78	99,562.12						
JABIL CIRCUIT INC	1200 0							3,357.61		0.00	0.00
01/07/2016 Various	466313103			24,551.30	21,193.69						
JABIL CIRCUIT INC	200 0							432.18		0.00	0.00
01/11/2016 11/05/2012	466313103			3,963.64	3,531.46						
JABIL CIRCUIT INC	100.0							256.19		0.00	0.00
01/13/2016 11/05/2012	466313103			2,021.92	1,765.73						
JABIL CIRCUIT INC	700 0							1,528.32		0.00	0.00
01/14/2016 11/05/2012	466313103			13,888.44	12,380.12						
JABIL CIRCUIT INC	1000 0							2,002.82		0.00	0.00
01/26/2016 Various	466313103			19,482.04	17,479.22						
JABIL CIRCUIT INC	800 0							1,936.17		0.00	0.00
01/29/2016 11/07/2012	466313103			15,854.02	13,917.85						
JABIL CIRCUIT INC	200 0							499.68		0.00	0.00
02/01/2016 11/07/2012	466313103			3,979.14	3,479.46						
JABIL CIRCUIT INC	400 0							1,043.96		0.00	0.00
02/04/2016 11/07/2012	466313103			8,002.89	6,958.93						

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JABIL CIRCUIT INC	100 0									
	466313103	02/05/2016	11/07/2012	2,003.83	1,739.73			264.10	0.00	0.00
JABIL CIRCUIT INC	100.0									
	466313103	02/05/2016	11/07/2012	2,002.96	1,739.73			263.23	0.00	0.00
JABIL CIRCUIT INC	800.0									
	466313103	02/16/2016	Various	16,049.72	13,562.59			2,487.13	0.00	0.00
JACK HENRY & ASSOC INC	1000 0									
	426281101	02/17/2016	Various	80,052.75	33,616.95			46,435.80	0.00	0.00
JACK HENRY & ASSOC INC	1400 0									
	426281101	02/18/2016	Various	113,536.26	46,983.80			66,552.46	0.00	0.00
JARDINE MATHESON HLD (SINGAPORE)	2600 0									
	647211002	05/13/2016	Various	137,245.36	164,057.13			-26,811.77	0.00	0.00
JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17	638896 4673									
	46630VAF9	01/12/2016	04/09/2014	499.35	558.53			-59.18	0.00	0.00
JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17	638394 6483									
	46630VAF9	02/12/2016	04/09/2014	501.82	561.29			-59.47	0.00	0.00
JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17	637809 1677									
	46630VAF9	03/14/2016	04/09/2014	585.48	654.87			-69.39	0.00	0.00

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628091 669	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	04/12/2016	04/09/2014	9,717.50	10,869.17			-1,151.67		0.00	0.00	
627565 5922	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	05/12/2016	04/09/2014	526.08	588.43			-62.35		0.00	0.00	
627078 6038	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	06/13/2016	04/09/2014	486.99	544.70			-57.71		0.00	0.00	
485231.9352	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	07/12/2016	04/09/2014	141,846.67	159,657.71			-16,811.04		0.00	0.00	
483120.8535	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	08/12/2016	04/09/2014	2,111.08	2,361.28			-250.20		0.00	0.00	
474952 562	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	09/12/2016	04/09/2014	8,168.29	9,136.36			-968.07		0.00	0.00	
474435 7553	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	10/12/2016	04/09/2014	516.81	578.06			-61.25		0.00	0.00	
473783 7159	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	11/14/2016	04/09/2014	652.04	729.32			-77.28		0.00	0.00	
473262 1771	JPMCC 07-CB19 A1A VAR 02-12-49/05-12-17										
46630VAF9	12/12/2016	04/09/2014	521.54	583.35			-61.81		0.00	0.00	

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss Ordinary	Federal Income Tax Withheld	State Tax Withheld
1500 0 KEYENCE CORP										
649099009	05/13/2016	Various		920,167.55	248,545.19			671,622.36	0.00	0.00
250000 0 KINDER MORGAN ENERGY SR UNSEC 3 5% 03/01/16										
4945508G0	03/01/2016	Various		250,000.00	250,901.08			-901.08	0.00	0.00
1085 0 L'AIR LIQUIDE (L)										
B1YXBJ905	05/13/2016	04/08/2008		116,072.20	119,495.30			-3,423.10	0.00	0.00
4100 0 LIBERTY GLOBAL PLC CL A										
G5480U104	01/20/2016	Various		133,502.97	169,462.85			-35,959.88	0.00	0.00
4070 0 LIBERTY GLOBAL PLC CL A										
G5480U104	01/21/2016	Various		133,951.00	165,550.46			-31,599.46	0.00	0.00
1200 0 LIBERTY GLOBAL PLC CL A										
G5480U104	08/25/2016	04/25/2014		37,409.06	40,023.39			-2,614.33	0.00	0.00
1655 0 LIBERTY GLOBAL PLC CL A										
G5480U104	08/26/2016	04/25/2014		51,788.29	55,198.92			-3,410.63	0.00	0.00
0 142 LIBERTY LILAC GROUP CL A										
G5480U138	07/01/2016	04/25/2014		4.53	5.51			-0.98	0.00	0.00
263 309 LIBERTY LILAC GROUP CL A										
G5480U138	07/07/2016	04/25/2014		9,000.54	10,224.76			-1,224.22	0.00	0.00

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LIBERTY LILAC GROUP CL A	910 0										
G5480U138		07/08/2016	Various	31,743.84	35,315.90			-3,572.06		0.00	0.00
LIBERTY LILAC GROUP CL C	0.934										
G5480U153		07/01/2016	05/02/2014	30.10	37.11			-7.01		0.00	0.00
LIBERTY LILAC GROUP CL C	102 0										
G5480U153		07/07/2016	Various	3,539.24	4,093.04			-553.80		0.00	0.00
LILLY ELI & COMPANY COMMON STOCK	1280 0										
532457108		07/21/2016	07/02/2015	102,708.79	110,063.36			-7,354.57		0.00	0.00
LLOYDS TSB GROUP PLC	30100 0										
087061008		03/29/2016	08/15/2013	29,710.85	36,337.84			-6,626.99		0.00	0.00
LLOYDS TSB GROUP PLC	59300 0										
087061008		03/29/2016	Various	58,018.29	71,846.95			-13,828.66		0.00	0.00
LLOYDS TSB GROUP PLC	26900 0										
087061008		03/30/2016	03/31/2014	26,504.94	33,770.12			-7,265.18		0.00	0.00
LLOYDS TSB GROUP PLC	45880 0										
087061008		03/31/2016	Various	44,875.95	57,550.88			-12,674.93		0.00	0.00
LLOYDS TSB GROUP PLC	151000 0										
087061008		05/13/2016	03/31/2014	140,666.02	189,310.77			-48,644.75		0.00	0.00

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L'OREAL	2611 0										
L'OREAL	405780909	05/13/2016	Various	464,378.64	448,448.12			15,930.52		0.00	0.00
L'OREAL	65 0										
L'OREAL	405780909	09/15/2016	09/26/2014	12,071.93	10,252.00			1,819.93		0.00	0.00
L'OREAL	370 0										
L'OREAL	405780909	09/15/2016	09/26/2014	68,365.40	58,357.54			10,007.86		0.00	0.00
LYONDELLBASELL INDUSTRIES NV	900 0										
LYONDELLBASELL INDUSTRIES NV	N53745100	10/18/2016	02/09/2015	72,972.38	79,516.26			-6,543.88		0.00	0.00
LYONDELLBASELL INDUSTRIES NV	1505 0										
LYONDELLBASELL INDUSTRIES NV	N53745100	10/18/2016	02/09/2015	121,480.35	132,968.86			-11,488.51		0.00	0.00
MARKS & SPENCER GROUP PLC	22900 0										
MARKS & SPENCER GROUP PLC	312748908	05/13/2016	Various	139,009.87	169,517.73			-30,507.86		0.00	0.00
MARKS & SPENCER GROUP PLC	21600 0										
MARKS & SPENCER GROUP PLC	312748908	10/17/2016	Various	85,419.42	158,119.56			-72,700.14		0.00	0.00
MARKS & SPENCER GROUP PLC	1200 0										
MARKS & SPENCER GROUP PLC	312748908	10/17/2016	02/04/2015	4,743.58	8,779.47			-4,035.89		0.00	0.00
MARKS & SPENCER GROUP PLC	13400 0										
MARKS & SPENCER GROUP PLC	312748908	10/17/2016	02/04/2015	53,205.74	98,037.37			-44,831.63		0.00	0.00

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200.0 MARSH & MCLENNAN COMPANIES INC COMMON STOCK										
571748102	10/17/2016	09/06/2011	13,136.21	5,688.22			7,447.99		0.00	0.00
1100.0 MARSH & MCLENNAN COMPANIES INC COMMON STOCK										
571748102	10/17/2016	09/06/2011	72,175.58	31,285.21			40,890.37		0.00	0.00
300.0 MARSH & MCLENNAN COMPANIES INC COMMON STOCK										
571748102	10/17/2016	09/06/2011	19,737.43	8,532.33			11,205.10		0.00	0.00
2800.0 MARSH & MCLENNAN COMPANIES INC COMMON STOCK										
571748102	10/18/2016	09/06/2011	182,941.01	79,635.08			103,305.93		0.00	0.00
335.0 MARSH & MCLENNAN COMPANIES INC COMMON STOCK										
571748102	10/19/2016	Various	21,856.39	9,522.57			12,333.82		0.00	0.00
17484.0 MEGGITT PLC										
057580003	05/13/2016	Various	101,528.29	140,273.21			-38,744.92		0.00	0.00
400.0 MERCURY GENERAL CORP										
589400100	10/17/2016	01/30/2015	21,905.28	22,839.86			-934.68		0.00	0.00
300.0 MERCURY GENERAL CORP										
589400100	10/18/2016	01/30/2015	16,254.36	17,129.97			-875.61		0.00	0.00
100.0 MERCURY GENERAL CORP										
589400100	10/19/2016	01/30/2015	5,397.05	5,709.99			-312.94		0.00	0.00

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Description of property										Federal Income Tax Withheld	State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary			
300 0	MERCURY GENERAL CORP										
589400100	10/20/2016	01/30/2015	15,672.76	17,129.97			-1,457.21			0.00	0.00
300 0	MERCURY GENERAL CORP										
589400100	10/21/2016	01/30/2015	15,355.52	17,129.97			-1,774.45			0.00	0.00
600 0	MERCURY GENERAL CORP										
589400100	10/24/2016	01/30/2015	31,085.08	34,259.94			-3,174.86			0.00	0.00
400.0	MERCURY GENERAL CORP										
589400100	10/24/2016	01/30/2015	20,749.46	22,839.96			-2,090.50			0.00	0.00
700 0	MERCURY GENERAL CORP										
589400100	10/25/2016	01/30/2015	36,119.28	39,969.93			-3,850.65			0.00	0.00
300.0	MERCURY GENERAL CORP										
589400100	10/26/2016	01/30/2015	15,405.35	17,129.97			-1,724.62			0.00	0.00
200.0	MERCURY GENERAL CORP										
589400100	10/27/2016	01/30/2015	10,204.01	11,419.98			-1,215.97			0.00	0.00
100.0	MERCURY GENERAL CORP										
589400100	10/28/2016	Various	5,074.98	5,688.12			-613.14			0.00	0.00
755 0	MERCURY GENERAL CORP										
589400100	10/31/2016	02/09/2015	41,248.37	42,904.01			-1,655.64			0.00	0.00

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200 0	MERCURY GENERAL CORP										
589400100	10/31/2016	02/09/2015	10,999.84	11,365.30			-365.46		0.00	0.00	
100.0	MERCURY GENERAL CORP										
589400100	10/31/2016	02/09/2015	5,496.92	5,682.65			-185.73		0.00	0.00	
3255 0	MICROSOFT CORPORATION COMMON STOCK										
594918104	05/31/2016	12/24/2006	169,600.67	96,738.60			72,862.07		0.00	0.00	
144966 7738	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	01/12/2016	Various	72.24	79.37			-7.13		0.00	0.00	
138657 0592	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	02/12/2016	Various	3,154.86	3,465.69			-310.83		0.00	0.00	
138485 9248	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	03/14/2016	Various	85.57	93.99			-8.42		0.00	0.00	
138346 4782	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	04/12/2016	Various	69.72	76.59			-6.87		0.00	0.00	
138190 0688	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	05/12/2016	Various	78.20	85.91			-7.71		0.00	0.00	
128188.495	MLCFC 06-4 A1A VAR 12-12-49/11-12-16										
55312VAG3	06/13/2016	Various	5,000.79	5,493.48			-492.70		0.00	0.00	

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128047.2628 MLCFC 06-4 A1A VAR 12-12-49/11-12-16	55312VAG3	07/12/2016	Various	70.62	77.57			-6.95		0.00	0.00
185000.0 MLCFC 06-4 A1A VAR 12-12-49/11-12-16	55312VAG3	08/12/2016	Various	64,023.63	70,331.49			-6,307.86		0.00	0.00
305000.0 MORGAN STANLEY SR UNSEC 3 8% 04/29/16	61747YDD4	01/25/2016	11/22/2011	307,296.65	271,361.55			35,935.10		0.00	0.00
6025.0 MOSAIC CO/THE	61945C103	02/18/2016	Various	155,353.65	320,768.50			-165,414.85		0.00	0.00
216551.6425 MSC 06-IQ12 A1A 5.319% 12-15-43/16	61750WAS2	01/15/2016	10/02/2013	1,195.34	1,316.27			-120.93		0.00	0.00
216331.2582 MSC 06-IQ12 A1A 5.319% 12-15-43/16	61750WAS2	02/16/2016	10/02/2013	220.38	242.68			-22.30		0.00	0.00
216073.5456 MSC 06-IQ12 A1A 5.319% 12-15-43/16	61750WAS2	03/15/2016	10/02/2013	257.71	283.79			-26.08		0.00	0.00
212257.0843 MSC 06-IQ12 A1A 5.319% 12-15-43/16	61750WAS2	04/15/2016	10/02/2013	3,816.46	4,202.58			-386.12		0.00	0.00
212023.6804 MSC 06-IQ12 A1A 5.319% 12-15-43/16	61750WAS2	05/16/2016	10/02/2013	233.40	257.02			-23.62		0.00	0.00

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198108 0799 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	06/15/2016	10/02/2013	13,915.60	15,323.47			-1,407.87		0.00	0.00
181112 6454 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	07/15/2016	10/02/2013	16,995.43	18,714.89			-1,719.46		0.00	0.00
169020 791 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	08/15/2016	10/02/2013	12,091.85	13,315.21			-1,223.36		0.00	0.00
115664 9187 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	09/15/2016	10/02/2013	53,355.87	58,753.98			-5,398.11		0.00	0.00
10934 0866 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	10/17/2016	10/02/2013	104,730.83	115,326.63			-10,595.80		0.00	0.00
340000 0 MSC 06-IQ12 A1A 5 319% 12-15-43/16	61750WAS2	11/15/2016	10/02/2013	10,934.09	12,040.31			-1,106.22		0.00	0.00
4000 0 MURATA MANUFACTURING CO LTD	661040006	05/13/2016	Various	465,140.47	439,569.46			25,571.01		0.00	0.00
31500 0 NATIONAL GRID PLC	B08SNH908	05/13/2016	Various	454,696.47	399,168.66			55,527.81		0.00	0.00
16000 0 NATIONAL GRID PLC	B08SNH908	10/18/2016	Various	209,073.62	164,921.01			44,152.61		0.00	0.00

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4565 0 NATIONAL GRID PLC										
B08SNH908	10/19/2015	Various		59,347.13	42,050.89			17,296.24	0.00	0.00
535.0 NESTLE S A SPONSORED ADR REPST REG SHARES										
641069406	05/12/2016	12/24/2006		40,022.47	19,019.25			21,003.22	0.00	0.00
9100.0 NESTLE SA REG										
712387901	05/13/2016	Various		673,893.83	551,734.38			122,159.45	0.00	0.00
2578.0 NESTLE SA REG										
712387901	10/18/2016	09/11/2015		194,549.35	191,885.74			2,663.61	0.00	0.00
3000 0 NIELSEN HOLDINGS PLC										
G6518L108	01/07/2016	Various		135,802.69	102,307.50			33,495.19	0.00	0.00
200 0 NIELSEN HOLDINGS PLC										
G6518L108	01/07/2016	08/23/2013		9,059.95	6,815.24			2,244.71	0.00	0.00
10900.0 NIELSEN HOLDINGS PLC										
G6518L108	01/07/2016	Various		493,606.14	309,643.90			183,962.24	0.00	0.00
4320.0 NIELSEN HOLDINGS PLC										
G6518L108	01/08/2016	Various		195,542.92	113,724.72			81,818.21	0.00	0.00
525000 0 NJ TRANSIT TRUST 13B TAXABLE 1 087% 12-15-16										
646136K75	12/15/2016	04/17/2013		525,000.00	525,000.00			0.00	0.00	0.00

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NORFOLK SOUTHERN CORPORATION COMMON STOCK	700.0										
	655844108	10/17/2016	Various	66,402.09	37,293.17			29,108.92		0.00	0.00
NORFOLK SOUTHERN CORPORATION COMMON STOCK	930.0										
	655844108	10/18/2016	07/09/2009	88,506.64	33,813.22			54,693.42		0.00	0.00
NOVARTIS AG NAMEN	5637.0										
	710306903	05/13/2016	Various	416,760.57	550,119.24			-133,358.67		0.00	0.00
NOVARTIS AG NAMEN	2205.0										
	710306903	06/14/2016	Various	169,431.68	121,218.82			48,212.86		0.00	0.00
NOVARTIS AG NAMEN	5065.0										
	710306903	06/20/2016	07/28/2010	400,898.67	251,802.85			149,095.82		0.00	0.00
NOVARTIS AG NAMEN	922.0										
	710306903	08/19/2016	Various	74,922.29	50,633.62			24,288.67		0.00	0.00
NOVARTIS AG NAMEN	2593.0										
	710306903	10/18/2016	10/27/2011	195,873.54	151,484.01			44,389.53		0.00	0.00
NOVO NORDISK A/S CL B	17161.0										
	ACI07GG13	05/13/2016	10/04/2013	912,950.27	574,157.25			338,793.02		0.00	0.00
NUCOR CORP	800.0										
	670346105	10/18/2016	02/11/2015	37,493.66	38,104.16			-610.50		0.00	0.00

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2016 Tax Information Statement

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number
Recipient's Tax ID Number

70-734500
XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address.
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
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NUCOR CORP	3240 0										
670346105		10/18/2016	Various	152,246.54	154,029.95			-1,783.41		0.00	0.00
OESTERREICHISCHE KONTROL 0 75% 12-15-16	805000 0										
676167BJ7		12/15/2016	10/29/2013	805,000.00	804,484.80					0.00	0.00
ORACLE CORP	5705.0										
68389X105		01/05/2016	09/17/2010	203,220.33	155,930.77			515.20		0.00	0.00
PACWEST BANCORP	100 0										
695263103		10/17/2016	02/25/2015	4,183.02	4,562.50			-47,289.56		0.00	0.00
PACWEST BANCORP	500.0										
695263103		10/17/2016	02/25/2015	20,741.44	22,812.50			-379.48		0.00	0.00
PACWEST BANCORP	200 0										
695263103		10/17/2016	02/25/2015	8,297.81	9,125.00			-2,071.06		0.00	0.00
PACWEST BANCORP	1300 0										
695263103		10/18/2016	Various	53,706.89	59,070.20			-827.19		0.00	0.00
PACWEST BANCORP	5175 0										
695263103		10/19/2016	Various	216,498.64	215,841.17			-5,363.31		0.00	0.00
PAYCHEX INC	1000 0										
704326107		10/14/2016	02/09/2015	56,240.37	47,501.00			657.47		0.00	0.00
								8,739.37		0.00	0.00

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800 0 PAYCHEX INC								
704326107 10/17/2016 02/09/2015		44,543.18	38,000.80			6,542.38	0.00	0.00
200 0 PAYCHEX INC								
704326107 10/17/2016 02/09/2015		11,233.75	9,500.20			1,733.55	0.00	0.00
3300.0 PAYCHEX INC								
704326107 10/17/2016 Various		183,689.50	156,234.60			27,454.90	0.00	0.00
100 0 PAYCHEX INC								
704326107 10/17/2016 02/05/2015		5,562.37	4,723.50			838.87	0.00	0.00
1501 0 PAYCHEX INC								
704326107 10/18/2016 02/05/2015		84,033.60	70,899.74			13,133.86	0.00	0.00
1000 0 PAYCHEX INC								
704326107 10/18/2016 02/05/2015		55,862.28	47,235.00			8,627.28	0.00	0.00
649 0 PAYCHEX INC								
704326107 10/18/2016 02/05/2015		36,419.79	30,655.51			5,764.28	0.00	0.00
330000 0 PEPSICO INC SR UNSEC 2 5% 05/10/16								
713448BT4 05/10/2016 05/03/2011		330,000.00	329,693.10			306.90	0.00	0.00
4774.0 PERNOD RICARD SA								
468232004 05/13/2016 Various		512,127.90	562,040.26			-49,912.36	0.00	0.00

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100 0 PFIZER INC COMMON STOCK	717081103	10/17/2016	02/04/2015	3,253.67	3,203.06			50.61		0.00	0.00
10665 0 PFIZER INC COMMON STOCK	717081103	10/17/2016	Various	347,184.03	341,569.63			5,614.40		0.00	0.00
465 0 PHILIP MORRIS INTERNATIONAL INC	718172109	10/17/2016	02/24/2010	44,398.72	23,183.69			21,215.03		0.00	0.00
800 0 PNC FINANCIAL SERVICES GROUP INC	693475105	06/13/2016	03/19/2015	69,232.01	75,814.96			-6,582.95		0.00	0.00
3565 0 PROCTER & GAMBLE COMPANY COMMON STOCK	742718109	10/17/2016	Various	313,443.65	272,835.60			40,608.05		0.00	0.00
2405 0 PROCTER & GAMBLE COMPANY COMMON STOCK	742718109	11/29/2016	Various	199,234.74	181,803.36			17,431.38		0.00	0.00
4400 0 PRUDENTIAL PLC	070995006	06/15/2016	12/01/2014	74,947.73	107,190.86			-32,243.13		0.00	0.00
2600 0 PRUDENTIAL PLC	070995006	06/16/2016	Various	44,045.96	62,918.55			-18,872.59		0.00	0.00
1100 0 PRUDENTIAL PLC	070995006	06/16/2016	Various	18,689.34	26,366.42			-7,677.08		0.00	0.00

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2225 0 PRUDENTIAL PLC	070995006	06/17/2016	Various	39,054.33	53,332.07			-14,277.74		0.00	0.00
370000 0 RIO TINTO FINANCE SR UNSEC 1 375% 06-17-16	76720AAL0	06/17/2016	06/14/2013	370,000.00	368,919.60			1,080.40		0.00	0.00
554 0 ROCHE HOLDING AG GENUSSCHEIN	711038901	05/13/2016	08/22/2014	137,690.23	160,903.51			-23,213.28		0.00	0.00
250000 0 ROYAL BK OF SCOTLAND SR UNSEC 4 375% 03/16/16	78009PCC3	03/16/2016	04/25/2012	250,000.00	254,307.50			-4,307.50		0.00	0.00
7521 0 SAFRAN SA	8058TZ909	05/13/2016	Various	499,488.68	513,576.85			-14,088.17		0.00	0.00
15585 0 SAMPO OYJ A SHS	522603901	05/13/2016	Various	675,982.39	652,759.04			23,223.35		0.00	0.00
200 0 SAMPO OYJ A SHS	522603901	07/15/2016	Various	8,326.44	5,442.45			2,883.99		0.00	0.00
383 0 SAMPO OYJ A SHS	522603901	07/18/2016	01/27/2012	15,936.60	10,384.15			5,552.45		0.00	0.00
340 0 SAMPO OYJ A SHS	522603901	07/19/2016	01/27/2012	13,933.65	9,218.31			4,715.34		0.00	0.00

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CAPITAL BANK & TRUST
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892 0	SAMPO OYJ A SHS									
522603901	07/20/2016	01/27/2012	37,077.05	24,184.50			12,892.55		0.00	0.00
423 0	SAMPO OYJ A SHS									
522603901	07/20/2016	01/27/2012	17,550.05	11,468.66			6,081.39		0.00	0.00
131 0	SAMPO OYJ A SHS									
522603901	07/21/2016	01/27/2012	5,461.73	3,551.76			1,909.97		0.00	0.00
326 0	SAMPO OYJ A SHS									
522603901	07/21/2016	01/27/2012	13,539.55	8,838.73			4,700.82		0.00	0.00
180 0	SAMPO OYJ A SHS									
522603901	07/22/2016	01/27/2012	7,394.03	4,880.28			2,513.75		0.00	0.00
68 0	SAMPO OYJ A SHS									
522603901	07/22/2016	01/27/2012	2,798.07	1,843.66			954.41		0.00	0.00
390 0	SAMPO OYJ A SHS									
522603901	07/25/2016	Various	16,135.45	10,573.94			5,561.51		0.00	0.00
145 0	SAMPO OYJ A SHS									
522603901	07/26/2016	01/27/2012	6,006.07	3,931.34			2,074.73		0.00	0.00
2747 0	SAMPO OYJ A SHS									
522603901	10/17/2016	Various	125,022.39	77,891.72			47,130.67		0.00	0.00

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SAMPO OYJ A SHS	4009 0	10/17/2016	10/31/2011	182,311.53	112,657.42			69,654.11		0.00	0.00
SAMPO OYJ A SHS	522603901	10/18/2016	10/31/2011	5,608.72	3,456.44			2,152.28		0.00	0.00
SAMPO OYJ A SHS	123 0	10/18/2016	10/31/2011	173,346.48	106,671.88			66,674.60		0.00	0.00
SCOTTISH & SOUTHERN ENERGY	522603901	05/13/2016	Various	212,160.50	240,228.39			-28,067.89		0.00	0.00
SCRIPPS NETWORKS INTERACTIVE CL A	9700 0	01/08/2016	09/27/2010	53,250.32	46,967.40			6,282.92		0.00	0.00
SCRIPPS NETWORKS INTERACTIVE CL A	079087003	01/08/2016	09/27/2010	10,550.38	9,393.48			1,156.90		0.00	0.00
SCRIPPS NETWORKS INTERACTIVE CL A	1000 0	01/08/2016	Various	42,375.21	37,482.79			4,892.42		0.00	0.00
SCRIPPS NETWORKS INTERACTIVE CL A	811065101	01/08/2016	Various	194,088.70	156,254.50			37,834.20		0.00	0.00
SDART 12-2 C 3 2% 02-15-18/09-15-15	800 0	01/15/2016	12/10/2014	37,885.64	38,323.69			-438.05		0.00	0.00

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5634 34 SDART 12-2 C 3 2% 02-15-18/09-15-15		02/16/2016	12/10/2014	35,465.86	35,875.92			-410.06		0.00	0.00
80282VAE2		02/16/2016	12/10/2014								
595000.0 SDART 12-2 C 3 2% 02-15-18/09-15-15											
80282VAE2		03/15/2016	12/10/2014	5,634.34	5,699.49			-65.15		0.00	0.00
151529.6704 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		01/15/2016	10/01/2014	17,246.51	17,599.53			-353.02		0.00	0.00
135518.0083 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		02/16/2016	10/01/2014	16,011.66	16,339.40			-327.74		0.00	0.00
118768 7977 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		03/15/2016	10/01/2014	16,749.21	17,092.05			-342.84		0.00	0.00
102480 8584 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		04/15/2016	10/01/2014	16,287.94	16,621.33			-333.39		0.00	0.00
88590.7943 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		05/16/2016	10/01/2014	13,890.06	14,174.38			-284.32		0.00	0.00
75170 512 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		06/15/2016	10/01/2014	13,420.28	13,694.98			-274.70		0.00	0.00
61321 7984 SDART 12-5 C 2.7% 08-15-18											
80282UAE4		07/15/2016	10/01/2014	13,848.71	14,132.18			-283.47		0.00	0.00

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47952 6931 SDART 12-5 C 2.7% 08-15-18											
80282UAE4	08/15/2016	10/01/2014		13,369.11	13,642.75			-273.64		0.00	0.00
35538 4686 SDART 12-5 C 2.7% 08-15-18											
80282UAE4	09/15/2016	10/01/2014		12,414.22	12,668.33			-254.11		0.00	0.00
22936.5958 SDART 12-5 C 2.7% 08-15-18											
80282UAE4	10/17/2016	10/01/2014		12,601.87	12,859.82			-257.95		0.00	0.00
11428 4025 SDART 12-5 C 2.7% 08-15-18											
80282UAE4	11/15/2016	10/01/2014		11,508.19	11,743.75			-235.56		0.00	0.00
225000 0 SDART 12-5 C 2.7% 08-15-18											
80282UAE4	12/15/2016	10/01/2014		11,428.40	11,662.32			-233.92		0.00	0.00
118439 7047 SDART 12-6 C 1.94% 03-15-18/06-15-16											
80283CAE3	01/15/2016	12/01/2014		16,534.01	16,671.58			-137.57		0.00	0.00
103011 62 SDART 12-6 C 1.94% 03-15-18/06-15-16											
80283CAE3	02/16/2016	12/01/2014		15,428.08	15,556.45			-128.37		0.00	0.00
87215.2686 SDART 12-6 C 1.94% 03-15-18/06-15-16											
80283CAE3	03/15/2016	12/01/2014		15,796.35	15,927.78			-131.43		0.00	0.00
71412 8186 SDART 12-6 C 1.94% 03-15-18/06-15-16											
80283CAE3	04/15/2016	12/01/2014		15,802.45	15,933.93			-131.48		0.00	0.00

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57459 6301 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	05/19/2016	12/01/2014	13,953.19	14,069.28			-116.09	0.00	0.00
44097 3605 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	06/15/2016	12/01/2014	13,382.27	13,473.45			-111.18	0.00	0.00
31344 1031 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	07/15/2016	12/01/2014	12,753.26	12,859.37			-106.11	0.00	0.00
18876 7955 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	08/15/2016	12/01/2014	12,467.31	12,571.04			-103.73	0.00	0.00
6356 8269 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	09/15/2016	12/01/2014	12,519.97	12,624.14			-104.17	0.00	0.00
250000.0 SDART 12-6 C 1.94% 03-15-18/06-15-16	80283CAE3	10/17/2016	12/01/2014	6,356.83	6,409.72			-52.89	0.00	0.00
485859 3895 SDART 13-1 C 1.76% 01-15-19/12-15-16	80283FAE6	02/16/2016	01/12/2015	14,140.61	14,182.59			-41.98	0.00	0.00
442174.8539 SDART 13-1 C 1.76% 01-15-19/12-15-16	80283FAE6	03/15/2016	01/12/2015	43,684.54	43,814.22			-129.68	0.00	0.00
397612 8082 SDART 13-1 C 1.76% 01-15-19/12-15-16	80283FAE6	04/15/2016	01/12/2015	44,562.05	44,694.34			-132.29	0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
360668 7136 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		05/16/2016	01/12/2015	36,944.09	37,053.77			-109.68		0.00	0.00
324905 6883 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		08/15/2016	01/12/2015	35,763.03	35,869.20			-106.17		0.00	0.00
289226.6416 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		07/15/2016	01/12/2015	35,679.05	35,784.97			-105.92		0.00	0.00
255365 5221 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		08/15/2016	01/12/2015	33,861.12	33,961.65			-100.53		0.00	0.00
221419.25 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		09/15/2016	01/12/2015	33,946.27	34,047.05			-100.78		0.00	0.00
188140.8758 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		10/17/2016	01/12/2015	33,278.37	33,377.17			-98.80		0.00	0.00
156399 239 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		11/15/2016	01/12/2015	31,741.64	31,835.87			-94.23		0.00	0.00
126132 3615 SDART 13-1 C 1.76% 01-15-19/12-15-16											
80283FAE6		12/15/2016	01/12/2015	30,266.88	30,356.73			-89.85		0.00	0.00
365669 5236 SDART 13-2 B 1.33% 03-15-18/04-15-16											
80283DAD3		01/15/2016	08/27/2014	130,458.39	124,906.14			5,552.25		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number 70-734500
Recipient's Tax ID Number XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
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BAKER TILLY VIRCHOW KRAUSE LLP
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NEW YORK, NY 10119

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss Ordinary	Federal Income Tax Withheld	State Tax Withheld			
246845 6352	SDART 13-2 B 1 33%	03-15-18/04-15-16										
80283DAD3	02/16/2016	08/27/2014	118,823.89	113,766.80			5,057.09	0.00	0.00			
122289.5649	SDART 13-2 B 1 33%	03-15-18/04-15-16										
80283DAD3	03/15/2016	08/27/2014	124,556.07	119,255.02			5,301.05	0.00	0.00			
5268.7586	SDART 13-2 B 1 33%	03-15-18/04-15-16										
80283DAD3	04/15/2016	08/27/2014	117,020.81	112,040.45			4,980.36	0.00	0.00			
1000000 0	SDART 13-2 B 1 33%	03-15-18/04-15-16										
80283DAD3	05/16/2016	08/27/2014	5,017.87	5,044.52			-26.65	0.00	0.00			
308615 3763	SDART 13-2 C 1 95%	03-15-19/17										
80283DAE1	05/16/2016	08/27/2014	26,384.62	15,939.07			10,445.55	0.00	0.00			
283192 6692	SDART 13-2 C 1 95%	03-15-19/17										
80283DAE1	06/15/2016	08/27/2014	25,422.71	15,357.97			10,064.74	0.00	0.00			
513859 8386	SDART 13-2 C 1 95%	03-15-19/17										
80283DAE1	07/15/2016	Various	26,262.75	26,530.66			-267.91	0.00	0.00			
462581 05	SDART 13-2 C 1 95%	03-15-19/17										
80283DAE1	08/15/2016	Various	25,639.39	25,900.95			-261.56	0.00	0.00			
411877 9768	SDART 13-2 C 1 95%	03-15-19/17										
80283DAE1	09/15/2016	Various	25,351.54	25,610.16			-258.62	0.00	0.00			

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
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361117 8278 SDART 13-2 C 1.95% 03-15-19/17											
80283DAE1	10/17/2016	Various		25,380.07	25,638.99			-258.92		0.00	0.00
315229 2382 SDART 13-2 C 1.95% 03-15-19/17											
80283DAE1	11/15/2016	Various		22,944.29	23,178.36			-234.07		0.00	0.00
272936 2824 SDART 13-2 C 1.95% 03-15-19/17											
80283DAE1	12/15/2016	Various		21,146.48	21,362.20			-215.72		0.00	0.00
62 428 SDART 14-1 A-3 0.8602% 01-16-18											
80283NAD1	01/15/2016	01/08/2014		22,582.78	22,581.34			1.44		0.00	0.00
120000 0 SDART 14-1 A-3 0.8602% 01-16-18											
80283NAD1	02/16/2016	01/08/2014		62.43	62.42			0.01		0.00	0.00
45987 4745 SDART 15-5 A2A 1.4% 11-15-18/12-17-18											
80284QAB7	10/17/2016	10/15/2015		14,068.43	14,067.92			0.51		0.00	0.00
32481 8687 SDART 15-5 A2A 1.4% 11-15-18/12-17-18											
80284QAB7	11/15/2016	10/15/2015		13,525.61	13,525.12			0.49		0.00	0.00
20539 7175 SDART 15-5 A2A 1.4% 11-15-18/12-17-18											
80284QAB7	12/15/2016	10/15/2015		11,922.15	11,921.72			0.43		0.00	0.00
2673 0 SES FDR CL A (PARIS)											
B00ZQQ907	05/17/2016	09/20/2012		67,548.38	72,455.85			-4,907.47		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number 70-734500
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SIEMENS AG NAMEN	2452 0									
	572797900	05/13/2016	Various	255,729.12	307,927.60			-52,198.48	0.00	0.00
SIEMENS AG NAMEN	2035 0									
	572797900	10/17/2016	07/15/2013	234,428.13	213,208.15			21,219.98	0.00	0.00
SIEMENS AG NAMEN	1200 0									
	572797900	10/18/2016	07/15/2013	138,563.12	125,724.70			12,838.42	0.00	0.00
SINGAPORE TELECOMM	81299 9983									
	991EDJ907	05/13/2016	Various	228,693.00	262,105.58			-33,412.58	0.00	0.00
SINGAPORE TELECOMM	68400.0017									
	991EDJ907	05/13/2016	04/29/2015	192,405.93	230,003.96		37,598.03	0.00	0.00	0.00
SIRIUS XM HOLDINGS INC	20365 0									
	82968B103	06/15/2016	05/01/2013	79,564.31	68,381.60			11,182.71	0.00	0.00
SIRIUS XM HOLDINGS INC	1700 0									
	82968B103	09/26/2016	05/01/2013	7,058.07	5,708.26			1,349.81	0.00	0.00
SIRIUS XM HOLDINGS INC	2200 0									
	82968B103	09/26/2016	05/01/2013	9,129.58	7,387.16			1,742.42	0.00	0.00
SIRIUS XM HOLDINGS INC	100.0									
	82968B103	09/27/2016	05/01/2013	417.29	335.78			81.51	0.00	0.00

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CAPITAL BANK & TRUST
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SIRIUS XM HOLDINGS INC	3100 0	09/27/2016	05/01/2013	12,922.08	10,409.18			2,512.88	0.00	0.00
SIRIUS XM HOLDINGS INC	800 0	09/28/2016	05/01/2013	3,319.92	2,686.24			633.68	0.00	0.00
SIRIUS XM HOLDINGS INC	100 0	09/28/2016	05/01/2013	416.29	335.78			80.51	0.00	0.00
SIRIUS XM HOLDINGS INC	2900 0	09/28/2016	05/01/2013	12,073.59	9,737.62			2,335.97	0.00	0.00
SIRIUS XM HOLDINGS INC	200 0	09/29/2016	05/01/2013	836.34	671.56			164.78	0.00	0.00
SIRIUS XM HOLDINGS INC	2100 0	09/29/2016	05/01/2013	8,720.05	7,051.38			1,668.67	0.00	0.00
SIRIUS XM HOLDINGS INC	3300 0	09/30/2016	05/01/2013	13,773.89	11,080.74			2,693.15	0.00	0.00
SIRIUS XM HOLDINGS INC	900 0	10/03/2016	05/01/2013	3,767.94	3,022.02			745.92	0.00	0.00
SIRIUS XM HOLDINGS INC	3000 0	10/03/2016	05/01/2013	12,566.72	10,073.40			2,493.32	0.00	0.00

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2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
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300 0 SIRIUS XM HOLDINGS INC											
82968B103	10/04/2016	05/01/2013		1,251.63	1,007.34			244.29		0.00	0.00
1100 0 SIRIUS XM HOLDINGS INC											
82968B103	10/05/2016	05/01/2013		4,589.86	3,693.58			896.28		0.00	0.00
1300.0 SIRIUS XM HOLDINGS INC											
82968B103	10/07/2016	05/01/2013		5,400.34	4,355.14			1,035.20		0.00	0.00
300.0 SIRIUS XM HOLDINGS INC											
82968B103	10/13/2016	05/01/2013		1,229.07	1,007.34			221.73		0.00	0.00
300 0 SIRIUS XM HOLDINGS INC											
82968B103	10/14/2016	05/01/2013		1,235.61	1,007.34			228.27		0.00	0.00
100 0 SIRIUS XM HOLDINGS INC											
82968B103	10/17/2016	05/01/2013		408.51	335.78			72.73		0.00	0.00
2780 0 SIRIUS XM HOLDINGS INC											
82968B103	10/18/2016	05/01/2013		11,436.39	9,334.68			2,101.71		0.00	0.00
1900 0 SIRIUS XM HOLDINGS INC											
82968B103	10/19/2016	05/01/2013		7,848.91	6,379.82			1,469.09		0.00	0.00
1300 0 SIRIUS XM HOLDINGS INC											
82968B103	10/20/2016	05/01/2013		5,365.89	4,365.14			1,000.75		0.00	0.00

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2016 Tax Information Statement

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1700 0	SIRIUS XM HOLDINGS INC									
82968B103	10/21/2016	05/01/2013	7,026.62	5,708.26			1,318.36		0.00	0.00
2600 0	SIRIUS XM HOLDINGS INC									
82968B103	10/24/2016	05/01/2013	10,763.76	8,730.28			2,033.48		0.00	0.00
1600 0	SIRIUS XM HOLDINGS INC									
82968B103	10/24/2016	05/01/2013	6,663.85	5,372.48			1,291.37		0.00	0.00
2600 0	SIRIUS XM HOLDINGS INC									
82968B103	10/25/2016	05/01/2013	10,802.76	8,730.28			2,072.48		0.00	0.00
1600 0	SIRIUS XM HOLDINGS INC									
82968B103	10/25/2016	05/01/2013	6,679.37	5,372.48			1,306.89		0.00	0.00
3300 0	SIRIUS XM HOLDINGS INC									
82968B103	10/26/2016	05/01/2013	13,796.33	11,080.74			2,715.59		0.00	0.00
1700 0	SMC CORP									
676396005	05/13/2016	Various	423,652.74	169,542.21			254,110.53		0.00	0.00
7300 0	SOFTBANK GROUP CORP									
677062903	05/13/2016	Various	399,956.38	327,492.70			72,463.68		0.00	0.00
1500 0	SOFTBANK GROUP CORP									
677062903	06/03/2016	Various	82,916.68	22,786.15			60,130.53		0.00	0.00

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2300 0	SOFTBANK GROUP CORP									
677062903	06/20/2016	09/24/2008	125,501.44	33,560.97			91,940.47		0.00	0.00
800 0	ST JUDE MEDICAL									
790849103	03/15/2016	Various	44,289.03	52,864.42			-8,595.39		0.00	0.00
300 0	ST JUDE MEDICAL									
790849103	03/15/2016	08/04/2014	16,567.13	19,721.82			-3,154.69		0.00	0.00
100 0	ST JUDE MEDICAL									
790849103	03/15/2016	08/04/2014	5,528.87	6,573.94			-1,045.07		0.00	0.00
200 0	ST JUDE MEDICAL									
790849103	03/15/2016	Various	11,065.85	13,119.73			-2,053.88		0.00	0.00
200 0	ST JUDE MEDICAL									
790849103	03/16/2016	Various	11,048.75	13,113.34			-2,064.59		0.00	0.00
1400 0	ST JUDE MEDICAL									
790849103	03/16/2016	Various	76,068.72	88,140.77			-12,072.05		0.00	0.00
100 0	ST JUDE MEDICAL									
790849103	03/16/2016	10/27/2014	5,513.87	6,027.07			-513.20		0.00	0.00
200 0	ST JUDE MEDICAL									
790849103	03/16/2016	10/27/2014	11,098.35	12,054.14			-955.79		0.00	0.00

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200 0 ST JUDE MEDICAL							
790849103 03/16/2016 Various	10,898.02	12,000.65		-1,102.63		0.00	0.00
900 0 ST JUDE MEDICAL							
790849103 03/17/2016 Various	48,217.53	53,820.23		-5,602.70		0.00	0.00
265 0 ST JUDE MEDICAL							
790849103 03/17/2016 Various	14,331.94	15,706.81		-1,374.87		0.00	0.00
179357 271 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 01/25/2016 Various	8,773.87	8,773.87		0.00		0.00	0.00
172087 5852 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 02/25/2016 Various	7,269.69	7,269.69		0.00		0.00	0.00
165047 678 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 03/25/2016 Various	7,039.91	7,039.91		0.00		0.00	0.00
154757 3853 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 04/25/2016 Various	10,290.29	10,290.29		0.00		0.00	0.00
143581 6919 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 05/25/2016 Various	11,175.69	11,175.69		0.00		0.00	0.00
131332 2402 STACR 14-DN1 M1 FRN (L+100) 02-25-24							
3137G0AK5 06/27/2016 Various	12,249.45	12,249.45		0.00		0.00	0.00

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STACR 14-DN1 M1 FRN (L+100) 02-25-24	117669 5659	07/25/2016	02/06/2014	13,662.67	13,662.68			-0.01		0.00	0.00
STACR 14-DN1 M1 FRN (L+100) 02-25-24	105116 0576	08/25/2016	Various	12,553.51	12,553.51			0.00		0.00	0.00
STACR 14-DN1 M1 FRN (L+100) 02-25-24	89167 6074	09/26/2016	Various	15,948.45	15,948.45			0.00		0.00	0.00
STACR 14-DN1 M1 FRN (L+100) 02-25-24	74733 9257	10/25/2016	Various	14,433.68	14,433.68			0.00		0.00	0.00
STACR 14-DN1 M1 FRN (L+100) 02-25-24	61041 1136	11/25/2016	Various	13,692.81	13,692.81			0.00		0.00	0.00
STACR 14-DN1 M1 FRN (L+100) 02-25-24	48255 4564	12/27/2016	Various	12,785.66	12,785.66			0.00		0.00	0.00
STACR 15-DN1 M1 FRN (L+125) 01-25-25	109950 6414	01/25/2016	Various	14,087.73	14,087.73			0.00		0.00	0.00
STACR 15-DN1 M1 FRN (L+125) 01-25-25	98478.4826	02/25/2016	Various	11,472.16	11,472.16			0.00		0.00	0.00
STACR 15-DN1 M1 FRN (L+125) 01-25-25	85791.1479	03/25/2016	Various	12,687.33	12,687.33			0.00		0.00	0.00
	3137G0DQ9							0.00		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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62257 7985	STACR 15-DN1 M1 FRN (L+125) 01-25-25									
3137G0DQ9	04/25/2016	Various	23,533.35	23,533.35			0.00		0.00	0.00
40959 504	STACR 15-DN1 M1 FRN (L+125) 01-25-25									
3137G0DQ9	05/25/2016	Various	21,298.29	21,298.29			0.00		0.00	0.00
19879 9211	STACR 15-DN1 M1 FRN (L+125) 01-25-25									
3137G0DQ9	06/27/2016	01/28/2015	21,079.58	21,079.59			-0.01		0.00	0.00
250000 0	STACR 15-DN1 M1 FRN (L+125) 01-25-25									
3137G0DQ9	07/25/2016	01/28/2015	19,879.92	19,879.92			0.00		0.00	0.00
10966 0	SVENSKA HANDELSBANKEN AB CL A SHS									
BXDZ9Q900	05/13/2016	02/09/2015	134,792.30	165,983.18			-31,190.88		0.00	0.00
14590 0	SVENSKA HANDELSBANKEN AB CL A SHS									
BXDZ9Q900	10/18/2016	Various	202,697.05	236,653.56			-33,956.51		0.00	0.00
3500 0	SYSMEX CORP									
688380906	05/13/2016	Various	252,500.72	57,766.61			194,734.11		0.00	0.00
1800 0	SYSMEX CORP									
688380906	11/15/2016	12/13/2011	106,949.86	29,887.04			77,062.82		0.00	0.00
25600 0	TATE & LYLE PLC									
087541009	05/13/2016	Various	223,050.13	262,562.31			-39,512.18		0.00	0.00

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5500 0	TATE & LYLE PLC											
087541009	10/17/2016	Various	52,979.16	56,119.36			-3,140.20		0.00	0.00		
1600 0	TATE & LYLE PLC											
087541009	10/17/2016	Various	15,414.46	16,313.12			-898.64		0.00	0.00		
700 0	TATE & LYLE PLC											
087541009	10/17/2016	01/29/2015	6,740.31	7,129.27			-388.96		0.00	0.00		
1400 0	TATE & LYLE PLC											
087541009	10/18/2016	01/29/2015	13,604.33	14,258.53			-654.20		0.00	0.00		
1600 0	TATE & LYLE PLC											
087541009	10/19/2016	01/29/2015	15,477.46	16,295.47			818.01		0.00	0.00		
3400 0	TATE & LYLE PLC											
087541009	10/19/2016	Various	32,814.52	34,536.74			-1,722.22		0.00	0.00		
700 0	TATE & LYLE PLC											
087541009	10/20/2016	02/03/2015	6,772.11	7,105.64			-333.53		0.00	0.00		
1900 0	TATE & LYLE PLC											
087541009	10/21/2016	02/03/2015	18,193.52	19,286.74			-1,093.22		0.00	0.00		
1800 0	TATE & LYLE PLC											
087541009	10/24/2016	02/03/2015	17,150.57	18,271.65			-1,121.08		0.00	0.00		

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800.0	TATE & LYLE PLC									
087541009	10/24/2016	02/03/2015	7,643.91	8,120.73			-476.82		0.00	0.00
1400.0	TATE & LYLE PLC									
087541009	10/25/2016	Various	13,322.86	14,101.62			-778.76		0.00	0.00
1200.0	TATE & LYLE PLC									
087541009	10/25/2016	02/27/2015	11,402.06	11,084.46			317.60		0.00	0.00
1300.0	TATE & LYLE PLC									
087541009	10/28/2016	02/27/2015	12,290.65	12,008.16			282.49		0.00	0.00
600.0	TATE & LYLE PLC									
087541009	10/27/2016	02/27/2015	5,660.09	5,542.23			117.86		0.00	0.00
1000.0	TATE & LYLE PLC									
087541009	10/27/2016	Various	9,428.82	9,167.22			261.60		0.00	0.00
3000.0	TATE & LYLE PLC									
087541009	10/28/2016	03/02/2015	28,249.67	27,420.23			829.44		0.00	0.00
500.0	TATE & LYLE PLC									
087541009	10/28/2016	03/02/2015	4,707.97	4,570.04			137.93		0.00	0.00
1600.0	TATE & LYLE PLC									
087541009	10/31/2016	03/02/2015	15,246.29	14,624.12			622.17		0.00	0.00

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900 0 TATE & LYLE PLC	087541009	10/31/2016	03/02/2015	8,585.66	8,226.07			359.59	0.00	0.00
2800 0 TATE & LYLE PLC	087541009	11/01/2016	Various	26,706.00	25,527.02			1,178.98	0.00	0.00
1310.0 TATE & LYLE PLC	087541009	11/02/2016	03/03/2015	12,584.14	11,940.91			643.23	0.00	0.00
350000 0 TECO FINANCE INC 4 0% 03/15/16	87875UAJ1	03/15/2016	Various	350,000.00	360,216.36			-10,216.36	0.00	0.00
250000 0 TOTAL CAPITAL INTL SR UNSEC 0 75% 01-25-16	89153VAD1	01/25/2016	09/18/2012	250,000.00	249,820.00			180.00	0.00	0.00
1100 974 TOTAL SA (FRI)	B15C55900	06/15/2016	11/11/2014	50,061.31	64,113.79			-14,052.48	0.00	0.00
0 711 TOTAL SA (FRI)	B15C55900	07/05/2016	11/11/2014	34.15	41.40			-7.25	0.00	0.00
0.23 TOTAL SA (FRI)	B15C55900	10/28/2016	11/11/2014	11.05	13.39			-2.34	0.00	0.00
4480.0 TOWERS WATSON & COMPANY CL A	891894107	01/11/2016	Various	552,966.40	486,134.85			66,831.55	0.00	0.00

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TOYOTA MTR CREDIT SR UNSEC 1 45% 01-12-18	450000 0										
89236TCA1	10/17/2016	01/07/2015		451,003.50	449,383.50			1,620.00		0.00	0.00
TREND MICRO INC	3500 0										
612528901	05/13/2016	Various		137,257.06	99,618.82			37,638.24		0.00	0.00
TREND MICRO INC	3700 0										
612528901	06/15/2016	04/05/2013		125,945.52	105,498.58			20,446.94		0.00	0.00
TREND MICRO INC	1500 0										
612528901	06/16/2016	12/17/2012		50,780.72	43,255.17			7,525.55		0.00	0.00
UBS GROUP AG	4577 0										
ACI09N1W4	05/13/2016	Various		67,702.23	94,683.90			26,981.67		0.00	0.00
UNILEVER PLC	15700 0										
B10RZP905	05/13/2016	Various		704,875.22	662,247.93			42,627.29		0.00	0.00
UNILEVER PLC	2595 0										
B10RZP905	09/28/2016	03/08/2013		123,493.18	106,674.14			16,819.04		0.00	0.00
UNILEVER PLC	6975 0										
B10RZP905	10/17/2016	Various		298,290.63	287,340.77			10,949.86		0.00	0.00
UNILEVER PLC	4960 0										
B10RZP905	11/30/2016	Various		199,081.65	207,514.41			8,432.76		0.00	0.00

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70-734500
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UNITED TECHNOLOGIES CORPORATION COMMON STOCK	2250 0										
	913017109	01/06/2016	12/24/2006	209,438.97	141,148.12						
US TREASURY NOTE 0.625% 12-31-16	100000 0							68,290.85		0.00	0.00
	912828H29	04/22/2016	12/22/2014	100,101.56	99,845.36						
US TREASURY NOTE 1.5% 06/30/16	1765000 0							256.20		0.00	0.00
	912828QR4	06/30/2016	01/15/2014	1,765,000.00	1,804,442.63						
VERISIGN INC	100 0							39,442.63		0.00	0.00
	92343E102	07/11/2016	04/23/2014	8,549.94	4,999.12						
VERISIGN INC	100 0							3,550.82		0.00	0.00
	92343E102	07/12/2016	04/23/2014	8,487.75	4,999.12						
VERISIGN INC	100 0							3,488.63		0.00	0.00
	92343E102	07/12/2016	04/23/2014	8,501.88	4,999.12						
VERISIGN INC	100 0							3,502.78		0.00	0.00
	92343E102	07/13/2016	04/23/2014	8,455.40	4,999.12						
VERISIGN INC	100 0							3,456.28		0.00	0.00
	92343E102	07/13/2016	04/23/2014	8,500.56	4,999.12						
VERISIGN INC	100 0							3,501.44		0.00	0.00
	92343E102	07/13/2016	04/23/2014	8,501.03	4,999.12						
								3,501.91		0.00	0.00

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300 0 VERISIGN INC											
92343E102		07/14/2016	04/23/2014	25,403.74	14,997.36			10,406.38		0.00	0.00
400 0 VERISIGN INC											
92343E102		07/15/2016	04/23/2014	33,530.66	19,996.48			13,534.18		0.00	0.00
100 0 VERISIGN INC											
92343E102		07/19/2016	Various	8,307.28	4,972.09			3,335.19		0.00	0.00
100 0 VERISIGN INC											
92343E102		07/20/2016	04/24/2014	8,397.57	4,939.04			3,458.53		0.00	0.00
135 0 VERISIGN INC											
92343E102		07/25/2016	04/24/2014	11,294.28	6,667.70			4,626.58		0.00	0.00
400 0 VERISIGN INC											
92343E102		08/24/2016	04/24/2014	29,748.67	19,756.16			9,992.51		0.00	0.00
575 0 VERISIGN INC											
92343E102		08/25/2016	04/24/2014	43,006.70	28,399.48			14,607.22		0.00	0.00
800 0 VERIZON COMMUNICATIONS COMMON STOCK											
92343V104		10/17/2016	02/12/2015	40,326.96	39,586.00			730.96		0.00	0.00
3590.0 VERIZON COMMUNICATIONS COMMON STOCK											
92343V104		10/18/2016	Various	181,041.19	177,343.92			3,697.27		0.00	0.00

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1470 0 VERIZON COMMUNICATIONS COMMON STOCK											
92343V104		10/27/2016	08/25/2014	71,418.39	72,284.89			-876.50		0.00	0.00
171000 0 VERIZON COMMUNICATIONS SR UNSEC 2.5% 09-15-16											
92343VBN3		04/08/2016	09/11/2013	172,321.83	170,868.33			1,453.50		0.00	0.00
1900 0 VIACOM INC CLASS B											
92553P201		09/23/2016	08/13/2015	67,494.70	86,513.84			-19,019.14		0.00	0.00
2200 0 VIACOM INC CLASS B											
92553P201		09/26/2016	08/13/2015	77,327.65	100,173.92			-22,846.27		0.00	0.00
4255 0 VIACOM INC CLASS B											
92553P201		09/26/2016	Various	148,540.08	193,297.83			-44,757.75		0.00	0.00
300 0 VODAFONE GROUP PLC											
ACI07KLY6		05/13/2016	06/30/2014	954.75	1,016.31			-61.56		0.00	0.00
7000 0 VTECH HOLDINGS LTD											
692856909		05/13/2016	Various	70,940.07	100,112.89			-29,172.82		0.00	0.00
116991 5767 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		01/15/2016	10/02/2013	88.04	97.50			-9.46		0.00	0.00
89798 4704 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		03/17/2016	10/02/2013	27,193.11	30,116.36			-2,923.25		0.00	0.00

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82815 297 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		04/15/2016	10/02/2013	6,983.17	7,733.86			750.69		0.00	0.00
60978 9874 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		05/17/2016	10/02/2013	21,836.31	24,183.71			-2,347.40		0.00	0.00
55174 8405 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		06/17/2016	10/02/2013	5,804.15	6,428.09			-623.94		0.00	0.00
210000 0 WBCMT 06-C27 A1A VAR 07-15-45/16											
92977QAE8		07/15/2016	10/02/2013	55,174.84	61,106.14			-5,931.30		0.00	0.00
1255 0 WELLS FARGO & CO (NEW)											
949746101		09/13/2016	07/02/2014	58,769.49	66,088.43			-7,318.94		0.00	0.00
1505 0 WELLS FARGO & CO (NEW)											
949746101		10/27/2016	07/02/2014	69,873.06	79,253.45			-9,380.39		0.00	0.00
47600 0 WYNN MACAU LTD											
84JSTL903		09/30/2016	Various	78,529.21	133,178.32			-54,649.11		0.00	0.00
4000 0 WYNN MACAU LTD											
84JSTL903		09/30/2016	07/04/2013	6,573.51	11,090.99			-4,517.48		0.00	0.00
35200 0 WYNN MACAU LTD											
84JSTL903		10/03/2016	Various	56,715.96	68,273.44			-11,557.48		0.00	0.00

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2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property											
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld	
14800 0	WYNN MACAU LTD										
B4JSTL903	10/03/2016	Various	23,823.24	24,719.63			-896.39		0.00	0.00	
19600 0	WYNN MACAU LTD										
B4JSTL903	10/04/2016	Various	31,083.02	31,402.44			-319.42		0.00	0.00	
3475.0	XILINX INC										
983919101	10/18/2016	Various	173,881.04	149,617.04			24,264.00		0.00	0.00	
500.0	XILINX INC										
983919101	10/18/2016	10/30/2014	24,961.95	21,324.01			3,637.94		0.00	0.00	
252 0	ZODIAC AEROSPACE										
729433904	11/09/2016	01/08/2014	6,023.41	8,913.74			-2,890.33		0.00	0.00	
1495 0	ZODIAC AEROSPACE										
729433904	11/09/2016	Various	35,212.01	52,883.42			-17,671.41		0.00	0.00	
1153.0	ZODIAC AEROSPACE										
729433904	11/10/2016	01/02/2014	28,016.39	40,833.24			-12,816.85		0.00	0.00	
1351 0	ZODIAC AEROSPACE										
729433904	11/14/2016	Various	31,919.22	47,766.63			-15,847.41		0.00	0.00	
638.0	ZODIAC AEROSPACE										
729433904	11/14/2016	Various	15,084.01	22,560.51			-7,476.50		0.00	0.00	

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
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Account Number 70-734500
Recipient's Tax ID Number XX-XXX8005

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
ZODIAC AEROSPACE	622.0										
	729433904	11/15/2016	Various	14,856.32	22,071.14			-7,214.82		0.00	0.00
ZODIAC AEROSPACE	156.0										
	729433904	12/01/2016	Various	3,485.10	5,524.92			-2,039.82		0.00	0.00
ZODIAC AEROSPACE	1675.0										
	729433904	12/07/2016	Various	38,279.15	59,373.53			-21,094.38		0.00	0.00
ZODIAC AEROSPACE	240.0										
	729433904	12/07/2016	03/31/2014	5,494.70	8,486.29			-3,001.59		0.00	0.00
ZODIAC AEROSPACE	118.0										
	729433904	12/08/2016	03/31/2014	2,670.87	4,177.35			-1,506.48		0.00	0.00
ZODIAC AEROSPACE	1052.0										
	729433904	12/13/2016	03/31/2014	23,767.48	37,242.09			-13,474.61		0.00	0.00
Total Long Term Sales				56,607,143.96	53,227,526.03	0.00	37,598.03	3,417,215.99		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
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Account Number: 70-734500
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ONE PENN PLAZA - SUITE 3000
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2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums
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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
1491.0 AIRBUS GROUP SE											
401225909		05/13/2016	Various	91,911.98	98,940.45			-7,028.47		0.00	0.00
7116.0 AMADEUS IT GROUP SA											
B3MSM2900		05/13/2016	Various	318,762.20	311,387.31			7,374.89		0.00	0.00
1265.0 AMERISOURCEBERGEN CORP											
03073E105		05/23/2015	05/12/2016	93,851.34	94,741.29			-889.95		0.00	0.00
135.0 AMERISOURCEBERGEN CORP											
03073E105		10/18/2016	05/12/2016	10,947.92	10,110.73			837.19		0.00	0.00
300000.0 AMXCA 15-1 A FRN (L+29) 01-15-20/06-15-17											
02582JHA1		02/12/2016	07/13/2015	300,046.88	300,000.00			46.88		0.00	0.00
55000.0 ANHEUSER-BUSCH INBEV SR UNSEC 1.9% 02-01-19											
035242AG1		01/14/2016	01/13/2016	54,884.50	54,850.95			33.55		0.00	0.00
20000.0 ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21											
035242AJ5		04/25/2016	01/13/2016	20,503.40	19,937.40			566.00		0.00	0.00
75000.0 ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21											
035242AJ5		04/27/2016	01/13/2016	76,698.75	74,765.25			1,933.50		0.00	0.00
900.0 ARM HOLDINGS PLC											
005958905		07/29/2016	07/15/2016	19,760.59	13,995.83			5,764.76		0.00	0.00

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CAPITAL BANK & TRUST
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IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

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NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
ASTRAZENECA PLC (GBP)	2685 0										
	098952906	05/13/2016	10/19/2015	149,523.62	173,901.44			-24,377.82		0.00	0.00
BANK OF NOVA SCOTIA FRN SR UNSEC YCD (L+23) 01-12-16	45000.0										
	06417HDM5	01/12/2016	04/28/2015	45,000.00	45,029.21			-29.21		0.00	0.00
BCA MARKETPLACE PLC	50200 0										
	BPO51D904	05/13/2016	Various	117,751.77	135,731.83			-17,980.06		0.00	0.00
BNP PARIBAS	3700 0										
	730968906	05/13/2016	Various	181,362.82	182,713.05			-1,350.23		0.00	0.00
BRITISH AMERICAN TOBACCO PLC	5000 0										
	028758902	05/13/2016	02/03/2016	301,345.99	282,024.27			19,321.72		0.00	0.00
BURBERRY GROUP PLC	6300 0										
	317430908	05/13/2016	Various	102,530.39	101,047.65			1,482.74		0.00	0.00
BURBERRY GROUP PLC	4165 0										
	317430908	06/29/2016	01/08/2016	64,480.67	66,738.71			-2,278.04		0.00	0.00
BURBERRY GROUP PLC	200 0										
	317430908	07/12/2016	01/08/2016	3,173.83	3,204.74			-30.91		0.00	0.00
BURBERRY GROUP PLC	400 0										
	317430908	07/12/2016	01/08/2016	6,541.99	6,409.48			132.51		0.00	0.00

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600 0 BURBERRY GROUP PLC	317430908	07/13/2016	01/08/2016	9,921.79	9,614.22			307.57	0.00	0.00
1000 0 BURBERRY GROUP PLC	317430908	07/13/2016	Various	16,739.06	16,023.70			715.36	0.00	0.00
1100 0 BURBERRY GROUP PLC	317430908	07/13/2016	01/08/2016	18,070.63	17,626.06			444.57	0.00	0.00
1205 0 BURBERRY GROUP PLC	317430908	07/14/2016	Various	20,392.23	19,308.56			1,083.67	0.00	0.00
25000 0 CA HLTH SUTTER HLTH TAXABLE (MPT) 1 09% 08-15-53/16	86944BAA1	08/15/2016	09/03/2015	25,000.00	24,959.18			40.82	0.00	0.00
110000 0 CABMT 15-2 A1 2 25% 07-17-23	126802DH7	02/17/2016	07/08/2015	111,095.70	109,956.23			1,139.47	0.00	0.00
1856 0 CAIXABANK SA	8283W9907	02/03/2016	08/19/2015	5,223.10	8,085.51			2,862.41	0.00	0.00
1108 0 CAIXABANK SA	8283W9907	02/03/2016	08/19/2015	3,081.82	4,826.91			-1,745.09	0.00	0.00
34164 609 CAIXABANK SA	8283W9907	02/04/2016	Various	95,656.41	149,178.83			-53,522.42	0.00	0.00

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2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
CAIXABANK SA	967 0										
	B283W9907	04/07/2016	Various	2,713.19	2,714.93			-1.74		0.00	0.00
CAIXABANK SA	0 095										
	B283W9907	04/08/2016	03/01/2016	0.27	0.27			0.00		0.00	0.00
CALIFORNIA RESOURCES CORPORATION	0 415										
	13057Q107	03/24/2016	09/28/2015	0.41	0.12			0.29		0.00	0.00
CALIFORNIA RESOURCES CORPORATION	991 0										
	13057Q107	03/29/2016	Various	1,019.21	288.49			730.72		0.00	0.00
CANADIAN NATIONAL RAILWAY CO US\$	1900 0										
	136375102	05/12/2016	01/08/2016	113,234.30	97,821.12			15,413.18		0.00	0.00
CARLSBERG A/S B	3821 0										
	416921005	05/13/2016	Various	365,370.29	341,056.24			24,314.05		0.00	0.00
CARMX 15-2 A3 1 37% 03-16-20	170000 0										
	143127AC2	02/18/2016	05/06/2015	170,079.69	169,995.43			84.26		0.00	0.00
CHARTER COMMUNICATIONS INC CL A	0 393										
	16119P108	05/18/2016	01/20/2016	88.26	74.16			14.10		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	25000 0										
	663094902	02/03/2016	02/03/2015	25,655.86	36,223.85			-10,567.99		0.00	0.00

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2016 Tax Information Statement

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57000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	02/04/2016	Various		58,338.32	78,362.25			-20,023.93	0.00	0.00
9000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	02/04/2016	02/05/2015		9,068.77	12,269.66			-3,200.89	0.00	0.00
5000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	02/05/2016	02/05/2015		5,129.07	6,816.48			-1,687.41	0.00	0.00
163000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	05/13/2016	Various		169,350.33	185,646.81			-16,296.48	0.00	0.00
4000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	07/07/2016	04/01/2016		4,291.69	4,450.93			-159.24	0.00	0.00
14000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	07/08/2016	Various		14,882.38	15,569.04			-686.66	0.00	0.00
16000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	07/11/2016	Various		17,035.24	17,756.25			-721.01	0.00	0.00
16000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED										
663094902	07/12/2016	Various		16,802.27	17,588.97			-786.70	0.00	0.00
175000 0 CLEVELAND OH ARPT SYS TAXABLE AMBAC 06B SF 5 239% 01-01-17										
186352HY9	01/04/2016	03/19/2015		175,000.00	179,126.50			-4,126.50	0.00	0.00

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2016 Tax Information Statement

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
COCA-COLA CO	2800 0	10/17/2016	Various	116,524.49	127,780.17			-11,255.68		0.00	0.00
COCA-COLA CO	191216100	10/17/2016	01/07/2016	8,354.31	8,368.32			-14.01		0.00	0.00
COCA-COLA CO	600.0	10/17/2016	01/07/2016	25,037.45	25,104.96			-67.51		0.00	0.00
COCA-COLA CO	191216100	10/17/2016	01/07/2016	126,288.55	126,780.05			-491.50		0.00	0.00
COCA-COLA CO	3030 0	10/17/2016	01/07/2016	12,520.22	12,552.48			-32.26		0.00	0.00
CREDIT SUISSE GROUP REG	0 989	06/16/2016	06/06/2016	11.91	12.70			-0.79		0.00	0.00
CREDIT SUISSE GROUP REG	717158901	07/08/2016	06/06/2016	5,608.18	6,949.80			-1,341.62		0.00	0.00
CVS HEALTH CORPORATION	795 0	10/17/2016	10/19/2015	70,337.12	82,307.54			-11,970.42		0.00	0.00
CVS HEALTH CORPORATION	126650100	10/17/2016	10/19/2015	8,696.16	10,353.15			-1,656.99		0.00	0.00

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Description of property										
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200 0	DAVITA INC									
23918K108	10/28/2016	07/13/2016	11,041.81	15,591.66			-4,549.85		0.00	0.00
700 0	DAVITA INC									
23918K108	10/28/2016	07/13/2016	38,858.67	54,570.81			-15,712.14		0.00	0.00
95.0	DAVITA INC									
23918K108	10/31/2016	07/13/2016	5,551.66	7,406.04			-1,854.38		0.00	0.00
4600.0	DIAGEO PLC									
023740905	05/13/2016	07/21/2015	123,317.04	139,359.52			-16,042.48		0.00	0.00
4653 0	ELRINGKLINGER AG									
513863902	05/13/2016	Various	103,225.51	115,938.20			-12,712.69		0.00	0.00
157 0	ELRINGKLINGER AG									
513863902	08/15/2016	Various	2,885.33	3,889.49			-1,004.16		0.00	0.00
148 0	ELRINGKLINGER AG									
513863902	08/16/2016	Various	2,703.14	3,662.03			-958.89		0.00	0.00
201 0	ELRINGKLINGER AG									
513863902	08/18/2016	Various	3,647.15	4,934.70			-1,287.55		0.00	0.00
198 0	ELRINGKLINGER AG									
513863902	08/18/2016	Various	3,555.92	4,865.04			-1,309.12		0.00	0.00

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
ELRINGKLINGER AG	214.0	08/18/2016	12/08/2015	3,852.86	5,241.92			-1,389.06		0.00	0.00
ELRINGKLINGER AG	513863902	08/19/2016	Various	6,974.61	9,599.87			-2,625.26		0.00	0.00
ELRINGKLINGER AG	392.0	08/19/2016	12/04/2015	3,403.87	4,655.74			-1,251.87		0.00	0.00
ELRINGKLINGER AG	513863902	08/22/2016	Various	13,349.37	18,036.32			-4,686.95		0.00	0.00
ELRINGKLINGER AG	191.0	08/23/2016	Various	11,804.07	15,693.02			-3,888.95		0.00	0.00
ELRINGKLINGER AG	513863902	08/24/2016	11/24/2015	12,109.03	16,153.41			-4,044.38		0.00	0.00
ELRINGKLINGER AG	753.0	08/26/2016	Various	6,286.24	8,560.56			-2,274.32		0.00	0.00
ELRINGKLINGER AG	513863902	08/29/2016	Various	14,273.11	19,697.33			-5,424.22		0.00	0.00
ELRINGKLINGER AG	669.0	08/30/2016	Various	10,600.90	14,437.95			-3,837.05		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
498.0 ELRINGKLINGER AG	513863902	08/31/2016	Various	8,626.17	11,569.56			-2,943.39		0.00	0.00
187.0 ELRINGKLINGER AG	513863902	08/31/2016	11/18/2015	3,231.82	4,150.41			-918.59		0.00	0.00
11074.9992 ENBRIDGE INC CS	29250N956	05/12/2016	Various	443,651.29	468,419.64			-24,768.35		0.00	0.00
825.0008 ENBRIDGE INC CS	29250N956	05/12/2016	Various	33,048.55	35,518.49		2,469.94	0.00		0.00	0.00
1925.0 FEDEX CORP COMMON STOCK	3142BX106	01/29/2016	02/12/2015	255,046.45	336,623.02			-81,576.57		0.00	0.00
2364977 2934FNCT 3 5 AS4449 02-01-35	3138WD5K3	01/25/2016	Various	6,293.91	6,665.81			-371.90		0.00	0.00
1167704 1148FNCT 3 5 AS4449 02-01-35	3138WD5K3	01/31/2016	02/27/2015	0.00	772.17			-772.17		0.00	0.00
1126661 641 FNCT 3 5 MA2497 01-01-36	31418BX35	01/25/2016	11/17/2015	3,338.36	3,493.89			-145.53		0.00	0.00
1123262 5784FNCT 3 5 MA2497 01-01-36	31418BX35	01/31/2016	11/17/2015	3,399.06	3,547.24			-148.18		0.00	0.00

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1119916 6484FNCT 3.5 MA2497 01-01-36											
31418BX35		03/25/2016	11/17/2015	3,345.93	3,491.79			-145.86		0.00	0.00
1114911 0422FNCT 3.5 MA2497 01-01-36											
31418BX35		04/25/2016	11/17/2015	5,005.61	5,223.82			-218.21		0.00	0.00
1108667 7583FNCT 3.5 MA2497 01-01-36											
31418BX35		05/25/2016	11/17/2015	6,243.28	6,515.45			-272.17		0.00	0.00
1100287 0116FNCT 3.5 MA2497 01-01-36											
31418BX35		06/27/2016	11/17/2015	8,380.75	8,746.09			-365.34		0.00	0.00
1088868.904 FNCT 3.5 MA2497 01-01-36											
31418BX35		07/25/2016	11/17/2015	11,418.11	11,915.87			-497.76		0.00	0.00
1081164 8352FNCT 3.5 MA2497 01-01-36											
31418BX35		08/25/2016	11/17/2015	7,704.07	8,039.92			-335.85		0.00	0.00
1053040 6268FNCT 3.5 MA2497 01-01-36											
31418BX35		09/26/2016	11/17/2015	28,124.21	29,350.25			-1,226.04		0.00	0.00
1035203 5542FNCT 3.5 MA2497 01-01-36											
31418BX35		10/25/2016	11/17/2015	17,837.07	18,614.66			-777.59		0.00	0.00
655074 0379 G2RF 5 047 AG8142 06-20-64											
36182DBK0		01/20/2016	02/27/2015	0.00	2,207.34			-2,207.34		0.00	0.00

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619311.1849 G2RF 5 047 AG8142 06-20-64											
36182DBK0		02/22/2016	02/27/2015	0.00	3,134.53			-3,134.53		0.00	0.00
679416 7378 G2RF 5 18 AG8139 06-20-64											
36182DBG9		01/20/2016	08/17/2015	0.00	2,391.16			-2,391.16		0.00	0.00
662532 5619 G2RF 5.18 AG8139 06-20-64											
36182DBG9		02/22/2016	08/17/2015	0.00	2,461.35			-2,461.35		0.00	0.00
648652 299 G2RF 5 18 AG8139 06-20-64											
36182DBG9		03/21/2016	08/17/2015	0.00	2,023.44			-2,023.44		0.00	0.00
634151 8789 G2RF 5 18 AG8139 06-20-64											
36182DBG9		04/20/2016	08/17/2015	0.00	2,113.85			-2,113.85		0.00	0.00
619082 8911 G2RF 5 18 AG8139 06-20-64											
36182DBG9		05/20/2016	08/17/2015	0.00	2,196.73			-2,196.73		0.00	0.00
602676 8818 G2RF 5.18 AG8139 06-20-64											
36182DBG9		06/20/2016	08/17/2015	0.00	2,391.64			-2,391.64		0.00	0.00
587619 598 G2RF 5 18 AG8139 06-20-64											
36182DBG9		07/20/2016	08/17/2015	0.00	2,195.03			-2,195.03		0.00	0.00
419391 5796 G2RF 6.64 AA7554 07-20-64											
36178FMB9		01/20/2016	08/09/2015	0.00	0.55			-0.55		0.00	0.00

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393824 1525 G2RF 6.64 AA7554 07-20-64											
36178FMB9		02/22/2016	09/09/2015	0.00	4.41			-4.41		0.00	0.00
374656 5204 G2RF 6.64 AA7554 07-20-64											
36178FMB9		03/21/2016	09/09/2015	0.00	3.31			-3.31		0.00	0.00
371935 3164 G2RF 6.64 AA7554 07-20-64											
36178FMB9		04/20/2016	09/09/2015	0.00	0.47			-0.47		0.00	0.00
359216.9844 G2RF 6.64 AA7554 07-20-64											
36178FMB9		05/20/2016	09/09/2015	0.00	2.19			-2.19		0.00	0.00
339960.355 G2RF 6.64 AA7554 07-20-64											
36178FMB9		06/20/2016	09/09/2015	0.00	3.32			-3.32		0.00	0.00
331881.3778 G2RF 6.64 AA7554 07-20-64											
36178FMB9		07/20/2016	09/09/2015	0.00	1.39			-1.39		0.00	0.00
318704 3391 G2RF 6.64 AA7554 07-20-64											
36178FMB9		08/22/2016	09/09/2015	0.00	2.27			-2.27		0.00	0.00
1412449.6925G2SF 4.5 MA3036 08-20-45											
36179RLR8		01/20/2016	11/13/2015	49,788.17	53,498.94			-3,710.77		0.00	0.00
1379561 6236G2SF 4.5 MA3036 08-20-45											
36179RLR8		01/31/2016	11/13/2015	32,888.07	35,339.26			-2,451.19		0.00	0.00

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1335300.3659G2SF 4.5 MA3036 08-20-45	03/21/2016	11/13/2015	44,261.26	47,560.10			-3,298.84		0.00	0.00
36179RLR8	03/21/2016	11/13/2015					-5,889.20		0.00	0.00
1256283.74 G2SF 4.5 MA3036 08-20-45	04/20/2016	11/13/2015	79,016.63	84,905.83			-4,158.41		0.00	0.00
36179RLR8	04/20/2016	11/13/2015					-4,357.07		0.00	0.00
1200489.4537G2SF 4.5 MA3036 08-20-45	05/20/2016	11/13/2015	55,794.29	59,952.70			-3,548.28		0.00	0.00
36179RLR8	05/20/2016	11/13/2015					-3,419.39		0.00	0.00
1142029.7558G2SF 4.5 MA3036 08-20-45	06/20/2016	11/13/2015	58,459.70	62,816.77			-3,419.39		0.00	0.00
36179RLR8	06/20/2016	11/13/2015					-3,656.81		0.00	0.00
1094421.875 G2SF 4.5 MA3036 08-20-45	07/20/2016	11/13/2015	47,607.88	51,156.16			-3,509.57		0.00	0.00
36179RLR8	07/20/2016	11/13/2015					-3,509.57		0.00	0.00
1048543.2535G2SF 4.5 MA3036 08-20-45	08/22/2016	11/13/2015	45,878.62	49,298.01			-3,509.57		0.00	0.00
36179RLR8	08/22/2016	11/13/2015					-3,509.57		0.00	0.00
989479.1394 G2SF 4.5 MA3036 08-20-45	09/20/2016	11/13/2015	49,064.11	52,720.92			-3,509.57		0.00	0.00
36179RLR8	09/20/2016	11/13/2015					-3,509.57		0.00	0.00
952390.6471 G2SF 4.5 MA3036 08-20-45	10/20/2016	11/13/2015	47,088.49	50,598.06			-3,509.57		0.00	0.00
36179RLR8	10/20/2016	11/13/2015					-3,509.57		0.00	0.00
464000.0 GILEAD SCIENCES INC SR UNSEC 2.55% 09-01-20	02/11/2016	09/09/2015	472,324.16	463,164.80			-9,159.36		0.00	0.00
375558BB8	02/11/2016	09/09/2015					-9,159.36		0.00	0.00

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48 0 GIVAUDAN	05/13/2016	Various	94,566.98	85,870.99						
598061901 GNMA POOL #AH5453 5 00% 10/15/2045	01/15/2016	10/22/2015	1,196.07	1,315.68			8,695.99		0.00	0.00
993885 79 GNMA POOL #AH5453 5 00% 10/15/2045	01/31/2016	10/22/2015	1,255.91	1,381.50			-119.61		0.00	0.00
36182NBW2 GNMA POOL #AH5453 5 00% 10/15/2045	03/15/2016	10/22/2015	1,244.15	1,368.56			-125.59		0.00	0.00
992629 88 GNMA POOL #AH5453 5 00% 10/15/2045	04/15/2016	10/22/2015	13,472.95	14,820.24			-124.41		0.00	0.00
36182NBW2 GNMA POOL #AH5453 5 00% 10/15/2045	05/16/2016	10/22/2015	1,288.51	1,417.36			-1347.29		0.00	0.00
975392 31 GNMA POOL #AH5453 5 00% 10/15/2045	06/15/2016	10/22/2015	1,231.96	1,355.16			-128.85		0.00	0.00
36182NBW2 GNMA POOL #AH5453 5 00% 10/15/2045	07/15/2016	10/22/2015	6,699.79	7,369.77			-123.20		0.00	0.00
1000000 0 GNMA POOL #AH5453 5 00% 10/15/2045	07/22/2016	10/22/2015	1,098,201.07	1,065,561.77			-669.98		0.00	0.00
36182NBW2							30,639.30		0.00	0.00

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GNMA POOL #AH5453 5 00% 10/15/2045	967414 07										
	36182NBW2	08/15/2016	10/22/2015	1,278.45	0 00			1,278.45		0 00	0 00
GOLDMAN SACHS GROUP SR UNSEC 2 75% 09-15-20	180000 0										
	38141GVP6	02/23/2016	Various	179,800.20	180,856.80			-1,056.60		0.00	0.00
GOLDMAN SACHS GROUP SR UNSEC2 875% 02-25-21	180000 0										
	38143U8F1	06/10/2016	02/23/2016	184,017.60	180,075.60			3,942.00		0.00	0.00
HSBC HOLDINGS PLC SR UNSEC 3 4% 03-08-21	200000 0										
	404280AV1	06/10/2016	03/01/2016	206,074.00	199,544.00			6,530.00		0.00	0.00
ILLUMINA INC	1000 0										
	452327109	11/11/2016	Various	138,274.18	177,321.57			-39,047.39		0.00	0.00
ILLUMINA INC	15 0										
	452327109	11/14/2016	11/24/2015	2,047.27	2,643.34			-596.07		0.00	0.00
INTERCONTINENTAL EXCHANGE SR UNSEC 2 75% 12-01-20	15000 0										
	45866FAC8	03/10/2016	11/19/2015	15,156.75	14,983.20			173.55		0.00	0.00
INTERCONTINENTAL EXCHANGE SR UNSEC 2 75% 12-01-20	20000.0										
	45866FAC8	03/11/2016	11/19/2015	20,195.00	19,977.60			217.40		0.00	0.00
INTERCONTINENTAL EXCHANGE SR UNSEC 2 75% 12-01-20	35000 0										
	45866FAC8	03/14/2016	11/19/2015	35,383.95	34,960.80			423.15		0.00	0.00

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INTERNATIONAL BUSINESS MACHINES CORP	600 0										
	459200101	11/28/2016	05/12/2016	98,583.37	89,245.02			9,338.35		0.00	0.00
JAPAN TOBACCO INC	3100 0										
	647453901	05/13/2016	02/04/2016	129,276.94	122,098.28			7,178.66		0.00	0.00
JOHNSON & JOHNSON SR UNSEC 1 65% 03-01-21	160000 0										
	478160BS2	09/15/2016	02/25/2016	162,211.20	160,000.00			2,211.20		0.00	0.00
JP MORGAN CHASE SR UNSEC 2.55% 10-29-20	285000 0										
	46625HXX4	02/25/2016	11/24/2015	286,028.85	284,147.85			1,881.00		0.00	0.00
JP MORGAN CHASE SR UNSEC 2 55% 10-29-20	140000 0										
	46625HXX4	02/25/2016	11/24/2015	140,505.40	139,581.40			924.00		0.00	0.00
JP MORGAN CHASE SR UNSEC 2 55% 10-29-20	25000 0										
	46625HXX4	02/25/2016	11/24/2015	25,090.25	24,925.25			165.00		0.00	0.00
KINDER MORGAN INC	9700 0										
	49456B101	03/17/2016	Various	183,218.33	345,225.54			-162,007.21		0.00	0.00
KINDER MORGAN INC	4585 0										
	49456B101	03/17/2016	Various	86,894.41	150,230.95			-63,336.54		0.00	0.00
LIBERTY GLOBAL PLC CL A	2500 0										
	G5480U104	08/24/2016	05/12/2016	78,880.52	81,228.36			-2,347.84		0.00	0.00

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LIBERTY GLOBAL PLC CL A	1000 0										
LIBERTY LILAC GROUP CL A	G5480U104	08/25/2016	05/12/2016	31,174.22	32,491.34			-1,317.12		0.00	0.00
MTA NY TRANSPORT REV 12G-3FRN (1ML 0.67+70) (MPT) SF 11-01-31/16	436 691										
	G5480U138	07/07/2016	05/12/2016	14,927.17	16,519.50			-1,592.33		0.00	0.00
NASPERS LIMITED CL N SHS SPON ADR	750000 0										
	59259YVG1	11/01/2016	09/21/2016	750,000.00	750,000.00			0.00		0.00	0.00
NESTLE SA REG	7300 0										
	631512100	05/12/2016	05/11/2016	93,729.95	96,575.35			-2,845.40		0.00	0.00
NESTLE SA REG	1575 0										
	712387901	05/13/2016	10/14/2015	116,635.47	123,593.54			-6,958.07		0.00	0.00
NESTLE SA REG	1177 0										
	712387901	10/18/2016	07/07/2016	88,996.32	91,918.32			-2,922.00		0.00	0.00
NTT DOCOMO	1765 0										
	712387901	10/18/2016	07/07/2016	133,196.13	137,838.42			-4,642.29		0.00	0.00
PACWEST BANCORP	5400 0										
	612927905	05/13/2016	Various	138,832.86	109,861.16			28,971.70		0.00	0.00
	25.0										
	695263103	10/19/2016	05/12/2016	1,045.89	954.78			91.10		0.00	0.00

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IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss Ordinary	Federal Income Tax Withheld	State Tax Withheld
1975 0 PACWEST BANCORP										
695263103	10/20/2016	05/12/2016		82,499.68	75,428.21			7,071.47	0.00	0.00
200.0 PHILIP MORRIS INTERNATIONAL INC										
718172109	10/17/2016	03/11/2016		19,119.08	19,347.00			-227.92	0.00	0.00
1735 0 PHILIP MORRIS INTERNATIONAL INC										
718172109	10/17/2016	Various		165,659.73	167,392.19			-1,732.46	0.00	0.00
715 0 ROCHE HOLDING AG GENUSSCHEIN										
711038901	05/13/2016	01/20/2016		177,704.89	181,294.42			-3,589.53	0.00	0.00
37500 0 SAMSONITE INTERNATIONAL SA										
B4Q153900	05/13/2016	Various		110,666.31	130,799.42			-20,133.11	0.00	0.00
365669.5236 SDART 13-2 B 1 33% 03-15-18/04-15-16										
80283DAD3	01/15/2016	06/25/2015		0.00	6,216.15			-6,216.15	0.00	0.00
246845.6352 SDART 13-2 B 1 33% 03-15-18/04-15-16										
80283DAD3	02/16/2016	06/25/2015		0.00	5,661.78			-5,661.78	0.00	0.00
122289.5649 SDART 13-2 B 1 33% 03-15-18/04-15-16										
80283DAD3	03/15/2016	06/25/2015		0.00	5,934.91			-5,934.91	0.00	0.00
5268 7586 SDART 13-2 B 1 33% 03-15-18/04-15-16										
80283DAD3	04/15/2016	06/25/2015		0.00	5,575.87			-5,575.87	0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

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Custp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
50000 0	SDART 13-2 B 1 33%	03-15-18/04-15-16								
80283DAD3	05/16/2016	06/25/2015	250.89	251.05			-0.16		0.00	0.00
308615 3763	SDART 13-2 C 1.95%	03-15-19/17								
80283DAE1	05/16/2016	06/25/2015	0.00	10,714.71			-10,714.71		0.00	0.00
283192 6692	SDART 13-2 C 1.95%	03-15-19/17								
80283DAE1	06/15/2016	06/25/2015	0.00	10,324.08			-10,324.08		0.00	0.00
129260 1102	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	04/15/2016	10/15/2015	20,739.89	20,739.14			0.75		0.00	0.00
115116 5023	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	05/16/2016	10/15/2015	14,143.61	14,143.10			0.51		0.00	0.00
101826 9736	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	06/15/2016	10/15/2015	13,289.53	13,289.05			0.48		0.00	0.00
88604 1591	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	07/15/2016	10/15/2015	13,222.81	13,222.34			0.47		0.00	0.00
74869 8224	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	08/15/2016	10/15/2015	13,734.34	13,733.84			0.50		0.00	0.00
60055.9042	SDART 15-5 A2A 1.4%	11-15-18/12-17-18								
80284QAB7	09/15/2016	10/15/2015	14,813.92	14,813.39			0.53		0.00	0.00

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2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
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23122 0 SYDNEY AIRPORT STAPLED SECURITY										
B70DWB907	05/13/2016	Various		121,195.03	106,213.52			14,981.51	0.00	0.00
0 492 TOTAL SA (FR)										
B15C55900	01/22/2016	10/12/2015		20.46	25.32			-4.86	0.00	0.00
0 482 TOTAL SA (FR)										
B15C55900	04/21/2016	10/12/2015		22.69	24.80			-2.11	0.00	0.00
5315.0 TOTAL SA (FR)										
B15C55900	06/15/2016	Various		241,652.26	272,920.18			-31,267.92	0.00	0.00
2064 026 TOTAL SA (FR)										
B15C55900	06/15/2016	Various		93,851.29	105,435.23			-11,583.94	0.00	0.00
1000000 0 US TREASURY I/L 2.5% 07/15/16										
912828FL9	07/15/2016	11/24/2015		1,186,920.00	1,208,420.24			-21,500.24	0.00	0.00
1820000.0 US TREASURY I/L FRN 0 125% 04-15-20										
912828K33	04/21/2016	Various		1,871,243.27	1,839,378.62			31,864.65	0.00	0.00
735000 0 US TREASURY NOTE 1 5% 06/30/16										
912828QR4	06/30/2016	03/22/2016		735,000.00	737,325.59			-2,325.59	0.00	0.00
365000 0 US TREASURY NOTE 1.625% 07-31-20										
912828XM7	09/08/2016	09/01/2015		373,412.11	366,967.58			6,444.53	0.00	0.00

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VIACOM INC CLASS B	2100 0							-8,811.13		0.00	0.00
09/23/2016 05/12/2016	92553P201			74,599.40	83,410.53			-13,106.32		0.00	0.00
VODAFONE GROUP PLC	58400 0										
05/13/2016 09/02/2015	ACI07KLY6			185,857.50	198,963.82			-3,430.77		0.00	0.00
WELLS FARGO & CO (NEW)	1885 0										
08/03/2016 05/12/2016	949746101			89,557.22	92,987.99			-884.94		0.00	0.00
WELLS FARGO & CO (NEW)	400.0										
09/13/2016 05/12/2016	949746101			18,847.26	19,732.20			-2,539.76		0.00	0.00
WELLS FARGO & CO (NEW)	1015 0										
09/13/2016 05/12/2016	949746101			47,530.70	50,070.46			3,799.28		0.00	0.00
WFNMT 16-A A 2 03% 04-15-25/06-15-21	420000 0										
09/13/2016 07/19/2016	981464FJ4			423,675.00	419,875.72			-17,451.29		0.00	0.00
WILLIS TOWERS WATSON PLC	1345.0										
01/19/2016 01/05/2016	G96629103			148,562.08	166,013.35			-16,699.70		0.00	0.00
WILLIS TOWERS WATSON PLC	1200.0										
01/20/2016 01/05/2016	G96629103			131,416.30	148,116.00			-1,221.98		0.00	0.00
WILLIS TOWERS WATSON PLC	100.0										
01/25/2016 01/05/2016	G96629103			11,121.02	12,343.00						

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100.0 WILLIS TOWERS WATSON PLC	G96629103	01/26/2016	01/05/2016	11,078.59	12,343.00			-1,264.41		0.00	0.00
200.0 WILLIS TOWERS WATSON PLC	G96629103	01/26/2016	01/05/2016	22,147.39	24,686.00			-2,538.61		0.00	0.00
40.0 WILLIS TOWERS WATSON PLC	G96629103	01/27/2016	01/05/2016	4,431.86	4,937.20			-505.34		0.00	0.00
1000.0 WILLIS TOWERS WATSON PLC	G96629103	03/28/2016	01/05/2016	118,785.70	123,430.00			-4,644.30		0.00	0.00
495.0 WILLIS TOWERS WATSON PLC	G96629103	03/29/2016	01/05/2016	58,152.12	61,097.85			-2,945.73		0.00	0.00
7775.0 ZODIAC AEROSPACE	729433904	05/13/2016	Various	184,517.00	209,043.18			-24,526.18		0.00	0.00
666.0 ZODIAC AEROSPACE	729433904	10/27/2016	Various	16,053.03	17,640.80			-1,587.77		0.00	0.00
389.0 ZODIAC AEROSPACE	729433904	10/27/2016	11/10/2015	9,374.20	10,277.46			-903.26		0.00	0.00
1370.0 ZODIAC AEROSPACE	729433904	10/27/2016	Various	33,087.08	36,194.72			-3,107.64		0.00	0.00

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245 0 ZODIAC AEROSPACE	729433904	10/28/2016	11/10/2015	5,973.93	6,472.73			-498.80		0.00	0.00
632 0 ZODIAC AEROSPACE	729433904	10/28/2016	Various	15,384.44	16,666.46			-1,282.02		0.00	0.00
586 0 ZODIAC AEROSPACE	729433904	10/31/2016	11/11/2015	14,252.59	15,358.35			-1,105.76		0.00	0.00
497 0 ZODIAC AEROSPACE	729433904	10/31/2016	11/11/2015	12,108.16	13,025.76			-917.60		0.00	0.00
195 0 ZODIAC AEROSPACE	729433904	10/31/2016	Various	4,742.68	5,088.63			-345.95		0.00	0.00
786 0 ZODIAC AEROSPACE	729433904	11/01/2016	Various	19,304.43	20,418.90			-1,114.47		0.00	0.00
141 0 ZODIAC AEROSPACE	729433904	11/01/2016	11/12/2015	3,458.59	3,654.42			-195.83		0.00	0.00
283 0 ZODIAC AEROSPACE	729433904	11/03/2016	11/12/2015	6,815.58	7,334.76			-519.18		0.00	0.00
447 0 ZODIAC AEROSPACE	729433904	11/04/2016	11/12/2015	10,530.97	11,585.29			-1,054.32		0.00	0.00

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600 0 ZODIAC AEROSPACE										
729433904		11/07/2016	Various	14,176.83	15,207.28			-1,030.45	0.00	0.00
194.0 ZODIAC AEROSPACE										
729433904		11/08/2016	11/13/2015	4,593.49	4,909.52			-316.03	0.00	0.00
744 0 ZODIAC AEROSPACE										
729433904		11/09/2016	Various	17,783.42	18,780.19			-996.77	0.00	0.00
Total Short Term Sales				17,535,676.03	18,280,681.99	0.00	2,469.94	-742,536.02	0.00	0.00

Long Term Sales

500 0 3M COMPANY										
88579Y101		01/22/2016	04/01/2014	69,754.16	68,207.86			1,546.30	0.00	0.00
700 0 3M COMPANY										
88579Y101		01/25/2016	Various	96,848.89	72,928.73			23,920.15	0.00	0.00
50 0 3M COMPANY										
88579Y101		01/26/2016	06/03/2010	7,180.26	3,904.07			3,276.19	0.00	0.00
775.0 3M COMPANY										
88579Y101		01/29/2016	06/03/2010	116,861.25	60,513.08			56,348.17	0.00	0.00

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24800 0 AA PLC											
BMSKJPJ909		05/13/2016	Various	94,525.78	131,794.21			-37,268.43		0.00	0.00
5120 0 ABBVIE INC											
00287Y109		01/13/2016	07/15/2013	269,962.90	229,030.91			40,931.99		0.00	0.00
45100 0 ABERDEEN ASSET MGMT PLC											
000312900		05/13/2016	Various	168,674.92	312,062.81			-143,387.89		0.00	0.00
2800 0 ABERDEEN ASSET MGMT PLC											
000312900		10/17/2016	02/10/2016	10,908.73	18,876.50			-7,967.77		0.00	0.00
4800 0 ABERDEEN ASSET MGMT PLC											
000312900		10/17/2016	Various	18,938.49	33,162.85			-14,224.36		0.00	0.00
7700 0 ABERDEEN ASSET MGMT PLC											
000312900		10/18/2016	12/10/2014	31,054.22	53,415.02			-22,360.80		0.00	0.00
6700 0 ABERDEEN ASSET MGMT PLC											
000312900		10/19/2016	Various	27,125.05	45,221.74			-18,096.69		0.00	0.00
1400 0 ABERDEEN ASSET MGMT PLC											
000312900		10/19/2016	10/29/2014	5,636.43	9,456.90			-3,820.47		0.00	0.00
12600 0 ABERDEEN ASSET MGMT PLC											
000312900		10/19/2016	Various	50,842.39	84,554.88			-33,712.49		0.00	0.00

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ABERDEEN ASSET MGMT PLC	4000 0										
	000312900	10/20/2016	Various	15,865.85	26,817.28			-10,951.43		0.00	0.00
ABERDEEN ASSET MGMT PLC	6000 0										
	000312900	10/21/2016	Various	23,689.87	40,192.92			-16,503.05		0.00	0.00
ABERDEEN ASSET MGMT PLC	2800 0										
	000312900	10/24/2016	09/25/2014	11,010.78	18,756.19			-7,745.41		0.00	0.00
ABERDEEN ASSET MGMT PLC	7200 0										
	000312900	10/24/2016	09/25/2014	28,113.21	48,230.20			-20,116.99		0.00	0.00
ABERDEEN ASSET MGMT PLC	3800 0										
	000312900	10/24/2016	09/25/2014	14,826.29	25,454.83			-10,628.54		0.00	0.00
ABERDEEN ASSET MGMT PLC	1200 0										
	000312900	10/24/2016	09/25/2014	4,747.12	8,038.37			-3,291.25		0.00	0.00
ABERDEEN ASSET MGMT PLC	1000 0										
	000312900	10/25/2016	09/25/2014	3,919.30	6,698.64			-2,779.34		0.00	0.00
ABERDEEN ASSET MGMT PLC	100 0										
	000312900	10/25/2016	09/25/2014	390.09	669.86			-279.77		0.00	0.00
ABERDEEN ASSET MGMT PLC	1022 0										
	000312900	10/25/2016	09/25/2014	3,978.07	6,846.01			-2,867.94		0.00	0.00

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33.0 ABERDEEN ASSET MGMT PLC	000312900	10/26/2016	09/25/2014	129.04	221.06			-92.02		0.00	0.00
135800 0 AIA GROUP LTD	B4TX8S909	05/13/2016	Various	750,963.69	458,719.16			292,244.53		0.00	0.00
1781 0 AIR LIQUIDE SA RTS EXP 09/28/2016	BD1LVR901	09/19/2016	Various	5,277.76	0.00			5,277.76		0.00	0.00
4000 0 AJINOMOTO CO INC	601090004	01/05/2016	Various	92,422.37	44,429.45			47,992.92		0.00	0.00
7000 0 AJINOMOTO CO INC	601090004	01/06/2016	02/10/2011	160,127.71	77,129.06			82,998.65		0.00	0.00
5000 0 AJINOMOTO CO INC	601090004	01/07/2016	Various	113,741.63	55,926.37			57,815.26		0.00	0.00
431912.3078 ALLYA 2014-1 A3 97% 10-15-18/09-15-17	02006TAB2	01/15/2016	06/10/2014	18,087.69	18,087.25			0.44		0.00	0.00
401785 6195 ALLYA 2014-1 A3 97% 10-15-18/09-15-17	02006TAB2	02/16/2016	06/10/2014	30,146.69	30,145.95			0.74		0.00	0.00
450000 0 ALLYA 2014-1 A3 97% 10-15-18/09-15-17	02006TAB2	02/24/2016	06/10/2014	401,483.13	401,755.82			-272.69		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cash Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
255 0 ALPHABET INC CL C								145,281.68		0.00	0.00
02079K107		06/03/2016	Various	184,259.13	38,977.45						
155984 7075 AMCAR 14-3 A3 1 15% 06-10-19/06-08-17								0.25		0.00	0.00
03062AAD8		07/08/2016	08/20/2014	9,015.29	9,015.04						
140846.602 AMCAR 14-3 A3 1.15% 06-10-19/06-08-17								0.43		0.00	0.00
03062AAD8		08/08/2016	08/20/2014	15,138.11	15,137.68						
125908 5529 AMCAR 14-3 A3 1 15% 06-10-19/06-08-17								0.42		0.00	0.00
03062AAD8		09/08/2016	08/20/2014	14,938.05	14,937.63						
111825 4137 AMCAR 14-3 A3 1 15% 06-10-19/06-08-17								0.39		0.00	0.00
03062AAD8		10/11/2016	08/20/2014	14,083.14	14,082.75						
98614.2508 AMCAR 14-3 A3 1 15% 06-10-19/06-08-17								0.36		0.00	0.00
03062AAD8		11/08/2016	08/20/2014	13,211.16	13,210.80						
85996 8566 AMCAR 14-3 A3 1 15% 06-10-19/06-08-17								0.35		0.00	0.00
03062AAD8		12/08/2016	08/20/2014	12,617.39	12,617.04						
75291 1222 AMCAR 14-4 A2A 0.72% 04-09-18/08-08-16								0.10		0.00	0.00
03065JAB0		01/08/2016	11/13/2014	10,067.43	10,067.33						
64743 7606 AMCAR 14-4 A2A 0.72% 04-09-18/08-08-16								0.09		0.00	0.00
03065JAB0		02/08/2016	11/13/2014	10,547.36	10,547.27						

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
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Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

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53739.0607 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	03/08/2016	11/13/2014	11,004.70	11,004.60			0.10		0.00	0.00
41954.6444 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	04/08/2016	11/13/2014	11,784.42	11,784.31			0.11		0.00	0.00
31751.9012 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	05/09/2016	11/13/2014	10,202.74	10,202.65			0.09		0.00	0.00
22136.239 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	06/08/2016	11/13/2014	9,615.66	9,615.57			0.09		0.00	0.00
12158.9096 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	07/08/2016	11/13/2014	9,977.33	9,977.24			0.09		0.00	0.00
3050.7049 AMCAR 14-4 A2A 0.72% 04-09-18/08-08-16	03065JAB0	08/08/2016	11/13/2014	9,108.20	9,108.12			0.08		0.00	0.00
165000.0 AMCAR 14-4 A2A 0 72% 04-09-18/08-08-16	03065JAB0	09/08/2016	11/13/2014	3,050.70	3,050.68			0.02		0.00	0.00
2100.0 AMERISOURCEBERGEN CORP	03073E105	10/18/2016	09/25/2014	170,253.78	162,632.40			7,621.38		0.00	0.00
750.0 AMERISOURCEBERGEN CORP	03073E105	10/18/2016	09/25/2014	60,821.80	58,083.00			2,738.80		0.00	0.00

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AMXCA 14-4 A 1 43% 06-15-20/11-15-17	285000 0			286,714.45	284,977.37						
ASML HOLDING NV	4658 0	02/12/2016	11/12/2014								
ACIO2GTQ9		05/13/2016	Various	423,569.14	343,167.65			1,737.08		0.00	0.00
ASSA ABLOY AB CL B	17702 0										
ACIOCOYQ0		05/13/2016	Various	350,094.34	167,220.91			80,401.49		0.00	0.00
ASTRAZENECA PLC (GBP)	8615 0										
ASTRAZENECA PLC (GBP)	098952906	05/13/2016	Various	479,756.41	624,135.38			182,873.43		0.00	0.00
ASTRAZENECA PLC (GBP)	8500 0										
ASTRAZENECA PLC (GBP)	098952906	10/17/2016	Various	509,658.21	618,129.79			144,378.97		0.00	0.00
AUTOMATIC DATA PROCESSING INC COMMON STOCK	2350 0										
AUTOMATIC DATA PROCESSING INC COMMON STOCK	053015103	09/30/2016	Various	207,582.25	149,867.65			108,471.58		0.00	0.00
BAE SYSTEMS PLC	36200 0										
BAE SYSTEMS PLC	026349902	05/13/2016	Various	251,580.87	283,757.25			57,714.60		0.00	0.00
BAE SYSTEMS PLC	43700 0										
BAE SYSTEMS PLC	026349902	10/17/2016	Various	286,707.54	342,523.05			32,176.58		0.00	0.00
BAE SYSTEMS PLC	4420 0										
BAE SYSTEMS PLC	026349902	10/17/2016	04/29/2015	29,358.71	35,004.92			55,815.51		0.00	0.00
								5,646.21		0.00	0.00

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BANK OF NOVA SCOTIA FRN SR UNSEC YCD (L+23) 01-12-16	280000 0										
	06417HDM5	01/12/2016	01/13/2014	280,000 00	280,000 00			0.00		0.00	0.00
BAYER AG	1850 0										
	506921907	01/06/2016	Various	217,099.59	144,779 17			72,320 42		0.00	0.00
BAYER AG	2148 0										
	506921907	02/01/2016	04/08/2008	239,313 88	175,589 65			63,724 23		0.00	0.00
BECTON DICKINSON SR UNSEC 1 8% 12-15-17	68000 0										
	075887BD0	12/12/2016	12/04/2014	68,425 68	68,000 00			425 68		0.00	0.00
BERKSHIRE HATHAWAY SR UNSEC 0 95% 08-15-16	375000 0										
	084664BX8	08/15/2016	Various	375,000 00	374,650 55			349 45		0.00	0.00
BMW AG	2920 0										
	575602909	09/14/2016	Various	247,199 34	225,244 51			21,954 83		0.00	0.00
BNP PARIBAS	1081 0										
	730968906	02/03/2016	03/05/2013	47,860 17	61,548 72			-13,688.55		0.00	0.00
BNP PARIBAS	3095 0										
	730968906	02/03/2016	Various	137,330.08	158,108 25			-20,778 17		0.00	0.00
BNP PARIBAS FRN SR UNSEC (L+48) 03-17-17	210000 0										
	05574LXF0	02/19/2016	03/10/2014	209,834 10	210,000 00			-165 90		0.00	0.00

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2016 Tax Information Statement

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BOEING CO	2395 0										
097023105		03/10/2016	Various	292,950.01	239,784.75			53,165.26		0.00	0.00
BRISTOL-MYERS SQUIBB CO	500 0										
110122108		01/07/2016	08/29/2011	32,678.44	14,667.40			18,011.04		0.00	0.00
BRISTOL-MYERS SQUIBB CO	1300 0										
110122108		02/17/2016	08/29/2011	82,733.83	38,135.23			44,598.60		0.00	0.00
BRISTOL-MYERS SQUIBB CO	145 0										
110122108		03/02/2016	08/29/2011	9,373.18	4,253.55			5,119.61		0.00	0.00
BROADCOM CORP	3900 0										
111320107		01/12/2016	Various	210,280.22	121,159.59			89,120.63		0.00	0.00
BROADCOM CORP	1880 0										
111320107		01/12/2016	Various	101,514.75	38,456.32			63,058.43		0.00	0.00
BROADCOM CORP	4120 0										
111320107		02/03/2016	02/19/2009	64,237.35	64,237.35			0.00		0.00	0.00
BROADCOM LTD	0 736										
Y09827109		02/02/2016	03/27/2014	89.57	46.07			43.50		0.00	0.00
BROADCOM LTD	900 0										
Y09827109		05/12/2016	10/21/2013	126,900.20	41,590.08			85,310.12		0.00	0.00

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2016 Tax Information Statement

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR. C-2E
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963699 2428 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	01/13/2016	02/19/2014		214,247.63	242,668.92			-28,421.29		0.00	0.00
962940 3546 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	02/12/2016	02/19/2014		758.89	859.56			-100.67		0.00	0.00
954597 1574 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	03/11/2016	02/19/2014		8,343.20	9,449.97			-1,106.77		0.00	0.00
953841.3304 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	04/13/2016	02/19/2014		755.83	856.09			-100.26		0.00	0.00
953010.0991 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	05/13/2016	02/19/2014		831.23	941.50			-110.27		0.00	0.00
952246 4551 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	06/13/2016	02/19/2014		763.64	864.95			-101.31		0.00	0.00
951407 6215 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	07/13/2016	02/19/2014		838.83	950.11			-111.28		0.00	0.00
925111 3017 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	08/12/2016	02/19/2014		26,296.32	29,784.69			-3,488.37		0.00	0.00
924375 3518 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	09/13/2016	02/19/2014		735.95	833.58			-97.63		0.00	0.00

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2016 Tax Information Statement

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C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
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923568 8663 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	10/14/2016	02/19/2014		806.49	913.47			-106.98		0.00	0.00
922825 3277 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	11/14/2016	02/19/2014		743.64	842.17			-98.63		0.00	0.00
600936 1411 BSCMS 07-PW16 A1A VAR 06-11-40/05-11-17											
07388YAF9	12/13/2016	02/19/2014		321,889.19	364,589.80			-42,700.61		0.00	0.00
375000 0 CA HLTH SUTTER HLTH TAXABLE (MPT) 1 09% 08-15-53/16											
86944BAA1	08/15/2016	04/11/2013		375,000.00	375,000.00			0.00		0.00	0.00
5557 391 CAIXABANK SA											
B283W9907	02/04/2016	04/08/2014		15,559.97	35,289.43			-19,729.46		0.00	0.00
14610 0 CAIXABANK SA											
B283W9907	02/05/2016	Various		41,001.00	92,579.49			-51,578.49		0.00	0.00
28185 0 CAIXABANK SA											
B283W9907	02/05/2016	Various		81,185.84	177,774.00			-96,588.16		0.00	0.00
8747 0 CAIXABANK SA											
B283W9907	03/10/2016	Various		25,546.09	52,793.40			-27,247.31		0.00	0.00
52180 0 CAIXABANK SA											
B283W9907	03/10/2016	Various		159,245.06	296,764.79			-137,519.73		0.00	0.00

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185000 0 CATERPILLAR FIN SR UNSEC 1 35% 09-06-16											
14912L5S6 09/06/2016 09/03/2013				185,000 00	184,972 25			27 75		0 00	0 00
2195 0 CATERPILLAR INC											
149123101 03/17/2016 Various				165,410 71	180,660 87			-15,250 16		0 00	0 00
1100 0 CHEVRON CORP											
166764100 10/18/2016 02/02/2015				111,972 05	114,260 41			-2,288 36		0 00	0 00
180000 0 CHEVRON CORP SR UNSEC 1 365% 03-02-18											
166764AV2 04/22/2016 02/24/2015				180,797 40	180,000 00			797 40		0 00	0 00
17000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 02/12/2016 01/30/2015				15,814 35	25,239 38			-9,425 03		0 00	0 00
8000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 02/15/2016 01/30/2015				7,388 75	11,877 35			-4,488 60		0 00	0 00
52000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 02/15/2016 Various				48,181 53	77,163 40			-28,981 87		0 00	0 00
79000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 02/16/2016 Various				75,652 34	116,989 31			-41,336 97		0 00	0 00
24000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 07/12/2016 Various				25,203 40	34,789 99			-9,586 59		0 00	0 00

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CHINA EVERBRIGHT INTERNATIONAL LIMITED	126000 0	07/13/2016	Various	131,738.53	173,418.84			-41,680.31		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	07/15/2016	06/04/2014	18,206.92	23,182.59			-4,975.67		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	5000 0	07/18/2016	06/04/2014	5,335.93	6,818.41			-1,482.48		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	33000 0	10/28/2016	Various	39,778.09	44,989.92			-5,211.83		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	10/31/2016	Various	20,330.03	23,036.10			-2,706.07		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	13000 0	11/01/2016	06/03/2014	15,720.57	17,564.80			-1,844.23		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	11/02/2016	06/03/2014	8,307.91	9,457.97			-1,150.06		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	8000 0	11/03/2016	Various	9,485.37	10,749.23			-1,263.86		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	9000 0	11/04/2016	Various	10,607.49	11,877.08			-1,269.59		0.00	0.00

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THE STANTON FOUNDATION
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BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Description of property	Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
CHINA EVERBRIGHT INTERNATIONAL LIMITED	6000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	11/04/2016	05/26/2014	7,067.90	7,867.18			-799.28		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	10000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	11/07/2016	Various	12,081.17	13,094.17			-1,013.00		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	16000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/13/2016	05/28/2014	17,734.68	20,901.40			-3,166.72		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	10000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/14/2016	Various	11,087.92	13,062.60			-1,974.68		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	5000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/15/2016	05/27/2014	5,393.18	6,530.25			-1,137.07		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	35000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/16/2016	Various	37,766.17	45,370.57			-7,604.40		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	11000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/19/2016	05/29/2014	11,802.81	14,141.36			-2,338.55		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	8000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/20/2016	05/29/2014	8,544.87	10,284.62			-1,739.75		0.00	0.00
CHINA EVERBRIGHT INTERNATIONAL LIMITED	9000 0										
CHINA EVERBRIGHT INTERNATIONAL LIMITED	663094902	12/21/2016	Various	9,621.35	11,524.26			-1,902.91		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
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12000 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 12/28/2016 05/30/2014				12,910.57	15,329.62			-2,419.05		0.00	0.00
29335 0 CHINA EVERBRIGHT INTERNATIONAL LIMITED											
663094902 12/29/2016 05/30/2014				31,758.00	37,474.53			-5,716.53		0.00	0.00
2392 0 CIE FINANCIERE RICHEMON REG											
ACI06R7K8 05/10/2016 Various				153,478.06	122,634.89			30,843.17		0.00	0.00
3888 0 CIE FINANCIERE RICHEMON REG											
ACI06R7K8 05/11/2016 Various				248,203.40	196,109.53			52,093.87		0.00	0.00
1847 0 CIE FINANCIERE RICHEMON REG											
ACI06R7K8 05/13/2016 Various				114,753.10	61,343.66			53,409.44		0.00	0.00
205000 0 CITIGROUP INC FRN SR UNSEC (L+96) VAR 07-25-16											
172967GV7 07/25/2016 07/18/2013				205,000.00	205,000.00			0.00		0.00	0.00
350000 0 COCA COLA CO 0.75% 11/01/2016											
191216BD1 11/01/2016 10/29/2013				350,000.00	349,597.50			402.50		0.00	0.00
6165 0 CONOCOPHILLIPS											
20825C104 01/22/2016 Various				231,216.53	478,619.71			-247,403.18		0.00	0.00
124000 0 CONSUMERS ENERGY 5 5% 08/15/2016											
210518CE4 08/15/2016 01/12/2012				124,000.00	142,805.84			-18,805.84		0.00	0.00

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179283 105 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 07/15/2016 03/04/2015				5,716.89	5,716.52			0.37		0.00	0.00
161842.6376 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 08/15/2016 03/04/2015				17,440.47	17,439.34			1.13		0.00	0.00
144669.069 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 09/15/2016 03/04/2015				17,173.57	17,172.46			1.11		0.00	0.00
128511 2416 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 10/17/2016 03/04/2015				16,157.83	16,156.78			1.05		0.00	0.00
112922.9339 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 11/15/2016 03/04/2015				15,588.31	15,587.30			1.01		0.00	0.00
99640 2504 CRART 15-1 A3 1 33% 04-15-19/10-16-17											
13056UAC3 12/15/2016 03/04/2015				13,282.68	13,281.82			0.86		0.00	0.00
9655 0 CREDIT SUISSE GROUP REG											
717158901 07/08/2016 Various				100,086.78	250,291.79			-150,205.01		0.00	0.00
266710 8525 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16											
22545MAD9 01/15/2016 04/02/2013				21,089.62	23,678.87			-2,589.25		0.00	0.00
264576 4184 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16											
22545MAD9 02/18/2016 04/02/2013				2,134.43	2,396.49			-262.06		0.00	0.00

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227267.9657 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16										
22545MAD9		03/17/2016	04/02/2013	37,308.45	41,888.94			-4,580.49	0.00	0.00
160062 5568 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16										
22545MAD9		04/15/2016	04/02/2013	67,205.41	75,456.45			-8,251.04	0.00	0.00
72928 1041 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16										
22545MAD9		05/17/2016	04/02/2013	87,134.45	97,832.25			-10,697.80	0.00	0.00
365000 0 CSMC 06-C4 A3 5 467% 09-15-39/8-15-16										
22545MAD9		06/17/2016	04/02/2013	72,928.10	81,881.73			-8,953.63	0.00	0.00
2996.0 DANONE										
81Y9TB906		05/13/2016	02/09/2015	203,589.30	197,978.52			5,610.78	0.00	0.00
1750 0 DAVITA INC										
23918K108		10/31/2016	Various	102,267.41	146,385.63			-44,118.22	0.00	0.00
23800.0 DBS GROUP HOLDINGS LTD										
617520903		06/13/2016	Various	256,659.29	150,690.28			105,969.01	0.00	0.00
19006 0 DBS GROUP HOLDINGS LTD										
617520903		06/16/2016	Various	219,376.99	97,827.07			121,549.92	0.00	0.00
380000 0 DCENT 14-A4 A4 2.12% 12-15-21/06-17-19										
254883BK0		02/17/2016	07/29/2014	387,837.50	379,832.65			8,004.85	0.00	0.00

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DELPHI AUTOMOTIVE PLC	4080 0										
G27823106		01/22/2016	Various	265,260.80	245,215.99			20,044.81		0.00	0.00
DENSO CORP	3100 0										
03/11/2016 04/23/2014	664038007			121,467.00	147,297.17			-25,830.17		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	12258 0										
05/13/2016 Various	80YZ0Z908			379,660.85	265,954.50			113,706.35		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	3534 0										
10/13/2016 Various	80YZ0Z908			115,391.12	76,351.44			39,039.68		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	1220 0										
10/14/2016 Various	80YZ0Z908			39,944.92	26,248.23			13,696.69		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	474 0										
10/18/2016 Various	80YZ0Z908			15,620.45	10,185.50			5,434.95		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	337 0										
10/20/2016 06/26/2014	80YZ0Z908			11,226.05	7,219.25			4,006.80		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	786 0										
10/20/2016 06/26/2014	80YZ0Z908			25,972.42	16,837.77			9,134.65		0.00	0.00
DEUTSCHE WOHNEN AG (BEARER)	145 0										
10/21/2016 06/26/2014	80YZ0Z908			4,795.31	3,106.20			1,689.11		0.00	0.00

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299 0 DEUTSCHE WOHNEN AG (BEARER)	80YZ0Z908	10/24/2016	Various	9,912.40	6,440.78			3,471.62		0.00	0.00
2055 0 DEUTSCHE WOHNEN AG (BEARER)	80YZ0Z908	12/16/2016	04/01/2014	60,780.93	44,548.20			16,232.73		0.00	0.00
1085 0 DEUTSCHE WOHNEN AG (BEARER)	80YZ0Z908	12/16/2016	Various	32,040.44	23,520.58			8,519.86		0.00	0.00
1461 0 DKSH HOLDING LTD	B71QPM901	05/13/2016	Various	94,056.62	119,996.01			-25,939.39		0.00	0.00
3525 0 DOW CHEMICAL CO	260543103	03/10/2016	Various	172,636.98	169,456.33			3,180.65		0.00	0.00
1225 0 EATON CORP PLC	G29183103	09/27/2016	12/03/2012	77,538.59	56,873.04			20,665.55		0.00	0.00
6682 0 ELECTROLUX AB SER B	B1KKBX907	04/22/2016	Various	178,197.44	221,763.69			-43,566.25		0.00	0.00
4794 0 ELECTROLUX AB SER B	B1KKBX907	04/25/2016	Various	128,855.78	150,135.04			-21,279.26		0.00	0.00
2433 0 ELECTROLUX AB SER B	B1KKBX907	04/26/2016	Various	64,000.47	76,481.00			-12,480.53		0.00	0.00

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598 0 ELECTROLUX AB SER B	B1KKBX907	04/27/2016	02/03/2015	15,830.64	18,812.18			-2,981.54	0.00	0.00
3124 0 ESSILOR INTL	721247906	05/13/2016	Various	393,161.32	339,597.15			53,564.17	0.00	0.00
1030 0 EXPRESS SCRIPTS HOLDING CO	30219G108	08/12/2016	12/14/2011	78,390.15	45,027.38			33,362.77	0.00	0.00
2100 0 FANUC CO	635693005	05/13/2016	05/21/2013	308,677.84	330,551.75			-21,873.91	0.00	0.00
400 0 FEDEX CORP COMMON STOCK	31428X106	01/29/2016	07/09/2009	52,996.68	21,650.72			31,345.94	0.00	0.00
39268 7156 FGLMC 5 5 A23893 110K MAX 07-01-34	31297BKE1	01/15/2016	08/23/2013	106.97	115.30			-8.33	0.00	0.00
39161 2513 FGLMC 5 5 A23893 110K MAX 07-01-34	31297BKE1	01/31/2016	08/23/2013	107.46	115.83			-8.37	0.00	0.00
39053 3024 FGLMC 5 5 A23893 110K MAX 07-01-34	31297BKE1	03/15/2016	08/23/2013	107.95	116.35			-8.40	0.00	0.00
38944 7943 FGLMC 5.5 A23893 110K MAX 07-01-34	31297BKE1	04/15/2016	08/23/2013	108.51	116.95			-8.44	0.00	0.00

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36627.847 FGLMC 5 5 A23893 110K MAX 07-01-34							-180.29		0.00	0.00
31297BKE1 05/16/2016 08/23/2013	05/16/2016	08/23/2013	2,316.95	2,497.24						
36525.2944 FGLMC 5 5 A23893 110K MAX 07-01-34							-7.98		0.00	0.00
31297BKE1 06/15/2016 08/23/2013	06/15/2016	08/23/2013	102.55	110.53						
36422.066 FGLMC 5 5 A23893 110K MAX 07-01-34							-8.03		0.00	0.00
31297BKE1 07/15/2016 08/23/2013	07/15/2016	08/23/2013	103.23	111.26						
33640.3769 FGLMC 5 5 A23893 110K MAX 07-01-34							-216.45		0.00	0.00
31297BKE1 08/15/2016 08/23/2013	08/15/2016	08/23/2013	2,781.69	2,998.14						
31032.3147 FGLMC 5 5 A23893 110K MAX 07-01-34							-202.94		0.00	0.00
31297BKE1 09/15/2016 08/23/2013	09/15/2016	08/23/2013	2,608.06	2,811.00						
26184.4515 FGLMC 5 5 A23893 110K MAX 07-01-34							-377.23		0.00	0.00
31297BKE1 10/17/2016 08/23/2013	10/17/2016	08/23/2013	4,847.86	5,225.09						
26107.0628 FGLMC 5.5 A23893 110K MAX 07-01-34							-6.02		0.00	0.00
31297BKE1 11/15/2016 08/23/2013	11/15/2016	08/23/2013	77.39	83.41						
23824.5249 FGLMC 5 5 A23893 110K MAX 07-01-34							-177.61		0.00	0.00
31297BKE1 12/15/2016 08/23/2013	12/15/2016	08/23/2013	2,282.54	2,460.15						
565000.0 FHLB 0.375% 02-19-16							1,101.75		0.00	0.00
3130A0SD3 02/19/2016 01/23/2014	02/19/2016	01/23/2014	565,000.00	563,898.25						

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FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	210610 16										
	3128UJL0	01/15/2016	05/02/2012	408.36	434.00			-25.64		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	198076 935										
	3128UJL0	02/16/2016	05/02/2012	12,533.23	13,320.47			-787.24		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	188022 46										
	3128UJL0	03/15/2016	05/02/2012	10,054.48	10,686.02			-631.54		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	187752 365										
	3128UJL0	04/15/2016	05/02/2012	270.10	287.06			-16.96		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	180267 62										
	3128UJL0	05/16/2016	05/02/2012	7,484.75	7,954.88			-470.13		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	171694 025										
	3128UJL0	06/15/2016	05/02/2012	8,573.60	9,112.12			-538.52		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	167092 885										
	3128UJL0	07/15/2016	05/02/2012	4,601.14	4,890.15			-289.01		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	166729 905										
	3128UJL0	08/15/2016	05/02/2012	362.98	385.78			-22.80		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	138991 86										
	3128UJL0	09/15/2016	05/02/2012	27,738.05	29,480.34			-1,742.29		0.00	0.00

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FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	138702 05										
	3128UHJL0	10/17/2016	05/02/2012	289.81	308.01			-18.20		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	128920 28										
	3128UHJL0	11/15/2016	05/02/2012	9,781.77	10,396.19			-614.42		0.00	0.00
FHLMC POOL #1B8916 ARH5 MHA VAR 10/1/41	116791.4										
	3128UHJL0	12/15/2016	05/02/2012	12,128.88	12,890.72			-761.84		0.00	0.00
FL HURRICANE CATASTROPHE FUND FIN CORP 13A TAXABLE 1 298%	400000 0										
	34074GDF8	07/01/2016	04/10/2013	400,000.00	400,000.00			0.00		0.00	0.00
FNCN 4.0 AB1441 09/01/20	505106 4										
	31416WS78	01/25/2016	Various	11,579.51	12,258.00			-678.49		0.00	0.00
FNCN 4.0 AB1441 09/01/20	494913 8										
	31416WS78	01/31/2016	Various	5,096.30	5,394.91			-298.61		0.00	0.00
FNCN 4.0 AB1441 09/01/20	467424 15										
	31416WS78	03/25/2016	Various	13,744.83	14,550.18			-805.35		0.00	0.00
FNCN 4.0 AB1441 09/01/20	442236.725										
	31416WS78	04/25/2016	Various	12,593.71	13,331.62			-737.91		0.00	0.00
FNCN 4.0 AB1441 09/01/20	411077 15										
	31416WS78	05/25/2016	Various	15,579.79	16,492.66			-912.87		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

2016 Tax Information Statement

Account Number:
Recipient's Tax ID Number:

70-734500
XX-XXX8005

☐ Corrected ☐ FATCA

☐ 2nd TIN notice

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Recipient's Name and Address:
THE STANTON FOUNDATION
C/O ANDREW WEISS, TRUSTEE
BAKER TILLY VIRCHOW KRAUSE LLP
ONE PENN PLAZA - SUITE 3000
NEW YORK, NY 10119

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Cusip Number Date Sold or Disposed Date Acquired								
398796 35 FNCN 4 0 AB1441 09/01/20	6,140.40	6,500.19			-359.79		0.00	0.00
31416WS78 06/27/2016 Various					-521.31		0.00	0.00
381002.275 FNCN 4 0 AB1441 09/01/20	8,897.04	9,418.35			-268.31		0.00	0.00
31416WS78 07/25/2016 Various					-268.31		0.00	0.00
371912.325 FNCN 4.0 AB1441 09/01/20	4,544.98	4,811.29			-332.06		0.00	0.00
31416WS78 08/25/2016 Various					-332.06		0.00	0.00
360577 975 FNCN 4 0 AB1441 09/01/20	5,667.18	5,999.24			-399.72		0.00	0.00
31416WS78 09/26/2016 Various					-399.72		0.00	0.00
346934 35 FNCN 4 0 AB1441 09/01/20	6,821.81	7,221.53			-281.76		0.00	0.00
31416WS78 10/25/2016 Various					-281.76		0.00	0.00
337316 875 FNCN 4 0 AB1441 09/01/20	4,808.74	5,090.50			-516.65		0.00	0.00
31416WS78 11/25/2016 Various					-516.65		0.00	0.00
319681 7 FNCN 4.0 AB1441 09/01/20	8,817.59	9,334.24			-101.45		0.00	0.00
31416WS78 12/27/2016 Various					-101.45		0.00	0.00
1167704 1148FNCT 3.5 AS4449 02-01-35	14,784.53	14,885.98			-728.24		0.00	0.00
3138WD5K3 01/31/2016 02/05/2015					-728.24		0.00	0.00
2310760 08 FNCT 3 5 AS4449 02-01-35	12,324.07	13,052.31						
3138WD5K3 03/25/2016 Various								

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
Recipient's Tax ID Number: XX-XXX8005
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2283898.9958FNCT 3.5 AS4449 02-01-35											
3138WD5K3		04/25/2016	Various	13,430.54	14,224.16			-793.62		0.00	0.00
2276831.4494FNCT 3.5 AS4449 02-01-35											
3138WD5K3		05/25/2016	Various	3,533.77	3,742.58			-208.81		0.00	0.00
2230403.2704FNCT 3.5 AS4449 02-01-35											
3138WD5K3		06/27/2016	Various	23,214.09	24,585.82			-1,371.73		0.00	0.00
2064418.053 FNCT 3.5 AS4449 02-01-35											
3138WD5K3		07/25/2016	Various	82,992.61	87,896.68			-4,904.07		0.00	0.00
2040769.3296FNCT 3.5 AS4449 02-01-35											
3138WD5K3		08/25/2016	Various	11,824.36	12,523.07			-698.71		0.00	0.00
1995832.65 FNCT 3.5 AS4449 02-01-35											
3138WD5K3		09/26/2016	Various	22,468.34	23,796.01			-1,327.67		0.00	0.00
1933389.6646FNCT 3.5 AS4449 02-01-35											
3138WD5K3		10/25/2016	Various	31,221.49	33,066.38			-1,844.89		0.00	0.00
1830397.3654FNCT 3.5 AS4449 02-01-35											
3138WD5K3		11/25/2016	Various	51,496.15	54,539.08			-3,042.93		0.00	0.00
1769221.4614FNCT 3.5 AS4449 02-01-35											
3138WD5K3		12/27/2016	Various	30,587.95	32,395.40			-1,807.45		0.00	0.00

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

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1010208 2367FNCT 3 5 MA2497 01-01-36										
31418BX35		11/25/2016	11/17/2015	24,995.32	26,084.96			-1,089.64	0.00	0.00
995154 8965 FNCT 3 5 MA2497 01-01-36										
31418BX35		12/27/2016	11/17/2015	15,053.34	15,709.57			-656.23	0.00	0.00
1000000 0 FNMA 0 95% 08-23-17/08-23-13										
3135G0NH2		05/23/2016	10/17/2012	1,000,000.00	1,000,300.00			-300.00	0.00	0.00
900 0 FORTIVE CORPORATION										
34959J108		07/06/2016	12/24/2006	42,970.28	16,043.10			26,927.18	0.00	0.00
500 0 FORTIVE CORPORATION										
34959J108		07/07/2016	12/24/2006	23,815.93	8,912.83			14,903.10	0.00	0.00
600 0 FORTIVE CORPORATION										
34959J108		07/07/2016	12/24/2006	28,576.89	10,695.40			17,881.49	0.00	0.00
235 0 FORTIVE CORPORATION										
34959J108		07/08/2016	12/24/2006	11,391.40	4,189.03			7,202.37	0.00	0.00
655074 0379 G2RF 5 047 AG8142 06-20-64										
36182DBK0		01/20/2016	07/15/2014	25,184.24	24,761.61			422.63	0.00	0.00
619311 1849 G2RF 5 047 AG8142 06-20-64										
36182DBK0		02/22/2016	07/15/2014	35,762.85	35,162.70			600.15	0.00	0.00

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1193858 7868G2RF 5 047 AG8142 06-20-64											
36182DBK0		03/21/2016	Various	22,381.79	23,967.90			-1,586.11		0.00	0.00
1143310 3556G2RF 5.047 AG8142 06-20-64											
36182DBK0		04/20/2016	Various	25,274.22	27,065.30			-1,791.08		0.00	0.00
1098278 0924G2RF 5.047 AG8142 06-20-64											
36182DBK0		05/20/2016	Various	22,516.13	24,111.77			-1,595.64		0.00	0.00
1039668 8368G2RF 5 047 AG8142 06-20-64											
36182DBK0		06/20/2016	Various	29,304.63	31,381.33			-2,076.70		0.00	0.00
998766 4172 G2RF 5 047 AG8142 06-20-64											
36182DBK0		07/20/2016	Various	20,451.21	21,900.51			-1,449.30		0.00	0.00
962716 1012 G2RF 5.047 AG8142 06-20-64											
36182DBK0		08/22/2016	Various	18,025.16	19,302.53			-1,277.37		0.00	0.00
914293 107 G2RF 5 047 AG8142 06-20-64											
36182DBK0		09/20/2016	Various	24,211.50	25,927.27			-1,715.77		0.00	0.00
850842 7796 G2RF 5 047 AG8142 06-20-64											
36182DBK0		10/20/2016	Various	31,725.16	33,973.41			-2,248.25		0.00	0.00
805630 2152 G2RF 5 047 AG8142 06-20-64											
36182DBK0		11/21/2016	Various	22,606.28	24,208.30			-1,602.02		0.00	0.00

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756740.121 G2RF 5 047 AG8142 06-20-64				24,445.05	26,177.37			-1,732.32		0.00	0.00
36182DBK0 12/20/2016 Various								-748.08		0.00	0.00
586024.6963 G2RF 5 075 776095 02-20-64				8,378.27	9,126.35			-1,094.72		0.00	0.00
36176T7G7 01/20/2016 03/20/2014								-1,094.72		0.00	0.00
573764.3136 G2RF 5 075 776095 02-20-64				12,260.38	13,355.10			-959.77		0.00	0.00
36176T7G7 02/22/2016 03/20/2014								-959.77		0.00	0.00
563015.255 G2RF 5 075 776095 02-20-64				10,749.06	11,708.83			-1,194.51		0.00	0.00
36176T7G7 03/21/2016 03/20/2014								-1,194.51		0.00	0.00
549637.2173 G2RF 5 075 776095 02-20-64				13,378.04	14,572.55			-1,313.23		0.00	0.00
36176T7G7 04/20/2016 03/20/2014								-1,313.23		0.00	0.00
534929.5872 G2RF 5 075 776095 02-20-64				14,707.63	16,020.86			-880.21		0.00	0.00
36176T7G7 05/20/2016 03/20/2014								-880.21		0.00	0.00
525071.6487 G2RF 5 075 776095 02-20-64				9,857.94	10,738.15			-1,296.57		0.00	0.00
36176T7G7 06/20/2016 03/20/2014								-1,296.57		0.00	0.00
510550.7328 G2RF 5 075 776095 02-20-64				14,520.91	15,817.48			-1,020.45		0.00	0.00
36176T7G7 07/20/2016 03/20/2014								-1,020.45		0.00	0.00
499122.1248 G2RF 5 075 776095 02-20-64				11,428.61	12,449.06						
36176T7G7 08/22/2016 03/20/2014											

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CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-734500
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☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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483357 2736 G2RF 5 075 776095 02-20-64	36176T7G7	09/20/2016	03/20/2014	15,764.85	17,172.48			-1,407.63		0.00	0.00
473499.9168 G2RF 5 075 776095 02-20-64	36176T7G7	10/20/2016	03/20/2014	9,857.36	10,737.51			-880.15		0.00	0.00
459459 5344 G2RF 5 075 776095 02-20-64	36176T7G7	11/21/2016	03/20/2014	14,041.38	15,295.13			-1,253.75		0.00	0.00
447998 4768 G2RF 5 075 776095 02-20-64	36176T7G7	12/20/2016	03/20/2014	11,460.09	12,483.35			-1,023.26		0.00	0.00
679416 7378 G2RF 5 18 AG8139 06-20-64	36182DBG9	01/20/2016	07/14/2014	16,402.73	15,481.36			921.37		0.00	0.00
662532.5619 G2RF 5 18 AG8139 06-20-64	36182DBG9	02/22/2016	07/14/2014	16,884.18	15,935.76			948.42		0.00	0.00
648652 299 G2RF 5 18 AG8139 06-20-64	36182DBG9	03/21/2016	07/14/2014	13,880.26	13,100.58			779.68		0.00	0.00
634151 8789 G2RF 5 18 AG8139 06-20-64	36182DBG9	04/20/2016	07/14/2014	14,500.42	13,685.91			814.51		0.00	0.00
619082 8911 G2RF 5 18 AG8139 06-20-64	36182DBG9	05/20/2016	07/14/2014	15,068.99	14,222.54			846.45		0.00	0.00

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326793.4113 G2RF 5 2 725891 02-20-64	3620ACNG5	03/21/2016	03/20/2014	22,778.29	24,437.20						
311987 1016 G2RF 5 2 725891 02-20-64	3620ACNG5	04/20/2016	03/20/2014	14,806.31	15,884.64			1,658.91		0.00	0.00
293020 6385 G2RF 5 2 725891 02-20-64	3620ACNG5	05/20/2016	03/20/2014	18,966.46	20,347.77			1,078.33		0.00	0.00
275163.7918 G2RF 5 2 725891 02-20-64	3620ACNG5	06/20/2016	03/20/2014	17,856.85	19,157.34			1,381.31		0.00	0.00
257750 4926 G2RF 5 2 725891 02-20-64	3620ACNG5	07/20/2016	03/20/2014	17,413.30	18,681.49			1,300.49		0.00	0.00
246897 217 G2RF 5 2 725891 02-20-64	3620ACNG5	08/22/2016	03/20/2014	10,853.28	11,643.71			1,268.19		0.00	0.00
234577 2236 G2RF 5.2 725891 02-20-64	3620ACNG5	09/20/2016	03/20/2014	12,319.99	13,217.24			790.43		0.00	0.00
218743 807 G2RF 5.2 725891 02-20-64	3620ACNG5	10/20/2016	03/20/2014	15,833.42	16,986.55			897.25		0.00	0.00
201744 8206 G2RF 5 2 725891 02-20-64	3620ACNG5	11/21/2016	03/20/2014	16,998.99	18,237.00			1,153.12		0.00	0.00
								1,238.01		0.00	0.00

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