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Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

 $\blacktriangleright$  Do not enter social security numbers on this form as it may be made public.

rmation about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

Fo	r calen	dar year 2016 or tax year beginning JANUAR			ending	DECEMBER 31	<b>, 20</b> 16			
	me of fo				A Employe	r identification numbe	er			
		EFE FAMILY FOUNDATION 13-3520397								
N	umber an	d street (or P O box number if mail is not delivered to street address)	B Telephon	e number (see instructi	ons)					
21	AIKE	AIKEN ROAD								
Cı	ty or tow	or town, state or province, country, and ZIP or foreign postal code  C If exemption application is pending, check here ▶								
GR	EENWI	CH CT 06831								
G	Check	all that apply   Initial return   Initial return	of a former public	charity	D_1. Foreig	n_organizations,_check,	here <b>&gt;</b>			
		☐ Final return ☐ Amended r	eturn			n organizations meeting	1-the-85%-test			
		☐ Address change ☐ Name char	nge		check	here and attach compu	tation ▶ 🗍			
Н	Check	type of organization. X Section 501(c)(3) exempt p	rivate foundation			foundation status was 07(b)(1)(A), check here	terminated under			
	Section	n 4947(a)(1) nonexempt charitable trust   Other tax	able private found	dation	Section 5	or (b)( r)(A), check here	۔ ۔			
l	Fair m	arket value of all assets at J Accounting method	. 🛛 Cash 🗌 A	ccrual	F If the fou	ndation is in a 60-mont	n termination			
	end o	f year <i>(from Part II, col. (c),</i> Other (specify)			under se	ction 507(b)(1)(B), check	k here ► 🗌			
	line 16	6) ► \$ 9,033,052.00 (Part I, column (d) must be	on cash basis )							
F	art I	Analysis of Revenue and Expenses (The total of	(a) Revenue and	(b) Not	investment	(c) Adjusted net	(d) Disbursements for charitable			
		amounts in columns (b), (c), and (d) may not necessarily equal	èxpenses per books		icome	income	purposes			
		the amounts in column (a) (see instructions) )					(cash basis only)			
	1	Contributions, gifts, grants, etc , received (attach schedule)	- ·				1, 2,4			
2017	2	Check ► ☐ if the foundation is not required to attach Sch B					1, 11			
F-4	3	Interest on savings and temporary cash investments	<u> </u>	ļ			* ,			
en.	4	Dividends and interest from securities	211,429		211,429	211,429				
6	5a	Gross rents					market and a second			
AUG	b	Net rental income or (loss)		<u> </u>		-	ادا			
Ze,	6a	Net gain or (loss) from sale of assets not on line 10	127,851	ļ		Property States and States Andrews	0			
e u	b	Gross sales price for all assets on line 6a 924, 226				2 AUG 18	347-121			
20	7	Capital gain net income (from Part IV, line 2)	<u> </u>	127	,851.00	8				
SCANNA Rev	8	Net short-term capital gain		ļ		CCITE				
6.5	9	Income modifications	· · · · · · · · · · · · · · · · · · ·	ļ						
M	10a	Gross sales less returns and allowances	de se	ļ			P. Art. Spills			
	b	Less. Cost of goods sold					the street of the			
	C	Gross profit or (loss) (attach schedule)	0.00		2.060	2.000	** - *! * 's **, ', , a			
	11	Other income (attach schedule)	3,269		3,269	3,269	, 4,			
	12_	Total. Add lines 1 through 11	342,549.00	342	,549.00	214,698.00				
S	13	Compensation of officers, directors, trustees, etc.	<del></del>							
enses	14	Other employee salaries and wages		<u> </u>						
á	15	Pension plans, employee benefits	15 520		15 520	15,529				
Ä	16a	Legal fees (attach schedule)	15,529 4,375		15,529 4,375	4,375				
è	b	Accounting fees (attach schedule)	4,373	<del></del>	4,373	4,373				
aţi	17 C	Other professional fees (attach schedule)	<del></del>	<del> </del>						
Str	17	Taxes (attach schedule) (see instructions)	6,893		6,893	6,893				
<u>:</u> Ë	18   19	Depreciation (attach schedule) and depletion	0,093		0,093	0,093				
<u>E</u>	20	Occupancy	<del></del>	}			<u> </u>			
ĕ	21	Travel, conferences, and meetings		<del> </del>						
5	22	Printing and publications	······································	-						
a	23	Other expenses (attach schedule)	450		450	450	450			
ij.	24	Total operating and administrative expenses.	.50		100	150				
rat	) - '	Add lines 13 through 23	27,247.00	27	247.00	27,247.00	450.00			
Operating and Administrative Exp	25	Contributions, gifts, grants paid	410,000	<del></del> -			410,000			
0	26	Total expenses and disbursements. Add lines 24 and 25	437,247.00	27	247.00	27,247.00	410,450.00			
_	27	Subtract line 26 from line 12				- , = - , ,	, , ,			
	- a	Excess of revenue over expenses and disbursements	(94,698.00							
	b	Net investment income (if negative, enter -0-)	,	315	302.00		1			
	1	Adjusted net income (if negative, enter -0-)				187,451.00				

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

Da	rt II	Balanco Shoote	Attached schedules and amounts in the description column	n Beginning of year	<u> </u>		f year
Ге		Dalatice Sticets	should be for end-of-year amounts only (See instructions		(b) Book Value		(c) Fair Market Value
	1	Cash—non-interest-l	bearing	39,951	129,5	19	129,519
- [	2	Savings and tempora	ary cash investments				
ł	3	Accounts receivable	<b>*</b>				
1		Less allowance for o	doubtful accounts ▶				
	4	Pledges receivable ▶					
1	1		doubtful accounts ►				
	5	Grants receivable		-			
ļ	6		om officers, directors, trustees, and other	-			
			(attach schedule) (see instructions)				
	7		eceivable (attach schedule) ▶				
			ubtful accounts ►	+			
တ	8		or use				<del></del>
Assets	9		nd deferred charges				
38	_	•	I state government obligations (attach schedule)		4,758,9	201	8,903,533
٩	10a			4,934,003	4,750,5	) () <u>T</u>	0, 303, 333
	b	* · · · · · · · · · · · · · · · · · · ·	rate stock (attach schedule)	<del></del>			
	C		rate bonds (attach schedule)				
	11	Investments—land, build	dings, and equipment: basis ▶				
		Less accumulated depre	eciation (attach schedule) ▶				
	12	Investments—mortga	age loans				
	13	Investments—other	(attach schedule)				
	14	Land, buildings, and ed	quipment: basis ▶				
		Less. accumulated dep	reciation (attach schedule) ▶	.			
	15	Other assets (describ	be▶)				<del></del>
	16		be completed by all filers—see the				
			ee page 1, item l)	4,974,616.00	4,888,420.	00	9,033,052.00
	17		nd accrued expenses				1 -
Ś	18						
ítie	19						
Liabilities	20		rectors, trustees, and other disqualified persons				و في المراجع ا
<u>a</u>	21	Mortgages and other	r notes payable (attach schedule)				"我们是你就们
	22	Other liabilities (desc	pribe ►)				
	23	Total liabilities (add	lines 17 through 22)	0.00	0.	00	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
တ		Foundations that fo	ollow SFAS 117, check here 🔝 🕨 🗌				
ances			24 through 26 and lines 30 and 31.				
au	24						
	25	Temporarily restricte	ed				
<b>B</b>	26	Permanently restricted	ed				
Net Assets or Fund Ba	l		o not follow SFAS 117, check here 🕨 🔲				
<u> </u>		and complete lines	_				
ō	27		orincipal, or current funds				·政务员下。第3分
ets	28		rplus, or land, bldg., and equipment fund				
SS	29		cumulated income, endowment, or other funds	4,974,616.00	4,888,420.	00	
٧	30	Total net assets or	fund balances (see instructions)	4,974,616.00	4,888,420.	00	
ē	31		and net assets/fund balances (see				19
			<u> </u>	4,974,616.00	4,888,420.	00	7 1
	rt III		nges in Net Assets or Fund Balances				
1			palances at beginning of year—Part II, col	umn (a), line 30 (mus	st agree with		
			d on prior year's return)			1	4,974,616.00
2		r amount from Part I, I				2	(94,698.00)
3	Othe	er increases not includ	led in line 2 (itemize) ➤ NON-DIV DIST	RIBUTION		3	8,502
4		lines 1, 2, and 3.				4	4,888,420.00
5	Deci	reases not included in	line 2 (itemize) ►			5	
6	Tota	I net assets or fund ba	alances at end of year (line 4 minus line 5)	-Part II, column (b), li	ne 30 .	6	4,888,420.00
							Form 990-PF (2016)

Part	V Capital Gains and	Losses for Tax on Investmen	t Income			
		e kind(s) of property sold (e.g., real estate, se, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	THRU MERRILL LYNCH	(SEE ATTACHED)		P	VAR	VAR
	THRU MERRILL LYNCH	(SEE ATTACHED)		P	VAR	VAR
<u>c</u>	CAPITAL GAIN DISTR	IBUTIONS		P	VAR	VAR
<u>d</u>	·				<del></del>	
<u> </u>		<del></del>	<del></del>			<u> </u>
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		other basis nse of sale		in or (loss) (f) minus (g)
a						135 <b>,</b> 539
b						(12,400
<u>c</u>			·			4,712
<u>d</u>			<del></del>	<del></del>	<del></del>	
<u>е</u>			<del></del>	10/01/00		
	Complete only for assets show	wing gain in column (h) and owned by the				ol (h) gain minus
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69		s of col (i) (j), if any		ot less than -0-) or from col (h))
а						
b						
c						
d						
е						
2	Capital gain net income or	(not canital loce) /	enter in Pa ter -0- in Pai		2	127,851
3	Net short-term capital gair	or (loss) as defined in sections 12				127,031
3		I, line 8, column (c) (see instruction				
	Part I, line 8			· · · · · · }	3	0
Part	V Qualification Unde	er Section 4940(e) for Reduce	d Tax on N	et Investment	Income	
Was t		e this part blank section 4942 tax on the distributabl qualify under section 4940(e). Do no			pase period?	∑ Yes ☐ No
<del>-</del> 1		unt in each column for each year, s	•	•	aking any entries.	
	(a)	(b)	T	(c)		(d)
Cale	Base period years endar year (or tax year beginning in)	Adjusted qualifying distributions	Net value of	noncharitable-use as		tribution ratio divided by col (c))
	2015	450,000		8,814,	359	0.0511
	2014	457,214		9,012,		0.0507
	2013	429,665	5	8,091,	987	0.0531
	2012	368,700		7,022,	555	0.0525
	2011	356,621	- 1	6,779,	207	0.0526
2	Total of line 1, column (d)					0.2600
3		or the 5-year base period—divide t ation has been in existence if less t			1 1	.0520
	•		,	•		.0320
4	Enter the net value of nonc	haritable-use assets for 2016 from	Part X, line	5	. 4	8,642,357
5	Multiply line 4 by line 3 .				. 5	449,403.00
6	Enter 1% of net investment	t income (1% of Part I, line 27b)			. 6	3,153
7	Add lines 5 and 6				. 7	452,556.00
•						
8	If line 8 is equal to or great Part VI instructions.	ns from Part XII, line 4 er than line 7, check the box in Par	rt VI, line 1b	and complete t	8 hat part using a 1	410, 450 % tax rate. See the

Part	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 494	48—s	see inst	ructio	ns)	
1a	Exempt operating foundations described in section 4940(d)(2), check here \( \bigcup \) and enter "N/A" on line 1  Date of ruling or determination letter (attach copy of letter if necessary—see instructions)				1	
b	b Domestic foundations that meet the section 4940(e) requirements in Part V, check					
	here ▶ ☐ and enter 1% of Part I, line 27b			6,306		
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				-	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				
3	Add lines 1 and 2	3		5,306	00	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4			ļ	
<u>5</u>	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		5 <u>,</u> _3 <u>0</u> _6	0.0_	
a a	Credits/Payments:			· · · · · · · ·		
b	2016 estimated tax payments and 2015 overpayment credited to 2016	]				
c	Tax paid with application for extension of time to file (Form 8868)  6c 7,000	- 1		,		
d	Backup withholding erroneously withheld 6d	}	•	•		
7	Total credits and payments Add lines 6a through 6d	7	1 :	2,819	00	
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8		., 013	100	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0	00	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid >	10	(	5,513		
11	Enter the amount of line 10 to be. Credited to 2017 estimated tax ▶ 6,513 Refunded ▶	11		0	+	
	VII-A Statements Regarding Activities					
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation	or did	d it	Yes	No	
h	participate or intervene in any political campaign?	•	1a	1	X	
D	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?					
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any r	notori	1b	<u> </u>	X	
	published or distributed by the foundation in connection with the activities.	naten	ars		,-	
С						
d						
	(1) On the foundation. ► \$ (2) On foundation managers. ► \$					
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax im on foundation managers. > \$	pose	d s		,,,	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2	1,	Х	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, as incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.	rticles				
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<u>_</u>	-	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	• •	4a 4b		X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	•	5	+	X	
	If "Yes," attach the statement required by General Instruction T.	•	•	· 1 · 4.		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			*		
	By language in the governing instrument, or			4		
	By state legislation that effectively amends the governing instrument so that no mandatory direction	ons th	iat 🔯	<u> </u>		
-	conflict with the state law remain in the governing instrument?		6	Х		
7 8a	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and	Part X	$v \mid 7$	X	· · · · · ·	
Va	Enter the states to which the foundation reports or with which it is registered (see instructions) NEW YORK			逐到		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney	C	ral			
-	(or designate) of each state as required by General Instruction G? If "No," attach explanation	Genei	1		:1	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942	) (1)(3)	or 8b	X		
	4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)?	ະທ(ວ) If "Yes	S."	-		
	complete Part XIV		9		Х	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listinames and addresses	ng the	eir   10		X	
	<del></del>	•	, , ,		4 %	

Par	VII-A Statements Regarding Activities (continued)			,
44	At the state of the second of the form defending the second of the secon		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			.,
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified	11		X
12	person had advisory privileges? If "Yes," attach statement (see instructions)	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	^
13				l
14	Website address ►  The books are in care of ► STEVEN M. LEICHT  Telephone no. ► 212-92	· 21 – 51	777	
	Located at ► 200 WEST 41 STREET 18TH FL NEW YORK, NY ZIP+4 ► 10036			
15	_Section_4947(a)(1)_nonexempt_charitable_trusts_filing_Form_990_PF_in_lieu_of_Form_1041Check_here	·		▶ [
	and enter the amount of tax-exempt interest received or accrued during the year   15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?.	16	1	Х
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of	27.5	Party.	
	the foreign country ►			
Par	VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			4.5
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			(
	disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? .   Yes  No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes  No  No  Transfer any income or assets to a disqualified person (or make any of either available for	\$ <b>.</b>		*
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			- (Î.
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that	71.		
	were not corrected before the first day of the tax year beginning in 2016?	1c		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2016?			
_	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)			
_	·	2b		Χ
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  20 , 20 , 20 , 20			100
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise		}	
ou	at any time during the year?			
h	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or			
b	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
	foundation had excess business holdings in 2016.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Pag	e	6
Pag	е	O

t	ž		
	Form 99	0-PF (2016)	)
	Part	VII-B	Statements Regardi
	5a	During th	he year did the foundation
		(1) Carry	y on propaganda, or other

Par	VII-B Statements Regarding Activities	for W	hich Form	4720	May Be R	equire	d (contii	nued)			
5a	During the year did the foundation pay or incur a	ny amo	ount to						4.25G	7.4	1
	(1) Carry on propaganda, or otherwise attempt to	o influe	ence legislation	n (sect	tion 4945(e)	)? .	Yes	🗵 No		, e	
	(2) Influence the outcome of any specific public		on (see secti	on 495	5); or to ca	arry on,			130	7.4	1
	directly or indirectly, any voter registration dri	ive?					☐ Yes	🛚 No	1		
	(3) Provide a grant to an individual for travel, stu	dy, or d	other similar (	ourpos	es? .		☐ Yes	🗵 No			
	(4) Provide a grant to an organization other than	ı a cha	rıtable, etc.,	organiz	ation desci	ribed in					
	section 4945(d)(4)(A)? (see instructions).						☐ Yes	🛚 No			
	(5) Provide for any purpose other than religious,				ary, or educ	cational			<b>建筑</b>		
	purposes, or for the prevention of cruelty to c					•	□Yes	☐ No	1978/4 304/67	7.1	P.V.
b-	-lf-any-answer-isYes-to-5a(1)-(5),-did-any-of-the							ibed_in			1
	Regulations section 53.4945 or in a current notice	_	_				)′ .		5b	2	
	Organizations relying on a current notice regarding	_					•	▶ [_]			
С	If the answer is "Yes" to question 5a(4), does to			exem	ption trom	tne tax				300	
	because it maintained expenditure responsibility		-	45 541			☐ Yes	☐ No	<b>***</b>		80
<b>C</b> -	If "Yes," attach the statement required by Regula					miuma					
6a	Did the foundation, during the year, receive any on a personal benefit contract?	iunus,	directly of its	Jirectiy	, to pay pre	mums	, □Yes	🗓 No		10.00	
ь.	Did the foundation, during the year, pay premium	ne dire	 .eth. or indire	stly on	a nerconal	honofi			6b		
b	If "Yes" to 6b, file Form 8870	is, une	city of mane	July, Oli	a personai	Denen	Contract		2.00 S	C 100	14.61
7a	At any time during the tax year, was the foundation a	a narty	to a prohibited	l tax sh	elter transac	tion?	Yes	X No			
	If "Yes," did the foundation receive any proceeds								7b		
_	VIII Information About Officers, Direct								mploy	ees,	
	and Contractors	•	•								
1	List all officers, directors, trustees, foundation	n mana	gers and th								
	(a) Name and address		e, and average rs per week		mpensation not paid,		Contribution byee benefit		(e) Expe		
			ed to position		ter -0-)	and def	erred compe	nsation	otner a	llowan	ces
SEE	ATTACHED STATEMENT							l			
				-	NONE						IONE
				-			·····			-	
• ~											
2	Compensation of five highest-paid employee	s (oth	er than tho	se incl	uded on li	ne 1—	see instr	uctions	s). If no	one, e	enter
	"NONE."	•							•		
			(b) Title, and a	verage			(d) Contribu		4 > =		
	(a) Name and address of each employee paid more than \$50,00	0	(b) Title, and a hours per v devoted to pe	veek	(c) Comper	sation	employee plans and o	deferred	(e) Expe	nse acc illowan	
			devoted to p				compens	sation			
	·										
								1			
					<del></del> -		<del>                                     </del>				
								}			
					<del> </del>					<del>-</del>	
	······										
Total	number of other employees paid over \$50,000 .				L	<del></del>	· · ·	. ▶			
			<del></del>	········	<u></u>		<u> </u>		Form 99	0-PF	(2016)
											. ,

Amount
Amount
·-   
·   
of Expenses
ONE."

Form **990-PF** (2016)

Part	Minimum Investment Return (All domestic foundations must complete this part. Foreit see instructions.)	ign to	undations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,	Ī	
	purposes.		
а	Average monthly fair market value of securities	1a	8,586,012
b	Average of monthly cash balances	1b	187,954
С	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	8,773,966.00
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)	1	
2	-Acquisition-indebtedness-applicable-to-line=1-assets-,	_2_	
3	Subtract line 2-from line 1d	3	8,773,966.00
4	Cash deemed held for charitable activities. Enter 11/2% of line 3 (for greater amount, see		
	instructions)	4	131,609
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,642,357.00
6	Minimum investment return. Enter 5% of line 5	6	432,118.00
Part	Minimum investment return. Enter 5% of line 5	ound	ations
1	Minimum investment return from Part X, line 6	1	432,118
2a	Tax on investment income for 2016 from Part VI, line 5		
b	Income tax for 2016 (This does not include the tax from Part VI )	;	
С	Add lines 2a and 2b	2c	6,304.00
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	425,814.00
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	425,814.00
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	425,814.00
	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	4-	410,450
a b	Program-related investments—total from Part IX-B	1a 1b	410,430
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,	10	
-	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		<del></del>
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	410,450.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.	<b>-</b>	410,430.00
•	Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	410,450.00
J	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating		
	qualifies for the section 4940(e) reduction of tax in those years.	y wiie	mer me loungation

Pa	rt XIII Undistributed Income	e (see instructi	ons)			Page 9
1	Distributable amount for 2016	from Part XI,	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
2			· · · · · · · · · · · · · · · · · · ·			425,814.00
•	a Enter amount for 2015 only	ne end of 2016				
	b Total for prior years: 20,20	,20	ļ			
3		,20	<u> </u>	ļ	ļ	
	a From 2011	25, 650		Į		
	b From 2012	21,807				ŀ
	c From 2013	34,038	w sime sometime every		1	
	d From 2014	23,958		The state of the s		a contract designation of the
	e From 2015	13,463				<del> </del>
	f Total of lines 3a through e .		118,916.00			
4	Qualifying distributions for 2016	from Part XII,				
	line 4: ▶ \$ 410,450	[				
	Applied to 2015, but not more th	an line 2a .		l		-
	Applied to undistributed income	of prior years				<del> </del>
	(Election required—see instruction  Treated as distributions out of co	ins) .	·		1	
•	<ul> <li>Treated as distributions out of co required—see instructions)</li> </ul>	rpus (Election				<del>                                      </del>
	Applied to 2016 distributable amo					
,		ount				428,814
5	Excess distributions carryover ap	nlied to 2010				
	(If an amount appears in column	(d) the same	15,364			15,364
	amount must be shown in column	(a), the sume	İ			
6	Enter the net total of each	column as	ĺ			
	indicated below:	1			,	1
a	,	ubtract line 5	103,552			1
b	line 41- Co. 11 Co.				<del></del>	
				0.00	'	
С	and anicult of phot years	undistributed				
	income for which a notice of de been issued, or on which the sec	eficiency has	1		, , , , , , , , , , , , , , , , , , , ,	
	tax has been previously assessed	ction 4942(a)			,	
d		Sh Tayabla				
	amount—see instructions	DD. TAXABIE			-	
е	Undistributed income for 2015.	Subtract line		0.00		
	4a from line 2a. Taxable a	mount—see				
	instructions			j	_	
f	Undistributed income for 2016. S	ubtract lines			0.00	·
	4d and 5 from line 1. This amou	int must be		j	`	
_	distributed in 2017					0.00
7	Amounts treated as distributions o	ut of corpus				0.00
	to satisfy requirements imposed	by section				,
	170(b)(1)(F) or 4942(g)(3) (Electic	on may be	1		į	
8	required—see instructions) .		10,286			
•	Excess distributions carryover from applied on line 5 or line 7 (see instri	m 2011 not				
9	Excess distributions carryover	uctions) .				*
	Subtract lines 7 and 8 from line 6a	to 2017.	00.000			
10	Analysis of line 9:	· · · }_	93,266.00			
а	Excess from 2012	21,807	1	1	· T	•
b	Excess from 2013	34,038	1		1	
C	Excess from 2014	23,958	1	<b>!</b>		
d	Excess from 2015	13,463	ļ	1		,
<u>e</u>	Excess from 2016	0			1	
						į

Dage	1	1
Page		٦

Part						
1a	If the foundation has received a ruling			rivate operating		
	foundation, and the ruling is effective for			. ▶ [		·····
b	Check box to indicate whether the foun		perating foundation		tion	) or 4942(j)(5)
2a	Enter the lesser of the adjusted net	Tax year	·	Prior 3 years		(e) Total
	income from Part I or the minimum provestment return from Part X for L	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
	each year listed .					0.00
b	85% of line 2a .	0.00	0.00	0.00	0.00	0.00
С	Qualifying distributions from Part XII,					
	line 4 for each year listed .					0.00
d						<del></del>
	for active conduct of exempt activities					0.00
е	Qualifying distributions made directly			ĺ	İ	
	for active conduct of exempt activities			J	1	
each year listed  b 85% of line 2a  c Qualifying distributions from Part XII, line 4 for each year listed  d Amounts included in line 2c not used directly for active conduct of exempt activities  e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c  3 Complete 3a, b, or c for the alternative test relied upon  a "Assets" alternative test—enter  (1) Value of all assets  (2) Value of assets qualifying under section 4942(j(3)(8)(i))  b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0.00	0.00	0.00	0.00	0.00	
3	•					
	alternative test relied upon				ŀ	
а	"Assets" alternative test—enter					
	(1) Value of all assets .					0.00
	· · ·				ļ	
1						0.00
D						
	Part X, line 6 for each year listed					0.00
С	"Support" alternative test—enter					
	(1) Total support other than gross	1				
	investment income (interest, dividends, rents, payments on					
	securities loans (section					
	512(a)(5)), or royalties)					0.00
	(2) Support from general public and 5 or more exempt					
	organizations as provided in					
	section 4942(j)(3)(B)(iii)					0.00
	(3) Largest amount of support from				İ	
	an exempt organization					0.00
Dow's	(4) Gross investment income .	- (Complete this		o foundation ha	d 65 000 an ma	0.00
Part				e toundation na	ia \$5,000 or mo	re in assets at
	any time during the year—		5.)			
1	Information Regarding Foundation		ad more than 20/	of the total contri	hudiana saasiwad b	the foundation
а	List any managers of the foundation we before the close of any tax year (but or					y the loundation
	before the close of any tax year (but of	ily il tiley have con	illibuteu more ma	ii \$5,000). (See se	Chon 507 (u)(z).)	
	List any managers of the foundation	who own 100/ or -	mara of the stock	of a corporation	(or an equally loss	no nortion of the
D	ownership of a partnership or other en			•		ge portion or the
	ownership of a partitership of other en	tity) or writer the to	undation has a Te	o or greater litter	est.	
	Information Donation Contribution	Crowd Ciff Loon	Cabalarahin at	. Dr		
2	Information Regarding Contribution			_	rappizations and	dana nat accent
	Check here ► 🖾 if the foundation of unsolicited requests for funds. If the fo					
	other conditions, complete items 2a, b	•	into, granto, etc. (a	instructions) to	individuals of org	ariizationis ariaci
	The name, address, and telephone nur		ress of the person	to whom applica	tions should be ad	ldressed:
а	The hame, address, and telephone ha	mber or e-mail add	ress or the person	i to whom applica	nons should be ad	uresseu.
b	The form in which applications should	he submitted and i	information and m	aterials they shou	ld include:	
~	The form in which applications should	20 oabiinttou and i	om and m	acondio they only		
	Any submission deadlines:					
_	,					
d	Any restrictions or limitations on awa	ards, such as by	geographical are	as, charitable fiel	ds, kinds of instit	tutions, or other
	factors	•	- <del>-</del> -			

Form 990-PF (2016)

Recipient	ng the Year or Approv	Foundation	ļ	}
	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business  a Paid dunng the year	or substantial contributor			<del> </del>
a raid dannig mo your				
		•		
E_ATTACHED STATEMENT			TO PERMIT VARIOUS	
JATTACHED STATULIEN				<del></del>
			DONEES TO CARRY OUT	
			THEIR CHARITABLE	}
				ļ
			PURPOSES	410,
				}
				}
				1
		Í		
Total	· · · · · · · · · · ·	<u> </u>	▶ 3a	10,000
b Approved for future payment				}
		1		
		-		
				1
			1	1
				1
		1	1	İ

	t XVI-A Analysis of Income-Producing Ac	,				
Ente	r gross amounts unless otherwise indicated	Unrelated bu	isiness income	Excluded by sect	ion 512, 513, or 514	(e)
		(a)	(b)	(c)	(d)	Related or exempt function income
		Business code	Amount	Exclusion code	Amount	(See instructions)
1	Program service revenue			<del> </del>		
	a b	ļ		<u> </u>		
					<del></del>	
	d			<del> </del>		
	<del></del>		<u> </u>	<del> </del>		
	e •		<del>_</del>	<del></del>		
	g Fees and contracts from government agencies				<del></del>	
2	Membership dues and assessments					
3 4	Interest on savings and temporary cash investments Dividends and interest from securities			<del></del>		
		2 . m . d. 35 31 3 5 %	which the state of	Mark Commence of the	20代2年12月18日	<b>(国际) 2015年</b>
5	Net rental income or (loss) from real estate:	Spice of the state	THE STATE OF THE PARTY OF THE P	TOWN THE TOWN SHAPE	20 15 20 A. SOUND SAID	于特殊多数文学中指数2.40年(P. 1944)。1
	a Debt-financed property		<del></del>		<del></del>	
c	b Not debt-financed property					
6	Net rental income or (loss) from personal property Other investment income					
7 8	Other investment income Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory .	<b></b>				
11		<u> </u>				
• • •	Other revenue ab	<del>                                     </del>		<del> </del>	<del> </del>	
		<u> </u>		<del></del>		
	d			<del> </del> -		
	e			<del></del>		
12		AND STATE OF THE S	0.00	<b>1600 1800 180</b>	0.00	0.00
	Total. Add line 12, columns (b), (d), and (e)	A A MANAGEMENT AND ADDRESS OF THE PARTY OF T		As and the second of the second	13	0.00
(See	worksheet in line 13 instructions to verify calculation					
		ıs)	ent of Exemp	t Purposes		
Par Lin	worksheet in line 13 instructions to verify calculation <b>t XVI-B</b> Relationship of Activities to the A	ıs) Accomplishm				
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Part XVII

	Exempt Organizations					
1			g with any other organization described is) or in section 527, relating to political		Yes	No
а	Transfers from the reporting foundation	to a noncharitable exempt org	anization of:			
-	(1) Cash			1a(1)		Х
	(2) Other assets			1a(2)	$\neg \uparrow$	X
ь	Other transactions			1	$\Box$	
D	(1) Sales of assets to a noncharitable ex	compt organization		1b(1)		X
				1b(2)		_X-
	(2) Purchases of assets from a nonchar		<u> </u>	1b(3)		
	(3) Rental of facilities, equipment, or off			<del></del>	==	_X_
	(4) Reimbursement arrangements			1b(4)		X
	`,			1b(5)		X
	(6) Performance of services or members			1b(6)		X
C	Sharing of facilities, equipment, mailing			1c		X
d	If the answer to any of the above is "Y	es," complete the following se	chedule. Column (b) should always show	w the f	air ma	arke
	value of the goods, other assets, or ser	vices given by the reporting fo	undation. If the foundation received less	than f	air ma	ırke
	value in any transaction or sharing arrar	igement, show in column (d) th	ne value of the goods, other assets, or se	rvices	receiv	<u>ed.</u>
(a) Line	e no (b) Amount involved (c) Name of nor	ncharitable exempt organization	(d) Description of transfers, transactions, and sha	iring arra	ngemer	nts
			· · · · · · · · · · · · · · · · · · ·			
			<del> </del>			
			<u> </u>			
			<u> </u>			
	Is the foundation directly or indirectly a described in section 501(c) of the Code If "Yes," complete the following schedu	(other than section 501(c)(3)) of		] Yes	□ r	10
	(a) Name of organization	(b) Type of organization	(c) Description of relatio	nship		
	<del>· · · · · · · · · · · · · · · · · · · </del>					
	· · · · · · · · · · · · · · · · · · ·	<del></del>	· · · · · · · · · · · · · · · · · · ·			
		<del> </del>	<del></del>			
	Linder penalties of penuity 1 declare that I have exam	ined this return, including accompanying s	chedules and statements, and to the best of my knowled	ine and h	elief it i	s true
Sign			of which preparer has any knowledge			
_		8/ / 1	May the II with the p			
Here		11/20171	(see instru			
	Signature of officer or trustee	Date Title	L			==
Paid	Print/Type preparer's name	Preparer's signature	/ Chook Life	PTIN		
Prepa	arer STEVEN M.LEICHT	MININ	8/8/17 self-employed		_	<u> </u>
Use (	Only Firm's name > LEICH & REIN		Firm's EIN ▶ 22-27	6093	4	
J36 (	Firm's address ▶ 200 WEST 41 S		RK, NY 10036 Phone no (212)	921-5	,777	

# KEEFE FAMILY FOUNDATION 13-3520397 2016

PART_\	<u> </u>	INE_1
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 	<del></del>			<del></del>
Anita Keefe c/o Keefe Foundation	President/Director	0	0	0
Harry V. Keefe III c/o Keefe Foundation	VP/Director	0	0	0
Kathleen K. Raffel c/o Keefe Foundation	Sec/Treasury Director	0	0	0
Corey Raffel c/o Keefe Foundation	Director	0	0	0 .
Carol A. Keefe c/o Keefe Foundation	Director	0	0	0
Colin Raffel c/o Keefe Foundation	Director	0	0	0
Forest Raffel c/o Keefe Foundation	Director	0	0	0
Coleen Keefe c/o Keefe Foundation	Director	Ö	0	0

Heather Keefe Director 0 0 0 0 c/o Keefe Foundation

Kelly Keefe Ryan Director 0 0 0 0 c/o Keefe Foundation

# KEEFE FAMILY FOUNDATION

13-3520397

# FORM 990-PF

2016

Part I – Line 11		
Excise Tax Refund		\$5,819
Boardwalk Pipeline	Partners LP	(2,550)
		<u>\$3,269</u>
Part I – Line 16A		
Legal Fees	Gilbridge, Tusa, Last, & Spellane	<u>\$ 15,529</u>
Part I – Line 16B		
Accounting Fees	Leicht & Rein Tax Associates, Ltd.	<u>\$ 4,375</u>
Part I – Line 18		
New York State	\$ 250	
Federal Taxes	5,819	
Foreign Taxes	<u>824</u>	<u>\$6,893</u>
Part I – Line 23		
Investment Fees		<u>\$ 450</u>

### KEEF FAMILY FOUNDATION EIN: 13-3520397 2016

# Part XV - Contributions Paid

Boston Latin School	\$ 60,000
DeMarillac Academy	50,000
Friends of Green Chimneys Grant	20,000
Heartworks	10,000
Hudson School	60,000
Lafayette School	25,000
Long Island Caddie Scholarship	10,000
Opportunity Village	60,000
Raptos Trust	10,000
Wheaton College	50,000
Wildlife Conservation Society	30,000
World Parrot Trust	25,000

Total \$ 410,000

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FORM NYCF-3

NAME OF ORGANIZATION

STATE OF NEW YORK, DEPARTMENT OF LAW CHARITIES BUREAU 120 BROADWAY - 3RD FL., NEW YORK, N Y 10271

i

SECURITIES SCHEDULE

EIN 13-3520397

49,190 34,332 34,725 29,586 45,330 82,920 72,810 51,272 22,170 34,720 23,360 46,370 26,960 12,840 135,240 65,310 65,310 162,148 663,320 684,516 26,078 55,250 43,260 MARKET VALUE 86 \$ 20,321 \$ 33,746 \$ 28,047 \$ 30,618 \$ \$ 0,000 24,265 \$ 14,234 \$ 35,825 \$ 35,195 32,987 34,450 357,650 55,356 11,590 44,962 5,567 3,950 19,706 102,698 25,000 25,000 COST OR ACQUISITION VALUE ~ 2,000 \$ 1,000 \$ 2,000 \$ \$ 000'1 DECEMBER 31, 2016
CALENDAR OR OTHER FISCAL YEAR
INVENTORY AT END OF PERIOD 1,000 2,000 300 451 1,500 428 909 1,000 14,000 20 800 4,200 1,180 2,500 2,000 3,000 1,400 200 SHARES OR PRINCIPAL (15,991) (492) 19,871 13,206 57,967 (28,791) 294 AMOUNT GAIN / LOSS 64,349 2/18/2016 \$ 11/4/2016 \$ \$ 9102/6/9 \$ 9102/21/6 11/21/2016 \$ 11/4/2016 \$ 1/19/2016 \$ 2/18/2016 2/18/216 GROSS SELLING PRICE 97,315 27,642 31,059 50,294 27,642 47,031 70,939 23,082 18,799 SALES OR OTHER DISPOSITIONS PRICE SHARES NUMBER SHARES -750 -2000 -200 -1000 -1500 -1000 -700 5 -500 30,618 50,070 20,000 ACQUISITION NUMBER SHARES COST SHARES AMOUNT PURCHASES OR OTHER ACQUISITIONS 300 451 2,000 39,348 24,265 39,818 14,234 3,950 20,321 COST OR ACQUISITION VALUE 25,000 11,590 30,693 35,195 28,047 44,962 56,286 47,590 47,050 19,706 35,825 34,450 102,698 384,810 61,946 25,000 9,876 32,987 5,567 INVENTORY AT BEGINNING OF PERIOD 2,000 \$ 1,000 \$ 1,400 \$ 2,500 \$ 200 3,000 \$ 4,700 \$ \$ 000'51 1,180 200 2,000 2,000 1,000 2,263 750 1,500 1,000 1,500 1,000 909 200 1,000 200 2,000 428 800 SHARES OR PRINCIPAL TYPE AND NAME OF SECURITY 6/3/2014 BOARDWALK PIPELINE PARTNERS 4/16/2012 AMERICAN INTERNATIONAL (AIG) 8/15/2012 AMERICAN INTERNATIONAL (AIG) 6/18/2001 BERKSHIRE HATHAWAY - CLASS B S/94-6/99 BANK OF NEW YORK MELLON 7/19/2016 DOMINION RES INC @ 5 25% 6/15/2007 CENTERSTATE BKS FLA INC. 5/14/2015 CONTINENTAL RESOURCES S/14/2015 BOSTON SCIENTIFIC CORP 3/7/2005 BANK OF AMERICA 7 25% 3/24/2016 CALIFORNIA RES CORP 2/25/2013 DELTA AIR LINES INC. 9/27/2002 DOMINION RES INC. 4/16/2012 DOW CHEMICAL CO 5/22/2009 BANK OF AMERICA 5/14/2015 CATERPILLAR INC 2/13/2015 CABOT OIL & GAS 10/21/2008 DIGIMARC CORP 5/10/2016 CELGENE CORP 9/14/2012 CORNING, INC. 8/16/2011 Cisco Systems 10/13/2009 Altria Group 4/27/2010 Chubb Corp 7/12/2010 Baxter Int'l 7/12/2010 Baxalta Inc. 1/20/2016 Chubb LTD 1/28/2013 APPLE INC 3/5/2014 ADT Corp 9/3/2010 Coca Cola 6/22/2015 CREE INC 1/6/2014 ADT Corp ACQUISITION DATE A/C NO 63

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73 550	727 37	45,676	02007	69,030	46,455	48,520	12,130	63,200	63,200	52,260	62,325	4,623		34,485	70,020	49,797	36,270	53,060	31,815	57,605	114,120	43,220	069'09	35,810	41,325	200,158	62,140	19,075	58,330	7,375	4,425		22,080	59,730	070 87
3 892 72				15,636 \$	46,616 \$	32,763 \$	14,368 \$	28,891 \$	37,894 \$	\$ 969'05	38,488 \$	2,824 \$		36,547 \$	66,541 \$	48,775 \$	20,734 \$	54,553 \$	30,583 \$	32,681 \$	65,193 \$	\$ 000'05	44,641 S	40,884 \$	44,512 \$	93,364 \$	25,176 \$	24,522 \$	42,742 \$	4,966 \$	3,313 \$		25,000 \$	22,622 \$	39 786
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				\$ 8																								- 69				98			
				15,445									(25,187)															(6,468)				18,286		19,820	
				2/18/2016 \$ 5/5/2016 \$									\$ 9102/4/11									_						\$ 9102/11/11				5/15/2016 \$		\$ 9102/6/5	
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			Ş	20,658 23,305									55,639															18,054				23,462		35,193	
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											38,488			36,547	66,541							20,000		40,884				\$ 49,043					\$ 25,000		
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,	onc't	12,050	137,000	26,061	46,616	32,763	14,368	168'82	37,894	969'05		2,824	80,826			48,775	20,734	54,553		32,681	65,193		44,641		44,512	93,364	25,176		42,742	4,966	3,313	5,176		40,995	
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,	2004	499	000,4	1,000	1,500	4,000	1,000	2,000	2,000	1,500		125	1,200			300	1,000	1,000		200	1,000 \$		3,000		1,500	3,400	1,000 \$		1,000 \$	625	375 \$	200		800	
																			6							_									
		_													FUND)				7/31/2015 ISHARES US OIL EQUIP (MUTUAL FUND)														, @ 5 25%		
		TS HLDG CC	ORP		CORP	0	0	TRIC	TRIC	)RS	)S INC	THINS			L (MUTUAL				L EQUIP (M.	NSON		e @ 5 45%				v			ų			-	Y Debenture		
	מורג	EXPRESS SCRIPTS HLDG CO	EXXON MOBIL CORP	FACEBOOK INC	3/5/2014 FIRST ENERGY CORP	FORD MOTOR CO	4/27/2010 FORD MOTOR CO	S/12/2009 GENERAL ELECTRIC	1/13/2012 GENERAL ELECTRIC	9/16/2014 GENERAL MOTORS	2/23/2016 GREENBRIER COS INC	11/6/2014 HALYARD HEALTH INS	HESS CORP	H&R BLOCK	5/2/20216 SHARES US OIL (MUTUAL FUND)		Intel Corp	L PAPER CO	ARES US OR	1/0/1900 JOHNSON & JOHNSON	berly Clark	8/3/2016 Legg Mason Note @ 5 45%	lnex Corp	s A	MATTEL INC	MERCK & CO INC	osoft Corp	N ur	9/3/2010 National Grid PLC	News Corp	New News Corp	NEXTRA ENERGY	NEXTRA ENERGY Debenture @ 5 25%	era Energy	
		7/7/2000 EXP		5/23/2013 FAC	72014 FIR:	11/19/2007 FOR	72010 FOR	/Z009 GEN	/2012 GEN	1/2014 GEN	1/2016 GRE	/2014 HAL	7/9/2013 HES	8/24/2016 H&R	70216 ISH	7/31/2015 IBM	8/11/2011 intel	11/29/2014 INTL PAPER CO	/Z015 ISH	/1900 JOH	7/26/2011 Kimberly Clark	/2016 Legs	12/18/2009 Luminex Corp	2/23/2016 Macy s	11/28/2014 MAT	7/23/2004 MER	8/16/2011 Microsoft Corp	8/24/2016 Mylan NV	/2010 Nath	7/26/2011 New News Corp	1/12/2012 New	9/27/2002 NEXT	6/7/2016 NEXT	4/27/2010 Nextera Energy	_
	3/2	7,	72	2/5:	3/8	11/19	4/2)	5/12	1/13	9/16	2/23	11/6	2/6	8/24	12/5	7/31	8/11	11/28	7/31	1/0	7/26	8/3	12/18	2/23,	11/28,	7/23,	8/16	8/24	9/3	7/26,	1/12,	4/27,	1./9	4/27,	

2/10/2015 OCCODENTAL PETE	700 \$	56,846			-700	\$ 46,931	31	11/7/2016 \$	(128'6)				-
1/13/2012 PFIZER, INC.	1,500 \$	33,242									1,500 \$	33,242 \$	48,720
12/18/2009 Phillip Morris Int'l	2,000 \$	100,969									2,000 \$	\$ 696'001	182,980
8/22/2013 POTASH CORP SASKATCHEWAN	1,000 \$	30,692			-1000	\$ 16,362	29	\$ 9102/51/5	(14,330)				
5/26/2010 PPL Corp	1,500 \$	34,846									1,500 \$	34,846 \$	51,075
10/3/2005 PROCTER & GAMBLE	\$ 000'\$	240,500									\$ 000'S	240,500 \$	420,400
3/5/2014 ROYAL DUTCH SHEL PLC	\$ 005	39,479			-500	\$ 26,351	51	8/19/2016 \$	(13,127)				
9/3/2010 Service Corp	3,000 \$	23,866								•	3,000 \$	23,866 \$	85,200
5/23/2013 SONY CORP ADR NEW	2,000 \$	42,399									\$ 000'Z	42,399 \$	26,060
6/00-1/01 STATE STREET CORP.	3'000' \$	157,776									3'000 \$	\$ 977,731	233,160
6/6/2016 SHIRE PLC-ADR			74 \$ 14,063	163							74 \$	14,063 \$	12,608
5/21/2010 TALEN ENERGY CORP	\$ 181	2,697			-187	\$ 2,618	18	12/6/2016 \$	<u>(£</u>				
4/16/2012 THE MOSAIC COMPANY	200 \$	10,119			-200	\$ 5,273		11/18/2015 \$	(4,846)				,
7/9/2013 THE MOSAIC COMPANY	\$ 008	43,072			008-	\$ 21,094	*	\$ 9102/5/5	(21,978)				
8/26/2002 TRAVELERS COS INC.	84 \$	1,772		<del></del>							8	1,772 \$	10,283
8/26/2002 TRAVELERS COS INC.	172 \$	3,928									172 \$	3,928 \$	21,056
11/29/2013 VISHAY INTERTECHNLGY	3'000'8	38,449									3,000 \$	38,449 \$	48,600
2/27/2014 VERIZON COMM	394 \$	18,957									394 \$	18,957 \$	21,032
3/22/2011 Vodafone	818 \$	31,408			-818	\$ 22,002	92	11/4/2016 \$	(9,406)				
3/21/2016 WEYERHAEUSER CO	3,200 \$	44,500									3,200 \$	44,500 \$	96,288
4/27/2010 Waste Management	1,000 \$	35,515									1,000 \$	35,515 \$	70,910
5/94-4/97 WELLS FARGO & CO	13,000 \$	153,483			-1500	\$ 70,497	76	11/4/2016 \$	52,788		11,500 \$	135,774 \$	633,765
5/5/2014 ZOETIS	1,000 \$	30,664									1,000 \$	30,664 \$	53,550
6/3/2014 20ETIS	<b>\$</b> 005	15,566									\$ 005	15,566 \$	26,765
TOTAL SECURITIES	<u> </u>	3,504,212	\$ 451,340	<b>\$</b>	Total Sales \$	les \$ 781,24	781,242 Total G/L	أير	135,539		ᆈ	3,343,391 \$	6,663,943

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2/28/2014 ADT Corp	DT Corp	400 \$	12,411			00+	\$ 15,761	2/23/2016	\$ 3,350				
1/23/2013 AA	1/23/2013 AMERICAN INTERNATIONAL (AIG)	1,000 \$	36,195								1,000 \$	36,195	\$ 65,310
2/25/2013 APPLE INC.	PPLEING	700 \$	45,211								200 \$	45,211	\$ 81,074
12/28/2001 BA	12/28/2001 BANK OF NEW YORK MELLON	283 \$	989'1								283 \$	7,686	\$ 13,409
3/28/2002/87	3/28/2002 BANK OF NEW YORK MELLON	4,717 \$	116,274								4,717 \$	116,274	\$ 223,491
8/24/2016 BL	8/24/2016 BLOCK H&R INC			800	\$ 19,473						\$ 008	19,473	\$ 18,392
9/16/2014 BC	9/16/2014 BOARDWALK PIPELINE PARTNERS	1,000 \$	16,873								1,000 \$	16,873	\$ 17,360
3/24/2016 BC	3/24/2016 BOSTON SCIENTIFIC CORP			1,000	\$ 24,366						1,000 \$	24,366	\$ 21,630
5/14/2015/80	BOSTON SCIENTIFIC CORP	1,000 \$	17,579								1,000 \$	17,579	\$ 21,630
9/16/2014 BP PLC	P PLC	\$ 009	27,983			009-	20,264	8/19/2016 \$	(417.7) 8 91				
11/8/2012 84	11/8/2012 BRISTOL-MYERS SQUIBB CO	1,000 \$	32,653								1,000 \$	32,653	\$ 58,440
5/5/2014 CA 2/13/2015 CA	CABOT OIL & GAS CORP CABOT OIL & GAS CORP	\$ 000 \$ 000	19,802								\$ 005	19,802 14,021	\$ 11,680 \$ 11,180
S/14/2015 CA	5/14/2015 CATERPILLAR INC.	400 \$	35,863	· · · · · · · · · · · · · · · · · · ·						- <del></del>	400 \$	35,863	\$ 37,096
5/10/2016 Ce	5/10/2016 Celgene Corp Com			200	\$ 20,322					•	200 \$	20,322	\$ 23,150
S/14/2015 CC	5/14/2015 CONTINENTAL RESOURCES	\$ 005	23,779			-200	\$ 9,343	\$ 9102/22/2	16 \$ (14,436)				
4/27/2010 Chubb Corp 1/20/2016 Chubb Ltd	hubb Corp hubb Ltd	250 \$	13,160	150	\$ 16,653	-250	\$ 31,466	7/31/2015 \$	15 \$ 18,306		150 \$	16,653	\$ 19,818
2/10/2016 CA	2/10/2016 CALIFORNIA RES CORP COM			8	\$ 29						2 \$	29	\$ 43
7/20/2011 CIS	Cisco Systems	\$ 008	12,946								\$ 008	12,946	\$ 24,176
6/22/2015 CREE INC.	REEINC	\$ 008	25,123								\$ 008	25,123	\$ 21,112
9/14/2012 CORNING, INC	ORNING, INC	1,300 \$	17,297								1,300 \$	17,297	31,551
2/25/2013 DE	2/25/2013 DELTA AIR LINES INC.	\$ 005	7,128								\$ 005	7,128	\$ 24,595
4/18/2012 DC	4/18/2012 DOW CHEMICAL CO	\$ 005	17,394								\$ 005	17,394	\$ 28,610
12/2/2003 EX	12/2/2003 EXXON MOBIL CORP	1,500 \$	29,490								1,500 \$	59,490	\$ 135,390
5/23/2013 FACEBOOK INC	ACEBOOK INC	400 <b>\$</b>	10,433			-100 -100	\$ 10,389 \$ 11,752	2/23/2016 <b>\$</b> 5/17/2016 <b>\$</b>	16 <b>\$</b> 7,781	<del>-,,</del>	200 \$	5,216	\$ 23,010
3/5/2014 FI	3/5/2014 FIRST ENERGY CORP	<b>\$</b> 00 <b>+</b>	12,453								400 \$	12,453	\$ 12,388
1/4/2012 FC	1/4/2012 FORD MOTOR CO	2,000 \$	22,933								2,000 \$	22,933	\$ 24,260
1/25/2012 GF	1/25/2012 GENERAL ELECTRIC	1,000 \$	19,360								1,000 \$	19,360	31,600
1/25/2012 GE	1/25/2012 GENERAL MOTORS CO	\$ 000	12,626								\$ 005	12,626	\$ 17,420
9/16/2014 GE	9/16/2014 GENERAL MOTORS CO	\$ 000	16,956								\$ 005	16,956	\$ 17,420
2/23/2016 GF	2/23/2016 GREENBRIER COS INC			800	\$ 20,563						\$ 008	20,563	\$ 33,240
7/9/2013 HESS CORP	ESS CORP	\$ 00S	33,688								\$ 005	33,688	31,145
6/8/2010 INTEL CORP	TEL CORP	1,000 \$	21,986	-						·	1,000 \$	21,986	\$ 36,270
8/S/2015 IN	8/5/2015 INT L BUSINESS MACHINES	200 \$	32,310								S 000 S	32,310	33,198

11/28/2014 INTL PAPER CO	1,000 \$	54,436			_			000'1	\$ 54,436 \$	53,060
8/5/2015 (SHARES U.S. OIL (MUTUAL FUND)		21,771						\$ 005	\$ 21,771 \$	22,725
C/15/2016 ISHARES WSC! EMERGIN MKTS			700	\$ 23,299				\$ 000	\$ 23,299 \$	24,507
7/12/2012 IOHNSON & IOHNSON COM	400 \$	27,331						\$ 00+	\$ 27,331 \$	46,084
1/25/2012 LUMINEX CORP	1,000 \$	20,266						\$ 000'T	20,266	\$ 20,230
1/9/2014 LUMINEX CORP	1,000 \$	18,631						1,000 \$	\$ 18,631 \$	20,230
2/23/2016 MACY'S INC.			005	\$ 20,441	_			\$ 005	\$ 20,441 \$	17,905
8/24/2016 MYLAN N V			009	\$ 29,428				\$ 009	29,428	\$ 22,890
11/24/2014 MATTEL INC	1,000 \$	29,680						7,000	\$ 29,680 \$	27,550
7/31/2012 MARSH & MCLENNAN COS INC	\$ 008	066'92						\$ 008	26,990	\$ 54,072
6/8/2010 Microsoft Corp	1,000 \$	27,061				-		\$ 000'1	\$ 27,061 \$	62,140
7/20/2011 New News Corp.	250 \$	1,923						250	\$ 1,923	\$ 2,950
4/27/2010 Nextera Energy (Formerly FPL)	\$ 005	25,563		,				200	\$ 25,563	\$ 59,730
2/13/2015 OCCIDENTAL PETE CORP	300 \$	24,329						300	\$ 24,329 \$	51,369
7/31/2012 PACCAR INC.	\$ 005	20,364			-			200	\$ 20,364	31,950
3/17/2011 PFIZER INC	1,500 \$	29,831						1,500 \$	29,831	\$ 48,720
12/18/2009 PHILLIP MORRIS INTL	\$ 005	25,316						\$ 005	25,316	\$ 45,745
6/8/2010 PPL Corp	1,000 \$	24,280						1,000	\$ 24,280	34,050
8/6/2002 PROCTER & CAMBLE	\$ 526	25,584						975	\$ 25,584 \$	81,978
1/9/2014 ROYAL DUTCH SHEL PLC	300 \$	22,431			-300	\$ 15,803	\$ (6,628)			
11/28/2014 SANOFI ADR	\$ 000	33,334						\$ 000	\$ 33,334 \$	28,308
5/23/2013 SONY CORP ADR NEW	2 007	14,856	_					700	\$ 14,856	19,621
6/3/2010 TALEN ENERGY CORP	124 \$	1,869			-124	\$ 1,736	\$ (138)			
7/9/2013 THE MOSAIC COMPANY	\$ 005	26,900			•500	\$ 13,235	\$ (13,665)			-
8/22/2013 THE MOSAIC COMPANY	\$ 005	21,635			005-	\$ 13,235	\$ (8,400)			
11/29/2013 VISHAY INTERTECHNLGY	1,500 \$	19,204						1,500	\$ 19,204	\$ 24,300
4/27/2010 WASTE MANAGEMENT	\$ 00+	14,189						400	\$ 14,189	\$ 28,364
4/28/1997 WELLS FARCO & CO	4,000 \$	47,225						000'+	\$ 47,225	\$ 220,440
11/23/2015 WEYERHAUSER CO	\$ 009	18,943						009	\$ 18,943	\$ 18,054
5/5/2014 ZOETISINC	\$ 009	18,350						009	\$ 18,350	\$ 32,118
6/3/2014 ZOETISINC	\$ 00+	12,416	,					007	\$ 12,416	\$ 21,412
TOTAL SECURTIES AT BEG. YEAR	5	1,396,321	TOTALACQUISITIONS	\$ 174,574	TOTALSALES	\$ 142,984 TatalG/L	\$ (12,400)	IQTAL SECURITIES AT END. YEAR \$	1,415,510	\$ 2,239,590