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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

IBM INTERNATIONAL FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

c/o IBM TAX DEPT, NORTH CASTLE DRIVE

City or town, state or province, country, and ZIP or foreign postal code

ARMONK, NY 10504

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method

☐ Cash☒ Accrual

end of year (from Part II, col. (c), line

Other (specify)

16) \$ 258,763,521

(Part I, column (d) must be on cash basis)

A Employer identification number

13-3267906

B Telephone number (see instructions)

914-499-4690

C If exemption application is
pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

25,960,000

2 Check ☐ if the foundation is not required to
attach Sch. B.

3 Interest on savings and temporary cash investments

98,898

98,898

4 Dividends and interest from securities

5,916,310

5,810,140

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a 35,173,373

4,535,956

7 Capital gain net income (from Part IV, line 2)

9,350,905

8 Net short-term capital gain

199,583

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

-36,736

12 Total. Add lines 1 through 11

36,511,164

15,223,207

199,583

Compensation of officers, directors, trustees, etc.

Other employee salaries and wages

Pension plans, employee benefits

a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

2,197,479

224,821

1,914,442

Interest

Taxes (attach schedule) (see instructions)

941,500

1,500

966,919

Depreciation (attach schedule) and depletion

Occupancy

Travel, conferences, and meetings

Printing and publications

Other expenses (attach schedule)

2,940

59,075

Total operating and administrative expenses.

Add lines 13 through 23

3,141,919

285,396

0

2,881,361

25 Contributions, gifts, grants paid

20,179,287

18,497,270

26 Total expenses and disbursements. Add lines 24 and 25

23,321,206

285,396

0

21,378,631

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

13,189,958

b Net Investment Income (if negative, enter -0-)

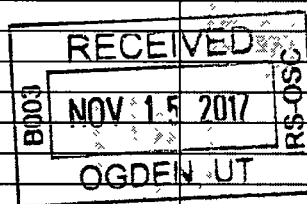
14,937,811

c Adjusted net income (if negative, enter -0-)

199,583

9-28 3

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	16,709,416	18,782,818	18,782,818
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 13,461			
	Less allowance for doubtful accounts ▶	4,036	13,461	13,461
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶		0	
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	2,165,000	1,750,000	1,750,000
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	142,762,282	153,113,700	153,113,700
	c Investments - corporate bonds (attach schedule)	78,090,917	82,603,542	82,603,542
	Liabilities	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶		0
12 Investments - mortgage loans				
13 Investments - other (attach schedule)		1,469,000	2,500,000	2,500,000
14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			0	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		241,200,651	258,763,521	258,763,521
17 Accounts payable and accrued expenses		1,910,085	1,558,379	
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	1,910,085	1,558,379		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	239,290,566	257,205,142	
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	239,290,566	257,205,142		
31 Total liabilities and net assets/fund balances (see instructions)	241,200,651	258,763,521		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	239,290,566
2 Enter amount from Part I, line 27a	2	13,189,958
3 Other increases not included in line 2 (itemize) ▶ Unrealized Gain	3	4,724,618
4 Add lines 1, 2, and 3	4	257,205,142
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	257,205,142

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	80,520 Units of Global Real Estate NL CTF	P	Various	Various
b	442,570 Units of MSCI ACWI ex USA NL CTF	P	Various	Various
c	30,511 Units of MSCI United States Indx NL CTF	P	Various	Various
d	437,975 Units of Russell 3000 (R) Indx NL CTF	P	Various	Various
e	See Attached Statement 3	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,393,480		974,857	418,623
b 6,674,120		6,865,318	-191,198
c 3,198,890		2,921,682	277,208
d 7,888,610		5,040,900	2,847,710
e 16,018,273		10,019,711	5,998,562

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/89

(i) F M V as of 12/31/89	(j) Adjusted basis as of 12/31/89	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a		0	418,623
b		0	-191,198
c		0	277,208
d		0	2,847,710
e		0	5,998,562

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>	2	9,350,905
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	199,583

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	17,293,434	235,576,958	0.0734
2014	20,409,338	231,222,739	0.0883
2013	23,794,666	207,103,177	0.1149
2012	19,617,095	185,325,311	0.1059
2011	19,393,751	178,382,030	0.1087

2 Total of line 1, column (d)	2	0.4912
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.0982
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	245,682,186
5 Multiply line 4 by line 3.	5	24,125,991
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	149,378
7 Add lines 5 and 6.	7	24,275,369
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	21,378,631

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	298,756
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	298,756
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	298,756
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	389,889	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	389,889	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	91,133	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 91,133 Refunded <input type="checkbox"/> 0	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

14 The books are in care of IBM INTERNATIONAL FOUNDATION Telephone no 914-499-4690
 Located at NEW ORCHARD ROAD ARMONK, NY ZIP+4 10504

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year. 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ☐ Yes ☒ No
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 28, 1989; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation make any investment in a prior year (but after December 31, 1989) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** ☒ X
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒ X
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☒ X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 5				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
INTERNATIONAL BUSINESS MACHINES CORPORATION New Orchard Road, Armonk NY 10504	PROGRAMS ADVISOR	1,455,660
JUDY CHIN C/O IBM New Orchard Road, Armonk NY 10504	FINANCE & PROGRAM ADVISOR	135,000
JAMIE MCDONALD 5 Empire Lane, Bethel CT 06801	CSC PROGRAM ADVISOR	119,730
KAYELL SOFTWARE INC 1314 Greenwood Street, Evanston IL 60201	CSC TECHNICAL ADVISOR	118,755
ANN MARIE KAPLAN C/O IBM New Orchard Road, Armonk NY 10504	MATCHING GRANTS PROGRAM ADVISOR	73,500
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	228,299,271
b	Average of monthly cash balances	1b	17,298,872
c	Fair market value of all other assets (see instructions).	1c	3,825,396
d	Total (add lines 1a, b, and c)	1d	249,423,539
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	249,423,539
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,741,353
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	245,682,186
6	Minimum investment return. Enter 5% of line 5	6	12,284,109

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,284,109
2a	Tax on investment income for 2016 from Part VI, line 5	2a	298,756
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	298,756
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,985,353
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	11,985,353
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	11,985,353

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	21,378,631
b	Program-related investments - total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,378,631
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,378,631

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				11,985,353
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011	10,781,233			
b From 2012	10,449,707			
c From 2013	13,612,828			
d From 2014	9,216,119			
e From 2015	6,172,392			
f Total of lines 3a through e	50,232,279			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 21,378,631				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				11,985,353
e Remaining amount distributed out of corpus.	9,393,278			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	59,625,557			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	10,781,233			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	48,844,324			
10 Analysis of line 9				
a Excess from 2012	10,449,707			
b Excess from 2013	13,612,828			
c Excess from 2014	9,216,119			
d Excess from 2015	6,172,392			
e Excess from 2016	9,393,278			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 8 for each year listed					0
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					0
(3) Largest amount of support from an exempt organization.					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MS. JUDY CHIN, PROGRAM MNGR, IBM INTERNATIONAL FOUNDATION, NEW ORCHARD RD ARMONK, NY 10504 (919)-499-4960

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT 6

c Any submission deadlines

APPLICATIONS ACCEPTED ALL YEAR; REJECTIONS OR REQUESTS FOR INFORMATION SENT WITHIN 1 MONTH

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS ARE MADE FOR PURPOSES INCLUDING K-12 EDUCATION AND ADULT TRAINING ENVIRONMENTAL CHALLENGES

JSA

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT 7				20,179,287
Total			3a	20,179,287
b Approved for future payment N/A				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	167,497	
4 Dividends and interest from securities			14	5,847,711	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,535,956	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		10,551,164	0
13 Total. Add line 12, columns (b), (d), and (e)					10,551,164

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)


N/A

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here		11-2-17	PRESIDENT	<div>May the IRS discuss this return with the preparer shown below (see instructions)? <input type="checkbox"/> Yes <input type="checkbox"/> No</div>
	Signature of officer or trustee	Date	Title	

Form **990-PF** (2016)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

IBM INTERNATIONAL FOUNDATION

13-3267906

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization IBM INTERNATIONAL FOUNDATION	Employer identification number 13-3267906
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Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	INTERNATIONAL BUSINESS MACHINE CORPORATION NEW ORCHARD ROAD ARMONK, NY 10504	\$ 25,900,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	ALLSTATE INSURANCE COMPANY 2775 SANDERS ROAD, Ste F4 NORTHBROOK, IL 60062	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	CARNEGIE CORPORATION OF NEW YORK 437 MADISON AVENUE NEW YORK, NY 10022	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

13-3267906

Part II

[illegible]

Name of organization

Employer identification number

IBM INTERNATIONAL FOUNDATION

13-3267906

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

STATEMENT 1

Part I, Line 1: Contributions Received

Cash	
International Business Machines Corporation	25,900,000
All State	10,000
Carnegie Corporation of New York	50,000
Stock	-
Other	-
Total	<u>25,960,000</u>

Part I, Line 11: Other Income

	Col (a)	Col (b)	Col (c)
From K-1s (Form 1065): -			
Sec. 988 - Currency Gain (Loss)		(36,736)	-
Total	<u>-</u>	<u>(36,736)</u>	<u>-</u>

Part I, Line 16c: Other Professional Fees

	Col (a)	Col (b)	Col (d)
Management & Administration	1,455,660	-	1,455,660
2015 Accrued Expense			1,414,331
2016 Accrued Expense			(1,455,660)
Consulting Fees	516,998	-	516,998
2015 Accrued Expense			30,895
2016 Accrued Expense			(45,257)
Investment Advisory Fees	224,821	224,821	-
2015 Accrued Expense			54,937
2016 Accrued Expense			(57,462)
Total	<u>2,197,479</u>	<u>224,821</u>	<u>1,914,442</u>

Part I, Line 18: Taxes

	Col (a)	Col (b)	Col (d)
Federal Taxes	940,000	-	940,000
2015 Accrued Expense			26,919
2016 Accrued Expense			-
State Filing Fee	1,500	1,500	-
Total	<u>941,500</u>	<u>1,500</u>	<u>966,919</u>

STATEMENT 1 (continued)

Part I, Line 23: Other Expenses

	Col (a)	Col (b)	Col (d)
Other Miscellaneous			
From K-1s (Form 1065)	2,940		
13K: Deductions - Portfolio (2%)	-	59,075	-
	<u>2,940</u>	<u>59,075</u>	<u>-</u>

Part I, Line 25: Contributions, Gifts, Grants Paid

Qualifying Distributions	Part I, Line 25, Col. (a)		Part I, Line 25, Col. (d) Total
PROGRAMS	2016 Grants	Accruals	Qualifying Distributions
Matching Grants Program	9,627,830		9,627,830
General Support Grants	328,000	25,000	353,000
Corporate Service Corp	5,727,963	147,000	5,874,963
IBM Fellowship Grants	818,093		818,093
P-TECH Education Grants	10,000	78,000	88,000
Healthcorps	206,001	28,739	234,740
Smarter Cities Challenge	371,380	104,264	475,644
Public Policy Institute of NYS	605,000		605,000
Watson Teacher Advisory	420,000		420,000
GRANTS			
EMEA- IBM Fellowships	45,000		45,000 ⁽¹⁾
IBM UK Trust	2,020,020		2,020,020 ⁽¹⁾
SUB-TOTAL	<u>20,179,287</u>	<u>383,003</u>	<u>20,562,290</u>
LESS:			
⁽¹⁾ Contributions to Organizations Controlled by the Foundation			(2,065,020)
TOTAL	<u>20,179,287</u>	<u>383,003</u>	<u>18,497,270</u>

STATEMENT 2

Part II, Line 6: Receivables From Disqualified Persons

	Jan. 1, 2016	Dec. 31, 2016
4th Quarter Pledge From Substantial Contributor International Business Machines Corp	2,165,000	1,750,000

Part II, Line 10b: Investments - Corporate Stock

	Jan 1, 2016	Dec. 31, 2016
MSCI United States Indx NL CTF	30,737,114	32,959,675
MSCI ACWI ex USA SL QP CTF	48,248,159	52,500,000
Global Real Estate Sec Index CTF	11,331,721	12,020,328
Russell 3000 (R) Index NL CTF	52,446,288	55,633,697
Total	142,763,282	153,113,700

Part II, Line 10c: Investments - Corporate Bonds

	Jan 1, 2016	Dec. 31, 2016
US Aggregate Bond Index CTF	78,090,917	82,603,542

Part II, Line 13: Investments - Other

	Jan 1, 2016	Dec. 31, 2016
Essential Capital Consortium Fund	1,469,000	2,500,000

STATEMENT 3

Part IV, Line 1f Capital Gains and Losses for Tax on Investment Income

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
732,039 Units of U S Aggregate Bond Indx NL CTF	P	Various	Various	11,173,830		10,019,711	1,154,119				1,154,119
From Schedules K-1 (Form 1065)		Various	Various	4,832,603		-	4,832,603				4,832,603
Legg Mason - Money Market Fund		Various	Various	11,840			11,840				11,840
Total To Line e				16,018,273		10,019,711	6,998,562				6,998,562

STATEMENT 4

PART VII-B, LINE 5c

Grants made that require expenditure responsibility

1	Program:	Corporate Service Corp (CSC) / Smarter Cities Challenge (SCC) / IBM Health Corps (HC)
	Grantee:	Australian Business Volunteers Ltd; Digital Opportunity Trust
	Date:	Various
	Purpose:	Supporting and helping communities around the world address their most critical challenges. Cash grants to support implementation of CSC / SCC / HC in designated countries.
	Amount:	\$682,148.94 (ABV), \$2,322,838.00 (DOT)
2	Program:	IBM Fellowship Grants c/o IBM International Foundation, Armonk, NY 10504
	Grantees:	509(a) Public Charities: Selected Universities and Colleges
	Purpose:	To provide funds for students pursuing PhD's in engineering/computer sciences.
	Amount:	\$ 398,092.61

STATEMENT 5, PAGE 1

PART VIII, LINE 1
Officers, Directors, Etc.

Name & Address	Title and Average Expense Hours/Wk. Devote to Position	Contributions to Employee Benefit Plan	Expense Account and Other Allowances	Compensation
Virginia Rometty IBM Corporation New Orchard Road Armonk, NY 10504	Director, Chairman/Negligible	N/A	N/A	Individual received no compensation directly from Foundation
John Iwata IBM Corporation New Orchard Road Armonk, NY 10504	Director/ Vice Chairman/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation
Martin Schroeter IBM Corporation New Orchard Road Armonk, NY 10504	Director / Negligible	N/A	N/A	Individual received no compensation directly from Foundation
Stanley S. Litow IBM Corporation New Orchard Road Armonk, NY 10504	Director & President approx 20 hours per week	N/A	N/A	Individual received no compensation directly from Foundation
Jennifer Crozier IBM Corporation New Orchard Road Armonk, NY 10504	Director / approx. 20 hours per week	N/A	N/A	Individual received no compensation directly from Foundation

STATEMENT 5, PAGE 2

PART VIII, LINE 1
Officers, Directors, Etc.

Name & Address	Title and Average Expense Hours/Wk. Devote to Position	Contributions to Employee Benefit Plan	Expense Account and Other Allowances	Compensation
Simon J. Beaumont IBM Corporation New Orchard Road Armonk, NY 10504	Treasurer/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation
John Torkildsen IBM Corporation New Orchard Road Armonk, NY 10504	Controller/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation
Christina Montgomery IBM Corporation New Orchard Road Armonk, NY 10504	Secretary/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation
Milton Laverde IBM Corporation New Orchard Road Armonk, NY 10504	Assistant Controller/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation
Mark Hobbart IBM Corporation New Orchard Road Armonk, NY 10504	Assistant Treasurer/ Negligible	N/A	N/A	Individual received no compensation directly from Foundation

NOTE: The IBM International Foundation reimburses IBM for salary and travel expense incurred by employees, including certain officers, for services provided to the Foundation.

STATEMENT 6

Part XV, Line 2b: Form in which applications must be submitted

A formal grant request and application must be submitted. The request and application require the following, at a minimum:

- a Purpose of the grant
- b Past managerial experiences
- c. Other contributions
- d Specific expected use of funds
- e Controls in place to ensure funds will be utilized as prescribed

STATEMENT 7, PAGE 1

PART XV, LINE 3a

GRANTS & CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

PROGRAMS:

1	Program:	Matching Grants Program c/o IBM International Foundation, Armonk NY 10504
	Grantees:	509(a) Public Charities: Various U.S. tax exempt U.S.-based colleges, universities, or other post-secondary institutions, cultural, health and Environmental organizations.
	Purpose:	To support IBM employee-directed gifts to tax exempt organizations of their choice within the parameters of program guidelines.
	Amount:	\$ 9,627,830.49
2	Program:	General Support Grant c/o IBM International Foundation, Armonk NY 10504
	Grantee:	509(a) Public Charities: U.S. tax exempt organizations.
	Purpose:	To provide general support funds
	Amount:	\$ 1,363,000.00
3	Program:	Corporate Service Corp (CSC) / Smarter Cities Challenge (SCC) / IBM Health Corps (HC) c/o IBM International Foundation, Armonk, NY 10504
	Grantee:	509(a) Public Charities: four (4) NGO's proficient in developing international training programs.
	Purpose:	Supporting and helping communities around the world address their most critical challenges. Cash grants to support implementation of CSC / SCC / HC in designated countries.
	Amount:	\$ 6,305,343.94
4	Program:	IBM Fellowship Grants c/o IBM International Foundation, Armonk, NY 10504
	Grantees:	509(a) Public Charities: Selected Universities and Colleges
	Purpose:	To provide funds for students pursuing PhD's in engineering/computer sciences.
	Amount:	\$ 818,092.61

STATEMENT 7, PAGE 2

GRANTS:

10	Grantee: Purpose: Amount:	IBM United Kingdom Trust 76 Upper Ground South Bank, London, Great Britain Cash grant to support PhD Fellowship \$2,065,020.00
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