

EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

THE MALCOLM HEWITT WIENER FOUNDATION
C/O THE MILLBURN CORPORATION

A Employer identification number

13-3250321

Number and street (or P.O. box number if mail is not delivered to street address)

1270 AVENUE OF THE AMERICAS 11TH FL

Room/suite

B Telephone number

212-332-7350

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10020

C If exemption application is pending, check here ☐

G Check all that apply.

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 41,828,623.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

658,782.

658,782.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

4,555,312.

STATEMENT 1

b Gross sales price for all assets on line 6a

6,688,173.

Capital gain net income (from Part IV, line 2)

4,533,349.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

<250,838.>

<248,808.>

STATEMENT 3

12 Total Add lines 1 through 11

4,963,256.

4,943,323.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

STMT 4

126,734.

126,734.

0.

17 Interest

147,892.

147,892.

0.

18 Taxes

STMT 5

57,446.

12,446.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

150.

0.

150.

23 Other expenses

STMT 6

448,087.

208,942.

239,035.

24 Total operating and administrative expenses Add lines 13 through 23

780,309.

496,014.

239,185.

25 Contributions, gifts, grants paid

7,051,768.

7,051,768.

26 Total expenses and disbursements Add lines 24 and 25

7,832,077.

496,014.

7,290,953.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

<2,868,821.>

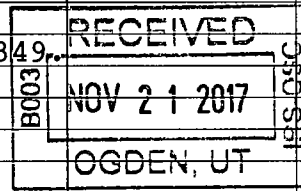
b Net investment income (if negative, enter -0-)

4,447,309.

c Adjusted net income (if negative, enter -0-)

N/A

Operating and Administrative Expenses SCANNED NOV 21 2017



625 9

THE MALCOLM HEWITT WIENER FOUNDATION

Form 990-PF (2016)

C/O THE MILLBURN CORPORATION

13-3250321

Page **2**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			1,804,224.	1,822,049.	1,822,049.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 7			16,739,988.	14,195,059.	14,195,059.
	c Investments - corporate bonds					
	11 Investments - land, buildings and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 8			26,691,614.	24,811,515.	24,811,515.	
14 Land, buildings, and equipment: basis ▶ 48,197.						
Less: accumulated depreciation STMT 9 ▶ 48,197.						
15 Other assets (describe ▶ STATEMENT 10)			0.	1,000,000.	1,000,000.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			45,235,826.	41,828,623.	41,828,623.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			45,235,826.	41,828,623.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	and complete lines 27 through 31					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances			45,235,826.	41,828,623.		
31 Total liabilities and net assets/fund balances			45,235,826.	41,828,623.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,235,826.
2 Enter amount from Part I, line 27a	2	<2,868,821.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	42,367,005.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES	5	538,382.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,828,623.

Form **990-PF** (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6,688,173.		2,154,824.	4,533,349.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			4,533,349.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,533,349.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	4,813,013.	47,817,135.	.100655
2014	4,183,797.	50,911,463.	.082178
2013	3,914,718.	48,892,658.	.080068
2012	3,059,115.	45,101,545.	.067827
2011	4,638,188.	47,899,728.	.096831

2 Total of line 1, column (d)	2	.427559
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.085512
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	41,337,702.
5 Multiply line 4 by line 3	5	3,534,870.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	44,473.
7 Add lines 5 and 6	7	3,579,343.
8 Enter qualifying distributions from Part XII, line 4	8	8,290,953.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

THE MALCOLM HEWITT WIENER FOUNDATION

Form 990-PF (2016)

C/O THE MILLBURN CORPORATION

13-3250321

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	44,473.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	44,473.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	44,473.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	52,294.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	40,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	92,294.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	47,821.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 47,821. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY, DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

THE MALCOLM HEWITT WIENER FOUNDATION

Form 990-PF (2016)

C/O THE MILLBURN CORPORATION

13-3250321

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► GREGG BUCKBINDER Telephone no. ► 212-332-7350		
Located at ► THE MILLBURN CORP., 1270 6TH AVE, NEW YORK, NY ZIP+4 ► 10020		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,354,880.
b	Average of monthly cash balances	1b	1,612,330.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	41,967,210.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	41,967,210.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	629,508.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	41,337,702.
6	Minimum investment return. Enter 5% of line 5	6	2,066,885.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,066,885.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	44,473.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	1,640.
c	Add lines 2a and 2b	2c	46,113.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,020,772.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,020,772.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,020,772.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,290,953.
b	Program-related investments - total from Part IX-B	1b	1,000,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,290,953.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	44,473.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,246,480.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,020,772.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	2,275,332.			
b From 2012	856,272.			
c From 2013	1,541,752.			
d From 2014	1,775,149.			
e From 2015	2,484,062.			
f Total of lines 3a through e	8,932,567.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	8,290,953.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				2,020,772.
e Remaining amount distributed out of corpus	6,270,181.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d) the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,202,748.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	2,275,332.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	12,927,416.			
10 Analysis of line 9:				
a Excess from 2012	856,272.			
b Excess from 2013	1,541,752.			
c Excess from 2014	1,775,149.			
d Excess from 2015	2,484,062.			
e Excess from 2016	6,270,181.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

[illegible]

- 3 Subtract line 20 from line 21.
 - Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MALCOLM H. WIENER

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE MALCOLM HEWITT WIENER FOUNDATION
C/O THE MILLBURN CORPORATION

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CONTRIBUTIONS FROM PASS-THRU PARTNERSHIPS				22.
SEE ATTACHED SCHEDULE				7,051,746.
Total			▶ 3a	7,051,768.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

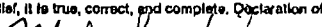
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) of the Code (a) or in section 501(c)(2) organizations or in section 527 relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash		1a(1)	X
(2) Other assets		1a(2)	X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization		1b(1)	X
(2) Purchases of assets from a noncharitable exempt organization		1b(2)	X
(3) Rental of facilities, equipment, or other assets		1b(3)	X
(4) Reimbursement arrangements		1b(4)	X
(5) Loans or loan guarantees		1b(5)	X
(6) Performance of services or membership or fundraising solicitations		1b(6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	 Signature of officer or trustee		11/14/17 Date		President Title	
Paid Preparer Use Only	Print/Type preparer's name GARRETT M. HIGGINS CPA		Preparer's signature  CPA		Date 11/16/17	
	Check ☐ if self-employed		PTIN P00543209		Firm's EIN ▶ 27-1728945	
Firm's name ▶ PKF O'CONNOR DAVIES, LLP					Firm's address ▶ 500 MAMARONECK AVENUE NEW YORK, NY 10528-1633	
Phone no. 914-381-8900						

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a S/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS		P	VARIOUS	VARIOUS
b L/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS		P	VARIOUS	VARIOUS
c SEE STATEMENT ATTACHED		P	VARIOUS	VARIOUS
d SEE STATEMENT ATTACHED		P	VARIOUS	VARIOUS
e REDUCTION FOR INCOME SUBJECT TO UBTI		P	VARIOUS	VARIOUS
f REDUCTION FOR INCOME SUBJECT TO UBTI		P	VARIOUS	VARIOUS
g CAPITAL GAINS DIVIDENDS				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 596,982.			596,982.
b 1,447,076.			1,447,076.
c 271,500.		268,938.	2,562.
d 4,369,459.		1,863,923.	2,505,536.
e		9,864.	<9,864.>
f		12,099.	<12,099.>
g 3,156.			3,156.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			596,982.
b			1,447,076.
c			2,562.
d			2,505,536.
e			<9,864.>
f			<12,099.>
g			3,156.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	4,533,349.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS DATE SOLD
S/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS	596,982.	0.	0.	PURCHASED 0.	VARIOUS 596,982.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS DATE SOLD
L/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS	1,447,076.	0.	0.	PURCHASED 0.	VARIOUS 1,447,076.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS DATE SOLD
SEE STATEMENT ATTACHED	271,500.	268,938.	0.	PURCHASED 0.	VARIOUS 2,562.

The Malcolm Hewitt Wiener Foundation

EIN# 13-3250321

Form 990-PF, PART IV

Partnership Capital Gains

<u>Partnership Name</u>	<u>S/T Capital Gain (Loss)</u>	<u>L/T Capital Gain (Loss)</u>
Millburn Equity Partners LLC	(6,640)	47,748
ShareVest Partners LP	-	978,319
Millburn Select Strategies LP	535,778	446,084
Millburn MCO Partners LP	67,844	(25,075)
	<u>596,982</u>	<u>1,447,076</u>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
SEE STATEMENT ATTACHED	4,369,459.	1,863,923.	0.	0.	2,505,536.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
REDUCTION FOR INCOME SUBJECT TO UBTI	0.	0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
REDUCTION FOR INCOME SUBJECT TO UBTI	0.	0.	0.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV

3,156.

TOTAL TO FORM 990-PF, PART I, LINE 6A

4,555,312.

THE MALCOLM HEWITT WIENER FOUNDATION
EIN: 13-3250321
STATEMENT ATTACHED TO AND MADE PART OF
FORM 990-PF RETURN OF PRIVATE FOUNDATION
CAPITAL GAINS/LOSSES
FOR THE YEAR ENDED 12/31/16

SHORT TERM

# OF SHARES	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	REVENUE PER BOOKS		REVENUE PER NET	
					COST BASIS	GAIN/LOSS	COST BASIS	GAIN/LOSS
1,000	Stancorp Financial Group	03/01/16	10/01/15	115,000	114,084	916	114,084	916
4,000	Powersecure International	05/09/16	03/02/16	75,000	74,520	480	74,520	480
1,000	Medivation Inc	10/03/16	08/24/16	81,500	80,334	1,166	80,334	1,166
TOTAL				271,500	268,938	2,562	268,938	2,562

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
FIRST REPUBLIC BANK	989.	0.	989.	989.		
FROM PARTNERSHIPS	334,867.	0.	334,867.	334,867.		
GABELLI & COMPANY	250,023.	0.	250,023.	250,023.		
IRS	2.	0.	2.	2.		
JP MORGAN/WHITMAN JP	70,969.	0.	70,969.	70,969.		
MORGAN/WHITMAN-ADV SORY	1,031.	0.	1,031.	1,031.		
THIRD AVENUE FUND	4,057.	3,156.	901.	901.		
TO PART I, LINE 4	661,938.	3,156.	658,782.	658,782.		

FORM 990-PF	OTHER INCOME		STATEMENT	3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
ORDINARY INCOME/(LOSS) FROM PARTNERSHIPS	<252,095.>	<252,095.>		
LESS: UBTI FROM PARTNERSHIPS	0.	3,286.		
NET ROYALTIES FROM PARTNERSHIPS	1.	1.		
OTHER MISCELLANEOUS INCOME	1,256.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	<250,838.>	<248,808.>		

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	126,734.	126,734.		0.	
TO FORM 990-PF, PG 1, LN 16C	126,734.	126,734.		0.	

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	12,446.	12,446.		0.
EXCISE TAXES	40,000.	0.		0.
UBT TAXES	5,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	57,446.	12,446.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	1,120.	0.		1,120.
POSTAGE AND DELIVERY	1,021.	0.		1,021.
INVESTMENT EXPENSES	208,942.	208,942.		0.
INSURANCE	4,568.	0.		4,568.
ALLOCATED PAYROLL EXPENSES	183,009.	0.		183,009.
ALLOCATED EMPLOYEE BENEFITS	49,317.	0.		49,317.
MISCELLANEOUS EXPENSES	110.	0.		0.
TO FORM 990-PF, PG 1, LN 23	448,087.	208,942.		239,035.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GABELLI & COMPANY	11,644,116.	11,644,116.
JP MORGAN/WHITMAN	2,483,483.	2,483,483.
THIRD AVENUE FUND	53,287.	53,287.
TUDOR BVI FUND LIMITED	14,173.	14,173.
TOTAL TO FORM 990-PF, PART II, LINE 10B	14,195,059.	14,195,059.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MILLBURN EQUITY PARTNERS	FMV	2,946,400.	2,946,400.
MILLBURN MCO PARTNERS LP	FMV	4,894,036.	4,894,036.
MILLBURN SELECT STRATEGIES LP	FMV	9,508,142.	9,508,142.
SHAREVEST PARTNERS LP	FMV	7,462,937.	7,462,937.
TOTAL TO FORM 990-PF, PART II, LINE 13		24,811,515.	24,811,515.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	9
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	23,207.	23,207.	0.
FURNITURE & FIXTURES	24,226.	24,226.	0.
OFFICE EQUIPMENT	764.	764.	0.
TOTAL TO FM 990-PF, PART II, LN 14	48,197.	48,197.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENT	0.	1,000,000.	1,000,000.
TO FORM 990-PF, PART II, LINE 15	0.	1,000,000.	1,000,000.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MALCOLM H. WIENER C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	PRESIDENT & TREASURER 3.00	0.	0.	0.
HARVEY BEKER C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	VP & ASSISTANT SECRETARY 0.25	0.	0.	0.
GEORGE E. CRAPPLE C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	SECRETARY 0.25	0.	0.	0.
GREGG BUCKBINDER C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	ASSISTANT SECRETARY & ASSI 0.25	0.	0.	0.
CAROLYN S WIENER C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	DIRECTOR 0.25	0.	0.	0.
PHILIP BETANCOURT C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	DIRECTOR 0.25	0.	0.	0.
HENRY DAVIS C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	DIRECTOR 0.25	0.	0.	0.
DAVID ELLWOOD C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	DIRECTOR 0.25	0.	0.	0.
GRAHAM ALLISON C/O THE MILLBURN CORP 1270 6TH AVENUE NEW YORK, NY 10020	DIRECTOR 0.25	0.	0.	0.

2

THE MALCOLM HEWITT WIENER FOUNDATION C/O

13-3250321

ADELAIDE LEWIS DIRECTOR
 C/O THE MILLBURN CORP 1270 6TH
 AVENUE 0.25
 NEW YORK, NY 10020

0. 0. 0.

BEVIS LONGSTRETH DIRECTOR
 C/O THE MILLBURN CORP 1270 6TH
 AVENUE 0.25
 NEW YORK, NY 10020

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF

SUMMARY OF PROGRAM-RELATED INVESTMENTS

STATEMENT 12

DESCRIPTION

A FIVE-YEAR INTEREST FREE LOAN WAS GIVEN TO THE AMERICAN
 SCHOOL OF CLASSICAL STUDIES AT ATHENS FOR THE CONSTRUCTION
 OF A LABORATORY FOR PURPOSES OF ARCHAEOLOGICAL RESEARCH. THE
 LOAN WILL BE FORGIVEN AT THE END OF FIVE YEARS TO THE EXTENT
 THAT FUNDS HAD NOT BEEN RAISED FROM OTHER SOURCES, WITH THE
 EXPECTATION THAT THE SCHOOL WOULD CONTINUE TO VIGOROUSLY
 PURSUE SUCH FUNDS.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

1,000,000.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Convention	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	COMPUTER EQUIPMENT	06/15/99	200DB	5.00	HY	17	23,207.				23,207.	23,207.		0.	23,207.
2	FURNITURE & FIXTURES	04/15/99	200DB	7.00	HY	17	24,226.				24,226.	24,226.		0.	24,226.
3	OFFICE EQUIPMENT	05/15/99	200DB	5.00	HY	17	764.				764.	764.		0.	764.
* TOTAL 990-PF PG 1 DEPR							48,197.				48,197.	48,197.		0.	48,197.