

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2016**  
**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016**

Name of foundation SPUNK FUND INC		<b>A Employer identification number</b> 13-3116094	
Number and street (or P O box number if mail is not delivered to street address) 780 THIRD AVENUE 24TH FLOOR		<b>B Telephone number (see instructions)</b> (212) 980-8880	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 22,488,319		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	462,805			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	682,885	682,885		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	278,987			
	<b>b</b> Gross sales price for all assets on line 6a	719,348			
	<b>7</b> Capital gain net income (from Part IV, line 2)		434,976		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	1,424,677	1,117,861			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	50,241	0		50,241
	<b>14</b> Other employee salaries and wages	181,214	26,610		154,604
	<b>15</b> Pension plans, employee benefits	34,663	4,599		30,064
	<b>16a</b> Legal fees (attach schedule)	240,000	120,000		120,000
	<b>b</b> Accounting fees (attach schedule)	60,000	30,000		30,000
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	74,304	46,653		13,951
	<b>19</b> Depreciation (attach schedule) and depletion	14,042	2,217		
	<b>20</b> Occupancy	127,062	20,057		107,005
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	66,632	11,394		55,239
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	848,158	261,530		561,104
	<b>25</b> Contributions, gifts, grants paid	818,200			818,200
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,666,358	261,530		1,379,304	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-241,681				
<b>b Net investment income</b> (if negative, enter -0-)		856,331			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	46,116	46,116	46,116
	<b>2</b> Savings and temporary cash investments . . . . .	22,178	52,932	52,932
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)	8,556	5,909	5,602
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	11,080,906	10,825,160	22,113,825
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ 830,836 Less accumulated depreciation (attach schedule) ▶ 560,992	283,886	269,844	269,844
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	11,441,642	11,199,961	22,488,319	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	0	0	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	11,441,642	11,199,961		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	11,441,642	11,199,961		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	11,441,642	11,199,961		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	11,441,642
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-241,681
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	11,199,961
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	11,199,961

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
<b>1</b> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))				
<b>2</b> Capital gain net income or (net capital loss)			434,976	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,249,178	21,801,199	0.057299
2014	1,140,847	22,548,059	0.050596
2013	1,236,160	20,673,560	0.059794
2012	1,308,433	18,233,203	0.071761
2011	1,251,008	18,777,442	0.066623
<b>2</b> Total of line 1, column (d)			0.306073
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.061215
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			21,651,384
<b>5</b> Multiply line 4 by line 3			1,325,389
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			8,563
<b>7</b> Add lines 5 and 6			1,333,952
<b>8</b> Enter qualifying distributions from Part XII, line 4			1,379,304

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax based on investment income'. Total amount owed is 5,438.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Columns for 'Yes' and 'No' are provided for each question.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 780 THIRD AVENUE New York NY ZIP+4 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Patrick Case c/o Spunk Fund 780 Third Ave 24th FL New York, NY 10017	Grant Officer 40 00	60,070	11,924	0

**Total** number of other employees paid over \$50,000.  **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SOLOMON BLUM HEYMANN LLP 40 WALL ST 35TH FLR NEW YORK, NY 10005	LEGAL & ACCOUNTING	300,000
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	21,545,881
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	158,355
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	276,865
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	21,981,101
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	21,981,101
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	329,717
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	21,651,384
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	1,082,569

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	1,082,569
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	8,563
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	8,563
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	1,074,006
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	1,074,006
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	1,074,006

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	1,379,304
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,379,304
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	8,563
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,370,741

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				1,074,006
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			0	
<b>b</b> Total for prior years 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				17,971
<b>c</b> From 2013. . . . .				214,331
<b>d</b> From 2014. . . . .				24,978
<b>e</b> From 2015. . . . .				169,217
<b>f Total</b> of lines 3a through e. . . . .	426,497			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ _____ 1,379,304				
<b>a</b> Applied to 2015, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				1,074,006
<b>e</b> Remaining amount distributed out of corpus	305,298			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	731,795			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	731,795			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				17,971
<b>b</b> Excess from 2013. . . . .				214,331
<b>c</b> Excess from 2014. . . . .				24,978
<b>d</b> Excess from 2015. . . . .				169,217
<b>e</b> Excess from 2016. . . . .				305,298

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
 MARIANNE GERSCHEL

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
 SPUNK FUND INC THE PRESIDENT  
 780 THIRD AVENUE  
 NEW YORK, NY 10017  
 (212) 980-8880

**b** The form in which applications should be submitted and information and materials they should include  
 PURPOSE OF GRANT, AMOUNT OF FUNDING REQUESTED, ANNUAL REPORT IRS DETERMINATION LETTER, AND OTHER SUPPORTERS

**c** Any submission deadlines  
 LETTERS OF INQUIRY ARE ACCEPTED YEAR-ROUND THE DEADLINE FOR SUBMITTING THE ACTUAL PROPOSAL IS APRIL

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
 SPUNK FUND INC SUPPORTS INITIATIVES CONTRIBUTING TO THE ENRICHMENT AND WELL BEING OF CHILDREN

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			▶ <b>3a</b>	818,200
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			▶ <b>3b</b>	0





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FHLMC 7.0% 10/15/22 REMIC Ser 1397 Principal	P	1993-02-23	2016-07-15
3,700 sh General Electric Co	D	2000-05-18	2016-08-04
1,300 sh General Electric Co	P	2001-12-19	2016-08-04
2,500 sh Mondelez International, Inc	P	2010-02-12	2016-11-25
2,000 sh Sanofi	P	2004-08-25	2016-11-25
1,500 sh Time Warner Cable, Inc	P	2009-06-15	2016-05-20
4,049 sh WebMD Health Corp	P	2008-04-17	2016-12-04
Charter Communications, Inc (cash in lieu)	P	2009-06-15	2016-05-20
Liberty Media Corp	P	2000-01-01	2016-07-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,460		1,403	57
113,725		28,009	85,716
39,958		52,312	-12,354
106,354			106,354
79,364		47,034	32,330
150,000		71,783	78,217
228,227		83,831	144,396
141			141
119			119

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			57
			85,716
			-12,354
			106,354
			32,330
			78,217
			144,396
			141
			119

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a)</b> Name and address	Title, and average hours per week <b>(b)</b> devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	Expense account, <b>(e)</b> other allowances
MARIANNE GERSCHEL 425 EAST 58TH STREET NEW YORK, NY 10022	DIRECTOR/PRES/TREAS 20 00	0	0	0
ANDREW W HEYMANN c/o SBH 40 WALL ST 35TH FLR NEW YORK, NY 10005	DIRECTOR/SECRETARY 8 00	0	0	0
GERARD E HEYMANN c/o JPM 277 PARK AVE NEW YORK, NY 10172	DIRECTOR 1 00	0	0	0
WILLIAM L BLUM c/o SBH 40 WALL ST 35TH FLR NEW YORK, NY 10005	ASSISTANT SECRETARY 1 00	0	0	0
ROBERT A LADISLAW c/o SBH 40 WALL ST 35TH FLR NEW YORK, NY 10005	ASSISTANT TREASURER 5 00	0	0	0
LEONARD J LAMM 425 EAST 58TH STREET NEW YORK, NY 10022	VICE PRESIDENT 20 00	50,241	5,526	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SharedImpact Foundation 575 Lexington Ave 4th FL New York, NY 10022	None	Public charity	To provide continued support for the long-term project mapping the extreme rightist movement in Israel, gathering and distributing information to strategic partners, enhancing security and resilience of civil society in Israel	100,000
Ballet Tech Foundation Inc Lawrence Wien Ctr 890 Broadway 8th Fl New York, NY 10003	None	Public charity	To provide support for dance instructor salaries at the NYC Public School for Dance, grades 9-11, during the 2016-2017 academic year	30,000
Citizens' Committee for Children of New York 14 Wall Street Suite 4-E New York, NY 10005	None	Public charity	To support scholarships for the Community Leadership Course	10,000
Council on Foundations 2121 Crystal Drive Suite 700 Arlington, VA 22802	None	Public charity	Dues and other	5,300
De La Salle Academy 332 West 43rd Street New York, NY 10036	None	Public charity	To support a program to ensure that all De La Salle students are able to visit the boarding schools to which they are applying	10,000
Good Shepherd Services 305 7th Ave 9th FL New York, NY 10001	None	Public charity	Support for further enhancement of the GSS after-school program evaluation/planning capacity through data-informed decision making and evidence based practices	100,000
DABATEM Meselik Sokak No 26/5 Beyoglu Istanbul, Istanbul 34433 TU	None	Public charity	Development of mental healthcare model for mass trauma survivors and dissemination of knowledge	135,000
Grantmakers Concerned with Immigrants and Refugees 7151 Wilton Suite 202 Sebastopol, CA 95472	None	Public charity	Dues and other	1,000
Liberty Science Center 222 Jersey City Boulevard Jersey City, NJ 07305	None	Public charity	To support Partners in Science, a program that matches high achieving high school students with professionals in STEM fields	50,000
PEF Israel Endowment Funds 630 Third Ave 15th FL New York, NY 10017	None	Public charity	To support the New Fund for Cinema and TV/Greenhouse Program for Documentary Filmmakers to increase documentary film capacity in the Middle East/North Africa region	100,000
Philanthropy New York 1500 Broadway 7th FL New York, NY 10003	None	Public charity	Dues and other	1,900
La MaMa Experimental Theatre Club Inc 74A East 4th St New York, NY 10003	None	Public charity	To support the United States premiere of "Kidnap Road" in Spring 2017 and community outreach in conjunction with the play	60,000
Minors Inc PO Box 17131 St Paul, MN 55117	None	Public charity	To support disadvantaged schoolchildren in boarding schools in Dien Bien Phu, Lao Cai and Lai Chau Provinces	50,000
World Federation for Mental Health PO Box 6815 Baltimore, MD 22125	None	Public charity	To provide one year of support for Chief Officer, Governance, in order to facilitate the completion of reports necessary to maintain official relations with the United Nations and the World Health Organization and drafting of other official organization documents such as the WFMH annual report	25,000
Youth Communication New York Center Inc 242 West 38th St 6th FL New York, NY 10018	None	Public charity	To support the incorporation of the "Real Men" book into a curriculum within the "Real as Me" series, designed to help at-risk young men combat stereotypes and become confident young adults	50,000
<b>Total . . . . .</b>				818,200
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UC San Diego Foundation 9500 Gilman Drive MC 0940 La Jolla, CA 92093	None	Public charity	Support for sequencing genomes of 37 human subjects living in high altitude conditions in Kyrgyzstan	40,000
Go To College NYC Inc 127 West 83rd St Unit 843 New York, NY 10024	None	Public charity	Support an innovative education initiative providing top, inclusive college counselling support and services to African American and Latino students of low income backgrounds in NYC	50,000
<b>Total . . . . .</b> ▶				818,200
<b>3a</b>				

**TY 2016 Accounting Fees Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	60,000	30,000		30,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2016 Expenditure Responsibility Statement

**Name:** SPUNK FUND INC

**EIN:** 13-3116094

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DABATEM	Meselik Sokak No 26/5 Beyoglu Istanbul, Istanbul 34433 TU	2016-12-20	135,000	Development of mental healthcare model for mass trauma survivors and dissemination of knowledge through training programs Prepration of a book entitled torture and its definition in international law an interdisciplinary approach	11,250	None	June 2017 and December 2017	2017-06-15	All funds have been expended in accordance with the purposes of the grant, and pursuant to the grant agreement

**TY 2016 Investments Corporate Stock Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AFLAC, Inc.	390,695	939,600
Anthem, Inc.	188,200	920,128
AT&T, Inc.	637,734	1,120,793
Bank New York Mellon Corp	164,274	446,983
Bank Hawaii Corp.	196,828	620,830
Bristol Myers Squibb Co	207,810	584,400
BP PLC	441,820	373,800
Citigroup, Inc.	73,845	67,750
Discovery Communications (Ser A & C)	41,294	81,285
Exxon Mobil Corp.	311,865	902,600
Frontier Communications Corp.	22,105	8,112
General Electric Co.	0	0
Glaxosmithkline PLC	194,825	150,189
Hartford Financial Services Group, Inc.	76,458	228,720
Heineken NV	92,347	374,100
Hyundai Motor Co.	57,897	181,323
Intel Corp	501,672	398,970
IBM Corp.	236,828	829,950
Johnson & Johnson	354,846	1,152,100
JP Morgan Chase	226,199	431,450
Koninklijke Philips	144,931	174,854
Liberty Interactive Series A	192,614	149,850
Liberty Media Corp Ser A Liberty Sirius XM	20,512	70,007
Liberty Media Corp Ser C Liberty Sirius XM	2,305	67,840
Liberty Global PLC Class C	45,856	89,100
Liberty Interactive Corp Liberty Ventures Ser A	25,556	40,151
Liberty Broadband Corp CL A	7,665	36,737
Liberty Broadband Corp CL C	5,436	75,107
McKesson Corp.	151,281	575,845
Merck & Co.	594,566	883,050

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
Pearson PLC	146,655	100,991
Petroleo Brasileiro	392,899	614,688
Pfizer, Inc.	608,571	812,000
Procter & Gamble Co.	359,238	672,640
Royal Dutch Shell	398,880	489,420
Sampo	326,408	1,617,883
Sanofi	235,170	404,400
Solar Capital Ltd.	379,700	383,088
Time Warner, Inc.	302,293	482,650
The Travelers Companies, Inc.	69,704	244,840
United Parcel Service	490,562	859,800
United Technologies Corp	151,545	942,732
Verizon Communications	334,328	533,800
WedMD Health Corp.	172,291	371,775
Danske Bank	152,700	294,844
Koninklijke Ahold NV	312,747	151,311
Liberty Global PLC CL A	29,393	55,062
Pepsico, Inc.	163,363	523,150
Liberty Global PLC LILAC CL A	1,437	6,895
Liberty Global PLC LILAC CL C	2,317	11,093
Liberty Media Corp Ser C Liberty Braves	788	4,118
Liberty Media Corp Ser C Liberty Media	1,985	15,665
Liberty Media Corp Ser A Liberty Braves	897	4,139
Liberty Media Corp Ser A Liberty Media	1,856	15,894
CommerceHub, Inc. Ser C	3,554	5,456
CommerceHub, Inc. Ser A	1,785	2,717
Mondelez International, Inc.	0	155,155
Vodafone Group PLC	155,254	122,150
Charter Communications, Inc.	0	211,045
Liberty Expedia Holdings	20,576	28,800

**TY 2016 Investments Government Obligations Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094**US Government Securities - End  
of Year Book Value:**

5,909

**US Government Securities - End  
of Year Fair Market Value:**

5,602

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2016 Legal Fees Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES	240,000	120,000		120,000

**TY 2016 Other Expenses Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMMUNICATION & INTERNET	9,468	1,494		7,973
FILING FEES	769	121		648
PAYROLL PROCESSING CHARGES	1,486	235		1,251
RENT - EQUIPMENT	5,866	926		4,940
COMPUTER EXPENSE	11,954	1,308		10,646
DUES, MEMBERSHIPS & PUB	3,596	0		3,596
INSURANCE	18,580	4,169		14,412
OFFICE SUPPLIES & EXPENSES	7,883	1,244		6,639
POSTAGE & SHIPPING	2,030	321		1,710
REPAIRS & MAINTENANCE	4,031	636		3,395

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK AND INVESTMENT FEES	935	935		0
MISCELLANEOUS EXPENSE	34	5		29

**TY 2016 Taxes Schedule****Name:** SPUNK FUND INC**EIN:** 13-3116094

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL TAXES	13,700	0		0
FOREIGN TAXES	44,038	44,038		0
PAYROLL TAXES	16,566	2,615		13,951

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047  
**2016**

**Name of the organization**  
SPUNK FUND INC

**Employer identification number**  
13-3116094

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> SPUNK FUND INC	<b>Employer identification number</b> 13-3116094
---	---

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARIANNE GERSCHEL <hr/> 780 THIRD AVE <hr/> NEW YORK, NY10017	\$ 462.805	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>



<b>Name of organization</b> SPUNK FUND INC	<b>Employer identification number</b> 13-3116094
---	---

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee