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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE MARC HAAS FOUNDATION, INC.		A Employer identification number 13-3073137
Number and street (or P.O. box number if mail is not delivered to street address) C/O MAZARS USA LLP 135 W. 50TH ST		B Telephone number 212-375-6723
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10020		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 110,696,729. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		600,491.	590,270.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,884,519.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			1,884,519.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		456,596.	445,139.		STATEMENT 2
12 Total. Add lines 1 through 11		2,941,606.	2,919,928.		
13 Compensation of officers, directors, trustees, etc		400,000.	200,000.		200,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		41,508.	14,113.		27,395.
c Other professional fees STMT 4		41,000.	14,940.		27,060.
17 Interest		53,625.	53,625.		0.
18 Taxes STMT 5		270,077.	106,386.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		885,949.	884,403.		641.
24 Total operating and administrative expenses. Add lines 13 through 23		1,692,159.	1,273,467.		255,096.
25 Contributions, gifts, grants paid		7,036,500.			7,036,500.
26 Total expenses and disbursements. Add lines 24 and 25		8,728,659.	1,273,467.		7,291,596.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<5,787,053.>			
b Net investment income (if negative, enter -0-)			1,646,461.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(d) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,762,055.	1,091,049.	1,091,049.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶ 3,100.				
	Less: allowance for doubtful accounts ▶ 0.	1,690.	3,100.	3,100.	
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other STMT 7		67,704,180.	64,586,723.	109,602,580.	
14 Land, buildings, and equipment; basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		71,467,925.	65,680,872.	110,696,729.	
17 Accounts payable and accrued expenses					
18 Grants payable					
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	71,467,925.	65,680,872.		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances	71,467,925.	65,680,872.		
	31 Total liabilities and net assets/fund balances	71,467,925.	65,680,872.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	71,467,925.
2 Enter amount from Part I, line 27a	2	<5,787,053.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	65,680,872.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	65,680,872.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PASS THRU FROM PARTNERSHIPS			VARIOUS	12/31/16
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,884,519.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			1,884,519.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,884,519.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	6,491,018.	107,542,580.	.060358
2014	6,101,659.	114,352,923.	.053358
2013	5,732,680.	107,598,926.	.053278
2012	5,862,951.	102,550,833.	.057171
2011	5,815,938.	102,489,303.	.056747

2 Total of line 1, column (d)	2	.280912
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056182
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	110,704,663.
5 Multiply line 4 by line 3	5	6,219,609.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,465.
7 Add lines 5 and 6	7	6,236,074.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	7,291,596.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	16,465.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	16,465.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	16,465.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	104,245.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	104,245.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	87,780.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 87,780. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY, CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>MAZARS USA LLP</u> Telephone no. ► <u>212-812-7000</u> Located at ► <u>135 WEST 50TH STREET, NEW YORK, NY</u> ZIP+4 ► <u>10020</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H. HAINES	PRESIDENT/TREASURER			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	20.00	200,000.	0.	0.
STANLEY S. SHUMAN	CHAIRMAN/SECRETARY			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	20.00	200,000.	0.	0.
JUDY E. TENNEY	DIRECTOR			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	1.00	0.	0.	0.
SYDNEY R. SHUMAN	DIRECTOR			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	595,777.
b Average of monthly cash balances	1b	2,783,416.
c Fair market value of all other assets	1c	109,011,328.
d Total (add lines 1a, b, and c)	1d	112,390,521.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	112,390,521.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,685,858.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	110,704,663.
6 Minimum investment return. Enter 5% of line 5	6	5,535,233.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	5,535,233.
2a Tax on investment income for 2016 from Part VI, line 5	2a	16,465.
b Income tax for 2016. (This does not include the tax from Part VI.)	2b	212,959.
c Add lines 2a and 2b	2c	229,424.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	5,305,809.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	5,305,809.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,305,809.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,291,596.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,291,596.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,465.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,275,131.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,305,809.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	789,517.			
b From 2012	882,764.			
c From 2013	479,910.			
d From 2014	516,118.			
e From 2015	1,386,742.			
f Total of lines 3a through e	4,055,051.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 7,291,596.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				5,305,809.
e Remaining amount distributed out of corpus	1,985,787.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,040,838.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	789,517.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	5,251,321.			
10 Analysis of line 9:				
a Excess from 2012	882,764.			
b Excess from 2013	479,910.			
c Excess from 2014	516,118.			
d Excess from 2015	1,386,742.			
e Excess from 2016	1,985,787.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE	501 (C)(3)	CHARITABLE	7,036,500.
Total			3a	7,036,500.
b Approved for future payment				
NONE				
Total			3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>	<p>Yes</p>

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

11/14/77

▶ Trunk

May the IRS discuss this return with the preparer shown below (see part 1)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	ISRAEL TANNENBAUM		11/18/17		P01589203
	Firm's name ▶ MAZARS USA LLP			Firm's EIN ▶ 13-1459550	
	Firm's address ▶ 135 WEST 50TH STREET NEW YORK, NY 10020-0002			Phone no. (212) 812-7000	

Form **990-PF** (2016)

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND PASS THRU FROM PTNRSHPS	245,577.	0.	245,577.	245,577.	
INTEREST PASS THRU FROM PTNSHPS	354,914.	0.	354,914.	344,693.	
TO PART I, LINE 4	600,491.	0.	600,491.	590,270.	

FORM 990-PF		OTHER INCOME		STATEMENT 2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
OTHER PORTFOLIO INCOME FROM K-1'S	445,139.	445,139.		
TAX REFUND	11,457.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	456,596.	445,139.		

FORM 990-PF		ACCOUNTING FEES		STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAZARS USA LLP	41,508.	14,113.		27,395.
TO FORM 990-PF, PG 1, LN 16B	41,508.	14,113.		27,395.

FORM 990-PF		OTHER PROFESSIONAL FEES		STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRICK WOODS LLC	31,000.	10,540.		20,460.
ELISE RICHMOND	10,000.	4,400.		6,600.
TO FORM 990-PF, PG 1, LN 16C	41,000.	14,940.		27,060.

FORM 990-PF	TAXES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES FROM K-1'S	14,871.	14,871.		0.
STATE TAXES	428.	428.		0.
FOREIGN TAXES FROM K-1'S	91,087.	91,087.		0.
FEDERAL EXCISE TAX	163,691.	0.		0.
TO FORM 990-PF, PG 1, LN 18	270,077.	106,386.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES FROM K-1'S	517,949.	517,949.		0.
NON DEDUCTIBLE EXPENSE FROM K-1'S	905.	0.		0.
OFFICE EXPENSES	641.	0.		641.
PORTFOLIO DEDUCTIONS FROM K-1'S	366,454.	366,454.		0.
TO FORM 990-PF, PG 1, LN 23	885,949.	884,403.		641.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 7	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
BAUPOST PARTNERS	COST	58,626,782.	63,940,759.	
ARCHSTONE OFFSHORE PARTNERS	COST	5,203,281.	11,516,424.	
MDM PARTNERS	COST	183,043.	191,000.	
WATERVIEW PARTNERS	COST	4,263.	12,948.	
FOREFRONT (CHARLES SCHWAB)	COST	301,789.	387,304.	
NORTHWOOD OFFSHORE FUND LTD	COST	0.	0.	
PRAIRE PROVIDENT RES INC	COST	267,565.	267,565.	
ELLIOTT INTERNATIONAL LTD	COST	0.	33,286,580.	
TOTAL TO FORM 990-PF, PART II, LINE 13		64,586,723.	109,602,580.	

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT H. HAINES, ESQ.
C/O MAZARS USA LLP 135 W 50TH ST
NEW YORK, NY 10020

TELEPHONE NUMBER

212-812-7000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN WRITING, INDICATING THE CHARITABLE
PURPOSE OF THE ORGANIZATION WITH SUPPORTING MATERIALS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

The Marc Haas Foundation
Charitable Contribution List
December, 31, 2016
EIN# 13-3073137

TYPE	Date	Check No.	Charity Name	Amount
Check	03/19/2016	1347	Audubon Society	10,000
Check	03/19/2016	1348	Autism Speaks	10,000
Check	03/19/2016	1350	Carnegie Hall Society	200,000
Check	03/19/2016	1351	Chess-in-the-Schools	25,000
Check	03/19/2016	1352	CIA Officer Memorial foundation	10,000
Check	03/19/2016	1353	Citizens Community for NYC	10,000
Check	03/19/2016	1354	City Year, Inc.	25,000
Check	03/19/2016	1355	Committee to protect journalists	20,000
Check	03/19/2016	1357	Conservation International	10,000
Check	03/19/2016	1358	Council on Foreign Relations	100,000
Check	03/19/2016	1359	Council on Foreign Relations	200,000
Check	03/19/2016	1361	Guild Hall of East Hampton	10,000
Check	03/19/2016	1362	Harlem Educational Activitie	10,000
Check	03/19/2016	1363	International Rescue Committ	50,000
Check	03/19/2016	1365	Lenox Hill Neighborhood House	50,000
Check	03/19/2016	1366	MOUSE	10,000
Check	03/19/2016	1367	The Municipal Art Society of New York	25,000
Check	03/19/2016	1368	National Public Radio Foundation	25,000
Check	03/19/2016	1369	NYC Partnership Foundation	30,000
Check	03/19/2016	1370	New York Law School, Ctr for	50,000
Check	03/19/2016	1371	New York Presbyterian Hospital	50,000
Check	03/19/2016	1372	Paley Center for Media	100,000
Check	03/19/2016	1373	Partnership for Public Service	25,000
Check	03/19/2016	1374	Prep for Prep	25,000
Check	03/19/2016	1375	Public Art Fund, Inc.	50,000
Check	03/19/2016	1376	Robin Hood Foundation	25,000
Check	03/19/2016	1378	Second Stage	25,000
Check	03/19/2016	1379	Sesame Workshop	25,000
Check	03/19/2016	1381	Story Corps	50,000
Check	03/19/2016	1382	Success Academy Charter Schools	25,000
Check	03/19/2016	1384	The Metropolitan Museum of Art	60,000
Check	03/19/2016	1386	The New York Public Library	50,000
Check	03/19/2016	1387	The Spina Bifida Association	10,000
Check	03/19/2016	1389	Thirteen/WNET	150,000
Check	03/19/2016	1390	Trickle Up Program	15,000
Check	03/19/2016	1391	Wildlife Conservation Society	25,000
Check	03/19/2016	1394	Breast Cancer Research Foundation	10,000
Check	03/19/2016	1395	Steadman Philippon Research Institute	50,000
Check	03/19/2016	1396	S.L.E. Lupus Foundation	10,000
Check	03/19/2016	1397	Community Foundation of Greater Flint	10,000
Check	04/06/2016	1403	The First Tee of Metropolitan NY	12,000
Check	6/22/2016	1406	Alzheimer's Drug Discovery Foundation	5,000

Check	6/22/2016	407	Chelsea Piers Scholarship Fund	10,000
Check	06/22/2016	1408	Citizens Budget Commission	25,000
Check	06/22/2016	1409	Classroom Inc.	25,000
Check	06/22/2016	1410	Family Member Inc.	10,000
Check	06/22/2016	1411	SeriousFun Children's Network	25,000
Check	06/22/2016	1412	International Women's Health Coalition	10,000
Check	06/22/2016	1413	James P. McCarron Medical Foundation	10,000
Check	06/22/2016	1415	Manhattan Theatre Club, Inc.	10,000
Check	06/22/2016	1416	National Ctr on Addiction & Subst Abuse	25,000
Check	06/22/2016	1417	Neighborhood Coalition for Shelter, Inc.	10,000
Check	06/22/2016	1418	New York Historical Society	50,000
Check	06/22/2016	1419	NYC Ballet	10,000
Check	06/22/2016	1422	Prisoner's Legal Services of New York	10,000
Check	06/22/2016	1423	SCAN	30,000
Check	06/22/2016	1424	The Buoniconti Fund	25,000
Check	06/22/2016	1425	The Frick Collection	25,000
Check	06/22/2016	1427	The Public Theatre	25,000
Check	06/22/2016	1428	The Rockefeller University	100,000
Check	09/27/2016	1432	Asia Society	30,000
Check	09/27/2016	1433	Center for Educational Innovation	15,000
Check	09/27/2016	1434	Citizens' Committee for Children of NY	10,000
Check	09/27/2016	1435	American Friends of the Israel Museum	25,000
Check	09/27/2016	1436	The Clinton Foundation	100,000
Check	09/27/2016	1437	Brandeis University	25,000
Check	09/27/2016	1439	Cancer Reserach Institute	10,000
Check	09/27/2016	1441	Concord Coalition	25,000
Check	09/27/2016	1442	Facing History and Ourselves	100,000
Check	09/27/2016	1445	The Global Fund for Children	30,000
Check	09/27/2016	1446	Harvard University/Villa I Tatti	25,000
Check	09/27/2016	1447	Human Rights First	25,000
Check	09/27/2016	1448	Inner City Scholarship Fund, Inc.	25,000
Check	09/27/2016	1449	International Center of Photography	15,000
Check	09/27/2016	1450	Learning Leaders, Inc.	10,000
Check	09/27/2016	1452	Literacy Partners	25,000
Check	09/27/2016	1453	Manhattan Institute for Policy Research	10,000
Check	09/27/2016	1455	Memorial Sloan Kettering Cancer Center	25,000
Check	09/27/2016	1456	MENTOR/The National Mentoring Partners...	25,000
Check	09/27/2016	1457	Metropolitan Opera Guild	10,000
Check	09/27/2016	1458	Montefiore Medical Center	50,000
Check	09/27/2016	1459	Museum of the Moving Image	10,000
Check	09/27/2016	1460	NAACP Legal Defense and Educational Fu...	10,000
Check	09/27/2016	1462	New Alternatives for Children	10,000
Check	09/27/2016	1463	New Visions for Public Schools	25,000
Check	09/27/2016	1465	Northside Center for Child Development	25,000
Check	09/27/2016	1466	Pennsylvania Hospital	25,000
Check	09/27/2016	1467	PEN	20,000
Check	09/27/2016	1468	Public Art Fund, Inc.	10,000
Check	09/27/2016	1469	Publicolor	50,000

Check	09/27/2016	1470	Rosenthal Center for Addiction Studies	25,000
Check	09/27/2016	1472	Teach for America	25,000
Check	09/27/2016	1476	The Hospital for Special Surgery	50,000
Check	09/27/2016	1477	The Mayor's Fund to Advance New York City	100,000
Check	09/27/2016	1478	The Morgan Library and Museum	50,000
Check	09/27/2016	1480	The Paul Robert Carey Foundation	25,000
Check	09/27/2016	1483	United Nations Foundation	25,000
Check	09/27/2016	1484	United Neighborhood Houses	10,000
Check	09/27/2016	1485	Wilson Center	10,000
Check	09/27/2016	1487	Franklin Delano Roosevelt Memorial Fund	10,000
Check	09/27/2016	1488	Franklin Delano Roosevelt Memorial Fund	50,000
Check	10/21/2016	1491	Brookings Institution	12,500
Check	12/14/2016	1501	Big Apple Circus	25,000
Check	12/14/2016	1503	Carnegie Hall	112,000
Check	12/14/2016	1507	Citizens Union	25,000
Check	12/14/2016	1508	Clinton Foundation	200,000
Check	12/14/2016	1510	East Hampton Historical Society	10,000
Check	12/14/2016	1511	Endeavor	25,000
Check	12/14/2016	1514	Global Kids, Inc.	25,000
Check	12/14/2016	1517	Jazz at Lincoln Center	10,000
Check	12/14/2016	1518	Lighthouse Guild	10,000
Check	12/14/2016	1520	Malaria No More	10,000
Check	12/14/2016	1521	Memorial Sloan Kettering-c/o Shuman Fund	25,000
Check	12/14/2016	1523	New York Landmarks Conservancy	10,000
Check	12/14/2016	1525	New york University Langone Medical Ctr	25,000
Check	12/14/2016	1530	ProPublica	25,000
Check	12/14/2016	1534	St. Bartholomew's Conservancy	1,000,000
Check	12/14/2016	1536	Sing for Hope	10,000
Check	12/14/2016	1539	StoryCorps	50,000
Check	12/14/2016	1545	Weill Cornell Medical College (WCMC)	25,000
Check	12/14/2016	1556	Guggenheim Museum (Solomon R.)	50,000
Check	3/19/2016	1345	Amer. Cmmtte for the Weizmann Inst of Sci	25,000
Check	3/19/2016	1346	American Museum of Natural History	50,000
Check	3/19/2016	1360	Eldridge Street Museum	50,000
Check	3/19/2016	1364	JB1 International	100,000
Check	3/19/2016	1383	The Main Idea	10,000
Check	3/19/2016	1385	The Museum of Modern Art	60,000
Check	3/19/2016	1388	The Whitney Museum of American Art	50,000
Check	3/19/2016	1392	92nd Street Y	50,000
Check	4/6/2016	1402	JB1 International	10,000
Check	6/22/2016	1414	Lincoln Center Corporate Fund	50,000
Check	6/22/2016	1420	Partnership for Children's Rights	50,000
Check	6/22/2016	1421	Planned Parenthood	25,000
Check	6/22/2016	1426	The New York Philharmonic	25,000
Check	6/22/2016	1429	U.S. Friends of the Hoedspruit Endangered	10,000
Check	9/27/2016	1438	Brooklyn Academy of Music	10,000
Check	9/27/2016	1440	Caramoor Center for Music & the Arts, Inc	25,000
Check	9/27/2016	1451	Lenox Hill Hospital	25,000

Check	9/27/2016	1454	Medecins Sans Fronters	25,000
Check	9/27/2016	1461	National Multiple Sclerosis Society	25,000
Check	9/27/2016	1464	New York Botanical Gardens	25,000
Check	9/27/2016	1473	The Baseball Hall of Fame	10,000
Check	9/27/2016	1475	The East Harlem School	10,000
Check	9/27/2016	1479	The Mount Sinai Medical Center	50,000
Check	9/27/2016	1481	The Playwrights Horizons, Inc.	25,000
Check	9/27/2016	1482	The Wilderness Society	10,000
Check	9/27/2016	1486	WNYC / NY Public Radio	35,000
Check	12/14/2016	1499	Albert Einstein College of Medicine	25,000
Check	12/14/2016	1529	Primary Stages	10,000
Check	12/14/2016	1532	Reading Reform Foundation of New York	10,000
Check	12/14/2016	1533	Roundabout Theatre Company	10,000
Check	12/14/2016	1537	Southern Poverty Law Center	10,000
Check	12/14/2016	1546	WNYC - Campaign for Public Radio	100,000
Check	12/14/2016	1548	Central Park Conservancy	100,000.00
Check	12/14/2016	1549	Children's Museum of Manhattan	25,000.00
Check	12/14/2016	1504	Center for Hearing and Communication	10,000.00
Check	12/14/2016	1509	Cold Spring Harbor Laboratory	35,000.00
Check	12/14/2016	1512	FedCap	5,000.00
Check	12/14/2016	1513	Gilda's Club NYC	5,000.00
Check	12/14/2016	1515	IFAR	10,000.00
Check	12/14/2016	1516	Institute for Civic Leadership	15,000.00
Check	12/14/2016	1524	New York Rugby Club	30,000.00
Check	12/14/2016	1526	New York University (Global Beat)	10,000.00
Check	12/14/2016	1540	Team First	5,000.00
Check	12/14/2016	1542	The Doe Fund	25,000.00
Check	01/12/2016	1340	Reel Fathers	15,000.00
Check	12/14/2016	1498	Reel Fathers	10,000.00
Check	12/14/2016	1522	NOLS	5,000.00
Check	12/14/2016	1531	Publicolor	50,000.00
Check	12/14/2016	1538	StoryCorps	50,000.00
Check	12/14/2016	1541	The Alex Foundation	10,000.00
Check	12/20/2016	1552	Guggenheim Museum (Solomon R.)	105,000.00
Check	12/20/2016	1553	Council on Foreign Relations	20,000.00
Check	12/14/2016	1500	Bard Prison Initiative	15,000.00
Check	12/14/2016	1502	Breakthrough New York	10,000.00
Check	12/14/2016	1527	Park Avenue Armory	25,000.00
Check	12/14/2016	1544	Westchester Community College Fund	10,000.00
Check	12/14/2016	1535	Second Stage	25,000
Check	12/14/2016	1543	The Rockefeller University	400,000
Check	12/14/2016	1550	Lung Cancer Research Foundation	10,000
Check	12/14/2016	1551	Ploughshares Fund	10,000
Total				7,036,500