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EXTENDED TO NOVEMBER 15, 2017

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>NIPERA INC.</b>		A Employer identification number <b>13-3070077</b>
Number and street (or P O box number if mail is not delivered to street address) <b>2525 MERIDIAN PARKWAY</b>	Room/suite <b>240</b>	B Telephone number <b>919-595-1950</b>
City or town, state or province, country, and ZIP or foreign postal code <b>DURHAM, NC 27713</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 337,548.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		3,108,597.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		3,108,597.	0.		
13 Compensation of officers, directors, trustees, etc		783,931.	0.		0.
14 Other employee salaries and wages		1,066,489.	0.		0.
15 Pension plans, employee benefits		284,570.	0.		0.
16a Legal fees STMT 1		1,682.	0.		0.
b Accounting fees STMT 2		17,725.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion		65,587.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		206,776.	0.		0.
22 Printing and publications					
23 Other expenses STMT 3		264,463.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		2,691,223.	0.		0.
25 Contributions, gifts, grants paid		707,824.			677,466.
26 Total expenses and disbursements. Add lines 24 and 25		3,399,047.	0.		677,466.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<290,450.>			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	221,285.	67,302.	67,302.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 43,058.			
	Less: allowance for doubtful accounts ▶	234,380.	43,058.	43,058.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	15,238.	26,668.	26,668.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ 381,677.			
	Less accumulated depreciation STMT 4 ▶ 193,825.	238,517.	187,852.	187,852.
	15 Other assets (describe ▶ SECURITY DEPOSIT )	12,668.	12,668.	12,668.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	722,088.	337,548.	337,548.
Liabilities	17 Accounts payable and accrued expenses	162,416.	118,180.	
	18 Grants payable	980,342.	945,974.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 5 )	564,899.	549,413.	
	23 Total liabilities (add lines 17 through 22)	1,707,657.	1,613,567.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	<985,569.>	<1,276,019.>	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	<985,569.>	<1,276,019.>	
	30 Total net assets or fund balances	722,088.	337,548.	
	31 Total liabilities and net assets/fund balances			

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30	1	<985,569.>
(must agree with end-of-year figure reported on prior year's return)	2	<290,450.>
2 Enter amount from Part I, line 27a	3	0.
3 Other increases not included in line 2 (itemize) ▶	4	<1,276,019.>
4 Add lines 1, 2, and 3	5	0.
5 Decreases not included in line 2 (itemize) ▶	6	<1,276,019.>
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30		

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	788,439.	308,513.	2.555610
2014	1,116,570.	506,733.	2.203468
2013	1,447,122.	616,751.	2.346363
2012	1,156,182.	522,828.	2.211400
2011	1,376,021.	372,730.	3.691737

2 Total of line 1, column (d)	2	13.008578
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2.601716
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	142,130.
5 Multiply line 4 by line 3	5	369,782.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	369,782.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	677,466.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	<b>1</b>	<b>0.</b>
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	<b>2</b>	<b>0.</b>
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>3</b>	<b>0.</b>
<b>3</b> Add lines 1 and 2	<b>4</b>	<b>0.</b>
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>5</b>	<b>0.</b>
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
<b>6</b> Credits/Payments:		
<b>a</b> 2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	
<b>b</b> Exempt foreign organizations - tax withheld at source	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>0.</b>
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	<b>0.</b>
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	
<b>11</b> Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>1c</b> Did the foundation file Form 1120-POL for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <b>\$ 0.</b> (2) On foundation managers. <b>\$ 0.</b>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$ 0.</b>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	<b>X</b>	
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NY</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NIPERA.ORG</u>	X	
14 The books are in care of ► <u>GERALD M. SCHUETZ</u> Telephone no. ► <u>919-595-1946</u> Located at ► <u>2525 MERIDIAN PARKWAY, STE 240, DURHAM, NC</u> ZIP+4 ► <u>27713</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒

5b

X

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 6

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HUDSON BATES 2525 MERIDIAN PARKWAY, STE 240 DURHAM, NC 27713	EXECUTIVE DIRECTOR 40.00	300,609.	16,184.	14,818.
DAVID BUTLER 2525 MERIDIAN PARKWAY, STE 240 DURHAM, NC 27713	CHAIRMAN 1.25	0.	0.	0.
GERALD M. SCHUETZ, CPA, CGMA 2525 MERIDIAN PARKWAY, STE 240 DURHAM, NC 27713	TREASURER 40.00	260,953.	15,916.	21,249.
ADRIANA OLLER 2525 MERIDIAN PARKWAY, STE 240 DURHAM, NC 27713	DEPUTY EXECUTIVE DIRECTOR 40.00	222,369.	13,769.	16,635.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTIAN E SCHLEKAT - 2525 MERIDIAN PARKWAY, SUITE 240, DURHAM, NC 27713	TOXICOLOGIST 40.00	197,220.	12,270.	15,494.
PHIL MOORE - 2525 MERIDIAN PARKWAY, SUITE 240, DURHAM, NC 27713	IT MANAGER 40.00	157,538.	9,699.	18,650.
MIKE TAYLOR - 2525 MERIDIAN PARKWAY, SUITE 240, DURHAM, NC 27713	TOXICOLOGIST 40.00	148,937.	9,116.	10,513.
EMILY R GARMAN - 2525 MERIDIAN PARKWAY, SUITE 240, DURHAM, NC 27713	TOXICOLOGIST 40.00	113,430.	420.	18,996.
KATHERINE E HEIM - 2525 MERIDIAN PARKWAY, SUITE 240, DURHAM, NC 27713	TOXICOLOGIST 40.00	111,422.	6,570.	0.

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
TNO-NEDERLANDSE ORGANISATIE VOOR TOEGEPAST PRINCETONLAAN 6, ULTRECHT, NETHERLANDS	RESEARCH	153,208.
OCCUPATIONAL AND DERMATOLOGY RESEARCH CENTRE 1/80 DRUMMOND ST., CARLTON, VIC, AUSTRALIA	RESEARCH	134,388.
WCA ENVIRONMENT LTD - BRUNEL HOUSE, VOLUNTEER WAY, FARRINGDON, OXFORDSHIRE, UNITED	RESEARCH	107,217.
CANMET MINING AND MINERAL SCIENCES LAB - 555 BOOTH ST., OTTOWA, ONTARIO, CANADA K1A 0G1	RESEARCH	63,210.
HYDROBIOLOGY PTY LTD - 27/43 LANG PARADE, AUCHENFLOWER QLD, AUSTRALIA 4066	RESEARCH	55,572.
<b>Total</b> number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 02-11010: CANCER MODE OF ACTION RESEARCH. THE GOAL OF THIS PROJECT IS TO PROVIDE ADDITIONAL EVIDENCE ON WHY NICKEL METAL IS NOT CARCINOGENIC TO RATS OR HUMANS.	83,362.
2	
SEE STATEMENT 7	84,591.
3 230701-4 - MIXTURE TOXICITY IN THE ENVIRONMENT - WORLDWIDE RESEARCH TO SUPPORT THE BENEFITS OF BIOAVAILABILITY BASED APPROACHES TO ASSESSING ENVIRONMENTAL QUALITY OF SEDIMENT.	70,043.
4	
SEE STATEMENT 8	54,448.

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	0.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	144,294.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	144,294.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	144,294.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	2,164.
<b>5</b>	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	142,130.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	7,107.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	7,107.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	0.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	7,107.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	7,107.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	7,107.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	677,466.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	677,466.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	677,466.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				7,107.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011	1,357,384.			
<b>b</b> From 2012	1,130,041.			
<b>c</b> From 2013	1,416,284.			
<b>d</b> From 2014	1,091,233.			
<b>e</b> From 2015	773,013.			
<b>f</b> Total of lines 3a through e	5,767,955.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$	677,466.			
<b>a</b> Applied to 2015, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2016 distributable amount				7,107.
<b>e</b> Remaining amount distributed out of corpus	670,359.			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,438,314.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7	1,357,384.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	5,080,930.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012	1,130,041.			
<b>b</b> Excess from 2013	1,416,284.			
<b>c</b> Excess from 2014	1,091,233.			
<b>d</b> Excess from 2015	773,013.			
<b>e</b> Excess from 2016	670,359.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
  - a "Assets" alternative test - enter:
    - (1) Value of all assets
    - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
  - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
  - c "Support" alternative test - enter:
    - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
    - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
    - (3) Largest amount of support from an exempt organization
    - (4) Gross investment income

## 1 Information Regarding Foundation Managers:

- NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- SEE STATEMENT 9

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>  SEE ATTACHED STATEMENT 10				677,466.
<b>Total</b>			<b>3a</b>	677,466.
<b>b</b> <i>Approved for future payment</i>  SEE ATTACHED STATEMENT 11				945,974.
<b>Total</b>			<b>3b</b>	945,974.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	0.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes          | No       |
|----------|--|--------------|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |          |
|          | (1) Cash   | <b>1a(1)</b> | <b>X</b> |
|          | (2) Other assets   | <b>1a(2)</b> | <b>X</b> |
| <b>b</b> | Other transactions:  |              |          |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | <b>X</b> |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | <b>X</b> |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |          |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

EXECUTIVE  
DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr )?

☒ Yes ☐ No**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN	
------	--

DEETRA B. WATSON

Debra B. Watson

10/18/17

P00534544

Firm's name ► **BLACKMAN & SLOOP, CPAS, P.A.**

Firm's EIN ▶ 56-1304727

Firm's address ► 1414 RALEIGH RD, SUITE 300  
CHAPEL HILL, NC 27517

Phone no. (919) 942-8700

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

**NIPERA INC.**

Employer identification number

**13-3070077**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

**NIPERA INC.****13-3070077****Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NICKEL INSTITUTE BROOKFIELD PLACE 161 BAY STREET, SUITE 2700  TORONTO, ONTARIO, CANADA M5J 2S1	\$ 3,108,597.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization

Employer identification number

**NIPERA INC.****13-3070077****Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

**NIPERA INC.****13-3070077**

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	LEGAL FEES			STATEMENT 1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	1,682.	0.		0.
TO FM 990-PF, PG 1, LN 16A	1,682.	0.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	17,725.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	17,725.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEETINGS	2,786.	0.		0.
MISCELLANEOUS	6,891.	0.		0.
DUES & FEES	12,338.	0.		0.
OFFICE COSTS	234,838.	0.		0.
PUBLICATIONS	7,610.	0.		0.
TO FORM 990-PF, PG 1, LN 23	264,463.	0.		0.

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FORM 990-PF      DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT      STATEMENT      4

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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	182,073.	114,411.	67,662.
FURNITURE & FIXTURES	90,403.	60,379.	30,024.
LEASEHOLD IMPROVEMENTS	109,201.	19,035.	90,166.
TOTAL TO FM 990-PF, PART II, LN 14	381,677.	193,825.	187,852.

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FORM 990-PF      OTHER LIABILITIES      STATEMENT      5

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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	415,879.	396,791.
DEFERRED RENT	149,020.	152,622.
TOTAL TO FORM 990-PF, PART II, LINE 22	564,899.	549,413.

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NIPERA INC.

13-3070077

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 6

GRANTEE'S NAME

SEE STATEMENT 10

GRANTEE'S ADDRESS

GRANT AMOUNT

DATE OF GRANT

AMOUNT EXPENDED

PURPOSE OF GRANT

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FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	7
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ACTIVITY TWO

L02-231401: NICKEL RISK ASSESSMENT IN TROPICAL REGIONS.  
THIS PROJECT ON NICKEL EXPOSURE RISK AIDS IN ADDRESSING THE  
ESSENTIAL COMPONENTS OF RISK ASSESSMENT, INCLUDING PROBLEM  
FORUMULATION, EFFECTS ASSESSMENT, AND EXPOSURE ASSESSMENT  
FOR TROPICAL REGIONS IN THE WORLD.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

84,591.

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FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	8
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ACTIVITY FOUR

230102-4 - ENVIRONMENTAL QUALITY STUDIES - BIOAVAILABILITY  
SEDIMENT. WORLDWIDE RESEARCH TO SUPPORT THE BENEFITS OF  
BIOAVAILABILITY-BASED APPROACHES TO ASSESSING THE  
ENVIRONMENTAL QUALITY OF WATER.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

54,448.

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FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

---

HUDSON BATES  
NIPERA 2525 MERIDIAN PKW. SUITE 240  
DURHAM, NC 27713

---

TELEPHONE NUMBER

---

919-595-1946

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FORM AND CONTENT OF APPLICATIONS

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APPLICANTS SHOULD SUBMIT DETAILS ON THE PROPOSED INVESTIGATION, A PROPOSED BUDGET & ESTIMATED TIME NECESSARY TO COMPLETE THE PROJECT.

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ANY SUBMISSION DEADLINES

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MOST APPLICATIONS ARE REPLIES TO PROPOSAL REQUESTS MADE BY NIPERA WITH SPECIFIC DEADLINES.

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RESTRICTIONS AND LIMITATIONS ON AWARDS

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GRANTS ARE AWARDED ONLY ON THE TOXICITY OF NICKEL AND NICKEL COMPOUNDS.

STATEMENT 10

PART IV(A) - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Grantor's Name	Contract #	Street	City	State	Zip or Postal Code	Foreign Address Information			Grant Date	Grant Amount	Amount Expended	Dates of Reports by Grantee	Verification Date	Disbursed by Grantee	Foundation Status	Purpose of Grant	Results of Verification
						Country	Province										
The Hamner Institutes for Health Science	02-110101-0111	500 Debra Drive	RTP	NC	27709	US			4/14/2011	600,000	2,818	Various	Various	None noted		Health and Environmental Research	No diversions noted
University of Cincinnati Physicians Co	02-110101-0110	Department of Environmental Health 670056	Cincinnati	OH	45267	US			2/26/2016	15,000	3,301	Various	Various	None noted		Health and Environmental Research	No diversions noted
SocioVation LLC	02-110101-0216	4721 Empress Blvd Ste 250	Durham	NC	27703	US			3/31/2016	9,000	6,600	Various	Various	None noted		Health and Environmental Research	No diversions noted
TNO Theme Urbanisation	02-110103-0115	Princetonlaan 6 P.O. Box 80015	TA Utrecht		3508	The Netherlands			5/20/2015	247,500	68,938	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Particle Technology Labs	02-110105-0118	553 Rogers St	Downers Grove IL		60515	US			1/31/2016	1,704	1,704	Various	Various	None noted		Health and Environmental Research	No diversions noted
Gradient	02-140101-0111	20 University Road	Cambridge	MA					9/12/2011	15,000	1,552	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
University of Cincinnati Physicians Co	02-140101-0116	P.O. Box 070056	Cincinnati	OH	45267	US			8/16/2016	16,000	6,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
S&S Consulting Services	02-140101-0311	3042 River Chapel Church Road	Siler City	NC	27344	US			9/16/2011	20,000	3,825	Various	Various	None noted		Health and Environmental Research	No diversions noted
Talati Precision Ltd	02-140105-0115	2730 Fenton Rd	Gloucester	ON	K1T 3T7	Canada			1/11/2016	700	700	Various	Various	None noted		Health and Environmental Research	No diversions noted
Particle Technology Labs	02-140105-0018	555 Rogers St	Downers Grove IL		60515	US			8/9/2016	2,500	2,260	Various	Various	None noted		Health and Environmental Research	No diversions noted
ECTV India	02-140106-0118	Haverhill 4600 01 3500 Haverhill	McGregor	TX	76657	Belgium			10/6/2016	49,000	20,838	Various	Various	None noted		Health and Environmental Research	No diversions noted
CS Consulting	02-150101-0016	2021 Cascade Trail	McGregor	TX	76657	US			11/18/2016	18,500	4,925	Various	Various	None noted		Health and Environmental Research	No diversions noted
Nanoswell	02-150101-0016	20210 Cascade Trail	McGregor	TX	76657	US			11/18/2016	18,500	1,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
Bergeson & Campbell	02-150103-0016	2200 Pennsylvania Avenue N.W. Suite 100W	Washington	DC	20037	US			6/27/2016	30,000	22,500	Various	Various	None noted		Health and Environmental Research	No diversions noted
S&S Consulting Services	02-210202-0114	3042 River Chapel Church Road	Siler City	NC	27344	US			1/31/2014	10,000	787	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Exponent Inc.	02-210202-0115	15375 SE 30th Place Suite 250	Bellevue	WA	98007	US			11/21/2010	15,660	21,410	Various	Various	None noted		Health and Environmental Research	No diversions noted
Bergeson & Campbell	02-210203-0115	2200 Pennsylvania Avenue N.W. Suite 100W	Washington	DC	20037	US			3/24/2015	30,000	7,500	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
CECOC	02-210206-0018	2 Avenue E Van Neuwetshuis	Brussels		1160	Belgium			3/11/2016	12,000	11,354	Various	Various	None noted		Health and Environmental Research	No diversions noted
American Chemistry Council, Inc	02-210206-0018	700 2nd Street NE	Washington	DC	20002	US				10,000	10,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
Assessing Risks of Chemicals	02-230102-0112	Stapledon 70 bus 104			9000	Belgium			3/5/2012	17,439	8,710	Various	Various	None noted		Health and Environmental Research	No diversions noted
Kent State University	02-230102-0213	Bureau's Office AR #210035-13302	Kent	OH	44242	US			8/28/2013	71,165	17,791	Various	Various	None noted		Health and Environmental Research	No diversions noted
Assessing Risks of Chemicals	02-230102-0413	Stapledon 70 bus 104			9000	Belgium			7/15/2013	18,000	7,947	Various	Various	None noted		Health and Environmental Research	No diversions noted
Kent State University	02-230104-0415	134-C Carwright Hall	Kent	OH	44242	US			1/1/2016	25,000	20,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
Regents of the Univ of MI	02-230104-0212	G110 Dana Building 440 Church St	Ann Arbor	MI	48109	US			6/18/2012	135,667	45,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
Mutch Associates	02-230303-0315	360 Daffing Avenue	Ramsey	NJ		Canada			11/30/2015	10,000	5,489	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Willid Laurier University	02-230401-0114	75 University Avenue West	Waterloo		N2L 3C5	ON			10/20/2014	100,000	25,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
wca environment limited	02-230503-0115	Brunel House Volunteer Way	Fairfordon		SN7 7YR	United Kingdom			3/8/2016	85,000	21,250	Various	Various	None noted		Health and Environmental Research	No diversions noted
Windward Environmental LLC	02-230504-0018	200 West Mercer St. Ste 401	Seattle	WA	98119	US			11/6/2014	23,504	7,554	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Unihersiteit Gent (Ghent University)	02-230701-0114	St-Pieterstraat 25	Gent		9000	Belgium			11/6/2014	24,364	5,135	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Unihersiteit Gent (Ghent University)	02-230703-0114	St-Pieterstraat 25	Gent		9000	Belgium			11/6/2014	15,000	15,000	Various	Various	None noted		Health and Environmental Research	No diversions noted
Joseph A. Meyer	02-230704-0315	Brunel House Volunteer Way	Fairfordon		SN7 7YR	United Kingdom			10/23/2015	2,552	42,356	Various	Various	None noted		Health and Environmental Research	No diversions noted
wca environment limited	02-230704-0415	Brunel House Volunteer Way	Fairfordon		9000	Belgium			12/6/2012	26,880	16,395	Various	Various	None noted		Health and Environmental Research	No diversions noted
Assessing Risks of Chemicals	02-230802-0111	Stapledon 70 bus 104			98119	US			3/30/2016	24,960	24,960	Various	Various	None noted		Health and Environmental Research	No diversions noted
Windward Environmental LLC	02-230804-0016	200 West Mercer St. Ste 401	Seattle	WA	98119	US			12/19/2016	1,490	1,412	Various	Various	None noted		Health and Environmental Research	No diversions noted
Assessing Risks of Chemicals	02-230904-0118	Stapledon 70 bus 104			9000	Belgium			11/3/2015	22,640	19,832	Various	Various	None noted		Health and Environmental Research	No diversions noted
wca environment limited	02-230906-0115	Brunel House Volunteer Way	Fairfordon		SN7 7YR	United Kingdom			6/19/2012	41,605	3,099	Various	Various	None noted		Health and Environmental Research	No diversions noted
University of Guelph	02-231001-0112	Level 4 - University Centre University of Guelph	Guelph	ON	N1G 2W1	Canada			9/5/2014	49,248	21,070	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
CanneMining	02-231102-0114	555 Booth Street	Ottawa		K1A 0G1	ON			9/30/2016	47,132	47,132	Various	Various	None noted		Health and Environmental Research	No diversions noted
International Lead Zinc Research Org. Inc.	02-231200-0016	1822 East NC Hwy 54 suite 120	Durham	NC	27713	Canada			3/24/2014	24,831	3,005	Various	Various	None noted		Health and Environmental Research	No diversions noted
Commonwealth Scientific and Industrial Research Organisation	02-231401-0314	41 Bogue Road	Dutton Park		4102	Australia				224,660	35,303	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Commonwealth Scientific and Industrial Research Organisation	02-231401-0414	41 Bogue Road	Dutton Park		4102	Australia				224,660	35,303	Various	Various	None noted		Health and Environmental Research	No diversions noted



Hydrobiology Pty Ltd	02-231401-0514	27/43 Lange Parade	Auchenflower	Queensland	Australia	11/25/2014	65,161	29,538	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
wca environment limited	02-231401-0614	Brunei House Volunteer Way	Fairfington	Oxfordshire	United Kingdom	11/25/2014	40,181	16,746	Various	Various	None noted		Health and Environmental Research	No diversions noted
CM Whittlington	02-240107-0110	6A Peach Blossom Lane Levitt	Hong Kong		Hong Kong	4/25/2016	9,424	9,424	Various	Various	None noted		Health and Environmental Research	No diversions noted
Occupational and Dermatology Research Centre, Sun and Cancer Foundation	02-240107-0215	1680 Dunmon St	Carlton	Vic	Australia	5/27/2015	82,800	28,762	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Occupational and Dermatology Research Centre, Sun and Cancer Foundation	02-240107-0415	1680 Dunmon St	Carlton	Vic	Australia	12/2/2015	116,700	(9,874)	Various	Various	None noted	NC	Health and Environmental Research	No diversions noted
Eurometaux	02-240108-0016	Avenue de Broqueville 12 B-1150 Bruxelles	Brussels		Belgium	10/31/2016	6,000	4,441	Various	Various	None noted		Health and Environmental Research	No diversions noted
								\$ 677,466						

**Purpose of grant**

Contract			Foreign Address Information						Foundation		Purpose of grant	
Grantee	Number	Street	City	State	Zip or Postal Code	Province	Country	Status	Title of Study	Relationship	Amount	
University of Cincinnati Pharmaceuticals Co - Hieber	02-110101-0018	Department of Environmental Health, PO Box 670056	Cincinnati	OH	45207		United States		Cancer mode of action research	None	\$ 11,689	
G Oberdonster	02-110101-0210	121 Southern Parkway	Rochester	NY	14618		United States	I	Vitro and in vivo inhalation	None	6,026	
SchroVention LLC	02-110101-0216	4721 Emperor Blvd, Ste 250	Richmond	VA	22703		United States	NC	The hamper in vivo uptake and distribution studies	None	6,455	
TA Uthman	02-110103-0015	Princetonlilan 6 P O Box 80015	Princeton	NC	3508		The Netherlands	NC	In vitro uptake and dist studies	None	26,455	
EPHIC Tharr	02-110103-0215	14, Chemin des Aulx, CH-1228 Plan les Ouaies	Geneve				Switzerland	NC	In vitro studies-of Bonaferris	None	27,267	
SKS Consulting Services	02-110204-0115	3942 Rives Chapel Church Road	Siler City	NC	27344		United States	NC	Meta Analysis-non Iner Dr. Seilkop	None	18,000	
Gradient	02-140101-0111	20 University Road	Cambridge	MA			United States	NC	Draft Manuscripts	None	1,448	
University of Cincinnati Pharmaceuticals Co	02-140101-0118	PO Box 670056	Cincinnati	OH	45207		United States	NC	Variant exposure matrix manuscript	None	10,000	
J Goodman/Gradient and S Seilkop/SKS Consulting Services	02-140101-0311	3942 Rives Chapel Church Road	Siler City	NC	27344		United States	NC	Review Rpts and Manuscripts	None	3,800	
ECTX bios	02-140108-0118	Havenstraat 480 01 3500 Hasselt					Belgium		Bioelchon tests allow & references	None	28,182	
ECTX bios	02-140108-0218	Havenstraat 480 01 3500 Hasselt					Belgium		Bioelchon tests of inone alloy reference	None	1,800	
GS Consulting	02-150101-0018	2021 Cascade Trail	McGregor	TX	76657		United States		Investment of inno samples	None	13,777	
Bergeson & Campbell	02-150103-0016	2200 Pennsylvania Ave NW Suite 100W	Washington	DC	20037		United States		Updates on detrimental regulations	None	7,500	
Minneapolis Veterans Medical Research	02-180101-0118	One Veterans Medical Research & Education Foundation	Minneapolis	MN	55417		United States		Prevalence and origin of NI dermatitis	None	30,000	
Charles River Laboratories International Inc	02-210103-0018	1407 George Rd	Ashland	OH	44805		United States		A T-dependent antibody response w/	None	102,500	
Burkes Research Technologies Inc	02-210103-0118	120 First Flight Lane	Morrisville	NC	27560		United States	NC	Consulting on acute inflammation study-BRT	None	5,000	
SKS Consulting Services	02-210202-0114	3942 Rives Chapel Church Road	Siler City	NC	27344		United States	NC	Posterior position support	None	1,593	
Assessing Risks of Chemicals	02-230103-0114	ARCHIE - Stappleton Pk, box 104, 9000 Gent	Gent				Belgium	NC	Pub of Adv Res on in vivo	None	4,983	
Leif Nguyen	02-230104-0315	Luichterkerkweg 90, 8031 Drogenen	Kent				Belgium	NC	Sediment position support	None	1,000	
Kent State University	02-230104-0415	Controller's Office, PO Box 5190	Kent	OH	44242-0001		Belgium	PC	Sediment EQS scientific support	None	5,000	
USDA Agricultural Research Service	02-230201-0013	Budget, Travel and Agreements Branch EBSC - 10300 Baltimore Ave, BG 003RM 331, BARC-WEST	Beltsville	MD	20705			GOV	Evaluation of ecological effectiveness of remediation methods	None	27,122	
ARCHIE	02-230202-0014	Stappleton Pk, box 104,					Belgium	NC	Use current datasets models other RA purposes	None	21,714	
ARCHIE	02-230301-0213	Box 223131	Pittsburgh	PA	9000		Belgium	NC	Update ERV's	None	13,376	
Regents of the University of MI	02-230303-0215	75 University Avenue West	Waterloo	ON	15251-2131		Canada	NC	Rapid removal of metals from water columns-Umich	None	14,888	
Wildlife Luster University	02-230403-0114	200 West Mercer St, Suite 401	Seattle	WA	N2L 3C5		Canada	NC	Marine BLM Development	None	23,000	
US Beyond Environmental LLC	02-230404-0016	4200 New Haven Rd	Columba	WA	98119		United States	NC	Marine BLM	None	10,000	
US Geological Survey	02-230603-0018	200 West Mercer St, Suite 401	Seattle	WA	65201		United States	NC	US EPA BLM research	None	93,750	
Washington Environmental LLC	02-230704-0015	Brunel House, Volunteer Way	Farnham				Canada	NC	Multi-metallic ENV data generation-met-mix comm w	None	13,581	
Washington Environmental LLC	02-230803-0118	Brunel House, Volunteer Way	Farnham				Canada	NC	User friendly tools Australia	None	8,984	
Washington Environmental LLC	02-230903-0115	Brunel House, Volunteer Way	Farnham				Canada	NC	EQS Implementation	None	4,143	
Washington Environmental LLC	02-231001-0214	27453 Lung Paradise	Aachenflower				Australia	NC	Tropical RA Workshop Consultant	None	1,867	
Hydrobiology Pty Ltd	02-231401-0314	CSIRO Land and Water, Lucas Heights Science and Technology Centre, New Illawarra Road	Lucas Heights				Australia	I		None	13,783	
Jenny Sauber	02-231401-0414	41 Doggo Road	Dutton Park				Australia	NC	CSIROTropical Research	None	78,240	
Commonwealth Scientific and Industrial Research Organisation	02-231401-0414		Dutton Park				Australia	NC		None	78,240	
wca environmental limited	02-231401-0814	Brunel House, Volunteer Way	Farnham				Canada	NC	WCA Tropical Research	None	13,339	
University of Hong Kong	02-231401-0714	Kedongee Biological Sciences Bldg, Pokfulam Road	Hong Kong				Hong Kong	NC	One-of-Hong Kong	None	15,000	
Southern Research Institute	02-240101-0212	MSC #215, PO Box 10092, Lockton, #892215	Birmingham	AL	35202-0692		United Kingdom	PC	One-Generation Study	None	23,300	
Southern Research Institute	02-240101-4312	MSC #215, PO Box 10092, Lockton, #892215	Birmingham	AL	35202-0692		United Kingdom	PC	Acute Perinatal Study	None	6,660	
Occupational and Dermatology Research Center	02-240107-0215	1880 Drummond Street	Calton	vic			Australia	NC	Human Patch testing	None	12,956	
Occupational and Dermatology Research Center	02-240107-0415	1880 Drummond Street	Calton	vic			Australia	NC	Phase 2 Human patch testing-COREC	None	81,574	
										\$ 845,874.00		

***STATE OF NEW YORK***

***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the  
Department of State, at the City of Albany,  
on January 8, 2016.

*Anthony Giardina*

Anthony Giardina  
Executive Deputy Secretary of State

**RESTATED CERTIFICATE OF INCORPORATION**  
**OF**  
**NICKEL PRODUCERS ENVIRONMENTAL RESEARCH ASSOCIATION, INC.**

**Under Section 805 of the**  
**Not-for-Profit Corporation Law**

The undersigned, being the Secretary of Nickel Producers Environmental Research Association, Inc. (the "Corporation"), hereby certifies:

1. The name of the corporation is Nickel Producers Environmental Research Association, Inc.
2. The Certificate of Incorporation was filed by the New York Department of State on July 28, 1980.
3. The certificate of incorporation is amended in this Restated Certificate of Incorporation as follows: amending Article FIRST concerning the name of the Corporation; amending Article SECOND stating that the Corporation is a corporation under subparagraph (5) of paragraph (a) of Section 102 to indicate that the reference to Section 102 means Section 102 of the Not-for-Profit Corporation Law; amending Article FOURTH stating that the Corporation is charitable and that it is not formed to engage in any activity for any purpose requiring governmental consent or approval; amending Article FIFTH stating that nothing shall authorize the corporation to engage in activities mentioned in Section 404(b) through (t) of the Not-for-Profit Corporation Law to reference Section 404(a) through (v) instead; amending Article ELEVENTH setting forth the county in which the office of the Corporation is to be located and, pursuant to Section 621(a), the location outside this state of the Corporation's books and records; omitting existing Article

TWELFTH setting forth the territory in which the corporation will operate; omitting existing Article THIRTEENTH setting forth the names and addresses of the persons constituting the initial Board of Directors; amending existing Article FOURTEENTH setting forth the address for service of process and renumbering it as Article TWELFTH; omitting existing Article FIFTEENTH setting forth the name of the registered agent of the Corporation and thereby revoking the authority of said registered agent; and omitting Article SIXTEENTH setting forth the procedure for filing the original Certificate of Incorporation.

4. The text of the Corporation's certificate of incorporation is hereby amended and restated to read in its entirety as follows:

FIRST: The name of the corporation is: NiPERA Inc.

SECOND: That the corporation is a corporation as defined in subparagraph (5) of paragraph (a) of Section 102 of the Not-for-Profit Corporation Law.

THIRD: The purposes for which it is formed are:

To initiate, fund, supervise and carry out research investigations studies and surveys relating to occupational health and safety aspects of the nickel producing industries and related environmental matters; to enter into agreements with individuals and organizations, including members of the Association, and do all things legal and proper, in furtherance of the purposes of the Association; and to share and disseminate information pertaining to the work of the Association.

FOURTH: The Corporation is a charitable corporation under Section 201 of the Not-for-Profit Corporation Law. The corporation is not formed to engage in any activity or for any

purpose requiring the consent or approval of any state official, department, board, agency, or other body. No consent or approval is required.

FIFTH: Nothing herein shall authorize this corporation, directly or indirectly, to engage in or include among its purposes, any of the activities mentioned in Not-for-Profit Corporation Law, Section 404 (a) through (v).

SIXTH: Notwithstanding any other provision of these articles, the corporation is organized exclusively for one or more of the following purposes: religious, charitable, scientific, testing for public safety, literary, or education purposes, or to foster national or international amateur sports competition (but only if no part of its activities involve the provision of athletic facilities or equipment), or for the prevention of cruelty to children or animals, as specified in section 501(c)(3) of the Internal Revenue Code of 1954, and shall not carry on any activities not permitted to be carried on by a corporation exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code of 1954 or corresponding provisions of any subsequent Federal tax laws.

SEVENTH: No part of the net earnings of the corporation shall inure to the benefit of any member, trustee, director, officer of the corporation, or any private individual (except that reasonable compensation may be paid for services rendered to or for the corporation), and no member, trustee, officer of the corporation or any private individual shall be entitled to share in the distribution of any of the corporate assets on dissolution of the corporation.

EIGHTH: No substantial part of the activities of the corporation shall be carrying on propaganda, or otherwise attempting to influence legislation (except as otherwise provided by Internal Revenue Code section 501(h), or participating in, or intervening in (including the

publication or distribution of statements), any political campaign on behalf of any candidate for public office.

NINTH: In the event of dissolution, all of the remaining assets and property of the corporation shall after necessary expenses thereof be distributed to another organization exempt under section 501(c)(3) of the Internal Revenue Code of 1954, or corresponding provisions of any subsequent Federal tax laws, or to Federal government, or state or local government for a public purpose, subject to the approval of a Justice of the Supreme Court of the State of New York.

TENTH: In any taxable year in which the corporation is a private foundation as described in section 509(a) of the Internal Revenue Code of 1954, the corporation shall distribute its income for said period at such time and manner as not to subject it to tax under section 4942 of the Code; and the corporation shall not (a) engage in any act of self-dealing as defined in section 4941(d) of the Code; (b) retain any excess business holdings as defined in section 4943(c) of the Code; (c) make any investments in such manner as to subject the corporation to tax under section 4944 of the Code; or (d) make any taxable expenditures as defined in section 4945(d) of the Code or corresponding provisions of any subsequent Federal tax laws.

ELEVENTH: The office of the corporation is to be located in the County of Erie, State of New York. Pursuant to Section 621 (a) of the Not-For-Profit Corporation Law, the corporation shall keep its books and records of account at: 2525 Meridian Parkway, Suite 240, Durham, North Carolina 27713.

TWELFTH: The Secretary of State is designated as agent of the corporation upon whom process against it may be served. The address to which the Secretary of State shall mail a

copy of any process accepted on behalf of the corporation is: 2525 Meridian Parkway, Suite 240, Durham, North Carolina 27713.

5. The restatement and amendment of the certificate of incorporation as set forth in this Restated Certificate of Incorporation were authorized by the written consent of the Corporation's sole member.

IN WITNESS WHEREOF, the undersigned has subscribed this certificate and affirmed it as true under penalties of perjury on this 21<sup>st</sup> day of December, 2015.

By:

  
David G Butler, Secretary



160107000533

RESTATED CERTIFICATE OF INCORPORATION

OF

NICKEL PRODUCERS ENVIRONMENTAL RESEARCH ASSOCIATION, INC.

Under Section 805 of the  
Not-for-Profit Corporation Law

RECEIVED

2016 JAN -6 PM 2:07

**LCS**  
**DRAWDOWN - #AL**

1cc  
STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED JAN 07 2016

TAX \$

BY: LHC  
Eric

Filed by:  
Anne F. Downey, Esq.  
Hodgson Russ LLP  
140 Pearl Street, Suite 100  
Buffalo, New York 14202

Customer Ref. # 63058

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