For calendar year 2016 or tax year beginning

### **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at <a href="https://www.irs.gov/form990pf">www.irs.gov/form990pf</a> , and ending

	Name of foundation					A Employer identification number		
	τπ	MI CIADVE PROOVE ROINDAMION				13-2955337		
	UW GLADYS BROOKS FOUNDATION           Number and street (or P O box number if mail is not delivered to street address)         Room/suite							
F		2.0. BOX 1802	11 633)		Additional	B Telephone number 401-278-89!	5.2	
2617			4-1	1	-			
5		y or town, state or province, country, and ZIP or foreign pose PROVIDENCE, RI 02901-1802	stal code			C If exemption application is pe	nding check here	
무속		Check all that apply Initial return	Initial return of a fo	rmar public cl	narity	D 1 Foreign organizations.	check here	
	<b>u</b> 0	Final return	Amended return	initier public ci	larity	D I Torcigit organizations.	, dilectivities P	
ii/AY		Address change	Name change			2 Foreign organizations mee check here and attach con	eting the 85% test,	
	н С	Check type of organization: X Section 501(c)(3) exer						
(1) (1) (1)	Ť	_ ·· · · · · · · · · · · · · · · · · ·	ther taxable private founda	tion		E If private foundation stat under section 507(b)(1)(		
		air market value of all assets at end of year J Accounting	<del></del>	Accru	ıal	F If the foundation is in a 6	, ,,	
,		·	er (specify)		-	under section 507(b)(1)		
1	<b>•</b>			asıs )				
	Pa	art I Analysis of Revenue and Expenses	(a) Revenue and	(b) Net in	estment/	(c) Adjusted net	(d) Disbursements	
r		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) )	expenses per books	inco		income	for charitable purposes (cash basis only)	
		1 Contributions, gifts, grants, etc., received		. \$.	x, ,	N/A	9.5	
		2 Check X if the foundation is not required to attach Sch. B	` ` ` ` * ` ` ` *	, , , , ,	^	, - ;	*	
		3 Interest on savings and temporary cash investments	77,527.		7,527.		STATEMENT 1	
		4 Dividends and interest from securities	627,041.	62'	7,041.		STATEMENT 2	
		5a Gross rents					\$~.\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
		Net rental income or (loss)			` .	* :	, , , , , , , , , , , , , , , , , , ,	
		62 Net gain or (loss) from sale of assets not on line 10	1,967,881.			***		
	Revenue	b Gross sales price for all 6,992,050.	* /		ζ.	4n		
	eve	7 Capital gain net income (from Part IV, line 2)	x + 2 % x ',	1,96	7,881.		Ž.	
	Ж	8 Net short-term capital gain	> 7 - ( .3, ~ \).	,	*:		`	
		9 Income modifications		- , -		·	*{ }	
		Gross sales less returns and allowances		1/2 11	} "			
		b Less Cost of goods sold	y % ()		1		1487	
		c Gross profit or (loss)	-	1 1 2	<i>y</i>		, , , , , , , , , , , , , , , , , , ,	
		11 Other income	0 670 440	2 (7	2 4 4 0			
		12 Total. Add lines 1 through 11	2,672,449. 292,827.		2,449. 9,206.		83,621.	
		13 Compensation of officers, directors, trustees, etc	95,077.	20,	0.		95,077.	
		14 Other employee salaries and wages	21,872.		0.		21,872.	
_	S	15 Pension plans, employee benefits 2 16a Legal fees STMT 3	7,422.		0.		7,422.	
2	nses		23,595.		0.	· · · · · · · · · · · · · · · · · · ·	23,595.	
3	Exper	c Other professional fees	23,333.				2373331	
7	Ę	17 Interest			*.**	·		
13	Administrative	18 Taxes STMT 5	9,848.		9,848.		0.	
Ħ	stra	19 Depreciation and depletion	499.		0.			
Σ	ini	19 Depreciation and depletion D	29,122.		0.		29,122.	
Ä	٩d	21 Travel, conferences, and meetings	2,304.		0.	- · · · · · · · · ·	2,304.	
불	ď	22 Printing and phyblications () 7	197.		0.		197.	
SCANNED MAT	e G	22 Printing and hold bligation of 17 O O O O O O O O O O O O O O O O O O	14,862.		4,068.		10,794.	
S	atin	24 Total operatinojand jadministrative						
Š	oer.	expenses Add lines 13 through 23	497,625.	22	3,122.		274,004.	
	O	25 Contributions, gifts, grants paid	1,617,115.				1,617,115.	
		26 Total expenses and disbursements.						
		Add lines 24 and 25	2,114,740.	22	<u>3,122.</u>		1,891,119.	
		27 Subtract line 26 from line 12:						
		Excess of revenue over expenses and disbursements	557,709.	0 44	0 205			
		b Net investment income (if negative, enter -0-)		2,44	9,327.	NT / N		
		c Adjusted net income (if negative, enter -0-)		L		N/A	1	

623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions

Part II Balance Sheets Atlached schedules and amounts in the description		Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	End of year		
뜨	art	column should be for end of year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Cash - non-interest-bearing	2,152.	5,201.	5,201.		
	2	Savings and temporary cash investments	764,803.	1,213,251.	1,213,720.		
İ	3	Accounts receivable ►					
		Less: allowance for doubtful accounts					
	4	Pledges receivable -		_			
		Less; allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other					
		disqualified persons					
	7	Other notes and loans receivable					
		Less: allowance for doubtful accounts ▶					
£	8	Inventories for sale or use					
Assets	9	Prepaid expenses and deferred charges					
Ä	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock STMT 7	13,479,486.	12,387,095.	22,837,804.		
	C	Investments - corporate bonds STMT 8	10,832,814.	12,053,643.	12,048,910.		
	11	Investments - land, buildings and equipment basis	, · · ·	· ·			
		Less accumulated depreciation					
	12	Investments - mortgage loans					
	13	Investments - other STMT 9	583,141.	583,141.	652,837.		
	14	Land, buildings, and equipment: basis ► 7,028.		*, ; *,	; *		
		Less accumulated depreciation STMT 10► 5,472.	2,055.	1,556.	1,556.		
	15	Other assets (describe ► STATEMENT 11)	6,034.	6,034.	6,034.		
	16	Total assets (to be completed by all filers - see the					
		instructions. Also, see page 1, item I)	25,670,485.	26,249,921.	36,766,062 <u>.</u>		
	17	Accounts payable and accrued expenses					
	18	Grants payable					
တ္	19	Deferred revenue			1		
Liabilitie	20	Loans from officers, directors, trustees, and other disqualified persons			, i		
jab	21	Mortgages and other notes payable					
_	22	Other liabilities (describe )			` ,		
				•	<b>,</b> \$		
	23	Total liabilities (add lines 17 through 22)	0.	0.	, '		
		Foundations that follow SFAS 117, check here			, ``		
G	١	and complete lines 24 through 26 and lines 30 and 31			· , , , , , , , , , , , , , , , , , , ,		
ခို	24	Unrestricted					
<u>a</u>	25	Temporarily restricted	·		, , , , ,		
Fund Balances	26	Permanently restricted			```		
Š		Foundations that do not follow SFAS 117, check here			1.7		
٩	27	and complete lines 27 through 31	25,670,485.	26,249,921.			
Ş		Capital stock, trust principal, or current funds	25,670,485.	20,249,921.	. 1		
Net Assets	28 29	Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds	0.	0.	`		
et A	30	Total net assets or fund balances	25,670,485.	26,249,921.			
Ž	30	Total net assets of fund parances	23,070,403.	20,249,921.			
	2,	Total liabilities and net assets/fund balances	25,670,485.	26,249,921.			
				20,240,021.	<u> </u>		
<u>[P</u>	art	III Analysis of Changes in Net Assets or Fund Ba	ilances				
1	Tota	I net assets or fund balances at beginning of year - Part II, column (a), line	30	<del></del>			
•		st agree with end-of-year figure reported on prior year's return)	1	25,670,485.			
2		r amount from Part I, line 27a	2	557,709.			
3		r increases not included in line 2 (itemize) NET ASSET A	DJUSTMENT	3	21,727.		
		lines 1, 2, and 3		4	26,249,921.		
		eases not included in line 2 (itemize)		5	0.		
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II or	olumn (b) June 30		26,249,921.		

623511 11-23-16

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)  US TRUST - SCHEDULE ATTACHED	1701	How ac	nuired	(.) D-4		(d) Data and
US TRUST - SCHEDULE ATTACHED	1, b	- Purch - Dona	iase	(c) Date ac	cquirea y, yr.)	(d) Date sold (mo , day, yr.)
	US TRUST - SCHEDULE ATTACHED P VA			VARIC	US	VARIOUS
US TRUST - CAPITAL GAIN DISTRIBUTIONS		1	P	VARIC	US	VARIOUS
	_					Ī
(a) Gross sales price (f) Depreciation allowed (g) Cost or other basis				(h) Ga	ın or (los:	s)
(e) Gross sales price (1) Depreciation allowed (g) Cost of other basis (or allowable) plus expense of sale					(f) minus	
6,991,886. 5,024,1	69.					1,967,717
164.						164
			<del></del>			
0 144 24 6 2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4					<u> </u>	<del> </del>
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			()	) Gains (Co . (k), but no	ol. (h) gan	n minus
(i) F.M.V. as of 12/31/69 (j) Adjusted basis (k) Excess of col. (i)			CO	Losses (1	from col.	an -0-) <b>o</b> i (h))
(1) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any						· · · · · · · · · · · · · · · · · · ·
						1,967,717
						164
		L				
( If goin, also enter in Dart I, line 7	)					
Capital gain net income or (net capital loss)   [If gain, also enter in Part I, line 7]  If (loss), enter -0- in Part I, line 7]	<b>)</b>	2				1,967,881
•	,					
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	)		ı I			
If gain, also enter in Part I, line 8, column (c).  If (loss), enter -0- in Part I, line 8	•	ا ، ا			N/A	Δ
art V   Qualification Under Section 4940(e) for Reduced Tax on Ne	t Inve	estme	ent Inco	me	14/1	<u>·                                      </u>
r optional use by domestic private foundations subject to the section 4940(a) tax on net investment ection 4940(d)(2) applies, leave this part blank.	income	1.)				
		1-)				Yes X N
ection 4940(d)(2) applies, leave this part blank. s the foundation liable for the section 4942 tax on the distributable amount of any year in the base p	eriod?					
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any	eriod?				Digital	
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base pages, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years  (b)	eriod?	i. )	use assets		Distr	(d)
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base pages, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any  (a)  Base period years Calendar year (or tax year beginning in)  Adjusted qualifying distributions  Net value of	eriod? entries (c noncha	) ırıtable-			Dıstr col. (b) d	(d) ribution ratio livided by col. (c))
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  Adjusted qualifying distributions  Net value of  1,789,754.	entries (c) noncha	) rrtable-	4,555	(	Distr (col. (b) d	(d) ribution ratio livided by col. (c)) . 04767
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  2015  2014  Adjusted qualifying distributions  Net value of  1,789,754.  2014  1,790,952.	entries (c) noncha 37	) rritable- , 54	4,555 2,107	(	Distr (col. (b) d	(d) ribution ratio livided by col. (c)) • 04767
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  2015  2014  1,789,754.  2014  1,686,610.	entries (c) noncha 37 37	, 54 , 45	4,555 2,107 7,451		Distr (col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782
ection 4940(d)(2) applies, leave this part blank.  Is the foundation liable for the section 4942 tax on the distributable amount of any year in the base part.  Inter the appropriate amount in each column for each year; see the instructions before making any calendar year (or tax year beginning in)  Base period years Calendar year (or tax year beginning in)  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.	entries (c noncha 37 37 35 34	, 54 , 45 , 91	4,555 2,107 7,451 6,332		Distr (col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  2015  2014  1,789,754.  2014  1,686,610.	entries (c noncha 37 37 35 34	, 54 , 45 , 91	4,555 2,107 7,451		Distr (col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts. The foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any Base period years  Calendar year (or tax year beginning in)  2015  2014  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  1,654,257.	entries (c noncha 37 37 35 34	, 54 , 45 , 91	4,555 2,107 7,451 6,332	(	Distr col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04873
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  The foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  1,654,257.   Total of line 1, column (d)	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332		Districol. (b) d	(d) ribution ratio livided by col. (c))  . 0476  . 04782  . 04695  . 04872
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any harmonic degree (a) (b) (b) (b) (c) (c) (d) (d) (d) (d) (d) (d) (d) (d) (d) (d	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2	Districol. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04871
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  The foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any  Base period years Calendar year (or tax year beginning in)  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  1,654,257.   Total of line 1, column (d)	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	(	Districol. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04871
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ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any harmonic degree (a) (b) (b) (b) (c) (c) (d) (d) (d) (d) (d) (d) (d) (d) (d) (d	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871
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ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base part.  Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any (b)  Base period years Calendar year (or tax year beginning in)  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years  Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4	col. (b) d	(d) ribution ratio invided by col. (c))  . 04767 . 04782 . 04695 . 04891 . 24106 . 04821
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base parts.  Yes,* the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any (b)  Base period years Calendar year (or tax year beginning in)  2015  2014  2014  1,789,754.  2013  1,686,610.  2012  1,702,472.  2011  1,654,257.   Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2	col. (b) d	(d) ribution ratio invided by col. (c))  . 04767 . 04782 . 04695 . 04890 . 04871 . 24106 . 04821
ection 4940(d)(2) applies, leave this part blank.  Is the foundation liable for the section 4942 tax on the distributable amount of any year in the base part.  Inter the appropriate amount in each column for each year; see the instructions before making any absence of the part of tax year beginning in a part of tax year beginning in the part of tax year base period of the total on line 2 by 5, or by the number of the foundation has been in existence if less than 5 years.  Inter the net value of noncharitable-use assets for 2016 from Part X, line 5.  Multiply line 4 by line 3.	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4 5	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871 . 24106 . 04821 36,538,747
ection 4940(d)(2) applies, leave this part blank.  s the foundation liable for the section 4942 tax on the distributable amount of any year in the base part.  Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any (b)  Base period years Calendar year (or tax year beginning in)  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years  Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871 . 24106 . 04821 36,538,747
s the foundation liable for the section 4942 tax on the distributable amount of any year in the base process," the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any Base period years  (a) (b) Calendar year (or tax year beginning in)  Adjusted qualifying distributions  Net value of  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years  Enter the net value of noncharitable-use assets for 2016 from Part X, line 5  Multiply line 4 by line 3  Enter 1% of net investment income (1% of Part I, line 27b)	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4 5	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871 . 24106 . 04821 36,538,747 1,761,643
ection 4940(d)(2) applies, leave this part blank.  Is the foundation liable for the section 4942 tax on the distributable amount of any year in the base part.  Inter the appropriate amount in each column for each year; see the instructions before making any absence of the part of tax year beginning in a part of tax year beginning in the part of tax year base period of the total on line 2 by 5, or by the number of the foundation has been in existence if less than 5 years.  Inter the net value of noncharitable-use assets for 2016 from Part X, line 5.  Multiply line 4 by line 3.	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4 5	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871 . 24106 . 04821 36,538,747 1,761,643
s the foundation liable for the section 4942 tax on the distributable amount of any year in the base persectives, the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any (b)  Base period years  (a)  (b)  Adjusted qualifying distributions  Net value of 2015  2014  1,789,754.  2014  2013  1,686,610.  2012  1,702,472.  2011  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years  Enter the net value of noncharitable-use assets for 2016 from Part X, line 5  Multiply line 4 by line 3  Enter 1% of net investment income (1% of Part I, line 27b)  Add lines 5 and 6	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4 5 6	col. (b) d	.04767 .04767 .04782 .04695 .04990 .04871 .24106 .04821 36,538,747 1,761,643 24,493
s the foundation liable for the section 4942 tax on the distributable amount of any year in the base process," the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any Base period years  (a) (b) Calendar year (or tax year beginning in)  Adjusted qualifying distributions  Net value of  2015  1,789,754.  2014  1,790,952.  2013  1,686,610.  2012  1,702,472.  2011  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the numb the foundation has been in existence if less than 5 years  Enter the net value of noncharitable-use assets for 2016 from Part X, line 5  Multiply line 4 by line 3  Enter 1% of net investment income (1% of Part I, line 27b)	entries (c noncha 37 37 35 34	, 54 , 45 , 91 , 11	4,555 2,107 7,451 6,332	2 3 4 5	col. (b) d	(d) ribution ratio livided by col. (c))  . 04767 . 04782 . 04695 . 04990 . 04871 . 24106 . 04821 36,538,747 1,761,643

	990-PF (2016) UW GLADYS BROOKS FOUNDATION 1 VI   Excise Tax Based on Investment Income (Section 4940(a), 49		13-2955 48 - see in		tions	age 4
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A"					
	Date of ruling or determination letter:					
	Domestic foundations that meet the section 4940(e) requirements in Part V, check here		1	2	4,49	3.
	of Part I, line 27b			-		
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part	I, line 12, col. (b).				
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others ent		2			0.
	Add lines 1 and 2	,	3	2	4,49	3.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others en	ter -0-)	4			0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	,	5	2	4,49	93.
6	Credits/Payments*					
a	2016 estimated tax payments and 2015 overpayment credited to 2016 6a	16,834.				
b	Exempt foreign organizations - tax withheld at source 6b					
C	Tax paid with application for extension of time to file (Form 8868) 6c					
đ	Backup withholding erroneously withheld 6d					
7	Total credits and payments. Add lines 6a through 6d		7	1	6,83	34.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <b>X</b> if Form 2220 is attached		8	_		<u>11.</u>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>&gt;</b>	9		7,8	00.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	, ▶	10			
	Enter the amount of line 10 to be: Credited to 2017 estimated tax	Refunded ▶	11			
	rt VII-A Statements Regarding Activities	·	·		V 1	Na
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or	r did it participate or intervene	ın		Yes	
	any political campaign?			1a		X
	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see			1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copi	es of any matenals publish	ed or			٠
	distributed by the foundation in connection with the activities			<u> </u>		
	Did the foundation file Form 1120-POL for this year?			1c		<u> </u>
a	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	0.			•••	, *,
_	(1) On the foundation. ► \$ (2) On foundation managers. ► \$	<del></del>	-			<u> </u>
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax managers. > \$ 0.	imposed on foundation				*
9	managers. > \$ 0 .  Has the foundation engaged in any activities that have not previously been reported to the IRS?	4		2		X
2					4	
2	If "Yes," attach a detailed description of the activities  Has the foundation made any changes, not previously reported to the IRS, in its governing instrume	ant articles of incornoration o	ır	\ \ \*-"\		; · ·
J	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	int, articles of incorporation, c	1	3	<b></b> -	X
42	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			4a		X
	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		N/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5		X
	If "Yes," attach the statement required by General Instruction T				,	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			1.		1
	By language in the governing instrument, or			`.'		<i>*</i> .
	• By state legislation that effectively amends the governing instrument so that no mandatory directive	ions that conflict with the state	e law	<u> </u>	s.	
	remain in the governing instrument?			6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete	Part II, col (c), and Part X\	<i>'</i>	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)					li
	NY					
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney	General (or designate)		<u> </u>	77	<b>├</b> ──
_	of each state as required by General Instruction G? If "No," attach explanation			8b	X	<del>                                     </del>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 49		endar	<u></u>	<b>!</b>	┡┯┦
	year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," comple			9	┼—	X
<u>10</u>	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing	their names and addresses		10		<u> </u>

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Pa	rt VII-A Statements Regarding Activities (continued)			
		T	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	<u> </u>
	Website address WWW.GLADYSBROOKSFOUNDATION.ORG			·
14	The books are in care of ▶ PRIVATE BANK TAX SERVICES  Telephone no. ▶ 401-27	8-8	952	
	Located at ►P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ►02			02
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			一
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16	1	х
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the			
	foreign country			
Pa	irt VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	$\Box$	Yes	No
1a	During the year did the foundation (either directly or indirectly):		,	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			,
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	1	İ	
	a disqualified person?	· ,		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	2	ş Y	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   X Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available		,	. `
	for the benefit or use of a disqualified person)?		,	3 23
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after	1	, 3	e <sup>to</sup>
	termination of government service, if terminating within 90 days.)	~, <i>′</i>	ş -	3, 4
þ	of any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	<u></u>		٠,
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	<u> </u>		(
_	before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	ć,		3,
	defined in section 4942(j)(3) or 4942(j)(5)):		, ,	- `
а	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	1 3	1	
	before 2016?  Yes X No			
	If "Yes," list the years	š	*, `	
U	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect	1		, ,,,
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		*	
•	of the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.	2b		<del> </del>
•	•			, ,
32	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	٠, ا		
•	during the year?  Yes X No			
Ŀ	of "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	1	۱.	
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			1
	Form 4720, to determine if the foundation had excess business holdings in 2016)  N/A	3b	<del>                                     </del>	<del>                                     </del>
4a	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		х
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	1		T -
_	had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	<del>                                     </del>	X
		rm 990	)_PF	

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Part VII-B   Statements Regarding Activities for Which Fo	orm 4720 May Be Re	equired (continu	ied)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s X No	
(2) Influence the outcome of any specific public election (see section 4955); or	to carry on, directly or indirec	ctly,	İ	
any voter registration drive?	•		s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	1	Ye	s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization				
4945(d)(4)(A)? (see instructions)	dosoribed in society		s X No	
	or aducational nurnaces, or fo		. (	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or to		s X No	
the prevention of cruelty to children or animals?		<del></del> -	5 (AL) NO	1 1 1
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify und	·	1 Regulations	N/A 5	<del>_    </del> -
section 53.4945 or in a current notice regarding disaster assistance (see instruc	•		N/A P	<del>"                                     </del>
Organizations relying on a current notice regarding disaster assistance check he				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from				
expenditure responsibility for the grant?	N	/A Ye	s L No	
If "Yes," attach the statement required by Regulations section 53 4945-	5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	ay premiums on			
a personal benefit contract?		Ye	s X No	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a po	ersonal benefit contract?		6	b X
If "Yes" to 6b, file Form 8870.			,	2 2 2
7a At any time during the tax year, was the foundation a party to a prohibited tax sl	nelter transaction?	Ye	s 🗶 No 😕	
b If "Yes," did the foundation receive any proceeds or have any net income attribute			N/A 7	b
Part VIII Information About Officers, Directors, Truste	es, Foundation Man	agers, Highly		
Paid Employees, and Contractors				
1 List all officers, directors, trustees, foundation managers and their c			7307	<del></del>
(-) Name and address	(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
(a) Name and address	to position	(If not paid, enter -0-)	compensation	allowances
	'	1		
SEE STATEMENT 12		292,827.	0.	0.
		•		
	1			
				<del></del>
, <del>-</del> , , -				
2 Compensation of five highest-paid employees (other than those incl	uded on line 1). If none, e	enter "NONE."		
	(b) Title, and average	1	(d) Contributions to employee benefit plans	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deterred compensation	account, other allowances
1 - GLADYS BROOKS FDN P.O. BOX	ADMINISTRATOR	-	- Sampanoudon	
1802, PROVIDENCE, RI 02901-1802	35.00	95,077.	14,262.	0.
TOOL THOUSANDER NE OLIVE TOOL	- 53.00	1 30,0110		<del></del>
	<del>-/</del>			
			[	
		<del>                                     </del>	<del>                                     </del>	<del></del>
			]	
			<del> </del>	<u> </u>
	1			
Total number of other ampleyees and over \$50,000	<u> </u>	<u> </u>		0
Total number of other employees paid over \$50,000		····	Form	990-PF (2016)
			ruill	(2010)

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Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	Managers, Highly	
Five highest-paid independent contractors for professional services. If none, enter "NO	NE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
tal number of others receiving over \$50,000 for professional services Part IX-A   Summary of Direct Charitable Activities		▶ 0
ist the foundation's four largest direct charitable activities during the tax year. Include relevant statistical i umber of organizations and other beneficiaries served, conferences convened, research papers produced,	nformation such as the etc.	Expenses
N/A		
		<u> </u>
Part IX-B Summary of Program-Related Investments		
escribe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2	Amount
N/A		
		0.
NI other program-related investments. See instructions.		
otal. Add lines 1 through 3		0.

<u>P</u>	Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	dations	, see instructions )
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	35,849,916.
	Average of monthly cash balances	1b	1,243,703.
C	Fair market value of all other assets	1c	1,556.
d	Total (add lines 1a, b, and c)	1d	37,095,175.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,095,175.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	556,428.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,538,747.
6	Minimum investment return Enter 5% of line 5	6	1,826,937.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an	d certain	
	foreign organizations check here   and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	1,826,937.
2 a	Tax on investment income for 2016 from Part VI, line 5		
b	Income tax for 2016. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	24,493.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,802,444.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,802,444.
6	Deduction from distributable amount (see instructions)	6	0.
<u></u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,802,444.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1	
a		1a	1,891,119.
ь	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	7	
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,891,119.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		·
	income. Enter 1% of Part I, line 27b	5	24,493.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,866,626.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of	ualifies f	
	4940(e) reduction of tax in those years.		

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI,	00.000	Todio prior to 2010	2010	
line 7				1,802,444.
2 Undistributed income if any as of the end of 2016		·		1,002,444.
a Enter amount for 2015 only			54,563.	
b Total for prior years:			34,303.	
y rotal for prior yours.		0.		
3 Excess distributions carryover, if any, to 2016:				- · · · · · · · · · · · · · · · · · · ·
a From 2011				
<b>b</b> From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from				
Part XII, line 4: ► \$ 1,891,119.		^		
a Applied to 2015, but not more than line 2a	**		54,563.	
<b>b</b> Applied to undistributed income of prior			34,303.	· · · · · · · · · · · · · · · · · · ·
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	<del></del>	0.		
(Election required - see instructions)	_ <sub>0.</sub>	`**	ر در	•
d Applied to 2016 distributable amount		*		1,802,444.
e Remaining amount distributed out of corpus	34,112.			1,002,444.
5 Excess distributions carryover applied to 2016	0.			0.
(If an amount appears in column (d), the same amount			,	<u> </u>
must be shown in column (a) )  6 Enter the net total of each column as	, <b>&gt;</b> >	,		
indicated below:	,	**	* * .	
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	34,112.	y **	x *	
<b>b</b> Prior years' undistributed income. Subtract	,			*, .
line 4b from line 2b	* ,	0.		
c Enter the amount of prior years'	,		,	/ x . ``
undistributed income for which a notice of deficiency has been issued, or on which	* *		` ^ *	
the section 4942(a) tax has been previously	;		* , * * **	
assessed	`	0.		
d Subtract line 6c from line 6b. Taxable				4 * *
amount - see instructions		0.	. **	
e Undistributed income for 2015. Subtract line	·			
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract	,	·	,	
lines 4d and 5 from line 1. This amount must			,	
be distributed in 2017			* ,	0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election	•			
may be required - see instructions)	0.	· · · · · · · · · · · · · · · · · · ·		
8 Excess distributions carryover from 2011				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017	24 110			
Subtract lines 7 and 8 from line 6a	34,112.			
10 Analysis of line 9:				
a Excess from 2012		,		
b Excess from 2013				
c Excess from 2014				
d Excess from 2015 e Excess from 2016 34,112.				
e Excess from 2016 34,112.			L	

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Part XIV   Private Operating F			A, question 9)	N/A	
1 a If the foundation has received a ruling of					
foundation, and the ruling is effective fo	r 2016, enter the date of t	he ruling	▶ └		
<b>b</b> Check box to indicate whether the found		g foundation described in		4942(j)(3) or	4942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	(b) 001F	Prior 3 years	(d) 2013	- (a) Total
income from Part I or the minimum	(a) 2016	<b>(b)</b> 2015	(c) 2014	(0) 2013	(e) Total
investment return from Part X for					
each year listed				<del></del>	<del></del>
<b>b</b> 85% of line 2a				<del> </del>	
c Qualifying distributions from Part XII,					
line 4 for each year listed		<del></del>		<del></del>	<del></del>
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities			<u> </u>	<del> </del>	
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c  3 Complete 3a, b, or c for the					+
alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets				<del> </del>	<del></del>
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)  b "Endowment" alternative test - enter			T		
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					<del>-</del>
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public			<u> </u>	<del></del>	<del></del>
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from				_	1
an exempt organization					
(4) Gross investment income			† · · · · · · · · · · · · · · · · · · ·		
Part XV   Supplementary Info	rmation (Comple	te this part only i	f the foundation	had \$5,000 or m	ore in assets
at any time during t					
1 Information Regarding Foundation	on Managers:				
a List any managers of the foundation wi	_	than 2% of the total cont	ributions received by the	e foundation before the cl	ose of any tax
year (but only if they have contributed	more than \$5,000). (See s	ection 507(d)(2).)			
NONE					
b List any managers of the foundation w			(or an equally large porti	on of the ownership of a	partnership or
other entity) of which the foundation ha	as a 10% or greater interes	st.			
NONE					
2 Information Regarding Contribut	tion, Grant, Gift, Loan,	Scholarship, etc., Pr	ograms:		
Check here 🕨 🔙 if the foundation					
the foundation makes gifts, grants, etc.	. (see instructions) to indiv	viduals or organizations i	under other conditions, c	complete items 2a, b, c, a	nd d.
a The name, address, and telephone nun	nber or e-mail address of t	the person to whom appli	ications should be addre	ssed:	
SEE ATTACHED					
					<del></del>
<b>b</b> The form in which applications should	be submitted and informa	tion and materials they s	hould include:		
SEE ATTACHED				***	<del>-</del>
c Any submission deadlines:					
SEE ATTACHED	<del></del>				
d Any restrictions or limitations on awar	ds, such as by geographic	al areas, charitable fields	, kınds of institutions, or	other factors:	
SEE ATTACHED					
000004 44 00 40		· · · · · · · · · · · · · · · · · · ·			Form <b>990-PF</b> (2016)
623601 11-23-16					CULIN SSSTEE (2010)

3 Grants and Contributions Paid During the Year or Approved for Future Payment							
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount			
Name and address (home or business)	or substantial contributor	recipient	Contribution				
a Paid duning the year							
		Ì					
SEE SCHEDULE ATTACHED							
		ļ		1,617,115.			
		İ					
	,						
				•			
		<u> </u>	<u> </u>	1,617,115.			
Total  b Approved for future payment	<u> </u>	1	34	2,027,223.			
• Approved to luture payment							
	ľ	1					
N/A		:					
N/A							
				1			
-							
Total	_1	1	25	0.			

Part XVI-A	Analysis of	Income-Producina	Activities

UW GLADYS BROOKS FOUNDATION

nter gross amounts unless otherwise indicated.	Unrelated b	usiness income		by section 512, 513, or 514	(e)
	(a) Business	(b) Amount	(C) Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue	code	Amount	code	Amount	- Idiletion medine
a	_				<u> </u>
b	_	· · · · · · · · · · · · · · · · · · ·			
C	_				
d	_				
e	_				
f					
Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	77,527.	
Dividends and interest from securities			14	627,041.	
5 Net rental income or (loss) from real estate:					· · · · · · · · · · · · · · · · · · ·
a Debt-financed property					
<b>b</b> Not debt-financed property					
6 Net rental income or (loss) from personal				[	
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	1,967,881.	
9 Net income or (loss) from special events					
O Gross profit or (loss) from sales of inventory					
1 Other revenue:					
a	_				
b	_				
C	_				
d	_				
e					
2 Subtotal. Add columns (b), (d), and (e)			0.[	2,672,449.	
3 Total Add line 12, columns (b), (d), and (e)				13	2,672,44
See worksheet in line 13 instructions to verify calculations.	)				
Part XVI-B Relationship of Activities	to the Accom	plishment of l	Exempt P	urposes	

3 N/A 4 N/A 8 N/A	Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
4 N/A 8 N/A	3	
8 N/A		
	<u></u> _	

Form 990	)-PF (2	<u>016) UW GL</u>	ADYS BROO	KS FOU	NDATION		13-29	55337	Page 13	j
Part 2	KVII			sfers To a	and Transactions a	nd Relatio	onships With Nonch			_
	<del></del>	Exempt Organ	·							_
					ng with any other organization		section 501(c) of		Yes No	_
					27, relating to political organ	izations?				1
		from the reporting founda	ation to a noncharita	ible exempt or	ganization of.			42/4)	X	لـ
٠,,	Cash Other	accoto						1a(1)	X	-
		assets sactions;						1a(2)	-   A	٦
		of assets to a noncharita	ble exempt organiza	tion				1b(1)	X	۷
		ases of assets from a no	, ,					1b(2)	X	-
		of facilities, equipment,	•	or garnzation				1b(3)	$-\frac{1}{x}$	-
		oursement arrangements						1b(4)	X	_
		or loan guarantees						1b(5)	Х	_
		rmance of services or me	mbership or fundra	sing solicitatio	ons			1b(6)	Х	_
c Sha	aring of	facilities, equipment, ma	uling lists, other ass	ets, or paid em	ployees			10	Х	
<b>d</b> If the	ne ansv	ver to any of the above is	"Yes," complete the	following sche	edule. Column (b) should al	ways show the	fair market value of the good	ds, other asse	ets,	
					ed less than fair market valu	ie in any transa	action or sharing arrangemen	t, show in		
		) the value of the goods,								_
(a) Line n	<u> </u>	(b) Amount involved	(c) Name o		e exempt organization	(d) Des	cription of transfers, transactions, a	ınd sharıng arraı	ngements	
		<del></del>		N/A						_
		<del></del>								_
	+					-				_
	+									_
	╁┈					-		<del></del> ,		-
			-		·····	<del>                                     </del>				-
						1				-
			1							_
										_
										_
										_
							•			_
		···	<u> </u>							_
			<u> </u>							_
					or more tax-exempt organ	zations descri	ped	<del></del>		
		501(c) of the Code (other	,	)(3)) or in sect	tion 527?			Yes	X No	1
<u>D</u> II	res, co	mplete the following sch (a) Name of org			(b) Type of organization	T	(c) Description of relati			_
		N/A	janization	<del></del>	(b) Type of organization		(c) Description of relati	Uliship		_
	-	21/21			-					_
			<del></del>							_
										_
					accompanying schedules and si			May the IRS di	iscuss this	$\overline{\overline{}}$
Sign	and be	ilei, it is true, correct, and corr	iplete Declaration of pro	eparer (otner man	taxpayer) is based on all informa			return with the shown below (	preparer	
Here		Janes &	A500		5/5/17		V. P.	X Yes		0
	Sign	audre of officer or trustee		1-	Date	Title		<u> </u>		_
		Print/Type preparer's na	ıme	Preparer's s	signature	Date	acif considered	ΓIN		
Paid		ייניי שמשמוג	7 CCO -	1/2	/c	5/11	self- employed	2000	250	
Prepa	arer	ALBERT VAN		X 200	CD3 DC	1 ,,,,,	<u> </u>	200267		_
Use (		Firm's name ► ALB	EKI M WAN	iascu,	CPA, PC.		Firm's EIN ► 13	-33/88/	<b>∠</b> U	
	,	Firm's address ▶ 13	25 FRANKI	IN AVE	NUE SUITE 3	35	<u> </u>			_
		1	WW11/T	HIVE	TOT DOTTE J	J J	1			

Phone no. (516) 741-6767
Form 990-PF (2016)

GARDEN CITY, NY 11530

FORM 990-PF INTEREST	ON SAVIN	IGS AND TEM	IPORARY	CASH IN	VESTMENTS	STATEMENT 1	
SOURCE		(A REVE PER E	NUE		(B) VESTMENT COME	(C) ADJUSTED NET INCOME	
DOMESTIC		7	6,564.	<del></del>	76,564.		
FOREIGN SIGNATURE BANK			918. 45.		918. 45.		
TOTAL TO PART I, LINE	3		77,527.		77,527.		
FORM 990-PF	DIVIDENDS	S AND INTER	EST FR	OM SECUR	ITIES	STATEMENT 2	
SOURCE	GROSS AMOUNT	CAPITAI GAINS DIVIDENI	R	(A) EVENUE R BOOKS	(B) NET INVES MENT INCO		
US TRUST - DIVIDENDS US TRUST -	77,594	•	0.	77,594.	77,59	4.	
DOMESTIC	432,569		0.	432,569.	432,56	9.	
US TRUST - FOREIGN US TRUST - USGI	97,067. 19,811.		0.	97,067. 19,811.			
TO PART I, LINE 4	627,041			627,041.	- <del></del> -		
FORM 990-PF			FEES			STATEMENT 3	
DESCRIPTION		(A) EXPENSES PER BOOKS	NET I	B) NVEST- INCOME	(C) ADJUSTED NET INCOM		
LEGAL FEES		7,422	<del></del>	0.		7,422.	
HEOLIE LEED							

FORM 990-PF	ACCOUNTI	NG FEES	S	TATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	23,595.	0.		23,595.
TO FORM 990-PF, PG 1, LN 16B	23,595.	0.		23,595.
FORM 990-PF	TAX	ES	S	TATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	9,848.	9,848.		0.
TO FORM 990-PF, PG 1, LN 18	9,848.	9,848.		0.
FORM 990-PF	OTHER E	XPENSES	S	TATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES PAYROLL SERVICE SUPPLIES EXPENSE NY AG FILING FEES INVESTMENT EXPENSES FEDERAL APPLICATION FEES	7,112. 2,430. 417. 750. 4,068. 85.	0. 0. 0. 4,068.		7,112. 2,430. 417. 750. 0. 85.
TO FORM 990-PF, PG 1, LN 23	14,862.	4,068.		10,794.

FORM 990-PF CORPO	ORATE STOCK		STATEMENT 7
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
US TRUST - EQUITIES US TRUST - EQUITIES ACCRUED DIVIDEN	DS	12,386,086. 1,009.	22,836,795. 1,009.
TOTAL TO FORM 990-PF, PART II, LINE	10B =	12,387,095.	22,837,804.
FORM 990-PF CORP	ORATE BONDS		STATEMENT 8
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
US TRUST - FIXED INCOME	-	12,053,643.	12,048,910.
TOTAL TO FORM 990-PF, PART II, LINE	10C	12,053,643.	12,048,910.
FORM 990-PF OTHER	INVESTMENTS		STATEMENT 9
	· · · · · · · · · · · · · · · · · · ·		
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
US TRUST - COMMODITIES		BOOK VALUE 583,141.	
	METHOD -	<del></del>	VALUE
US TRUST - COMMODITIES	METHOD COST	583,141. 583,141.	VALUE 652,837.
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS	METHOD COST	583,141. 583,141.	VALUE 652,837. 652,837.
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS  DESCRIPTION OF	METHOD  COST  NOT HELD FOR  COST OR THER BASIS  911.	583,141. 583,141. INVESTMENT  ACCUMULATED DEPRECIATION 911.	VALUE 652,837. 652,837. STATEMENT 10 BOOK VALUE
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS  DESCRIPTION OF ASSETS  OUT OF THE PROPERTY OF	METHOD  COST  NOT HELD FOR  COST OR THER BASIS  911. 2,520.	583,141. 583,141.  INVESTMENT  ACCUMULATED DEPRECIATION  911. 2,520.	VALUE 652,837. 652,837.  STATEMENT 10  BOOK VALUE 0
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS  DESCRIPTION OF	METHOD  COST  NOT HELD FOR  COST OR THER BASIS  911.	583,141. 583,141. INVESTMENT  ACCUMULATED DEPRECIATION 911.	VALUE 652,837 652,837  STATEMENT 10  BOOK VALUE  0 0 0 0
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS  DESCRIPTION OF PURNITURE EQUIPMENT EQUIPMENT	METHOD  COST  NOT HELD FOR  COST OR THER BASIS  911. 2,520. 229.	583,141. 583,141.  INVESTMENT  ACCUMULATED DEPRECIATION  911. 2,520. 229.	VALUE 652,837. 652,837. STATEMENT 10 BOOK VALUE
US TRUST - COMMODITIES  TOTAL TO FORM 990-PF, PART II, LINE  FORM 990-PF DEPRECIATION OF ASSETS  DESCRIPTION OF ASSETS  FURNITURE EQUIPMENT EQUIPMENT COMPUTER EQUIPMENT	METHOD  COST  NOT HELD FOR  COST OR THER BASIS  911. 2,520. 229. 1,308.	583,141.  583,141.  INVESTMENT  ACCUMULATED DEPRECIATION  911. 2,520. 229. 1,194.	VALUE  652,837  652,837  STATEMENT 10  BOOK VALUE  0 0 0 114

FORM 990-PF	OTHER ASSETS		STATEMENT 11
DESCRIPTION	BEGINNING OF	END OF YEAR	FAIR MARKET
	YR BOOK VALUE	BOOK VALUE	VALUE
SECURITY	3,034.	3,034.	3,034.
RETAINER		3,000.	3,000.
TO FORM 990-PF, PART II, LINE 15	6,034.	6,034.	6,034.

FORM 990-PF PART VIII - LIST TRUSTEES AND	OF OFFICERS, I		STAT	EMENT 12
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
US TRUST COMPANY P.O. BOX 1802 PROVIDENCE, RI 02901-1802	TRUSTEE 40.00	220,377.	0.	0.
CHRISTOPHER R HAWKINS (TERM 6/30/16) 26 HERMAN SAVAGE ROAD LANCASTER, NH 03584	GOVERNOR 5.00	12,500.	0.	0.
JAMES J DALY ESQ 750 BEACH ROAD APT 302 VERO BEACH, FL 32963	GOVERNOR 7.84	25,000.	0.	0.
THOMAS Q MORRIS MD P.O. BOX 223 DELHI, NY 13753	GOVERNOR 5.00	25,000.	0.	0.
MICHAEL P. CONNORS (START 8/8/16) 27 LAWRENCE LANE BAYSHORE, NY 11706	GOVERNOR 5.00	9,950.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII	292,827.	0.	0.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 9	FORM 990-PF PAGE 1						990-PF							
Asset	Description	Date Acquired	Method	Lıfe	O 0 E >	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	FURNITURE	02/01/09	ıs	7.00	16	911.				911.	899.		12.	911.
7	EQUIPMENT	08/01/09	SL	5.00	16	2,520.				2,520.	2,520.		0.	2,520.
3	EQUIPMENT	12/01/10	SL	5.00	16	229.				229.	229.		0.	229.
4	COMPUTER EQUIPMENT	03/20/13	200DE 5.00	5.00	HX1:7	1,308.	°*, }		654.	654.	465.		75.	540.
10	EQUIPMENT	06/23/15	SL	5.00	16	2,060.				2,060.	206.		412.	618.
	* TOTAL 990-PF PG 1 DEPR					7,028.	***		654.	6,374.	4,319.		499.	4,818.
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		!			,	>								
							`							
						¢			`					
628111 04-01-16	4-01-16					(D) - Asset disposed	peso	i.	•	TC, Salvage,	Bonus, Comm	ercial Revital	* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone	ion, GO Zone

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RN6387 L775 02/23/2017

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3 CTUBB LID COM 3 CTUBB LID COM 4 Capital Gain/Loss Detail Date Consistence of Cools Sides Cools of Cools Sides Cools of	DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES							
3 CRUBB LED COM	Capital Gain/Loss Detail	Date	Date	Gross Sales Price	ř	Adjustment Code(s)	ł	Loss
	3 CHUBB LTD COM	+ $ +$	03/09/2016	35.10	33.31			1 79
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						'		
01.35								
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35.10								
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35 10			_					
טנ אנ								
				35 10	33 31			1 79

UW GLADYS BROOKS FOUNDATION

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

	Acquired	Sold	Price	Basis	Code(s)	Adjustment	Loss
28% RATE LONG-TERM CAPITAL GAINS (LOSSES)							
אא תוטבו עד תוטבו את ביות את אינור א	03/11/2009	01/06/2016	109 18	91 80	U		17.38
1 SPOR COLD TR COLD SHS	06/04/2009	01/06/2016	15 94	14 59	٥		1 35
1 SPDR GOLD TR GOLD SHS	06/10/2009	01/06/2016	35.18	31 58	υ		3 60
1 SPDR GOLD TR GOLD SHS	07/22/2014	01/06/2016	46 13	19 55	υ		-9 48
1 SPDR GOLD TR GOLD SHS	03/11/2009	02/02/2016	107.10	85.44	υ		21 66
1. SPDR GOLD TR GOLD SHS	06/04/2009	02/02/2016	15.64	13.58	υ		2.06
1 SPDR GOLD TR GOLD SHS	06/10/2009	02/02/2016	34 51	29.39	υ		5.12
1 SPDR GOLD TR GOLD SHS	07/22/2014	02/02/2016	45.26	51.76	U		-6.50
1 SPDR GOLD TR GOLD SHS	03/11/2009		104.08	74.74	υ		29.34
1 SPDR GOLD TR GOLD SHS	06/04/2009	03/04/2016	15 20	11 89	υ		3 31
1 SPDR GOLD TR GOLD SHS	06/10/2009	03/04/2016	33.54	25 71	υ		7 83
1 SPDR GOLD TR GOLD SHS	07/22/2014	03/04/2016	43 98	45 28	D		-1.30
1 SPDR GOLD TR GOLD SHS	03/11/2009	04/05/2016	127 50	95 02	Ç		32.48
1 SPDR GOLD TR GOLD SHS	06/04/2009	04/05/2016	18 62	15 11	D		3 51
1. SPDR GOLD TR GOLD SHS	06/10/2009	04/05/2016	41 08	32 68	Ü		8 40
1 SPDR GOLD TR GOLD SHS	07/22/2014	04/05/2016	53 87	57 55	U		-3.68
1 SPDR GOLD TR GOLD SHS	03/11/2009	05/05/2016	119 74	85.82	ں		- 1
1 SPDR GOLD TR GOLD SHS	06/04/2009	05/05/2016	17.49	13 65	U		3 84
1 SPDR GOLD TR GOLD SHS	06/10/2009	05/02/2016	38 58	29.52	O		90 6
1 SPDR GOLD TR GOLD SHS	07/22/2014	05/05/2016	20 60	51 99	U		1
1 SPDR GOLD TR GOLD SHS	03/11/2009	06/06/2016	123.56	91 14	Ü		32 42
1 SPDR GOLD TR GOLD SHS	06/04/2009	06/06/2016	18 04	14 49	U		
1. SPDR GOLD TR GOLD SHS	06/10/2009	06/06/2016	39 81	31 35	U		8.46
1. SPDR GOLD TR GOLD SHS	07/22/2014	06/06/2016	52.21	- 1	U		- 1
1 SPDR GOLD TR GOLD SHS	03/11/2009	07/06/2016	115.85	- 1	D)		
1 SPDR GOLD TR GOLD SHS	06/04/2009	07/06/2016	16.92		Đ		
1 SPDR GOLD TR GOLD SHS	06/10/2009	01/06/2016	37 33	26 77	U		10 56
1 SPDR GOLD TR GOLD SHS	07/22/2014	07/06/2016	48 95	47 14	D		
1 SPDR GOLD TR GOLD SHS	03/11/2009	08/03/2016	135 48	91 49	U		
1 SPDR GOLD TR GOLD SHS	06/04/2009	08/03/2016	19 78	14 54	U		
1 SPDR GOLD TR GOLD SHS	06/10/2009	08/03/2016	43 65	31 47	ی		12 18
Totals							

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Look Chair Chair	Date	Date	Gross Sales Price	Cost or Other Adjustment Basis Code(s)	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
Capital Gally Loss Detail							
י י י י י י י י י י י י י י י י י י י	07/22/2014	08/03/2016	57.24	55 42	D		1.82
יייייייייייייייייייייייייייייייייייייי	-	09/07/2016	138 10	93 98	υ		44.12
יוב אונט מעום זו פאות פאות זוט מעום זו כיונה מעום דוטס מעום זו	⊢	09/07/2016	20 17	14 95	Đ		5.22
1 SPIN GOLD IN GOLD SIDS	-	09/07/2016	44.50	32 33	U		12 17
ייינים שונים שונים שונים מונים ו	07/22/2014	09/07/2016	58.35	56 93	υ		1 42
1 SEUN COLD IN COLD SILS	03/11/2009	10/05/2016	130 02	94 00	Ü		36.02
SHS GIOD OF GIOD OF GIOD SHS	06/04/2009	10/05/2016	18 99	14 95	υ		4.04
1 SEPT COLD TR COLD SHE	06/10/2009	10/05/2016	41.90	32 34	υ		9.56
1 SPID COLD IN COLD SHE		10/05/2016	54.94	56 95	U		-2 01
יים מוסט עון אינט מעם די פוים מוסט עון אינט מעם די	03/11/2009	11/03/2016	130.18	91.83	U		38.35
1 SELIX COLLD IN COLLD SILES	06/04/2009	11/03/2016	19.01	14 60	ບ		
יויי שואי איזיי שליי ווייי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי איזיי	06/10/2009	11/03/2016	41.95	31 59	J		
1 SPUN GOLD IN GOLD SINS		11/03/2016	55 01	55 64	Ð		-0 63
1. SPIN SOUL IN SOUL SINS		12/05/2016	129.56	102 30	D		
		12/05/2016	18.92	16.27	نع		2 65
1. SPLIK GOLD IN GOLD SILD		12/05/2016	41.75	35 19	D		
ייייט מונט דו מונט און און און און און און און און און און	⊢	12/05/2016	54 75	61 98	υ		-7 23
ז אלעם און מאוף זוע פעווף און מאוף און מאוף און מאוף און מאוף און מאוף און מאוף און מאוף און מאוף און מאוף און							
TOTAL 28 BATE LONG-TERM CADITAL GAINS (LOSSES)			2,780 14	2,267 74			512 40
ומינים מינים בסופת בחומן מינים ביים מינים							
OTHER LONG-TERM CAPITAL GAINS (LOSSES)			}				
	00/10/000	31/14/2016	259 505 88	84.935.00			174,570 88
1	00/10/2000	01/14/2016	519,011 75	165.296 40			353,715.35
- 1	90/01/2000	01/14/2016	129,752.94				89,721.04
1000 CHUBB CORPORATION	07/22/2014	02/11/2016		337,852 94			-375 40
. ~	03/16/2004	03/01/2016		47,240.50			86,825.07
	10/29/2010	03/01/2016	37,187 89	100,630 00			-63,442 11
	10/05/2010	03/01/2016	126,438 82	337,077 02			-210,638 20
- 1	08/08/2012	03/01/2016		53,110 02			-30,797 29
	05/03/2012	03/01/2016	37 187 89	88.071.30			-50,883 41
- 1	05/01/2012	03/01/2016					22,448 15
2000. CONAGRA INC	10/30/3010	2102/10/60	70 589 16	864			21,724 46
1000 EXPRESS SCRIPTS HLDG CO COM	10/67/01	02/07/10/50	01.505.50	3			
		1					
Totals							

Gross Sales         Cost or Other         Adjustment         Amount of           16         141,178         22         97,518         40           16         141,178         23         97,518         40           16         141,178         23         97,518         40           16         141,178         22         25,125         50           16         141,178         22         25,125         50           16         142,240         40,856         70           16         13,114         05         11,59         10           16         43,114         05         21,159         10           16         416,136         22         22,451         66           16         416,136         22         22,451         66           16         416,136         22         22,451         66           16         416,136         22         22,451         66           16         416,136         22         22,451         66           16         21,401         37         40,855         90           16         21,401         37         41,473         90	DETAIL OF LONG-IERM CAFIRE GAINS AND BOOGES			Г		7	
1000 CIREDO SCRIPTS   1000 COCK   1010/12/16   11.152   0   0.1521	Cantal Gain/I nee Detail	Date Date Sold	Gross Sales Price	ther	Adjustment Code(s)	Adjustment	Loss
2000 GENERALS SCRIPTS HIGG ON COM         10.00/2010         10.00/2016         11.178 23         77.188 40         45.52           10.00 GILDAD SCRIPTS HIGG ON COMPANY         07/10/2016         13.452 40         6.058.70         6.03.85           10.00 GILDAD SCRIPTS PARTIC COMPANY         07/10/2016         18.145 10         15.145 00         6.03.85           20.00 GILDAD SCRIPTS HIGG ON COMPANY         04/10/2016         13.145 10         15.145 00         6.13.85           20.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         13.145 10         13.145 10         13.145 10           20.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         13.145 10         13.145 10         13.145 10           20.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         13.144 10         13.145 10         13.145 10           10.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         14.147 10         13.147 11         13.147 11           10.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         14.147 11         14.147 10         14.147 11           10.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         14.147 11         14.147 11         14.147 11           10.00 CHARLES SCRIPTS HIGG ON COMPANY         04/10/2016         04/10/2016         14.147 11         14.147 11						1	
1000 CRANDER PRINCE OF CHARGES SECTION FOR STATES	ריי ישונו ישמדמים יישמרמים	<b>-</b>	141,178				43,659 92
1000 COLUMNOST NEW PORTOR   07/12/2015   01/12/2015   0	EAPKESS SCAIFIS RUDG CO	┞	91,452	40,856.70			50,595 70
1000. CARREL CREANITS. INCORPORATED         10/15/2016         15/15/2016	- (	├-	965'88	25,212 50			63,383 56
2000. CONSIGN. HER CORE CARE. ON CARE. CARE	- (	╁	155,171	91,350 02			63,821 19
1000 CONTROL NOT	- 1	┼	136,863	51,060 00			85,803 01
1000 EXPRESS SCRIPTS HIDGE OF COM	ı	4-		31,159 10			1
STATESTERS NOTING THAN NO. UNIT.   10.711/2012   0.711/2015   0.711/2015   0.711/2016   0.711/		↓	_	292,555 20			123,581 32
1000 ENRINGE PARTS COMPANY   07/10/10/10		+-		47,436.00			21,920 09
State   Court Perc Orang	- (	+-	97,401	40,856 70			56,544 67
SECONDER NO. 10   10   10   10   10   10   10   10	- 1	+-		20,170 00			54,070 38
SERIEST STRONGER INC. PRIN 2812 STRONGER INC. PRIN 2812   1,10000	ı	╂-		22,773 10			
66 CHULE PARE CO. SR. NY. SRR. 2011. P.PD. D28. RACKSOL 1/10000         04/15/2016         5.98 64         NONE         588           67 GULE PARE CO. SR. NY. SRR. 2011. P.PD. D2. 7504         07/10/2014         05/18/2016         1,175 00         1,747 16         -67           4.7 GULE PARE CO. SR. NY. SRR. 2011. P.PD. 5.7504         08/15/2014         05/18/2016         11,147 16         -7.2         -5.1           2.44 GULE PARE CO. SR. NY. SRR. 2011. P.PD. 5.7504         08/15/2014         05/18/2016         5,120 00         3.551.20         -4.2         -4.2           2.44 GULE PARE CO. SR. NY. SRR. 2011. P.PD. 5.7504         08/15/2014         05/18/2016         5,120 00         3.51.20         -4.12         -4.2         -4.12         -4.2	- 1	<del>} -</del>		229,451 66			1
FURBLIC STORMER INC. OS R. WE SERZ COLIA PED 5.7504  417 CHULE PAR CO SR WE SERZ COLIA PED 5.7504  418 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  419 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  410 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  410 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  411 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  412 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  413 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  414 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  415 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  416 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  417 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  418 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  419 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  419 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  410 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  410 CHULE PAR CO SR WE SERZ ZOLIA PED 5.7504  4110 CHULE PAR CO SR WE SERZ ZOLIA P	- 1	<b>├</b>		NONE			
6.7 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  24.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  24.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  24.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  24.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  34.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  35.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  36.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  36.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  37.2 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  38.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  39.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  39.1 GUJE PRR CO SR NT SER ZOLIA PED 5.7304  30.1 GUJE PRR CO SR NT	ŀ	4-	-	1,764 11			-89 11
447 GULP PRR CO SR NT SER ZULIA PPD 5.7504  49 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER ZULIA PPD 5.7504  42 GULP PRR CO SR NT SER ZULIA PPD 5.7504  43 GULP PRR CO SR NT SER ZULIA PPD 5.7504  44 GULP PRR CO SR NT SER ZULIA PPD 5.7504  45 GULP PRR CO SR NT SER ZULIA PPD 5.7504  46 GULP PRR CO SR NT SER ZULIA PPD 5.7504  47 GULP PRR CO SR NT SER ZULIA PPD 5.7504  48 GULP PRR CO SR NT SER ZULIA PPD 5.7504  49 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER ZULIA PPD 5.7504  42 GULP PRR CO SR NT SER ZULIA PPD 5.7504  43 GULP PRR CO SR NT SER ZULIA PPD 5.7504  44 GULP PRR CO SR NT SER ZULIA PPD 5.7504  45 GULP PRR CO SR NT SER ZULIA PPD 5.7504  46 GULP PRR CO SR NT SER ZULIA PPD 5.7504  47 GULP PRR CO SR NT SER ZULIA PPD 5.7504  48 GULP PRR CO SR NT SER ZULIA PPD 5.7504  49 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER ZULIA PPD 5.7504  42 GULP PRR CO SR NT SER ZULIA PPD 5.7504  43 GULP PRR CO SR NT SER ZULIA PPD 5.7504  44 GULP PRR CO SR NT SER ZULIA PPD 5.7504  45 GULP PRR CO SR NT SER ZULIA PPD 5.7504  46 GULP PRR CO SR NT SER ZULIA PPD 5.7504  47 GULP PRR CO SR NT SER ZULIA PPD 5.7504  48 GULP PRR CO SR NT SER ZULIA PPD 5.7504  49 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER ZULIA PPD 5.7504  42 GULP PRR CO SR NT SER ZULIA PPD 5.7504  43 GULP PRR CO SR NT SER ZULIA PPD 5.7504  44 GULP PRR CO SR NT SER ZULIA PPD 5.7504  45 GULP PRR CO SR NT SER ZULIA PPD 5.7504  46 GULP PRR CO SR NT SER ZULIA PPD 5.7504  47 GULP PRR CO SR NT SER ZULIA PPD 5.7504  48 GULP PRR CO SR NT SER ZULIA PPD 5.7504  49 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  40 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER ZULIA PPD 5.7504  41 GULP PRR CO SR NT SER	- (	╁~		11,747 16			-572 16
294 GULP PINC OS RIY SER 2011A PED 5.75614         08/27/2014 05/12/2015         05/12/2015         2.150 00         2.470 32         -480           31 S. GULP PINC OS RIY SER 2011A PED 5.75614         09/03/2014 05/12/2015         05/12/2015         2.150 00         2.470 32         2.243           31 S. GULP PINC OS RIY SER 2011A PED 5.75614         09/03/2014 05/12/2016         05/12/2016         0.075 00         3.201 74         2.243           120 GULP PINC OS RIY SER 2011A PED 5.75614         08/12/2016         05/12/2016         05/12/2016         1.075 00         15.685.54         -735           145 GULP PINC OS RIY SER 2011A PED 5.75614         08/12/2016         05/12/2016         1.175 00         15.685.54         -717           47 GULP PINC OS RIY SER 2011A PED 5.75614         08/12/2016         05/12/2016         1.175 00         1.227 81         -752           47 GULP PINC OS RIY SER 2011A PED 5.75614         08/12/2016         05/12/2016         05/12/2016         1.175 00         1.227 91         -752           891 GULP PINC OS RIY SER 2011A PED 5.75614         07/12/2014         05/12/2016         05/12/2016         1.175 00         1.127 00         1.227 91         -752           100 GULP PINC OS RIY SER 2011A PED 5.75614         07/12/2014         05/12/2016         05/12/2016         05/12/2016         1.126 00         1.12	- 1	╁╌		53,611 20	ı		-2,611 20
375. GULP PINE CO. SR NIT SER ZOLLA PTD 2. 7201.         09/03/2014 05/18/2016         2,180 00         2,470 32         -120           1904. GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         09/04/2014         05/18/2016         47,600 00         50,037 12         -2,437           1904. GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         08/12/2014         05/18/2016         13,650 00         15,685.54         -715           59 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         08/12/2014         05/18/2016         1,650 00         1,232 58         -75           146 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         08/12/2014         05/18/2016         1,155 00         1,232 58         -57           47 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         08/21/2014         05/18/2016         23,2175.00         23,310 33         -1,095           47 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         09/02/2014         05/18/2016         1,155 00         1,272 39         -57           506 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         07/02/2014         05/18/2016         1,155 00         1,272 39         -57           64 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         07/02/2014         05/18/2016         1,674 00         1,275 00         1,410 09         -57           113 GULP PINE CO. SR NIT SER ZOLLA PTD 5.7504         07/12/2014         05/18/		<del>∤</del> —		9,855 00			-480 00
94 OULP PWR CO SN NT SER ZOLIA PED 5.7504         09/04/ZOL4         05/18/ZOL6         47,600 00         50,037 12         2,437           194 OULP PWR CO SN NT SER ZOLIA PED 5.7504         08/12/ZOL4         05/18/ZOL6         3,075 00         3,231 74         -156           121 GULP PWR CO SN NT SER ZOLIA PED 5.7504         08/12/ZOL4         05/18/ZOL6         14,950.00         15,685.54         -175           598 GULP PWR CO SN NT SER ZOLIA PED 5.7504         08/21/ZOL4         05/18/ZOL6         1,175.00         3,823.58         -179           47 CULP PWR CO SN NT SER ZOLIA PED 5.7504         08/21/ZOL4         05/18/ZOL6         1,175.00         1,122.79         -179           891 GULP PWR CO SN NT SER ZOLIA PED 5.7504         09/21/ZOL4         05/18/ZOL6         1,175.00         1,227.99         -1,1095           47 GULP PWR CO SN NT SER ZOLIA PED 5.7504         07/02/ZOL4         05/18/ZOL6         1,175.00         1,170.90         -1,1095           46 GULP PWR CO SN NT SER ZOLIA PED 5.7504         07/02/ZOL4         05/18/ZOL6         1,500.00         1,112.64         -1,1095           45 GULP PWR CO SN NT SER ZOLIA PED 5.7504         07/12/ZOL4         05/18/ZOL6         1,500.00         1,112.64         -1,112           126 GULP PWR CO SN NT SER ZOLIA PED 5.7504         07/21/ZOL4         05/18/ZOL6         1,500.00	GULF PWR CO SK NI SEK ZULLA FED			2,470 32			
133         CULP FIN CO. SR NT. SER. 2011A PPD 5.7264         08/12/2014         05/18/2016         3.075 00         3.231 74         -136           133         GULP FIN CO. SR NT. SER. 2011A PPD 5.7264         08/12/2014         05/18/2016         1.650 00         3.625 58         -173           596         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         08/21/2014         05/18/2016         1.175 00         1.222 81         -173           47         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         08/22/2014         05/18/2016         1.175 00         1.227 99         -1.095           891         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         09/22/2014         05/18/2016         1.175 00         1.227 99         -1.095           44         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         07/02/2014         05/18/2016         1.500 00         1.227 99         -5.91           546         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         07/12/2014         07/12/2016         1.500 00         1.9.201         -5.91           128         GULP PIN CO. SR NT. SER. 2011A PPD 5.7564         07/12/2014         07/12/2016         1.075 00         19,201         -5.11           44         GULP PIN CO. SR NT. SER. 2011A PPD 5.7504         07/12/2014         05/18/2016         1.075 00         1.112         4,455	GULF PAR CO SK NI SEK ZOLIM FED	<b>├</b>		50,037 12			-2,437 12
123 OULF PIRC OS R NT SER 2011A PPD 5.7504         08/18/2014         05/18/2016         14,950.00         15,685.54         7.735           146 OULF PIRC OS R NT SER 2011A PPD 5.7504         08/21/2014         05/18/2016         1,175.00         1,232.81         1.79           47 OULF PIRC OS R NT SER 2011A PPD 5.7504         08/22/2014         05/18/2016         1,175.00         1,232.81         1.79           47 OULF PIRC OS R NT SER 2011A PPD 5.7504         08/22/2014         05/18/2016         1,175.00         1,232.81         1.79           491 OULF PIRC OS R NT SER 2011A PPD 5.7504         09/02/2014         05/18/2016         1,175.00         1,227.99         20.370.93         -1.095           40. OULF PIRC OS R NT SER 2011A PPD 5.7504         07/02/2014         05/18/2016         1,175.00         1,227.99         -1.227         9         -1.095           506 OULF PIRC OS R NT SER 2011A PPD 5.7504         07/02/2014         05/18/2016         15,000         1,241.09         -591         -591           769 OULF PIRC OS R NT SER 2011A PPD 5.7504         07/12/2014         05/18/2016         15,126.00         15,141.09         -591         -591           128 OULF PIRC OS R NT SER 2011A PPD 5.7504         07/12/2014         05/18/2016         15,18/2016         1,075.00         1,112.84         -112	GULF PHR CO ON IN SEN	-		3,231 74			-156 74
588 GULF PAR CO SR NT SER ZOLIA PED 5 7504         08/21/2014         05/18/2016         1,650 00         3,829 58         -179           146 GULF PAR CO SR NT SER ZOLIA PED 5 7504         08/22/2014         05/18/2016         1,175 00         1,232 81         -57           40 GULF PAR CO SR NT SER ZOLIA PED 5.7504         08/22/2014         05/18/2016         22,275.00         23,370 93         -1,095           47 GULF PAR CO SR NT SER ZOLIA PED 5.7504         09/02/2014         05/18/2016         1,175.00         1,227 99         -59           47 GULF PAR CO SR NT SER ZOLIA PED 5.7504         07/02/2014         05/18/2016         1,175.00         1,227 99         -591           500 GULF PAR CO SR NT SER ZOLIA PED 5.7504         07/02/2014         05/18/2016         15,100         16,741 09         -591           765 GULF PAR CO SR NT SER ZOLIA PED 5.7504         07/17/2014         05/18/2016         15,200 00         16,741 09         -676           765 GULF PAR CO SR NT SER ZOLIA PED 5.7504         07/12/2014         05/18/2016         15,780 00         16,741 09         -676           111 GULF PAR CO SR NT SER ZOLIA PED 5.7504         07/12/2014         05/18/2016         1,075 00         1,075 00         1,075 00         1,075 00         1,075 00         1,075 00         1,075 00         1,075 00         1,075 00 <td< td=""><td>GULF PWK CO OK NI</td><td>├</td><td>1</td><td>15,685.54</td><td></td><td></td><td>-735 54</td></td<>	GULF PWK CO OK NI	├	1	15,685.54			-735 54
14b COLE PWR CO SN VI SER 2011A PCD 5.7504         08/22/2014         05/18/2016         1,175 00         1,232 81         -57           47 COLE PWR CO SN VI SER 2011A PCD 5.7504         08/25/2014         05/18/2016         22,275.00         23,370 93         -1,095           81 GULE PWR CO SN VI SER 2011A PCD 5.7504         08/02/2014         05/18/2016         1,175.00         1,227 99         -52           47 GULE PWR CO SN VI SER 2011A PCD 5.7504         07/02/2014         05/18/2016         1,500 00         7,809 00         -309           310. GULE PWR CO SN VI SER 2011A PCD 5.7504         07/07/2014         05/18/2016         16,150 00         16,741 09         -591           646 GULE PWR CO SN VI SER 2011A PCD 5.7504         07/17/2014         05/18/2016         19,201 72         -676         -676           769 GULE PWR CO SN VI SER 2011A PCD 5.7504         07/17/2014         05/18/2016         1,075 00         19,901 72         -676           128 GULE PWR CO SN VI SER 2011A PCD 5.7504         07/21/2014         05/18/2016         1,075 00         1,012 84         -112           43 GULE PWR CO SN VI SER 2011A PCD 5.7504         07/21/2014         05/18/2016         4,225 00         4,425 48         -158           111 GULE PWR CO SN VI SER 2011A PCD 5.7504         08/04/2014         05/18/2016         3,250.00         4	GULF PWK CO OK IN	├		3,829 58			
47 OULF PIN CO SIN IT SER 2011A PED 5.7504         08/25/2014         05/18/2016         12.75.00         23,370.93         -1,095           891 GULF PIN CO SIN IT SER 2011A PED 5.7504         09/02/2014         05/18/2016         1,175.00         1,227.99         -52           47. GULF PIN CO SIN IT SER 2011A PED 5.7504         07/02/2014         05/18/2016         1,500.00         7,800.00         7,800.00         -591           546 GULF PIN CO SIN IT SER 2011A PED 5.7504         07/07/2014         05/18/2016         16,180.00         16,141.09         -591           646 GULF PIN CO SIN IT SER 2011A PED 5.7504         07/12/2014         05/18/2016         19,205         0         19,901.72         -676           759 GULF PIN CO SIN IT SER 2011A PED 5.7504         07/12/2014         05/18/2016         3,200.00         3,312.64         -112           128 GULF PIN CO SIN IT SER 2011A PED 5.7504         07/12/2014         05/18/2016         3,200.00         1,075.00         1	l	-		1,232 81			-57
64 GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/02/2014         05/18/2016         1,175.00         1,227.99         -52           47 GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/02/2014         05/18/2016         16,150         16,741         -59           300. GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/07/2014         05/18/2016         16,150         16,741         -59           569 GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/17/2014         05/18/2016         19,205         19,901         -51           128 GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/21/2014         05/18/2016         3,200.00         3,312.64         -112           43 GULF PWR CO SR NT SER 2011A PFD 5 750\$         07/23/2014         05/18/2016         1,075         0         4,425         -150           110 GULF PWR CO SR NT SER 2011A PFD 5 750\$         08/04/2014         05/18/2016         4,500.00         4,425         -158           130 GULF PWR CO SR NT SER 2011A PFD 5 750\$         08/04/2014         05/18/2016         3,250.00         3,364.40         -158           130 GULF PWR CO SR NT SER 2011A PFD 5 750\$         08/05/2014         05/18/2016         3,250.00         3,364.40         -158				23,370 93			56] :
41. Ouls Par CO SR NT SER 2011A PFD 5 750\$       07/02/2014       05/18/2016       7,500 00       7,809 00       -309         300. GULE PAR CO SR NT SER 2011A PFD 5 750\$       07/07/2014       05/18/2016       16,150 00       16,741 09       -591         646 GULE PAR CO SR NT SER 2011A PFD 5 750\$       07/17/2014       05/18/2016       19,225 00       19,901 72       -676         128 GULE PAR CO SR NT SER 2011A PFD 5 750\$       07/21/2014       05/18/2016       1,075 00       1,112 84       -112         43 GULE PAR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       1,075 00       1,112 84       -150         171 GULE PAR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       4,275 00       4,425 48       -150         180 GULE PAR CO SR NT SER 2011A PFD 5 750\$       08/04/2014       05/18/2016       4,500.00       4,658.40       -158         130 GULE PAR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -114	[	-					-52 99
500 OLIF PIR CO SR NT SER 2011A PFD 5 750\$       07/07/2014       05/18/2016       16,150 00       16,141 09       -554         646 GULF PIR CO SR NT SER 2011A PFD 5 750\$       07/17/2014       05/18/2016       19,225 00       19,901 72       -676         128 GULF PIR CO SR NT SER 2011A PFD 5 750\$       07/21/2014       05/18/2016       1,075 00       1,112 84       -112         43 GULF PIR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       4,275 00       4,425 48       -150         171 GULF PIR CO SR NT SER 2011A PFD 5 750\$       08/04/2014       05/18/2016       4,500.00       4,658.40       -158         180 GULF PIR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -136         130 GULF PIR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -136	CITIE DUE OF SP NT			7,809 00			-309 00
128       CULF PWR CO SR NT SER 2011A PFD 5 750\$       07/17/2014       05/18/2016       19,201       03,312.64       -5/18         128       CULF PWR CO SR NT SER 2011A PFD 5 750\$       07/21/2014       05/18/2016       1,075       00       1,112       84       -37         43       GULF PWR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       4,275       00       4,425       48       -150         171       GULF PWR CO SR NT SER 2011A PFD 5 750\$       08/09/2014       05/18/2016       4,500.00       4,658.40       -158         180       GULF PWR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -114		1		16,741 09			2531 09
128 GULP PWR CO SR NT SER 2011A PFD 5 750\$       07/21/2014       05/18/2016       3,200.00       3,312.64       -112         43 GULP PWR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       4,275 00       4,425 48       -150         171 GULP PWR CO SR NT SER 2011A PFD 5 750\$       08/04/2014       05/18/2016       4,500.00       4,658.40       -158         180 GULP PWR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -114         130 GULF PWR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -114	THE DUE OF SHIP	1		19,901 72			
120 OULF PWR CO SR NT SER 2011A PFD 5 750\$       07/22/2014       05/18/2016       1,075 00       1,112 84       -34         131 GULF PWR CO SR NT SER 2011A PFD 5 750\$       07/23/2014       05/18/2016       4,500.00       4,658.40       -158         130 GULF PWR CO SR NT SER 2011A PFD 5 750\$       08/05/2014       05/18/2016       3,250.00       3,364.40       -114	ı	1		3,312.64			
43 CULF PIR CO SR NT SER 2011A PFD 5 750\$       07/23/2014 05/18/2016 4,500.00 4,658.40         130 CULF PIR CO SR NT SER 2011A PFD 5 750\$       08/04/2014 05/18/2016 3,250.00 3,364.40	1			1,112 84			
1/1 GULF PWR CO SR NT SER 2011A PFD 5 750¥ 08/04/2014 05/18/2016 4,500.00 4,658.40 -158 180 GULF PWR CO SR NT SER 2011A PFD 5 750¥ 08/05/2014 05/18/2016 3,250 00 3,364 40 -114	GULF PAR CO SA MI SEA ZOLLA FILE S			4,425 48		-	-150 48
130 GULF PWR CO SR NT SER 2011A PFD 5 750\$	GULF PAR CO SR NI SER ZULLA FEU S	-		4,658.40			-158.40
130 GULF PAR CO SK NI SEN ZOLLA FED 3 1001	GOLD FIRE CO ON THE COLD AND DED S			3,364 40			
	GUDE PAR CO ON IN OBA ZULIA LLD						

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UW GLADYS BROOKS FOUNDATION

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

less Desert	Date	Date	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
במסומים במסיד ליופס וסיולפס							
47 CHIE DWR CO SR NT SER 2011A PED 5 750\$	08/06/2014	05/18/2016	1,175.00	1,216.36			-41 36
CITIE DWD CO SP. NT SER 2011A PED	07/18/2014	05/18/2016	32,025 00	33,151 00			-1,126 00
CITIE DWR CO SR NT SER 2011A PFD	07/11/2014	05/18/2016	36,750.00	37,970,10			-1,220 10
CHE PWR CO SR NT SER 2011A PED	07/14/2014	05/18/2016	14,075 00	14,542.29			-467.29
STATE DATE OF NATIONAL SER STILLS DET 5	06/30/2014	05/18/2016	1,500.00	1,531.80			-31.80
CHIE PWR CO SR NT SER 2011A PFD 5	06/19/2014	05/18/2016	23,300.00	23,654 16			-354 16
CITIE DWR CO SR NT SER 2011A PFD 5	06/19/2014	05/18/2016	3,350 00	3,400.92			-50 92
CITIE DWP CO SR NT SER 2011A	06/24/2014	05/18/2016	8,175.00	8,299.26			-124.26
CITIE DIVE CO SR NT SER 2011A	06/17/2014	05/18/2016	116,675.00	118,440.53			-1,765 53
CITILE DATE CO SR NT	06/18/2014	05/18/2016	6,250.00	6,344.33			-94.33
TA AS ON SWIT THE	06/23/2014	05/18/2016	18,050.00	18,322 34			-272 34
CITILE DUE CO SR NT	06/26/2014	05/18/2016	3,275 00	3,322.68			-47.68
PFIZER INC	01/07/2011	06/06/2016	69,860.47	36,610 20			33,250 27
1	03/16/2010	06/06/2016	371,233.89	456,442 19			-85,208.30
ROO ACCRUTICE DIG CT, A COM	03/29/2005	06/06/2016	95,698 95	19,580 80			76,118 15
	03/27/2015	08/04/2016	123,122 51	108,293 20			14,829 31
	04/16/2014	08/04/2016	46,793.37	31,159 10			15,634 27
	07/08/2010	08/04/2016	505,167 48	204,283 50			300,883.98
TOWER COMPANTS	04/08/2010	08/04/2016	161,887 46	51,060 00			827
1	03/02/2010	08/04/2016	43,763 20	24,407 50			
1	02/03/2010	08/04/2016	131,289 58	70,044 30			
TIMMED HIDGE INC COM	11/19/2010	08/04/2016	130,530 45	50,820 80			79,709 65
1	01/27/1999	09/14/2016	649,568 39	244,139 25			
1	03/14/2000	09/14/2016	28,242 10	9,771.00			18,471 10
1	07/25/2014	09/16/2016	5,750 00	6,009.90			-259 90
1	07/23/2014	09/16/2016	39,575 00	41,347 17			-1,772 17
	07/21/2014	09/16/2016	16,925 00	17,656 16			-731 16
RNTERGY LA LLC PED 1ST MTG BD SER 5	07/22/2014	09/16/2016	25,000 00	26,080 00			-1,080 00
ENTERGY LA LLC PFD 1ST MTG BD SER	07/18/2014	09/16/2016	3,250 00	3,364 76			
ENTERGY LA LLC PED 1ST MTG BD SER 5	06/19/2014	09/16/2016	65,000 00	66,846 26			
ENTERGY LA LLC PFD 1ST MTG BD SER 5	06/18/2014	09/16/2016	178,875 00	182,166 30			t
ENTERGY LA LLC PFD 1ST MTG BD	06/17/2014	09/16/2016	250,000 00	254,217 00			ι
DTE ENE	07/30/2014	11/02/2016	7,097 60	7,353 63		-	-256 03
Totals							

UW GLADYS BROOKS FOUNDATION

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

1792 DTE ENERGY CO PFD JR SUB DEB 6.500\$ 679. DTE ENERGY CO PFD JR SUB DEB 6.500\$ 113. DTE ENERGY CO PFD JR SUB DEB 6.500\$ 341. DTE ENERGY CO PFD JR SUB DEB 6.500\$ 2436. DTE ENERGY CO PFD JR SUB DEB 6.500\$ 662. DTE ENERGY CO PFD JR SUB DEB 6.500\$		3		Basis	Code(s)	Adjustment	Loss
DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6							
DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6	07/29/2014	4 11/02/2016	45,587 49	47,093 76			-1,506 27
DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6	07/31/2014	11/02/2016	17,273 38	17,809 56			-536 18
DTE ENERGY CO PPD JR SUB DEB 6 DTE ENERGY CO PPD JR SUB DEB 6 DTE ENERGY CO PPD JR SUB DEB 6	07/31/2014	11/03/2016	2,876 92	2,963 89			-86 97
DTE ENERGY CO PFD JR SUB DEB 6 DTE ENERGY CO PFD JR SUB DEB 6	07/21/2014	11/03/2016	8,681 67	8,927 38			-245 71
DTE ENERGY CO PFD JR SUB DEB 6	07/21/2014	11/04/2016	62,010 92	63,774.48			-1,763 56
	07/22/2014	11/04/2016	16,851 90	17,331 16			-479 26
64 DIE ENERGY CO PFD JR SUB DEB 6.500\$	07/23/2014	11/04/2016	1,629.19	1,675.52			-46.33
Ι.	07/24/2014	11/04/2016	29,401 73	30,237 90			-836 17
.1	07/25/2014	11/04/2016	1,272.80	1,309.00			-36 20
1	07/18/2014	11/04/2016	33,092 86	33,982.00			-889.14
	08/01/2014	11/04/2016	8,476.86	8,687.24			-210 38
	08/01/2014	11/04/2016	178 19	181 72			-3 53
417 DTE ENERGY CO PFD JR SUB DEB 6 500*	08/04/2014	11/04/2016	10,615 18	10,821.78			-206 60
334 LAMB WESTON HIDGS INC COM	04/16/2014	12/22/2016	11 65	7 10			4 55
TOTAL OFFICE TONG-TERM CADITAL CAINS (LOSSES)			6.989.070.76	5,021,867 71			1,967,203 05
TOTAL TOTAL TITLE CONTRACTOR OF THE CONTRACTOR O							
A STATE OF THE PROPERTY OF THE							
				•			
Totals			6,991,850 90	5,024,135 45			1,967,715 45

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FEDERAL CAPITAL GAIN DISTRIBUTIONS

164.33

TOTAL CAPITAL GAIN DISTRIBUTIONS (ROUNDED)

164.00

STATEMENT 12



Bank of America Private Wealth Management

# Portfolio Analysis

Dec 01, 2016 through Dec 31, 2016

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Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Trade Date

Portfolio Summary by Asset Class						
Description	Market Value	% of Account	% of Sector	Tax Cost	Estimated Annual Income	Current Yield
Cash/Currency Cash Equivalents	\$1,213,720.34	3.3%	100.0%	\$1,213,250.93	\$5,253.38	0 43%
Total Cash/Currency	\$1,213,720.34	3.3%	100.0%	\$1,213,250.93	\$5,253.38	0.43%
U.S. Large Cap	\$17,303,145.79	47.1%	75.8%	\$9,185,799.71	\$331,155.24	1.91%
U.S Mid Cap	2,589,805.30	7.0	11.3	1,384,953.86	64,494.75	2.49
U.S Small Cap	70,175.00	0.2	03	20,266 17	0.00	0.00
International Developed	2,873,668 53	7.8	12.6	1,795,066.43	73,156.61	2.54
Total Equíties Fixed Income	\$22,836,794.62	62.1%	100.0%	\$12,386,086.17	\$468,806.60	2.05%
Investment Grade Taxable	\$11,795,382.08	32 1%	%6'26	\$11,801,603 27	\$188,792.17	1 60%
International Developed Bonds	253,527 50	7.0	2.1	252,039.64	5,625 00	2.24
Total Fixed Income Tangible Assets	\$12,048,909.58	32.8%	100.0%	\$12,053,642.91	\$194,417.17	1.61%
Commodities	\$652,837.16	18%	100 0%	\$583,140.96	\$0.00	0.00%
Total Tangible Assets	\$652,837.16	1.8%	100.0%	\$583,140.96	\$0.00	0.00%
Total Assets	\$36,752,261.70	100.0%		\$26,236,120.97	\$668,477.15	1.82%
Total	\$36,752,261.70			\$26,236,120.97	\$668,477.15	



Bank of America Private Wealth Management

## Portfolio Detail

Account:	41-01-100-5837423	UW GLADY	UW GLADYS BROOKS FOUNDATION	JNDATION			Dec. 01, 2016 through Dec. 31, 2016	rough Dec. 31,	2016
Units	Description		CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gam/Loss	Estimated Cur Yld/ Annual Income YTM	Cur Yld/ YTM
Cash/Currency	A CONTRACTOR OF THE PARTY OF TH								
Cash Equivalents	S								
0.000	<b></b>	:MPORARY	992490460	\$0.00	\$0.03	\$0.00	\$0.00	\$0 00	%00 o
29,378 030		UT PORTFOLIO S	3160 <b>/A</b> /03	29,378 03	15.73	29,378 03 1.000	00:00	127 21	0.43
	(income investment)								
1,183,872 900	FIDELITY GOVERNMENT PORTFOLIO	JT PORTFOLIO	31607A703	1,183,872 90	453.65	1,183,872 90 1.000	00'0	5,126.17	0.43
	Total Cash Equivalents			\$1,213,250.93	\$469.41	\$1,213,250.93	\$0.00	\$5,253.38	0.43%
Total Cash/Currency	тсу			\$1,213,250.93	\$469.41	\$1,213,250.93	\$0.00	\$5,253.38	0.43%

Equitios .								
Consumer Discretionary	etionary							
9,000.000	9,000.000 LOWES COS INC Ticker: LOW	548661107	\$640,080 00 71.120	\$0.00	\$201,860.00 22.429	\$438,220 00	\$12,600 00	1 96%
7,000 000	YUM BRANDS INC Ticker: YUM	988498101	443,310.00 63.330	0.00	148,038.41 21 148	295,271.59	8,400 00	1 89
7,000.000	YUM CHINA HLDGS INC Ticker: YUMC	98850P109	182,840.00 26.120	0.00	63,899.19 9 128	118,940.81	00 0	0.00
	Total Consumer Discretionary		\$1,266,230.00	\$0.00	\$413,797.60	\$852,432.40	\$21,000.00	1.65%
Consumer Staples	S.							
5,300.000	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM Ticker, BUD	03524A108	\$558,832.00 105.440	\$0.00	\$302,858.43 57.143	\$255,973 57	\$16,938 58	3 03%
13,000.000	CONAGRA FOODS INC Ticker CAG	205887102	514,150.00 39 550	0.00	311,904.69 23.993	202,245.31	10,400 00	2 0 2

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Trade Date



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### **Portfolio Detail**

Dec. 01, 2016 through Dec 31, 2016

41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Account:

			:					:
Description		CUSIP	Market Value(1)/ Market Price	Accrúed Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Cur Yld/ Annual Income YTM	Cur Yld/ YTM
Consumer Staples (cont)								
9,000.000 KRAFT HEINZ CORP Ticker: KHC	CORP	500754106	785,880.00 87.320	0.00	415,345 50 46.150	370,534.50	21,600.00	2 74
KROGER CO Ticker: KR		501044101	638,435.00 34.510	0.00	601,756.20 32 527	36,678.80	8,880.00	1 39
LAMB WEST Ticker: LW	LAMB WESTON HLDGS INC Ticker: LW	513272104	164,004.05 37.850	0.00	91,848 31 21 197	72,155.74	3,249 75	1 98
LAUDER EST CL A Ticker: EL	LAUDER ESTEE COS INC CL A Ticker: EL	518439104	573,675.00 76.490	0.00	596,462.60 79.528	-22,787.60	10,200.00	177
MEAD JOHN Ticker: MJN	MEAD JOHNSON NUTRITION CO Ticker: MJN	582839106	459,940 00 70.760	2,681 25	303,525 30 46.696	156,414.70	10,725 00	2 33

Energy								
5,632.000	CHEVRON CORP Ticker: CVX	166764100	\$662,886 40 117 700	\$0.00	\$85,567.50 15 193	\$577,318.90	\$24,330 24	3 67%
6,514,000		30231G102	587,953.64 90.260	0.00	37,438.91 5.747	550,514.73	19,542.00	3 32
8,700 000	SCHLUMBERGER LTD CURACAO Ticker: SLB	806857108	730,365.00 83.950	4,350.00	522,631.50 60 073	207,733.50	17,400.00	2 38
	Total Energy		\$1,981,205.04	\$4,350.00	\$645,637.91	\$1,335,567.13	\$61,272.24 3.09%	3.09%
Financials 5,000 000	AMERIPRISE FINL INC	03076C106	\$554,700.00	00 0\$	\$189,931,00	\$364,769.00	\$15,000.00	2.70%

2.33%

\$104,568.33

\$1,687,684.02

\$2,791,957.03

\$8,325.00

287

22,575 00

616,469.00

168,256.00 22.434

5,643.75

784,725.00 104.630 \$4,479,641.05

713448108

**Total Consumer Staples** 

PEPSICO INC Ticker: PEP

7,500.000

217,363.62 37 986 685,420.38 35.699 0.0 110.940 902,784.00 47.020 054937107 Ticker, AMP BB&T CORP Ticker, BBT 19,200.000

Page 8 of 24

2.55

23,040.00

U.S. TRUST

Bank of America Private Wealth Management

## **Portfolio Detail**

2016
31,
Dec.
through
01, 2016
Dec.

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Units D. Equities (cont)	<b>Description</b>	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gam/Loss	Estimated Annual Income	Cur Yid/ YTM
Financials (cont)	BERKSHIRE HATHAWAY INC DEL	084670108	732,363.00	00 0	276,450,09 92,150,030	455,912.91	0.00	0.00
5,013.000	Ticker. BRK A CHUBB LTD SWITZERLAND	H1467J104	662,317 56 132 120	3,458.97	567,124 26 113.131	95,193 30	13,835 88	2 08
8,000.000	licker: CB PRICE T ROWE GROUP INC Ticker: TBOW	74144T108	602,080.00	0.00	377,527 05	224,552.95	17,280.00	2 87
26,500.000	SCHWAB CHARLES CORP NEW	808513105	73.200 1,045,955 00 39.470	0.00	750,392 80	295,562.20	7,420 00	0 70
16,000.000	US BANCORP DEL COM NEW Tober IISB	902973304	821,920.00 51.370	4,480.00	530,265.60	291,654.40	17,920 00	2.18
	Total Financials		\$5,322,119.56	\$7,938.97	\$3,377,111.18	\$1,945,008.38	\$94,495.88	1.77%
Health Care								
12,000.000	CERNER CORP	156782104	\$568,440.00 47.370	\$0.00	\$224,268.60 18 689	\$344,171.40	\$0.00	%00.0 0.00
9,000.000	DANAHER CORP DEL	235851102	700,560.00	1,125.00	296,024.88 32.892	404,535.12	4,500.00	0.64
6,200.000	GILEAD SCIENCES INC	375558103	443,982.00 71.610	0.00	156,317.50 25.213	287,664.50	11,656 00	2 62
8,600.000	NOVARTIS A G SPONSORED ADR SWITZERLAND	66987V109	626,424.00 72.840	0.00	478,795.54 55 674	147,628.46	19,805 80	3 16
5,000.000	Ticker: NVS NOVO-NORDISK A S ADR DENMARK	670100205	179,300.00 35.860	0.00	275,444.00 55 089	-96,144.00	5,152.35	2.87
20,000.000	Ticker NVO PFIZER INC Ticker: PFE	717081103	649,600.00 32.480	00 0	366,102.00 18.305	283,498.00	25,600.00	3 94

Trade Date



# **Portfolio Detail**

Dec 01, 2016 through Dec 31, 2016

Estimated Cur Yld/ Jal Income YTM Unrealized Tax Cost/ Accrued Market Value(1)/ distin

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Units Des S- <b>Equities (cont)</b>	Description (	CUSIP	Market Price	Іпсоте	Average Unit Cost	Gam/Loss	Annual Income	MTA
Health Care (cont) 5,200.000	<b>. Care (cont)</b> 5,200.000 ZIMMER BIOMET HLDGS INC Ticker: ZBH	98956P102	536,640.00 103.200	1,248.00	264,268.16 50 821	272,371 84	4,992.00	0.93
	Total Health Care		\$3,704,946.00	\$2,373.00	\$2,061,220.68	\$1,643,725.32	\$71,706.15	1.93%
Industrials								
9,500 000	FORTIVE CORP Ticker: FTV	34959J108	\$509,485.00 53.630	\$0.00	\$341,524.62 35.950	\$167,960.38	\$2,660.00	0 52%
000.000'9	ROCKWELL AUTOMATION INC Ticker: ROK	773903109	806,400.00 134.400	0.00	422,122.20 70.354	384,277 80	18,240 00	2 26
	Total Industrials		\$1,315,885.00	\$0.00	\$763,646.82	\$552,238.18	\$20,900.00	1.58%

Information Technology	nology							
7,200 000	7,200 000 ACCENTURE PLC CL A COM IRELAND Ticker: ACN	G1151C101	\$843,336.00 117.130	00 00	\$170,844 20 23.728	\$672,491.80	\$17,424.00	2.06%
8,000.000	ADOBE SYS INC Ticker: ADBE	00724F101	823,600.00 102.950	0.00	109,937 75 13.742	713,662.25	00 0	0.00
1,000 000	ALPHABET INC CL A COM Ticker GOOG L	02079K305	792,450 00 792.450	0.00	550,049.50 550.050	242,400.50	0.00	000
24,000 000	CISCO SYS INC Ticker: CSCO	17275R102	725,280.00 30 220	0.00	637,502.50 26.563	87,777.50	24,960 00 3 44	3 44
	Total Information Technology		\$3,184,666.00	\$0.00	\$1,468,333.95	\$1,716,332.05	\$42,384.00	1.33%
Materials								
5,000 000	AIR PRODS & CHEMS INC Ticker: APD	009158106	\$719,100 00 143.820	\$4,300.00	\$215,936.33 43.187	\$503,163.67	\$17,200 00	2 39%
2,500 000	VERSUM MATLS INC Ticker: VSM	92532W103	70,175.00 28.070	0.00	20,266.17 8 106	49,908.83	0.00	0.00
	Total Materials		\$789,275.00	\$4,300.00	\$236,202.50	\$553,072.50	\$17,200.00	2.17%

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Bank of America Private Wealth Management

## **Portfolio Detail**

Dec 01, 2016 through Dec. 31, 2016

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Cur Yld/ Annual Income YTM	Cur Yld/ YTM
duines (cour)								
felecommunication Services 18,000.000 AT&TINC Ticker: T	ommunication Services 18,000.000 AT&T INC Ticker: T	00206R102	\$765,540.00 42.530	\$0.00	\$628,178 50 34 899	\$137,361.50	\$35,280 00	4.60%
	Total Telecommunication Services		\$765,540.00	\$0.00	\$628,178.50	\$137,361.50	\$35,280.00	4.60%
Total Equities			\$22,809,507.65	\$27,286.97	\$12,386,086.17	\$10,423,421.48	\$468,806.60	2.05%

Fixed Income								
	e Taxable 2018 2018 JOHNSON & JOHNSON UNSECD SR N. DTD 12/05/13 1.650% DUE 12/05/18 Moody's AAA S&P AAA	478160BG8	\$201,100.00 100 550	\$238.33	\$201,989 43 100.995	-\$889.43	\$3,300 00	1.64%
250,000 000	VELLS FARGO & CO NEW WELLS FARGO & CO NEW UNSECD MEDIUM TERM SR NT DTD 04/22/14 2.125% DUE 04/22/19 MOCAV'S: A7 S&P. A	94974BFU9	250,810 00 100.324	1,018 22	252,525 69 101.010	-1,715.69	5,312 50	2 11 2 01
200,000.000	BERKSHIRE HATHAWAY INC DEL UNSECD SR NT DTD 08/14/14.2.10% DUE 08/14/19	084670BL1	201,800.00	1,598.33	203,569 50 101.785	-1,769 50	4,200.00	2.08 1.74
200,000 000	CHEVRON CORP NEW UNSECD NT DTD 11/18/14 2.193% DUE 11/15/19 Moody's. AA2 S&P: AA-	166764AN0	201,994.00 100.997	560.43	200,560.81 100.280	1,433.19	4,386 00	2 17 1 78
250,000.000	2021 INTERNATIONAL BUSINESS MACHS CORP SR UNSECD NT DTD 02/19/16 2.250% DUE 02/19/21 Moody's. AA3 S&P: AA-	459200JF9	249,695 00 99.878	2,062 50	255,032 66 102.013	-5,337.66	5,625 00	2.25 2.26

Trade Date



## **Portfolio Detail**

Account:	41-01-100-5837423	UW GLADYS BROOKS FOUND	OUNDATION		П	ec 01, 2016 th	Dec 01, 2016 through Dec. 31, 2016	2016
Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gam/Loss	Estimated Cur Yld/ Annual Income YTM	Cur Yld/ YTM
Fixed Income (	ne (cont)							

Units	Description	CUSIP	Market Price	реп (псоте	Average Unit Cost	Gain/Loss	Annual Income	VIV.
Fixed Income (cont)	(солі)							
Investment Grad	investment Grade Taxable (cont)							
		594918AQ7	243,612.50 97.445	678.82	254,471 74 101.789	-10,859.24	5,312.50	2.18 2.58
250,000.000	CHEVRON CORP NEW SR UNSECD NT CALL 09/05/22 @100 DTD 12/05/12 2355% DUE 12/05/22 Moody's. AA2 S&P: AA-	166 <b>7</b> 64AB6	245,340.00 98.136	425.21	251,316.54 100.527	-5,976.54	5,887 50	2 40 2 66
9,840.000	GENERAL ELEC CAP CORP PFD 4.875% Moody's, A1 S&P. AA-	369622428	244,424.60 24.590	0.00	250,977 06 25.249	-6,552.46	12,114.38	4.95
17,870 000	A2 RI	054937404	424,412.50 23.750	00 0	439,923.66 24.618	-15,511,16	25,129.69	5 92
381,639.770	CMG ULTRA SHORT TERM BOND FUND	19765E823	3,434,757.93 9.000	2,979.57	3,433,089.40 8.996	1,668.53	29,922.70	0 87
376,310.436	COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES	19765H362	3,748,051.94 9.960	3,624.91	3,701,876.78 9.837	46,175.16	33,460 58	68 0
17,480 000	SCHWAB CHARLES CORP NEW PRFD 6 0% SER C Moody's: BAA2 S&P: BBB	808513402	441,544.80 25.260	00 0	445,471 17 25.485	-3,926.37	26,220.00	5.93
23,816 000	VANGUARD SHORT TERM BOND ETF	921937827	1,892,181 20 79 450	2,471.29	1,910,798.83 80.232	-18,617.63	27,921 32	1 47
	Total Investment Grade Taxable		\$11,779,724.47	\$15,657.61	\$11,801,603.27	-\$21,878.80	\$188,792.17	1.60%
International Developed Bonds	reloped Bonds							

International Develor 250,000.000 - 21

961214CF8 WESTPAC BKG CORP UNSECD SR GLOBAL NT AUSTRALIA DTD 01/17/14 2.250% DUE 01/17/19 Moody's, AA2 S&P: AA- Page 12 of 24

2.24% 2.02

\$5,625 00

-\$1,074 64

\$252,039.64 100.816

\$2,562.50

\$250,965 00 100.386

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Bank of America Private Wealth Management

## **Portfolio Detail**

Dec 01, 2016 through Dec 31, 2016

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

		Market Value(1)/	Accrued	Tax Cost/	Unrealized	Estimated Cur Yld/	Cur Yld/
Units Description	CUSIP	Market Price	Income	Average Unit Cost	Gam/Loss	Annual Income	XTX
Fixed Income (cont)				· 一方のでは、 「一方のでは、 「これでは、 「これでは、 」では、 「これでは、 「これでは、 」では、 「これでは、 「これでは、 」では、 「これでは、 「これでは、 」では、 「これでは、 これでは、 「これでは、 」では、 」では、 「これでは、 」では、 「これでは、 」では、 「これでは、 」では、 「これでは、 」では、 」では、 」では、 」では、 「これでは、 」では、 」では、 」では、 」では、 」では、 」では、 」では、 」	The second of th		
International Developed Bonds (cont)							
Total International Developed Bonds		\$250,965.00	\$2,562.50	\$252,039.64	-\$1,074.64	\$5,625.00	2.24%
Total Fixed Income		\$12,030,689.47	\$18,220.11	\$12,053,642.91	-\$22,953.44	\$194,417.17	1.61%
Tangible Assets							
Commodities							
5,956.000 SPDR GOLD TR GOLD SHS	78463V107	\$652,837.16 109.610	\$0.00	\$583,140.96 97.908	\$69,696 20	\$0 00	%00 0
Total Commodities		\$652,837.16	\$0.00	\$583,140.96	\$69,696.20	\$0.00	0.00%
Total Tangible Assets		\$652,837.16	\$0.00	\$583,140.96	\$69,696.20	\$0.00	0.00%
Total Portfolio		\$36,706,285.21	\$45,976.49	\$26,236,120.97	\$10,470,164.24	\$668,477.15	1.82%
Accrued Income		\$45,976.49					
Total		\$36,752,261.70					

0 30% 200 CO 1503500

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### Trade Date

### **Activity Detail**

Dec 01, 2016 through Dec 31, 2016

Long-term	Realized Gam/Loss	
Short-term	Realized Gain/Loss	
	Tax Cost	
	Principal Cash	
	Income Cash	
,	Description	
1	Date	Incom

Account: 41-01-100-5837423 UW GLADYS BROOKS FOUNDATION

Date Incom	Date Description	Income Cash	Principal Cash	Tax Cost	Snort-term Realized Gam/Loss	Lo Realized G
Dividend 12/01/16	Dividends - Taxable 12/01/16 BB&T CORP DIV 3000 A SHARE ON 19.200.000	\$5,760.00				
12/01/16	BB&T CORP PFD DEP SHS REPSTG 1/1000TH PERP	6,282.42				
12/01/16	SER E 5 6.25% DIV 3515 A SHARE ON 17,870.000 CMG ULTRA SHORT TERM BOND FUND	2,789 99				
12/01/16	INCOME FOR MONTH ENDED 11/30/16 COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES	3,403.89				
12/01/16	INCOME FOR MONTH ENDED 11/30/16 FIDELITY GOVERNMENT PORTFOLIO INSTITUTIONAL CLASS INCOME FOR MONTH ENDED 11/30/16	21.51				
12/01/16	FIDELITY GOVERNMENT PORTFOLIO INSTITUTIONAL CLASS INCOME FOR MONTH FNDED 11/30/16	404.16				
12/01/16	KROGER CO DIV 1200 A SHARE ON 18,500 000	2,220.00				
12/01/16	PFIZER INC DIV.3000 A SHARE ON 20,000.000	6,000.00				
12/01/16	SCHWAB CHARLES CORP NEW PRFD 6 0% SER C DIV 2750 A SUABE ON 17 800 000	6,555.00				
12/07/16	VANGUARD SHORT TERM BOND	2,342.57				
12/08/16	DIV. 0983 A SHARE ON 23,816.000 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	6,059.01				
	DELGION DIV 1.7030 A SHARE ON 5,300.00 9,026 04 LESS FOREIGN TX 2,437 03 DEP FEE 530.00					

### GLADYS BROOKS FOUNDATION SUPPLEMENTARY INFORMATION FORM 990 PF PART XV- LINE 3A

<u>2016</u>

	FOUNDATION STATUS OF RECIPIENT	<u>PURPOSE</u>	AMOUNT
Good Neighbor Health Clinic, Inc. White River Junction, Vermont	Public Charity	Dental Equipment & Endowment for Dental Supplies	\$135,000
University of Notre Dame Du Lac Notre Dame, Indiana	Public Charity	General Purpose	\$25,000
Trustees of Boston University Boston, Massachusetts	Public Charity	Technology Equipment	\$97,500
The Viscardi Center, Inc. Albertson, New York	Public Charity	Van	\$30,000
Canısius College Buffalo, New York	Public Charity	Endowed Scholarship	\$125,000
St. Johnsbury Academy St. Johnsbury, Vermont	Public Charity	Endowed Scholarship	\$100,000
St. Peter's University Jersey City, New Jersey	Public Charity	Endowed Scholarship	\$125,000
Providence College Providence, Rhode Island	Public Charity	Endowment for History Lecture Series	\$125,000
American University of Berrut New York, New York	Public Charity	Communication Center	\$125,408
North Bennet Street School Boston, Massachusetts	Public Charity	Endowed Scholarship	\$115,000
Bergen Volunteer Medical Initiatives Hackensack, New Jersey	Public Charity	Technology Equipment	\$66,238
Blythedale Children's Hospital Valhalla, New York	Public Charity	Patient Equipment	\$12,702
New York Academy of Medicine New York, New York	Public Charity	Technology Equipment	\$25,000
Winthrop-University Hospital Assoc Mineola, New York	Public Charity	Chaplaincy Endowment	\$125,000
St. John Fisher College Rochester, New York	Public Charity	Furniture & Equipment For Media Arts Lab	\$99,255

### GLADYS BROOKS FOUNDATION SUPPLEMENTARY INFORMATION FORM 990 PF PART XV- LINE 3A

<u>2016</u>

<u> </u>	FOUNDATION STATUS OF RECIPIENT	<u>PURPOSE</u>	AMOUNT
Embry-Riddle Aeronautical University Daytona Beach, Florida	Public Charity	Technology Equipment & Furniture	\$66,012
Hartwick College Oneonta, New York	Public Charity	Endowed Scholarship for Nursing	\$100,000
Mother McAuley Liberal Arts High Sch Chicago, Illinois	Public Charity	Security System	\$50,000
Vero Beach Museum of Art, Inc. Vero Beach, Florida	Public Charity	Education	\$10,000
Long Island University Greenvale, New York	Public Charity	Technology Equipment	\$10,000
Villanova University Villanova, Pennsylvania	Public Charity	Endowment	\$25,000
The Thresholds Chicago. Illinois	Public Charity	Technology Equipment & Communication Center	\$25,000

\$1,617,115

### **Gladys Brooks Foundation (/)**

Phone 516-746-6103

### Home (/)

Founder (/Founder Html)

Annual Report (/Annual-Report Html)

Guidelines (/Guidelines Html)

Application (/Application Html)

Contact (/Contact Html)

### Guidelines for Grants from the Gladys Brooks Foundation

Please be sure to read all of the guidelines before requesting an application.

### **General Policies**

As a matter of policy, the Foundation will make grants only to publicly supported not-for-profit tax-exempt organizations within the meaning of the Internal Revenue Code.

Grant applications generally will be considered where

- (a) outside funding (including governmental) is not available;
- (b) the project will be largely funded by the grant unless the grant request covers a discrete component of a larger project and
- {c} the funds will be used for capital projects including equipment or endowments Applications for direct salary support will not be accepted.

Generally the Board will only entertain grant applications from Applicants located in the states of Connecticut, Delaware, Florida, Illinois, Indiana, Louisiana, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Tennessee and Vermont.

Grant applications will be considered only for major expenditures generally between \$50,000 00 and \$100,000 00 and greater or lesser amounts in certain circumstances.

All grant awards are made on the condition that the entirety of the funds advanced shall be utilized in direct furtherance of the project and that no portion thereof shall be appropriated by the Grantee as an administrative or processing fee, for overseeing the project or for its general overhead.

If the applicant receives a Second Round Grant Questionnaire, the Foundation As seriously considering applicant's application and will require that the following documents be provided, budget for 2016, when available, Internal Revenue Service exemption letter, latest Internal Revenue Service information return; an opinion of counsel with respect to your tax exemption, and your legal authority to accept the grant. This information is not required to be submitted until and if you receive a Second Round Grant Questionnaire Receiving a Second Round Grant Questionnaire does not guarantee approval and funding of the application

### **Scope of Grants Considered**

The Foundation will consider major grant applications for <u>innovative</u> projects in the fields of libraries, education, hospitals and clinics

### Grants for Libraries CT-DE-FLI-LIN-LA-ME-MD-MA-NH-NJ-NY-OH-PA-RI-TN-VT



Grant applications will be considered generally for resource Endowments (print, film, electronic database, speakers/workshops) capital construction and innovative equipment Projects fostering broader public access to global information sources utilizing collaborative efforts, pioneering technologies and equipment are encouraged



### Grants for Educational Institutions стое # เป็นเป็น พละ พอ พล พิทามา พา บาร



Grant applications from universities, colleges and secondary schools will be considered generally for

- (a) educational endowments to fund scholarships based solely on educational achievements, leadership and academic ability of the student,
- (b) endowments to support fellowships and teaching chairs for educators who confine their activities primarily to classroom instruction in the liberal arts, mathematics and the sciences during the academic year and
- (c) erection or endowment of buildings, wings or additions thereto of buildings, and equipment for educational purposes

### Grants for Hospitals & Clinics CTDEFLILINLAMEMDMANHINJAYOHPARITHINT



Grant applications from hospitals and clinics will be considered generally where the proposal demonstrates one or more of the following

- {a} a new health need,
- (b) an improvement in the quality of health care or
- (c) reduced health costs with better patient outcomes

Generally, no grant will be considered that is to support a laboratory research project Proposals for a continuing project must include a commitment from the applicant or a third party that the project will continue to receive all the necessary financial support

after the grant from the Foundation is expended.

### **Apply**

If any of the above guidelines apply to your organization, we invite you to apply for a grant (/application html)

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### Gladys Brooks Foundation Grant Application

Instructions Please read and follow these instructions carefully as incomplete applications or those not properly completed will not be considered for funding.

- 1 All information must be furnished on this 2-page application (which includes these instructions)
- 2 In addition to the application, provide the following supporting documents
  - a Latest financial statements, certified by your auditors, for the applicant organization
  - b. Specific budget for the project
  - c Annual report or brief description of your organization
- If the applicant supports another organization, for example, a hospital supported by a Foundation applicant, the documents required in Item 2 above must be furnished for <u>both</u> organizations. Furthermore, the supporting organization applicant must be a Type II, Type II, or functionally integrated Type III supporting organization. Foundation applicants must represent only a single institution
- 4 Only information requested should be forwarded at this time. No material will be returned
- 5. Print out and sign 2 copies of the completed application. Include one copy of all supporting documentation Mail to

The Gladys Brooks Foundation 1055 Franklin Avenue Suite 208 Garden City, NY 11530

6 Completed application and supporting documentation must be postmarked within 44 days after the request date. Application requested after April 17, 2016 must be postmarked by May 31, 2016

Inquiries about this application should be made to Mrs. Kathy Petry by e-mail (kathy@gladysbrooksfoundation.org) or by phone (516-746-6103).

It is the policy of the Foundation not to acknowledge receipt of the grant application or indicate the reason for the action of the Board Approved grants are processed and paid throughout the year Usually all Second Round Questionnaires are sent out by the end of September.

Name of Applicant:
Amount of Grant Request:

Purpose of Grant:

### Gladys Brooks Foundation Grant Application

	on's requirements as set forth in the Foundation's general ase include estimated number of people who will use the s, faculty, patients, etc.
If the grant covers a discrete component of a	larger project, give a brief description of the larger project.
Please state commencement and estimated of state the approximate date funds will be need	completion date of project for which grant is requested. Also eded.
Indicate source and amount of funds, pledge committed to this discrete project.	es and cash which you presently have available and formally
. NA/hat is the negroutage of your total depart	emontal funduciaing companyon to the amount of total
	tmental fundraising expenses to the amount of total fund raising amounted to \$400,000 and fund raising uld be 18%.
Information for individual acting on behalf	of organization:
Name Address	Telephone Number City, State, Zip
Signature	Title

Date