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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

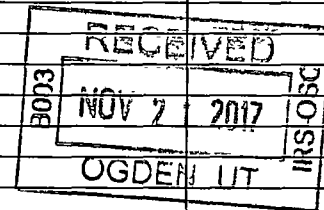
For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation ROBERT STERLING CLARK FOUNDATION, INC.		A Employer identification number 13-1957792
Number and street (or P O box number if mail is not delivered to street address) 135 EAST 64TH STREET		B Telephone number (see instructions) (212) 288-8900
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10065		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply		D 1 Foreign organizations, check here. <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 87,026,563.		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	669.	669.		ATCH 1
	4 Dividends and interest from securities	1,278,193.	1,660,220.		ATCH 2
	5a Gross rents	15,000.	15,000.		
	b Net rental income or (loss)	15,000.			
	6a Net gain or (loss) from sale of assets not on line 10	-314,946.			
	b Gross sales price for all assets on line 6a	22,684,125.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 3	443,346.	-141,543.		
	12 Total. Add lines 1 through 11	1,422,262.	1,534,346.		
	13 Compensation of officers, directors, trustees, etc.	363,167.	18,158.		345,009.
	14 Other employee salaries and wages	94,410.	4,720.		89,690.
	15 Pension plans, employee benefits	176,178.	8,809.		167,369.
	16a Legal fees (attach schedule) ATCH 4	3,020.			3,020.
	b Accounting fees (attach schedule) ATCH 5	69,623.	17,887.		41,736.
	c Other professional fees (attach schedule) [6]	396,235.	284,511.		111,724.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [7]	22,000.			
	19 Depreciation (attach schedule) and depletion	26,085.			
	20 Occupancy	87,127.	8,713.		78,414.
	21 Travel, conferences, and meetings	29,922.			29,922.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 8	100,975.	75,910.		95,481.
	24 Total operating and administrative expenses. Add lines 13 through 23.	1,368,742.	418,708.		962,365.
	25 Contributions, gifts, grants paid	2,293,750.			2,293,750.
	26 Total expenses and disbursements. Add lines 24 and 25	3,662,492.	418,708.		3,256,115.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,240,230.			
	b Net investment income (if negative, enter -0-)		1,115,638.		
	c Adjusted net income (if negative, enter -0-)				



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		1,512,830.	71,338.	71,338.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis	1,159,413.			
	Less accumulated depreciation (attach schedule)	678,595.	502,730.	480,818.	480,818.	
12	Investments - mortgage loans					
13	Investments - other (attach schedule)	ATCH 9	79,596,352.	77,322,325.	86,474,407.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		81,611,912.	77,874,481.	87,026,563.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		81,611,912.	77,874,481.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		81,611,912.	77,874,481.	
31	Total liabilities and net assets/fund balances (see instructions)		81,611,912.	77,874,481.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	81,611,912.
2	Enter amount from Part I, line 27a	2	-2,240,230.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	79,371,682.
5	Decreases not included in line 2 (itemize) ATCH 10	5	1,497,201.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	77,874,481.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col (h)))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-41,668.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	4,268,005.	84,963,294.	0.050234
2014	5,760,922.	95,036,029.	0.060618
2013	497,898.	94,593,249.	0.005264
2012	5,656,484.	84,609,388.	0.066854
2011	5,235,462.	82,523,165.	0.063442
2 Total of line 1, column (d)			0.246412
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			0.049282
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			83,373,400.
5 Multiply line 4 by line 3.			4,108,808.
6 Enter 1% of net investment income (1% of Part I, line 27b).			11,156.
7 Add lines 5 and 6.			4,119,964.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			3,256,115.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	22,313.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	22,313.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,313.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 48,039.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	48,039.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	25,726.
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 25,726. Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.RSCLARK.ORG</u>	13	X	
14	The books are in care of <u>PHILIP LI</u> Telephone no <u>212-288-8900</u> Located at <u>135 EAST 64TH STREET NEW YORK, NY</u> ZIP+4 <u>10065</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years <u></u>		Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** ☒ X

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒ X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☒ X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		363,167.	94,913.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		85,000.	34,983.	0.

Total number of other employees paid over \$50,000. ☐

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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Part IX-B Summary of Program-Related Investments (see instructions)Form **990-PF** (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	52,209,575.
b	Average of monthly cash balances	1b	702,485.
c	Fair market value of all other assets (see instructions).	1c	31,730,986.
d	Total (add lines 1a, b, and c)	1d	84,643,046.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	84,643,046.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,269,646.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	83,373,400.
6	Minimum investment return. Enter 5% of line 5	6	4,168,670.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	4,168,670.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	22,313.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	22,313.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,146,357.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,146,357.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,146,357.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,256,115.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,256,115.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,256,115.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				4,146,357.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20__ , 20__ , 20__				
3 Excess distributions carryover, if any, to 2016				
a From 2011	1,177,900.			
b From 2012	1,465,841.			
c From 2013				
d From 2014	1,036,814.			
e From 2015	46,178.			
f Total of lines 3a through e	3,726,733.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 3,256,115.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				3,256,115.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))	890,242.			890,242.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,836,491.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	287,658.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,548,833.			
10 Analysis of line 9				
a Excess from 2012	1,465,841.			
b Excess from 2013				
c Excess from 2014	1,036,814.			
d Excess from 2015	46,178.			
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 14

b The form in which applications should be submitted and information and materials they should include

APPLY ONLIN AT: WWW.RSCLARK.ORG/APPLY/

c Any submission deadlines:

THE FOUNDATION RECEIVES AND REVIEW PROPOSALS YEAR ROUND

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 14A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHEMENT 15				2,293,750.
Total			3a	2,293,750.
b Approved for future payment SEE ATTACHEMENT 16				482,500.
Total			3b	482,500.

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities			14	1,278,862.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property			16	15,000.		
6 Net rental income or (loss) from personal property. .						
7 Other investment income			01	-314,946.		
8 Gain or (loss) from sales of assets other than inventory			18	443,346.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory. . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)				1,422,262.		
13 Total. Add line 12, columns (b), (d), and (e)						1,422,262.

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
22684125.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 20922375.				P	1,761,750.	
		CAPITAL INTERNATIONAL PRIVATE EQ. FUND PROPERTY TYPE: OTHER				P	60,083.	
		THE COLCHESTER GLOBAL BOND FUND PROPERTY TYPE: OTHER				P	33,822.	
		AEW GLOBAL PROPERTY SECURITIES FUND PROPERTY TYPE: OTHER				P	-273,454.	
		POLUNIN DEVELOPING COUNTRIES FUND PROPERTY TYPE: OTHER				P	-7,372.	
		THE KILTEARN GLOBAL EQUITY FUND PROPERTY TYPE: OTHER				P	220,909.	
		THE WELLINGTON MANAGEMENT FUND PROPERTY TYPE: OTHER				P	239,290.	
		THE WELLINGTON MANAGEMENT FUND PROPERTY TYPE: OTHER				P	-2076696.	
TOTAL GAIN (LOSS)							<u>-41,668.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST	669.	669.
TOTAL	<u>669.</u>	<u>669.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND INTEREST FROM SECURITIES	1,278,193.	1,278,193.
INTEREST AND DIVIDENDS FROM PARTNERSHIPS		382,027.
TOTAL	<u>1,278,193.</u>	<u>1,660,220.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OTHER INCOME	34.	34.
INCOME (LOSS) FROM PARTNERSHIPS	443,312.	-141,577.
TOTALS	<u>443,346.</u>	<u>-141,543.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	3,020.			3,020.
TOTALS	<u>3,020.</u>			<u>3,020.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	69,623.	20,887.		48,736.
TOTALS	<u>69,623.</u>	<u>20,887.</u>		<u>48,736.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	284,511.	284,511.	
CONSULTANT FEES - PROGRAM	111,724.		111,724.
TOTALS	<u>396,235.</u>	<u>284,511.</u>	<u>111,724.</u>

ATTACHMENT 7FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAXES	22,000.
TOTALS	<u>22,000.</u>

ATTACHMENT 8FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE - OTHER	24,289.		24,289.
OFFICE	19,152.	4,788.	14,364.
TELEPHONE	2,825.	706.	2,119.
REAL ESTATE TAXES	5,719.		5,719.
SUPPLIES	4,404.		4,404.
FEES	1,500.		1,500.
MISCELLANEOUS	43,086.		43,086.
PARTNERSHIP EXPENSES		70,416.	
TOTALS	<u>100,975.</u>	<u>75,910.</u>	<u>95,481.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CIPEF V, LP - SEE ATTACH 9A	1,862,669.	739,080.
PRIME BUCHHOLZ-SEE ATTACH 9A	75,459,656.	85,735,327.
TOTALS	<u>77,322,325.</u>	<u>86,474,407.</u>

ROBERT STERLING CLARK FOUNDATION, INC.

**Supplementary Schedules
Summary of Investments
For the Year Ended December 31, 2016
EIN 13-1957792**

Schedule I

<u>Description</u>	<u>Schedule</u>	<u>Cost</u>	<u>Fair Value</u>
Total investments - Prime Buchholz	II	\$ 75,459,656	\$ 85,735,327
Investment in CIPEF V, L.P.	III	<u>1,862,669</u>	<u>739,080</u>
Total at December 31, 2016		<u>\$ 77,322,325</u>	<u>\$ 86,474,407</u>

Schedule II

<u>Description</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
Archipelago Holdings Ltd.	303,136	\$ 6,500,000	\$ 8,363,530
Davidson Kempter, L-T Distressed Opportunities Intl III, LP	1	909,491	968,222
Davidson Kempter, L-T(AIV) Distressed Opportunities Intl III, LP	1	199,370	206,053
Forestor Diversified, Ltd.	8,057	8,056,558	8,188,043
Eaton Vance Tax Managed Emerging Market Fund	76,343	3,660,595	3,198,009
Dodge & Cox Global Stock Fund	360,862	4,119,657	4,297,876
Causeway Global Value Fund	381,941	4,056,255	4,155,520
iShares S&P Natural Resources Sector ETF	86,675	3,402,122	3,115,966
AEW Global Property Securities Fund	1	2,660,251	2,660,114
Vanguard Total Stock Market Index Fund	385,231	11,974,872	21,607,599
Vanguard Total International Stock Index Fund	127,503	12,754,248	12,559,046
Vanguard Fixed Income Inter-term Treasuries Fund	361,843	4,101,013	4,012,837
Vanguard Fixed Income Inflation Protected Fund	103,748	2,788,620	2,643,488
The Colchester Global Bond Fund	122,298	4,519,295	3,844,065
Polunin Developing Countries Fund	1	1,490,923	1,500,116
The Kiltarn Global Equity Fund	256,189	<u>4,266,386</u>	<u>4,414,843</u>
Total		<u>\$ 75,459,656</u>	<u>\$ 85,735,327</u>

Schedule III

<u>Description</u>	<u>Cost</u>	<u>Fair Value</u>
Capital International Private Equity Fund V, L.P.	<u>\$ 1,862,669</u>	<u>\$ 739,080</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

PRIOR YEAR REDUCTION OF COST BASIS
OF INVESTMENT IN LIMITED PARTNERSHIPS

1,497,201.

TOTAL

1,497,201.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JAMES ALLEN SMITH 135 EAST 64TH STREET NEW YORK, NY 10065	CHAIRMAN 1.00	0.	0.	0.
JULIE C. MURACO 135 EAST 64TH STREET NEW YORK, NY 10065	TREASURER 1.00	0.	0.	0.
PAUL R. DOLAN 135 EAST 64TH STREET NEW YORK, NY 10065	SECRETARY 1.00	0.	0.	0.
VINCENT MCGEE 135 EAST 64TH STREET NEW YORK, NY 10065	DIRECTOR 1.00	0.	0.	0.
JOHN HOYT STOOKEY 135 EAST 64TH STREET NEW YORK, NY 10065	DIRECTOR 1.00	0.	0.	0.
CLARA MILLER 135 EAST 64TH STREET NEW YORK, NY 10065	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PHILIP LI 135 EAST 64TH STREET NEW YORK, NY 10065	PRESIDENT & CEO 55.00	229,167.	60,678.	0.
LAURA WOLF 135 EAST 64TH STREET NEW YORK, NY 10065	FORMER EXECUTIVE DIRECTOR	134,000.	34,235.	0.
	GRAND TOTALS	<u>363,167.</u>	<u>94,913.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
ALICIA SYLVIA 135 EAST 64TH STREET NEW YORK, NY 10065	EXEC. ADMINISTRATOR 40.00	85,000.	34,983. 0.
TOTAL COMPENSATION		<u>85,000.</u>	<u>34,983. 0.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
PRIME, BUCHHOLZ & ASSOCIATES, INC. 273 CORPORATE DRIVE PORTSMOUTH, NH 03801	INVESTMENT ADVISOR	100,351.
TOTAL COMPENSATION		<u>100,351.</u>

ATTACHMENT 14FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PHILIP LI
135 EAST 64TH STREET
NEW YORK, NY 10065
212-288-8900

Robert Sterling Clark Foundation

Apply Online
<https://www.rsclark.org/apply/>

Grants

Please submit a recent grant application that represents your organization well, and reflects our funding interests. Feel free to share one that you've used to apply to another funder.

As part of the submission we ask for your IRS determination letter and your most recent audited financial statements.

Our approach reflects our desire for your organization to keep the focus on your work, serving constituents and the community—instead of spending an inordinate amount of time creating grant applications. We consider it our responsibility to learn more about your organization and the possible alignment of our interests. This approach is rooted in a philosophy of trust-based philanthropy.

Guidelines

Please note that the guidelines below apply to our grants for organizations.

The Robert Sterling Clark Foundation is interested in funding leadership development programs that:

- Are focused on social change leaders in NYC
- Include leaders from multiple organizations
- Program should be cohort based
- Meet regularly over a sustained period (at least six months)
- Have been operating for at least a year

After an initial one-year grant we make multi-year grants, which provide general operating support in addition to a professional development award

Please note that we do not presently fund leadership development programs that focus on youth leaders, skills development, or social entrepreneurship. To learn more about our approach to leadership development, and the types of organizations to which we make grants, please visit our Grants page.

We have recently updated our grantmaking strategy. As a result, our focus areas have changed. Please note that we are no longer accepting applications that focus on promoting international arts engagement, protecting reproductive rights, and improving the performance of public institutions in New York.

Recipient (Name & Address) Paid During Fiscal Year	Address			Relationship to Foundation		Foundation Status of Recipient	Amount
Advocacy Institute (Center for Popular Democracy)	449 Troutman St Ste A	Brooklyn	NY	11237	NR	NC	\$40,000
American Civil Liberties Union Foundation		Washington	DC	20036	NR	Expenditure Responsibility	\$120,000
Asian American Federation	120 Wall Street, 9th Floor	New York	NY	10005	NR	PC	\$10,000
Association for Neighborhood and Housing Development	50 Broad Street, Suite 1402	New York	NY	10004	NR	PC	\$10,000
Brandworkers	45-02 23rd Street	Long Island City	NY	11101	NR	PC	\$10,000
Bronx Museum of the Arts	1040 Grand Concourse	Bronx	NY	10456	NR	PC	\$65,000
Building Movement Project (Third Sector New England)	220 Fifth Avenue, 2nd Floor	New York	NY	10001	NR	NC	\$20,000
Care for the Homeless	30 East 33rd Street, 5th Floor	New York	NY	10016	NR	Expenditure Responsibility	\$10,000
Center for New York City Affairs (The New School)	55 W 13th St	New York	NY	10011	NR	NC	\$50,000
Children's Museum of Manhattan	212 W 83rd St	New York	NY	10024	NR	Expenditure Responsibility	\$50,000
Children's Rights	88 Pine Street, Suite 800	New York	NY	10005	NR	PC	\$50,000
City Year	20 West 22nd Street, 3rd Floor	New York	NY	10010	NR	PC	\$10,000
Community Resource Exchange	42 Broadway, 20th Floor	New York	NY	10004	NR	PC	\$82,500
Coro New York Leadership Center	42 Broadway, Suite 1827	New York	NY	10004	NR	PC	\$82,500
Coro New York Leadership Center	42 Broadway, Suite 1827	New York	NY	10004	NR	PC	\$82,500
Degrees of Change	PO Box 1573	Tacoma	WA	98401	NR	PC	\$10,000
Design Trust For Public Space	40 Worth Street, Suite 603	New York	NY	10013	NR	PC	\$40,000
EMC Arts	132 West 121st Street, #3	New York	NY	10027	NR	PC	\$40,000
Foundation Center	32 Old Slip, 24 Floor	New York	NY	10005	NR	PC	\$10,000
Fund the People (Community Partners)	1000 North Alameda Street	Beacon	NY	90012	NR	NC	\$55,000
Grantmakers for Effective Organizations	1725 DeSales Street, NW, Suite 404	Washington	DC	20036	NR	Expenditure Responsibility	\$55,000
Hudson Guild	441 West 26th Street	New York	NY	10001	NR	PC	\$10,000
Human Services Council of New York	130 East 59th Street	New York	NY	10022	NR	PC	\$10,000
Just Leadership USA	1900 Lexington Avenue	New York	NY	10035	NR	PC	\$82,500
Korean American Community Foundation	135 East 64 Street	New York	NY	10065	NR	PC	\$25,000
Leadership Learning Community (Community Initiatives)	354 Pine Street, Suite 700	San Francisco	CA	94104	NR	NC	\$55,000
Leaderspring	655 13th Street, Suite 100	Oakland	CA	94612	NR	Expenditure Responsibility	\$40,000
Make the Road New York	301 Grove S	Brooklyn	NY	11237	NR	PC	\$100,000
Management Assistance Group	1155 F Street NW, Suite 1050	Washington	DC	20004	NR	PC	\$55,000
National Abortion Federation	1660 L Street, NW, Suite 450	Washington	DC	20036	NR	PC	\$65,000
National Juvenile Justice Network	1424 K St NW, Suite 403	New York	NY	20005	NR	PC	\$40,000
National Latina Institute for Reproductive Health	50 Broad St Ste 1937	New York	NY	10004	NR	PC	\$100,000
Natural Resources Defense Council	40 West 20th Street, 11th Floor	New York	NY	10011	NR	PC	\$50,000
New York Immigration Coalition	131 West 33rd Street, Suite 610	New York	NY	10001	NR	PC	\$10,000
New York Immigration Coalition	131 West 33rd Street, Suite 610	New York	NY	10001	NR	PC	\$55,000
Nonprofit Coordinating Committee	135 W 36th St Fl 15	New York	NY	10018	NR	PC	\$1,500
Northwest Bronx Community & Clergy Coalition	103 East 196th Street	Bronx	NY	10468	NR	PC	\$10,000
Nursing Students for Choice	2356 University Ave W #244	St Paul	MN	55114	NR	PC	\$40,000
Partnership For After School Education	120 Broadway, Suite 230	New York	NY	10271	NR	PC	\$55,000
Philanthropy New York	1500 Broadway, 7th Floor	New York	NY	10036	NR	PC	\$40,000
Philanthropy New York	1500 Broadway, 7th Floor	New York	NY	10036	NR	PC	\$7,250
Philanthropy New York	1500 Broadway, 7th Floor	New York	NY	10036	NR	PC	\$7,500
Phipps Neighborhoods	902 Broadway, 13th Floor	New York	NY	10010	NR	PC	\$10,000
ProPublica	155 Avenue of the Americas, 13th Floor	New York	NY	10013	NR	PC	\$32,500
Ramier Valley Corps	3715 S Hudson Street, Unit 102	Seattle	WA	981182	NR	PC	\$40,000
Real Food (Third Sector New England)	345 St Johns Place, Apt L	Brooklyn	NY	11238	NR	NC	\$10,000
Red Hook Initiative	767 Hicks Street	Brooklyn	NY	11231	NR	Expenditure Responsibility	\$10,000

Recipient (Name & Address) Paid During Fiscal Year	Address	Relationship to Foundation	Foundation Status of Recipient	Amount
Coro New York Leadership Center	42 Broadway, Suite 1827 1212 New York Avenue, NW, Suite 300	NY	PC	\$25,000
Council for a Strong America	Washington	DC	PC	\$35,000
Native Americans in Philanthropy	Minneapolis	MN	PC	\$25,000
New American Leaders Project	New York	NY	PC	\$40,000
North Star Fund	New York	NY	PC	\$82,500
Rockwood Leadership Institute	Oakland	CA	PC	\$25,000
Social Transformation Project	Oakland	CA	PC	\$100,000
PEN American Center	New York	NY	PC	\$150,000
				\$482,500

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Advocacy Institute
449 Troutman Street
Brooklyn, NY 11237

Center for Popular Democracy, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 11/04/2015 - \$80,000 (\$40,000 - 2015 and \$40,000 - 2016).

(c) Purpose of program related investment (grant) – to expand advocacy, training and support services to New York City's social justice organizations.

(d) Amount expended by grantee - \$80,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – interim report received on November, 2016 and final report due October, 2017.

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Building Movement Project
220 Fifth Avenue, Second Floor
New York, NY 10001

Third Sector New England, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 07/21/2016
- \$20,000

(c) Purpose of program related investment (grant) – to support the Leadership Funders gathering.

(d) Amount expended by grantee - \$20,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – a summary of the work is due December 31, 2016.

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Center for New York City Affairs
55 W 13th St
New York, NY 10011

The New School, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 5/13/2015 - \$100,000 (\$50,000 – 2015 and \$50,000 – 2016).

(c) Purpose of program related investment (grant) – to support research, policy analysis, publication of reports, a public forum and additional education activities, examining factors that encourage and impede economic integration in New York City schools and promote effective strategies.

(d) Amount expended by grantee - \$100,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – interim report received on April 29, 2016 and final report due June, 2017.

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Talent Philanthropy
1000 North Alameda Street
Los Angeles, CA 90012

Community Partners, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 10/27/2016
- \$55,000.

(c) Purpose of program related investment (grant) – for general operating support,
professional development, and ICAT capacity assessment tool.

(d) Amount expended by grantee - \$55,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to
the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – No

(g) The date and results of any verification of the grantee's reports pursuant to and to the
extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received,
therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Leadership Learning Community
354 Pine Street, Suite 700
San Francisco, CA 94104

Community Initiatives, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 10/27/2016
- \$55,000

(c) Purpose of program related investment (grant) – for general operating support, professional development, and ICAT capacity assessment tool.

(d) Amount expended by grantee - \$55,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – No

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

LeaderSpring
655-13th Street, Suite 100
Oakland, CA 94612

Tides Center, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 10/27/2016
- \$40,000

(c) Purpose of program related investment (grant) – for general operating support,
professional development, and ICAT capacity assessment tool.

(d) Amount expended by grantee - \$40,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to
the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – Professional Development Award –
02/17/17.

(g) The date and results of any verification of the grantee's reports pursuant to and to the
extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received,
therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

New American Leaders Project
570 Lexington Avenue, 5th floor
New York, NY 10022

Fund for the City of New York, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 12/16/2016
- \$40,000

(c) Purpose of program related investment (grant) – for general operating support, professional development, and ICAT capacity assessment tool.

(d) Amount expended by grantee - \$40,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – Goals Form – 01/13/17.

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Real Food Challenge
345 St. Johns Place, Apt. L
Brooklyn, NY 11238

Third Sector New England, IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 07/21/2016
- \$10,000

(c) Purpose of program related investment (grant) – Participation on RSCF Advisory Council.

(d) Amount expended by grantee - \$10,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – No

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Social Transformation Project
101 Broadway, Suite 304
Oakland, CA 94607

NEO Philanthropy, Inc., IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 12/16/2016
- \$100,000

(c) Purpose of program related investment (grant) – rapid response grant post-election for
general operating support.

(d) Amount expended by grantee - \$100,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to
the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – No

(g) The date and results of any verification of the grantee's reports pursuant to and to the
extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received,
therefore no independent verification is required to be undertaken.

ROBERT STERLING CLARK FOUNDATION

13-1957792

Year Ended December 31, 2016

STATEMENT OF EXPENDITURE RESPONSIBILITY

(a) Name and address of grantee –

Social Transformation Project
101 Broadway, Suite 304
Oakland, CA 94607

NEO Philanthropy, Inc., IRC Section 501(c)(3) organization, is acting as fiscal intermediary.

(b) Date and amount of program related investment (grant) – Board approved on 10/27/2016
- \$40,000

(c) Purpose of program related investment (grant) – for general operating support, professional development, and ICAT capacity assessment tool.

(d) Amount expended by grantee - \$40,000

(e) Whether grantee has diverted any portion of the funds from the purpose of the grant, to the knowledge of the Foundation – No

(f) Dates of any reports received from the grantee – No

(g) The date and results of any verification of the grantee's reports pursuant to and to the extent required by Regulation § 53.4945-5(c)(1) –

The Foundation had no reason to doubt the accuracy or reliability of the reports received, therefore no independent verification is required to be undertaken.