

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE CHRISTOPHER D SMITHERS FOUNDATION INC		A Employer identification number 13-1861928	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 67		Room/suite	B Telephone number (see instructions) (516) 676-0067
City or town, state or province, country, and ZIP or foreign postal code MILL NECK, NY 117650067		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 7,295,502		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,000			
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	103	103		
	4 Dividends and interest from securities	44,723	44,723		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-69,262			
	b Gross sales price for all assets on line 6a	1,422,683			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	127,967	127,865		
	12 Total. Add lines 1 through 11	105,531	172,691		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	101,610			101,610
	15 Pension plans, employee benefits	8,148			8,148
	16a Legal fees (attach schedule)	67,817			67,817
	b Accounting fees (attach schedule)	40,300	8,060		32,240
	c Other professional fees (attach schedule)				
	17 Interest	3,216			3,216
	18 Taxes (attach schedule) (see instructions)	1,731			275
	19 Depreciation (attach schedule) and depletion	34,160			
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	156,145	19,077		137,068
	24 Total operating and administrative expenses. Add lines 13 through 23	413,127	27,137		350,374
	25 Contributions, gifts, grants paid	307,850			307,850
	26 Total expenses and disbursements. Add lines 24 and 25	720,977	27,137		658,224
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-615,446			
	b Net investment income (if negative, enter -0-)		145,554		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing . . . . .	9,636	8,683	8,683		
	2	Savings and temporary cash investments . . . . .	93,903	78,869	78,869		
	3	Accounts receivable ▶ <u>467,612</u>					
		Less allowance for doubtful accounts ▶ _____	77,521	467,612	467,612		
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .	3,598	14,642	14,642		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .	1,581,201	908,949	915,849		
	c	Investments—corporate bonds (attach schedule) . . . . .	668,762	511,249	505,192		
	Liabilities	11	Investments—land, buildings, and equipment basis ▶ _____				
		Less accumulated depreciation (attach schedule) ▶ _____					
12		Investments—mortgage loans . . . . .					
13		Investments—other (attach schedule) . . . . .	566,611	437,066	936,008		
14		Land, buildings, and equipment basis ▶ <u>2,138,266</u>					
		Less accumulated depreciation (attach schedule) ▶ <u>587,607</u>	1,566,807	1,550,659	4,158,476		
15		Other assets (describe ▶ _____)	833,608	620,445	210,171		
16		<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	5,401,647	4,598,174	7,295,502		
17		Accounts payable and accrued expenses . . . . .	3,143	3,595			
18		Grants payable . . . . .					
Net Assets or Fund Balances	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ _____)	85,827	48,172			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	88,970	51,767			
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24	Unrestricted . . . . .	7,445,010	7,033,564			
25	Temporarily restricted . . . . .	314,893	210,171				
26	Permanently restricted . . . . .						
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>						
27	Capital stock, trust principal, or current funds . . . . .						
28	Paid-in or capital surplus, or land, bldg , and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	7,759,903	7,243,735				
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	7,848,873	7,295,502				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	7,759,903
2	Enter amount from Part I, line 27a . . . . .	2	-615,446
3	Other increases not included in line 2 (itemize) ▶ _____	3	112,876
4	Add lines 1, 2, and 3 . . . . .	4	7,257,333
5	Decreases not included in line 2 (itemize) ▶ _____	5	13,598
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	7,243,735

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> JP MORGAN SECURITIES	P	2016-01-01	2016-12-31
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,418,488		1,491,945	-73,457
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			-73,457
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <div style="float: right; font-size: small;">           { If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	-69,262
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	456,837	8,146,330	0 056079
2014	362,665	8,239,280	0 044017
2013	305,648	8,281,783	0 036906
2012	262,378	8,154,219	0 032177
2011	351,341	11,312,830	0 031057

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 200236
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 040047
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	7,674,312
<b>5</b> Multiply line 4 by line 3	<b>5</b>	307,333
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,456
<b>7</b> Add lines 5 and 6	<b>7</b>	308,789
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	658,224

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,456
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	1,456
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,456
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	16,098
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	16,098
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	14,642
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>▶</b> 14,642 <b>Refunded</b> <b>▶</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>▶</b> \$ _____ (2) On foundation managers <b>▶</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> NY _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.SMITHERSFOUNDATION.ORG	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► NIKKI SMITHERS TREASURER Telephone no ► (516) 676-0067			

Located at ► PO BOX 67 MILL NECK NY ZIP+4 ► 117650067

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	<b>16</b>	<b>Yes</b>	<b>No</b>
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		<b>1c</b>	
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).		<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b> During the year did the foundation pay or incur any amount to <b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> Organizations relying on a current notice regarding disaster assistance check here. . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>5b</b>		
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span> <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <i>If "Yes" to 6b, file Form 8870</i>	<b>6b</b>		<b>No</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000. <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
<b>(a)</b> Name and address of each person paid more than \$50,000	<b>(b)</b> Type of service	<b>(c)</b> Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		▶

<b>Part IX-A Summary of Direct Charitable Activities</b>	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

<b>Part IX-B Summary of Program-Related Investments</b> (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . .	▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	2,859,285
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	79,543
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	4,852,352
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	7,791,180
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	7,791,180
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	116,868
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	7,674,312
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	383,716

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	383,716
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	1,456
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,456
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	382,260
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	382,260
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	382,260

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	658,224
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	658,224
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	1,456
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	656,768

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				382,260
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . . 61,536				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	61,536			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 658,224				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				382,260
<b>e</b> Remaining amount distributed out of corpus	275,964			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	337,500			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	337,500			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . . 61,536				
<b>e</b> Excess from 2016. . . . . 275,964				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . .					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE CHRISTOPHER D SMITHERS FNDN INC  
PO BOX 67  
MILL NECK, NY 117650067  
(516) 676-0067  
INFO@SMITHERSFUNDATION.ORG

**b** The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST FULLY DESCRIBING PROJECT TO BE FUNDED

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS ARE MADE TO INDIVIDUALS

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	307,850
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

[illegible]

## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | No |
| 1a(2) |     | No |
| 1b(1) |     | No |
| 1b(2) |     | No |
| 1b(3) |     | No |
| 1b(4) |     | No |
| 1b(5) |     | No |
| 1b(6) |     | No |
| 1c    |     | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below  
(see instr )? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  JOHN F DENNEHY JR CPA	Preparer's Signature	Date  2017-08-15	Check if self-employed <input checked="" type="checkbox"/>	PTIN  P01273446
Firm's name ▶ JOHN F DENNEHY JR CPA PC				Firm's EIN ▶ 45-4315129
Firm's address ▶ 28 N COUNTRY RD SUITE 204  MT SINAI, NY 11766				Phone no (631) 928-5406

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MR CHRISTOPHER B SMITHERS PO BOX 67 MILL NECK, NY 11765	PRESIDENT 000 00	0	0	0
MS M ELIZABETH BROTHERS 1620 MAYFLOWER COURT APT B-615 WINTER PARK, FL 327925809	V PRESIDENT 000 00	0	0	0
MRS NIKKI SMITHERS 6 FROST MILL ROAD MILL NECK, NY 11765	TREASURER 000 00	0	0	0
MS STACIA MURPHY 292 WEST 137TH STREET NEW YORK, NY 10030	SECRETARY 000 00	0	0	0
DR NICHOLAS PACE 21 DUSENBERRY ROAD BRONXVILLE, NY 10708	DIRECTOR 000 00	0	0	0
SAMUEL B BACHARACH 16 EAST 34TH STREET 4TH FLOOR NEW YORK, NY 10016	DIRECTOR 000 00	0	0	0
ADELE C SMITHERS PO BOX 67 MILL NECK, NY 11765	CHAIRMAN 000 00	0	0	0

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
58TH PRESIDENTAL INAUGURAL COMM 228 S WASHINGTON ST SUITE 115 ALEXANDRIA, VA 22314		PUBLIC	EDUCATION & COMMUNITY	100,000
ADELPHI UNIVERSITY DEPT OF ATHLETICS GARDEN CITY, NY 11530		PUBLIC	EDUCATION & COMMUNITY	5,000
AMERICAN SOCIETY OF ADDICTION MED 4601 N PARK AVE CHEVY CHASE, MD 20815		PUBLIC	RESEARCH & PREVENTION	5,000
COLUMBIA UNIVERSITY 1051 RIVERSIDE DR NEW YORK, NY 10032		PUBLIC	RESEARCH & EDUCATION	10,500
DARE AMERICA PO BOX 512090 LOS ANGELES, CA 90051		PUBLIC	EDUCATION & PREVENTION	10,000
<b>Total . . . . .</b> ► <b>3a</b>				307,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
EPRA 261 W 35TH ST 900 NEW YORK, NY 10001		PUBLIC	TREATMENT & RECOVERY	10,000
LICADD 207 HILLSIDE AVE WILLISTON PARK, NY 11596		PUBLIC	ADDICTION REFERRAL SERVICES	100,000
LOCUST VALLEY FIRE DEPT 228 BUCKRAM RD LOCUST VALLEY, NY 11560		PUBLIC	COMMUNITY	100
NATL INST FOR SOCIAL SCIENCES PO BOX 405 NEW YORK, NY 10163		PUBLIC	EDUCATION & COMMUNITY	2,000
NCADD WESTCHESTER 5 WALLER AVE STE 105 WHITE PLAINS, NY 10601		PUBLIC	EDUCATION & PREVENTION	2,500
<b>Total . . . . .</b> ▶ <b>3a</b>				307,850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
OAKS CHRISTIAN SCHOOL 31749 LA TIENDA DR WESTLAKE VILLAGE, CA 91362		PUBLIC	EDUCATION & PREVENTION	28,500
OCCAM OBSERVATORY 131 E 66TH STREET NEW YORK, NY 10065		PUBLIC	CULTURAL EDUCATION	34,000
TALBERT FAMILY FOUNDATION 128 20TH STREET MANHATTAN BEACH, CA 90266		PUBLIC	COMMUNITY	250
<b>Total . . . . . ▶</b> <b>3a</b>				307,850

**TY 2016 Accounting Fees Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING SERVICES	40,300	8,060		32,240

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

# **TY 2016 Depreciation Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
 FOUNDATION INC  
**EIN:** 13-1861928

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
			42,336,616,448						
BUST RB SMITHERS	1993-12-31	20,000							
LAND	1994-01-01	775,680							
BUILDING	1994-01-11	775,800	430,668	S/L	39 0000	19,892			
DRIVEWAY	1994-10-01	15,900	8,768	S/L	39 0000	408			
ROOF	1996-05-31	66,750	65,490	150DB	20 0000	1,260			
REGLAZING	1996-12-01	5,525	2,700	S/L	39 0000	141			
PLUMBING	1998-07-01	1,430	642	S/L	39 0000	36			
CARPENTRY	1998-07-01	4,100	1,835	S/L	39 0000	105			
WATERPROOFING	1998-07-01	8,975	4,017	S/L	39 0000	230			
EXECUTIVE DESK	2004-09-21	4,290	4,290	200DB	7 0000				
CARPETING	2004-09-22	1,160	1,160	200DB	7 0000				
TELEPHONE SYSTEM	2004-10-05	4,669	4,669	200DB	7 0000				
IMPROVEMENTS	2004-07-01	3,920	1,152	S/L	39 0000	100			
FURNISHINGS	2005-07-20	685	685	200DB	7 0000				
ELECTRICAL IMPROVEMENTS	2005-03-28	520	144	S/L	39 0000	13			
ROOF	2005-06-27	750	203	S/L	39 0000	19			
ROOF	2005-08-16	1,125	299	S/L	39 0000	29			
THERMOSTAT CONTROLS	2006-01-04	821	821	200DB	10 0000				
ROOFING & WATERPROOFING	2006-01-31	750	745	200DB	10 0000	5			

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LIGHTING FIXTURE	2006-02-28	85	85	200DB	7 0000				
ALARM SYSTEM	2003-02-01	16,800	16,800	S/L	10 0000				
DRAINAGE & DRIVEWAY	2003-05-15	8,989	2,920	S/L	39 0000	230			
ROOF	2003-08-20	3,900	3,900	S/L	10 0000				
ROOFING & WATERPROOFING	2009-12-30	575	173	S/L	20 0000	28			
COMPUTER SYSTEM	2014-04-08	1,748	612	S/L	5 0000	349			
SECURITY SYSTEM	2014-12-04	1,075	78	S/L	15 0000	71			
FIXTURES	2015-09-04	3,189	152	S/L	7 0000	455			
BUILDING RENOVATION	2015-12-16	411,043	439	S/L	39 0000	10,540			
FIXTURES	2016-04-23	2,082		S/L	7 0000	198			
BUILDING IMPROVEMENTS	2016-11-09	15,930		S/L	39 0000	51			

**TY 2016 Investments Corporate Bonds Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JP MORGAN FIXED INCOME SECURITIES	511,249	505,192

**TY 2016 Investments Corporate Stock Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JP MORGAN EQUITIES	908,949	915,849

**TY 2016 Investments - Other Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LISTERINE ROYALTY 1/2 UNIT	AT COST	259,350	770,250
ALTERNATIVE INVESTMENTS	AT COST	177,716	165,758

**TY 2016 Land, Etc.  
Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING, COTTAGE, STABLE/GARAGE	1,362,586	587,607	774,979	3,382,796
30+ACRES MILL NECK NY	775,680		775,680	775,680

# TY 2016 Legal Fees Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT LEGAL FEES	67,817			67,817

**TY 2016 Other Assets Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DONATIONS HELD-IN-TRUST	833,608	620,445	210,171

**TY 2016 Other Decreases Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Description	Amount
NET DECREASE IN FMV OF INVESTMENTS	13,598

# TY 2016 Other Expenses Schedule

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK CHARGES	508			508
DUES & SUBSCRIPTIONS	750			750
INSURANCE	12,171			12,171
INVESTMENT ACCOUNT FEES-US TR	19,077	19,077		
MAINTENANCE & REPAIRS	18,767			18,767
OFFICE SUPPLIES & EXPENSE	4,207			4,207
POSTAGE & DELIVERY	396			396
TELEPHONE	1,153			1,153
UTILITIES	19,531			19,531

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WEBSITE	810			810
INFORMATIONAL BOOKS	72			72
RESEARCH PROJECTS	78,703			78,703

**TY 2016 Other Income Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
JOHNSON & JOHNSON - LISTERINE	127,865	127,865	
SALES OF PUBLICATIONS	102		

**TY 2016 Other Increases Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Description	Amount
NET INCREASE FMV OF CRUTS	112,876

**TY 2016 Other Liabilities Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARD PAYABLE	39,546	
DEFERRED EXCISE TAX PAYABLE	46,281	48,172

**TY 2016 Taxes Schedule**

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC

**EIN:** 13-1861928

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX 2016	1,456			
NYS ANNUAL FILING FEE	275			275

# Additional Data

**Software ID:**

**Software Version:**

**EIN:** 13-1861928

**Name:** THE CHRISTOPHER D SMITHERS  
FOUNDATION INC