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Form 990

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at www IRS gov/form990

OMB No 1545-0047

2016

Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 01-01-2016 , and ending 12-31-2016

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
THE FOUNDATION CENTER

Doing business as

Number and street (or P O box if mail is not delivered to street address) | Room/suite
32 OLD SLIP 24TH FL

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10005

D Employer identification number
13-1837418

E Telephone number
(212) 620-4230

G Gross receipts $ 25,272,758

F Name and address of principal officer
BRADFORD K SMITH
32 OLD SLIP 24TH FL
NEW YORK, NY 10005

H(a) Is this a group return for subordinates? ☐ Yes ☑ No
H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ WWW FOUNDATIONCENTER ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1956 | M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE FOUNDATION CENTER'S MISSION IS TO STRENGTHEN THE SOCIAL SECTOR BY ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE UNITED STATES AND AROUND THE WORLD

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	18
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	17
5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	222
6	Total number of volunteers (estimate if necessary)	6	18
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	73,890
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-1,750

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	10,017,916	8,687,596
	9	Program service revenue (Part VIII, line 2g)	14,551,976	14,309,956
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	762,322	1,038,198
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	60,960	76,030
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	25,393,174	24,111,780
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0	0
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	15,947,000	16,644,679
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶1,065,424		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	11,532,009	10,555,924
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	27,479,009	27,200,603
	19	Revenue less expenses Subtract line 18 from line 12	-2,085,835	-3,088,823
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	45,160,090	40,997,926
	21	Total liabilities (Part X, line 26)	15,942,214	14,566,158
	22	Net assets or fund balances Subtract line 21 from line 20	29,217,876	26,431,768

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2017-05-04
Signature of officer Date

LORENA P NOGARA CONTROLLER/TREASURER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
GARRETT M HIGGINS	GARRETT M HIGGINS	2017-05-04		P00543209

Firm's name ▶ PKF O'CONNOR DAVIES LLP | Firm's EIN ▶ 27-1728945

Firm's address ▶ 500 MAMARONECK AVENUE
HARRISON, NY 105281633 | Phone no (914) 381-8900

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 9,006,388 including grants of $) (Revenue $ 11,193,704)

See Additional Data

4b (Code) (Expenses $ 5,488,868 including grants of $) (Revenue $ 1,193,370)

See Additional Data

4c (Code) (Expenses $ 5,127,573 including grants of $) (Revenue $ 1,996,772)

See Additional Data

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses ▶** 19,622,829

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services?*If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	1a	76			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	222			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year? . . .			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* . . .			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . .			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8		
9a	Did the sponsoring organization make any taxable distributions under section 4966? . . .			9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . .			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 18			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent	1b 17			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		No
6	Did the organization have members or stockholders?		6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		No

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶ AR , CA , CO , CT , FL , GA , HI , IL , KS , ME , MD , MA , MI , MN , NH , NJ , NM , NY , NC , OH , OR , PA , RI , SC , TN , VA , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records
▶MS LORENA P NOGARA 32 OLD SLIP 24TH FLOOR NEW YORK, NY 100053500 (212) 620-4230

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

	(D)	(E)	(F)
1b Sub-Total . . . . ▶			
c Total from continuation sheets to Part VII, Section A . . . . ▶			
d Total (add lines 1b and 1c) . . . . ▶	2,523,710	0	318,757

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 27

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
BEACONFIRE CONSULTING INC 2300 CLARENDON BLVD SUITE 925 ARLINGTON, VA 22201	IT CONSULTING	493,657
SLALOM LLC PO BOX 101416 PASADENA, CA 911891416	IT CONSULTING	269,014
ROOFTOP PARTNERS LLC 101 BELLAIR DR DOBBS FERRY, NY 10522	COMMUNICATION AND MARKETING CONSULTING	164,999
COMMONFUND 15 OLD DANBURY ROAD PO BOX 812 WILTON, CT 068970812	INVESTMENT SERVICES	164,496
CONTEGRA SYSTEMS INC 200 MAMARONECK AVENUE WHITE PLAINS, NY 10601	IT CONSULTING	154,572

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 9

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts						
1a Federated campaigns . .	1a					
b Membership dues . .	1b					
c Fundraising events . .	1c					
d Related organizations	1d					
e Government grants (contributions)	1e					
f All other contributions, gifts, grants, and similar amounts not included above	1f	8,687,596				
g Noncash contributions included in lines 1a-1f $						
h **Total.** Add lines 1a-1f . . . . ▶			8,687,596			

Program Service Revenue	Business Code				
2a PRODUCT REVENUE	611710	11,193,704	11,193,704		
b FUNDING INFO NETWORK	611710	584,891	584,891		
c EDUCATIONAL PRGM REV	611710	477,279	477,279		
d DATABASE SEARCH REV	611710	101,522	101,522		
e ASSOCIATES PGRM MEMBER	611710	29,678	29,678		
		1,922,882	1,922,882		
f All other program service revenue					
g **Total.** Add lines 2a–2f . . . . ▶		14,309,956			

Other Revenue	(i) Real / (i) Securities	(ii) Personal / (ii) Other	(A)	(B)	(C)	(D)
3 Investment income (including dividends, interest, and other similar amounts) . . . . . . ▶			384,989			384,989
4 Income from investment of tax-exempt bond proceeds ▶						
5 Royalties . . . . ▶						
	(i) Real	(ii) Personal				
6a Gross rents						
b Less rental expenses						
c Rental income or (loss)						
d Net rental income or (loss) . . . . ▶						
	(i) Securities	(ii) Other				
7a Gross amount from sales of assets other than inventory	1,814,187					
b Less cost or other basis and sales expenses	1,160,978					
c Gain or (loss)	653,209					
d Net gain or (loss) . . . . . . ▶			653,209			653,209
8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c) See Part IV, line 18 . . . . a						
b Less direct expenses . . . b						
c Net income or (loss) from fundraising events . . ▶						
9a Gross income from gaming activities See Part IV, line 19 . . . a						
b Less direct expenses . . . b						
c Net income or (loss) from gaming activities . . ▶						
10a Gross sales of inventory, less returns and allowances . . a						
b Less cost of goods sold . . b						
c Net income or (loss) from sales of inventory . . ▶						
Miscellaneous Revenue	Business Code					
11a PHILANTHROPY NEWS DIGE	541800		73,890		73,890	
b VENDING MACHINE INCOME	900099		2,140			2,140
c						
d All other revenue						
e **Total.** Add lines 11a–11d . . . . ▶			76,030			
12 **Total revenue.** See Instructions . . . . . . ▶			24,111,780	14,309,956	73,890	1,040,338

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☑

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraisingexpenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21				
2 Grants and other assistance to domestic individuals See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,797,520	1,136,824	516,008	144,688
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	11,501,211	8,249,324	2,761,571	490,316
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	915,603	684,012	185,156	46,435
9 Other employee benefits	1,494,035	1,116,139	302,127	75,769
10 Payroll taxes	936,310	699,482	189,343	47,485
11 Fees for services (non-employees)				
a Management				
b Legal	143,562	59,985	83,577	
c Accounting	135,750		135,750	
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees	184,496		184,496	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	1,205,473	872,188	330,850	2,435
12 Advertising and promotion	72,643	72,643		
13 Office expenses	728,452	642,374	78,972	7,106
14 Information technology	1,349,550	1,160,566	178,958	10,026
15 Royalties . .	2,102	2,102		
16 Occupancy	2,134,523	1,731,164	312,025	91,334
17 Travel	553,070	484,496	62,452	6,122
18 Payments of travel or entertainment expenses for any federal, state, or local public officials .				
19 Conferences, conventions, and meetings	89,725	72,782	16,056	887
20 Interest	35,750		35,750	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization . .	2,385,507	1,810,580	443,662	131,265
23 Insurance . . .	136,759		136,759	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a PRINTING & DUPLICATING	125,580	122,718	2,847	15
b LIBRARY ACQUISITION	44,387	44,263	124	
c SEMINAR INSTRUCTION FEE	37,408	37,408		
d PUBLICATION DIST	15,764	15,764		
e All other expenses	1,175,423	608,015	555,867	11,541
25 Total functional expenses. Add lines 1 through 24e	27,200,603	19,622,829	6,512,350	1,065,424
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		148,070	1	159,360
	2	Savings and temporary cash investments		11,790,891	2	10,795,902
	3	Pledges and grants receivable, net		3,441,807	3	2,824,836
	4	Accounts receivable, net		930,328	4	783,566
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		66,864	8	63,782
	9	Prepaid expenses and deferred charges		456,453	9	520,298
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 22,555,320			
	b	Less accumulated depreciation	10b 11,040,011	13,168,590	10c	11,515,309
	11	Investments—publicly traded securities			11	
	12	Investments—other securities See Part IV, line 11		15,157,087	12	14,334,873
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11			15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		45,160,090	16	40,997,926
Liabilities	17	Accounts payable and accrued expenses		1,266,006	17	845,233
	18	Grants payable			18	
	19	Deferred revenue		5,519,089	19	5,896,680
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties		2,500,000	24	1,546,377
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		6,657,119	25	6,277,868
	26	**Total liabilities.** Add lines 17 through 25		15,942,214	26	14,566,158
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		20,113,577	27	18,369,348
	28	Temporarily restricted net assets		7,390,299	28	6,348,420
	29	Permanently restricted net assets		1,714,000	29	1,714,000
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		29,217,876	33	26,431,768
	34	Total liabilities and net assets/fund balances		45,160,090	34	40,997,926

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	24,111,780
2	Total expenses (must equal Part IX, column (A), line 25)	2	27,200,603
3	Revenue less expenses Subtract line 2 from line 1	3	-3,088,823
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	29,217,876
5	Net unrealized gains (losses) on investments	5	86,025
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	216,690
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	26,431,768

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☑

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

Additional Data

Software ID:
Software Version:
EIN: 13-1837418
Name: THE FOUNDATION CENTER

Form 990 (2016)

Form 990, Part III, Line 4a:

SEE SCHEDULE O - FOR NARRATIVE ON DATA COLLECTION AND PUBLICATIONSMAJOR DATA COLLECTION AND PUBLICATIONS PROGRAM ACCOMPLISHMENTS IN 2016 INCLUDE THE FOLLOWING THE FOUNDATION CENTER HAS CONTINUOUSLY FORGED DEEP, SUBSTANTIVE RELATIONSHIPS WITH GLOBAL, REGIONAL, AND COUNTRY-LEVEL ORGANIZATIONS, PARTNERING ON A WIDE RANGE OF PROJECTS AND COLLABORATIVE INITIATIVES AROUND THE WORLD WORKING WITH PARTNERS SUCH AS WORLDWIDE INITIATIVES FOR GRANTMAKER SUPPORT (WINGS) AND DONORS AND FOUNDATIONS NETWORKS OF EUROPE (DAFNE), THE CENTER FACILITATES THE ABILITY OF SUCH NETWORKS TO SHARE KNOWLEDGE AMONG THE FOUNDATIONS AND RELATED ORGANIZATIONS THAT MAKE UP THEIR MEMBERSHIP INTERNATIONAL DATA FOUNDATION CENTER LOGGED A NUMBER OF SIGNIFICANT INTERNATIONAL ACHIEVEMENTS IN 2016, ALL OF WHICH IT EXPECTS WILL YIELD IMPORTANT NEW DATA AND KNOWLEDGE FOR PHILANTHROPY FOR YEARS TO COME THE CENTER LED IMPORTANT CROSS-NATIONAL CONVERSATIONS REGARDING DATA STANDARDS IN EUROPE (WITH DAFNE) AND AFRICA (WITH WINGS), AND DELIVERED ON A PARTNERSHIP WITH AFE (COLOMBIAN ASSOCIATION OF FAMILY AND CORPORATE FOUNDATIONS) WITH A SPECIAL ON THE SDGS AND PEACEBUILDING IT DEVELOPED A DATA STRATEGY AND CAPACITY BUILDING WORKSHOP PROGRAM THAT IT IMPLEMENTED IN KENYA WITH THE KENYA PHILANTHROPY FORUM AND EAST AFRICAN ASSOCIATION OF GRANTMAKERS, TO BE FOLLOWED BY SIMILAR WORKSHOPS IN UGANDA, TANZANIA, AND GHANA IN THE COMING YEAR THE CENTER ALSO CONTRIBUTED THOUGHT PIECES TO SEVERAL WIDELY-READ INTERNATIONAL PUBLICATIONS ON PHILANTHROPY (INCLUDING ALLIANCE AND ASIANNGO), DEVELOPED OR GREW MORE THAN 100 PARTNERSHIPS WITH NON-U S ORGANIZATIONS, AND ENHANCED THREE KNOWLEDGE PORTALS WITH SUBSTANTIAL INTERNATIONAL CONTENT DISASTER PHILANTHROPY, EQUAL FOOTING, AND SDG FUNDERS ELECTRONIC GRANT REPORTING THE FOUNDATION CENTER CONTINUES TO BE A LEADING DRIVER IN ESTABLISHING DATA STANDARDS FOR PHILANTHROPY ITS ONGOING WORK TO CONTINUALLY IMPROVE REPORTING ALLOWS FOUNDATIONS TO MORE EFFICIENTLY AND ACCURATELY TRANSMIT THEIR GRANTS ELECTRONICALLY (EREPORTING) THE "GET ON THE MAP" CAMPAIGN WITH THE FORUM OF REGIONAL ASSOCIATIONS OF GRANTMAKERS CONTINUES TO BE SUCCESSFUL, HELPING PUSH THE NUMBER OF FOUNDATIONS THAT REPORT THEIR GRANTS DATA ELECTRONICALLY TO FOUNDATION CENTER, FROM 1,192 IN 2015 TO 1,388 IN 2016 THE CENTER STAFF HAVE OBTAINED AND PROCESSED OVER 75,000 GRANTS (TOTALING OVER $10 BILLION) FROM FISCAL YEAR 2016 FROM EREPORTING FOUNDATIONS THESE ARE GRANTS THAT ARE NOT YET AVAILABLE ON PUBLIC IRS 990 PF FORMS, BUT ARE NOW VIEWABLE ON FOUNDATION CENTER'S PRODUCTS AND SERVICES, INCLUDING FDO AND FOUNDATION MAPS FOUNDATION DIRECTORY ONLINE (FDO) AND FDO QUICK START FDO PROVIDES SUBSCRIBERS WITH ACCESS TO TIMELY, COMPREHENSIVE INFORMATION ON U S GRANTMAKERS AND THEIR GRANTS, PLUS A GROWING NUMBER OF FOUNDATIONS LOCATED OUTSIDE OF THE U S DURING 2016, FDO'S DATA SET EXPANDED TO INCLUDE 6 9 MILLION GRANT RECORDS, PROFILES OF 140,000 GRANTMAKERS, AND THE ADDITION OF U S FEDERAL GRANTS THESE GRANTS ALSO INCLUDED, FOR THE FIRST TIME, SMALL GRANTS UNDER $10,000 THAT WILL HELP SMALLER NONPROFIT ORGANIZATIONS FIND FUNDING FOR CRITICAL ON-THE-GROUND PROJECTS AND PROGRAMS IN 2016, THE CENTER ALSO ADDED FEATURES TO ENHANCE THE FDO USER EXPERIENCE SUCH AS PROFILE PERSONALIZATION, LINKEDIN INTEGRATION, AND "PATHWAYS," A TOOL TO VISUALIZE NETWORKS OF FUNDERS AND RECIPIENTS THE PROFESSIONAL VERSION OF FDO IS ACCESSIBLE TO ORGANIZATIONS AND COMMUNITY STAKEHOLDERS AT NO COST AT THE CENTER'S FIVE REGIONAL LEARNING CENTERS AND MORE THAN 450 FIN PARTNERS FOR THOSE WHO CAN'T VISIT ONE OF ITS LOCATIONS, FDO QUICK START ALLOWS ACCESS TO ESSENTIAL INFORMATION ABOUT 100,000 FOUNDATIONS AND 250,000 IRS FORMS 990-PF TO EVERYONE VIA COMPUTER, TABLET OR PHONE IN 2016, OVER 921,000 USERS TOOK ADVANTAGE OF THIS FREE TOOL FOUNDATION STATS FOUNDATION STATS DATA IS OPEN AND FREE, PROVIDING THE MOST COMPREHENSIVE RESOURCE AVAILABLE FOR GENERATING TABLES AND CHARTS ON THE SIZE, SCOPE, AND GIVING PRIORITIES OF THE U S FOUNDATION COMMUNITY THIS INTUITIVE ONLINE PLATFORM INCLUDES APPLICATION PROGRAMMING INTERFACE (API) AND QUERY BUILDER TOOLS, ALLOWING WEB DEVELOPERS TO FREELY EXTRACT THE DATA FOR THEIR OWN USE THIS TOOL REPRESENTS A LEAP FORWARD IN OPENING UP DATA ON PHILANTHROPY USAGE OF FOUNDATION STATS MORE THAN DOUBLED IN 2016 TO OVER 27,000 USERS FOUNDATION MAPS FOUNDATION MAPS IS FOUNDATION CENTER'S PREMIER DATA VISUALIZATION TOOL AND THE EASIEST WAY TO SEE WHO IS FUNDING WHAT AND WHERE AROUND THE WORLD WITH MILLIONS OF GRANTS FROM 2006 TO THE PRESENT, INNOVATIVE WAYS TO VISUALIZE FUNDING DATA, AND THE FLEXIBILITY AND PRECISION TO TAILOR RESEARCH, FOUNDATION MAPS HELPS FUNDERS AND NONPROFITS ACCESS THE KNOWLEDGE THEY NEED TO MAKE STRATEGIC DECISIONS AND STRENGTHEN THEIR IMPACT FOUNDATION MAPS IS AVAILABLE FOR FREE USE ON-SITE AT THE CENTER'S FIVE REGIONAL OFFICES OR AT ANY ONE OF ITS MORE THAN 450 FUNDING INFORMATION NETWORK PARTNER LOCATIONS FOUNDATION MAPS CURRENTLY INCLUDES DATA ON 6 3 MILLION GRANTS TOTALING $1 7 TRILLION IN FUNDING, MADE BY OVER 73,000 FUNDERS TO NEARLY 470,000 RECIPIENTS IN 2016, THE CENTER ADDED U S FEDERAL GRANTS DATA TO GIVE A MORE COMPREHENSIVE FUNDING PICTURE AND CONTINUED TO ADD ENHANCED FEATURES TO PROVIDE A MORE IN-DEPTH AND CUSTOMIZABLE EXPERIENCE THESE NEW FEATURES INCLUDE DISTRIBUTION CHARTS THAT SHOW THE DIVISION OF GRANT SUBJECTS AND POPULATION GROUPS PER AREA OF INTEREST AND THE ABILITY FOR USERS TO DRAW SHAPES ON THE MAP TO UNCOVER NONPROFITS AND FOUNDATIONS WORKING INSIDE CUSTOM-DEFINED GEOGRAPHIC AREAS ENTERPRISE KNOWLEDGE MANAGEMENT ENTERPRISE DATABASE MANAGEMENT SYSTEM (EDMS) THE OPERATION OF THE CENTER'S NEW EDMS GOT INTO FULL SWING DURING 2016 AND WILL ALLOW IT TO BRING IN AT LEAST 10 TIMES MORE DATA INTO FOUNDATION CENTER'S PRODUCTS AND SERVICES THIS WILL GIVE USERS OF THE CENTER'S PRODUCTS AND SERVICES UNPRECEDENTED ACCESS TO THE LARGEST AMOUNT OF CLEAN, CODED, PHILANTHROPIC DATA EVER AVAILABLE BEFORE ANALYTICS FOUNDATION CENTER'S DATA DISCOVERY TEAM FOCUSED ON THE USER EXPERIENCE IN 2016 BY INTERVIEWING 40 NONPROFITS ABOUT THEIR FUNDRAISING ASPIRATIONS, DEMONSTRATING NEW DESIGN PROTOTYPES, AND ANALYZING FDO USER LOGS TOGETHER, THESE METHODS OF ANALYSIS ARE HELPING THE CENTER NARROW DOWN WHICH ASPECTS OF FDO ARE MOST EFFECTIVE, AND WHICH NEW FEATURES IT SHOULD BUILD AND EMPHASIZE DATA MINING, SEARCH & RAPID PROTOTYPE TEAM DURING 2016, FOUNDATION CENTER DATA SCIENTISTS BUILT A PROTOTYPE THAT SCRAPES AND AUTO-CODES NEWS FROM A LARGE ONLINE REPOSITORY THE NEWS SCRAPING PROTOTYPE FIRST CLASSIFIES PHILANTHROPIC NEWS BEFORE CODING IT INTO ITS PHILANTHROPY CLASSIFICATION SYSTEM, WITH THE GOAL OF MAKING IT PUBLICLY AVAILABLE ONLINE THROUGH ITS PRODUCTS AND SERVICES PROTOTYPES LIKE THIS HAVE THE POTENTIAL TO PROVIDE THE SECTOR WITH MORE EASILY ACCESSIBLE, COMPREHENSIVE, REAL-TIME VIEWS OF PHILANTHROPIC GIVING TRENDS SO FAR, THE RESULTS HAVE BEEN PROMISING, BUT MANY QUESTIONS REMAIN BEFORE THE CENTER CAN MAKE THIS A VIABLE SERVICE IN 2017 THIS IS ONE EXAMPLE OF THE DATA AND TECHNOLOGY EXPERIMENTATION THE CENTER COMMITTED TO IN ORDER TO CREATE BETTER, USEFUL, AND ACCESSIBLE KNOWLEDGE FOR THE SOCIAL SECTOR FOUNDATION WEBSITES FOUNDATION WEBSITES IS THE CENTER'S WEB DESIGN AND SUPPORT SERVICES FOR PRIVATE FOUNDATIONS AND OTHER GRANTMAKING ORGANIZATIONS SURPRISINGLY, ONLY 10 PERCENT OF FOUNDATIONS IN THE U S HAVE WEBSITES WITH A GOAL OF INCREASING FOUNDATION TRANSPARENCY, FOUNDATION CENTER OFFERS TO PROFESSIONALLY DESIGN AND MAINTAIN CUSTOMIZED WEBSITES FOR FOUNDATIONS FREE OF CHARGE OR FOR A MODEST FEE GRANTMAKERS WHO HAVE WORKED WITH THE CENTER ON THEIR WEBSITES REPORT RECEIVING BETTER PROPOSALS AND ANSWERING FEWER REQUESTS BY PHONE AND MAIL, SAVING THEM BOTH TIME AND BUDGET DOLLARS BY THE END OF 2016, 220 FOUNDATIONS HAD TAKEN ADVANTAGE OF THIS SERVICE

Form 990, Part III, Line 4b:

SEE SCHEDULE O - FOR NARRATIVE ON LIBRARY/LEARNING CENTERS AND OTHER PUBLIC SERVICESMAJOR LIBRARY/LEARNING CENTER AND OTHER PUBLIC SERVICES ACCOMPLISHMENTS IN 2016 INCLUDE THE FOLLOWING THE CENTER OPERATES PROFESSIONALLY STAFFED LIBRARY/LEARNING CENTERS IN FIVE LOCATIONS-NEW YORK CITY, WASHINGTON, DC, ATLANTA, CLEVELAND, AND SAN FRANCISCO-THAT OFFER FREE ACCESS TO THE CENTER'S ONLINE SUBSCRIPTION DATABASES AND BOOKS, OTHER RESOURCES ON FUNDERS AND ALL ASPECTS OF PHILANTHROPY AND NONPROFIT MANAGEMENT AND FREE EDUCATIONAL PROGRAMS THE CENTER HAS AN ONLINE LIBRARIAN WHO RESPONDS TO REFERENCE QUESTIONS FROM PEOPLE WHO PREFER TO USE ITS RESOURCES ONLINE THE CENTER PROVIDES FREE IN-PERSON PROGRAMS WHICH GIVE PARTICIPANTS A COMPREHENSIVE UNDERSTANDING OF THE GRANTSEEKING PROCESS THE CENTER ALSO COORDINATES A NETWORK OF FUNDING INFORMATION CENTERS NATIONWIDE AND AROUND THE WORLD - MORE THAN 450 FIN PARTNERS THAT OFFER FREE LOCAL ACCESS TO CORE CENTER RESOURCES, INCLUDING THE FOUNDATION DIRECTORY ONLINE PROFESSIONAL AND TRAINING FREE ACCESS TO FOUNDATION CENTER RESOURCES AND TRAINING COURSES DURING 2016 MORE THAN 21,000 PEOPLE VISITED THE CENTER'S REGIONAL LIBRARIES/LEARNING CENTERS IN NEW YORK CITY, WASHINGTON, D C , ATLANTA, CLEVELAND, AND SAN FRANCISCO THEY ATTENDED TRAININGS AND SPECIAL PROGRAMS ON FUNDRAISING AND NONPROFIT MANAGEMENT AND USED THE CENTER'S SUBSCRIPTION-BASED RESOURCES AT NO CHARGE, GUIDED BY EXPERT LIBRARIANS AND RESOURCE SPECIALISTS FOUNDATION CENTER STAFF PROVIDED OFFSITE TRAININGS AT ITS FUNDING INFORMATION NETWORK (FIN) PARTNER LOCATIONS TO AN ADDITIONAL 2,000 PEOPLE IN 2016, THE CENTER CONTINUED TO MAKE ITS RESOURCES MORE EASILY ACCESSIBLE TO A BROADER AUDIENCE TO ENSURE THAT ITS CAPACITY BUILDING RESOURCES ARE AVAILABLE TO ANYONE, ANYWHERE, THE CENTER USED ITS RECENTLY ADOPTED ONLINE LEARNING PLATFORM, BRIDGE, TO OFFER NEW AND IMPROVED TRAININGS IN THREE CORE COMPETENCIES FUNDRAISING, ORGANIZATIONAL SUSTAINABILITY, AND LEADERSHIP & MANAGEMENT THESE TRAININGS RANGE FROM INTRODUCTION TO PROPOSAL WRITING TO MORE ADVANCED SKILL-BUILDING COURSES SUCH AS YOUR BOARD AND FUNDRAISING THROUGH THIS PLATFORM, THE CENTER OFFERED 30 LIVE TRAINING WEBINARS AT NO COST TO 6,000 REGISTRANTS ONLINE AND ENGAGED ANOTHER 3,200 PEOPLE THROUGH FREE ONLINE COURSES THAT SUPPLEMENT ITS ON-THE-GROUND TRAININGS AND RESOURCES THE CENTER ALSO BEGAN LIVE STREAMING SOME OF ITS MOST POPULAR SPECIAL PROGRAMS OUT OF ITS SAN FRANCISCO LEARNING CENTER, ALLOWING ITS ONLINE AUDIENCE TO PARTICIPATE AND ASK QUESTIONS FROM ANYWHERE AROUND THE GLOBE WITH THE STEADY INCREASE IN ITS LIVE STREAM PARTICIPANTS, THE CENTER IS LOOKING TO EXPAND THIS TO ITS OTHER REGIONAL LEARNING CENTERS TO INCREASE PUBLIC ACCESS TO ITS PROGRAMS THE CENTER ALSO MADE THESE LIVE STREAMS AVAILABLE FOR REPLAY IMMEDIATELY AFTER, SO THAT THOSE WHO HAD SCHEDULING CONFLICTS COULD STILL BENEFIT FROM SPECIAL EXPERTISE IN ADDITION TO ITS ONLINE LEARNING TOOLS AND IN-PERSON TRAININGS, THE CENTER'S ONLINE LIBRARIAN SERVICE MADE IT EASY FOR PEOPLE WHO WERE UNABLE TO ACCESS ITS PHYSICAL LOCATIONS TO ENGAGE WITH ITS TEAM OF EXPERTS TO GET ANSWERS TO THEIR QUESTIONS ABOUT FOUNDATIONS, PHILANTHROPY, FUNDRAISING, AND NONPROFITS IN 2016, THE CENTER'S ONLINE LIBRARIAN SERVICE RESPONDED TO NEARLY 9,000 LIVE CHATS AND EMAIL INQUIRIES GRANTSPACE GRANTSPACE, FOUNDATION CENTER'S AWARD-WINNING WEBSITE USED BY NEARLY 2 4 MILLION PEOPLE IN YEAR 2016, OFFERS CRITICAL CAPACITY BUILDING RESOURCES AT NO COST-SAVING COMMUNITY ORGANIZATIONS TIME AND MONEY SO THAT MORE OF THEIR BUDGET RESOURCES ARE ALLOCATED TO SERVING CONSTITUENTS AND LESS ON TRAVEL AND TRAINING COST IN 2016, THE CENTER CONTINUED TO CURATE NEW CONTENT, THE GRANTSPACE BLOG PUBLISHED 68 POSTS, PROVIDING FRESH CONTENT EACH WEEK AND ENGAGING READERS WITH CURRENT ISSUES THAT ARE MOST RELEVANT TO THEIR WORK THE CENTER ALSO SAW A SIGNIFICANT INCREASE IN SUBSCRIBERS TO THE MONTHLY "NEW AT GRANTSPACE" E-NEWSLETTER FROM 26,000 TO NEARLY 30,000 THE CENTER CONTINUED TO PROVIDE ACCESS TO BROAD AUDIENCES THAT ARE OFTEN UNDERSERVED, ITS GRANTSPACE CONTENT INCLUDES SPANISH KNOWLEDGE-BASED ARTICLES, WEBINARS, TUTORIALS AND OTHER MATERIALS WHICH WERE VIEWED MORE THAN 230,000 TIMES IN 2016 THE CENTER'S KEY TRAINING RESOURCES CONTINUED TO BE AVAILABLE FOR DOWNLOAD IN BOTH ENGLISH AND SPANISH, AND IT CONTINUED TO SERVE PEOPLE WITH VISUAL IMPAIRMENTS FUNDING INFORMATION NETWORK (FIN) FIN PARTNERS SERVE THE NONPROFIT COMMUNITIES MOST IN NEED OF FOUNDATION CENTER RESOURCES BY PROVIDING LOCAL ACCESS TO ITS ONLINE SUBSCRIPTION BASED DATABASES AT NO COST TO END USERS AND OFFERING ADDITIONAL CAPACITY-BUILDING SUPPORT IN 2016, THE CENTER HAD MORE THAN 450 FINS LOCATED ACROSS THE U S AND A GLOBAL PRESENCE IN TEN OTHER COUNTRIES DURING THAT PERIOD, IT SAW AN INCREASE IN FIN-SPONSORED EVENTS, AND MORE THAN ONE MILLION SEARCHES OF ITS DATABASES WERE PERFORMED AT THESE PARTNER SITES, A SUBSTANTIAL INCREASE OVER THE PREVIOUS YEAR PHILANTHROPY NEWS DIGEST (PND) PND, A DAILY NEWS SERVICE OF FOUNDATION CENTER, IS A COMPENDIUM OF PHILANTHROPY-RELATED ARTICLES AND FEATURES CULLED FROM PRINT AND ELECTRONIC MEDIA OUTLETS NATIONWIDE AS WELL AS REQUESTS FOR PROPOSAL (RFP) ANNOUNCEMENTS FROM FOUNDATIONS AND JOB LISTINGS MORE THAN ONE MILLION PEOPLE RELIED ON PND AS A SOURCE OF INFORMATION ABOUT THE SOCIAL SECTOR IN 2016 OVER 135,000 PND SUBSCRIBERS RECEIVE ITS NIGHTLY EMAIL ALERT ON NEWS, RFPS, AND JOBS PHILANTOPIC, THE PND BLOG, PROVIDES OPINIONS AND COMMENTARY ON THE CHANGING WORLD OF PHILANTHROPY AND PUBLISHED 215 POSTS IN 2016

Form 990, Part III, Line 4c:

SEE SCHEDULE O - FOR NARRATIVE ON RESEARCH AND OTHER PROGRAMSMAJOR RESEARCH AND OTHER PROGRAMS ACCOMPLISHMENTS IN 2016 INCLUDE THE FOLLOWING THE CENTER CONDUCTS RESEARCH AND PUBLISHES REPORTS ON THE GROWTH OF THE FOUNDATION FIELD AND ON TRENDS IN FOUNDATION SUPPORT OF THE NONPROFIT SECTOR TO MAXIMIZE THE IMPACT OF ITS RESEARCH, IT HOSTS BRIEFINGS AND PANELS TO DISCUSS KEY FINDINGS, AND "SPREADS THE WORD" THROUGH SOCIAL MEDIA TO MAKE KNOWLEDGE ACCESSIBLE TO ALL, IT MAKES ITS FULL REPORTS OR HIGHLIGHTS FROM THEM AVAILABLE FOR FREE DOWNLOAD AT ITS WEB SITE FOUNDATION LANDSCAPES FOUNDATION CENTER AIMS TO CREATE KNOWLEDGE PORTALS THAT COMBINE MULTIPLE RESOURCES, INCLUDING DATA VISUALIZATION TOOLS, NEWS, AND ORIGINAL RESEARCH, TO ILLUMINATE CRITICAL ISSUES IN PHILANTHROPY IN A COMPREHENSIVE AND COHESIVE WAY THESE PROJECTS, KNOWN AS "FOUNDATION LANDSCAPES," ARE DESIGNED FOR ONGOING UPDATES AND TO MAKE IT EASY FOR DONORS TO SCAN THE LANDSCAPE TO REVEAL OPPORTUNITIES, NEEDS, AND GAPS AND SEE HOW THEIR PAST, CURRENT, AND FUTURE EFFORTS FIT INTO THE BROADER FIELD IN 2016, THE CENTER MADE SEVERAL ENHANCEMENTS TO ITS EXISTING FOUNDATION LANDSCAPES SDGFUNDERS ORG NOW INCLUDES A NEW TOOL, THE SDG INDICATOR WIZARD, WHICH HELPS ORGANIZATIONS DETERMINE WHICH SUSTAINABLE DEVELOPMENT GOALS AND TARGETS RELATE TO THEIR WORK, AND WHICH INDICATORS THEY CAN TRACK IN CONJUNCTION WITH THEIR OWN INDICATORS TO MEASURE IMPACT THE TOOL IS BUILT ON FOUNDATION CENTER'S AUTO-CODING TECHNOLOGY THE CENTER ALSO ADDED MORE THAN 10,000 GRANTS TO FOUNDATION FUNDING FOR U S DEMOCRACY, ITS FOUNDATION MAPS-BASED PLATFORM THAT MAKES PUBLICLY AVAILABLE GRANTS DATA FROM OVER 4,000 FOUNDATIONS WORKING TO STRENGTHEN OUR DEMOCRACY IN ITS THIRD YEAR OF PARTNERSHIP WITH THE CENTER FOR DISASTER PHILANTHROPY, THE CENTER MADE ENHANCEMENTS TO THE INTERACTIVE MAPPING PLATFORM ON MEASURING THE STATE OF DISASTER PHILANTHROPY, INCLUDING NEW FEATURES THAT VISUALIZE TRENDS, IDENTIFY FUNDING GAPS, AND EXPLORE PHILANTHROPIC NETWORKS AND FUNDING RELATIONSHIPS A PROCESS WAS ALSO CREATED TO UPDATE THE MAP WITH NEW DISASTER-RELATED GRANTS MORE FREQUENTLY THE CENTER ALSO BUILT ON ITS SLATE OF RESEARCH TOOLS FOR THE HUMAN RIGHTS FIELD WITH HUMANRIGHTSFUNDING ORG, INCLUDING AN INTERACTIVE WEBSITE, AN ANNUAL KEY FINDINGS UPDATE, AND DATA MAPPING TOOL, PRODUCED IN PARTNERSHIP WITH THE INTERNATIONAL HUMAN RIGHTS FUNDERS GROUP (IHRFG) IT INCORPORATED DATA ON INTERNATIONAL AID FLOWS FOR HUMAN RIGHTS, SOURCED FROM THE ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT AND IN ADDITION TO ANALYZING HUMAN RIGHTS DATA FROM FOUNDATIONS BY ISSUE, POPULATION, AND REGION, THE CENTER ADDED SUPPORT STRATEGY AS A NEW DATA POINT FOR ANALYSIS THE CENTER ALSO CREATED A NEW RESOURCE, THE PEACE AND SECURITY FUNDING INDEX, IN PARTNERSHIP WITH THE PEACE AND SECURITY FUNDERS GROUP IN 2016 THIS IS A FIRST-OF-ITS-KIND RESEARCH PROJECT THAT SHOWCASES THE FOUNDATIONS AND PHILANTHROPISTS DEDICATED TO BUILDING A SAFER, MORE PEACEFUL AND PROSPEROUS GLOBAL FUTURE THE INDEX STRIVES TO HELP FUNDERS, POLICYMAKERS, AND THE GENERAL PUBLIC BETTER UNDERSTAND THE PEACE AND SECURITY FUNDING LANDSCAPE, IT IDENTIFIES WHO "PEACE AND SECURITY" FUNDERS ARE, WHAT ISSUES THEY FUND, WHERE THEY FOCUS, AND HOW THEY MAKE AN IMPACT THE CENTER LAUNCHED YOUTHGIVING ORG-A HUB TO INSPIRE, CONNECT, AND INFORM YOUTH GRANTMAKING-IN JUNE 2016 THIS NEW FOUNDATION LANDSCAPE PROVIDES THE NEEDED INFRASTRUCTURE FOR THE GROWING YOUTH GIVING MOVEMENT AND ALLOWS USERS TO EXPLORE DIFFERENT PROGRAM MODELS, ORGANIZATIONS, CASE STUDIES, REPORTS, AND FUNDING DATA RELATED TO YOUTH GRANTMAKING THIS PORTAL AIMS TO FOSTER SMARTER, MORE EFFICIENT YOUTH PHILANTHROPY PROGRAMMING, AND DRIVE COLLABORATION AND ACTION WITHIN THIS MOVEMENT THE CENTER STAFF HAS PRESENTED AT CONFERENCES AND EVENTS AROUND THE WORLD ON THE INITIATIVE THUS FAR, AND IT HAS GARNERED BROAD SUPPORT AND WIDE APPEAL 300 PEOPLE ATTENDED THREE SPECIAL IN-PERSON
EVENTS GRANTCRAFT GRANTCRAFT HARNESSES THE PRACTICAL WISDOM OF FUNDERS WORLDWIDE TO PROVIDE FREE RESOURCES THAT IMPROVE THE PRACTICE OF PHILANTHROPY IN 2016, GRANTCRAFT SUCCESSFULLY INCREASED ITS AUDIENCE, ATTRACTING MORE THAN 63,000 SOCIAL SECTOR STAKEHOLDERS FROM COUNTRIES ACROSS THE GLOBE SPANNING THE FOUNDATION, NONPROFIT, ACADEMIC, MEDIA, GOVERNMENT, AND BUSINESS SECTORS THE CENTER ALSO INCREASED THE BREADTH AND DEPTH OF ITS CONTENT, GRANTCRAFT PUBLISHED 46 GUEST BLOG POSTS, PRODUCED A COLLECTION OF 17 CASE STUDIES THAT EXPLORE THE DIFFERENT MODELS OF YOUTH GRANTMAKING AROUND THE WORLD, AND PUBLISHED MORE THAN 150 VIDEOS, PODCASTS, BLOG POSTS, CASE STUDIES, AND GUIDES ADDRESSING QUESTIONS FUNDERS FACE ACROSS VARIOUS STRATEGIES AND ISSUE AREAS PUBLICATIONS INCLUDED SUPPORTING GRANTEE CAPACITY STRENGTHENING EFFECTIVENESS TOGETHER AND COMMUNICATION THAT COUNTS, WHICH EXPLORES COMMUNICATIONS APPROACHES AND KNOWLEDGE EXCHANGE IN THE SOUTH AFRICAN CONTEXT GRANTCRAFT CENTER STAFF PRESENTED SESSIONS AT NATIONAL CONFERENCES INCLUDING THOSE HOSTED BY EXPONENT PHILANTHROPY, GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS, AND GRANTS MANAGERS NETWORK, IN ADDITION TO FACILITATING WORKSHOPS AND EVENTS AT THE ASSOCIATION OF FUNDRAISING PROFESSIONALS, THE GENEVA CENTRE FOR SECURITY POLICY, AND THE NEW YORK FUNDERS ALLIANCE TOPICS COVERED AT THESE EVENTS RANGED FROM UNDERSTANDING YOUTH PHILANTHROPY TO HOW TO SCAN THE LANDSCAPE OF A FIELD TO USING HUMAN-CENTERED DESIGN TO IMPROVE WORK IN THE SECTOR CF (COMMUNITY FOUNDATION) INSIGHTS CF INSIGHTS IS THE LEADER IN DATA COLLECTION AND RESEARCH FOR THE COMMUNITY FOUNDATION FIELD IN THE UNITED STATES WITH A REPUTATION FOR BENCHMARKING AND ANALYSIS OF TRENDS IN ITS SECOND YEAR AT FOUNDATION CENTER, CF INSIGHTS CONTINUED TO DELIVER A HIGH LEVEL OF SERVICE TO ITS MEMBERS, INITIATED SEVERAL NEW PARTNERSHIPS AND CONSULTING ENGAGEMENTS, AND UNDERTOOK ORIGINAL RESEARCH ON COMMUNITY LEADERSHIP BY COMMUNITY FOUNDATIONS AND ON THE ROLE OF INFRASTRUCTURE ORGANIZATIONS IN SUPPORTING THE FIELD TOGETHER, CF INSIGHTS MEMBERS SERVE HALF OF THE U S POPULATION AND REPRESENT TWO-THIRDS OF TOTAL COMMUNITY FOUNDATION ASSETS THROUGH CF INSIGHTS, COMMUNITY FOUNDATIONS HAVE THE ABILITY TO IMPROVE PERFORMANCE AND SUSTAINABILITY-INDIVIDUALLY AND COLLECTIVELY ISSUELAB ISSUELAB REPRESENTS ONE OF THE LARGEST PLATFORMS OF SOCIAL SECTOR KNOWLEDGE, SPANNING ALMOST 40 ISSUE AREAS AND CONTAINING NEARLY 22,000 PUBLICLY AVAILABLE RESOURCES (PRODUCED BY NEARLY 6,000 ORGANIZATIONS), SUCH AS WHITE PAPERS, CASE STUDIES, EVALUATIONS, AND ISSUE BRIEFS ISSUELAB WAS REDESIGNED AND RELAUNCHED IN 2016 WITH NEW FEATURES, INCLUDING USER LIBRARIES TO SAVE RELEVANT CONTENT, "WHAT TO READ NEXT" SUGGESTIONS, AN IMPROVED, FILTERED SEARCH, AND A GROWING NUMBER OF CUSTOM KNOWLEDGE CENTERS FOR FUNDERS AND PRACTITIONERS IN 2016, ISSUELAB ADDED MORE THAN 2,600 NEW TITLES TO ITS COLLECTION ISSUELAB IS INCREASINGLY INVOLVED IN CURATING AND SHARING SPECIAL COLLECTIONS OF KNOWLEDGE TO HELP INFORM BOTH FUNDERS AND PRACTITIONERS THESE SPECIAL COLLECTIONS HAVE BEEN MADE AVAILABLE ON ISSUELAB ORG, AS WELL AS A GROWING NUMBER OF SPECIAL ISSUE PORTALS DURING 2016 ISSUELAB CURATED SPECIAL COLLECTIONS, PROVIDED LITERATURE REVIEWS, AND SYNTHESIZED IMPORTANT TOPICS SUCH AS RACE AND POLICING, RISK AND PHILANTHROPY, FUNDING INTERMEDIARIES, AND DISCONNECTED YOUTH PUBLICATIONS DURING 2016, THE CENTER RELEASED REPORTS ON TOPICS RELEVANT TO FUNDERS, THE MEDIA, RESEARCHERS, AND NONPROFITS INCLUDING - THE PEACE AND SECURITY FUNDING INDEX AN ANALYSIS OF GLOBAL FOUNDATION GRANTMAKING HIGHLIGHTS THE DIVERSITY OF THE FUNDERS AND STRATEGIES BEHIND THIS OFTEN-OVERLOOKED, YET CRITICAL, WORK - THE 2016 EDITION OF ADVANCING HUMAN RIGHTS UPDATE ON GLOBAL FOUNDATION GRANTMAKING PROVIDES A MORE COMPREHENSIVE VIEW OF THE HUMAN RIGHTS FUNDING LANDSCAPE THAN EVER BEFORE - MEASURING THE STATE OF DISASTER PHILANTHROPY 2016 FOCUSES ON USING DATA FOR STRATEGIC AND INFORMED DISASTER-RELATED GIVING DECISIONS - U S FOUNDATION FUNDING FOR CENTRAL AMERICA IN CONTEXT, A NEW FACT SHEET RELEASED BY FOUNDATION CENTER AND SEATTLE INTERNATIONAL FOUNDATION

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
P RUSSELL HARDIN CHAIR	1 00	X		X				0	0	0
CLOTILDE PEREZ-BODE DEDECKER VICE CHAIR	1 00	X		X				0	0	0
JOHN COLBORN TRUSTEE	1 00	X						0	0	0
PATRICK COLLINS TRUSTEE	1 00	X						0	0	0
NEAL HEGARTY TRUSTEE	1 00	X						0	0	0
GAIL CHRISTOPHER TRUSTEE	1 00	X						0	0	0
DEBORAH D HOOVER TRUSTEE	1 00	X						0	0	0
DOMINICK J IMPEMBA TRUSTEE	1 00	X						0	0	0
SUSAN KISH TRUSTEE	1 00	X						0	0	0
PATRICK MCCARTHY TRUSTEE	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
VALDEMAR DE OLIVEIRA NETO TRUSTEE	1 00	X						0	0	0
MARCIA A SMITH TRUSTEE UNTIL MARCH 2016	1 00	X						0	0	0
T SYLVESTER JOHN TRUSTEE	1 00	X						0	0	0
MELISSA BERMAN TRUSTEE	1 00	X						0	0	0
ANA MARIE ARGILAGOS TRUSTEE	1 00	X						0	0	0
YVETTE J ALBERDINGK THIJM TRUSTEE	1 00	X						0	0	0
EARL LEWIS TRUSTEE	1 00	X						0	0	0
MARIEKE VAN SCHAIK TRUSTEE	1 00	X						0	0	0
BRADFORD K SMITH PRESIDENT	50 00	X		X				605,457	0	55,897
LORENA P NOGARA TREASURER & CONTROLLER	50 00			X				162,632	0	20,559

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ZOHRA ZORI SEC & VP-SOCIAL SECTOR OUTREACH	50 00			X				157,731	0	28,752
MONISHA DE QUADROS VP- FINANCIAL STRATEGY	50 00			X				36,714	0	1,603
LAWRENCE T MCGILL VP- KNOWLEDGE SERVICES	50 00				X			211,513	0	58,585
JACOB K GARCIA VP- DATA AND TECHNOLOGY	50 00				X			195,860	0	35,658
LISA L PHILP VP- SENIOR ADVISOR	50 00				X			193,830	0	25,499
ROBERT J YAEGER VP- FINANCIAL STRATEGY THRU MAY 2016	50 00					X		213,586	0	19,830
JEFFREY A FALKENSTEIN VP- DATA ACQUISITION THRU FEB 2016	50 00					X		207,974	0	7,686
ELIZABETH A BRADLEY DIRECTOR OF BUS DEV, MKTG AND COM THRU OCT 2016	50 00					X		186,709	0	33,603
EDWARD J VENTURI VP- INFORMATION TECH THRU FEB 2016	50 00					X		184,482	0	7,648
RAWAA NANCY ALBILAL VP- DEVELOPMENT	50 00					X		167,222	0	23,437

SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ))

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170 (b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university

10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

11 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f Enter the number of supported organizations

g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")	14,326,112	15,907,716	9,061,221	10,017,916	8,687,596	58,000,561
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	14,326,112	15,907,716	9,061,221	10,017,916	8,687,596	58,000,561
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						20,742,624
6 **Public support.** Subtract line 5 from line 4						37,257,937

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	14,326,112	15,907,716	9,061,221	10,017,916	8,687,596	58,000,561
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	379,577	424,982	490,597	503,062	384,989	2,183,207
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)					2,140	2,140
11 **Total support.** Add lines 7 through 10						60,185,908

12 Gross receipts from related activities, etc (see instructions) | 12 | 69,772,882

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) | 14 | 61 900 %

15 Public support percentage for 2015 Schedule A, Part II, line 14 | 15 | 58 730 %

16a **33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

b **33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2016** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2015** Schedule A, Part III, line 17	18	

19a **331/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I If you checked 12a of Part I, complete Sections A and B If you checked 12b of Part I, complete Sections A and C If you checked 12c of Part I, complete Sections A, D, and E If you checked 12d of Part I, complete Sections A and D, and complete Part V)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2)*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)*	10b		

Part IV **Supporting Organizations** (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in **Part VI** how the supported organization(s) effectively operated, supervised, or controlled the organization's activities If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in **Part VI** how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in **Part VI** how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? *If "No," explain in **Part VI** how the organization maintained a close and continuous working relationship with the supported organization(s)*	**2**		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in **Part VI** the role the organization's supported organizations played in this regard*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**

- **a** ☐ The organization satisfied the Activities Test Complete **line 2** below
- **b** ☐ The organization is the parent of each of its supported organizations Complete **line 3** below
- **c** ☐ The organization supported a governmental entity Describe in **Part VI** how you supported a government entity (see instructions)

			Yes	No
2	Activities Test **Answer (a) and (b) below.**			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in **Part VI identify those supported organizations and explain** how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities*	**2a**		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement*	**2b**		
3	Parent of Supported Organizations **Answer (a) and (b) below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in **Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in **Part VI.** the role played by the organization in this regard*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 **Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d **Total** (add lines 1a, 1b, and 1c)	1d		
e **Discount** claimed for blockage or other factors (explain in detail in Part VI)			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by 035	6		
7 Recoveries of prior-year distributions	7		
8 **Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 **Total annual distributions.** Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 $			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 **Excess distributions carryover to 2017.** Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

990 Schedule A, Supplemental Information

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME	VENDING MACHINE INCOME - 2016 AMOUNT $ 2,140

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

			Amount
b	If "Yes," explain the arrangement in Part XIII and complete the following table		
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V **Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	**(b)** Prior year	**(c)** Two years back	**(d)** Three years back	**(e)** Four years back
1a Beginning of year balance	14,506,097	15,027,711	14,708,221	12,099,108	11,367,208
b Contributions					
c Net investment earnings, gains, and losses	363,356	-491,485	348,795	2,633,535	758,488
d Grants or scholarships					
e Other expenditures for facilities and programs	1,526,036	30,129	29,305	24,422	26,588
f Administrative expenses					
g End of year balance	13,343,417	14,506,097	15,027,711	14,708,221	12,099,108

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 87 150 %

b Permanent endowment ▶ 12 850 %

c Temporarily restricted endowment ▶

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI **Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land				
b Buildings				
c Leasehold improvements		5,884,483	1,347,223	4,537,260
d Equipment		8,399,691	6,940,136	1,459,555
e Other		8,271,146	2,752,652	5,518,494
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c))* ▶				11,515,309

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) MULTI-STRATEGY EQUITY FUND	10,435,639	F
(B) MULTI-STRATEGY BOND FUND	3,899,234	F
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	14,334,873	

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* . . . . ▶	

Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
ACCUMULATED POSTRETIREMENT BENEFIT OBLIGATION	1,913,304
DEFERRED RENT OBLIGATION	4,364,564
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	6,277,868

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	24,414,495
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments	2a	86,025		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	216,690		
e	Add lines **2a** through **2d**			2e	302,715
3	Subtract line **2e** from line **1**			3	24,111,780
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	24,111,780

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	27,200,603
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	0
3	Subtract line **2e** from line **1**			3	27,200,603
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	27,200,603

Part XIII **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 13-1837418
Name: THE FOUNDATION CENTER

Supplemental Information

Return Reference	Explanation
PART V, LINE 4	THE CENTER'S ENDOWMENT FUNDS AND BOARD-DESIGNATED AMOUNTS FOR LONG-TERM INVESTMENT CONSIST OF PERMANENTLY RESTRICTED NET ASSETS (ENDOWMENT FUNDS) AND A BOARD-DESIGNATED AMOUNT FOR LONG-TERM INVESTMENT THE PERMANENTLY RESTRICTED NET ASSETS (ENDOWMENT FUNDS) ARE SUBJECT TO DONOR-IMPOSED STIPULATIONS THAT THE PRINCIPAL BE MAINTAINED PERMANENTLY BY THE CENTER THE CENTER HAS THREE SEPARATE ENDOWMENTS AND IT IS PERMITTED TO USE THE INCOME EARNED ON T HE RELATED INVESTMENTS FOR GENERAL PURPOSES, LIBRARY ACQUISITIONS AND PUBLIC EDUCATION TH E BOARD-DESIGNATED AMOUNT FOR LONG-TERM INVESTMENT IS A FUND SET ASIDE BY THE BOARD OF TRU STEES FOR LONG-TERM INVESTMENT THAT ENSURE THE CENTER'S FINANCIAL STRENGTH AND AGILITY, AN D PROVIDE A RESERVE FUND IN THE EVENT OF A FINANCIAL EMERGENCY

Supplemental Information

Return Reference	Explanation
PART X, LINE 2	THE CENTER RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT TO BE SUSTAINED MANAGEMENT HAS DETERMINED THAT THE CENTER HAD NO UNCERTAI N TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION OR DISCLOSURE THE CENT ER IS NO LONGER SUBJECT TO EXAMINATION BY THE APPLICABLE JURISDICTIONS FOR PERIODS PRIOR T O DECEMBER 31, 2013

Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS	AS OF DECEMBER 31, 2016, AN ACTUARIAL GAIN OF $216,690 WAS NOT YET RECOGNIZED AS A COMPONE NT OF NET PERIODIC POSTRETIREMENT BENEFIT COST THIS GAIN IS RECORDED ON THE DECEMBER 31, 2016 STATEMENT OF CHANGES IN UNRESTRICTED NET ASSETS, INCREASING UNRESTRICTED NET ASSETS

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number

13-1837418

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees, agents, and independent contractors in region	**(d)** Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region	**(f)** Total expenditures for and investments in region
EUROPE	0	0	PROGRAM SERVICES	SPECIAL TRAINING ON SUPPORT FOR INSTITUTIONAL FUNDRAISING	24,519
3a Sub-total	0	0			24,519
b Total from continuation sheets to Part I	0	0			0
c **Totals** (add lines 3a and 3b)	0	0			24,519

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

1	Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☑ Yes	☐ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)*	☑ Yes	☐ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*	☑ Yes	☐ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)*	☐ Yes	☑ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 3	THE CENTER USES THE ACCRUAL METHOD OF ACCOUNTING TO REPORT TOTAL EXPENDITURES BY REGION IN ITS FINANCIAL STATEMENTS

Return Reference	Explanation
PART IV	THE FOUNDATION CENTER IS NOT REQUIRED TO FILE FORM 8621 AND FORM 5471 BECAUSE IT DOES NOT MEET THE APPLICABLE FILING THRESHOLD REQUIREMENT AND/OR OWNERSHIP REQUIREMENT THE CENTER IS REQUIRED TO FILE FORM 926 BECAUSE IT MEETS THE APPLICABLE FILING REQUIREMENT

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
THE FOUNDATION CENTER

Employer identification number
13-1837418

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Travel for companions ☑ Tax idemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☑ Compensation committee ☑ Independent compensation consultant ☐ Form 990 of other organizations ☐ Written employment contract ☑ Compensation survey or study ☑ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," on line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," on line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column(B) reported as deferred on prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

Return Reference	Explanation
PART I, LINE 1A	LORENA P NORGARA, TREASURER & CONTROLLER, RECEIVED A BONUS THAT WAS GROSSED UP FOR TAXES THE AMOUNT OF THE GROSS-UP PAYMENT IS REPORTED ON SCHEDULE J, PART II, IN COLUMN (B)(II)
PART I, LINE 3	REFER TO EXPLANATION FOR FORM 990, PART VI, SECTION B, LINE 15 ON SCHEDULE O
PART I, LINE 4A	-THE CENTER'S FORMER VP-FINANCIAL STRATEGY, WHOSE EMPLOYMENT TERMINATED AS OF MAY 15, 2016, RECEIVED SEVERANCE OF $102,510 IN 2016 THE AMOUNT OF SEVERANCE RECEIVED IS INCLUDED ON SCHEDULE J PART II IN COLUMN (B) (III) (OTHER REPORTABLE COMPENSATION) -THE CENTER'S FORMER VP-DATA ACQUISITION, WHOSE EMPLOYMENT TERMINATED AS OF FEBRUARY 1, 2016, RECEIVED SEVERANCE OF $172,625 IN 2016 THE AMOUNT OF SEVERANCE RECEIVED IS INCLUDED ON SCHEDULE J PART II IN COLUMN (B) (III) (OTHER REPORTABLE COMPENSATION) -THE CENTER'S FORMER DIRECTOR OF BUSINESS DEVELOPMENT, MARKETING, AND COMMUNICATIONS, WHOSE EMPLOYMENT TERMINATED AS OF OCTOBER 31, 2016, RECEIVED SEVERANCE OF $30,900 IN 2016 THE AMOUNT OF SEVERANCE RECEIVED IS INCLUDED ON SCHEDULE J PART II IN COLUMN (B) (III) (OTHER REPORTABLE COMPENSATION) -THE CENTER'S FORMER VP-INFORMATION TECHNOLOGY, WHOSE EMPLOYMENT TERMINATED AS OF FEBRUARY 1, 2016, RECEIVED SEVERANCE OF $149,428 IN 2016 THE AMOUNT OF SEVERANCE RECEIVED IS INCLUDED ON SCHEDULE J PART II IN COLUMN (B) (III) (OTHER REPORTABLE COMPENSATION)
PART I, LINE 7	THE CENTER HAS A SPOT BONUS PROGRAM FOR ITS STAFF EXCLUDING VICE PRESIDENTS AND THE PRESIDENT SELECTED EMPLOYEES WITH EXCEPTIONAL PERFORMANCE ARE RECOGNIZED AND AWARDED BONUSES OF $1,000 AFTER TAXES THROUGHOUT THE YEAR

Additional Data

Software ID:
Software Version:
EIN: 13-1837418
Name: THE FOUNDATION CENTER

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
1BRADFORD K SMITH PRESIDENT	(i)	535,811	44,122	25,524	36,195	21,475	663,127	0
	(ii)	0	0	0	0	0	0	0
1LORENA P NOGARA TREASURER & CONTROLLER	(i)	160,762	1,732	138	20,559	375	183,566	0
	(ii)	0	0	0	0	0	0	0
2ZOHRA ZORI SEC & VP-SOCIAL SECTOR OUTREACH	(i)	157,677	0	54	19,226	9,901	186,858	0
	(ii)	0	0	0	0	0	0	0
3LAWRENCE T MCGILL VP- KNOWLEDGE SERVICES	(i)	211,117	0	396	29,385	32,635	273,533	0
	(ii)	0	0	0	0	0	0	0
4JACOB K GARCIA VP- DATA AND TECHNOLOGY	(i)	195,800	0	60	26,041	9,992	231,893	0
	(ii)	0	0	0	0	0	0	0
5LISA L PHILP VP- SENIOR ADVISOR	(i)	193,692	0	138	25,499	375	219,704	0
	(ii)	0	0	0	0	0	0	0
6ROBERT J YAEGER VP- FINANCIAL STRATEGY THRU MAY 2016	(i)	111,042	0	102,544	12,843	7,143	233,572	0
	(ii)	0	0	0	0	0	0	0
7JEFFREY A FALKENSTEIN VP- DATA ACQUISITION THRU FEB 2016	(i)	35,338	0	172,636	3,062	4,942	215,978	0
	(ii)	0	0	0	0	0	0	0
8 ELIZABETH A BRADLEY DIRECTOR OF BUS DEV, MKTG AND COM THRU OCT 2016	(i)	155,694	0	31,015	9,270	24,676	220,655	0
	(ii)	0	0	0	0	0	0	0
9EDWARD J VENTURI VP- INFORMATION TECH THRU FEB 2016	(i)	35,004	0	149,478	3,025	4,887	192,394	0
	(ii)	0	0	0	0	0	0	0
10RAWAA NANCY ALBILAL VP- DEVELOPMENT	(i)	167,084	0	138	22,001	4,811	194,034	0
	(ii)	0	0	0	0	0	0	0

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SCHEDULE O (Form 990 or 990-EZ) Department of the Treasury Internal Revenue Service	**Supplemental Information to Form 990 or 990-EZ** Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 **2016** Open to Public Inspection

Name of the organization	Employer identification number
THE FOUNDATION CENTER	13-1837418

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 1	THE CENTER ACCOMPLISHES ITS MISSION BY - OPERATING LIBRARY/LEARNING CENTERS IN FIVE LOCAT IONS-NEW YORK CITY, WASHINGTON, DC, ATLANTA, CLEVELAND, AND SAN FRANCISCO-THAT OFFER FREE ACCESS TO INFORMATION RESOURCES AND EDUCATIONAL PROGRAMS - MAINTAINING UNIQUE DATABASES O F INFORMATION ON MORE THAN 140,000 FOUNDATIONS, CORPORATE DONORS, AND GRANTMAKING PUBLIC C HARITIES WORLDWIDE AND OVER 6 9 MILLION OF THEIR RECENT GRANTS ITS FOUNDATION DIRECTORY O NLINE (FDO) SUBSCRIPTION SERVICE IS THE MOST POPULAR MEANS FOR SEARCHING THESE DATABASES - PROVIDING A CONTENT-RICH WEB SITE WITH A VARIETY OF FREE SEARCH TOOLS, TUTORIALS, DOWNLO ADABLE REPORTS, AND OTHER INFORMATION UPDATED DAILY, INCLUDING PHILANTHROPY NEWS DIGEST, I TS DAILY NEWS SERVICE - CONDUCTING RESEARCH AND PUBLISHING REPORTS ON THE GROWTH OF THE F OUNDATION FIELD AND ON TRENDS IN FOUNDATION SUPPORT OF THE NONPROFIT SECTOR - COORDINATIN G A NETWORK OF FUNDING INFORMATION CENTERS NATIONWIDE AND AROUND THE WORLD - MORE THAN 450 FUNDING INFORMATION NETWORK (FIN) PARTNERS THAT OFFER FREE LOCAL ACCESS TO CORE CENTER RE SOURCES AND TRAINING ESTABLISHED IN 1956, THE FOUNDATION CENTER IS THE LEADING SOURCE OF INFORMATION ABOUT PHILANTHROPY WORLDWIDE THROUGH DATA, ANALYSIS, AND TRAINING, IT CONNECT S PEOPLE WHO WANT TO CHANGE THE WORLD TO THE RESOURCES THEY NEED TO SUCCEED THE CENTER MA INTAINS THE MOST COMPREHENSIVE DATABASE ON U S AND, INCREASINGLY, GLOBAL GRANTMAKERS AND THEIR GRANTS - A ROBUST, ACCESSIBLE KNOWLEDGE BANK FOR THE SECTOR IT ALSO OPERATES RESEAR CH, EDUCATION, AND TRAINING PROGRAMS DESIGNED TO ADVANCE KNOWLEDGE OF PHILANTHROPY AT EVER Y LEVEL THOUSANDS OF PEOPLE VISIT THE CENTER'S WEB SITE EACH DAY AND ARE SERVED IN ITS FI VE REGIONAL LIBRARY/LEARNING CENTERS AND ITS NETWORK OF MORE THAN 450 FIN PARTNERS LOCATED IN PUBLIC LIBRARIES, COMMUNITY FOUNDATIONS, AND EDUCATIONAL INSTITUTIONS NATIONWIDE AND A ROUND THE WORLD

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C	GLASSPOCKETS GLASSPOCKETS CHAMPIONS PHILANTHROPIC TRANSPARENCY IN AN ONLINE WORLD BY PROVIDING THE DATA, RESOURCES, EXAMPLES, AND ACTION STEPS FOUNDATIONS NEED TO UNDERSTAND THE VALUE OF TRANSPARENCY, BE MORE OPEN IN THEIR OWN COMMUNICATIONS, AND HELP SHED MORE LIGHT ON HOW PRIVATE ORGANIZATIONS ARE SERVING THE PUBLIC GOOD IN 2016, FOUNDATION CENTER REVAMPED THE SITE, BUILDING AN INTERACTIVE DONOR PROFILE THAT PROVIDES INSTANT BENCHMARKS TO END USERS-INSPIRING GLASSPOCKETS PROFILE COMPLETION AND INCREASED CONTRIBUTION OF INDICATOR DATA IN 2016, THERE WERE NEARLY 60,000 USERS OF GLASSPOCKETS FOUNDATIONS REPORT THAT GLASSPOCKETS HAS HELPED THEM TO DESIGN TRANSPARENCY AND ACCOUNTABILITY STRATEGIES WHICH HAVE RESULTED IN TRANSPARENCY IMPROVEMENTS WITHIN THEIR INSTITUTIONS EYE ON THE GIVING PLEDGE SINCE 2010, 158 OF THE WORLD'S WEALTHIEST INDIVIDUALS AND FAMILIES HAVE JOINED THE GIVING PLEDGE, PUBLICLY DECLARING THEIR INTENTIONS TO COMMIT THE MAJORITY OF THEIR ASSETS TO PHILANTHROPIC CAUSES GLASSPOCKETS IS KEEPING AN EYE ON THE GIVING PLEDGE, PROVIDING AN IN-DEPTH PICTURE OF THE PARTICIPANTS, THEIR PUBLICLY-KNOWN CHARITABLE ACTIVITIES, AND THE POTENTIAL IMPACT OF THIS COLLECTIVE EFFORT WE CONTINUE TO UPDATE THE INFORMATION AVAILABLE AND MAINTAIN DETAILED PROFILES ON THOSE WHO HAVE SIGNED THE PLEDGE THEY RANGE IN AGE FROM 30 TO 101 FROM ACROSS 20 COUNTRIES WITH A COMBINED NET WORTH OF MORE THAN $780 BILLION

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	THE CENTER'S FORM 990 IS PREPARED BY THE CONTROLLER AND ACCOUNTING MANAGER THE DRAFT FORM 990 IS THEN REVIEWED BY MEMBERS OF THE TAX DEPARTMENT OF THE CENTER'S INDEPENDENT ACCOUNT ING FIRM, PKF O'CONNOR DAVIES, AND BY THE ORGANIZATION'S VP OF FINANCIAL STRATEGY AND PRES IDENT SUBSEQUENTLY, THE AUDIT COMMITTEE OF THE CENTER'S BOARD OF TRUSTEES AND THE FULL BO ARD RECEIVE AN ELECTRONIC COPY OF THE FORM 990 AND REVIEWS THE RETURN AFTER RESPONDING TO ALL INQUIRIES AND MAKING ANY NECESSARY CHANGES, THE FINAL FORM 990 IS PROVIDED TO ALL MEM BERS OF THE BOARD PRIOR TO ITS FILING WITH THE IRS

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	A CONFLICT OF INTEREST DISCLOSURE STATEMENT IS OBTAINED ANNUALLY FROM ALL TRUSTEES, OFFICERS, MANAGER AND OTHER KEY EMPLOYEES WHO ARE CURRENTLY SERVING THE CENTER A REPORT SUMMARIZING THE DISCLOSURE STATEMENTS IS PROVIDED TO THE BOARD OF TRUSTEES ANNUALLY IT IS THE CENTER'S POLICY THAT IN THE EVENT OF A CONFLICT THE FOLLOWING IS DONE IF THERE IS A CONFLICT RELEVANT TO A MATTER REQUIRING ACTION BY THE BOARD OF TRUSTEES, THE INTERESTED PERSON SHALL CALL IT TO THE ATTENTION OF THE BOARD OF TRUSTEES, AND THE TRUSTEE CONCERNED SHALL NOT VOTE ON THE MATTER MOREOVER, THE PERSON HAVING A CONFLICT SHALL RETIRE FROM THE ROOM IN WHICH THE BOARD IS MEETING AND SHALL NOT PARTICIPATE IN THE FINAL DELIBERATION OR DECISION REGARDING THE MATTER UNDER CONSIDERATION WHEN THERE IS DOUBT AS TO WHETHER A CONFLICT OF INTEREST EXISTS, THE MATTER SHALL BE RESOLVED BY VOTE OF THE BOARD OF TRUSTEES OR ITS COMMITTEE, EXCLUDING FROM THE ROOM AND THE VOTE THE PERSON WHOSE SITUATION WILL BE DISCUSSED WHEN A CONFLICT OF INTEREST ARISES FOR ANY STAFF MEMBER EXCEPT THE PRESIDENT, THAT STAFF MEMBER SHALL REPORT IT TO THE PRESIDENT IN WRITING A CONFLICT OF INTEREST RELATING TO THE PRESIDENT SHALL BE REPORTED IN WRITING TO THE CHAIR OF THE BOARD

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	THE CENTER'S BOARD OF TRUSTEES, CONSISTING OF INDEPENDENT PERSONS, ESTABLISHES COMPENSATIO N FOR THE PRESIDENT AND REVIEWS THE COMPENSATION FOR THE OTHER OFFICER(S) AND VICE PRESIDE NTS ON AN ANNUAL BASIS PRIOR TO MAKING COMPENSATION DECISIONS, THE BOARD OBTAINS INFORMAT ION ON THE COMPENSATION OF FUNCTIONALLY COMPARABLE POSITIONS FOR SIMILARLY QUALIFIED PERSO NS AT SIMILARLY SITUATED ORGANIZATIONS AND/OR BENCHMARK SALARIES FOR SELECT POSITIONS OBTA INED FROM SALARY SURVEYS DOCUMENTATION OF THE DELIBERATIONS AND DECISIONS MADE ARE MAINTA INED IN THE MINUTES IN THE CORPORATE SECRETARY'S OFFICE A FULL INDEPENDENT COMPENSATION R EVIEW FOR MORE THAN A THIRD OF ITS STAFF POSITIONS WAS COMPLETED IN THE FALL OF 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE CENTER'S AUDITED FINANCIAL STATEMENTS AND ANNUAL REPORT FOR THE PAST FIVE YEARS ARE PO STED ON ITS WEBSITE AND ARE DOWNLOADABLE IN ADDITION, GOVERNANCE AND STAFFING POLICIES AR E POSTED, INCLUDING THE CODE OF CONDUCT (WHICH INCLUDES THE CONFLICT OF INTEREST POLICY), DIVERSITY STATEMENT AND THE EXECUTIVE COMPENSATION POLICY

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART IX, LINE 25, COLUMN (C)	ANALYSIS ON TOTAL MANAGEMENT AND GENERAL EXPENSES THE MANAGEMENT AND GENERAL EXPENSES OF $ 6,512,350 INCLUDE EXPENSES ASSOCIATED WITH A PLANNED REDUCTION-IN-FORCE OF $1,382,069 FOR SEVERANCE PAYMENTS, BENEFITS, OUTPLACEMENT SERVICES AND LEGAL FEES THEREFORE, MANAGEMENT AND GENERAL EXPENSES LESS THE NONRECURRING EXPENSE OF $1,382,069 FOR THE REDUCTION-IN-FORC E EQUALS $5,130,281 WHICH REPRESENTS APPROXIMATELY 20% OF TOTAL FUNCTIONAL EXPENSES WHICH IS LESS THAN THE 2015 CALENDAR YEAR RATIO OF APPROXIMATELY 23%

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XI, LINE 9	POSTRETIREMENT CHANGES OTHER THAN NET PERIODIC BENEFIT COST 216,690

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART XII, LINE 2C	THE ORGANIZATION DID NOT CHANGE EITHER ITS OVERSIGHT PROCESS OR SELECTION PROCESS DURING THE TAX YEAR