Extended to November 15, 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: THE JOHN A. HARTFORD FOUNDATION, INC.

A Employer identification number: 13-1667057

Number and street (or P O box number if mail is not delivered to street address): 55 EAST 59TH STREET — Room/suite:

B Telephone number: (212)832-7788

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 544,415,754.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	63,946.	63,946.		Statement 1
	4 Dividends and interest from securities	9,851,653.	9,828,481.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,620,444.			
	b Gross sales price for all assets on line 6a 112,879,490.				
	7 Capital gain net income (from Part IV, line 2)		8,547,827.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	1,281,330.	0.		Statement 3
	12 Total Add lines 1 through 11	19,817,373.	18,440,254.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	1,265,392.	155,175.		1,110,217.
	14 Other employee salaries and wages	1,318,032.	44,125.		1,433,386.
	15 Pension plans, employee benefits	854,733.	51,050.		803,412.
	16a Legal fees Stmt 4	19,911.	0.		25,239.
	b Accounting fees Stmt 5	35,250.	15,625.		15,625.
	c Other professional fees Stmt 6	2,742,612.	2,700,070.		35,862.
	17 Interest				
	18 Taxes Stmt 7	341,051.	0.		0.
	19 Depreciation and depletion	315,262.	0.		
	20 Occupancy	218,851.	13,952.		209,864.
	21 Travel, conferences, and meetings	492,944.	75,106.		409,245.
	22 Printing and publications	94,678.	0.		91,185.
	23 Other expenses Stmt 8	1,384,366.	20,181.		1,373,372.
	24 Total operating and administrative expenses Add lines 13 through 23	9,083,082.	3,075,284.		5,507,407.
	25 Contributions, gifts, grants paid	20,166,248.			18,508,349.
	26 Total expenses and disbursements. Add lines 24 and 25	29,249,330.	3,075,284.		24,015,756.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-9,431,957.			
	b Net investment income (if negative, enter -0-)		15,364,970.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2016)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	18,360,116.	51,070,293.	51,070,293.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable	2,154,130.	926,320.	926,320.
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	93,705.	82,899.	82,899.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 10	359,541,858.	342,905,346.	342,905,346.
	c Investments - corporate bonds Stmt 11	71,321,309.	78,885,633.	78,885,633.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 12	85,964,951.	69,972,609.	69,972,609.
	14 Land, buildings, and equipment: basis ▶ 5,397,761.			
	Less accumulated depreciation ▶ 4,865,046.	803,202.	532,715.	532,715.
	15 Other assets (describe ▶ Statement 13)	25,625.	39,939.	39,939.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	538,264,896.	544,415,754.	544,415,754.
Liabilities	17 Accounts payable and accrued expenses	734,920.	514,701.	
	18 Grants payable	30,893,983.	31,299,459.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Statement 14)	967,712.	1,332,543.	
	23 **Total liabilities** (add lines 17 through 22)	32,596,615.	33,146,703.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	504,082,512.	510,586,000.	
	25 Temporarily restricted	1,585,769.	683,051.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	505,668,281.	511,269,051.	
	31 **Total liabilities and net assets/fund balances**	538,264,896.	544,415,754.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	505,668,281.
2	Enter amount from Part I, line 27a	2	-9,431,957.
3	Other increases not included in line 2 (itemize) ▶ See Statement 9	3	15,032,727.
4	Add lines 1, 2, and 3	4	511,269,051.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	511,269,051.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statement			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	112,879,490.		104,331,663.	8,547,827.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				8,547,827.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 8,547,827.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	25,672,136.	538,945,043.	.047634
2014	24,304,099.	540,412,577.	.044973
2013	23,268,232.	507,249,904.	.045871
2012	22,749,221.	466,044,357.	.048813
2011	24,013,661.	463,332,589.	.051828

2	**Total** of line 1, column (d)	2	.239119
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047824
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	515,387,680.
5	Multiply line 4 by line 3	5	24,647,900.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	153,650.
7	Add lines 5 and 6	7	24,801,550.
8	Enter qualifying distributions from Part XII, line 4	8	24,059,971.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	307,299.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	307,299.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	307,299.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	126,421.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	260,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	386,421.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	79,122.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 79,122. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3	X	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.JOHNAHARTFORD.ORG

14 The books are in care of ▶ EVA CHENG Telephone no. ▶ 212-832-7788

Located at ▶ 55 EAST 59TH STREET, NEW YORK, NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | Yes | No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) *Agree to pay money or property to a government official?* (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

b If "Yes," did it have excess business holdings in 2016 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A | 3b

			Yes	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A		5b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 15		1265392.	227,949.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORA O'BRIEN-SURIC 55 E 59TH STREET, NEW YORK, NY 10022	SENIOR PROGRAM OFFICER 40.00	172,800.	52,072.	0.
AMY BERMAN 55 E 59TH STREET, NEW YORK, NY 10022	SENIOR PROGRAM OFFICER 40.00	161,000.	44,535.	0.
MARCUS ESCOBEDO 55 E 59TH STREET, NEW YORK, NY 10022	SENIOR PROGRAM OFFICER 40.00	152,000.	48,553.	0.
ANN RAFFEL 55 E 59TH STREET, NEW YORK, NY 10022	IT OFFICER 40.00	119,400.	31,100.	0.
WALLY PATAWARAN 55 E 59TH STREET, NEW YORK, NY 10022	PROGRAM OFFICER 40.00	93,193.	22,838.	0.
Total number of other employees paid over $50,000			▶	7

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
GOLDMAN SACHS & COMPANY 200 WEST STREET, NEW YORK, NY 10282	INVESTMENT ADVISOR & MANAGERS	2711771.
TA ASSOCIATES REALTY 200 CLARENDON STREET, BOSTON, MA 02116	INVESTMENT MANAGER	278,970.
BRENTWOOD ASSOCIATES - 11150 SANTA MONICA BLVD, LOS ANGELES, CA 90025	INVESTMENT MANAGER	256,706.
EAGLE DYNAMIC 499 PARK AVE 17TH FL., NEW YORK, NY 10022	INVESTMENT MANAGER	163,845.
ANGELO, GORDON & COMPANY 245 PARK AVE, NEW YORK, NY 10167	INVESTMENT MANAGER	160,985.
Total number of others receiving over $50,000 for professional services ▶		26

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 AGING AND HEALTH - COMMUNICATION & DISSEMINATION INITIATIVE RENEWAL	669,545.
2 AGING AND HEALTH - TO PURSUE SELECTED ACTIVITIES IDENTIFIED IN STRATEGIC PLAN	317,701.
3 AGING AND HEALTH MEETINGS - TO IDENTIFY COMMON INTERESTS AND ISSUES AMONG GRANTEES	98,987.
4 TECHNICAL ASSISTANCE - EVALUATING THE FOUNDATION'S IMPACT ON GRANTEES' FIELDS	33,135.

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	523,236,223.
b	Average of monthly cash balances		1b	
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	523,236,223.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	523,236,223.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	7,848,543.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	515,387,680.
6	**Minimum investment return.** Enter 5% of line 5		6	25,769,384.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	25,769,384.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 307,299.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b 13,525.		
c	Add lines 2a and 2b		2c	320,824.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	25,448,560.
4	Recoveries of amounts treated as qualifying distributions		4	28,909.
5	Add lines 3 and 4		5	25,477,469.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	25,477,469.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,015,756.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	44,215.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,059,971.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	24,059,971.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				25,477,469.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			15,869,230.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 24,059,971.				
a Applied to 2015, but not more than line 2a			15,869,230.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				8,190,741.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				17,286,728.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT ATTACHED, 212-832-7788
55 EAST 59TH STREET, NEW YORK, NY 10022

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT ATTACHED

c Any submission deadlines:

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT ATTACHED - PAID C/O 55 EAST 59TH ST NEW YORK, NY 10022		PC	SEE ATTACHED SCHEDULE	18,508,349.
Total			▶ 3a	18,508,349.
b Approved for future payment				
SEE STATEMENT ATTACHED - APPROVED C/O 55 EAST 59TH ST NEW YORK, NY 10022		PC	SEE ATTACHED SCHEDULE	20,166,248.
Total			▶ 3b	20,166,248.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	63,946.	
4 Dividends and interest from securities	900099	23,172.	14	9,828,481.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900099	72,617.	18	8,547,827.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUNDS					28,909.
b GRANT CANCELLATIONS					1,252,421.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		95,789.		18,440,254.	1,281,330.

13 **Total** Add line 12, columns (b), (d), and (e) 13 19,817,373.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11	GRANT REFUNDS/CANCELLATIONS

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes [X] No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/9/17 Title: CFO & TREASURER

May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Kevin Sunkel	[signature]	10/3/17		P00706145
Firm's name ▶ Owen J Flanagan & Co			Firm's EIN ▶ 13-2060851	
Firm's address ▶ 60 East 42nd Street New York, NY 10165			Phone no. 212-682-2783	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STOCKS & COMMINGLED FUNDS	P		
b	FIXED INCOME FUNDS	P		
c	PRIVATE EQUITY FUNDS	P		
d	REAL ESTATE FUNDS	P		
e	OTHER CLASS ACTION	P		
f	REALIZED GAINS SUBJECT TO UBIT			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	92,524,873.		86,751,502.	5,773,371.
b	14,424,175.		17,507,544.	-3,083,369.
c	4,822,306.			4,822,306.
d	1,096,806.			1,096,806.
e	11,330.			11,330.
f			72,617.	-72,617.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				5,773,371.
b				-3,083,369.
c				4,822,306.
d				1,096,806.
e				11,330.
f				-72,617.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	8,547,827.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part IV Figuring the Penalty

		(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. *(C Corporations with tax years ending June 30 and S corporations:* Use 3rd month instead of 4th month. *Form 990-PF and Form 990-T filers:* Use 5th month instead of 4th month.) See instructions	19				
20 Number of days from due date of installment on line 9 to the date shown on line 19	20				
21 Number of days on line 20 after 4/15/2016 and before 7/1/2016	21				
22 Underpayment on line 17 x Number of days on line 21 / 366 x 4% (0 04)	22	$	$	$	$
23 Number of days on line 20 after 06/30/2016 and before 10/1/2016	23				
24 Underpayment on line 17 x Number of days on line 23 / 366 x 4% (0 04)	24	$	$	$	$
25 Number of days on line 20 after 9/30/2016 and before 1/1/2017	25				
26 Underpayment on line 17 x Number of days on line 25 / 366 x 4% (0 04)	26	$	$	$	$
27 Number of days on line 20 after 12/31/2016 and before 4/1/2017	27				
28 Underpayment on line 17 x Number of days on line 27 / 365 x 4% (0 04)	28	$	$	$	$
29 Number of days on line 20 after 3/31/2017 and before 7/1/2017	29				
30 Underpayment on line 17 x Number of days on line 29 / 365 x *%	30	$	$	$	$
31 Number of days on line 20 after 6/30/2017 and before 10/1/2017	31				
32 Underpayment on line 17 x Number of days on line 31 / 365 x *%	32	$	$	$	$
33 Number of days on line 20 after 9/30/2017 and before 1/1/2018	33				
34 Underpayment on line 17 x Number of days on line 33 / 365 x *%	34	$	$	$	$
35 Number of days on line 20 after 12/31/2017 and before 3/16/2018	35				
36 Underpayment on line 17 x Number of days on line 35 / 365 x *%	36	$	$	$	$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	$	$	$	$

38 **Penalty**. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns | 38 | $ 0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at ***www.irs.gov.*** You can also call 1-800-829-4933 to get interest rate information.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
INT INCOME ON TEMP INVESTMENTS	63,946.	63,946.	
Total to Part I, line 3	63,946.	63,946.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
INV INCOME FROM SECURITIES	9,851,653.	0.	9,851,653.	9,828,481.	
To Part I, line 4	9,851,653.	0.	9,851,653.	9,828,481.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
GRANT REFUNDS	28,909.	0.	
GRANT CANCELLATIONS	1,252,421.	0.	
Total to Form 990-PF, Part I, line 11	1,281,330.	0.	

Form 990-PF Legal Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL	19,911.	0.		25,239.
To Fm 990-PF, Pg 1, ln 16a	19,911.	0.		25,239.

Form 990-PF Accounting Fees Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	35,250.	15,625.		15,625.
To Form 990-PF, Pg 1, ln 16b	35,250.	15,625.		15,625.

Form 990-PF Other Professional Fees Statement 6

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT MANAGERS FEES	1,157,481.	1,157,481.		0.
CUSTODIAN BANK FEES	127,874.	127,874.		0.
CONSULTING FEES	1,457,257.	1,414,715.		35,862.
To Form 990-PF, Pg 1, ln 16c	2,742,612.	2,700,070.		35,862.

Form 990-PF Taxes Statement 7

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE AND UNRELATED BUSINESS INCOME TAXES	341,051.	0.		0.
To Form 990-PF, Pg 1, ln 18	341,051.	0.		0.

Form 990-PF	Other Expenses	Statement 8

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INSURANCE	54,594.	10,010.		51,162.
OFFICE COPIER MAILING AND FAX	15,520.	989.		15,303.
OFFICE SUPPLIES & MISC	144,036.	9,182.		126,030.
GRANT RELATED EXPENSES	50,847.	0.		54,856.
DIRECT CHARITABLE	1,119,369.	0.		1,126,021.
To Form 990-PF, Pg 1, ln 23	1,384,366.	20,181.		1,373,372.

Form 990-PF	Other Increases in Net Assets or Fund Balances	Statement 9

Description	Amount
NET CHANGE IN UNREALIZED GAIN ON SECURITIES (NET OF DEFERRED TAX)	15,032,727.
Total to Form 990-PF, Part III, line 3	15,032,727.

Form 990-PF	Corporate Stock	Statement 10

Description	Book Value	Fair Market Value
CORPORATE STOCK & COMMINGLED FUNDS	342,905,346.	342,905,346.
Total to Form 990-PF, Part II, line 10b	342,905,346.	342,905,346.

Form 990-PF	Corporate Bonds	Statement 11

Description	Book Value	Fair Market Value
BONDS	78,885,633.	78,885,633.
Total to Form 990-PF, Part II, line 10c	78,885,633.	78,885,633.

Form 990-PF	Other Investments		Statement 12
Description	Valuation Method	Book Value	Fair Market Value
PRIVATE EQUITY FUNDS	FMV	42,019,056.	42,019,056.
REAL ESTATE POOLED FUNDS	FMV	27,953,553.	27,953,553.
Total to Form 990-PF, Part II, line 13		69,972,609.	69,972,609.

Form 990-PF	Other Assets		Statement 13
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
INTEREST AND DIVIDENDS RECEIVABLE	25,625.	39,939.	39,939.
To Form 990-PF, Part II, line 15	25,625.	39,939.	39,939.

Form 990-PF	Other Liabilities	Statement 14
Description	BOY Amount	EOY Amount
DEFERRED FEDERAL EXCISE TAX PAYABLE	967,712.	1,274,502.
CURRENT TAX PAYABLE	0.	58,041.
Total to Form 990-PF, Part II, line 22	967,712.	1,332,543.

Form 990-PF Part VIII - List of Officers, Directors Trustees and Foundation Managers Statement 15

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
JOHN H. ALLEN 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.50	15,000.	0.	0.
HUA (EVA) CHENG 55 E 59TH STREET NEW YORK, NY 10022	CFO & TREASURER 40.00	214,800.	69,882.	0.
TERESA T. FULMER 55 E 59TH STREET NEW YORK, NY 10022	PRESIDENT 40.00	570,180.	71,516.	0.
CHRISTOPHER T.H. PELL 55 E 59TH STREET NEW YORK, NY 10022	VICE PRESIDENT 1.40	17,280.	0.	0.
FRANCISCO J DOLL 55 E 59TH STREET NEW YORK, NY 10022	GRANTS MANAGER & CORPORATE 40.00	119,000.	31,032.	0.
MARGARET L. WOLFF 55 E 59TH STREET NEW YORK, NY 10022	CHAIR 2.20	16,830.	0.	0.
BARBARA PAUL ROBINSON 55 E 59TH STREET NEW YORK, NY 10022	VICE PRESIDENT 1.40	17,738.	0.	0.
LILE R. GIBBONS 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.20	14,000.	0.	0.
AUDREY A. MCNIFF 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.00	13,500.	0.	0.
CHARLES A. DANA 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 0.90	14,000.	0.	0.
JOHN R. MACH, JR. MD 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.30	16,354.	0.	0.

ELIZABETH A. PALMER 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.00	15,234.	0.	0.
EARL A. SAMSON III 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.50	16,622.	0.	0.
CHARLES M. FARKAS 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 1.00	14,000.	0.	0.
RANI E. SNYDER 55 E 59TH STREET NEW YORK, NY 10022	PROGRAM DIRECTOR 40.00	183,854.	55,519.	0.
DAVID DI MARTINO 55 E 59TH STREET NEW YORK, NY 10022	TRUSTEE 0.50	7,000.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		1,265,392.	227,949.	0.

General Explanation Statement 16

Form/Line Identifier and Description/Return Reference

FORM 990-PF PART VII-A LINE 12 - GRANTS TO DONOR ADVISED FUNDS

Explanation:

THE FOUNDATION MADE FIVE GRANTS TO DONOR ADVISED FUNDS AS PART OF ITS MATCHING GIFT PROGRAM FOR STAFF AND TRUSTEES. CONTRIBUTIONS TO THESE FUNDS ARE EXCLUSIVELY FOR CHARITABLE PURPOSES UNDER SECTION 170(C)(2)(B).

JOHN A HARTFORD FOUNDATION FED. ID NO 13-1667057		
RECAP OF GAINS AND LOSSES FROM SECURITIES		
2016 FORM 990-PF, PART IV		
Net Gain from Sales of Securities·		
Stocks & Commingled Funds	$5,773,370	
Fixed-Income Funds	($3,083,368)	$2,690,002
Pro-Rata Share of Realized Gains of Investment Partnerships		
Private Equity Funds	$4,822,306	
Real Estate Funds	$1,096,806	$5,919,112
Class Action Settlements		$11,330
TOTAL PART IV, COL h, LINES a + b + c + d + e		$8,620,444
		$8,620,444

PART II

BALANCE SHEET

THE JOHN A. HARTFORD FOUNDATION, INC.

RECONCILIATION OF THE MARKET VALUE OF INVESTMENTS PER CUSTODIAN SUMMARY
WITH FOUNDATION'S CONTROLS AT DECEMBER 31, 2016

	CASH LINE 1	SHORT-TERM LINE 2	STOCK & COMMINGLED FUND LINE 10b	FIXED INCOME LINE 10C	OTHER INVEST. ASSETS LINE 13	TOTAL INVESTMENTS
MARKET VALUES:						
TOTALS PER CUSTODIAN SUMMARY		$20,795,756 (1)	$348,905,348 (2)	$78,885,633 (3)	$69,903,555 (4)	$518,490,291
NET CASH PENDING SETTLEMENT		$24,658,856 (5)				$24,658,856
CUSTODIAN ERROR (PENDING NEW INVESTMENT)		$6,000,000	($6,000,000)			$0
CUSTODIAN ERROR (FIXED INCOME INTEREST RECLASS)		$236,530				$236,530
PENDING DISTRIBUTION FROM OTHER INVEST. ASSETS		$127,919				$127,919
MARKET VALUE ADJUSTEMENT					$69,053	$69,053
OPERATING CASH		($748,768)				($748,768)
TOTALS	$0	$51,070,293	$342,905,348	$78,885,633	$69,972,608	$542,833,882

PART II

BALANCE SHEET

THE JOHN A. HARTFORD FOUNDATION, INC.

SUMMARY WITH FOUNDATION'S CONTROLS AT DECEMBER 31, 2016

RECONCILIATION OF THE MARKET VALUE OF INVESTMENTS PER CUSTODIAN

CONTINUED

	MARKET VALUE
(1) SHORT-TERM CASH INVESTMENTS	20,795,756
TOTAL ST CASH INVESTMENTS	20,795,756
(2) EQUITIES	$292,189,962
HEDGE FUND	$56,715,386
STOCK & COMMINGLED FUND	$348,905,348
(3) FIXED INCOME	$78,885,633
(4) REAL ESTATE	$28,230,271
INVESTMENT PARTNERSHIPS	$41,673,284
TOTAL OTHER INVESTMENTS	$69,903,555
(5) TOTAL ADJUSTMENT TO CASH	$23,521,488
OTHER ASSET (HEDGE FUND HOLDBACK)	$1,137,368
NET CASH PENDING SETTLEMENT	$24,658,856

THE JOHN A. HARTFORD FOUNDATION, INC.

SCHEDULE OF LAND, BUILDING, AND EQUIPMENT

PART I - LINE 19 AND PART II - LINE 14

December 31, 2016

	OFFICE CONDOMINIUM	FURNITURE AND FIXTURES	TOTAL
COST 12/31/15	$4,622,812	$803,487	$5,426,299
2016 RETIREMENT		($73,314)	($73,314)
2016 ACQUISITIONS (ACCRUAL BASIS)		$44,776	$44,776
COST 12/31/16	$4,622,812	$774,949	$5,397,761
LESS:			
ACCUMULATED DEPRECIATION 12/31/15	$4,044,362	$578,735	$4,623,097
2016 DEPRECIATION EXPENSE[1] (PART I - LINE 19)	$231,140	$84,123	$315,263
2016 RETIREMENT		($73,314)	($73,314)
ACCUMULATED DEPRECIATION 12/31/16 (PART II - LINE 14)	$4,275,502	$589,544	$4,865,046
BOOK VALUE	$347,310	$185,405	$532,715

(1) OFFICE CONDOMINIUM - S.L. 20 YRS.
FURNITURE AND FIXTURES - S.L. 5 YRS.

GRANTS APPROVED AND PAID (PART I, LINE 25 AND PART XV, LINE 3)
For the Year Ended December 31, 2016

	PAID (LINE 3a)	APPROVED (LINE 3b)
Total per attached listing	$18,479,439	$18,884,918
Addition:		
Grants Refunded/Cancelled	$28,909	$1,281,330
Total	$18,508,348	$20,166,248

JOHN A. HARTFORD FOUNDATION
55 EAST 59th STREET
NEW YORK, N.Y. 10022
FEDERAL TAX I.D. #13-1667057

		Balance Due at January 1, 2016	Grants Authorized During Year	Amount Paid During Year	Balance Due at December 31, 2016	EIN	IRS Designation
Aging and Health							
ACADEMIC GERIATRICS & TRAINING							
American Federation for Aging Research, Inc. *Paul B Beeson Career Development Awards in Aging Research Partnership Renewal* Odette van der Willik	New York, NY	$2,409,625		$710,380	$1,699,245	13-3045282	PC
American Federation for Aging Research, Inc. *Centers of Excellence in Geriatric Medicine and Training National Program Office Renewal* Odette van der Willik	New York, NY	265,905		157,014	108,891	13-3045282	PC
American Federation for Aging Research, Inc *Medical Student Training in Aging Research Program Renewal* Odette van der Willik	New York, NY	69,492		69,373	119	13-3045282	PC
American Geriatrics Society, Inc. *Geriatrics for Specialists Initiative Phase V* John R Burton, MD	New York, NY	704,384		276,305	428,079	13-1950856	PC
Council on Social Work Education *National Center for Gerontological Social Work Education Transition Grant* Darla Spence Coffey, PhD	Alexandria, VA	22,311		22,311		54-1856529	PC
Gerontological Society of America *National Hartford Centers of Gerontological Nursing Excellence Coordinating Center* J Taylor Hardin, PhD, RN	Washington, DC	168,323		168,323		52-1256181	PC
New York University * *National Hartford Centers of Gerontological Nursing Excellence Coordinating Center* Tara A Cortes, PhD, RN	New York, NY		$873,240	636,455	236,785	13-5562308	PC
Wake Forest University Health Sciences * *Integrating Geriatrics into the Specialties of Internal Medicine Renewal Capitalizing on Forward Momentum* Kevin P High, MD, MSc	Winston-Salem, NC		484,804	209,360	275,444	22-3849199	PC
Total Academic Geriatrics & Training		**$3,640,040**	**$1,358,044**	**$2,249,521**	**$2,748,563**		
INTERPROFESSIONAL LEADERSHIP IN ACTION							
Gerontological Society of America *Hartford Change AGEnts Initiative* Patricia D'Antonio, RPh, MS, MBA, CGP	Washington, DC	$1,639,997		$1,286,076	$353,921	52-1256181	PC
Research Foundation for Mental Hygiene, Inc. *Health and Aging Policy Fellows Program* Harold Alan Pincus, MD	Menands, NY	1,414,877			1,414,877	14-1410842	PC
University of Colorado *Practice Change Leaders for Aging and Health Renewal* Eric A Coleman, MD, MPH	Denver, CO	2,182,383		67,616	2,114,767	84-6000555	PC
University of Washington *Building a Collective Strategy to Accelerate Progress in End-of-Life Care* Anthony Back, MD	Seattle, WA		$3,522,250	1,323,055	2,199,195	94-3079432	PC
Total Interprofessional Leadership in Action		**$5,237,257**	**$3,522,250**	**$2,676,747**	**$6,082,760**		
LINKING EDUCATION & PRACTICE							

		Balance Due at January 1, 2016	Grants Authorized During Year	Amount Paid During Year	Balance Due at December 31, 2016	EIN	IRS Designation
American Geriatrics Society, Inc. *Genatrics Workforce Enhancement Program (GWEP) Coordinating Center* Nancy E Lundebjerg, MPA	New York, NY	$3,007,368		$1,003,737	$2,003,631	13-1950856	PC
American Geriatrics Society, Inc. *Developing a National Collaborative to Improve Emergency Department Care of Older Adults* Kevin Biese, MD	New York, NY	1,488,512		662,045	826,467	13-1950856	PC
Indiana University *OPTIMISTIC Resource Center Planning Grant* Kathleen Unroe, MD, MHA	Indianapolis, IN	221,064		170,550	50,514	35-6001673	PC
The NASW Foundation, Inc. *Gerontological Social Work Supervisors Program* Joan Levy Zlotnik, PhD, MSSW	Washington, DC	701,437		363,736	337,701	13-6128093	PC
National Association of Area Agencies on Aging, Inc. *Building the Capacity of the Aging and Disability Networks to Ensure the Delivery of Quality Integrated Care* Sandy Markwood	Washington, DC		$2,912,005	945,000	1,967,005	52-1052345	PC
University of Minnesota *Increasing Interprofessional Collaboration (IPC) in Clinical Nursing & Health Professions Education* Barbara F Brandt, PhD	Minneapolis, MN		300,000	100,000	200,000	41-6042488	PC
Total Linking Education & Practice		**$5,418,381**	**$3,212,005**	**$3,245,068**	**$5,385,318**		
DEVLOPING & DISSEMINATING MODELS OF CARE							
Hebrew Rehabilitation Center for Aged Research and Training Institute *Hospital Elder Life Program (HELP) Taking to Scale* Sharon K Inouye, MD, MPH	Boston, MA	$341,070		$281,070	$60,000	04-2104298	PC
Mount Sinai Medical Center, Inc. *The Center to Advance Palliative Care (CAPC) Transformation Business Plan* Diane E Meier, MD	New York, NY	1,230,487		230,487	1,000,000	13-6171197	PC
Mount Sinai Medical Center, Inc. *Mobile Acute Care Team Services* Albert L Siu, MD, MSPH	New York, NY	1,084,692		256,294	828,398	13-6171197	PC
National Council of Young Men's Christian Associations of the United States of America *Preventing Diabetes among Older Adults Program* Heather Hodge, Med	Chicago, IL	400,000		140,977	259,023	36-3258696	PC
New York University *Nurses Improving Care for Healthsystem Elders in Long-Term Care (NICHE-LTC)* Eileen M Sullivan-Marx, PhD, RN	New York, NY	1,499,958		522,993	976,965	13-5562308	PC
Paraprofessional Healthcare Institute, Inc. *Strategic Investment Doubling PHI's Impact on the Direct-Care Workforce to Improve Care for Elders* Jodi M Sturgeon	Bronx, NY	450,000		450,000		13-3575492	PC
Yale University *Primary Specialty Care Redesign (CaReAlign) Implementation* Mary E Tinetti, MD	New Haven, CT	2,635,094		662,076	1,973,018	06-0646973	PC
Total Developing & Disseminating Models of Care		**$7,641,301**		**$2,543,897**	**$5,097,404**		

		Balance Due at January 1, 2016	Grants Authorized During Year	Amount Paid During Year	Balance Due at December 31, 2016	EIN	IRS Designation
TOOLS & MEASURES FOR QUALITY CARE							
American College of Surgeons *Genatnc Surgery Venfication and Quality Improvement Program* Clifford Y Ko, MD, MS, MSHS	Chicago, IL	$2,370,349		$554,687	$1,815,662	36-2192800	PC
Columbia University *The John A Hartford Foundation Index Measunng Societal Adaptation to Population Aging* John W Rowe, MD	New York, NY	220,425		220,425		13-5598093	PC
National Committee for Quality Assurance *Quality Measurement to Assess the Performance of Goal Setting and Achievement in the Delivery of Medical and Long-Term Care Renewal* Jessica Bnefer French, MHSA	Washington, DC	941,981		599,026	342,955	52-1191985	PC
President and Fellows of Harvard College *Understanding Health IT-Enabled Performance Improvement for Older Adults* Ashish K Jha, MD, MPH	Cambndge, MA	495,024		465,024	30,000	04-2103580	PC
Total Tools & Measures for Quality Care		**$4,027,779**		**$1,839,162**	**$2,188,617**		
COMMUNICATIONS & POLICY							
Community Catalyst, Inc. *Voices for Better Health Genatrics Provider Collaboration Renewal* Renée Markus Hodin	Boston, MA	$1,297,320		$490,212	$807,108	04-3355127	PC
George Washington University *National Health Policy Forum Advancing Aging and Health Policy Understanding Renewal* Judith Miller Jones	Washington, DC	97,700		97,700		53-0196584	PC
Project HOPE - People-to-People Health Foundatio *Health Affairs Journal Lessons on Innovative Health Care Models for an Aging Population Renewal* Alan Weil	Bethesda, MD	441,000		214,473	226,527	53-0242962	PC
Tides Center *Eldercare Workforce Alliance Renewal* Amy York	San Francisco, CA	250,000		97,400	152,600	94-3213100	PC
Trustees of Dartmouth College *High Cost, High Need Costs and Fragmentation of Care for Older Adults with Multiple Chronic Diseases* Julie P W Bynum, MD, MPH	Lebanon, NH	78,605		78,605		02-0222111	PC
Total Communications & Policy		**$2,164,625**		**$978,390**	**$1,186,235**		
SERIOUS ILLNESS/END-OF-LIFE							
National Academy of Sciences *Roundtable on Quality of Care for People with Advanced Illness* Sharyl Nass	Washington, DC		$211,415	$70,455	$140,960	53-0196932	PC
Total Serious Illness/End-of-Life			**$211,415**	**$70,455**	**$140,960**		
AGE-FRIENDLY HEALTH SYSTEMS							
American Academy of Home Care Medicine *Building Awareness and Engaging Payers to Bring Home-Based Pnmary Care into the Mainstream* Mindy J Fain, MD	Chicago, IL		$150,000	$50,000	$100,000	52-1891671	PC
American Geriatrics Society, Inc. *Genatnc Orthopedic Hip-Fracture Co-*	New York, NY	$199,756	1,399,263	199,756	1,399,263	13-1950856	PC

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Management Nancy E Lundebjerg, MPA							
Center for Medicare Advocacy *Reducing Harm to Medicare Beneficiaries Improving Hospital Observation Status and Other Policies* Judith Stein, Esq	Mansfield, CT		500,000	125,000	375,000	06-1172509	PC
Education Development Center, Inc. *National Co-Laboratory to Address Elder Mistreatment* Rebecca Stoeckle	Waltham, MA		774,984		774,984	04-2241718	PC
Home Centered Care Institute *National Home Care Medicine Education & Expansion Initiative* Thomas Cornwell, MD	Schaumberg, IL		454,779	70,632	384,147	47-2070461	PC
Institute for Healthcare Improvement *Age-Friendly Health Systems* Kedar Mate, MD	Cambridge, MA		3,190,452	707,991	2,482,461	38-3017223	PC
Institute for Healthcare Improvement *Development of the High-Needs, High-Cost Playbook V 2 0* Donald A Goldmann, MD	Cambridge, MA		180,838		180,838	38-3017223	PC
Johns Hopkins University *Implementation of a National Learning Collaborative to Enhance Home-Based Primary Care Quality of Care* Bruce A Leff, MD	Baltimore, MD		939,568	52,164	887,404	52-0595110	PC
Total Age-Friendly Health Systems		**$199,756**	**$7,589,884**	**$1,205,543**	**$6,584,097**		
SPECIAL INITIATIVES							
Gerontological Society of America *Study of Family Caregiving and Support Services for Older Adults* Patricia D'Antonio, RPh, MS, MBA, CGP	Washington, DC	$51,497		$51,497		52-1256181	PC
Grantmakers In Aging *Reframing Aging Phase II* John Feather, PhD	Arlington, VA	387,550		88,200	$299,350	13-4014982	PC
Grantmakers In Aging *GIA Core Support Renewal Engaging, Educating, and Convening* John Feather, PhD	Arlington, VA	75,000		75,000		13-4014982	PC
Henry J. Kaiser Family Foundation *Kaiser Health News The Late Life and Geriatric Care Reporting Project* David Rousseau	Menlo Park, CA		$2,472,650	403,975	2,068,675	94-6064808	POF
Rockefeller Archive Center *Archiving of Hartford Foundation's Historical Grant Documents* Margaret A Hogan	Sleepy Hollow, NY	200,000		100,000	100,000	20-8030810	POF
Santa Fe Group, Inc. *Oral Health for America's Seniors Expanding Medicare* Michael C Alfano, DMD, PhD	New York, NY		100,170	49,980	50,190	68-3654702	PC
Total Special Initiatives		**$714,047**	**$2,572,820**	**$768,652**	**$2,518,215**		
SOCIAL INNOVATION FUND							
Bighorn Valley Health Center, Inc.[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Earl Sutherland, Phd	Hardin, MT	$278,965		$85,283	$193,682	27-3113428	PC
Butte Silver Bow Primary Health Care Clinic, Inc.[3]	Butte, MT	264,765		81,974	182,791	81-0432169	PC

		Balance Due at January 1, 2016	Grants Authorized During Year	Amount Paid During Year	Balance Due at December 31, 2016	EIN	IRS Designation
Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee Molly Molloy							
Community Health Center of Central Wyoming, Inc.[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Ryan Bair, MSW, LCSW	Casper, WY	287,386		164,869	122,517	83-0326307	PC
Kodiak Area Native Association[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Tammy L Hansen	Kodiak, AK	533,466		313,564	219,902	92-0038225	PC
Mat-Su Health Services, Inc.[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Jean Selk	Wasilla, AK	57,272		57,272		92-0089779	PC
Partnership Health Center, Inc.[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Mary Jane Nealon	Missoula, MT	405,633		254,048	151,585	36-3843543	PC
Peninsula Community Health Services[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Regina Bonnevie-Rogers, MD	Bremerton, WA	385,299		80,218	305,080	94-3079770	PC
University of Washington[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Renewal* Jurgen Unutzer, MD, MPH, MA	Seattle, WA	809,522		288,934	520,588	94-3079432	PC
Valley View Health Center[3] *Social Innovation Fund Healthy Futures/IMPACT Expansion Subgrantee* Tre Normoyle, PhD	Chehalis, WA	286,747		218,028	68,719	42-1599749	PC
Total Social Innovation Fund		**$3,309,055**		**$1,544,191**	**$1,764,864**		
TOTAL AGING & HEALTH		**$32,352,241**	**$18,466,418**	**$17,121,626**	**$33,697,033**		
Memberships							
The Foundation Center *Annual Support* Bradford Smith	New York, NY		$11,000	$11,000		13-1837418	PC
Grantmakers in Aging *Annual Support* John Feather, PhD	Arlington, VA		7,500	7,500		13-4014982	PC
Grantmakers in Health *Annual Support* Faith Mitchell PhD	Washington, DC		7,500	7,500		13-3206571	PC
Hispanics in Philanthropy *Annual Membership* Diana Campoamor	Oakland, CA		4,925	4,925		94-3040607	PC
Philanthropy New York, Inc. *Annual Support* Ronna D Brown	New York, NY		17,350	17,350		13-3001403	PC
Services & Advocacy for Gay Lesbian Bisexual & Transgender Elders, Inc. *General Support* Michael Adams	New York, NY		5,000	5,000		13-2947657	PC
University of the People *General Support* Shai Reshef	Pasadena, CA		1,000	1,000		26-4078735	PC
Total Memberships			**$54,275**	**$54,275**			
Partnership Fund							

		Balance Due at January 1, 2016	Grants Authorized During Year	Amount Paid During Year	Balance Due at December 31, 2016	EIN	IRS Designation
American Federation for Aging Research, Inc. *2016 Annual Dinner* Stephanie Lederman	New York, NY		$1,680	$1,680		13-3045282	PC
Elder Services of the Merrimack Valley, Inc. *In Honor of Rosanne DiStefano For 40 Years of Service* Hemali Shah	Lawrence, MA		350	350		04-2545136	PC
Grantmakers In Aging *2016 Annual Conference Opening Plenary Support* John Feather, PhD	Arlington, VA		20,000	20,000		13-4014982	PC
Hebrew Home for the Aged at Riverdale Foundation, Inc. *2016 Annual Dinner* Daniel A Reingold	Riverdale, NY		1,500	1,500		20-4352212	PC
Human Services Council of New York City *Leadership Awards Reception* Jason Wu	New York, NY		265	265		13-3620059	PC
National Academy of Sciences *2016 Forum on Aging, Disability, and Independence Workshop Sponsorship* Sarah Domnitz, PhD	Washington, DC		20,000	20,000		53-0196932	PC
Isabella Foundation, Inc. *2016 Raise the Roof Gala* Mark J Kator	New York, NY		8,250	8,250		13-3725701	PC
Medicare Rights Center, Inc. *2016 Annual Dinner* Joe baker	New York, NY		1,000	1,000		13-3505372	PC
New York Academy of Medicine *2016 Annual Gala Sponsor Package* Jo Ivey Boufford, MD	New York, NY		7,700	7,700		13-1656674	PC
Northeastern University *Innovation Conference* Nancy Hanrahan, PhD, RN	Boston, MA		17,500	17,500		04-1679980	PC
United Hospital Fund of New York *2016 Gala Patron Sponsorship* James R Tallon	New York, NY		9,200	9,200		13-1562656	PC
University of Pennsylvania *Claire M Fagin Endowed Scholarship in Nursing* Antonia M Villarruel, PhD, RN	Philadelphia, PA		5,000	5,000		23-1352685	PC
Visiting Nurse Service of New York *2016 Annual Dinner* John Billeci	New York, NY		5,000	5,000		13-3189926	SOIII FI
Total Partnership Fund			**$97,445**	**$97,445**			
Matching Grants[1]			$1,171,003	$1,171,003			
Discretionary Grants [2]			64,000	64,000			
Grants Refunded or Cancelled		2,592,170	(2,621,079)	(28,909)			
Contingent Grants Adjustments[3]		(2,874,758)	1,425,053		(1,449,705)		
Discounts to Present Value		(1,175,672)	227,803		(947,869)		
Total (All Grants)		**$30,893,981**	**$18,884,918**	**$18,479,439**	**$31,299,459**		

[1]Grants made under the Foundation's program for matching charitable contributions by Trustees and staff

[2]Grants made under the Foundation's program for charitable contributions designated by staff

[3]Contingent Grants

JOHN A. HARTFORD FOUNDATION
55 EAST 59th STREET
NEW YORK, N.Y. 10022
FEDERAL TAX I.D. #13-1667057

Asset Summary

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total	Market value incl accruals	%
Equities								
Common stock								
Australia - USD	0 00	419,409 20	402,686 56	16,722 64	0 00	16,722 64	419,409 20	0 077%
Brazil - USD	0 00	84,573 32	76,074 18	8,499 14	0.00	8,499 14	84,573 32	0 016%
Canada - USD	0.00	786,533 92	569,391 17	217,142 75	0 00	217,142 75	786,533 92	0 145%
Cayman Islands - USD	0 00	510,411 89	493,277 89	17,134 00	0 00	17,134 00	510,411 89	0 094%
China - USD	0.00	95,357 80	61,802 68	33,555 12	0 00	33,555 12	95,357 80	0 018%
Denmark - USD	0 00	228,676 10	238,634 87	- 9,958 77	0 00	- 9,958 77	228,676 10	0 042%
France - USD	0 00	475,153 52	423,499 94	51,653 58	0 00	51,653.58	475,153 52	0 087%
Germany - USD	0 00	882,647.44	822,801 74	59,845 70	0 00	59,845 70	882,647 44	0 162%
Global Region - USD	0 00	8,416,009 00	10,240,000 00	- 1,823,991 00	0 00	- 1,823,991 00	8,416,009 00	1 549%
Hong Kong - HKD	0 00	0 00	194,842 97	- 196,247 78	1,404 81	- 194,842 97	0 00	0 000%
Indonesia - USD	0 00	121,426 38	96,401 35	25,025 03	0 00	25,025 03	121,426 38	0 022%
Israel - USD	0 00	228,230 00	285,388 05	- 57,158 05	0 00	- 57,158 05	228,230 00	0 042%
Italy - USD	0 00	51,822 98	91,698 05	- 39,875.07	0 00	- 39,875 07	51,822 98	0 010%
Japan - USD	0 00	697,287 47	665,916 75	31,370 72	0 00	31,370 72	697,287 47	0 128%
Mexico - USD	0 00	277,881 13	321,100 93	- 43,219 80	0 00	- 43,219 80	277,881 13	0 051%
Netherlands - USD	0 00	214,876 28	211,363.46	3,512 82	0 00	3,512 82	214,876 28	0.040%
Singapore - USD	0 00	80,255 28	94,837 73	- 14,582 45	0 00	- 14,582 45	80,255 28	0 015%
Spain - USD	0 00	126,351 99	76,976 94	49,375 05	0 00	49,375 05	126,351 99	0 023%
Sweden - USD	0 00	227,445 21	303,372 14	- 75,926.93	0 00	- 75,926 93	227,445 21	0 042%
Switzerland - USD	0 00	814,167 41	787,436 50	26,730 91	0 00	26,730 91	814,167 41	0 150%
Taiwan - USD	0 00	244,058 75	152,425 29	91,633 46	0 00	91,633 46	244,058 75	0 045%
Thailand - USD	0 00	145,622 40	152,208 79	- 6,586 39	0 00	- 6,586 39	145,622 40	0 027%
United Kingdom - USD	3,383 66	1,818,217 95	1,671,559 42	146,658 53	0 00	146,658 53	1,821,601 61	0 335%
United States - USD	29,122 78	31,128,363 93	21,212,859 59	9,915,504 34	0 00	9,915,504 34	31,157,486 71	5 734%

Asset Summary

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total	Market value incl. accruals	%
Equities								
Common stock								
Total common stock	**32,506.44**	**48,074,779.35**	**39,646,556.99**	**8,426,817.55**	**1,404 81**	**8,428,222.36**	**48,107,285.79**	**8.853%**
Funds - common stock								
Global Region - USD	0 00	48,044,121 10	48,821,584 64	- 777,463 54	0 00	- 777,463 54	48,044,121 10	8 841%
International Region - USD	0 00	42,489,590 15	44,224,322 54	- 1,734,732 39	0 00	- 1,734,732 39	42,489,590 15	7 819%
United States - USD	0 00	51,757,152 29	43,221,492 39	8,535,659 90	0 00	8,535,659 90	51,757,152 29	9 524%
Total funds - common stock	**0.00**	**142,290,863.54**	**136,267,399.57**	**6,023,463.97**	**0.00**	**6,023,463.97**	**142,290,863.54**	**26.184%**
Other equity assets								
United States - USD	0 00	6,000,000 00	6,000,000 00	0 00	0 00	0 00	6,000,000 00	1 104%
Total other equity assets	**0.00**	**6,000,000.00**	**6,000,000.00**	**0.00**	**0.00**	**0.00**	**6,000,000.00**	**1.104%**
Funds - equities etf								
United States - USD	0 00	95,824,318 63	61,426,597 43	34,397,721 20	0 00	34,397,721 20	95,824,318 63	17 633%
Total funds - equities etf	**0.00**	**95,824,318.63**	**61,426,597.43**	**34,397,721.20**	**0.00**	**34,397,721.20**	**95,824,318.63**	**17.633%**
Total equities	**32,506.44**	**292,189,961.52**	**243,340,553.99**	**48,848,002.72**	**1,404.81**	**48,849,407.53**	**292,222,467.96**	**53.774%**
Fixed Income								
Funds - corporate bond								
Global Region - USD	57,179 55	16,172,309 67	15,585,627 81	586,681.86	0 00	586,681 86	16,229,489 22	2 987%
United States - USD	179,350 72	34,522,692 96	36,401,843 98	- 1,879,151 02	0 00	- 1,879,151 02	34,702,043 68	6 386%
Total funds - corporate bond	**236,530.27**	**50,695,002.63**	**51,987,471.79**	**- 1,292,469.16**	**0.00**	**- 1,292,469.16**	**50,931,532.90**	**9.372%**
Funds - fixed income etf								
United States - USD	0 00	28,190,630 25	28,747,203 85	- 556,573 60	0 00	- 556,573 60	28,190,630 25	5 188%
Total funds - fixed income etf	**0.00**	**28,190,630.25**	**28,747,203.85**	**- 556,573.60**	**0.00**	**- 556,573.60**	**28,190,630 25**	**5.188%**
Total fixed income	**236,530.27**	**78,885,632.88**	**80,734,675.64**	**- 1,849,042.76**	**0 00**	**- 1,849,042 76**	**79,122,163.15**	**14.560%**

Asset Summary

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total	Market value incl accruals	%
Real Estate								
Real estate								
United States - USD	0 00	28,230,271 00	34,684,722 62	- 6,454,451 62	0 00	- 6,454,451.62	28,230,271 00	5 195%
Total real estate	**0.00**	**28,230,271.00**	**34,684,722.62**	**- 6,454,451.62**	**0.00**	**- 6,454,451.62**	**28,230,271.00**	**5.195%**
Total real estate	**0.00**	**28,230,271.00**	**34,684,722.62**	**- 6,454,451.62**	**0.00**	**- 6,454,451.62**	**28,230,271.00**	**5.195%**
Venture Capital and Partnerships								
Partnerships								
Cayman Islands - USD	0 00	15,704,515 00	12,220,284 96	3,484,230 04	0 00	3,484,230 04	15,704,515.00	2 890%
Global Region - USD	0 00	9,025,815 00	8,597,191 16	428,623 84	0 00	428,623 84	9,025,815 00	1 661%
United States - USD	0 00	16,942,954 00	5,774,245 15	11,168,708 85	0 00	11,168,708 85	16,942,954 00	3 118%
Total partnerships	**0.00**	**41,673,284.00**	**26,591,721.27**	**15,081,562.73**	**0.00**	**15,081,562.73**	**41,673,284.00**	**7.669%**
Total venture capital and partnerships	**0.00**	**41,673,284.00**	**26,591,721.27**	**15,081,562 73**	**0.00**	**15,081,562.73**	**41,673,284.00**	**7.669%**
Other Assets								
Miscellaneous								
United States - USD	0 00	1,137,367 94	1,137,367 94	0 00	0 00	0 00	1,137,367 94	0 209%
Total miscellaneous	**0.00**	**1,137,367.94**	**1,137,367.94**	**0.00**	**0.00**	**0.00**	**1,137,367.94**	**0.209%**
Total other assets	**0 00**	**1,137,367.94**	**1,137,367.94**	**0.00**	**0.00**	**0.00**	**1,137,367.94**	**0.209%**
Hedge Fund								
Hedge equity								
International Region - USD	0 00	9,870,092 06	9,350,000 00	520,092 06	0 00	520,092 06	9,870,092 06	1 816%
United States - USD	0 00	22,877,245 75	16,564,766.32	6,312,479 43	0 00	6,312,479.43	22,877,245 75	4.210%
Total hedge equity	**0 00**	**32,747,337.81**	**25,914,766.32**	**6,832,571 49**	**0 00**	**6,832,571.49**	**32,747,337.81**	**6.026%**
Hedge fund of funds								
International Region - USD	0 00	8,391,671 33	7,500,000 00	891,671 33	0 00	891,671 33	8,391,671 33	1 544%
Total hedge fund of funds	**0.00**	**8,391,671.33**	**7,500,000.00**	**891,671.33**	**0.00**	**891,671.33**	**8,391,671.33**	**1.544%**

Asset Summary

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total	Market value incl. accruals	%
Hedge Fund								
Hedge market dependent								
International Region - USD	0 00	1,945,376 87	2,154,791 18	- 209,414 31	0 00	- 209,414 31	1,945,376 87	0 358%
Total hedge market dependent	**0.00**	**1,945,376.87**	**2,154,791.18**	**- 209,414.31**	**0 00**	**- 209,414.31**	**1,945,376.87**	**0.358%**
Hedge multi strategy								
International Region - USD	0 00	13,631,000 04	9,151,384 70	4,479,615 34	0 00	4,479,615 34	13,631,000 04	2 508%
Total hedge multi strategy	**0.00**	**13,631,000.04**	**9,151,384.70**	**4,479,615.34**	**0 00**	**4,479,615 34**	**13,631,000.04**	**2.508%**
Total hedge fund	**0.00**	**56,715,386.05**	**44,720,942.20**	**11,994,443 85**	**0.00**	**11,994,443.85**	**56,715,386.05**	**10.437%**
Cash and Cash Equivalents								
Funds - short term investment								
Funds - Short Term Investment	7,432 44	20,795,755 84	20,795,755 84	0 00	0 00	0 00	20,803,188 28	3 828%
Total funds - short term investment	**7,432.44**	**20,795,755 84**	**20,795,755.84**	**0.00**	**0.00**	**0.00**	**20,803,188.28**	**3.828%**
Total cash and cash equivalents	**7,432.44**	**20,795,755.84**	**20,795,755 84**	**0.00**	**0.00**	**0.00**	**20,803,188.28**	**3.828%**
Adjustments To Cash								
Pending trade purchases								
Pending trade purchases	0 00	6,163,147 71	6,163,147 71	0.00	0 00	0 00	6,163,147 71	1 134%
Total pending trade purchases	**0.00**	**6,163,147.71**	**6,163,147.71**	**0.00**	**0.00**	**0.00**	**6,163,147.71**	**1.134%**
Pending trade sales								
Pending trade sales	0 00	17,359,035 41	17,359,035 41	0 00	0 00	0 00	17,359,035 41	3 194%
Total pending trade sales	**0.00**	**17,359,035.41**	**17,359,035 41**	**0.00**	**0.00**	**0.00**	**17,359,035.41**	**3.194%**
Other payables								
Other Payables	0 00	- 695 00	- 695 00	0 00	0 00	0 00	-695 00	- 0 000%
Total other payables	**0.00**	**- 695.00**	**- 695.00**	**0.00**	**0.00**	**0.00**	**-695.00**	**- 0 000%**
Total adjustments to cash	**0.00**	**23,521,488.12**	**23,521,488.12**	**0 00**	**0 00**	**0.00**	**23,521,488.12**	**4 328%**

Asset Summary

Country	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total	Market value incl accruals	%
Adjustments To Cash								
Other payables								
Total Unrealized Gains						86,524,635.65		
Total Unrealized Losses						- 18,902,715.92		
Total	276,469.15	543,149,147.35	475,527,227.62	67,620,514.92	1,404.81	67,621,919.73	543,425,616.50	100.000%

Total Cost incl. Accruals	475,803,696.77

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Australia - USD							
ADR AMCOR LTD CUSIP 02341R302							
5,116 00	43 0000000	0 00	219,988 00	199,235 77	20,752.23	0 00	20,752 23
ADR BRAMBLES LTD SPONSORED ADR CUSIP 105105209							
5,186 00	17 8300000	0 00	92,466 38	79,463 08	13,003 30	0 00	13,003 30
ADR COCA COLA AMTIL LTD SPONSORED CUSIP 191085208 CCLAY							
6,086 00	7 2400000	0 00	44,062 64	61,963 40	- 17,900 76	0 00	- 17,900 76
ADR CSL LTD SPONSORED ADR CUSIP 12637N204 CSLLY							
1,734 00	36 2700000	0 00	62,892 18	62,024 31	867 87	0 00	867 87
Total USD		0 00	419,409 20	402,686.56	16,722.64	0 00	16,722.64
Total Australia		0.00	419,409 20	402,686.56	16,722.64	0 00	16,722 64
Brazil - USD							
ADR CIELO S A SPONSORED ADR CUSIP 171778202 CIOXY							
9,909 00	8 5350000	0 00	84,573 32	76,074 18	8,499 14	0 00	8,499 14

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Total USD		0 00	84,573 32	76,074.18	8,499 14	0 00	8,499.14
Total Brazil		0 00	84,573.32	76,074 18	8,499 14	0 00	8,499 14
Canada - USD							
CANADIAN NATL RY CO COM CUSIP 136375102 CNI							
1,783 00	67 4000000	0 00	120,174 20	82,965 72	37,208 48	0 00	37,208 48
CENOVUS ENERGY INC COM CUSIP 15135U109 CVE							
6,912 00	15 1300000	0 00	104,578 56	113,026 71	- 8,448 15	0 00	- 8,448 15
GROUPE CGI INC CL A SUB VTG CL A SUB VTG CUSIP 39945C109							
5,216 00	48 0300000	0 00	250,524.48	158,381 82	92,142 66	0 00	92,142 66
RITCHIE BROS AUCTIONEERS INC COM CUSIP 767744105							
3,605 00	34 0000000	0 00	122,570 00	70,028 55	52,541 45	0 00	52,541 45
SUNCOR ENERGY INC NEW COM STK CUSIP 867224107 SU							
5,772 00	32.6900000	0.00	188,686 68	144,988 37	43,698 31	0 00	43,698 31
Total USD		0 00	786,533.92	569,391 17	217,142.75	0.00	217,142 75

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Equities							
Common stock							
Total Canada		0 00	786,533 92	569,391 17	217,142 75	0 00	217,142 75
Cayman Islands - USD							
#REORG/WH GROUP MANDATORY EXCHANGE WH GROUP 2E1KA12 01-17-2017 CUSIP· 92890T106							
15,235 00	16 1740000	0 00	246,410 89	198,783 98	47,626 91	0 00	47,626 91
ADR CK HUTCHISON HLDGS LTD ADR CUSIP 12562Y100 CKHUY							
23,260 00	11 3500000	0 00	264,001 00	294,493 91	- 30,492 91	0 00	- 30,492 91
Total USD		0 00	510,411 89	493,277.89	17,134.00	0 00	17,134 00
Total Cayman Islands		0 00	510,411 89	493,277.89	17,134.00	0 00	17,134 00
China - USD							
ADR BAIDU INC SPONSORED ADR REPSTG ORD SHS CL A CUSIP 056752108 BIDU							
580 00	164 4100000	0 00	95,357 80	61,802 68	33,555 12	0 00	33,555 12
Total USD		0.00	95,357 80	61,802 68	33,555 12	0 00	33,555 12
Total China		0 00	95,357.80	61,802 68	33,555.12	0.00	33,555 12

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total

Equities

Common stock

Denmark - USD

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss: Market	Translation	Total
ADR CARLSBERG AS SPONSORED ADR CUSIP 142795202 CABGY							
10,388 00	17 2600000	0 00	179,296 88	192,686 99	- 13,390 11	0 00	- 13,390.11
ADR NOVO-NORDISK A S ADR CUSIP 670100205							
1,377 00	35 8600000	0 00	49,379 22	45,947 88	3,431 34	0 00	3,431 34
Total USD		0 00	228,676 10	238,634.87	- 9,958 77	0 00	- 9,958.77
Total Denmark		0 00	228,676.10	238,634.87	- 9,958 77	0 00	- 9,958.77

France - USD

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss: Market	Translation	Total
ADR PERNOD RICARD S A ADR . CUSIP 714264207 PDRDY							
2,200 00	21 5750000	0 00	47,465 00	46,330 32	1,134 68	0 00	1,134 68
ADR PUBLICIS S A NEW SPONSORED ADR CUSIP 74463M106 PUBGY							
15,860 00	17 1950000	0 00	272,712 70	240,153 63	32,559 07	0 00	32,559 07
ADR SCHNEIDER ELECTRIC SE CUSIP 80687P106 SBGSY							
11,222.00	13 8100000	0 00	154,975 82	137,015 99	17,959 83	0 00	17,959 83

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Total USD		0 00	475,153 52	423,499 94	51,653 58	0 00	51,653 58
Total France		0 00	475,153 52	423,499 94	51,653 58	0 00	51,653 58
Germany - USD							
ADR PROSIEBENSAT 1 MEDIA SE CUSIP 743476202							
23,951 00	9 5900000	0 00	229,690 09	270,015 18	- 40,325 09	0 00	- 40,325 09
ALLIANZ SE ADR EACH REP 1/10 ORD SHS CUSIP 018805101							
15,616 00	16 4800000	0 00	257,351 68	235,732 65	21,619 03	0 00	21,619 03
DEUTSCHE POST AG SPONSORED ADR CUSIP 25157Y202 DPSGY							
3,728 00	32 7400000	0 00	122,054 72	91,106 21	30,948 51	0 00	30,948 51
SAP SE-SPONSORED ADR CUSIP 803054204 SAP							
3,165 00	86 4300000	0 00	273,550 95	225,947 70	47,603 25	0 00	47,603 25
Total USD		0 00	882,647 44	822,801.74	59,845 70	0 00	59,845 70
Total Germany		0 00	882,647.44	822,801.74	59,845.70	0.00	59,845 70

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Global Region - USD							
OAKTREE EMERGING MARKETS EQUITY FUND (CAYMAN) LP CUSIP 991WZA993							
10,240,000 00	8,416,009 0000000	0 00	8,416,009 00	10,240,000 00	- 1,823,991 00	0.00	- 1,823,991.00
Total USD		0 00	8,416,009 00	10,240,000 00	- 1,823,991 00	0 00	- 1,823,991 00
Total Global Region		0.00	8,416,009.00	10,240,000 00	- 1,823,991 00	0 00	- 1,823,991 00
Hong Kong - HKD							
EGANAGOLDPFEIL HKD1 00 (NEW) SEDOL 6541923							
306,615 00	0 0000000	0 00	0 00	194,842 97	- 196,247 78	1,404 81	- 194,842 97
Total HKD		0 00	0 00	194,842 97	- 196,247 78	1,404.81	- 194,842 97
Total Hong Kong		0 00	0 00	194,842.97	- 196,247 78	1,404 81	- 194,842.97
Indonesia - USD							
PT BANK MANDIRI TBK UNSPON ADR CUSIP 69367U105 PPERY							
14,353 00	8 4600000	0 00	121,426 38	96,401 35	25,025 03	0 00	25,025 03

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Equities							
Common stock							
Total USD		0.00	121,426 38	96,401 35	25,025.03	0.00	25,025 03
Total Indonesia		0.00	121,426 38	96,401 35	25,025 03	0 00	25,025 03
Israel - USD							
ADR TEVA PHARMACEUTICAL INDS CUSIP 881624209							
6,296 00	36 2500000	0 00	228,230 00	285,388 05	- 57,158 05	0 00	- 57,158 05
Total USD		0 00	228,230 00	285,388.05	- 57,158 05	0 00	- 57,158 05
Total Israel		0 00	228,230 00	285,388 05	- 57,158 05	0 00	- 57,158 05
Italy - USD							
ADR PRADA SPA	UNSP ADR EACH REPR 2 ORD CUSIP 73942H100						
7,639 00	6 7840000	0 00	51,822 98	91,698 05	- 39,875 07	0 00	- 39,875 07
Total USD		0 00	51,822 98	91,698 05	- 39,875 07	0 00	- 39,875.07
Total Italy		0 00	51,822.98	91,698 05	- 39,875 07	0 00	- 39,875 07

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Japan - USD							
ADR JAPAN TOB INC ADR CUSIP· 471105205 JAPAY							
13,289 00	16 3350000	0 00	217,075 82	240,121 35	- 23,045 53	0 00	- 23,045.53
ADR KAO CORP SPONSORED ADR REPSTG 10 SHSCOM CUSIP 485537302 KCRPY							
1,412 00	47 3800000	0 00	66,900 56	67,650 19	- 749 63	0 00	- 749 63
ADR KOMATSU LTD SPONSORED ISIN US5004584018 CUSIP 500458401							
4,802 00	22 6800000	0 00	108,909 36	90,804 20	18,105 16	0 00	18,105 16
ADR TOYOTA MTR CORP SPONSORED ADR CUSIP 892331307 TM							
648 00	117 2000000	0 00	75,945 60	52,495 15	23,450 45	0 00	23,450 45
ADR YAHOO JAPAN CORP ADR CUSIP 98433V102							
19,832 00	7 6400000	0 00	151,516 48	143,875 15	7,641 33	0 00	7,641 33
FANUC CORPORATION CUSIP 307305102 FANUY							
4,621 00	16 6500000	0 00	76,939 65	70,970 71	5,968 94	0 00	5,968 94
Total USD		0 00	697,287 47	665,916 75	31,370 72	0 00	31,370 72

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Total Japan		0 00	697,287 47	665,916 75	31,370.72	0 00	31,370 72
Mexico - USD							
ADR FOMENTO ECONOMICO MEXICANO SAB DE CV CUSIP 344419106 FMX							
2,245 00	76 2100000	0 00	171,091 45	199,379 10	- 28,287 65	0 00	- 28,287 65
ADR GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN SPONS ADR CUSIP 40049J206 TV							
5,112 00	20 8900000	0 00	106,789 68	121,721 83	- 14,932 15	0 00	- 14,932 15
Total USD		0 00	277,881.13	321,100.93	- 43,219.80	0 00	- 43,219.80
Total Mexico		0 00	277,881 13	321,100.93	- 43,219.80	0 00	- 43,219 80
Netherlands - USD							
ADR UNILEVER N V NEW YORK SHS NEW CUSIP 904784709 UN							
2,848.00	41 0600000	0 00	116,938 88	106,666 84	10,272 04	0 00	10,272 04
ADR WOLTERS KLUWER N V SPONSORED ADR CUSIP. 977874205 WTKWY							
2,698 00	36 3000000	0 00	97,937 40	104,696 62	- 6,759 22	0 00	- 6,759 22

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Total USD		0 00	214,876 28	211,363 46	3,512 82	0 00	3,512 82
Total Netherlands		0 00	214,876 28	211,363.46	3,512 82	0 00	3,512.82
Singapore - USD							
ADR UNITED OVERSEAS BK LTD SPONSORED ADRISIN #US9112713022 CUSIP· 911271302 UOVEY							
2,852 00	28 1400000	0 00	80,255 28	94,837 73	- 14,582 45	0 00	- 14,582 45
Total USD		0.00	80,255 28	94,837.73	- 14,582 45	0 00	- 14,582.45
Total Singapore		0 00	80,255.28	94,837 73	- 14,582 45	0 00	- 14,582 45
Spain - USD							
ADR AMADEUS IT GROUP S A ADS CUSIP 02263T104 AMADY							
2,763 00	45 7300000	0 00	126,351 99	76,976 94	49,375 05	0 00	49,375 05
Total USD		0 00	126,351 99	76,976.94	49,375.05	0 00	49,375 05
Total Spain		0.00	126,351.99	76,976 94	49,375.05	0.00	49,375 05

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
Sweden - USD							
ADR GETINGE AB ADR CUSIP· 37427X104							
7,240 00	16.1000000	0 00	116,564 00	167,866 10	- 51,302 10	0 00	- 51,302 10
ADR SANDVIK AB ADR CUSIP 800212201							
4,587 00	12 2600000	0 00	56,236 62	50,576 26	5,660 36	0 00	5,660 36
ERICSSON CUSIP 294821608 ERIC							
9,373 00	5 8300000	0 00	54,644 59	84,929 78	- 30,285 19	0 00	- 30,285 19
Total USD		0 00	227,445 21	303,372 14	- 75,926 93	0 00	- 75,926 93
Total Sweden		0 00	227,445.21	303,372.14	- 75,926 93	0 00	- 75,926 93
Switzerland - USD							
ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR CUSIP 204319107 CFRUY							
21,870 00	6 5600000	0 00	143,467 20	151,496 26	- 8,029 06	0 00	- 8,029 06
ADR JULIUS BAER GROUP LTD-UN ADR CUSIP 48137C108 JBAXY							
18,636 00	8 8500000	0 00	164,928 60	136,810 14	28,118 46	0 00	28,118 46

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
ADR NOVARTIS AG CUSIP 66987V109 NVS							
1,127 00	72 8400000	0 00	82,090 68	68,161 34	13,929 34	0 00	13,929 34
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 CUSIP 771195104 RHHBY							
8,255 00	28 5300000	0 00	235,515 15	235,391 34	123 81	0 00	123 81
ADR SYNGENTA AG SPONSORED ADR CUSIP 87160A100							
314 00	79 0500000	0 00	24,821 70	21,197 86	3,623 84	0 00	3,623 84
UBS GROUP AG COMMON STOCK CUSIP H42097107 UBS							
10,424 00	15 6700000	0 00	163,344 08	174,379 56	- 11,035 48	0 00	- 11,035 48
Total USD		0 00	814,167 41	787,436 50	26,730 91	0 00	26,730 91
Total Switzerland		0 00	814,167 41	787,436 50	26,730 91	0 00	26,730 91
Taiwan - USD							
TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR CUSIP· 874039100 TSM							
8,489 00	28 7500000	0 00	244,058 75	152,425 29	91,633 46	0 00	91,633.46
Total USD		0.00	244,058.75	152,425.29	91,633.46	0 00	91,633.46

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
Total Taiwan		0 00	244,058 75	152,425.29	91,633 46	0 00	91,633 46
Thailand - USD							
ADR KASIKORNBANK PUB CO LTD ADR CUSIP· 485785109 KPCPY							
7,392 00	19 7000000	0 00	145,622 40	152,208 79	- 6,586 39	0 00	- 6,586 39
Total USD		0 00	145,622 40	152,208 79	- 6,586 39	0 00	- 6,586 39
Total Thailand		0 00	145,622 40	152,208.79	- 6,586 39	0 00	- 6,586 39
United Kingdom - USD							
#REORG/ABERDEEN ASSET MANDATORY EXCHANGE 08-14-2017 CUSIP 00300A104							
10,867 00	6 2780000	2,913 01	68,223 03	130,115 18	- 61,892 15	0 00	- 61,892 15
#REORG/COMPASS GROUP REVERSE STOCK SPLIT COMPASS GROUP 2H14AL2 06-27-2017 CUSIP 20449X302							
8,129 00	18 6800000	0 00	151,849 72	104,782 89	47,066 83	0 00	47,066 83
ADR BRIT AMERN TOB PLC SPONSORED CUSIP 110448107 BTI							
1,545 00	112 6700000	0 00	174,075 15	159,107.78	14,967 37	0 00	14,967 37

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
ADR BUNZL PLC SPONSORED ADR NEW CUSIP 120738406							
BZLFY							
3,159 00	25 8000000	470 65	81,502 20	61,374 32	20,127 88	0 00	20,127 88
ADR INFORMA PLC SPONSORED ADR NEW CUSIP 45672B305							
4,508 00	16 8000000	0 00	75,734 40	75,096 97	637 43	0 00	637 43
ADR KINGFISHER PLC SPONSORED ADR PAR 15 5/7 PENCE CUSIP· 495724403							
KGFHY							
10,773 00	8 5500000	0 00	92,109 15	97,235 37	- 5,126 22	0 00	- 5,126 22
ADR RELX PLC SPONSORED ADR CUSIP 759530108							
16,599 00	17 9700000	0 00	298,284 03	213,605 61	84,678 42	0 00	84,678 42
ADR ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS CUSIP 780259107							
RDS--B							
2,157 00	57 9700000	0 00	125,041 29	117,224 31	7,816 98	0 00	7,816 98
ADR SKY PLC SPONSORED CUSIP 83084V106							
6,216 00	49.0200000	0 00	304,708 32	319,261 60	- 14,553 28	0 00	- 14,553 28
ADR SMITH & NEPHEW GROUP P L C SPONSOREDADR NEW CUSIP 83175M205							
SNN							
4,351 00	30 0800000	0 00	130,878 08	110,625 91	20,252.17	0 00	20,252 17

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
ADR WPP PLC ADR DR EACH REPR 5 SHS CUSIP 92937A102 WPPGY							
2,038 00	110 6600000	0 00	225,525 08	150,753 52	74,771 56	0 00	74,771 56
LLOYDS BANKING GROUP PLC-ADR CUSIP· 539439109 LYG							
29,125 00	3 1000000	0 00	90,287 50	132,375 96	- 42,088 46	0 00	- 42,088.46
Total USD		3,383 66	1,818,217 95	1,671,559 42	146,658.53	0 00	146,658 53
Total United Kingdom		3,383 66	1,818,217 95	1,671,559 42	146,658 53	0.00	146,658 53
United States - USD							
#REORG/CABELAS INC COM CASH MERGER 09-26-2017 CUSIP 126804301							
831 00	58 5500000	0 00	48,655 05	39,838 06	8,816.99	0 00	8,816.99
#REORG/CEB INC CASH AND STOCK MERGER GARTNER INC 2343169 04-05-2017 CUSIP 125134106							
1,565 00	60 6000000	0 00	94,839 00	88,727 41	6,111.59	0 00	6,111 59
#REORG/CLARCOR INC COM CASH MERGER 02-28-2017 CUSIP 179895107							
1,670 00	82 4700000	0 00	137,724 90	81,570 81	56,154 09	0 00	56,154 09

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
ALAMO GROUP INC COM CUSIP 011311107 ALG							
800 00	76 1000000	0 00	60,880 00	53,045 77	7,834 23	0 00	7,834.23
ALEXANDER & BALDWIN INC NEW COM CUSIP· 014491104							
4,013 00	44 8700000	0 00	180,063 31	123,431 05	56,632 26	0 00	56,632 26
ALPHABET INC CAP STK USD0 001 CL C CUSIP 02079K107							
1,261 00	771 8200000	0 00	973,265 02	555,771 89	417,493 13	0 00	417,493 13
ALPHABET INC CAPITAL STOCK USD0 001 CL A CUSIP 02079K305 GOOGL							
615 00	792 4500000	0 00	487,356 75	210,933 91	276,422 84	0 00	276,422 84
AMAZON COM INC COM CUSIP 023135106 AMZN							
1,264 00	749 8700000	0 00	947,835 68	378,863 50	568,972 18	0 00	568,972 18
AMERICAN CAMPUS CMNTYS INC COM CUSIP 024835100							
1,855 00	49 7700000	0 00	92,323 35	71,659 94	20,663 41	0 00	20,663 41
AMERIS BANCORP COM CUSIP 03076K108							
2,235 00	43 6000000	223 50	97,446.00	70,844 43	26,601 57	0 00	26,601 57

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
AMERISAFE INC COM CUSIP 03071H100							
AMSF							
1,620 00	62 3500000	0 00	101,007 00	62,741 46	38,265 54	0 00	38,265 54
AMPLIFY SNACK BRANDS INC COM CUSIP 03211L102							
2,815 00	8 8100000	0 00	24,800 15	35,086 13	- 10,285 98	0 00	- 10,285 98
ANADARKO PETRO CORP COM CUSIP· 032511107							
2,175 00	69 7300000	0 00	151,662 75	171,761 93	- 20,099 18	0 00	- 20,099 18
ANALOGIC CORP COM PAR $0 05 CUSIP· 032657207							
465 00	82 9500000	0 00	38,571 75	40,761 68	- 2,189 93	0 00	- 2,189 93
AON PLC COM CUSIP G0408V102							
10,650 00	111 5300000	0 00	1,187,794 50	556,565.64	631,228 86	0 00	631,228.86
ARMSTRONG WORLD INDS INC NEW COM STK CUSIP 04247X102							
2,393 00	41.8000000	0 00	100,027 40	97,654 02	2,373 38	0 00	2,373 38
BALCHEM CORP COM CUSIP 057665200							
1,160 00	83 9200000	440 80	97,347 20	66,622 28	30,724 92	0 00	30,724 92

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
BANK OF AMERICA CORP CUSIP· 060505104 BAC							
37,825 00	22 1000000	0 00	835,932 50	636,171 13	199,761 37	0 00	199,761 37
BERKLEY W R CORP COM CUSIP 084423102							
5,975 00	66 5100000	0 00	397,397 25	225,857 55	171,539 70	0 00	171,539 70
BERKSHIRE HATHAWAY INC-CL B CUSIP 084670702 BRK–B							
10,500 00	162.9800000	0 00	1,711,290 00	1,005,888 55	705,401 45	0 00	705,401 45
BLACKBAUD INC COM CUSIP 09227Q100							
1,540 00	64 0000000	0 00	98,560 00	37,492 38	61,067 62	0 00	61,067 62
BROADCOM LIMITED COM NPV CUSIP Y09827109							
1,379 00	176.7700000	0 00	243,765 83	95,779.55	147,986 28	0 00	147,986 28
CALATLANTIC GROUP INC COM CUSIP 128195104 CAA							
2,619 00	34 0100000	0 00	89,072 19	80,798 64	8,273 55	0 00	8,273 55
CALLIDUS SOFTWARE INC COM STK CUSIP 13123E500							
3,450 00	16 8000000	0 00	57,960 00	58,723 53	- 763 53	0 00	- 763 53

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total

Equities

Common stock

Description/Asset ID / Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Market	Translation	Total
CANTEL MEDICAL CORP COM CUSIP 138098108 CMN							
1,360 00	78 7500000	0 00	107,100 00	43,111 17	63,988 83	0 00	63,988 83
CARDTRONICS PLC CARDTRONICS PLC CUSIP G1991C105							
1,780 00	54 5700000	0 00	97,134 60	50,396 07	46,738 53	0 00	46,738 53
CATALENT INC COM CUSIP 148806102							
3,200 00	26 9600000	0 00	86,272 00	92,651 16	- 6,379 16	0 00	- 6,379 16
CATHAY GENERAL BANCORP INC COM CUSIP 149150104							
3,665 00	38 0300000	0 00	139,379 95	106,990 10	32,389 85	0 00	32,389 85
CITIGROUP INC COM NEW COM NEW CUSIP 172967424							
21,750 00	59 4300000	0 00	1,292,602 50	1,046,756 41	245,846 09	0 00	245,846 09
COGNEX CORP COM CUSIP 192422103							
2,040 00	63 6200000	0 00	129,784 80	36,782 02	93,002.78	0 00	93,002 78
COHEN & STEERS INC COM CUSIP 19247A100							
2,310 00	33 6000000	0 00	77,616.00	74,042.04	3,573 96	0 00	3,573 96

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
COMPASS MINERALS INTL INC COM CUSIP· 20451N101							
775 00	78 3500000	0 00	60,721 25	56,242 77	4,478 48	0 00	4,478 48
COTIVITI HLDGS INC COM CUSIP 22164K101							
1,725 00	34 4000000	0 00	59,340 00	58,598 28	741 72	0 00	741 72
DECKERS OUTDOOR CORP COM CUSIP· 243537107							
1,430 00	55 3900000	0 00	79,207 70	91,325 06	- 12,117 36	0 00	- 12,117 36
DIPLOMAT PHARMACY INC COM CUSIP 25456K101							
2,495 00	12 6000000	0 00	31,437 00	73,819 04	- 42,382 04	0 00	- 42,382 04
DISH NETWORK CORP CL A COM STK CUSIP 25470M109 DISH							
13,650 00	57 9300000	0 00	790,744 50	661,793 25	128,951 25	0 00	128,951 25
DRIL-QUIP INC COM CUSIP 262037104							
1,947.00	60 0500000	0 00	116,917 35	133,633 49	- 16,716 14	0 00	- 16,716 14
DST SYS INC COM CUSIP 233326107 DST							
1,112 00	107 1500000	0 00	119,150 80	140,435 58	- 21,284.78	0 00	- 21,284.78

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
EATON VANCE CORP COM NON VTG CUSIP 278265103							
1,862 00	41 8800000	0 00	77,980 56	50,366 92	27,613 64	0 00	27,613 64
ECOLAB INC COM STK USD1 CUSIP 278865100							
6,900 00	117 2200000	2,553 00	808,818 00	452,149 97	356,668 03	0 00	356,668 03
EDUCATION RLTY TR INC COM NEW COM NEW CUSIP 28140H203							
2,675 00	42 3000000	0 00	113,152 50	89,868 30	23,284 20	0 00	23,284 20
ENERGIZER HLDGS INC NEW COM CUSIP 29272W109							
2,854 00	44 6100000	0 00	127,316 94	117,073 74	10,243 20	0 00	10,243 20
EPAM SYS INC COM STK CUSIP 29414B104							
1,250 00	64 3100000	0 00	80,387 50	45,725 93	34,661 57	0 00	34,661 57
EXLSERVICE COM INC COM STK CUSIP 302081104							
1,491 00	50 4400000	0 00	75,206 04	76,415 61	- 1,209 57	0 00	- 1,209.57
FIDELITY NATL INFORMATION SVCS INC COM STK CUSIP 31620M106 FIS							
3,100 00	75 6400000	0 00	234,484.00	183,577 04	50,906 96	0 00	50,906 96

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
FIVE BELOW INC COM USD0 01 CUSIP 33829M101							
2,350 00	39 9600000	0 00	93,906 00	84,494 94	9,411 06	0 00	9,411 06
FLOTEK INDS INC DEL COM CUSIP· 343389102 FTK							
3,550 00	9 3900000	0 00	33,334 50	57,548 12	- 24,213 62	0 00	- 24,213 62
FORUM ENERGY TECH COM USD0 01 CUSIP 34984V100							
3,375 00	22 0000000	0 00	74,250 00	94,601 23	- 20,351 23	0 00	- 20,351 23
GATX CORP COM CUSIP 361448103							
2,757 00	61 5800000	1,132 80	169,776 06	138,717 14	31,058 92	0 00	31,058 92
GLACIER BANCORP INC NEW COM CUSIP 37637Q105							
1,975 00	36.2300000	0 00	71,554 25	30,544 56	41,009 69	0 00	41,009 69
GLOBUS MED INC CL A NEW COM STK CUSIP 379577208 GMED							
4,475 00	24 8100000	0 00	111,024 75	101,662 46	9,362 29	0 00	9,362 29
GRAND CANYON ED INC COM STK CUSIP 38526M106							
3,145 00	58.4500000	0.00	183,825 25	67,867 85	115,957 40	0 00	115,957 40

Asset Detail - Base Currency

Equities

Common stock

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
HEALTHCARE SVCS GROUP INC COM CUSIP· 421906108							
2,750 00	39 1700000	0 00	107,717 50	59,681 17	48,036 33	0 00	48,036 33
HEARTLAND EXPRESS INC COM CUSIP 422347104							
4,840 00	20 3600000	0 00	98,542 40	63,693 34	34,849 06	0 00	34,849 06
HEICO CORP NEW CL A CL A CUSIP 422806208							
1,045 00	67 9000000	94 05	70,955 50	47,020 15	23,935 35	0 00	23,935 35
HEICO CORP NEW COM CUSIP 422806109							
1,385 00	77 1500000	124 65	106,852.75	77,653 92	29,198 83	0 00	29,198 83
HELEN TROY LTD COM STK CUSIP G4388N106 HELE							
675 00	84 4500000	0 00	57,003.75	70,535 68	- 13,531 93	0 00	- 13,531 93
HIBBETT SPORTS INC COM STK CUSIP 428567101 HIBB							
1,790 00	37.3000000	0 00	66,767 00	101,940 32	- 35,173 32	0 00	- 35,173 32
HUBSPOT INC COM CUSIP 443573100							
1,920 00	47 0000000	0 00	90,240.00	101,848.09	- 11,608 09	0 00	- 11,608 09

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
IBERIABANK CORP COM CUSIP 450828108 IBKC							
1,135 00	83 7500000	408 60	95,056 25	53,399 60	41,656 65	0 00	41,656 65
ICU MED INC COM CUSIP· 44930G107							
1,165 00	147 3500000	0 00	171,662 75	64,903 42	106,759 33	0 00	106,759 33
IDACORP INC COM CUSIP 451107106							
1,210 00	80 5500000	0 00	97,465 50	89,821 74	7,643 76	0 00	7,643 76
ILG INC COM CUSIP 44967H101							
1,238 00	18 1700000	0 00	22,494 46	14,761 83	7,732 63	0 00	7,732 63
IMPAX LABORATORIES INC COM CUSIP 45256B101 IPXL							
2,250 00	13 2500000	0 00	29,812 50	75,086 78	- 45,274 28	0 00	- 45,274 28
INC RESH HLDGS INC CL A CL A CUSIP 45329R109							
2,530 00	52 6000000	0 00	133,078 00	107,882 70	25,195 30	0 00	25,195 30
JPMORGAN CHASE & CO COM CUSIP 46625H100 JPM							
6,900 00	86 2900000	0 00	595,401.00	398,178 18	197,222 82	0 00	197,222 82

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
KAMAN CORP COM CUSIP 483548103 KAMN							
2,560 00	48 9300000	460 80	125,260 80	95,177 97	30,082 83	0 00	30,082 83
KAPSTONE PAPER & PACKAGING CORP KAPSTONEPAPER AND PACKAGING CORP COMMON STOCK CUSIP 48562P103							
3,100 00	22 0500000	310 00	68,355 00	85,206 71	- 16,851 71	0 00	- 16,851 71
LANDSTAR SYS INC COM CUSIP 515098101 LSTR							
2,032 00	85 3000000	0 00	173,329 60	133,740 58	39,589 02	0 00	39,589 02
LIBERTY GLOBAL PLC -SERIES C COM CUSIP G5480U120							
31,550 00	29 7000000	0 00	937,035 00	811,848 12	125,186 88	0 00	125,186 88
LILAC GROUP - CL C W /I COMMON STOCK CUSIP G5480U153							
5,006.00	21 1700000	0 00	105,977 02	141,171 76	- 35,194 74	0 00	- 35,194 74
LITHIA MTRS INC CL A CL A CUSIP 536797103							
1,620 00	96 8300000	0 00	156,864 60	142,281 78	14,582 82	0 00	14,582 82
LOGMEIN INC COM CUSIP 54142L109 LOGM							
2,025 00	96 5500000	0 00	195,513 75	94,809 38	100,704 37	0 00	100,704 37

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC COM STK CUSIP 55405Y100							
2,100 00	46 2800000	0 00	97,188 00	81,355 24	15,832 76	0 00	15,832 76
MARKETAXESS HLDGS INC COM STK CUSIP 57060D108							
1,210 00	146 9200000	0 00	177,773 20	38,599 48	139,173 72	0 00	139,173 72
MARRIOTT INTL INC NEW COM STK CL A CUSIP 571903202							
9,140 00	82 6800000	0 00	755,695 20	583,568 87	172,126 33	0 00	172,126 33
MATADOR RES CO COM CUSIP 576485205							
5,645 00	25 7600000	0 00	145,415.20	132,514 76	12,900 44	0 00	12,900 44
MATSON INC COM CUSIP 57686G105							
2,434 00	35 3900000	0 00	86,139 26	55,343 80	30,795 46	0 00	30,795 46
MBIA INC COM CUSIP 55262C100							
7,418 00	10 7000000	0 00	79,372 60	81,027 55	- 1,654 95	0 00	- 1,654 95
MEDIDATA SOLUTIONS INC COM CUSIP 58471A105							
1,900 00	49 6700000	0 00	94,373 00	52,754.12	41,618.88	0 00	41,618 88

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Equities							
Common stock							
MICROSOFT CORP COM CUSIP 594918104 MSFT							
25,400 00	62 1400000	0 00	1,578,356 00	781,909 65	796,446 35	0 00	796,446 35
MOBILE MINI INC COM CUSIP 60740F105							
1,985 00	30 2500000	0 00	60,046 25	63,436 01	- 3,389 76	0 00	- 3,389 76
MONDELEZ INTL INC COM CUSIP 609207105							
15,350 00	44 3300000	2,916 50	680,465 50	412,008 86	268,456 64	0 00	268,456 64
MONRO INC COM USD0 01 CUSIP 610236101							
825 00	57 2000000	0 00	47,190 00	29,632 88	17,557 12	0 00	17,557 12
MORGAN STANLEY COM STK USD0 01 CUSIP 617446448							
17,150 00	42 2500000	0 00	724,587 50	256,744 43	467,843 07	0 00	467,843 07
NATIONAL HEALTH INVS INC COM CUSIP 63633D104							
1,330 00	74.1700000	1,197 00	98,646.10	69,887 81	28,758 29	0 00	28,758 29
NEWMARKET CORP COM CUSIP· 651587107							
512.00	423 8400000	819 20	217,006.08	125,718 43	91,287 65	0 00	91,287 65

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
NOBLE ENERGY INC COM CUSIP 655044105 NBL							
10,175 00	38 0600000	0 00	387,260 50	447,518 38	- 60,257 88	0 00	- 60,257 88
NORTHWESTERN CORP COM NEW COM NEW CUSIP 668074305 NWE							
2,250 00	56 8700000	0 00	127,957 50	83,052 32	44,905 18	0 00	44,905 18
OLIN CORP COM CUSIP· 680665205							
5,511 00	25 6100000	0 00	141,136 71	150,164 25	- 9,027 54	0 00	- 9,027 54
ORACLE CORP COM CUSIP 68389X105 ORCL							
36,975 00	38 4500000	0 00	1,421,688 75	1,027,095 53	394,593 22	0 00	394,593 22
ORBITAL ATK INC COM CUSIP 68557N103 OA							
2,507 00	87 7300000	0 00	219,939 11	135,595 03	84,344 08	0 00	84,344 08
OXFORD INDS INC COM CUSIP 691497309							
1,150 00	60 1300000	0 00	69,149 50	80,270 24	- 11,120 74	0 00	- 11,120 74
PEBBLEBROOK HOTEL TR COM STK CUSIP 70509V100							
2,050 00	29 7500000	779 00	60,987 50	49,610 67	11,376 83	0 00	11,376 83

Asset Detail - Base Currency

Equities

Common stock

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
PENSKE AUTOMOTIVE GROUP INC COM STK CUSIP 70959W103 PAG							
3,167 00	51 8400000	0 00	164,177 28	154,885 32	9,291 96	0 00	9,291 96
PEPSICO INC COM CUSIP 713448108							
5,400 00	104 6300000	4,063 50	565,002 00	359,443 43	205,558 57	0 00	205,558 57
POWER INTEGRATIONS INC COM CUSIP 739276103							
1,550 00	67 8500000	0 00	105,167 50	57,123 75	48,043 75	0 00	48,043 75
PRA GROUP INC COM CUSIP 69354N106							
1,285 00	39 1000000	0 00	50,243 50	43,058 60	7,184 90	0 00	7,184 90
PRICESMART INC COM STK CUSIP 741511109							
1,533 00	83 5000000	0 00	128,005 50	112,664 69	15,340 81	0 00	15,340 81
PRIMORIS SVCS CORP COM CUSIP. 74164F103							
3,590 00	22 7800000	197 45	81,780 20	96,616 31	- 14,836 11	0 00	- 14,836 11
PROASSURANCE CORP COM CUSIP 74267C106							
1,800 00	56 2000000	9,000 00	101,160 00	80,214 77	20,945 23	0 00	20,945.23

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Equities							
Common stock							
PROOFPOINT INC COM CUSIP 743424103							
790 00	70 6500000	0 00	55,813 50	59,352 57	- 3,539 07	0 00	- 3,539 07
RBC BEARINGS INC COM CUSIP 75524B104							
1,010 00	92 8100000	0 00	93,738 10	46,380 41	47,357 69	0 00	47,357 69
ROGERS CORP COM CUSIP 775133101							
547 00	76 8100000	0 00	42,015 07	37,314 94	4,700 13	0 00	4,700 13
SERVICE CORP INTL COM CUSIP 817565104							
5,578 00	28 4000000	0 00	158,415 20	72,594 88	85,820 32	0 00	85,820 32
SILGAN HLDGS INC COM CUSIP 827048109 SLGN							
1,295 00	51 1800000	0 00	66,278 10	54,288 34	11,989.76	0 00	11,989 76
STAG INDL INC COM CUSIP 85254J102							
3,935 00	23 8700000	455 79	93,928 45	91,227 61	2,700 84	0 00	2,700 84
STIFEL FINL CORP COM CUSIP 860630102							
2,250 00	49 9500000	0 00	112,387 50	76,437 57	35,949 93	0 00	35,949 93

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Equities							
Common stock							
STURM RUGER & CO INC COM CUSIP 864159108							
1,031 00	52 7000000	0 00	54,333 70	44,892 01	9,441 69	0 00	9,441 69
SUN COMMUNITIES INC COM CUSIP 866674104							
1,300 00	76 6100000	845 00	99,593 00	85,139 05	14,453 95	0 00	14,453 95
SUPERIOR ENERGY SVCS INC COM CUSIP 868157108							
5,400 00	16 8800000	0 00	91,152 00	118,862 64	- 27,710 64	0 00	- 27,710 64
TEJON RANCH CO COM CUSIP 879080109							
1,667 00	25 4300000	0 00	42,391 81	46,625 16	- 4,233 35	0 00	- 4,233 35
TEMPUR SEALY INTL INC COM CUSIP 88023U101							
2,847 00	68 2800000	0 00	194,393 16	134,009 45	60,383.71	0 00	60,383.71
TENET HEALTHCARE CORP COM NEW CUSIP 88033G407							
1,621 00	14 8400000	0 00	24,055 64	34,073 42	- 10,017 78	0 00	- 10,017 78
TEXAS ROADHOUSE INC COMMON STOCK CUSIP 882681109							
3,340 00	48 2400000	0 00	161,121 60	60,665 35	100,456 25	0 00	100,456.25

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Common stock							
THERMO FISHER CORP CUSIP 883556102 TMO							
3,700 00	141 1000000	555 00	522,070 00	212,465 84	309,604 16	0 00	309,604 16
TORO CO COM CUSIP 891092108							
1,840 00	55 9500000	322 00	102,948 00	34,307 35	68,640 65	0 00	68,640 65
TREDEGAR CORP INC CUSIP 894650100							
2,169 00	24 0000000	238 59	52,056 00	36,506 13	15,549 87	0 00	15,549 87
TUPPERWARE BRANDS CORPORATION CUSIP· 899896104 1047667							
1,205 00	52 6200000	819 40	63,407 10	71,185 01	- 7,777 91	0 00	- 7,777 91
TWENTY-FIRST CENTY FOX INC CL B CL B CUSIP 90130A200							
33,525 00	27 2500000	0 00	913,556 25	1,041,542 11	- 127,985 86	0 00	- 127,985 86
TX CAP BANCSHARES INC COM CUSIP 88224Q107							
1,750 00	78 4000000	0 00	137,200 00	102,245 96	34,954 04	0 00	34,954 04
TYLER TECHNOLOGIES INC COM STK CUSIP 902252105							
940 00	142 7700000	0 00	134,203 80	43,862 29	90,341 51	0 00	90,341 51

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
UNITED BANKSHARES INC W VA COM CUSIP 909907107							
1,275 00	46 2500000	0 00	58,968 75	59,184 86	- 216 11	0 00	- 216 11
UNITEDHEALTH GROUP INC COM CUSIP· 91324P102 UNH							
5,850 00	160 0400000	0 00	936,234 00	319,921 29	616,312 71	0 00	616,312 71
UNIVERSAL FST PRODS INC COM CUSIP 913543104							
1,250 00	102 1800000	0 00	127,725 00	48,622 06	79,102 94	0 00	79,102 94
US ECOLOGY INC COM CUSIP 91732J102							
1,360 00	49 1500000	0 00	66,844 00	28,454 75	38,389 25	0 00	38,389 25
USG CORP COM NEW CUSIP 903293405							
4,602 00	28 8800000	0 00	132,905 76	127,203 49	5,702 27	0 00	5,702 27
VISTA OUTDOOR INC COM CUSIP 928377100							
2,804 00	36 9000000	0 00	103,467 60	76,063 06	27,404 54	0 00	27,404 54
WD 40 CO COM STK CUSIP 929236107							
495 00	116 9000000	0 00	57,865.50	24,192 78	33,672 72	0 00	33,672 72

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Equities							
Common stock							
WEBSTER FNCL CORP WATERBURY CONN COM CUSIP 947890109 WBS							
2,300 00	54 2800000	0 00	124,844 00	82,506 76	42,337 24	0 00	42,337 24
WEST PHARMACEUTICAL SVCS INC COM CUSIP 955306105 WST							
2,340 00	84 8300000	0 00	198,502 20	55,481 52	143,020 68	0 00	143,020 68
WHITE MOUNTAINS INSURANCE GROUP COM STOCK CUSIP· G9618E107							
135 00	836 0500000	0 00	112,866 75	70,591 45	42,275 30	0 00	42,275 30
WOLVERINE WORLD WIDE INC COM CUSIP 978097103							
2,920 00	21 9500000	175 20	64,094 00	55,326 94	8,767 06	0 00	8,767 06
WORLD FUEL SERVICE COM STK USD0 01 CUSIP 981475106							
1,015 00	45 9100000	60 90	46,598 65	37,593 78	9,004 87	0 00	9,004 87
WRIGHT MEDICAL GROUP NV EUR0 03 CUSIP N96617118							
3,298 00	22 9800000	0 00	75,788 04	84,427 78	- 8,639 74	0 00	- 8,639 74
1ST INDL RLTY TR INC COM CUSIP 32054K103							
4,895 00	28 0500000	930 05	137,304 75	63,071 09	74,233 66	0 00	74,233 66
Total USD		29,122 78	31,128,363.93	21,212,859 59	9,915,504 34	0 00	9,915,504.34

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Total
Equities							
Common stock							
Total United States		29,122 78	31,128,363 93	21,212,859 59	9,915,504 34	0 00	9,915,504.34
Total Common Stock							
11,577,120.00		**32,506.44**	**48,074,779.35**	**39,646,556.99**	**8,426,817.55**	**1,404.81**	**8,428,222.36**
Hedge Fund							
Hedge equity							
International Region - USD							
CF PARK PRESIDIO CAPITAL OFFSHORE FD, CL TR LTD F SUB-T RANCHE SERIES I000050311CUSIP 54699FVG1							
5,424 47	1,080 3274170	0 00	5,860,203 66	5,500,000 00	360,203 66	0 00	360,203 66
CF VALINOR CAP PARTNERS OFFSHORE, LTD CL 1 SUB-CL D SER MAY 2016/000050311 FD CUSIP 028999L16							
1,850 00	1,025 3940430	0 00	1,896,978 98	1,850,000 00	46,978 98	0 00	46,978 98
VALINOR CAPITAL PARTNERS OFFSHORE, LTD CLASS 1 SUB-CLASS D CUSIP 028999A18							
2,000 00	1,056 4547100	0 00	2,112,909 42	2,000,000 00	112,909 42	0 00	112,909 42
Total USD		0 00	9,870,092 06	9,350,000 00	520,092.06	0 00	520,092 06

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Hedge Fund							
Hedge equity							
Total International Region		0 00	9,870,092.06	9,350,000 00	520,092 06	0 00	520,092 06
United States - USD							
CF CAXTON GLOBAL INVESTMENTS LTD CL T (UNRESTRICTED) SH A (12-2011) FUND CUSIP RU5993SK2							
4,764 95	921 8408590	0 00	4,392,525 60	3,219,357 38	1,173,168 22	0 00	1,173,168 22
CF EGERTON LONG SHORT FUND (USD) LTD CL C/1 FUND CUSIP 14399G716							
22,404 11	174 9167640	0 00	3,918,854 42	2,722,639 67	1,196,214 75	0 00	1,196,214 75
CF EMINENCE LTD CL A SER INITIAL SERIES CUSIP 886992445							
8,655 67	829 0953000	0 00	7,176,375 32	5,593,061 24	1,583,314 08	0 00	1,583,314 08
CF LYNX 1 5 (BERMUDA) LTD CL D USD ORIGINAL SERIES FD CUSIP 367991VD5							
1,325 43	1,583 2842010	0 00	2,098,532 38	1,622,057 45	476,474 93	0 00	476,474 93
CF TURIYA CL A SER 1/2010 FUND CUSIP BVN991SK1							
2,944 65	1,796 8037050	0 00	5,290,958 03	3,407,650 58	1,883,307 45	0 00	1,883,307 45
Total USD		0 00	22,877,245 75	16,564,766 32	6,312,479 43	0 00	6,312,479 43
Total United States		0 00	22,877,245 75	16,564,766 32	6,312,479.43	0.00	6,312,479.43

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Hedge Fund							
Hedge equity							
Total Hedge Equity							
49,369.28		**0.00**	**32,747,337.81**	**25,914,766.32**	**6,832,571.49**	**0.00**	**6,832,571.49**
Venture Capital and Partnerships							
Partnerships							
Cayman Islands - USD							
VINTAGE VI OFFSHORE LP CUSIP· 991LAB992							
12,220,284 96	15,704,515 0000000	0 00	15,704,515 00	12,220,284 96	3,484,230 04	0 00	3,484,230 04
Total USD		0.00	15,704,515 00	12,220,284.96	3,484,230 04	0 00	3,484,230.04
Total Cayman Islands		0 00	15,704,515 00	12.220,284 96	3,484,230.04	0 00	3,484,230.04
Global Region - USD							
PRIVATE EQUITY MANAGERS 2013 OFFSHORE CUSIP 991NQX993							
4,530,426 43	4,650,269 0000000	0 00	* 4,650,269 00	4,530,426 43	119,842 57	0 00	119,842 57
PRIVATE EQUITY MANAGERS 2014 OFFSHORE LP CUSIP 991SYS994							
1,795,548 25	1,932,790 0000000	0 00	1,932,790 00	1,795,548 25	137,241 75	0 00	137,241 75

* Market Value based on prices received from an external manager or other client-directed pricing source

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Venture Capital and Partnerships							
Partnerships							
PRIVATE EQUITY MANAGERS 2015 OFFSHORE LP CUSIP 9921FH997							
1,542,038 02	1,793,027 0000000	0 00	1,793,027 00	1,542,038 02	250,988 98	0 00	250,988 98
PRIVATE EQUITY MANAGERS 2016 OFFSHORE CUSIP 9926DF992							
729,178 46	649,729 0000000	0 00	649,729 00	729,178 46	- 79,449 46	0 00	- 79,449 46
Total USD		0 00	9,025,815 00	8,597,191.16	428,623 84	0 00	428,623 84
Total Global Region		0 00	9,025,815.00	8,597,191 16	428,623.84	0 00	428,623 84
United States - USD							
AG CAPITAL RECOVERY PARTNERS VI, LP CUSIP 000570275							
1,923,878 00	2,385,598 0000000	0 00	2,385,598 00 *	1,923,878 00	461,720 00	0 00	461,720 00
AG LONG TERM SUPER FUND, LP CUSIP 000456889							
146,922 00	2,818 0000000	0 00	2,818 00	146,922 00	- 144,104.00	0 00	- 144,104 00
BRENTWOOD ASSOCIATES IX, LP CUSIP 000455782							
217,516 15	91,993 0000000	0 00	91,993 00	217,516 15	- 125,523 15	0 00	- 125,523 15

* Market Value based on prices received from an external manager or other client-directed pricing source

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Venture Capital and Partnerships							
Partnerships							
BRENTWOOD ASSOCIATES PRIVATE EQUITY III LP CUSIP 000455766							
497,545 00	88,049 0000000	0 00	88,049 00	497,545 00	- 409,496 00	0 00	- 409,496 00
BRENTWOOD ASSOCIATES PRIVATE EQUITY IV LP CUSIP· 000455774							
2,977,664 00	14,346,160 0000000	0 00	14,346,160 00 *	2,977,664 00	11,368,496 00	0 00	11,368,496 00
	* Market Value based on prices received from an external manager or other client-directed pricing source						
WILLIAM BLAIR CAPITAL PARTNERS VI CUSIP 000455824							
10,720 00	28,336 0000000	0 00	28,336 00	10,720 00	17,616 00	0 00	17,616 00
Total USD		0 00	16,942,954 00	5,774,245 15	11,168,708 85	0 00	11,168,708 85
Total United States		0 00	16,942,954 00	5,774,245 15	11,168,708 85	0 00	11,168,708 85
Total Partnerships							
26,591,721.27		**0.00**	**41,673,284.00**	**26,591,721.27**	**15,081,562.73**	**0.00**	**15,081,562.73**

Asset Detail - Base Currency

Real Estate

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Real estate							
United States - USD							
AG ASIA REALTY HOLDINGS LP CUSIP 000469866							
1,075,000 00	2,537,564 0000000	0.00	2,537,564.00	1,075,000 00	1,462,564 00	0 00	1,462,564 00
AG CORE PLUS REALTY FUND II, LP CUSIP 000507855							
1,667,497 00	799,315 0000000	0 00	799,315 00	1,667,497 00	- 868,182 00	0 00	- 868,182 00
AG CORE PLUS REALTY FUND, LP CUSIP 000455881							
95,002 00	97,891 0000000	0 00	97,891 00	95,002 00	2,889 00	0 00	2,889 00
AG CRD HOLDINGS, LP CUSIP 000561597							
1 00	52,478 0000000	0 00	52,478 00	1 00	52,477 00	0 00	52,477 00
AG REALTY FUND IV, LP CUSIP 000455857							
13,365 00	13,038 0000000	0 00	13,038 00	13,365 00	- 327 00	0 00	- 327 00
AG REALTY FUND VI, LP CUSIP· 000455873							
331,656 00	241,105 0000000	0 00	241,105 00	331,656 00	- 90,551 00	0 00	- 90,551 00
AG REALTY FUND VII (TE), LP CUSIP 000528687							
2,467,181 00	2,391,008 0000000	0 00	2,391,008 00	2,467,181 00	- 76,173 00	0 00	- 76,173 00

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Real Estate							
Real estate							
REALTY ASSOCIATES FD IX CORP CUSIP 9914BG998							
4,790,729 00	6,572,388 0000000	0 00	* 6,572,388 00	4,790,729 00	1,781,659 00	0 00	1,781,659 00
	* Market Value based on prices received from an external manager or other client-directed pricing source						
REALTY ASSOCIATES FUND VII CORPORATION CUSIP 000455931							
2,215,601 00	95,189 0000000	0 00	95,189 00	2,215,601 00	- 2,120,412 00	0 00	- 2,120,412 00
REALTY ASSOCIATES FUND VIII CORPORATION CUSIP 000469049							
22,028,690 62	15,430,295 0000000	0 00	* 15,430,295 00	22,028,690 62	- 6,598,395 62	0 00	- 6,598,395 62
	* Market Value based on prices received from an external manager or other client-directed pricing source						
Total USD		0 00	28,230,271 00	34,684,722 62	- 6,454,451 62	0 00	- 6,454,451 62
Total United States		0 00	28,230,271 00	34,684,722 62	- 6,454,451 62	0 00	- 6,454,451 62
Total Real Estate							
34,684,722.62		**0.00**	**28,230,271.00**	**34,684,722.62**	**- 6,454,451.62**	**0.00**	**- 6,454,451.62**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Funds - common stock							
Global Region - USD							
GS TACTICAL TILT IMPLEMENTATION FD INST SEDOL 2A5FKPU							
4,892,476 69	9 8200000	0 00	48,044,121 10	48,821,584 64	- 777,463 54	0 00	- 777,463 54
Total USD		0 00	48,044,121 10	48,821,584.64	- 777,463 54	0 00	- 777,463 54
Total Global Region		0 00	48,044,121 10	48,821,584.64	- 777,463 54	0 00	- 777,463 54
International Region - USD							
MFO STATE ST HEDGED INTL DEV-K CUSIP 857492417							
3,960,178 44	9 1800000	0 00	36,354,438 08	38,106,821 33	- 1,752,383 25	0 00	- 1,752,383 25
MFO STATE STR INSTL INVT TR EMERGING MARKETS EQUITY INDEX FD CL K CUSIP 857492193							
567,019 60	10 8200000	0 00	6,135,152 07	6,117,501 21	17,650 86	0 00	17,650 86
Total USD		0 00	42,489,590 15	44,224,322 54	- 1,734,732 39	0 00	- 1,734,732 39
Total International Region		0 00	42,489,590 15	44,224,322 54	- 1,734,732 39	0 00	- 1,734,732 39

Asset Detail - Base Currency

Equities

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - common stock							
United States - USD							
CF GS ARTISAN DYNAMIC EQUITY (GLOBAL EQUITY) OFFSHORE LP DNE FUND CUSIP ASW998560							
60,031 89	164 7570000	0 00	9,890,674 10	6,327,661 35	3,563,012 75	0 00	3,563,012 75
CF GS ONON US EQTY MANGERS PORTFOLIO 4 OFFSHORE LP DNE FD CUSIP MAT998560							
184,326 63	113 4540000	0 00	20,912,593 48	16,893,831 04	4,018,762 44	0 00	4,018,762 44
CF MCDONALD DYNAMIC EQUITY SERIE OFFSHORE LP CUSIP 33099RA45							
120,485 56	173 9120000	0 00	20,953,884 71	20,000,000 00	953,884 71	0 00	953,884 71
Total USD		0 00	51,757,152 29	43,221,492 39	8,535,659.90	0 00	8,535,659.90
Total United States		0 00	51,757,152 29	43,221,492 39	8,535,659 90	0 00	8,535,659.90
Total Funds - Common Stock							
9,784,518.81		**0.00**	**142,290,863.54**	**136,267,399.57**	**6,023,463.97**	**0.00**	**6,023,463.97**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Hedge Fund							
Hedge fund of funds							
International Region - USD							
CF SILVER POINT CAPITAL OFFSHORE LTD CL H SER 470 FD CUSIP SS5999270							
300 00	12,162 1305000	0 00	3,648,639 15	3,000,000 00	648,639 15	0 00	648,639 15
CF WARLANDER OFFSHORE FUND, LTD - TRANCHE W - SERIES FEB 2016 CUSIP 44299NF28							
4,500 00	1,054 0071510	0 00	4,743,032 18	4,500,000 00	243,032 18	0 00	243,032 18
Total USD		0 00	8,391,671.33	7,500,000 00	891,671.33	0 00	891,671 33
Total International Region		0 00	8,391,671 33	7,500,000 00	891,671 33	0 00	891,671 33
Total Hedge Fund of Funds							
4,800.00		**0.00**	**8,391,671.33**	**7,500,000.00**	**891,671.33**	**0.00**	**891,671.33**
Hedge market dependent							
International Region - USD							
CF SYSTEMATICA BLUE TREND LTD CL S USD NON VOTING FD CUSIP 222998775							
21,720 48	89 5641750	0 00	1,945,376 87	2,154,791.18	- 209,414 31	0 00	- 209,414 31
Total USD		0 00	1,945,376 87	2,154,791 18	- 209,414 31	0.00	- 209,414.31

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Hedge Fund							
Hedge market dependent							
Total International Region		0 00	1,945,376 87	2,154,791 18	- 209,414 31	0 00	- 209,414.31
Total Hedge Market Dependent							
21,720.48		**0.00**	**1,945,376.87**	**2,154,791.18**	**- 209,414.31**	**0.00**	**- 209,414.31**
Other Assets							
Miscellaneous							
United States - USD							
&&& CASH HELD ELSE WHERE CF OZ ENHANCED OVERSEAS LTD C/2 FD **INCCUSIP 000865329							
305,696 80	1 0000000	0 00	305,696 80 ++	305,696 80	0 00 ++ Represents an informational only asset	0 00	0 00
&&& CASH HELD ELSEWHERE CF KOPPENBERG MARCO COMMODITY LTD (JAH FD ONLY) CUSIP 000877209							
231,349 12	1 0000000	0 00	231,349 12 ++	231,349 12	0 00 ++ Represents an informational only asset	0 00	0 00
&&& CASH HELD ELSEWHERE FOR JANA OFFSHORE FD CUSIP 000786863							
316,320 26	1 0000000	0 00	316,320 26 ++	316,320 26	0 00 ++ Represents an informational only asset	0 00	0 00

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Other Assets							
Miscellaneous							
&&& CASH HELD ELSEWHERE PENNANT CUSIP 000847376							
284,001 76	1 0000000	0 00	284,001 76 ++	284,001 76	0 00	0 00	0 00
					++ *Represents an informational only asset*		
Total USD		0.00	1,137,367.94	1,137,367 94	0 00	0 00	0 00
Total United States		0 00	1,137,367.94	1,137,367 94	0 00	0 00	0 00
Total Miscellaneous							
1,137,367.94		**0.00**	**1,137,367.94**	**1,137,367.94**	**0.00**	**0.00**	**0.00**
Fixed Income							
Funds - corporate bond							
Global Region - USD							
MFO VOYA FDS TR FLTG RATE FD CL I CUSIP 92913L825							
1,623,725 87	9 9600000	57,179 55	16,172,309 67	15,585,627 81	586,681 86	0 00	586,681 86
Total USD		57,179.55	16,172,309 67	15,585,627 81	586,681.86	0 00	586,681 86

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Fixed Income							
Funds - corporate bond							
Total Global Region		57,179 55	16,172,309 67	15,585,627 81	586,681 86	0.00	586,681 86
United States - USD							
MFO EATON VANCE INC FD BSTN-IN CUSIP 277907200							
3,026,727 88	5 7300000	86,854 21	17,343,150 75	17,828,478 86	- 485,328 11	0 00	- 485,328 11
MFO ROWE T PRICE INSTL INCOME FDS INC HIGH YIELD CUSIP 77958B204							
1,941,191 21	8 8500000	92,496 51	17,179,542 21	18,573,365 12	- 1,393,822 91	0 00	- 1,393,822 91
Issue Date 29 Aug 08							
Total USD		179,350 72	34,522,692 96	36,401,843 98	- 1,879,151.02	0 00	- 1,879,151 02
Total United States		179,350 72	34,522,692 96	36,401,843 98	- 1,879,151 02	0 00	- 1,879,151 02
Total Funds - Corporate Bond							
6,591,644.96		**236,530.27**	**50,695,002.63**	**51,987,471.79**	**- 1,292,469.16**	**0.00**	**- 1,292,469.16**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Hedge Fund							
Hedge multi strategy							
International Region - USD							
CF CRABEL SPC LTD A FEE 1 SER 193 FD CUSIP 647991AS9							
2,362 35	1,063 7358300	0 00	2,512,916 34	2,250,000 00	262,916 34	0.00	262,916 34
CF TWO SIGMA ABSOLUTE RETURN ENHANCED CAYMAN LTD CLS A1 SER 3 BENCHMARK FD CUSIP EJ999HIJ0							
3,750 00	1,481 3227000	0 00	5,554,960 13	3,750,000 00	1,804,960 13	0 00	1,804,960 13
CF YORK CREDIT OPPORTUNITIES UNIT TRUST CL A SER 1 FD CUSIP YCOPPT9Y3							
66,098 21	73 4744170	0 00	4,856,527 44	2,401,384 70	2,455,142 74	0 00	2,455,142 74
CF YORK CREDIT OPPORTUNITIES UNIT TRUST CL A/U/11-2014 FD CUSIP 234998T28							
9,648 08	73 2369680	0 00	706,596 13	750,000 00	- 43,403 87	0 00	- 43,403 87
Total USD		0 00	13,631,000.04	9,151,384 70	4,479,615 34	0 00	4,479,615 34
Total International Region		0 00	13,631,000 04	9,151,384 70	4,479,615 34	0 00	4,479,615.34
Total Hedge Multi Strategy							
81,858.64		**0.00**	**13,631,000.04**	**9,151,384.70**	**4,479,615.34**	**0.00**	**4,479,615.34**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Other equity assets							
United States - USD							
CASH AWAITING EQTY INVESTMENT CUSIP 14699QCF4							
6,000,000 00	1 0000000	0 00	6,000,000 00	6,000,000 00	0 00	0 00	0 00
Total USD		0 00	6,000,000 00	6,000,000 00	0 00	0 00	0 00
Total United States		0 00	6,000,000 00	6,000,000 00	0 00	0 00	0 00
Total Other Equity Assets							
6,000,000.00		**0.00**	**6,000,000.00**	**6,000,000.00**	**0.00**	**0.00**	**0.00**
Funds - equities etf							
United States - USD							
MFC ISHARES TR RUSSELL 2000 ETF CUSIP 464287655							
87,646 00	134 8500000	0 00	11,819,063 10	7,088,939 95	4,730,123 15	0 00	4,730,123 15
MFC VANGUARD INDEX FUNDS S&P 500 ETF SHSNEW CUSIP 922908363							
409,163 00	205 3100000	0 00	84,005,255 53	54,337,657 48	29,667,598 05	0 00	29,667,598 05
Total USD		0 00	95,824,318 63	61,426,597.43	34,397,721 20	0 00	34,397,721.20

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Equities							
Funds - equities etf							
Total United States		0 00	95,824,318 63	61,426,597.43	34,397,721 20	0 00	34,397,721 20
Total Funds - Equities ETF							
496,809.00		**0.00**	**95,824,318.63**	**61,426,597.43**	**34,397,721.20**	**0.00**	**34,397,721.20**
Fixed Income							
Funds - fixed income etf							
United States - USD							
MFC ISHARES 1-3 YEAR CREDIT BOND ETF CUSIP 464288646							
124,320 00	104 9400000	0 00	13,046,140 80	13,104,397 69	- 58,256 89	0 00	- 58,256 89
Issue Date 5 Dec 08							
MFC VANGUARD BD INDEX FDS VANGUARD TOTALBD MARKET ETF CUSIP 921937835							
187,455 00	80 7900000	0 00	15,144,489 45	15,642,806 16	- 498,316 71	0 00	- 498,316 71
Issue Date 7 Oct 08							
Total USD		0 00	28,190,630 25	28,747,203.85	- 556,573 60	0 00	- 556,573.60
Total United States		0 00	28,190,630.25	28,747,203 85	- 556,573 60	0 00	- 556,573 60

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Fixed Income							
Funds - fixed income etf							
Total Funds - Fixed Income ETF							
311,775.00		0.00	28,190,630.25	28,747,203.85	- 556,573.60	0 00	- 556,573.60
Total Fixed Income							
27,858,447.81		32,506.44	292,189,961.52	243,340,553.99	48,848,002.72	1,404.81	48,849,407.53
Total Fixed Income							
6,903,419.96		236,530.27	78,885,632.88	80,734,675.64	- 1,849,042.76	0.00	- 1,849,042.76
Total Fixed Income							
157,748.40		0.00	56,715,386.05	44,720,942.20	11,994,443.85	0.00	11,994,443.85
Total Fixed Income							
1,137,367.94		0.00	1,137,367.94	1,137,367 94	0.00	0.00	0.00
Total Fixed Income							
34,684,722.62		0.00	28,230,271.00	34,684,722.62	- 6,454,451.62	0.00	- 6,454,451.62

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Fixed Income							
Funds - fixed income etf							
Total Fixed Income							
26,591,721.27		**0.00**	**41,673,284.00**	**26,591,721.27**	**15,081,562.73**	**0.00**	**15,081,562.73**
Cash and Cash Equivalents							
Funds - short term investment							
USD - MFB NORTHERN INSTL FDS GOVT SELECT PORTFOLIO CUSIP 665278701	1 0000000	7,432 44	20,795,755 84	20,795,755 84	0 00	0 00	0 00
Total funds - short term investment - all currencies		7,432.44	20,795,755 84	20,795,755 84	0.00	0 00	0 00
Total funds - short term investment - all countries		7,432.44	20,795,755.84	20,795,755.84	0.00	0 00	0 00
Total Funds - Short Term Investment							
20,795,755.84		**7,432.44**	**20,795,755.84**	**20,795,755.84**	**0.00**	**0.00**	**0.00**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Cash and Cash Equivalents							
Funds - short term investment							
Total Cash and Cash Equivalents							
20,795,755.84		**7,432.44**	**20,795,755.84**	**20,795,755 84**	**0.00**	**0.00**	**0.00**
Adjustments To Cash							
Pending trade purchases							
USD - United States dollar	1 0000000	0 00	6,163,147 71	6,163,147 71	0 00	0 00	0 00
Total pending trade purchases - all currencies		0 00	6,163,147.71	6,163,147 71	0 00	0.00	0.00
Total pending trade purchases - all countries		0 00	6,163,147.71	6,163,147 71	0 00	0.00	0.00
Total Pending trade purchases							
0.00		**0.00**	**6,163,147.71**	**6,163,147.71**	**0.00**	**0.00**	**0.00**

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Adjustments To Cash							
Pending trade sales							
USD - United States dollar	1 0000000	0 00	17,359,035 41	17,359,035 41	0 00	0 00	0 00
Total pending trade sales - all currencies		0 00	17,359,035 41	17,359,035 41	0 00	0 00	0 00
Total pending trade sales - all countries		0 00	17,359,035 41	17,359,035 41	0 00	0 00	0 00
Total Pending trade sales **0.00**		**0.00**	**17,359,035.41**	**17,359,035.41**	**0.00**	**0.00**	**0.00**
Other payables							
USD - United States dollar	1 0000000	0 00	- 695 00	- 695 00	0 00	0 00	0 00
Total other payables - all currencies		0 00	- 695 00	- 695.00	0 00	0 00	0 00
Total other payables - all countries		0 00	- 695 00	- 695.00	0 00	0.00	0 00

Asset Detail - Base Currency

Description/Asset ID Investment Mgr ID Shares/PAR value	Exchange rate/ Local market price	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Adjustments To Cash							
Other payables							
Total Other Payables							
0.00		**0.00**	**- 695.00**	**- 695.00**	**0.00**	**0.00**	**0.00**
Total Adjustments To Cash							
0.00		**0.00**	**23,521,488.12**	**23,521,488.12**	**0.00**	**0.00**	**0.00**
Total							
118,129,183.84		**276,469.15**	**543,149,147.35**	**475,527,227.62**	**67,620,514.92**	**1,404.81**	**67,621,919.73**

++ Informational Only Asset - an asset that is not held in the account but that is included in Northern Trust's reports at the client's request solely as a matter of convenience to the client Custodial and other responsibilities for this asset rest solely with the client or another custodian Northern Trust has no custodial or other responsibilities for this asset, and the asset is not an asset of any Northern Trust account for purposes of the applicable account agreement

JOHN A. HARTFORD FOUNDATION
55 EAST 59th STREET
NEW YORK, N.Y. 10022
FEDERAL TAX I.D. #13-1667057

2016 INTERIM GRANT REVIEW

Aging and Health Program

Grantee:	Foundation-Administered Grant: Strategic Communications and Planning
Title:	Communications and Dissemination Initiative
Prior Awards:	2005–2011—$2,810,675—3 awards
Current Awards:	A) 12/11—$1,258,800—3 years B) 12/14—$1,951,320—3 years
Paid to Date:	$1,055,887
Action:	Continue monitoring and support implementation of communications plan
Reviewers:	Foundation Staff: Amy J. Berman, BSN, LHD Rani E. Snyder, MPA