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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private FoundationDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation DORIS DUKE FOUNDATION		A Employer identification number 13-1655241
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (908) 243-3619
650 FIFTH AVENUE, 19TH FLOOR		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here. ☐
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation. ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 16,143,031.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	21,689.	21,689.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	222,091.			
b	Gross sales price for all assets on line 6a ATTACHMENT 11				
7	Capital gain net income (from Part IV, line 2)		222,091.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	243,780.	243,780.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) [1]	26,931.	26,931.		
17	Interest				
18	Taxes (attach schedule) (see instructions)				
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 2	50,066.			
24	Total operating and administrative expenses. Add lines 13 through 23	76,997.	26,931.		46,781.
25	Contributions, gifts, grants paid	5,528,887.		ATTACHMENT 9	5,727,806.
26	Total expenses and disbursements. Add lines 24 and 25	5,605,884.	26,931.	0.	5,774,587.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-5,362,104.			
b	Net investment income (if negative, enter -0-)		216,849.		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	193,128.	102,365.	102,365.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable	17,397,689.	11,849,439.	11,849,439.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 3	4,098,700.	4,178,165.	4,178,165.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶ ATCH 4)	13,062.	13,062.	13,062.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	21,702,579.	16,143,031.	16,143,031.
17		Accounts payable and accrued expenses	57,677.	53,104.	
18		Grants payable	11,425,384.	11,219,444.	
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 5)	198,197.	198,649.	
	23	Total liabilities (add lines 17 through 22)	11,681,258.	11,471,197.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	10,021,321.	4,671,834.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	10,021,321.	4,671,834.	
	31	Total liabilities and net assets/fund balances (see instructions)	21,702,579.	16,143,031.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,021,321.
2	Enter amount from Part I, line 27a	2	-5,362,104.
3	Other increases not included in line 2 (itemize) ▶ ATCH 6	3	12,617.
4	Add lines 1, 2, and 3	4	4,671,834.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,671,834.

Form 990-PF (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)

(b) How acquired
P - Purchase
D - Donation(c) Date acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1 a SEE PART IV SCHEDULE**

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	222,091.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	5,562,066.	4,544,916.	1.223800
2014	4,320,038.	4,365,928.	0.989489
2013	3,880,973.	4,393,722.	0.883300
2012	1,700,783.	5,241,277.	0.324498
2011	190,858.	5,459,597.	0.034958

2 Total of line 1, column (d)	2	3.456045
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.691209
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,383,981.
5 Multiply line 4 by line 3.	5	3,030,247.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,168.
7 Add lines 5 and 6.	7	3,032,415.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	5,774,587.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,168.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	2,168.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,168.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 14,991.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	14,991.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	12,823.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax ☒ 12,823. Refunded ☐ Refundable ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DE, NJ, NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ WWW.DDCF.ORG	13	X
14 The books are in care of ▶ EILEEN OBERLANDER Telephone no ▶ 908-243-3619 Located at ▶ 1112 DUKES PARKWAY WEST, HILLSBOROUGH, NJ ZIP+4 ▶ 08844		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐ NONE

Form 990-PF (2016)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Expenses

1 N/A

2

3

4

Amount

1 NONE

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	4,061,465.
b	Average of monthly cash balances	1b	389,277.
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c)	1d	4,450,742.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,450,742.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	66,761.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,383,981.
6	Minimum investment return. Enter 5% of line 5	6	219,199.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	219,199.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,168.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,168.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	217,031.
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	217,031.
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	217,031.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,774,587.
b	Program-related investments - total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,774,587.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,168.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,772,419.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				217,031.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012 1,441,687.				
c From 2013 3,664,767.				
d From 2014 4,104,524.				
e From 2015 5,338,874.				
f Total of lines 3a through e	14,549,852.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ <u>5,774,587.</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				217,031.
e Remaining amount distributed out of corpus.	5,557,556.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	20,107,408.			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	20,107,408.			
10 Analysis of line 9:				
a Excess from 2012 1,441,687.				
b Excess from 2013 3,664,767.				
c Excess from 2014 4,104,524.				
d Excess from 2015 5,338,874.				
e Excess from 2016 5,557,556.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

ATCH 8

b The form in which applications should be submitted and information and materials they should include:

LETTER SUBMISSION - NO PRESCRIBED FORMAT

c Any submission deadlines.

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

NONE

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 9				
Total			▶ 3a	5,727,806.
b Approved for future payment ATTACHMENT 10				4,744,167.
Total			▶ 3b	4,744,167.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	21,689.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	222,091.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				243,780.	
13 Total. Add line 12, columns (b), (d), and (e)			13		243,780.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

7/31/2017
Date

President & CEO
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
SCOTT THOMPSETT

Preparer's signature *Seth Thompson*

Date
7/31/2017

Check ☐ if self-employed	PTIN P00741490
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Firm's name	▶ GRANT THORNTON LLP
Firm's address	▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY

10017-2013

Firm's EIN	▶ 36-6055558
Phone no	212-599-0100

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		INVESTMENTS HELD BY DDCF ON DDF'S BEHALF					VAR 222,091.	VAR
TOTAL GAIN(LOSS)							<u>222,091.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	26,931.	26,931.		
TOTALS	<u>26,931.</u>	<u>26,931.</u>		

ATTACHMENT 2FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MANAGEMENT FEES (PAID TO DDME)	48,560.			45,275.
FILING FEES	1,452.			1,452.
INSURANCE	54.			54.
TOTALS	50,066.			46,781.

ATTACHMENT 3FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS INVESTMENTS HELD BY DORIS DUKE CHARITABLE FDN ON DORIS DUKE FDN'S BEHALF	4,098,700.	4,178,165.	4,178,165.
TOTALS	<u>4,098,700.</u>	<u>4,178,165.</u>	<u>4,178,165.</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 4

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COLLECTIBLES	13,062.	13,062.	13,062.
TOTALS	<u>13,062.</u>	<u>13,062.</u>	<u>13,062.</u>

ATTACHMENT 5FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE CHARITABLE FOUNDATION	2,233.	2,233.
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	123,001.	123,453.
DUE TO DUKE FARMS FOUNDATION	72,963.	72,963.
TOTALS	<u>198,197.</u>	<u>198,649.</u>

ATTACHMENT 6FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED GAIN ON INVESTMENTS

12,617.

TOTAL

12,617.

Doris Duke Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 9

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient and/or Purpose	Tax Status	Amount Paid 2016	Approved for Future Payment
Matching Gifts (as reported in Attachment 9a(1))	501(c)(3) public charities	\$179,556	\$0
Doris Duke Artist Awards Recipients (as reported in Attachments 9a(2) & 10)	N/A	\$5,310,250	\$4,744,167
Grants to Organizations (as reported in Attachment 9a(3))	See Attachment 9a(3)	\$238,000	\$0
Total Grants Paid out in 2016		\$5,727,806	\$4,744,167

Doris Duke Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 9a (1)

Form 990-PF, Part XV - Grants and Contributions Paid During the Year

ORGANIZATION NAME	ADDRESS	CITY	STATE	CASH
Achieve Minneapolis	111 Third Avenue South, Suite 5	Minneapolis	MN	\$1,000
Alley Cat Allies Incorporated	7920 Norfolk Avenue, Suite 600	Bethesda	MD	\$200
Amami Public Charter School	60 S. Third Ave	Mount Vernon	NY	\$200
American Buddhist Study Center Inc.	331 Riverside Drive	New York	NY	\$200
American Foundation for Suicide Prevention	120 Wall Street, Floor 29	New York	NY	\$50
American Heart Association Inc.	7272 Greenville Avenue	Dallas	TX	\$50
American Museum of Natural History	Central Park West at 79th St.	New York	NY	\$400
American National Red Cross	17th And D Streets NW	Washington	DC	\$200
American Society for the Prevention of Cruelty To Animals	424 East 92nd Street, 3rd Floor	New York	NY	\$200
American Studies Center	1100 N. Glebe Road, Suite 910	Arlington	VA	\$100
Ananda Mandir Inc	269 Cedar Grove Lane	Somerset	NJ	\$500
Anderson House Inc	P.O. Box 134	Whitehouse Station	NY	\$450
Animal Care and Control of New York City Inc	11 Park Place, Suite 805	New York	NY	\$700
Animal Haven Inc.	200 Centre St	New York	NY	\$200
Animal Legal Defense Fund	170 East Cotati Avenue	Cotati	CA	\$200
Animalkind Inc	P O. Box 902, 721 Warren St.	Hudson	NY	\$200
Armenian Apostolic Holy Cross Church of Los Angeles - Armenian Mesrobian School	8420 Beverly Road	Pico Rivera	CA	\$400
Artists Space Inc.	55 Walker Street	New York	NY	\$1,000
Asian American Arts Alliance	20 Jay Street, Suite 740	Brooklyn	NY	\$200
Asian Arts Initiative	1219 Vine Street	Philadelphia	PA	\$200
Auricle Communications	WFMU, P.O. Box 2011	Jersey City	NJ	\$250
Bank Street College of Education	P.O. Box 250865	New York	NY	\$600
Bat Conservation International	P.O. Box 162603	Austin	TX	\$950
Bike & Build Inc.	6153 Ridge Ave Unit B	Philadelphia	PA	\$319
Blinknow Foundation A NJ Nonprofitcorporation	PO Box 453	Mendham	NJ	\$1,000
Boston Health Care for the Homeless Program Inc.	780 Albany Street	Boston	MA	\$1,000
Bottomless Closet	15 Penn Plaza, Level B, Suite 40	New York	NY	\$500
Bowdoin College	4100 College Station	Brunswick	ME	\$200
Boy Scouts of America	25 Ramapo Valley Road	Oakland	NJ	\$200
Branchburg Township Parent-Teacher Organization	220 Baird Rd	Branchburg	NJ	\$60
Brooklyn Public Library	10 Grand Army Plaza, 3rd Floor	Brooklyn	NY	\$200
Bryn Mawr College	101 North Merion Avenue	Bryn Mawr	PA	\$100
Buddhist Churches of America	331-332 Riverside Drive	New York	NY	\$4,800

Camp Fire New Jersey Inc	535 E. Franklin Street	Trenton	NJ	\$2,100
Capic-Cat Adoption and Pet Information Center	6 Bell Avenue	Raritan	NJ	\$300
Children and Youth First	54 Hancock Drive	Morristown	NJ	\$300
Cities at Peace Inc.	104 West 27th Street, 12th Floor	New York	NY	\$150
City Harvest Inc.	6 East 32nd Street, 5th Fl.	New York	NY	\$600
College of the Holy Cross	One College Street	Worcester	MA	\$500
Colon Cancer Alliance	1025 Vermont Ave Nw Ste 1066	Washington	DC	\$100
Colonial Williamsburg Foundation	P.O. Box 1776	Williamsburg	VA	\$70
Columbia Land Conservancy Inc.	49 Main Street	Chatham	NY	\$200
Conserve Wildlife Foundation of New Jersey Inc	PO Box 420, Mail Code 501-03E	Trenton	NJ	\$60
Council on the Environment Inc	100 Gold Street, Suite 3300	New York	NY	\$1,000
Creative Arts Workshops for Kids Inc.	520 8th Avenue, Suite 201A	New York	NY	\$400
Creative Capital Foundation	15 Maiden Lane, 18th Floor	New York	NY	\$1,000
Creative Capital Foundation	15 Maiden Lane, 18th Floor	New York	NY	\$300
CUNY School of Professional Studies Foundation Inc.	119 West 31st Street, 10th Floor	New York	NY	\$200
Daishu-In West Inc.	P.O. Box 716	Garberville	CA	\$300
Dance Ring- New York Theatre Ballet	131 East 10th Street, 2nd Floor	New York	NY	\$1,020
Danspace Project Inc.	131 East 10th Street	New York	NY	\$1,000
Delbarton School	230 Mendham Road	Morristown	NJ	\$200
Diocese of Metuchen	P.O. Box 191	Metuchen	NJ	\$1,300
Diocese of Metuchen - Immaculata High School	240 Mountain Avenue	Somerville	NJ	\$300
Diocese of Metuchen-Shrine Chapel of the Blessed Sacrament	52 West Somerset Street	Raritan	NJ	\$370
Diocese of Metuchen-The Church of the Immaculate Conception	35 Mountain Avenue	Somerville	NJ	\$790
Diocese of New Jersey-St. Martin's Episcopal Church	1350 Washington Valley Rd	Bridgewater	NJ	\$450
Doctors Without Borders USA Inc	333 7th Avenue, 2nd Floor	New York	NY	\$600
Donorschoose Org	134 West 37th Street, Floor 11	New York	NY	\$1,570
Duke University	P.O. Box 90581	Durham	NC	\$2,500
East-West Center Foundation	1601 East West Road	Honolulu	HI	\$150
East-West Players Inc.	120 Judge John Aiso St #4	Los Angeles	CA	\$100
Ecological Society of America Inc	1990 M Street, Suite 700	Washington	DC	\$200
Farm Sanctuary Inc.	P.O. Box 150	Watkins Glen	NY	\$200
Fiji Theater Company Inc - Ping Chong and Company	47 Great Jones Street	New York	NY	\$200
Fiorello H. LaGuardia Community College Foundation	3110 Thomson Ave	Long Island City	NY	\$3,000
Food for the Poor Inc.	6401 Lyons Road	Coconut Creek	FL	\$450
Fort Greene Park Conservancy Inc.	85 South Oxford Street	Brooklyn	NY	\$450
Foundation for Contemporary Arts Inc.	820 Greenwich Street	New York	NY	\$1,000

Friends of Acadia	P.O. Box 45	Bar Harbor	ME	\$2,000
Friends of the Fletcher Free Library Inc	235 College Street	Burlington	VT	\$200
Gay Mens Health Crisis Inc.	446 West 33rd Street	New York	NY	\$100
Gods Love We Deliver Inc.	166 Avenue of the Americas	New York	NY	\$100
Greener New Jersey Productions Inc	P.O. Box 14	Stockton	NJ	\$1,000
Grounds for Sculpture Inc.	80 Sculptors Way	Hamilton	NJ	\$180
Groundwork Hudson Valley Inc.	22 Main St 2nd Floor	Yonkers	NY	\$600
Grow It Green Morristown Inc	P.O. Box 230	Morristown	NJ	\$200
Hackettstown Community Health Care Foundation	651 Willow Grove Street	Hackettstown	NJ	\$200
Haleakala Inc.	512 West 19th Street	New York	NY	\$1,000
Hawaii Opera Theatre	848 S. Beretania Street, Ste. 301	Honolulu	HI	\$500
Homeless Solutions Inc	6 Dumont Place, 3rd Floor	Morristown	NJ	\$200
Honolulu Fil-AM Seventh Day Advent Church	2322 Kanealii Avenue	Honolulu	HI	\$23,520
Hope For New York	1166 Avenue of the Americas, 16th Floor	New York	NY	\$1,476
Housing Works Inc	57 Willoughby Street Floor 2	Brooklyn	NY	\$100
Humane Farming Association	P.O. Box 3577	San Rafael	CA	\$200
Hunterdon Drug Awareness Program	8 Main Street, Suite 7	Flemington	NJ	\$60
Indiana State University Foundation Inc-Indiana State University Office of the Controller	30 North Fifth Suet	Terre Haute	IN	\$100
Interfaith Food Pantry Inc.	2 Executive Drive	Morris Plains	NJ	\$400
Interlochen Center for the Arts	P.O. Box 199	Interlochen	MI	\$50
International Fellowship of Christians & Jews	30 North LaSalle Street, Suite 4300	Chicago	IL	\$400
International Union Against Tuberculosis and Lung Disease Inc.	61 Broadway, Suite 2800	New York	NY	\$1,620
International Yacht Restoration School Inc	449 Thames Street	Newport	RI	\$2,000
Intervarsity Christian Fellowship- USA	6400 Schroeder Road, P.O. Box 7895	Madison	WI	\$409
Jazz House Kids Inc	347 Bloomfield Avenue, Lower Level	Montclair	NJ	\$200
Jewish Community of Louisville Inc.	3600 Dutchmans Lane	Louisville	KY	\$150
Jews for Racial and Economic Justice	330 Seventh Ave., Suite 1901	New York	NY	\$504
Jointure for Community Adult Education Inc-Raritan Valley Symphonic Band	P.O. BOX 6064	Hillsborough	NJ	\$700
Joyce Theater Foundation Inc.	175 Eighth Ave.	New York	NY	\$500
Kent Place School	42 Norwood Avenue	Summit	NJ	\$50
Khan Academy Inc	P.O. Box 1630	Mountain View	CA	\$70
Kolot Chayeinu Inc.	540 President Street, 3rd Floor	Brooklyn	NY	\$2,196
La Mama Experimental Theatre Club Inc.	74A East 4th Street	New York	NY	\$200
Lark Theatre Company Inc	311 West 43rd Street, Suite 406	New York	NY	\$200
Lets Breakthrough Inc.	4 W. 43rd St., Suite 715	New York	NY	\$1,000
Little Red School House Inc.	272 Sixth Avenue	New York	NY	\$200
Loomis Institute - Loomis Chaffee Annual Fund	4 Batchelder Road, P.O. Box 600	Windsor	CT	\$200

Manoa Heritage Center	2859 Manoa Road	Honolulu	HI	\$500
Market Street Mission Inc.	9 Market Street	Morristown	NJ	\$202
Marsoc Foundation	P.O. Box 2018	Temecula	CA	\$100
Maryknoll Fathers and Brothers	P.O. Box 301	Maryknoll	NY	\$360
Ma-Yi Filipino Theatre Ensemble Inc.-Ma-yi Theater Company	520 Eighth Avenue, Suite 309	New York	NY	\$100
Memorial Sloan-Kettering Cancer Center	1275 York Avenue	New York	NY	\$50
Metafoundation	613 4th Street, Suite 208	Santa Rosa	CA	\$700
Metropolitan Museum of Art	1000 5th Avenue	New York	NY	\$720
Mohonk Preserve Inc	PO Box 715	New Paltz	NY	\$50
Montclair Kimberly Academy Foundation	201 Valley Road	Montclair	NJ	\$250
Morgan Bay Zendo	532 Morgan Bay Road	Surry	ME	\$200
Morristown Memorial Health Foundation Inc.	P.O. Box 1956	Morristown	NJ	\$50
Movember Foundation	P.O. Box 1595	Culver City	CA	\$163
National Asian American Theaterfestival Inc	520 8th Avenue	New York	NY	\$400
National Asian-American Theatre Company	520 8th Avenue, Suite 308	New York	NY	\$200
National Multiple Sclerosis Society	733 3rd Avenue, Fl. 3	New York	NY	\$300
National Performance Network Inc.	P.O. Box 56698	New Orleans	LA	\$200
Natural Resources Defense Council Inc.	40 West 20th St.	New York	NY	\$300
Neighborhood Cats Inc.	2576 Broadway, Suite 555	New York	NY	\$200
New England Foundation for the Arts Inc.	145 Tremont St Fl 7	Boston	MA	\$400
New Jersey Audubon Society	9 Hardscrabble Road	Bernardsville	NJ	\$50
New Jersey Audubon Society	9 Hardscrabble Road,	Bernardsville	NJ	\$668
New Jersey Conservation Foundation	170 Longview Road	Far Hills	NJ	\$150
New Jersey Highlands Coalition	508 Main Street	Boonton	NJ	\$50
New Jersey Invasive Species Strike Team	P.O. Box 5752	Hillsborough	NJ	\$600
New London Barn Playhouse Inc.	P.O. Box 9	New London	NH	\$10,000
New Venture Fund-Avon Breast Cancer Crusade	P.O. Box 66120	Washington	DC	\$1,000
New York and Presbyterian Hospital	654 W 170th Street	New York	NY	\$200
New York Firefighters Burn Center Foundation	21 Asch Loop	Bronx	NY	\$1,140
New York Public Interest Research Group Fund Inc.	9 Murray Street, Lower Level	New York	NY	\$300
New York Public Radio	160 Varick Street	New York	NY	\$1,210
New York Times Neediest Cases Fund	620 8th Ave	New York	NY	\$1,000
New York Zen Center For Contemplative Care	119 West 23 Rd. Street, Suite 401	New York	NY	\$100

New Yorkers for Children Inc.	450 Seventh Avenue, Suite 403	New York	NY	\$1,000
Northwestern University-Northwestern University Dance Marathon	633 CLARK ST	Evanston	IL	\$134
Oberlin College	50 West Lorain Street	Oberlin	OH	\$200
Oldwick Fire Company Inc	P.O. Box 83	Oldwick	NJ	\$100
Opening Act Inc	P.O. Box 20178, Greeley Square Station	New York	NY	\$50
Operation Smile Inc.	3641 Faculty Boulevard	Virginia Beach	VA	\$200
Orangutan Foundation International	824 S Wellesley Ave.	Los Angeles	CA	\$2,550
P.S. 124 Brooklyn PTA Inc.	515 Fourth Avenue	Brooklyn	NY	\$240
Pan Asian Repertory Theatre Inc	520 Eighth Avenue, Suite 314	New York	NY	\$300
Pangea World Theater	711 West Lake Street, Suite 102	Minneapolis	MN	\$200
Parkinsons Unity Walk Inc	4422 Route 27, Building C, Fl. 2	Kingston	NJ	\$500
People for the Ethical Treatment of Animals Inc.	501 Front Street	Norfolk	VA	\$200
Physicians Committee for Responsible Medicine Inc.	5100 Wisconsin Avenue NW Suite 400	Washington	DC	\$200
Pinelands Preservation Alliance Inc.	17 Pemberton Road	Southampton	NJ	\$600
Planned Parenthood Federation of America Inc.	123 Williams Street - 10th Fl	New York	NY	\$600
Planned Parenthood of New York City Inc	26 Bleeker Street	New York	NY	\$250
President and Fellows of Harvard College	124 Mount Auburn Street	Cambridge	MA	\$2,500
Protestant Episcopal Cathedral Foundation of the D of C	Mount Saint Alban, 3612 Woodley Road NW	Washington	DC	\$2,000
Randolph College	2500 Rivermont Avenue	Lynchburg	VA	\$300
Rantan Baykeeper Inc dba NY/NJ Baykeepers Association	52 West Front Street	Keyport	NJ	\$200
Ravenswood Fellowship United Methodist Church	4511 North Hermitage Avenue	Chicago	IL	\$600
Redeemer Presbyterian Church of New York	1166 Avenue of the Americas, 16th Floor	New York	NY	\$8,257
Renaissance Project	5234 N Claiborne Ave	New Orleans	LA	\$1,000
Ricardo Ogorman Garden and Center for Resources In the Humanities Inc	23 West 129th Street	New York	NY	\$200
S A V E - A Friend To Homeless Animals Inc.	1010 Route 601	Skillman	NJ	\$200
Sadie Nash Leadership Project Inc.	4 West 43rd Street, Suite 502	New York	NY	\$515
Saint Andrews Preservation Foundation Inc.	P.O. Box 1245	Southampton	NY	\$4,900
Salvation Army Development Corporation	4 Gary Road	Union	NJ	\$50
Save the Children Federation Inc.	501 Kings Highway East, Suite 400	Fairfield	CT	\$200
Schiff Natural Lands Trust Inc.	339 Pleasant Valley Road	Mendham	NJ	\$800
Shinnecock Hills Educational Fund	200 Tuckahoe Rd	Southampton	NY	\$4,900
Skidmore College	815 North Broadway	Saratoga Springs	NY	\$500
Society of Women Engineers	203 N La Salle Street, Suite 1675	Chicago	IL	\$50
Southern Environmental Law Center	201 W. Main St., Suite 14	Charlottesville	VA	\$10,000
Southern Utah Wilderness Alliance	425 East 100 South	Salt Lake City	UT	\$1,168
St. Jude Childrens Research Hospital Inc	501 St. Jude Place, Workplace Gving	Memphis	TN	\$300
Steps Together	51 Woods Road	Hillsborough	NJ	\$50
Streb Inc.	51 North 1st Street	Brooklyn	NY	\$1,000

Teadia Productions	522 Wilshire Blvd Ste. H	Santa Monica	CA	\$200
Telem Center for the African Child Inc	P.O. Box 162	New York	NY	\$330
Temple Beth El	67 Route 206	Hillsborough	NJ	\$400
Tewksbury First Aid and Rescue Squad	163 Old Turnpike Road	Califon	NJ	\$50
Tewksbury Land Trust - A New Jersey Nonprofit Corporation	P.O. Box 490	Oldwick	NJ	\$500
The Childrens Aid Society	711 Third Avenue, Suite 700	New York	NY	\$240
The College of New Jersey Foundation Inc.	2000 Pennington Road	Ewing	NJ	\$50
The Laundromat Project	127 West 127th Suite 324	New York	NY	\$1,000
The Rotary Foundation of Rotary International	1560 Sherman Avenue	Evanston	IL	\$200
Top Gun Fastpitch Softball Inc	c/o Larry Yard, 207 Daval Road	Hillsborough	NJ	\$600
Trustees of Dartmouth College	6066 Development Office, Gift Recording Office	Hanover	NH	\$600
Trustees of the University of Pennsylvania - University of Pennsylvania	Suite 300 - GAA&R, 2929 Walnut Street	Philadelphia	PA	\$100
United States Artists Inc	980 N Michigan Ave No 1300	Chicago	IL	\$3,000
University of Hawaii Foundation	PO Box 11270	Honolulu	HI	\$200
University of Notre Dame Du Lac	1100 Grace Hall	Notre Dame	IN	\$400
University of Vermont and State Agricultural College	411 Main Street	Burlington	VT	\$350
University of Wisconsin Foundation	1848 University Ave	Madison	WI	\$1,000
Upper Raritan Watershed Association	P.O. Box 273	Gladstone	NJ	\$100
US Catholic Conference - Church of the Holy Trinity	60 Maple Street	Bridgewater	NJ	\$2,840
US Catholic Conference - Co-Cathedral of St. Theresa	712 N. School Street	Honolulu	HI	\$1,670
US Catholic Conference - Sacred Heart Church	P.O. Box 924	Manville	NJ	\$140
US Catholic Conference - St. Scholastica Academy	122 Massachusetts Street	Covington	LA	\$100
US Catholic Conference - Xavier High School	181 Randolph Road	Middletown	CT	\$1,000
Virginia Museum of Fine Arts Foundation	200 N. Boulevard	Richmond	VA	\$120
Wat Opot Childrens Fund	299 Georges Pond Road	Franklin	ME	\$400
We Care Solar	P.O. Box 5765	Berkeley	CA	\$200
Wellesley College	106 Central Street	Wellesley	MA	\$5,000
Widener University	One University Place	Chester	PA	\$1,300
WNET-Thirteen WNET	825 Eighth Avenue	New York	NY	\$120
Wounded Warrior Project Inc	4899 Belfort Road, Suite 300	Jacksonville	FL	\$750
Yale University	P.O. Box 2038	New Haven	CT	\$3,000
Zion Lutheran Church	P.O. Box 41	Oldwick	NJ	\$1,625
				\$179,556

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation, Manager, or Substantial Contributor	Amount Paid 2016
Anne Bogart	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Incentive Funding	Individual/None Individual/None	\$50,000 \$5,000
Donald Byron	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Audience Development	Individual/None Individual/None	\$25,000 \$5,000
Francis Wallace Cardona	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$40,000
Rinde Eckert	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Audience Development Doris Duke Artist Award 2012 - Incentive Funding	Individual/None Individual/None Individual/None	\$35,000 \$20,000 \$5,000
William Frisell	Doris Duke Artist Award 2012 - Audience Development Doris Duke Artist Award 2012 - Incentive Funding	Individual/None Individual/None	\$90,000 \$10,000
John Hollenbeck	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$40,000
Vijay Iyer	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$56,250
Marc Bamuthi Joseph	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Audience Development	Individual/None Individual/None	\$30,000 \$5,000
Elizabeth LeCompte	Doris Duke Artist Award 2012 - Incentive Funding	Individual/None	\$9,000
Young Jean Lee	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Incentive Funding	Individual/None Individual/None	\$41,666 \$38
Ralph Lemon	Doris Duke Artist Award 2012 - Incentive Funding	Individual/None	\$3,000
Richard Maxwell	Doris Duke Artist Award 2012 - Unrestricted Doris Duke Artist Award 2012 - Incentive Funding	Individual/None Individual/None	\$13,600 \$5,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Sarah Michelson	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$42,100
Nicole Mitchell	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$33,000
	Doris Duke Artist Award 2012 - Audience Development	Individual/None	\$10,000
	Doris Duke Artist Award 2012 - Incentive Funding	Individual/None	\$7,500
Meredith Monk	Doris Duke Artist Award 2012 - Incentive Funding	Individual/None	\$5,000
Eiko Otake	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$60,000
Takashi Koma Otake	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$40,000
	Doris Duke Artist Award 2012 - Audience Development	Individual/None	\$15,000
Basil Twist	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$20,000
	Doris Duke Artist Award 2012 - Incentive Funding	Individual/None	\$5,250
Reginald Wilson	Doris Duke Artist Award 2012 - Unrestricted	Individual/None	\$45,000
Childs, William	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$10,000
Kelly Copper	Doris Duke Artist Award 2013 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$7,627
D'Amour, Lisa	Doris Duke Artist Award 2013 - Unrestricted	Individual/None	\$35,000
Deanna Dorvillier	Doris Duke Artist Award 2013 - Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$4,756
Amir ElSaffar	Doris Duke Artist Award 2013 - Audience Development	Individual/None	\$12,500
	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$15,000
Patricia Graney	Doris Duke Artist Award 2013 - Unrestricted	Individual/None	\$50,000
David Lang	Doris Duke Artist Award 2013 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$5,018

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation, Manager, or Substantial Contributor	Amount Paid 2016
Pavol Liska	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013 - Incentive Funding	Individual/None Individual/None	\$30,000 \$7,908
Rudresh Mahanthappa	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$5,000
John Malpede	Doris Duke Artist Award 2013 - Incentive Funding	Individual/None	\$5,000
Miya Masaoka	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013 - Audience Development Doris Duke Artist Award 2013 - Incentive Funding	Individual/None Individual/None Individual/None	\$45,000 \$10,000 \$3,250
Tere O'Connor	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013 - Incentive Funding	Individual/None Individual/None	\$41,250 \$6,300
William Parker	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013 - Incentive Funding	Individual/None Individual/None	\$33,000 \$4,000
Elizabeth Streb	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013 - Incentive Funding	Individual/None Individual/None	\$40,000 \$5,000
Willa Jo Zollar	Doris Duke Artist Award 2013 - Unrestricted Doris Duke Artist Award 2013- Incentive Funding	Individual/None Individual/None	\$50,000 \$19,194
John Charles Collins	Doris Duke Artist Award 2014 - Unrestricted Doris Duke Artist Award 2014 - Incentive Funding	Individual/None Individual/None	\$45,000 \$6,900
Joanna Haigood	Doris Duke Artist Award 2014 - Unrestricted Doris Duke Artist Award 2014 - Incentive Funding	Individual/None Individual/None	\$75,000 \$6,500
David Hwang	Doris Duke Artist Award 2014 - Unrestricted Doris Duke Artist Award 2014 - Audience Development Doris Duke Artist Award 2014 - Incentive Funding	Individual/None Individual/None Individual/None	\$67,500 \$12,500 \$5,000
John R. Jasperse	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$46,250
Emily Johnson	Doris Duke Artist Award 2014 - Unrestricted Doris Duke Artist Award 2014 - Audience Development	Individual/None Individual/None	\$62,000 \$14,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation, Manager or Substantial Contributor	Amount Paid 2016
William T. Jones	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$5,000
Melanie Joseph	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$35,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$25,000
Nancy Keystone	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$15,000
Lisa Kron	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$5,000
Oliver Lake	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$8,333
Anne Parson Lazar	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$1,625
Stephen Hart Lehman	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$35,000
	Doris Duke Artist Award 2014 - Audience Development	Individual/None	\$5,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$10,000
Tarell A. McCraney	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$75,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$5,553
Roscoe E Mitchell	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$67,500
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$8,334
Elizabeth Parkins	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$5,000
Ranee Ramaswamy	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2014 - Incentive Funding	Individual/None	\$5,500

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Margaret Shaw	Doris Duke Artist Award 2014 - Audience Development Doris Duke Artist Award 2014 - Incentive Funding	Individual/None Individual/None	\$25,000 \$8,333
Craig Taborn	Doris Duke Artist Award 2014 - Unrestricted	Individual/None	\$45,000
Randolph Weston	Doris Duke Artist Award 2014 - Unrestricted Doris Duke Artist Award 2014 - Incentive Funding	Individual/None Individual/None	\$45,000 \$8,333
Muhal Richard Abrams	Doris Duke Artist Award 2015 - Unrestricted Doris Duke Artist Award 2015 - Audience Development Doris Duke Artist Award 2015 - Incentive Funding	Individual/None Individual/None Individual/None	\$39,200 \$4,870 \$8,334
Ambrose Akinmusire	Doris Duke Artist Award 2015 - Incentive Funding	Individual/None	\$46,000
Darcy James Argue	Doris Duke Artist Award 2015 - Unrestricted Doris Duke Artist Award 2015 - Incentive Funding	Individual/None Individual/None	\$90,000 \$7,030
Camille Brown	Doris Duke Artist Award 2015 - Unrestricted Doris Duke Artist Award 2015 - Audience Development Doris Duke Artist Award 2015 - Incentive Funding	Individual/None Individual/None Individual/None	\$30,000 \$15,000 \$2,500
Ronald Brown	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$90,000
Ann Carlson	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$88,000
Nora Chipaumire	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$45,000
Steven D. Coleman	Doris Duke Artist Award 2015 - Audience Development	Individual/None	\$2,500
Paul Flores	Doris Duke Artist Award 2015 - Unrestricted Doris Duke Artist Award 2015 - Incentive Funding	Individual/None Individual/None	\$70,000 \$10,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation, Manager or Substantial Contributor	Amount Paid 2016
Cynthia Hopkins	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2015 - Audience Development	Individual/None	\$25,000
Daniel Alexander Jones	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$54,500
	Doris Duke Artist Award 2015 - Audience Development	Individual/None	\$15,000
Alonzo King	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$45,000
	Doris Duke Artist Award 2015 - Incentive Funding	Individual/None	\$5,000
Okkyung Lee	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$45,000
Linda Parris-Bailey	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$90,000
Stephen Petronio	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$90,000
	Doris Duke Artist Award 2015 - Incentive Funding	Individual/None	\$18,070
Mildred Ruiz Sapp	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2015 - Incentive Funding	Individual/None	\$5,000
Steven Sapp	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$50,000
	Doris Duke Artist Award 2015 - Incentive Funding	Individual/None	\$5,000
Shawn Sides	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$50,000
Yosvany Terry	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$50,000
Doug Varone	Doris Duke Artist Award 2015 - Unrestricted	Individual/None	\$90,000
Sharon Bridgforth	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Dave Douglass	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Janie Geiser	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Miguel Gutierrez	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Taylor Mac	Doris Duke Artist Award 2016 - Audience Development	Individual/None	\$25,000
Jason Moran	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation, Manager or Substantial Contributor	Amount Paid 2016
Lynn Nottage	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Aparna Ramaswamy	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Matana Roberts	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$48,333
Wadda Leo Smith	Doris Duke Artist Award 2016 - Audience Development Doris Duke Artist Award 2016 - Incentive Funding	Individual/None Individual/None	\$25,000 \$12,500
Morgan Thorson	Doris Duke Artist Award 2016 - Unrestricted	Individual/None	\$75,000
Muhal Richard Abrams	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Audience Development Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None Individual/None	\$31,500 \$4,900 \$5,000
Steven D Coleman	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Audience Development	Individual/None Individual/None	\$3,000 \$10,000
Trajal Harrell	Doris Duke Impact Award 2014 - Unrestricted	Individual/None	\$20,000
Julia K. Jarcho	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$20,000 \$5,000
Jodi Melnick	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Audience Development	Individual/None Individual/None	\$25,000 \$4,750
Ben Monder	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Audience Development Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None Individual/None	\$36,000 \$2,000 \$5,000
Jennifer Monson	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$15,000 \$5,100
Richard Dean Moss	Doris Duke Impact Award 2014 - Unrestricted	Individual/None	\$20,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Lucia Neare	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$12,000 \$5,500
Orlando Aruan Ortiz Vizcay	Doris Duke Impact Award 2014 - Unrestricted	Individual/None	\$20,000
Matana Roberts	Doris Duke Impact Award 2014 - Unrestricted	Individual/None	\$10,000
Kristina Susan Satter	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$24,000 \$1,250
Jennifer Lay Shyu	Doris Duke Impact Award 2014 - Incentive Funding	Individual/None	\$3,500
John T. Simons	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$25,000 \$5,000
Michael Sommers	Doris Duke Impact Award 2014 - Unrestricted Doris Duke Impact Award 2014 - Incentive Funding	Individual/None Individual/None	\$20,000 \$4,500
Adrienne E. Truscott	Doris Duke Impact Award 2014 - Unrestricted	Individual/None	\$9,000
Cristal Chanelle Truscott	Doris Duke Impact Award 2014 - Audience Development	Individual/None	\$10,000
Becca Blackwell	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None	\$20,000 \$2,750
Kris Davis	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None Individual/None	\$25,000 \$10,000 \$2,500
Lear DeBessonnet	Doris Duke Impact Award 2015 - Unrestricted	Individual/None	\$20,000
Mark Dresser	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development	Individual/None Individual/None	\$30,000 \$6,000
Michelle Ellsworth	Doris Duke Impact Award 2015 - Unrestricted	Individual/None	\$20,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2016
Beth Gill	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None	\$30,000 \$5,500
Morgan Jenness	Doris Duke Impact Award 2015 - Unrestricted	Individual/None	\$30,000
Heather Kravas	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development	Individual/None Individual/None	\$50,000 \$10,000
Dohee Lee	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development	Individual/None Individual/None	\$30,000 \$4,000
Matthew Mitchell	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None Individual/None	\$13,000 \$10,000 \$2,795
Carlos Murillo	Doris Duke Impact Award 2015 - Unrestricted	Individual/None	\$20,000
Brooke O'Harra	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Audience Development Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None Individual/None	\$60,000 \$10,000 \$5,000
Tyshawn Sorey	Doris Duke Impact Award 2015 - Unrestricted	Individual/None	\$36,000
Reginald Workman	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None	\$36,000 \$5,000
Pamela Z.	Doris Duke Impact Award 2015 - Unrestricted Doris Duke Impact Award 2015 - Incentive Funding	Individual/None Individual/None	\$20,000 \$5,000
TOTAL			\$5,310,250

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient and/or Purpose	Tax Status	Amount Paid 2016	Grant Purpose
Alonzo King Lines Ballet 26 Seventh St. San Francisco, CA 94103	PC	\$25,000	To support "LINES Ballet Audience Engagement Series."
Arts For Learning Miami Inc 404 NW 26th Street Miami, FL 33127	PC	\$25,000	"Youth Artist Leadership Summer Program (YALS PROGRAM)," which will engage young female artists of color in the production and creation of theatrical works and provide them with leadership skills.
Carpetbag Theatre, Inc. 3018 E. Fifth Ave Knoxville, TN 37914-4423	PC	\$25,000	To support "Project Open House," which will build audiences and long-term support for the Carpetbag Theater by providing opportunities for residents, visitors, and tourists to share stories through Digital Storytelling training and open Story Circles.
DOVA, Inc. 260 West Broadway Suite 4 New York, NY 10013	PC	\$25,000	DOVA's 30th Anniversary Online Engagement Initiative, which includes creation and launching of a new website that engages new audiences, incorporates online videos of Doug Varone's work, and integrates access to social media.
Fist and Heel Performance Group 476 Dean Street, #2 Brooklyn, NY 11217	PC	\$3,000	To film and edit the world premiere of "CITIZEN" to further development of potential target audiences for company's work and sustainability.
Fractured Atlas Inc 248 West 35th Street, 10th Floor New York, NY 10001	PC	\$25,000	To support the Nature Theater of Oklahoma's Blog/Video Portal for Project 2016

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient and/or Purpose	Tax Status	Amount Paid 2016	Grant Purpose
Open Eye Figure Theatre 506 E 24th Street Minneapolis, MN 55404	PC	\$10,000	To support touring of Michael Sommers' work, "The Sorcerer's Apprentice," to new audiences and puppetry artists attending the Chicago International Puppet Theatre Festival
Ragamala Dance 711 W Lake St, Suite 309 Minneapolis, MN 55408	PC	\$25,000	To support pre- and post-performance engagement with audiences for Ragamala Dance Company's work "Written in Water."
Rude Mechanicals-A Theatre Collective 2211 A Hidalgo St Austin, TX 78702-3441	PC	\$25,000	To support Rude Mech's 20th Anniversary Year Project, which will include a monthly series of events throughout the 2016-17 season designed to reconnect and develop closer relationships with the company's existing audience base and to engage new audiences
Thin Man Dance Inc 140 Second Ave, Suite 501 New York, NY 10003	PC	\$25,000	To support revitalization of Thin Man's on-line presence, open rehearsals and discussions with audiences at venues around the country, and relationship building with presenters and other artists
Zaccho S F 1777 Yosemite Ave, #330 San Francisco, CA 94124	PC	\$25,000	To create a digital media archive of Joanna Haigood's work and embed it in a new website.
TOTAL		\$238,000	

DORIS DUKE FOUNDATION - ARTISTS' AWARDS
PART XV - CASH GRANTS APPROVED DURING THE YEAR (TO BE PAID IN FUTURE)

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Approved for Future Payment
Matana Roberts,	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$116,667
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$15,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$15,000
Jennifer Lay Shyu	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$165,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$15,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$15,000
Dianne McIntyre	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$165,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$15,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$15,000
Henry Threadgill	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$165,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$15,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$15,000
Kyle Abraham	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Sharon Bridgforth	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Dave Douglas	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Faye Driscoll	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Janie Geiser	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Miguel Gutierrez	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Fred Hersch	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Wayne Horvitz	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Taylor Mac	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Jason Moran	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000

DORIS DUKE FOUNDATION - ARTISTS' AWARDS
PART XV - CASH GRANTS APPROVED DURING THE YEAR (TO BE PAID IN FUTURE)

Recipient*	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Approved for Future Payment
Mark Morris	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Lynn Nottage	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Thaddeus Phillips	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Will Power	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Aparna Ramaswamy	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
Wadada Leo Smith	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$225,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$12,500
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	
Morgan Thorson	<i>Dons Duke Artist Award 2016 - Unrestricted</i>	Individual	\$150,000
	<i>Dons Duke Artist Award 2016 - Audience Development</i>	None	\$25,000
	<i>Dons Duke Artist Award 2016 - Incentive Funding</i>	None	\$25,000
TOTAL			\$4,744,167

Doris Duke Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 11

Form 990-PF, Part I - Net Gain from Sale of Assets

The Doris Duke Foundation's investments are commingled with that of a related organization, the Doris Duke Charitable Foundation. The realized gains reportable on the Doris Duke Foundation's Form 990-PF are an allocation of the portfolio's total gains based on its percentage of holdings in the account. Accordingly, deriving a sales price for Line 6(b) is impractical. A detailed schedule of gains and losses is available upon request.

Doris Duke Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2016
Attachment 12

Form 990-PF, Part VII-B, Line 5(a)(3).

The Doris Duke Artist Awards: these grants constitute qualifying distributions because such grants are made pursuant to the Internal Revenue Code Section 4945(g)(3).

The Doris Duke Artist Awards, a sub-initiative of the Doris Duke Performing Artist Awards, support exemplary individual artists in contemporary dance, jazz, theatre and related interdisciplinary work who have proven their artistic vitality and commitment to their field. The Doris Duke Artist Award is not a lifetime achievement award, nor a "genius" grant. The award is a deeper investment in the potential of dedicated artists, empowering them through the freedom of unrestricted support while celebrating past achievement.

Doris Duke Artist Award recipients are entitled to two kinds of funds: unrestricted/flexible funds, and restricted project funds. Artists will receive an unrestricted grant of \$225,000 over a three to five year period, as set through a schedule to be determined by the recipient. An additional \$25,000 of unrestricted funds will be made available to artists who can demonstrate that they have started or increased resources (whether IRA's, SEP's 401(k)'s, etc.) that will allow them to continue their creative exploration in their later years when other resources are likely to be unpredictable and difficult to obtain. Restricted project funds of up to \$25,000 will be available to the artist specifically to support work around audience connections or development, bringing the program's potential investment to \$275,000 per artist.

With the fifth class of Doris Duke Artist Award recipients announced in 2016 a total of 101 of America's most vital and productive performing artists have received awards. Doris Duke Artists Award recipients are selected through a rigorous, anonymous process of peer review. No applications are accepted. Candidates for Doris Duke Artist Awards are instead selected from a pool of artists who have won at least three designated national grants, awards, accolades or fellowships over the past ten years, with at least one having been funded by the Doris Duke Charitable Foundation.

In 2014 and 2015, the Doris Duke Impact Awards, also a sub-initiative of the Doris Duke Performing Artist Awards, supported artists who were not eligible for the Doris Duke Artist Award either because the artists lack the necessary number of qualifying national awards, grants and prizes to be eligible for the Doris Duke Artist Award or because their artistic voices are still coming into focus. Within those parameters, Doris Duke Artist Award recipients had the opportunity to nominate artists who inspire them to be considered for a Doris Duke Impact Award. A separate, anonymous panel of peers then selected the recipients based on evidence of exceptional creativity, self-challenge and the potential to make significant contributions to the fields of jazz, contemporary dance and theatre in the future. These grants are not tied to any specific project but are made as investments in the artists' personal and professional development and future work.

Impact Award recipients are entitled to two kinds of funds: unrestricted/flexible funds, and restricted project funds. Artists will receive an unrestricted grant of \$60,000 over a two to three year period, as set through a schedule to be determined by the recipient. An additional \$10,000 of unrestricted funds will be made available to artists who can demonstrate that they have started or increased resources (whether IRA's, SEP's 401(k)'s, etc.) that will allow them to continue their creative exploration in their later years when other resources are likely to be unpredictable and difficult to obtain. Restricted project funds of up to \$10,000 will be available to the artist specifically to support work around audience connections or development, bringing the program's potential investment to \$80,000 per artist.

For five years starting in 2016, twelve unrestricted grants of \$50,000 will be provided annually to individual artists in jazz, dance and theatre through a Doris Duke Charitable Foundation grant to United States Artists.

Recipients of both Doris Duke Artist Awards and Doris Duke Impact Awards have access to Creative Capital's goal assessment tools; financial and legal counseling; and conferences with peer-to-peer learning opportunities. Artists are also able to allocate a portion of their funding to cover costs of professional development services including workshops to help artists expand their skills and practices (from strategic planning to fundraising to promotion); phone-in clinics that offer support for the business areas of artistic practices (legal, financial, tech, PR and business advice); memberships that provide opportunities for crowdfunding and fiscal sponsorship partners, as well as pro-rated fees for insurance or health care.

Visit <http://ddpaa.org> to find additional information about the Doris Duke Artist Awards; and the eligibility criteria and selection process for the awards. Additional information about the United States Artists Doris Duke Fellowships can be found at <http://www.unitedstatesartists.org/>

The Foundation received prior approval from the IRS for this grant-making program. A copy of the IRS Advance Approval is available upon request.