Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at *www IRS gov/form990*

OMB No 1545-0047

2016

Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 01-01-2016 , and ending 12-31-2016

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
American Diabetes Association

Doing business as

Number and street (or P O box if mail is not delivered to street address) | Room/suite
2451 Crystal Drive Room 900

City or town, state or province, country, and ZIP or foreign postal code
Arlington, VA 22202

D Employer identification number
13-1623888

E Telephone number
(703) 549-1500

G Gross receipts $ 211,045,038

F Name and address of principal officer
Martha P Clark
2451 Crystal Drive Suite 900
Arlington, VA 22202

H(a) Is this a group return for subordinates? ☐ Yes ☑ No
H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www diabetes org

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1940

M State of legal domicile OH

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
The mission of the American Diabetes Association the Association is to prevent and cure diabetes and to improve the lives of all people affected by diabetes

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	18
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	18
5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	1,502
6	Total number of volunteers (estimate if necessary)	6	79,627
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	5,044,118
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-1,667,190

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	135,304,032	122,553,876
	9	Program service revenue (Part VIII, line 2g)	31,874,705	31,123,787
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,660,685	1,689,268
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	11,963,581	11,967,983
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	180,803,003	167,334,914
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	35,992,416	34,536,365
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	66,041,729	69,064,865
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	1,794,074	1,439,767
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶40,894,704		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	82,289,021	85,416,898
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	186,117,240	190,457,895
	19	Revenue less expenses Subtract line 18 from line 12	-5,314,237	-23,122,981

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	155,505,610	153,302,044
	21	Total liabilities (Part X, line 26)	73,633,332	94,350,810
	22	Net assets or fund balances Subtract line 21 from line 20	81,872,278	58,951,234

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer — Date 2017-11-15

Martha P Clark Interim Chief Executive Officer
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Whitney E Blair	Whitney E Blair	2017-11-15		

Firm's name ▶ KPMG LLP — Firm's EIN ▶
Firm's address ▶ 1676 International Drive McLean, VA 22102 — Phone no (703) 286-8000

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

The mission of the American Diabetes Association is to prevent and cure diabetes and to improve the lives of all people affected by diabetes

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 46,813,413 including grants of $ 34,294,418) (Revenue $ 15,808,644)

See Additional Data

4b (Code) (Expenses $ 50,216,763 including grants of $ 177,299) (Revenue $ 18,220,418)

See Additional Data

4c (Code) (Expenses $ 40,826,224 including grants of $ 64,648) (Revenue $)

See Additional Data

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $ 1,675,645)

4e **Total program service expenses ▶** 137,856,400

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services?*If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d	Yes	
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19	Yes	

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	Yes	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	1,208			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	1			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	1,502			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		No
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h	Yes	
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8		No
9a	Did the sponsoring organization make any taxable distributions under section 4966?			9a		No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b		No
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	18		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent	1b	18		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		No
6	Did the organization have members or stockholders?		6	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		No

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶
AK , AL , AR , AZ , CA , CO , CT , DC , FL , GA , HI , IL , IN , KS , KY , LA , MA , MD , ME , MI , MN , MO , MS , MT , NC , ND , NH , NJ , NY , OH , OK , OR , PA , RI , SC , TN , UT , VA , WA , WI , WV

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records
▶Charlotte M Carter CFO 2451 Crystal Drive Suite 900 Arlington, VA 22202 (703) 549-1500

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☑

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

	(D)	(E)	(F)
1b Sub-Total ▶			
c Total from continuation sheets to Part VII, Section A ▶			
d Total (add lines 1b and 1c) ▶	5,400,560		478,639

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 90

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
Infocision Management Corporation 325 Springside Drive Akron, OH 44333	Professional Fundraising	1,587,480
NNE Marketing LLC 1666 Massachusetts Avenue Ste 14 Lexington, MA 02420	Professional Fundraising Consulting	672,000
Blackbaud Inc 11501 Domain Drive Ste 200 Austin, TX 78758	Constituent Records Application Technical Services	1,301,255
Proskauer Rose LLP Eleven Times Square New York, NY 10036	Legal Consulting	544,666
Broadaxis Inc 2591 Dallas Pkwy Ste 300 Frisco, TX 75034	Information Systems	489,810

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 34

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts						
1a Federated campaigns . .	**1a**	5,135,359				
b Membership dues . .	**1b**					
c Fundraising events . .	**1c**	40,679,262				
d Related organizations	**1d**					
e Government grants (contributions)	**1e**	639,511				
f All other contributions, gifts, grants, and similar amounts not included above	**1f**	76,099,744				
g Noncash contributions included in lines 1a-1f $ 2,511,915						
h Total. Add lines 1a-1f . . . . ▶			122,553,876			

Program Service Revenue	Business Code	(A)	(B)	(C)	(D)
2a Subscriptions	511120	10,704,765	10,704,765		
b Registration	611710	10,841,535	10,841,535		
c Sales of Material	511130	3,703,144	3,703,144		
d Booth Rental	611710	4,971,892			4,971,892
e Other Program Service Revenue	900099	902,451	902,451		
f All other program service revenue					
g Total. Add lines 2a–2f . . . . ▶		31,123,787			

Other Revenue	(A)	(B)	(C)	(D)
3 Investment income (including dividends, interest, and other similar amounts) . . . . . . ▶	1,608,097			1,608,097
4 Income from investment of tax-exempt bond proceeds ▶				
5 Royalties . . . . ▶	2,729,211			2,729,211

	(i) Real	(ii) Personal
6a Gross rents		
b Less rental expenses		
c Rental income or (loss)		

	(A)	(B)	(C)	(D)
d Net rental income or (loss) . . . . ▶				

	(i) Securities	(ii) Other
7a Gross amount from sales of assets other than inventory	35,503,153	
b Less cost or other basis and sales expenses	35,340,965	81,017
c Gain or (loss)	162,188	-81,017

			(A)	(B)	(C)	(D)
d Net gain or (loss) . . . . . ▶			81,171			81,171
8a Gross income from fundraising events (not including $ 40,679,262 of contributions reported on line 1c) See Part IV, line 18	a	8,272,339				
b Less direct expenses . . .	b	8,272,339				
c Net income or (loss) from fundraising events . . ▶						
9a Gross income from gaming activities See Part IV, line 19 . . .	a	34,645				
b Less direct expenses . . .	b	15,803				
c Net income or (loss) from gaming activities . . ▶			18,842			18,842
10a Gross sales of inventory, less returns and allowances . .	a					
b Less cost of goods sold . .	b					
c Net income or (loss) from sales of inventory . . ▶						
Miscellaneous Revenue	Business Code					
11a Advertising Income	541800		4,639,012		4,639,012	
b Catalog Sales Income - Gift of Hope	454110		405,106		405,106	
c Abstract Fees Permissions Income	900099		846,760	846,760		
d All other revenue			3,329,052	3,329,052		
e Total. Add lines 11a–11d . . . . ▶			9,219,930			
12 Total revenue. See Instructions . . . . . ▶			167,334,914	30,327,707	5,044,118	9,409,213

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraisingexpenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	34,474,632	34,474,632		
2 Grants and other assistance to domestic individuals See Part IV, line 22	48,242	48,242		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 and 16	13,491	13,491		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	4,296,233	2,834,921	304,263	1,157,049
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	50,581,779	33,733,412	3,815,900	13,032,467
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	1,393,682	933,662	96,894	363,126
9 Other employee benefits	8,405,349	5,395,416	1,005,004	2,004,929
10 Payroll taxes	4,387,822	2,893,512	375,384	1,118,926
11 Fees for services (non-employees)				
a Management	0			
b Legal	1,058,429	559,904	481,072	17,453
c Accounting	308,376	18,789	285,004	4,583
d Lobbying	201,359	201,359		
e Professional fundraising services See Part IV, line 17	1,439,767			1,439,767
f Investment management fees	235,847		235,847	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	9,016,864	6,858,326	704,069	1,454,469
12 Advertising and promotion	5,380,249	3,157,991	60,013	2,162,245
13 Office expenses	4,531,299	2,853,124	589,752	1,088,423
14 Information technology	6,394,737	4,477,887	277,298	1,639,552
15 Royalties . .	170,332	170,332		
16 Occupancy	12,660,960	9,328,297	706,647	2,626,016
17 Travel	4,465,277	3,245,134	192,176	1,027,967
18 Payments of travel or entertainment expenses for any federal, state, or local public officials .	0			
19 Conferences, conventions, and meetings	5,722,193	5,572,248	8,303	141,642
20 Interest	267	116	8	143
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization . .	3,002,851	1,397,334	1,046,706	558,811
23 Insurance . . .	621,196	440,711	39,207	141,278
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a Supplies	3,480,146	3,130,031	15,494	334,621
b Postage and Shipping	9,248,550	4,559,767	150,742	4,538,041
c Printing and Publications	13,336,032	8,278,339	367,525	4,690,168
d Data Processing	1,935,840	1,209,593	16,594	709,653
e All other expenses	3,646,094	2,069,830	932,889	643,375
25 **Total functional expenses.** Add lines 1 through 24e	190,457,895	137,856,400	11,706,791	40,894,704
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☑ if following SOP 98-2 (ASC 958-720)	25,378,224	8,543,258	826,919	16,008,047

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash-non-interest-bearing		23,931,810	1	18,372,384
	2	Savings and temporary cash investments		762,084	2	249,609
	3	Pledges and grants receivable, net		43,711,864	3	38,184,108
	4	Accounts receivable, net		3,934,842	4	3,649,032
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		2,076,487	8	2,254,270
	9	Prepaid expenses and deferred charges		4,404,833	9	4,979,561
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 45,303,879			
	b	Less accumulated depreciation	10b 26,519,639	10,173,193	10c	18,784,240
	11	Investments—publicly traded securities		38,440,477	11	38,357,354
	12	Investments—other securities See Part IV, line 11		9,627,232	12	9,724,068
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		18,442,788	15	18,747,418
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		155,505,610	16	153,302,044
Liabilities	17	Accounts payable and accrued expenses		14,607,418	17	29,072,138
	18	Grants payable			18	
	19	Deferred revenue		13,576,452	19	10,287,114
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		45,449,462	25	54,991,558
	26	**Total liabilities.** Add lines 17 through 25		73,633,332	26	94,350,810
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		21,170,430	27	1,085,365
	28	Temporarily restricted net assets		46,715,669	28	43,786,500
	29	Permanently restricted net assets		13,986,179	29	14,079,369
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		81,872,278	33	58,951,234
	34	Total liabilities and net assets/fund balances		155,505,610	34	153,302,044

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	167,334,914
2	Total expenses (must equal Part IX, column (A), line 25)	2	190,457,895
3	Revenue less expenses Subtract line 2 from line 1	3	-23,122,981
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	81,872,278
5	Net unrealized gains (losses) on investments	5	201,937
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	58,951,234

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

Additional Data

Software ID:	16000333
Software Version:	17.2.1.0
EIN:	13-1623888
Name:	American Diabetes Association

Form 990 (2016)

Form 990, Part III, Line 4a:

Research - See Schedule O

Form 990, Part III, Line 4b:

Information - See Schedule O

Form 990, Part III, Line 4c:

Advocacy and Public Awareness - See Schedule O

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Robin J Richardson Chair of the Board	6 00	X		X				0	0	0
Desmond Schatz MD President, Medicine Science	6 00	X		X				0	0	0
Margaret A Powers PhD RD CDE President, Health Care Education	6 00	X		X				0	0	0
Lorrie Welker Liang Secretary-Treasurer	6 00	X		X				0	0	0
David A DeMarco PhD Chair of the Board-Elect	2 00	X		X				0	0	0
Alvin Powers MD President-Elect, Medicine Science	2 00	X		X				0	0	0
Brenda Montgomery RN MSHS CDE President-Elect, Health Care Education	2 00	X		X				0	0	0
Umesh Verma Secretary/Treasurer-Elect	2 00	X	X	X				0	0	0
Jackie Boucher MS RD LD CDE Board of Directors	1 00	X						0	0	0
Martha Clark MBA Board of Directors	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Robert Eckel MD Board of Directors	1 00	X						0	0	0
David Herrick MBA Board of Directors	1 00	X						0	0	0
Felicia Hill-Briggs PhD ABPP Board of Directors	1 00	X						0	0	0
Jeffrey Himmel MS Board of Directors	1 00	X						0	0	0
Jane Reusch MD Board of Directors	1 00	X						0	0	0
Calvin Schmidt MBA Board of Directors	1 00	X						0	0	0
Catherine Squires CFRE Board of Directors	1 00	X						0	0	0
Alan Yatvin JD Board of Directors	1 00	X						0	0	0
Kevin L Hagan Chief Executive Officer	36 90 000 60		X	X				474,856	0	49,241
Charlotte M Carter Chief Financial Officer	37 00 000 50			X				278,806	0	23,372

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Cynthia J Hallberlin Chief Operating Officer	37 50				X			355,933	0	30,514
Robert Ratner Departure date 121916 Chief Scientific and Medical Officer	37 50				X			449,519	0	21,403
Corey Gordon Chief Development and Stewardship Officer	37 50				X			296,023	0	27,698
Jeanette Flom Departure date 91616 VP Eastern Division	37 50				X			142,350	0	13,485
Rodney Sampson Departure date 72216 SVP ITS and Chief Technical Officer	37 50				X			119,768	0	7,330
Christa Wilson Interim SVP ITS and Chief Technical Officer	37 50				X			146,413	0	18,813
Kimberly Baich Chief Marketing and Communications Officer	37 50				X			245,128	0	23,886
Suehila Glass SVP Field Development Operations	37 50				X			187,883	0	28,618
Tamara Darsow PhD VP Research Programs	37 50				X			196,962	0	34,302
Shereen Arent Chief Advocacy Officer	37 50				X			238,385	0	21,152

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Linda Cann VP Professional Education	37 50				X			182,330	0	29,497
Jonathan Webb VP Corporate Alliance	37 50				X			155,380	0	23,653
Michael Eisenstein SVP Products and Publications	37 50				X			177,916	0	16,678
Peter Banfield Departure date 12516 SVP Operations Integration	37 50					X		252,290	0	25,519
Jane Chiang Departure date 112516 SVP Medical Community Affairs	37 50					X		272,992	0	11,073
Michael Chae Regional Director	37 50					X		163,210	0	30,209
Christopher Boynton Vice President, East Territory	37 50					X		177,151	0	32,271
Suzanne Werdann Vice President, Sports Entertainment	37 50					X		175,630	0	9,925
Deborah L Johnson Departure date 10 Chief Financial Officer							X	196,132	0	0
Greg Elfers Departure date 8715 Chief Field Development Officer							X	205,069	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Andrea Maddox Departure date 11615 SVP Eastern Key Mkts Program Implementation							X	110,434	0	0
Geraldine W Brown Departure date 10 Director of Corporate Development							X	200,000	0	0

SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ))

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170 (b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university

10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

11 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f Enter the number of supported organizations

g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")	152,820,180	165,940,291	146,055,657	135,304,032	122,553,876	722,674,036
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	152,820,180	165,940,291	146,055,657	135,304,032	122,553,876	722,674,036
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						722,674,036

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
7 Amounts from line 4	152,820,180	165,940,291	146,055,657	135,304,032	122,553,876	722,674,036
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	3,097,640	3,544,529	4,009,899	4,560,225	4,337,308	19,549,601
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)	78,260	69,992	47,055	33,439	34,645	263,391
11 **Total support.** Add lines 7 through 10						742,487,028

12 Gross receipts from related activities, etc (see instructions) | 12 | 231,453,847

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) | 14 | 97 330 %

15 Public support percentage for 2015 Schedule A, Part II, line 14 | 15 | 97 750 %

16a **33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

b **33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	0 %
16	Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2016** (line 10c, column (f) divided by line 13, column (f))	17	0 %
18	Investment income percentage from **2015** Schedule A, Part III, line 17	18	

19a **331/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I If you checked 12a of Part I, complete Sections A and B If you checked 12b of Part I, complete Sections A and C If you checked 12c of Part I, complete Sections A, D, and E If you checked 12d of Part I, complete Sections A and D, and complete Part V)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509 (a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2)*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)*	10b		

Part IV Supporting Organizations (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s)*	**2**		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**

- **a** ☐ The organization satisfied the Activities Test Complete **line 2** below
- **b** ☐ The organization is the parent of each of its supported organizations Complete **line 3** below
- **c** ☐ The organization supported a governmental entity Describe in **Part VI** how you supported a government entity (see instructions)

			Yes	No
2	Activities Test **Answer (a) and (b) below.**			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities*	**2a**		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement*	**2b**		
3	Parent of Supported Organizations **Answer (a) and (b) below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 **Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d **Total** (add lines 1a, 1b, and 1c)	1d		
e **Discount** claimed for blockage or other factors (explain in detail in Part VI)			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by 035	6		
7 Recoveries of prior-year distributions	7		
8 **Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 **Total annual distributions.** Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2016**	**(iii) Distributable Amount for 2016**
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 $			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 **Excess distributions carryover to 2017.** Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	**Employer identification number**
American Diabetes Association	13-1623888

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
4a Was a correction made? ☐ Yes ☐ No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2013	**(b)** 2014	**(c)** 2015	**(d)** 2016	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?	Yes		20,000
d	Mailings to members, legislators, or the public?	Yes		45,114
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		684,591
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		15,998
i	Other activities?		No	
j	Total Add lines 1c through 1i			765,703
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
II-B	The American Diabetes Associations advocacy efforts and achievements are at the core of creating effective and lasting change for people living with, and at risk for, diabetes The Association gives people with diabetes, their families, and health care professionals the power to influence public policy issues that affect people with diabetes at the local, state, and national levels From Capitol Hill to state houses to court houses across the country, the Associations Diabetes Advocates continue to drive momentum in our ongoing fight to Stop Diabetes The primary goals of the American Diabetes Associations Advocacy efforts are Increase federal and state funding for diabetes prevention, treatment and research Prevent diabetes Protect and improve the availability of accessible, adequate, and affordable health care including making insulin affordable End the discrimination people with diabetes face at school, work, and elsewhere in their lives End health disparities Advance medications and devices used to treat and monitor diabetes and prediabetes Improve federal coordination on diabetes care and management
II-B	While the Association succeeded in its efforts to gain congressional support for increased federal funding for diabetes research and programs, ultimately Congress did not pass a final FY2017 budget in 2016 A continuing resolution CR funded the government until April 28, 2017, with an across the board cut of 0 19 for all programs A final FY2017 budget was agreed to in May, with the following funding levels for diabetes programs National Institute of Diabetes and Digestive and Kidney Diseases NIDDK 1 871 billion increase of 52 million over FY 2016, Division of Diabetes Translation DDT at the Centers for Disease Control and Prevention CDC 140 129 million decrease of 30 million from FY 2016, and the National Diabetes Prevention Program National DPP 22 5 million increase of 2 5 million over FY 2016 While the DDT number did decrease, it was due to the termination of a one time boost of 30 million that was received in FY 2016 to aid in the phasing out of a particular program The Association garnered significant bipartisan support for the Special Diabetes Programs SDP 356 House members and 75 Senators signed a letter to extend the program when it comes up for reauthorization The programs funding runs out on September 30, 2017 The Association had twelve state victories around prevention four local measures taxing sugar sweetened beverages and legislation maintaining standards for school physical education The Food and Drug Administration FDA released an updated nutrition facts label, but its implementation has been delayed until at least 2018
II-B	Progress spread across the country with 60 legislative and regulatory victories in the areas of health insurance, fighting discrimination, primary prevention, diabetes programs and surveillance, and state coordination of diabetes Our Safe at School campaign added Idaho, Maryland, and Pennsylvania to the list of states with laws or policies meeting all of the main tenets of the campaign By the end of the year, 32 states met these tenets, providing access to diabetes care and allowing for appropriate self-management In all 50 states and the District of Columbia, the Association continued its efforts to fight for fairness for people with diabetes at school, on the job, in places of public accommodation, and in government programs and services The Association continued efforts to monitor and shape implementation of the Affordable Care Act, Medicaid, and Medicare through numerous official comments, letters, friend of the court briefs, and meetings with Administration officials Significantly, we helped secure Medicare coverage of the National Diabetes Prevention Program for seniors at-risk for diabetes This benefit is scheduled to take effect in January 2018
II-B	The Association continued its focus on underserved and disproportionately affected communities, achieving 10 victories by increasing access to fresh and healthy foods in underserved communities, securing funding for programs to reduce health disparities, and supporting the Screen at 23 campaign to promote screening for diabetes in the Asian community Working with the Diabetes Disparities Action Council, we continued to promote public policies to reduce health disparities in the African American, American Indian/Alaska Native, Asian and Pacific Islander, and Latino communities As part of this work, the Association builds outside support for our advocacy efforts, such as building relationships with strategic partners, including professional football players through our Team tackle initiative, the National Bar Association, National Indian Health Board, Mexican Embassy, and Telemundo and key collaborations with federal agencies, including the National Institute for Diabetes and Digestive and Kidney Diseases, Office of Minority Health, Centers for Medicare and Medicaid Services, and Health Resources and Services Administration

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319041827

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	1	
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)	53,964	
4	Aggregate value at end of year	460,046	

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☑ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V **Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	**(b)** Prior year	**(c)** Two years back	**(d)** Three years back	**(e)** Four years back
1a Beginning of year balance	22,460,102	22,540,712	22,695,748	22,599,517	21,974,370
b Contributions . . .	-3,646	-2,371	16,761		-5,113
c Net investment earnings, gains, and losses	1,972,812	1,593,023	2,132,726	2,508,624	2,474,180
d Grants or scholarships . . .	1,895,048	1,671,262	2,304,523	2,412,393	1,843,920
e Other expenditures for facilities and programs . . .					
f Administrative expenses					
g End of year balance	22,534,220	22,460,102	22,540,712	22,695,748	22,599,517

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶ 19 000 %

c Temporarily restricted endowment ▶ 81 000 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)	Yes	
(ii) related organizations	3a(ii)	Yes	
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b	Yes	

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI **Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land . . .		67,092		67,092
b Buildings				
c Leasehold improvements		6,282,897	481,533	5,801,364
d Equipment . . .		13,956,793	9,765,711	4,191,082
e Other . . .		24,997,097	16,272,395	8,724,702
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c))* . . ▶				18,784,240

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives	9,724,068	F
(2) Closely-held equity interests		
(3) Other		
(A) Financial derivatives and other financial products	9,724,068	F
(B) Closely-held equity interests		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	9,724,068	

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1) Due From Property Title Holding Corporation	5,929,543
(2) Investment in Net Assets of American Diabetes Association Property Title Holding Corporation	12,817,875
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* ▶	18,747,418

Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
Federal income taxes	
Due to American Diabetes Association Research Foundation	54,991,558
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	54,991,558

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	171,062,420
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments	2a	201,937		
b	Donated services and use of facilities	2b	1,727,640		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	3,416,545		
e	Add lines **2a** through **2d**			2e	5,346,122
3	Subtract line **2e** from line **1**			3	165,716,298
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	235,847		
b	Other (Describe in Part XIII)	4b	1,382,769		
c	Add lines **4a** and **4b**			4c	1,618,616
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	167,334,914

Part XII **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	190,651,146
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	1,727,635		
b	Prior year adjustments	2b			
c	Other losses	2c	244,452		
d	Other (Describe in Part XIII)	2d	32,684,956		
e	Add lines **2a** through **2d**			2e	34,657,043
3	Subtract line **2e** from line **1**			3	155,994,103
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	235,847		
b	Other (Describe in Part XIII)	4b	34,227,945		
c	Add lines **4a** and **4b**			4c	34,463,792
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	190,457,895

Part XIII **Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
See Additional Data Table	

Part XIII **Supplemental Information** ***(continued)***

Return Reference	Explanation

Additional Data

Software ID: 16000333
Software Version: 17.2.1.0
EIN: 13-1623888
Name: American Diabetes Association

Supplemental Information

Return Reference	Explanation
V 4	The following was disclosed in the consolidated financial statements related to the intend ed use of the Association endowment funds The Association has adopted an investment policy for endowment assets that provides continued financial stability for the Association and a revenue stream for spending on the Association mission

Supplemental Information

Return Reference	Explanation
V 4	To fulfill this mission, the American Diabetes Association funds research, publishes scien tific findings, provides information and other services to people with diabetes, their fam ilies, health professionals, and the public

Supplemental Information

Return Reference	Explanation
X 2	The following was disclosed related to uncertain tax positions in the audited financial st atements The American Diabetes Association and the American Diabetes Association Research Foundation, Inc are exempt from income taxes under Section 501c3 of the Internal Revenue Code the Code and charitable contributions to these organizations qualify for tax deductio ns as described in the Code The American Diabetes Association Property Title Holding Corp oration is exempt from income taxes under Section 501c2 of the Code These entities have b een classified as organizations that are not private foundations under Section 509a of the Code The Association recognizes the effects of the income tax positions only if those po sitions more likely than not would not be sustained upon examination by the Internal Reven ue Service The Association has analyzed the tax positions taken and has concluded that as of December 31, 2016, there are no uncertain tax positions taken or expected to be taken that would require recognition of a liability or asset or disclosure in the consolidated f inancial statements

Supplemental Information

Return Reference	Explanation
XI 2d	Donations reported by the American Diabetes Association Research Foundations audited finan cial statement EIN 54-1734511 2,666,455 Contributed services reported by the American Dia betes Association Research Foundation, Inc EIN 54-1734511 750,090

Supplemental Information

Return Reference	Explanation
XI 4b	Management fee earned from the American Diabetes Association Research Foundation, Inc EIN 54-1734511 1,382,769

Supplemental Information

Return Reference	Explanation
XII 2d	American Diabetes Association Research Foundation, Inc EIN 54-1734511 Expenses 32,684,956

Supplemental Information

Return Reference	Explanation
XII 4b	Grant to the American Diabetes Association Research Foundation, Inc EIN 54-1734511 34,227,945

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319041827

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) Europe Including Iceland and Greenland			Program Services	Grantmaking	13,491
(2)					
(3)					
(4)					
(5)					
3a Sub-total					13,491
b Total from continuation sheets to Part I					
c **Totals** (add lines 3a and 3b)					13,491

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		Europe Including Iceland and Greenland	See Part V	13,491	Check			
(2)								
(3)								
(4)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶ 1

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

1	Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☐ Yes	☑ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)*	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*	☐ Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)*	☐ Yes	☑ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Part I Line 3	The Association awarded a grant to the International Diabetes Federation IDF as part of the Associations donor advised fund program The grantees use of the fund is monitored through the Associations membership in the IDF

Return Reference	Explanation
Part II Line 1	The primary purpose of the grant is for the annual contribution from the Wendell Mayes donor advised fund to the International Diabetes Federation to support the Mary Jane Mayes scholar program

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a

▶Attach to Form 990 or Form 990-EZ.

▶Information about Schedule G (Form 990 or 990-EZ) and its instructions is at *www irs gov/form990.*

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I **Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

- **a** ☑ Mail solicitations
- **b** ☑ Internet and email solicitations
- **c** ☑ Phone solicitations
- **d** ☑ In-person solicitations
- **e** ☑ Solicitation of non-government grants
- **f** ☑ Solicitation of government grants
- **g** ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☑ **Yes** ☐ **No**

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
1	Infocision Management Corporation	Telemarketing		No	2,206,529	1,349,570	856,959
2	NNE Marketing LLC	See Part IV		No	18,766,423	672,000	18,094,423
3	Automotive Recovery Services Inc	See Part IV	Yes		436,120	169,356	266,764
4							
5							
6							
7							
8							
9							
10							
Total ▶					21,409,072	2,190,926	19,218,146

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

AK, AL, AR, AZ, CA, CO, CT, DC, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY

Part II **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1 **Step Out Walk to Stop Diabetes** (event type)	(b) Event #2 **Tour de Cure** (event type)	(c) Other events (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	12,719,313	25,544,115	10,688,174	48,951,602
	2 Less Contributions	11,239,564	21,519,065	7,920,634	40,679,263
	3 Gross income (line 1 minus line 2)	1,479,749	4,025,050	2,767,540	8,272,339
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	311,465	924,503	103,602	1,339,570
	6 Rent/facility costs	648,844	1,328,002	513,041	2,489,887
	7 Food and beverages	56,497	603,258	1,305,130	1,964,885
	8 Entertainment	61,924	161,158	174,656	397,738
	9 Other direct expenses	401,019	1,008,129	671,111	2,080,259
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				8,272,339
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				

Part III **Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue			34,645	34,645
Direct Expenses	2 Cash prizes			10,320	10,320
	3 Noncash prizes			5,178	5,178
	4 Rent/facility costs				
	5 Other direct expenses			305	305
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☑ Yes 80 000 % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				15,803
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				18,842

9 Enter the state(s) in which the organization conducts gaming activities AK , NJ , TX

a Is the organization licensed to conduct gaming activities in each of these states? ☑ Yes ☐ No

b If "No," explain

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☑ No

b If "Yes," explain

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☑ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☑ No

13 Indicate the percentage of gaming activity conducted in

a	The organization's facility	13a	%
b	An outside facility	13b	100 000 %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ See Part IV Supplemental Info

Address ▶ 2451 Crystal Drive Suite 900
Arlington, VA 22202

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☑ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶ See Part IV Supplemental Info

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☑ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☑ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $ 18,842

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Part I Line 2	The amount reported in column v represent fees paid to registered professional fundraisers Additional payments to these vendors include the following consulting fee related to programmatic content 1,350,000, printing 210,000, postage and shipping cost 85,000, marketing expense of 39,000 and total data processing 4,000 The amount paid for these expenses were determined by review of the detailed invoices supplied by the service provider
Part I Line 2ii	NNM Marketing, LLC activities are strategic services including account and project management, data processing analysis reporting, meeting, and/or project services requested by ADA
Part I Line 3ii	Automotive Recovery Services, Inc activities are advertising, acquisition and disposal of donated vehicles solicited by American Diabetes Association
Part III Line 14	The Associations records are centralized at the home office at 2451 Crystal Drive, Suite 900, Arlington, VA 22202 based on the information submitted by each Executive Director located in field offices
Part III Line 16	The management and administration of the Association gaming/special events are the responsibility of the local Executive Director of each office Compensation of the Associations local executive directors is based on size of the market, and is not specific to the results of an individual gaming activity

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000 Part II can be duplicated if additional space is needed

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . ▶ 10

3 Enter total number of other organizations listed in the line 1 table . . . . ▶

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) Lecture Honoraria	7	47,000			
(2) Travel Scientific Conferences	2	1,242			
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I Line 2	The American Diabetes Association provides grant funding for research grants to the American Diabetes Association Research Foundation The American Diabetes Association closely monitors the use of all grant funds Each grantee is required to submit an annual progress report, which includes a scientific and a financial portion, 30 days after the end of each previously committed funding year Each year of funding after the first is contingent upon approval of the annual progress report and the availability of funds If the complete report is not received within 90 days after the due date, the award will be terminated
Part I Line 2	After the completion of the final year of the grant, a cumulative final report, which includes a scientific and financial portion, is due within 60 days after the expiration date of the grant If the complete final report is not received by the due date, the grantee will not be eligible to apply for any future American Diabetes Association Research Foundation awards until the obligations for the award are complete This process is monitored and reviewed by the American Diabetes Association scientific/medical management staff for award status and compliance
Part I Line 2	The American Diabetes Association continues to be the worlds largest provider of camps for children with diabetes to help ensure the wellbeing of families affected by diabetes The Association provides grants, scholarships and targeted youth programs for persons with diabetes Each summer, thousands of children have the opportunity to spend time at Diabetes Camp, meeting other children with diabetes and sharing their experiences, challenges, hopes, and dreams In 2016, the American Diabetes Association hosted 59 camp sessions in 25 states serving nearly 6,000 campers with Type I Type 2 diabetes In addition, more than 2,000 volunteers made camp possible by donating their time and expertise Camp provides an outdoor recreational experience in which the child for children with diabetes ages 8-18 can develop as a person while including informal education about the management of diabetes Children are carefully supervised by a staff of doctors, nurses, dietitians, and other volunteers and staff Program Evaluation and outcome measurement provide valuable data to the Association regarding camp programs and how to improve them An assessment/planning meeting including camp volunteers and staff leadership is held within two months of the conclusion of the camp session At this time, camp results are evaluated and compared to goals The strengths and weaknesses of the camp program, opportunities for growth and improvement, emerging issues and needs and the viability of continuation/initiation of new programs are evaluated The American Diabetes Association also provides grants to support Advocacy program The Association monitors the use of the funds by maintaining an on-going communication and periodic financial reports
Part II Line 2	The American Diabetes Association is committed to preventing diabetes The Diabetes Prevention Program DPP was a major multicenter clinical research study, funded in part by the American Diabetes Association, aimed at discovering whether modest weight loss through dietary changes and increased physical activity or treatment with the oral diabetes drug metformin Glucophage could prevent or delay the onset of type 2 diabetes in study participants The DPP found that participants who lost a modest amount of weight through dietary changes and increased physical activity sharply reduced their chances of developing diabetes Taking metformin also reduced risk, although less dramatically The DPPs results indicate that millions of people at high-risk for developing type 2 diabetes can delay or avoid developing type 2 diabetes by losing weight through regular physical activity and a diet low in fat and calories Weight loss and physical activity lower the risk of diabetes by improving the bodys ability to use insulin and process glucose The DPP contributed to a better understanding of how diabetes develops in people at risk and how they can prevent or delay the development of diabetes by making behavioral changes leading to weight loss These findings are reflected in recommendations from the American Diabetes Association for the prevention or delay of type 2 diabetes, which stress the importance of lifestyle changes, and weight loss Building on the success of the DPP, the Centers for Disease Control and Prevention CDC led National Diabetes Prevention Program is an evidence-based lifestyle change program for preventing type 2 diabetes The year-long program helps participants make real lifestyle changes such as eating healthier, incorporating physical activity into their daily lives and improving problem-solving and coping skills The American Diabetes Association is collaborating with the Florida Department of Health to provide support, technical assistance and mini-grants to Florida-based Diabetes Prevention Lifestyle Change DPLC accredited programs, or pending accreditation, by the CDC Funded wholly by a grant from the Florida Department of Health, the goals of this initiative are 1 to increase the number of DPLC program participants with a blood-based prediabetes diagnosis 2 to increase the number of DPLC program participants achieving 5-7 weight loss and 3 to increase the number of health care practices that have a policy to refer patients with or at risk for prediabetes to DPLC programs
Part III Line 1 and 2	Each year, the American Diabetes Association recognizes the outstanding contributions of individuals in the service of the diabetes community through its National Achievement Awards These awards are presented to recognize and honor individuals in the diabetes scientific and medical community who have demonstrated exceptional leadership and who have made significant contributions through their outstanding career achievements Barbara B Kahn, MD received 20,000 for seminal contributions to understanding the molecular pathogenesis of obesity and type 2 diabetes Tamas L Horvath, DVM, PhD received 10,000 for an outstanding scientific achievement in diabetes research, taking into consideration independence of thought, originality, significance of discovery, and impact on his/her area of research Gordon C Weir, MD received 5,000 for an outstanding achievement in mentorship and/or creation of a robust environment for diabetes research

Additional Data

Software ID: 16000333
Software Version: 17.2.1.0
EIN: 13-1623888
Name: American Diabetes Association

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
American Diabetes Association Research Foundation Inc 2451 Crystal Drive Suite 900 Arlington, VA 22202	54-1734511	501 c3	34,227,945				Research
Lions Camp Merrick 11855 Holly Lane Suite 104 Waldorf, MD 20601	52-1289731	501 c3	30,000				Camperships

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Florida Department of Health 5150 NW Milner Dr Port St Lucie, FL 34983	59-3502843	170 c1	29,500				Education and Development
Lakeland Regional Health Systems Inc 1324 Lakeland Hills Blvd Lakeland, FL 33805	59-2650464	501 c3	6,000				Education and Development

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The SKY Family YMCA Inc 701 Center Road Venice, FL 34285	59-1629660	501 c3	10,000				Education and Development
T1D First Inc 11 Ave De Lafayette 5th Floor Boston, MA 02111	45-1623549	501 c3	100,000				Education and Development

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The YMCA of Florida's First Coast Inc 12735 Gran Bay Pkwy West Suite 250 Jacksonville, FL 32258	59-0638514	501 c3	6,000				Education and Development
Community Service Fund Raising Alliance CSFRA PO Box 230122 Anchorage, AK 99523	92-0152006	501 c3	10,000				Education and Development

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tampa Metropolitan Area YMCA Inc 110 E Oak Ave Tampa, FL 32162	59-1742909	501 c3	6,000				Education and Development
YMCA of The Suncoast Inc 2469 Enterprise Rd Clearwater, FL 33763	59-0810731	501 c3	9,000				Education and Development

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319041827

Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☐ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," on line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," on line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**	Yes	
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

Return Reference	Explanation
See Additional Data	

Additional Data

Software ID: 16000333
Software Version: 17.2.1.0
EIN: 13-1623888
Name: American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part I Line 1a	Payments related to additional pension benefits are grossed up for individual tax reporting purposes

Part III, Supplemental Information

Return Reference	Explanation
Part I Line 1a	The Chief Executive Officer provided compensation for travel companion included in other reportable compensation

Part III, Supplemental Information

Return Reference	Explanation
Part I Line 4b	The Chief Executive Officer is compensated by the American Diabetes Association and participated in its supplemental retirement plan the reported retirement and other deferred compensation includes 2016 contributions to the Chief Executive Officers 457f plan totalling 18,000

Part III, Supplemental Information

Return Reference	Explanation
Part I Line 7	The following non-fixed payment is reported for the Chief Executive Officer an accrued 457f deferred compensation contribution 18,000

Part III, Supplemental Information

Return Reference	Explanation
Part II Line 4	Robert Ratner, Chief Scientific and Medical Officers employment ended December 19, 2016 with the American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part II Line 6	Jeanette Flom, VP Eastern Divisions employment ended September 16, 2016 with the American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part II Line 7	Rodney Sampson, SVP ITS and Chief Technical Officers employment ended July 22, 2016 with the American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part II Line 10	Peter Banfield, SVP Operations Integrations employment ended December 5, 2016 with the American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part II Line 13	Jane Chiang, SVP Medical Community Affairs employment ended November 25, 2016 with the American Diabetes Association

Part III, Supplemental Information

Return Reference	Explanation
Part I Line 4a	Geraldine W Brown, Former Director of Corporate Development, received a severance payment in the amount of 200,000 The payment was included in her 2016 W-2 and is reflected on Schedule J, Part II, Column BIII as other reportable compensation

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B)(i) Base Compensation	(B)(ii) Bonus & incentive compensation	(B)(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
1 Kevin L Hagan Chief Executive Officer	(i)	471,998		2,857	27,660	21,581	524,096	
	(ii)					-	-	
1 Charlotte M Carter Chief Financial Officer	(i)	234,548	41,542	2,715	14,387	8,986	302,178	
	(ii)					-	-	
2 Cynthia J Hallberlin Chief Operating Officer	(i)	355,849		84	5,111	25,402	386,446	
	(ii)					-	-	
3 Robert Ratner Departure date 121916 Chief Scientific and Medical Officer	(i)	428,586		20,934	20,188	1,214	470,922	
	(ii)					-	-	
4 Corey Gordon Chief Development and Stewardship Officer	(i)	294,292		1,731	5,703	21,995	323,721	
	(ii)					-	-	
5 Jeanette Flom Departure date 91616 VP Eastern Division	(i)	134,805		7,545	8,184	5,301	155,835	
	(ii)					-	-	
6 Rodney Sampson Departure date 72216 SVP ITS and Chief Technical Officer	(i)	116,007		3,762	6,980	350	127,099	
	(ii)					-	-	
7 Christa Wilson Interim SVP ITS and Chief Technical Officer	(i)	145,526		887	4,440	14,373	165,226	
	(ii)					-	-	
8 Kimberly Baich Chief Marketing and Communications Officer	(i)	245,128			1,291	22,595	269,014	
	(ii)					-	-	
9 Peter Banfield Departure date 12516 SVP Operations Integration	(i)	226,586		25,704	2,974	22,545	277,809	
	(ii)					-	-	
10 Suehila Glass SVP Field Development Operations	(i)	187,799		84	6,622	21,996	216,501	
	(ii)					-	-	
11 Tamara Darsow PhD VP Research Programs	(i)	188,657		8,306	11,906	22,395	231,264	
	(ii)					-	-	
12 Jane Chiang Departure date 112516 SVP Medical Community Affairs	(i)	257,981		15,011	10,328	745	284,065	
	(ii)					-	-	
13 Shereen Arent Chief Advocacy Officer	(i)	237,033		1,351	11,905	9,248	259,537	
	(ii)					-	-	
14 Linda Cann VP Professional Education	(i)	181,204		1,126	11,300	18,197	211,827	
	(ii)					-	-	
15 Jonathan Webb VP Corporate Alliance	(i)	155,380			1,872	21,780	179,032	
	(ii)					-	-	
16 Michael Eisenstein SVP Products and Publications	(i)	177,916				16,678	194,594	
	(ii)					-	-	
17 Michael Chae Regional Director	(i)	163,210			8,302	21,907	193,419	
	(ii)					-	-	
18 Christopher Boynton Vice President, East Territory	(i)	168,817	8,000	334	10,365	21,907	209,423	
	(ii)					-	-	
19 Suzanne Werdann Vice President, Sports Entertainment	(i)	175,630			1,355	8,570	185,555	
	(ii)					-	-	

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B)(i) Base Compensation	(B)(ii) Bonus & incentive compensation	(B)(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
21 Deborah L Johnson Departure date 10 Chief Financial Officer	(i)			196,132			196,132	
	(ii)					-	-	
Greg Elfers Departure date 18715 Chief Field Development Officer	(i)			205,069			205,069	
	(ii)					-	-	
Andrea Maddox Departure 2date 11615 SVP Eastern Key Mkts Program Implementation	(i)			110,434			110,434	
	(ii)					-	-	
3 Geraldine W Brown Departure date 10 Director of Corporate Development	(i)			200,000			200,000	
	(ii)					-	-	

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at *www.irs.gov/form990*

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles	X	1,235	302,182	See Part II
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	55	177,280	Fair Market Value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies	X	37,070	2,032,453	Fair Market Value
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (____________)				
26 Other ▶ (____________)				
27 Other ▶ (____________)				
28 Other ▶ (____________)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29 |

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a	Yes	
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Part I Line 6	The method of determining noncash contributions amounts is the sales of comparable property and/or opinion of expert to determine the fair market value
Part I Line 32b	The American Diabetes Association contracts with Automotive Recovery Services, Inc 13085 Hamilton Crossing, Suite 500, Carmel, IN 46032, to advertise for donation of vehicles, as well as receive and sell/dispose of the donated vehicles on behalf of the American Diabetes Association

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	76 Years of Leading the Fight Against Diabetes and All its Burdens

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	More than 100 million Americans in the United States have diabetes or prediabetes an invasive, unrelenting and debilitating disease that spans all ages, geography and educational levels This chronic disease targets children, the elderly and minority populations more than others and costs the United States 322 billion dollars each year in lost productivity It is a global epidemic that contributes to heart disease, stroke, nerve and kidney disease and vision loss

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The American Diabetes Association is the only organization dedicated specifically to the research, education and advocacy required to improve the lives of the 30 3 million adults and children in the U S with diabetes and the 84 1 million people with prediabetes For 76 years, we have been working on the frontlines to educate at-risk populations, protect the rights of people with diabetes at work, school and other aspects of daily life, pioneer clinical and research breakthroughs and foster a pipeline of the best and brightest scientists From research labs to the halls of the Capitol to the offices of health care practitioners to communities nationwide, we are there We envision a life free of diabetes and all its burdens, which is fueled by our mission to prevent and cure diabetes and to improve the lives of all people affected by diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Diabetes Research

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Accelerating Progress toward the Next Big Discovery Diabetes is an extremely complex disease caused by a combination of various genetic and environmental factors that progressively lead to an inability to produce or effectively utilize insulin The complexity of causes conspiring to diminish the bodys production or response to insulin, leading to high blood glucose and eventual development of diabetes, makes finding a single cure particularly difficult While a cure has been elusive, critical research efforts in recent decades have led to significantly improved patient care, resulting in fewer complications and better health outcomes for individuals with diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	As a leader in diabetes research, and the only organization dedicated specifically to the research, education and advocacy required to improve the lives of all people with diabetes, the American Diabetes Association funds critical, innovative diabetes research and invests in promising scientists early in their careers Our direct involvement in diabetes research extends back to the 1940s when Dr Charles H Best, one of four scientists credited with discovering insulin, provided the Association with the framework and early leadership for a formalized diabetes research program

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Since our Research Programs inception in 1952, we have been the leader in funding cutting-edge diabetes research, supporting nearly 4,600 research projects and investing more than 770 million in diabetes research In 2016, the Association made more than 34 5 million available to support 378 new and continuing research projects at 150 leading research institutions The supported projects cover the broad spectrum of research approaches including basic, clinical and translational science and address all types of diabetes, diabetes related disease states and complications

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The primary goals of the Associations Research Program are Support the highest quality science across the broad spectrum of diabetes research Support investigators early in their careers to encourage them to dedicate their efforts to diabetes research Support innovative research with a high potential to have a significant impact for patients with diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Peer Review Process One of the factors that sets American Diabetes Association funded research apart and ensures that we are supporting the very best science is peer review Peer review is a process whereby grant applications are reviewed and evaluated by individuals who are experts in the field or peers of the individual submitting the grant Association grant applications all undergo peer review by three or more volunteer experts who are themselves diabetes researchers Reviewers provide both a score and detailed comments regarding the strengths and weaknesses of each grant they review Scores from all reviewers for each grant are averaged to arrive at a composite merit score that is then used to determine which grants to support

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Types of Research Awards Research awards are divided into four major categories that reflect our research goals and priorities, provide extraordinary opportunities for researchers from diverse backgrounds, and foster the professional development of young scientists interested in diabetes research The categories are Investigator-Initiated Awards Core Program Pathway to Stop Diabetes Collaborative Targeted Research and Research Co-Support Approximately 80 percent of American Diabetes Association-funded research falls under the Core Research Awards The Association uses a single annual grant application cycle for its Core Research Program, featuring a streamlined grant portfolio A total of 699 research grant applications were submitted From those applications, 31 post-doctoral fellowships and 59 research and development grants were selected for funding

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Research Program Outcomes American Diabetes Association-funded researchers show an exemplary commitment to advancing their careers within the field of diabetes research Within five years of their award

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	99 percent of the researchers we fund remain committed to diabetes research for at least five years 9 out of 10 researchers secure new funding within five years to expand their work, leveraging 7 36 for every 1 invested by the American Diabetes Association

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Pathway to Stop Diabetes Launched in 2013, the American Diabetes Associations Pathway to Stop Diabetes initiative aims to inspire a new generation of diabetes researchers who are early in their career or are established but would like to expand their focus on diabetes research Through awards of 1 625 million over the course of five to seven years, the program allows researchers to have the time and focus needed to explore new ideas With a goal of funding 100 new diabetes researchers over the next decade, Pathway provides crucial support to individuals focusing on innovative ideas and transformational approaches that will lead to new discoveries in diabetes prevention and treatment Importantly, Pathway is in addition to ongoing Association research activities and is significantly expanding our research efforts

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Six new Pathway awardees began their research projects in January 2016 During the 2016 calendar year these new awardees, along with the 11 Pathway scientists who were continuing in their second or third years, collectively published 22 high-impact original research manuscripts and four reviews They delivered 147 presentations at scientific meetings Through 2016, five Pathway Initiator award recipients of six funded to date have secured their first independent faculty positions Three patents have been filed by Pathway awardees to date These outcomes demonstrate that the Pathway initiative continues to meet and exceed its objectives The Pathway scientists came together at the third annual Pathway to Stop Diabetes Symposium, held at the 76th Scientific Sessions in New Orleans, Louisiana, where the newest cohort of Pathway awardees presented their project plans and progress to date This exclusive symposium brought the awardees together with the Mentor Advisory Group, program sponsors and philanthropic supporters and Association leadership The 11 second- and third-year Pathway awardees presented updates on their Pathway grants at special oral and poster sessions that were featured within the official Scientific Sessions program for the first time in 2016 These events allowed the Pathway awardees to share their exciting results and accomplishments with the broader diabetes community at the meeting

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The fourth annual Pathway to Stop Diabetes grant competition was held in 2016 The Mentor Advisory Group reviewed 106 outstanding nominations and selected six new Pathway Awardees who begin their grants in January 2017 With the selection of these new awardees, the Pathway program has supported 23 outstanding investigators in total

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	2016 Research Highlights Association-supported researchers made significant progress in understanding how diabetes develops and progresses, and in identifying new ways to combat the disease Notable advances include development and testing of a gene therapy in mice that both stimulates production of new insulin-producing cells and prevents autoimmune attack of the cells, offering a potential cure for type 1 diabetes examination of the best diabetes treatment strategies for older adults who live in long-term care facilities and suffer a high prevalence of type 2 diabetes and the discovery of how high glucose levels disrupt normal wound-healing, a problem that contributes to the high rates of amputation among people with diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Headlines from Projects Supported by the American Diabetes Association Research Program in 2016 Pathway Scientist Identifies Possible Trigger for Type 1 Diabetes The Connection between Stress and Type 2 Diabetes New Insight into How Diabetes Leads to Blindness Diabetes in Pregnancy Differs from One Woman to Another Redefining Prediabetes to Prevent Complications A Novel Combination Gene Therapy that Prevents the Autoimmune Attack Causing Type 1 Diabetes Increased Sleep Duration May Help Reduce Depression, a Common Condition for Adolescents with Type 1 Diabetes Determining Whether Genetic differences Affect the Response to GLP-1 Receptor Agonists, an Approved Medication for Type 2 Diabetes Comparing Diabetes Medications in People with Type 2 Diabetes Who Live in Long-term Care Facilities High Blood Glucose Levels Alter the Way a Natural Wound-Healing Molecule Operates

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Scientific Sessions Held annually, Scientific Sessions exemplifies the American Diabetes Associations leadership role in the global diabetes community, while providing a critical platform for driving diabetes awareness Scientific Sessions is the worlds largest scientific and medical meeting focused on the latest basic and clinical science research related to diabetes and its complications The 76th Scientific Sessions in New Orleans, Louisiana brought together more than 16,000 scientists and health care providers to learn about the latest in diabetes research, care and education The meeting met its programmatic and financial goals, while generating more than 700 million audience media impressions worldwide More than 3,200 abstracts regular and late-breaking combined were received The 3rd Annual Focus on Fellows program was held in conjunction with the 76th Scientific Sessions The meeting is dedicated to fostering growth and development of future diabetes clinicians, researchers and leaders The Association received 680,000 in grants to support 184 fellows The program boasts significant outcomes including a 20 percent increase in the number of participants with high intentions to remain in diabetes care a 23 percent increase in the number of clinicians with confidence to treat complications a 19 percent increase in confidence to provide self-management education and support and a 34 percent increase in confidence to leverage the latest technologies in care and research Moreover, 45 percent of participants reportedly initiated the process of incorporating new technologies into their practice and research as a result of the program, and 45 percent modified their patient treatment recommendations to reflect the Associations Standards of Care

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Information Saving Lives through Knowledge For people affected by or at risk for diabetes, having access to the most up-to-date tools and resources can literally make the difference between life and death As a trusted leader, the American Diabetes Association works hard to ensure that those affected by and at risk for diabetes, their health care team as well as the general public receive targeted, timely and accurate information We deliver resources that people can access at any time in multiple formats-from our award-winning website for consumers and professionals, diabetes org, and our flagship social media channels to our professional journals and publications

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	We focus our efforts on three areas 1 Raising awareness of diabetes as a serious disease 2 Ensuring patients, providers and care givers have tools and resources to effectively treat and manage diabetes 3 Reaching diverse groups of people who are at risk for or have diabetes, their families and health care professionals, with the goal of reducing the incidence of diabetes and the impact of complications

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Center for Information The Associations Center for Information CFI at 1-800-DIABETES marks the very first stop for many of our constituents as they start their journey of living with diabetes In 2016, the center processed nearly 100,000 contacts from constituents, professional members and others Requests ranged from receiving information and resources for the care and management of diabetes, discrimination issues and enrolling in programs such as Living with Type 2 Diabetes and Care 4 Life, to inquiring about local and national Association events, managing professional memberships and donations CFI continued to support mission activities by Providing onsite support at Scientific Sessions Promoting Association initiatives such as Step Out, Living With Type 2 Diabetes, American Diabetes Month, Education Recognition Program, and Advocacy including the Insulin Petition Collecting a total of 240,000 in donations Distributing more than 45,000 packets of Association produced information The Lifestyle Management team updated and developed new materials for the Center for Information training on important topic areas of clinical care and nutrition relevant to people with diabetes The Nutrition team conducted new call center trainings throughout the year for new staff

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Digital Engagement We offer a variety of targeted and interactive online properties to connect with our consumer and professional audiences, while providing the latest diabetes related information and news From our award-winning website, www diabetes org, to our Diabetes Stops Here blog and ever-growing presence on Facebook, Twitter, YouTube, Pinterest and Instagram, the American Diabetes Association is there for its constituents 24 7 In 2016, our online properties saw more than 45 million sessions and more than 30 million unique visitors

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Diabetes org Our award-winning website for consumers and professionals, diabetes org, is widely regarded as the most informative and credible diabetes and nutrition resource on the Internet In 2016, the site had 30 million sessions, up 22 percent from 2015

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	More than 130,000 people registered online for MyFoodAdvisor Recipes for Healthy Living RFHL to receive recipes and nutrition information every month 10 percent increase over 2015, bringing the total number of enrollees to more than 518,000 Our Living With Type 2 Diabetes program saw more than 80,000 total online registrations up 17 percent for English and 10 percent for Spanish over 2015

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Professional diabetes org DiabetesPro www professional diabetes org provides the latest resources in diabetes care and research for health care professionals and scientists The DiabetesPro professional website had 1 05 million users in 2016 and more than 4 15 million page views in 2016 We completed transition of the site to the open-source platform Drupal, which gives us numerous advantages moving forward, including the ability to provide tiered access to exclusive content for registered site users and professional members making the website mobile friendly and the ability to collect incremental charges for accessing different types of content The mobile-friendly platform gives the Association enhanced ability to deliver customized members-only content DiabetesPro is the most advanced professional education website in any branch of medicine, giving those who have placed diabetes in the center of their careers the opportunity to stay informed and take advantage of various resources and educational offerings Featured content includes diabetes meetings and continuing education opportunities news clinical practice recommendations webcasts and podcasts journals and books research grants recognition programs professional section interest groups We expanded the content on the DiabetesPro site to include a Patient Education Library with approximately 40 Diabetes Advisor pieces on a wide range of topics in diabetes care as well as the Risk Test and several key information pieces on diabetes and prediabetes in 10 different languages The site had more than 610,000 page views in 2016 The DiabetesPro SmartBrief circulation increased to 55,000 daily opt in subscribers, with an open rate averaging 30 percent, well above average for a daily e-newsletter The Association worked with the American Medical Group Foundation AMGF on Together 2 Goal, a multi year campaign targeting AMGFs 160,000 primary care physician members to improve health outcomes for at least one million patients with type 2 diabetes A webinar featuring the Diabetes Self Management Education and Support position statement had more than 100 C-Suite participants from 54 participating health systems

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Stopdiabetes com Stopdiabetes com is the online hub of the American Diabetes Associations Stop Diabetes movement The site invites visitors to pledge their support, add their name to the map and take action in the Associations fight to Stop Diabetes Five sections Ways to Act, Whats Happening, Get the Facts, Advocacy Center, and Donate Now provide options for involvement and increased awareness

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Social Media The Associations flagship social media channels continue to grow Facebook fan base increased by 9 3 percent, ending the year at more than 694,000 Twitter following increased by 16 3 percent, reaching more than 116,000 Pinterest following increased by 26 6 percent, closing 2016 at 12,238 Instagram followers nearly tripled, making this our fastest growing channel We finished the year with 13,300 followers LinkedIn became a dedicated marketing communications channel for the professional audience We grew our company page followers by 20 1 percent to 25,320 YouTube subscribership increased by 21 2 percent to 7,076, and our channel earned an average of 45,000 video views per month The Associations Diabetes Stops Here blog at diabetesstopshere org ended 2016 with 2,450 subscribers and drew an average of 31,238 monthly page views, increases of 39 7 percent and 8 4 percent, respectively over 2015 The Associations 76th Scientific Sessions generated more than 700 million media impressions worldwide

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Association leveraged new Facebook Live technology to host and co-host several broadcasts throughout the year Topics included Capitol Hill Advocacy Day Team Tackle launch the National Diabetes Prevention Program with Health Human Services Secretary Sylvia M Burwell eye health for people with diabetes with NIH oral health with national sponsor Colgate and actress spokesperson Yvette Nicole Brown and Safe at School and a weekly educational series for American Diabetes Month

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Youth and Diabetes Reaching Type 1 Diabetes Families For children living with type 1 diabetes, the everyday challenges of being a kid must be balanced with the management of a disease that is constant, demanding and scary They walk a tightrope between blood glucose levels that are too high and those that are too low both of which can be life threatening Because type 1 diabetes is a life long disease and challenges vary at every stage of life, the American Diabetes Association strives to reach those with type 1 diabetes with relevant programs throughout their life from early diagnosis through adulthood and advanced management We provide targeted youth programs including Family Link, which connects families to expert guidance, peer support and tools, Diabetes Camps, and other social and educational activities

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Our Type 1 Diabetes Program Team continues future programming that intends to cover type 1 diabetes needs across the lifespan The re-designed kit for newly diagnosed children with type 1 diabetes, Courage-Wisdom-Hope Kit, was launched The kit provides a relevant, appealing and supportive initial experience with the Association for this audience This kit replaced the Everyday Wisdom Kit and will have an annual distribution of 7,000 thanks to the support of Lilly Diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Diabetes Camps The American Diabetes Association continues to be the worlds largest provider of camps for children with diabetes Our Diabetes Camps have become one of the most powerful and life changing avenues to improve the lives of children affected by diabetes Offered each summer to nearly 6,000 youth with diabetes across the U S the overarching purpose of our Diabetes Camps is to promote diabetes self care in an environment that is fun, peer oriented and medically safe

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Since 1947, these camps have provided a traditional summer camp experience while giving children the chance to master basic diabetes self-management skills At camp, children connect with peers facing the same daily struggles, build their confidence and overcome feelings of isolation and despair Some campers learn how to inject insulin and count their own carbohydrates for the first time, while others advance their skills in insulin pump use

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	2016 Diabetes Camp Highlights The Association hosted 68 Camp sessions 10 new sessions in 2016 serving more than 6,000 campers and 10,000 parents Eleven of the camp sessions seven new in 2016 hosted children and families at risk of developing type 2 diabetes, teaching the importance of nutrition and physical activity and making an impact on the growing number of teens at risk of developing the disease Two new family retreats were added by the CAMP community, engaging parents and children who are newly diagnosed The Camp team focused on sharing best practices and improving program delivery through meaningful exchanges across Association programs as well as with external partners Industry and professional partners contributed more than 3 9 million in donated services and supplies Top supporters included Eli Lilly Company, Novo Nordisk, Sanofi Aventis, Direct Relief, AnimasLifeScan, Medtronic, Omnipod, Insulet, and Novo Diabetes Care Camp supplies include, insulin, meters, pens and needles, strips, lancets and insulin pump supplies More than 1,000 medical professionals volunteered at CAMP to care for the medical needs of campers with diabetes During a two month major gift campaign, more than 600,000 was raised to secure matching funds of 250,000 for a total of 867,000, which contributed to family cost subsidies and scholarships In addition, Lilly Diabetes and The Leona M and Harry B Helmsley Charitable Trust gave 193,000 to scholarships for children living with diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Living With Type 2 Diabetes In 2016, the Associations New Patient Initiative Living With Type 2 Diabetes enrolled 80,328 new participants, including 7,662 in its Spanish version of the program This brings the total enrollment to 641,532 participants since the programs inception in 2011 In addition, 5,707 Health Care Providers ordered LWT2 materials for their patients, including 2,650 first time providers In 2016, the Association applied content and format improvements to LWT2D material, including digital ebooks, which will be rolled out at the beginning of Q2 in 2017

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Professional Education The primary goal of our professional education program is to affect the quality of treatment and improve patient outcomes for people with diabetes by providing quality education for those health care professionals who provide their care We conduct professional education activities directed toward enhancing knowledge, competence, advancing skills and apprising health care professionals of the latest developments in diabetes research and clinical practice The American Diabetes Association has been accredited to provide continuing education to health care professionals for more than 29 years and is accredited by seven accrediting boards The Association remains in exemplary standing with each accrediting board proving our compliance with the continuing education guidelines The Association continues to be at the forefront of professional continuing education for the diabetes community as an accredited provider of continuing education credit for all health care professionals on the diabetes management team

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	We expanded the Diabetes Inside program, a systems-based quality improvement program to the Parkland Health and Hospital System in Dallas, Texas, and the INOVA Health Systems in Fairfax, Virginia The American Diabetes Association received the 2015 Award for Outstanding Continuing Education Outcomes Assessment from the Alliance of Continuing Education in the Health Professions ACEHP and the 2016 Award for Outstanding Innovation in CPD for the CE/CPD Professional and/or Enterprise from the same organization More grants have been secured and the program will expand to the University of Hawaii Health System in Honolulu, Hawaii, and the Tulane University Health System in New Orleans, Louisiana This initiative is documenting the achievement of better patient outcomes in health systems in which this program occurs

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Association planned and conducted international professional education programs for physicians in Taiwan, Spain, India and Dubai In addition, the final Train the Trainer programs for physicians in Indonesia 2012-2016 and Vietnam 2013-2016 were held in four locations in 2016 Each of these programs delivered education that is critical to the improvement of clinical care and patient outcomes in the countries where they were implemented Diabetes Is Primary, an education program for primary care providers that has been held in conjunction with Scientific Sessions for the past five years In 2016, there were 159 participants in the live meeting, which featured a full day of programming and information to help primary care providers deliver quality care and improve patient outcomes Within six months after the event, nearly 5,600 individuals participated in the programs webcasts

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Diabetes INSIDE Inspiring System Improvement with Diabetes Education In July of 2016, the Association completed its acquisition of Intelligent Medical Decisions, Inc iMD to bring the Diabetes INSIDE DI program in house and expand Diabetes INSIDE to health systems across the country In June of 2016, the QI Services team presented a poster at Scientific Sessions highlighting the successful completion and results from the Diabetes INSIDE engagements with Inova Health System and the Parkland Health and Hospital System Inova showed a 19 percent reduction in the proportion of their patients with A1more than 9, and Parkland showed significant process improvements, increasing insulin initiation for patients with A1C more than 9 percent by 24 percent Several new grants were also awarded to support Diabetes INSIDE at the University of Chicago and Northshore Health focused on reducing CVD risk and a new QI project with Parkland focused on managing obesity in patients with prediabetes The QI Services team also received a significant legacy grant submitted prior to the acquisition from Genentech to support reducing emergency room use for cancer patients The learnings from this project will be applied directly to reducing emergency room use and admissions for patients with diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Education Recognition Program ERP continues to operate as the largest and longest standing of the two Medicare National Accrediting Organizations NAO with approximately 1,650 DSME programs, where more than 3,700 sites and over 877,000 patients are served annually Medicare audits 30 of the recognized ERP programs annually and the 2016 overall audit score was 99 5 percent ERP staff conducted three successful DSME S symposia during 2016 San Francisco and Los Angeles, California and Raleigh, North Carolina Each symposium is a live one day program that guides attendees on establishing and maintaining an American Diabetes Association recognized program that adheres to the National Standards for Diabetes Self Management Education and Support Attendees earned 7 25 hours CME The events were well attended and participants reported high satisfaction Based on the success of the 2016 events, four additional symposia are scheduled for 2017, three of which will be hosted by Association field offices

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Publications The Association published six position statements on psychosocial care, neuro pathy, retinopathy, hypoglycemia, lipodystrophy, and exercise physical activity The posit ion statements provide clinical practice recommendations based on the latest research to i mprove the care of people with diabetes The Associations first psychosocial Position Stat ement, Psychosocial Care for People with Diabetes A position Statement of the American Dia betes Association was published in November 2016 This statement provides recommendations for routine screening of psychosocial issues, including education, diabetes distress, depr ession, anxiety, disordered eating, and serious mental illness It calls for referrals to mental health providers with experience in diabetes to improve both health and emotional o utcomes These recommendations were incorporated throughout the 2017 Standard of Care, cal ling attention to the importance of psychosocial factors in diabetes management and health outcomes and positioning the Association as the leading organization in the area The fir st Association Position Statement on Physical Activity Exercise and Diabetes was released in October 2016 The statement covered physical activity and exercise recommendations, dis ease specific precautions for people with type 1, type 2, and gestational diabetes as well as prediabetes The proceedings from the fall 2015 research symposium, titled Differentia tion of Diabetes by Pathophysiology, Natural History and Prognosis, were epublished in Dia betes in late 2016 and will appear in the February 2017 print issue of Diabetes The sympo sium was convened by the American Diabetes Association and included leadership from JDRF, the European Association for the Study of Diabetes and the American Association for Clinic al Endocrinology The publication highlights what experts currently know about how to char acterize disease progression of type 1 and type 2 diabetes and how this knowledge may be u sed to determine the most effective and appropriate treatments at each stage of disease pr ogression Importantly, the paper describes an expert consensus view that beta cell destru ction and or dysfunction underlies the vast majority of diabetes and that identifying the particular cause s of each individuals path to beta cell demise will be critical to applyi ng the most appropriate therapy To that end, the paper outlines critical research gaps th at must be addressed to inform future progress in achieving a personalized approach to dia betes therapy A workgroup of Quality of Life behavioral experts was brought together to r espond to the FDAs request for recommendations on Quality of Life Measures to include in c linical trials This workgroup presented their consensus recommendations at the FDA on Aug 29, 2016, which has resulted in a Scientific Statement, Assessing Health Related Quality of Life in People with Diabetes Recommendations by the American Diabetes Association to t he FDA to Aid in the Approval

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	of New Therapeutic Strategies and Compare Impact of Therapies Beyond Glycemic Control that will be published in 2017 The Association published a consensus report on the Associatio ns consensus conference on youth-onset type 2 diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In addition to our Standards of Medical Care, we published numerous Association position and other professional papers, including the following position and scientific statements Management of Hyperglycemia in Type 2 Diabetes, 2015 A The Standards of Medical Care in Diabetes 2016, the Associations key clinical practice guidelines, was published in January 2016, and was viewed on the Diabetes Care website more than 700,000 times over the course of the year The Standards of Medical Care in Diabetes 2017 was published online December 15, 2016 and included key changes related to psychological health, access to care, expanded and personalized treatment options, and the tracking of hypoglycemia The Standards of Medical Care in Diabetes also reached health professionals through a wide variety of additional means in 2016 78,073 downloads of the Standards of Care app 27,782 active users 46,827 views to the abridged Standards of Care, which was published in the winter issue of Clinical Diabetes A continuing education webcast with Robert Ratner, MD, Chief Scientific Medical Officer, complemented the 2016 Standards of Care, along with a comprehensive slides developed for professionals to use in their own presentations The Primary Care Advisory Group submitted several summary articles on the 2016 Standards, which were published in the Annals of Internal Medicine, Journal of Family Practice, and Osteopathic Family Physician, reaching an additional 240,359 clinicians

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Awareness and Education Empowering Individuals to Prevent and Manage Diabetes Frustration, confusion, fear, depression these are just some of the more common reactions to a diabete s diagnosis For those diagnosed with type 2 diabetes, there may be feelings of guilt or r esponsibility for the illness And for those with type 1 diabetes, especially for the pare nts of these young patients, there is real fear and concern for a childs safety, opportuni ties and the changes that this lifelong disease will bring All of these feelings can be p aralyzing and can affect how patients comply with physician recommendations Similarly, pe ople who are at risk for developing type 2 diabetes may struggle to take the necessary, pr oactive steps to prevent diabetes The American Diabetes Association is leading a multi fa ceted call to action via targeted public awareness efforts and education programs We are committed to helping individuals cope with the emotional and health burdens of diabetes an d prevent diabetes by making diabetes education accessible for all We are especially focu sed on reaching underserved and at risk populations who often face real barriers to access ing this information Our goal is to ease the burden for patients and families by translat ing the science for the people and bringing it to them in the places where they live, work and play The Education Recognition Program ERP continues to operate as the largest and l ongest standing of the two Medicare National Accrediting Organizations NAO with approximat ely 1,650 DSME programs, where more than 3,700 sites and over 877,000 patients are served annually Medicare audits 30 of the recognized ERP programs annually and the 2016 overall audit score was 99 5 ERP staff conducted three successful DSME S symposia during 2016 San Francisco and Los Angeles, California and Raleigh, North Carolina Each symposium is a li ve one day program that guides attendees on establishing and maintaining an American Diabe tes Association recognized program that adheres to the National Standards for Diabetes Sel f Management Education and Support Attendees earned 7 25 hours CME The events were well attended and participants reported high satisfaction Based on the success of the 2016 eve nts, four additional symposia are scheduled for 2017, three of which will be hosted by Ass ociation field offices Chronicle Diabetes Diabetes Prevention Program Platform is being i mplemented in response to Medicares announcement of the expansion of the CDC Diabetes Prev ention Program DPP as a covered Part B benefit starting January 1, 2018 This has provided ERP another opportunity to support our current and potential programs Chronicle CD funct ionality has been expanded to capture the CDC required DPP data, DPP sessions, and to gene rate the annual CDC report The DPP platform will also generate progress reports that will reflect a programs status concerning the CDC required metrics This platform will be avai lable the first quarter of 201

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	7 to ERP and non ERP programs for a nominal fee The Associations ERP department and AADE convened 20 workgroup members to review current evidence and revised the 2012 National Sta ndards for Diabetes Self Management Education and Support NSDSME S The 2017 NSDSME S are scheduled to be published in the Associations October issue of Diabetes Care and the AADE fall issue of The Diabetes Educator

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Wellness Lives Here Wellness Lives Here, the Associations new corporate engagement strategy, launched in April 2015 This powerful initiative is designed to inspire and fuel our nations healthful habits at work and beyond With year-round opportunities, Wellness Lives Here helps companies, organizations and communities educate and motivate people to adopt healthful habits to reduce the prevalence of type 2 diabetes Components that fall under Wellness Lives Here include Health Champion Designation - acknowledges companies and organizations for providing a culture of wellness to their employees Three mission engagement days focused on diabetes risk awareness, physical activity and nutrition Alert Day, National Get Fit Dont Sit Day, National Healthy Lunch Day Stop Diabetes at Work employer resources Diabetes-related resources for employees Participation in Tour de Cure and Step Out Walk to Stop Diabetes CEO Leadership Circle

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	American Diabetes Association Alert Day Held on March 22, Alert Day once again drove people to our risk test to learn their risk for type 2 diabetes At the national level we leveraged the newly launched Ad Council campaign, while our local efforts and Wellness Lives Here toolkit focused on the diabetes org version of the test These efforts yielded 12,000 completed risk tests on the prediabetes campaign website and an equal amount on the Associations website, together a 6 single day improvement over total 2015 Alert Day risk tests On social media, the hashtag prediabetes generated 30 million impressions and the hashtag DiabetesAlertDay generated 41 million impressions This is a 120 increase in social media mentions and a 74 increase in impressions over Alert Day 2015 results The hashtags prediabetes and DiabetesAlertDay trended on Twitter and Facebook, respectively Notable contributors to the social media conversation included Ad Council partners, Dr Oz, the U S Food and Drug Administration, Walgreens, Novo Nordisk, Readers Digest, the San Jose Sharks and the Washington Redskins

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	National Get Fit Dont Sit Day The second annual National Get Fit Dont Sit Day, sponsored by Sun Life Financial, occurred on Wednesday, May 4, and ignited a national conversation about the importance of sitting less and moving more for better health CEO Kevin L Hagan invited eight leaders in the health and fitness industry to track their physical activity with a wearable device for the first-ever CEO Fitness Challenge Participating corporate representatives included Garmin CEO Cliff Pemble, Portland Trailblazers CEO Chris McGowan, Lilly Diabetes President Enrique Conterno, Moda Health CEO Robert Gootee, Sanofi President Jez Moulding, Stridekick COO Anthony Knierim, SunLife Financial VP Ed Milano and challenge winner Merck VP Cathryn E Gunther, who logged more than 67,000 steps Kevin stepped into in third place with more than 41,000 steps

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	More than 2,700 people downloaded the etoolkit for use in their companies and organizations This year the Association introduced new digital assets, including an infographic about the dangers of too much sitting and a series of animated GIFs depicting desk exercises Online, the hashtag GetFitDontSit had 9 9 million potential social media impressions on May 4, a 13 5 percent increase over 2015 Notable contributors to the social conversation included actress Jessica Marie Garcia, NASCAR Driver Ryan Reed, actress Jillian Rose Reed, television host Maria Menounos and First Lady Michelle Obama s Lets Move campaign Overall, the campaign earned 220 million impressions, a 461 percent increase over its inaugural year

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	American Diabetes Month Our American Diabetes Month ADM campaign for November featured a compelling new theme, This Is Diabetes, intended to showcase real-life stories of our friends, family and neighbors who are dealing with the day-to-day challenges of the disease The ThisIsDiabetes storytelling effort was rooted in social media, and our strategic mix of professionally produced story videos, educational Facebook Live broadcasts, infographics and user-generated content earned us more than 1 1 million video views and 3 3 times the hashtag engagement compared to 2015 The campaign earned more than 639 million impressions across all marketing communications channels

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Americas Diabetes Challenge The Association continues its public awareness initiative with Merck, Americas Diabetes Challenge, which encourages people with type 2 diabetes to get to their A1C goal, and Screen at 23, aimed at educating providers and policy makers about the need to screen Asian Americans for type 2 at a lower BMI than other groups

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Together 2 Goal The Association worked with the American Medical Group Foundation AMGF on Together 2 Goal, a multi-year campaign targeting AMGFs 160,000 primary care physician members to improve health outcomes for at least 1 million patients with type 2 diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Reaching At-Risk Populations Over the years, we have established targeted, culturally sensitive programs and materials to better reach populations that are disproportionally affected by type 2 diabetes These include at risk populations such as African Americans, Latinos, Asian Americans, Native Hawaiians and Pacific Islanders, American Indians and Alaska Natives, older adults as well as communities in the so called diabetes belt in the Southeast and Appalachia, a region where the incidence of diabetes is significantly higher than the national average In addition, rates of diabetes in the Latino community are almost double those of non-Latino whites while African Americans are 1 7 times more likely to develop diabetes compared to non-Latino whites In some Native American communities, one in two adults has diabetes To help curb this inequity, we have targeted community-based programs in place, working closely with civic, social, faith based and health care representatives within these communities We also frequently partner with other community and health organizations and host the Disparities Partnership Forum Our community based, grassroots approach is what makes our programs effective Our outreach efforts include the following highlights for 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Six This is Diabetes videos were produced in collaboration with Marketing and Communications and were launched during the 2016 American Diabetes Month on the Associations website and social media platforms The videos were produced in partnership with several Association volunteers and staff with the purpose to highlight and share diverse journeys from real people living with diabetes or caring for a loved one with diabetes in communities across the country

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In July 2016, the Association hosted an exhibit booth at the National Council of La Razas 2016 Conference and Expo in Orlando, Florida, with an attendance of about 20,000 people The Association provided culturally relevant materials and resources for Hispanic/Latino populations in both English and Spanish

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In August 2016, the Association continued its successful partnership with the Prince Hall Shriners by exhibiting at the 123rd Imperial Sessions of the Prince Hall Shriners in Tampa, Florida, which had more than 15,000 attendees The Associations booth included several interactive components including a specific area dedicated to healthy eating and promotion of healthy ways to cook traditional soul food dishes The Association provided educational packets to the Prince Hall Shriners AEANOMS National Diabetes Initiative NDI leaders to continue their efforts to raise awareness for diabetes in the United States and abroad Educational information and materials were shared with more than 10,000 exhibit visitors over the course of four days

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In October 2016, the Association attended Healthy Churches 2020 Conference in Charlotte, North Carolina, an annual event for pastors and persons who are responsible for the various aspects of health education, promotion and spiritual connection within the congregation and community The Association provided resources and encouraged participants to engage their churches in promoting the risk test and participating in International Diabetes Day awareness campaigns The event was attended by nearly 1,000 representatives of churches across the country

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In October 2016, the Association supported CBS Radio to raise awareness of Type 2 Diabetes at the For Sisters Only Expo in Washington DC The event welcomed more than 10,000 women to learn more about health and beauty The attendees were encouraged to take the risk test and sign up for the Living with Type 2 Diabetes Program

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Since the successful launch of the pilot in Culpepper, Virginia in April 2016, the Associations collaboration with award winning playwright Garrett Davis, has combined awareness and education in his play Mamas Girls 2 Sugar Aint Sweet The play centers around five sisters who have come together to take care of their youngest sibling who has just been diagnosed with type 2 diabetes Together they learn about diabetes and how to take control of their health In its pilot year, Mamas Girls 2 included 15 shows in 14 cities, reaching a collective audience of nearly 10,000 people For 2017, the Association is bringing the play under its Live Empowered brand, and complementing it with a community meeting, an educational workshop and various engagement opportunities as it takes this show on the road to several communities around the country More information about the tour schedule is available at www mamasgirls net

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In June 2016, the Association conducted two days of workshops related to Diabetes Management and offered an interactive booth with resources for more than 10,000 attendees at the National Baptist Congress 110th Annual Session in Birmingham, Alabama

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In October 2016, the Association participated in the WIDU Radio Anniversary weeklong celebration in Fayetteville, North Carolina, reaching 40,000 people through multiple engagement points over the course of the event, including disseminating information as well as a risk test assessment The Association also sponsored the 2016 WIDU Womens Empowerment Luncheon, directly providing information to more than 600 women and their loved ones

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Association celebrated its 8th Annual John Pipe Voices for Change Award, recognizing Special Diabetes Program for Indians SDPI grantees for their effective diabetes prevention and treatment services in the American Indian and Alaska Native communities Innovative Program - Chinle Service Unit, Leadership Excellence - Yakama Indian Health Service Healthy Heart Program, Outcomes Achievement - Cheyenne River Sioux Tribe Youth Diabetes Prevention Program

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The pilot outcomes data from the What Can I Eat Food Choices for People with Diabetes program show high satisfaction and significant impact on key dietary behaviors e g increased vegetable consumption and decreased sugary drink and sweet/salty snack consumption, including food preparation methods e g increased use of the diabetes plate method and decreased use of solid fats and frying, frequency of eating at restaurants, and food label usage Program participants were also more confident in their ability to change their diet and make healthy choices in social settings Positive trends in A1C, weight, and blood pressure were also observed Based on the outcomes from the pilot, the program was refined, simplified and redesigned to include more group interaction and discussion as well as components of physical activity, stress reduction and goal setting in each session The updated program is currently in its second round of pilots in Mobile and Tuscaloosa, Alabama

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	What Can I Eat Food Choices for People with Diabetes program for Association Staff The Nutrition team provided two programs four weekly sessions at 90 minutes per session for about 12 Association staff members across the National office and 10 Association call center staff This provided our new dietitians experience facilitating the program, which was well received by staff It also provided more program and Nutrition team visibility across the Association

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Signature Campaigns Our special events are yet another opportunity for the American Diabetes Association to raise awareness about diabetes and are an integral part of our strategic vision to eradicate the disease In 2016, all of the Associations events including our signature events Step Out Walk to Stop Diabetes and Tour de Cure, incorporated our educational message and brought awareness of the seriousness of diabetes The 14th Annual Kiss a Pig Gala Uncle Ham Wants You in Bentonville, Arkansas, had another record breaking year, which raised 1 13 million with 2,000 attendees Step Out Walk to Stop Diabetes, the Associations premier walk event, conducted 86 walks nationwide with more than 53,000 participants and raised nearly 12 6 million The three top national sponsors were Walgreens, Wellcare, and Walmart, who collectively raised more 411,000 Other top teams included Novo Nordisk, Dignity Memorial, AstraZeneca, Camp, Advocates, and Team Red Step Out Chicagoland takes the top fundraising event for the second year in a row The city also took the top Walk for 2016 with 840,000 in sponsorships and 2,650 walkers Over the last two years, the event has raised more than 1 8 million Tour de Cure, the Associations premier cycling event, featured 81 Tours across the country with more than 48,400 participants raising more than 25 5 million Our nationwide teams grossed more than 5 million collectively, including Lockheed Martin, Walmart, Johnson and Johnson, Lilly Diabetes, Dignity Memorial, Golds Gym and many others Chicagos Father of the Year was once again the top Father of the Year event in the country, raising more than 671,000 Colorado secured 600,000 in grants to provide Diabetes Prevention Program to over 200 people with a total weight loss of 1,571 pounds in 2016 Clinical Conference in Florida reached 515 healthcare professionals in 2016 Since 1997, this field based professional activity has generated nearly 6 million in revenue for the Association and reached more than 10,200 health professionals Minnesota relationships generated the Medtronic sponsorship of 250,000 for the American Diabetes Month campaign Michigan secured a Meijer contract for 460,000 over two years that supports Step Out and Camp in Michigan, Illinois, Ohio, Wisconsin and Indiana

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Advocacy Speaking Up for All People with Diabetes The American Diabetes Associations advocacy efforts and achievements are at the core of creating effective and lasting change for people living with and at risk for diabetes Raising our voices from Capitol Hill to state houses to court houses across the country, our dedicated Diabetes Advocates continue to drive momentum in our ongoing fight to Stop Diabetes Our advocacy work gives people with diabetes, their families and health care professionals the power to influence public policy issues that affect people with diabetes at the local, state and national levels Our primary goals are

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	to increase federal and state funding for diabetes prevention, treatment and research to prevent diabetes to improve the availability of accessible, adequate and affordable health care to end the discrimination people with diabetes face at school, work and elsewhere in their lives

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	We have trained advocates around the country who represent those with diabetes who need a raised voice to protect their rights An ever growing volunteer network of attorneys, health care professionals and advanced school advocates help thousands facing discrimination because of their diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Association set an ambitious goal of recruiting 58,200 new advocates to grow our grassroots network by 20 percent in 2016 Through successful efforts with the Capitol Hill Advocacy Day petition, Insulin Affordability petition, June Advocate Recruitment Month campaign, and recruiting at local market events, we recruited over 192,807 new advocates and ended the year with 488,285 advocates in our grassroots network in 2016 This is a 65 percent increase in advocates over the course of the year, which represents 330 percent of our recruiting goal for the year We also achieved a 33 percent increase in legal advocacy volunteers and key changes to our legal advocate program resulted in our helping nearly three times as many individuals facing discrimination

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Centers for Medicare and Medicaid Services announced Medicare will cover participation in the National Diabetes Prevention Program National DPP for seniors at risk for type 2 diabetes The benefit goes into effect nationwide in January of 2018 This success is the result of nearly a decade of advocacy Our work to establish the National DPP within the Affordable Care Act, successful advocacy for federal funding to expand the infrastructure of the program, and countless meetings with legislators to promote the Medicare Diabetes Prevention Act all led to HHS Secretary Sylvia Burwells announcement in March that the Administration would move forward in adding this benefit to Medicare and to the final rule published in November

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	To date, the Association has achieved 61 state legislative and regulatory wins with some bills still pending Among the wins, three states passed Safe at School legislation addressing key aspects of school diabetes care, 14 states improved health insurance laws, and 10 states passed legislation to reduce health disparities The Associations legislative success was bolstered through deepening our volunteer engagement locally During 2016, we reached volunteers in 41 markets with in person training

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Association filed a lawsuit against the U S Army Child, Youth and School Services for its discriminatory policy prohibiting the administration of insulin and glucagon as well as failing to provide other essential care for children with diabetes This lawsuit represents a significant commitment by the Association to protecting the rights of children with diabetes and securing safe access to the programs available to children who do not have diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	We achieved significant strides in increasing affordable access to care The Administration adopted over 10 federal regulatory recommendations made by the Association In addition, we launched the Insulin Affordability campaign, gathering over 135,000 signatures on our petition in the first month of the campaign and receiving bi-partisan support on Capitol Hill Overall, we received 151,400 signatures in 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	In addition, Team Tackle is an initiative that brings together current and former professional football players and the American Diabetes Association to draw increased attention to diabetes By uniting current and former professional football players from all professional teams who are committed to increasing diabetes awareness, Team Tackles goal is to Elevate the profile of the gravity of diabetes and prediabetes Champion additional research, fair treatment and total wellness for all people living with diabetes Provide hope for the millions of individuals and families who are managing diabetes or are at risk for developing the disease

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The Associations marquee activation with Team Tackle was held on April 6-7, 2016, Capitol Hill Advocacy Day Thirty four players participated in 73 meetings with members of Congress and their staffs There was also a livestreamed panel discussion at the White House featuring Association officers and Team Tackle members Rashad Jennings, Aaron Murray and Sam Acho The Association leveraged the partnership for greater exposure to the cause and the actions the Association was taking to bring positive change to the lives of people with diabetes As a result, there were 203 media clips including USA Today, The Washington Post and The Hill There were also 6 9 million TeamTackle social impressions between April 5-8, 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Since June, there have been 30 Team Tackle member activations or appearances these have included three ADA camp appearances participation in Association fundraising events advocacy emails sent on behalf of players Diabetes Dance Dare participation Charity Cleats awareness efforts, various Tour de Cure and Step Out support activities online fundraising for the Association specifically through Cheerful Giving, among many others

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	The My Cause My Cleats initiative gave professional football players the opportunity to increase visibility and raise funds for the charities they care about through the personalization of their game day cleats Following the game, players then had the chance to auction off their cleats through various fundraising platforms with the proceeds benefiting the individual charity that the cleats represent Ultimately 11 players, including Team Tackle members Donta Hightower and Shane Ray, wore customized American Diabetes Association cleats during week 13 of the 2016 season

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Just Imagine Imagine the day when diabetes will no longer devastate our families and communities, our neighbors near and far When a parent does not have to hear that their child has an incurable disease that brings with it not only sleepless nights, but constant fears about possible complications and diabetes related discrimination When nobody has to worry anymore about blood glucose highs and lows and whether that blurred vision is the beginning of a life without sight When a family can gather at a family reunion and create happy memories instead of having to gather at a graveside and saying goodbye to a loved one who has lost their battle against diabetes We know that, together, we can stop this dreadful disease and realize our vision life free of diabetes and all its burdens Learn more at diabetes org and stopdiabetes com

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4 Continued	Other Program Services revenue reported in Line 4d 1,675,645 relates to the investment in real estate This investment represents a 1998 donor bequest that restricted the Association from selling the property for 25 years A portion of the property is leased to corporations and derives monthly rental income that is reported in investment income

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, Line 6,7a	The American Diabetes Association has established the voting membership of the Association as the National Leadership Council The National Leadership Council is comprised of all of the members of the Board of Directors and additional delegates The National Leadership Council votes on the election of the organizations governing body each year No governance decisions are reserved to or subject to approval by the membership

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, Line 11	IRS Review Process by the Governing Body The American Diabetes Association Board of Directors assigns the Audit Committee the oversight responsibility of the IRS Form 990 and its supplemental schedules prior to completion After review by management and KPMG, the final signed 990 was provided to the Associations Board of Directors prior to filing with the IRS

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, Line 12	Managing Conflict of Interest To identify potential conflicts of interest with appropriate due diligence, Officers, Directors, and members of select Board appointed committees and their related subcommittees, journal/periodical editors, and senior staff of the Association must annually disclose any potential conflicts of interest The American Diabetes Associations Audit Committee and senior staff in Legal Affairs manage the disclosure and monitoring processes Through review of the annual disclosures and review of the agendas of the relevant Board ,Committee and other meetings, appropriate efforts are made in advance of the meetings to identify potential conflicts of interest Each person also has the responsibility to report his/her own conflicts of interest actual or perceived as those conflicts may arise during a meeting Based on the situation, senior volunteers and staff presiding over the discussion are responsible to ensure appropriate action is taken for the individual to publicly disclose the conflict, for the individual to recuse him or herself from the discussion, vote or room as appropriate and to ensure the disclosure and action is documented in the minutes of the meeting

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, Line 15a	Compensation Process Annually, The American Diabetes Association Principal Officers Chair of the Board President, Medicine Science President, Health Care Education and Secretary/Tr easurer are responsible for establishing executive compensation consistent with the guidel ines approved by the Compensation Committee The Principal Officers of the Association use a Compensation Committee, compensation studies and an in dependent consultant to establis h the compensation of the Chief Executive Officer and other Key employees The Chief Execu tive Officer is responsible for the individual performance evaluations of staff officers a nd key employees, and establishes the total compensation for key employees subject to the guidelines established by the Executive Compensation Committee The Executive Compensation Committee develops guidelines for the key employee executive positions listed below follo wing the process described in the IRS intermediate sanctions rules when determining compen sation Specifically, the Committee 1 Is composed entirely of non-employee volunteer leade rs who have no familial, business or significant personal relationships with the American Diabetes Association or its executives 2 Assesses the short-term and long-term contributio n and performance of CEO and other senior executive employees in meeting very definitive a nd quantifiable objectives focused on the Associations mission success 3 Engages an indepe ndent compensation consulting firm to compile appropriate comparability data including com pensation market information for peers with whom the American Diabetes Association compete s for executive talent 4 The Committee reviews this data in detail for all elements of ea ch executives total compensation, including but not limited to base salary, bonuses, perqu isites, fringe benefits, and incentive and deferred compensation arrangements Upon the ex ecutives hire, and at each point in time thereafter at which a new or revised compensation arrangement is under consideration with respect to the executive, the Committee meets bef ore the arrangement is implemented to evaluate the reasonableness of the arrangement by co mparing both the arrangement itself and the executives entire compensation package to comp ensation packages paid by similarly situated organizations for functionally comparable pos itions 5 Documents, concurrently with its determination, the basis for its determination i n the minutes of its meeting These minutes are reviewed, revised if necessary and approved at the following meeting of the Executive Compensation Committee The process described a bove was used to establish compensation for the following positions Chief Executive Office r, Chief Field Development Officer, Chief Financial Officer, Chief Medical Officer, Chief Revenue Officer, Executive Vice President of Government Affairs Advocacy, Senior Vice Pres ident and Chief Technology Officer, Senior Vice President of Human Resources, Senior Vice President of Marketing Communi

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, Line 15a	cations The total compensation of executives at the American Diabetes Association is spec ifically designed to attract and retain the highest qualified executive talent to fulfill the critically important mission to prevent and cure diabetes and to improve the lives of all people affected by diabetes

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section C, Line 17	Filing Jurisdiction Registration Number Alabama-AL97-256, Alaska-N/A, Arizona-10145, Arkansas-N/A, California-CT81471, Colorado-2002-3003670, Connecticut-5084, District of Columbia-981855, Florida-CH1618, Georgia-CH-001422, Hawaii-N/A, Illinois-CO 01-025537, Indiana-000103829-000, Kansas- 177-257-3SO, Kentucky-45, Louisiana-N/A, Maine- CO-1247, Maryland-102, Massachusetts-029317, Michigan-MICS 10326, Minnesota-N/A, Mississippi- 100000294, Missouri- CO-021-87, New Hampshire-5006, New Jersey- CH-0581900, New Mexico-N/A, New York- 1/30/1965, North Carolina- SL000618, North Dakota-7894, Ohio- 01-0239, Oklahoma- N/A, Oregon- 16402, Pennsylvania- No 21, Rhode Island-95-233, South Carolina-641, Tennessee-5104, Utah- 6536093-Char, Virginia-N/A, Washington-7664, West Virginia-N/A, Wisconsin- 3020-800

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section C, Line 19	The following information is available on the American Diabetes Associations website http//www diabetes org Board of Directors, Audited Consolidated Financial Statements, Latest 990 filed, Whistleblower policy Available subject to request to the American Diabetes Association Legal Affairs department are the following Current Bylaws, Articles of Incorporation, Conflict of Interest Policy

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a19	The Chief Executive Officer of the Association is a non-voting member of the Board of Directors

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, Line 9	Employment term for Robert Ratner, Chief Scientific and Medical Officer ended in December 19, 2016 Employment term for Jeanette Flom, VP Eastern Division ended in September 16, 2016 Employment term for Rodney Sampson, SVP ITS and Chief Technical Officer ended in July 22, 2016 Employment term for Peter Banfield, SVP Operations Integration ended in December 5, 2016 Employment term for Jane Chiang, SVP Medical Community Affairs ended in November 25, 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	Publications The Association published six position statements on psychosocial care, neuro pathy, retinopathy, hypoglycemia, lipodystrophy, and exercise physical activity The posit ion statements provide clinical practice recommendations based on the latest research to i mprove the care of people with diabetes The Associations first psychosocial Position Stat ement, Psychosocial Care for People with Diabetes A position Statement of the American Dia betes Association was published in November 2016 This statement provides recommendations for routine screening of psychosocial issues, including education, diabetes distress, depr ession, anxiety, disordered eating, and serious mental illness It calls for referrals to mental health providers with experience in diabetes to improve both health and emotional o utcomes These recommendations were incorporated throughout the 2017 Standard of Care, cal ling attention to the importance of psychosocial factors in diabetes management and health outcomes and positioning the Association as the leading organization in the area The fir st Association Position Statement on Physical Activity Exercise and Diabetes was released in October 2016 The statement covered physical activity and exercise recommendations, dis ease specific precautions for people with type 1, type 2, and gestational diabetes as well as prediabetes The proceedings from the fall 2015 research symposium, titled Differentia tion of Diabetes by Pathophysiology, Natural History and Prognosis, were epublished in Dia betes in late 2016 and will appear in the February 2017 print issue of Diabetes The sympo sium was convened by the American Diabetes Association and included leadership from JDRF, the European Association for the Study of Diabetes and the American Association for Clinic al Endocrinology The publication highlights what experts currently know about how to char acterize disease progression of type 1 and type 2 diabetes and how this knowledge may be u sed to determine the most effective and appropriate treatments at each stage of disease pr ogression Importantly, the paper describes an expert consensus view that beta cell destru ction and or dysfunction underlies the vast majority of diabetes and that identifying the particular cause s of each individuals path to beta cell demise will be critical to applyi ng the most appropriate therapy To that end, the paper outlines critical research gaps th at must be addressed to inform future progress in achieving a personalized approach to dia betes therapy A workgroup of Quality of Life behavioral experts was brought together to r espond to the FDAs request for recommendations on Quality of Life Measures to include in c linical trials This workgroup presented their consensus recommendations at the FDA on Aug 29, 2016, which has resulted in a Scientific Statement, Assessing Health Related Quality of Life in People with Diabetes Recommendations by the American Diabetes Association to t he FDA to Aid in the Approval

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4	of New Therapeutic Strategies and Compare Impact of Therapies Beyond Glycemic Control that will be published in 2017 The Association published a consensus report on the Associatio ns consensus conference on youth-onset type 2 diabetes

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319041827

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
American Diabetes Association

Employer identification number
13-1623888

Part I **Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1) American Diabetes Association Research Foundation Inc 2451 Crystal Drive Ste 900 Arlington, VA 22202 54-1734511	See Part VII	VA	501 c 3	7	American Diabetes Association	Yes	
(2) American Diabetes Association Property Title Holding Corporation 2451 Crystal Drive Ste 900 Arlington, VA 22202 54-1948004	See Part VII	VA	501 c 2	N/A	American Diabetes Association	Yes	

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

			Yes	No
	Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule			
1	During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity	1a		No
b	Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c	Gift, grant, or capital contribution from related organization(s)	1c		No
d	Loans or loan guarantees to or for related organization(s)	1d		No
e	Loans or loan guarantees by related organization(s)	1e		No
f	Dividends from related organization(s)	1f		No
g	Sale of assets to related organization(s)	1g		No
h	Purchase of assets from related organization(s)	1h		No
i	Exchange of assets with related organization(s)	1i		No
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l	Yes	
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes	
o	Sharing of paid employees with related organization(s)	1o	Yes	
p	Reimbursement paid to related organization(s) for expenses	1p		No
q	Reimbursement paid by related organization(s) for expenses	1q		No
r	Other transfer of cash or property to related organization(s)	1r		No
s	Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	**(b)** Transaction type (a-s)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1) American Diabetes Association Research Foundation Inc	b	34,227,945	Cash
(2) American Diabetes Association Research Foundation Inc	l,n,o	1,382,769	Fair Value estimated based on donation activity
(3) American Diabetes Association Property Title Holding Corporation	s	1,675,645	Cash

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	**No**			**Yes**	**No**		**Yes**	**No**	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
Part II Line 1b	The Foundations objective is to secure major gifts and grants to fund diabetes related research leading to the prevention and cure of diabetes, the prevention and cure of the complications of diabetes, and new therapies for individuals affected by diabetes
Part II Line 2b	The mission of the American Diabetes Association Property Title Holding Corp is to hold title to real property, collect the income therefrom, and remit to the American Diabetes Association