

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

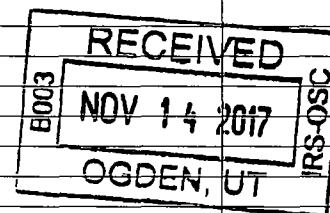
For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation ALFRED P SLOAN FOUNDATION		A Employer identification number 13-1623877
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (212) 649-1649
630 FIFTH AVENUE, SUITE 2200		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10111		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here. ☐ D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,794,057,711		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments		7,191,667		
4	Dividends and interest from securities	7,800,413	19,040,931		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	140,217,704			
b	Gross sales price for all assets on line 6a	90,104,454			
7	Capital gain net income (from Part IV, line 2)		26,742,584		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 1	1,505,992	-487,858		
12	Total Add lines 1 through 11	149,524,109	52,487,324		
13	Compensation of officers, directors, trustees, etc.	4,058,734	1,987,167		2,464,426
14	Other employee salaries and wages	4,078,005	1,171,145		3,038,110
15	Pension plans, employee benefits	3,045,451	531,360		1,722,340
16a	Legal fees (attach schedule) ATCH. 2	270,546	94,931		183,775
b	Accounting fees (attach schedule) ATCH. 3	150,605	62,754		38,401
c	Other professional fees (attach schedule) ATCH. 4	2,501,708	2,476,691		
17	Interest				
18	Taxes (attach schedule) (see instructions) [5]	3,250,000	742,813		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	1,823,305	164,097		1,657,550
21	Travel, conferences, and meetings	804,786	120,549		684,237
22	Printing and publications	89,988	15,638		74,350
23	Other expenses (attach schedule) ATCH. 6	1,042,092	10,474,096		1,761,163
24	Total operating and administrative expenses Add lines 13 through 23	21,115,220	17,841,241		11,624,352
25	Contributions, gifts, grants paid	74,296,831			74,047,583
26	Total expenses and disbursements Add lines 24 and 25	95,412,051	17,841,241	0	85,671,935
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	54,112,058			
b	Net investment income (if negative, enter -0-)		34,646,083		
c	Adjusted net income (if negative, enter -0-)				



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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	1,300,268	1,263,525	1,263,525	
	2 Savings and temporary cash investments	44,564,513	52,531,491	52,526,640	
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations (attach schedule) [7]	71,324,942	85,719,781	84,998,211	
	b Investments - corporate stock (attach schedule) ATCH 8	315,346,245	136,460,106	152,295,903	
	c Investments - corporate bonds (attach schedule) ATCH 9	53,594,415	54,611,870	51,952,604	
	Liabilities	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans					
13 Investments - other (attach schedule) ATCH 10		827,519,804	1,040,940,333	1,451,020,828	
14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		1,313,650,187	1,371,527,106	1,794,057,711	
17 Accounts payable and accrued expenses		11,475,915	15,296,728		
18 Grants payable		55,082,558	54,487,194		
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
Net Assets or Fund Balances	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶ ATCH 11)	205,250	1,041,000		
	23 Total liabilities (add lines 17 through 22)	66,763,723	70,824,922		
	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	1,246,886,464	1,300,702,184		
	25 Temporarily restricted				
	26 Permanently restricted				
Net Assets or Fund Balances	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)	1,246,886,464	1,300,702,184		
	31 Total liabilities and net assets/fund balances (see instructions)	1,313,650,187	1,371,527,106		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,246,886,464
2 Enter amount from Part I, line 27a	2	54,112,058
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,300,998,522
5 Decreases not included in line 2 (itemize) ▶ ATCH 12	5	296,338
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,300,702,184

Form 990-PF (2016)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	26,742,584	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	89,616,763	1,840,922,500	0.048680
2014	94,378,629	1,884,643,019	0.050078
2013	89,578,470	1,784,136,146	0.050208
2012	84,434,973	1,686,424,268	0.050067
2011	82,811,756	1,705,440,140	0.048557
2 Total of line 1, column (d)			2 0.247590
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.049518
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,750,115,826
5 Multiply line 4 by line 3.			5 86,662,235
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 346,461
7 Add lines 5 and 6.			7 87,008,696
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 85,671,935

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	692,922
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	692,922
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	692,922
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 3,339,048		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	3,339,048
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	2,646,126
11 Enter the amount of line 10 to be Credited to 2017 estimated tax 2,646,126 Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA, DE, NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
	ATTCH. 13		X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ WWW SLOAN ORG	13	X	
14	The books are in care of ▶ LEISLE LIN Telephone no ▶ 212-649-1649 Located at ▶ 630 FIFTH AVENUE NEW YORK, NY ZIP+4 ▶ 10111-0242			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X	Yes	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	X No
(6)	Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)		Yes	X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶		Yes	X No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		X Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) **ATCH 14**

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870 **6b** X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		4,058,734	463,212	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE"

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		1,406,032	361,692	0

Total number of other employees paid over \$50,000. ☐ 17

Form 990-PF (2016)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 17		1,849,864
Total number of others receiving over \$50,000 for professional services		9

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SUPPORT FOR BASIC RESEARCH SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	422,915
2 PUBLIC UNDERSTANDING OF SCIENCE, TECHNOLOGY & ECONOMICS SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	109,449
3 INITIATIVES SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	96,722
4 ECONOMIC PERFORMANCE/WORKING LONGER SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	83,948

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,775,592,925
b	Average of monthly cash balances	1b	1,174,411
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	1,776,767,336
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,776,767,336
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	26,651,510
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,750,115,826
6	Minimum investment return. Enter 5% of line 5	6	87,505,791

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	87,505,791
2a	Tax on investment income for 2016 from Part VI, line 5	2a	692,922
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b	2c	692,922
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	86,812,869
4	Recoveries of amounts treated as qualifying distributions	4	1,482,017
5	Add lines 3 and 4	5	88,294,886
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	88,294,886

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,671,935
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,671,935
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	85,671,935

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				88,294,886
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			24,710,178	
b Total for prior years 20 14 , 20 13 , 20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 85,671,935				
a Applied to 2015, but not more than line 2a . . .			24,710,178	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				60,961,757
e Remaining amount distributed out of corpus. . .				
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				27,333,129
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012 . . .				
b Excess from 2013 . . .				
c Excess from 2014 . . .				
d Excess from 2015 . . .				
e Excess from 2016 . . .				

Form **990-PF** (2016)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 18

b The form in which applications should be submitted and information and materials they should include

ATCH 19

c Any submission deadlines

ATCH 20

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 21

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
ATCH 22					
Total ▶ 3a					74,047,583
b Approved for future payment					
ATCH 23					
Total ▶ 3b					46,604,654

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. A vertical margin line is present on the left side, creating a narrow left margin. The paper appears to be from a notebook or a standard ruled document. There are no markings, text, or drawings on the page.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) | Cash | 1a(1) | | X |
| (2) | Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) | Reimbursement arrangements | 1b(4) | | X |
| (5) | Loans or loan guarantees | 1b(5) | | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge		
	Signature of officer or trustee 	Date 11/6/17	Title Leisle Lin Senior Vice President
			May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name DANIEL ROMANO	Preparer's signature 	Date 11/3/2017	Check ☐ if self-employed	PTIN P00504182
	Firm's name ▶ GRANT THORNTON LLP			Firm's EIN ▶ 36-6055558	
	Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013			Phone no. 212-599-0100	

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR BASIC RESEARCH

PART IX-A, LINE 1

SUPPORT FOR BASIC SCIENTIFIC RESEARCH LIES AT THE CORE OF THE FOUNDATION'S MISSION. ALFRED P. SLOAN JR FELT STRONGLY THAT FUTURE INCREASES IN HUMAN WELFARE WOULD BE DRIVEN BY AN INCREASED UNDERSTANDING AND MASTERY OF THE NATURAL WORLD AND THAT IT WAS THUS IMPORTANT TO SUPPORT THOSE SCIENTIFIC PIONEERS WHO ARE EXPANDING THE FRONTIERS OF HUMAN KNOWLEDGE BECAUSE OUR RESOURCES CONSTITUTE SUCH A SMALL FRACTION OF TOTAL SOCIETAL INVESTMENT IN RESEARCH, THE FOUNDATION MUST BE EXTREMELY STRATEGIC BOTH IN THE DESIGN OF ITS GRANTMAKING PROGRAMS AND IN SELECTING INDIVIDUAL PROJECTS FOR FUNDING IN EACH OF OUR SCIENCE GRANTMAKING PROGRAMS, THE FOUNDATION SEEKS PROPOSALS FOR ORIGINAL PROJECTS LED BY OUTSTANDING INDIVIDUALS OR TEAMS, WHICH EXHIBIT A HIGH DEGREE OF METHODOLOGICAL RIGOR, WHICH HAVE A HIGH EXPECTED RETURN TO SOCIETY, AND FOR WHICH FUNDING FROM THE PRIVATE SECTOR, GOVERNMENT, OR OTHER FOUNDATIONS IS NOT YET WIDELY AVAILABLE THE FOUNDATION HOSTS NUMEROUS CONFERENCES, COALITIONS TO PRODUCE PAPERS, REPORTS AND SHARE IDEAS AMONG THE SCHOLARS ENGAGED IN STEM RESEARCH

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

PUBLIC UNDERSTANDING OF SCIENCE, TECHNOLOGY & ECONOMICS

PART IX-A, LINE 2

THIS LONG-STANDING MULTIFACETED PROGRAM AIMS TO GIVE PEOPLE A KEENER APPRECIATION FOR THE INCREASINGLY SCIENTIFIC AND TECHNOLOGICAL WORLD IN WHICH WE LIVE AND TO CONVEY SOME OF THE CHALLENGES AND REWARDS OF THE SCIENTIFIC AND TECHNOLOGICAL ENTERPRISE. THE PROGRAM'S PRIMARY AIM IS TO BUILD BRIDGES BETWEEN THE TWO CULTURES OF SCIENCE AND THE HUMANITIES AND TO DEVELOP A COMMON LANGUAGE SO THAT THEY CAN BETTER UNDERSTAND AND SPEAK TO ONE ANOTHER--AND ULTIMATELY TO GRASP THAT THEY BELONG TO A SINGLE COMMON CULTURE. THE FOUNDATION HAS ESTABLISHED A NATIONWIDE STRATEGY THAT FOCUSES ON BOOKS, THEATER, FILM, TELEVISION, RADIO, AND NEW MEDIA TO COMMISSION, DEVELOP, PRODUCE, AND DISTRIBUTE NEW WORK MAINSTREAMING SCIENCE AND TECHNOLOGY FOR THE LAY PUBLIC.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

INITIATIVES

PART IX-A, LINE 3

THE FOUNDATION OCCASIONALLY MAKES LIMITED, TARGETED INVESTMENTS IN AREAS RELATED TO OUR MISSION BUT NOT FALLING UNDER OTHER FOUNDATION GRANTMAKING PROGRAMS. THESE INVESTMENTS, CALLED INITIATIVES, ALLOW THE FOUNDATION TO TAKE ADVANTAGE OF UNIQUE OPPORTUNITIES TO ADVANCE OUR MISSION WHEN THEY ARISE IN SOME CASES, AN INITIATIVE MAY BE STARTED AS A PRELUDE TO THE LAUNCH OF A FORMAL GRANT PROGRAM.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

ECONOMIC PERFORMANCE/WORKING LONGER

PART IX-A, LINE 4

THE FOUNDATION REMAINS THE SINGLE LARGEST PRIVATE FUNDER OF ECONOMIC RESEARCH IN EACH OF THE GRANTMAKING PROGRAMS THE FOUNDATION SEEKS PROPOSALS FOR ORIGINAL PROJECTS LED BY OUTSTANDING INDIVIDUALS OR TEAMS WHICH EXHIBIT A HIGH DEGREE OF METHODOLOGICAL RIGOR, WHICH HAVE A HIGH EXPECTED RETURN TO SOCIETY, WHICH FUNDING FROM THE PRIVATE SECTOR, GOVERNMENT OR OTHER FOUNDATIONS IS NOT YET WIDELY AVAILABLE THE PAPERS, PROJECTS AND CONFERENCES ARE OPEN ACCESS TO ALL.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

PART IX-A

DURING THE COURSE OF THE YEAR, THE FOUNDATION CONDUCTS A NUMBER OF CONFERENCES AND SEMINARS IN LINE WITH ITS PROGRAM INTERESTS. IN ADDITION, IT ALSO PUBLISHES AND DISSEMINATES THE RESULTS OF SUCH RESEARCH, REPORTS OF EDUCATION CONFERENCES, OR SIMILAR EDUCATIONAL MATERIAL THROUGH ITS GRANTEES. IT IS IMPOSSIBLE TO QUANTIFY THE NUMBER OF BENEFICIARIES SERVED.

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GRANT REFUNDS:		
REFUNDED GRANTS	447,034.	
REC'D WITH GRANT TRANSFERS	1,034,983.	
ROYALTY INC. BOOKS, VIDEOS, SOFTWARE	733.	733.
BNYM QUALIFIED - FOREX SETTLEMENT FUNDS	23,242	23,242
INCOME FROM LP INV NOT INCLUDED ABOVE		-511,833
TOTALS	<u>1,505,992</u>	<u>-487,858</u>

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PATTERSON BELKNAP	174,090			182,250.
SIMPSON THATCHER	93,652	93,652.		
AKIN, GUMP, STRAUSS, HAUER FE	1,279.	1,279.		
LEGAL FILINGS (NY CHARITABLE)	1,525.			1,525
TOTALS	<u>270,546</u>	<u>94,931</u>		<u>183,775</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
GRANT THORNTON LLP	150,605.	62,754		38,401.
TOTALS	<u>150,605</u>	<u>62,754</u>		<u>38,401</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MELLON CUSTODY	369,141	365,450.
CLIFTON FIXED INCOME	10,152	10,050
COLCHESTER	49,346.	48,853.
DODGE AND COX	29	29.
CLIFTON MCP HEDGE	10,616	10,510
OZ OVERSEAS	-32	-32
LONE KAURI	-38,921	-38,532
DORSEY ASSET MGMT	174,473	172,728.
PROA PARTNERS	166,776.	165,108.
RGM CAPITAL	409,389.	405,295
SILCHESTER	546,011.	540,551.
NOKOMIS	-14,282	-14,139
PZENA	350,850	347,342
BACKSTOP SOLUTIONS GROUP, LLC	62,673	62,046
BLOOMBERG L. P.	65,780	65,122
BLACKROCK FINANCIAL MANAGEMENT	109,014.	107,924.
CHECKFUNDMANAGER LLC	76,540.	75,775
EVESTMENT ALLIANCE LLC	105,000.	103,950.
MERCER	5,000	4,950
NED DAVIS RESEARCH, INC	7,500.	7,425.
NEW YORK STOCK EXCHANGE, INC	748	741
MSCI, INC	6,700.	6,633
THE BURGISS GROUP	14,300	14,156.
TREASURY LADDER	14,905	14,756
TOTALS	<u>2,501,708</u>	<u>2,476,691.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PROVISION FOR EXCISE TAX FOREIGN TAXES	3,250,000.	742,813.
TOTALS	<u>3,250,000</u>	<u>742,813.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
CONSULTING AND PROFESSIONAL PROGRAM OUTREACH	131,511.	63,092.	75,261
BOOKS AND SUBSCRIPTIONS	167,972.		159,938
COMPUTER SYSTEM SUPPORT	4,145.	335.	4,145
ELECTRICITY	261,831.	23,803	254,116
FURNITURE AND EQUIPMENT	20,947	1,904	20,941
INSURANCE	1,289.	117	1,289
SUPPLIES AND SERVICES	76,755.	6,978.	76,755
TELEPHONE AND POSTAGE	167,774.	15,252	167,841
RECRUITING	110,001	1,000	109,193
BANK CHARGES & PAYROLL FEES	18,200.	2,155	18,395
LP INVESTMENT EXPENSES	31,496	2,863.	8,155.
DIRECT CHARITABLE EXPENSES		10,327,402.	
INVESTMENT COMMITTEE FEES			
TRAINING			
	29,500	29,000	844,613
	20,671	195	20,521
TOTALS	<u>1,042,092.</u>	<u>10,474,096.</u>	<u>1,761,163</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

DESCRIPTION

ENDING ENDING
BOOK VALUE FMV

U S & STATE GOV OBLIGATIONS

85,719,781 84,998,211

US OBLIGATIONS TOTAL

85,719,781 84,998,211

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GLOBAL EQUITIES	136,460,106.	152,295,903
TOTALS	<u>136,460,106.</u>	<u>152,295,903.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME	54,611,870	51,952,604
TOTALS	<u>54,611,870.</u>	<u>51,952,604</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
REAL ESTATE	21,346,455	18,595,620.
PRIVATE EQUITY	142,019,067	178,682,596
DOMESTIC EQUITY	272,774,367.	351,582,863
INTERNATIONAL EQUITY	118,360,979	298,593,228
ABSOLUTE RETURN	318,023,859.	347,882,638
HYBRID	168,415,606	255,683,883
TOTALS	<u>1,040,940,333.</u>	<u>1,451,020,828</u>

ATTACHMENT 11FORM 990PF, PART II - OTHER LIABILITIESDESCRIPTIONENDING
BOOK VALUE

DEFERRED COMPENSATION

1,041,000

TOTALS

1,041,000

ATTACHMENT 12FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

AMOUNTS NOT YET RECOGNIZED AS A
COMPONENT OF NET PERIODIC BENEFIT COST

296,338

TOTAL

296,338

ATTACHMENT 13FORM 990PF, PART VII-A, LINE 11A-TRANSFERS TO CONTROLLED ENTITY STATEMENT

TOTAL AMOUNT

500

CONTROLLED ENTITY'S NAME	SLOAN PROJECTS LLC
CONTROLLED ENTITY'S ADDRESS	630 FIFTH AVENUE, SUITE 2200
CITY, STATE & ZIP	NEW YORK, NY 10111
EIN	27-0358278
TRANSFER AMOUNT	500
EXPLANATION OF TRANSFER TO CONTROLLED ENTITY	
GENERAL SUPPORT	

ATTACHMENT 14FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME ABT ASSOCIATES
GRANTEE'S ADDRESS 55 WHEELER STREET
CITY, STATE & ZIP CAMBRIDGE, MA 02138
GRANT DATE 10/18/2016
GRANT AMOUNT 958,389
GRANT PURPOSE TO COMPLETE AN EVALUATION OF THE MOORE-SLOAN DATA
SCIENCE ENVIRONMENTS
AMOUNT EXPENDED
ANY DIVERSION? NO
DATES OF REPORTS: N/A
VERIFICATION DATE
RESULTS OF VERIFICATION
N/A

GRANTEE'S NAME ABT ASSOCIATES
GRANTEE'S ADDRESS 55 WHEELER STREET
CITY, STATE & ZIP CAMBRIDGE, MA 02138
GRANT DATE 06/08/2016
GRANT AMOUNT 124,966
GRANT PURPOSE TO COMPLETE PLANNING AND PILOT BASELINE DATA COLLECTION
FOR AN EVALUATION OF THE MOORE-SLOAN DATA
AMOUNT EXPENDED
ANY DIVERSION? NO
DATES OF REPORTS: N/A
VERIFICATION DATE
RESULTS OF VERIFICATION
N/A

GRANTEE'S NAME ARIUS ASSOCIATION
GRANTEE'S ADDRESS TAVERNSTRASSE 11
CITY, STATE & ZIP BADEN
FOREIGN COUNTRY CHINA CH-5405
GRANT DATE 06/11/2013
GRANT AMOUNT 150,000
GRANT PURPOSE TO CONTINUE EFFORTS TO HELP INITIATE WORKING GROUPS ON
REGIONAL REPOSITORIES FOR SPENT NUCLEAR FUEL
AMOUNT EXPENDED 149,988
ANY DIVERSION? NO
DATES OF REPORTS: 05/23/2014, 12/01/2014, 12/31/2014, 02/18/2016
VERIFICATION DATE 02/18/2016
RESULTS OF VERIFICATION
GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT

GRANTEE'S NAME INSTITUT DE PHYSIQUE DU GLOBE DE PARIS
GRANTEE'S ADDRESS 1 RUE JUSSIEU
CITY, STATE & ZIP PARIS
FOREIGN COUNTRY FRANCE 75238
GRANT DATE 10/13/2015
GRANT AMOUNT 300,150
GRANT PURPOSE TO CREATE AND LEAD TASK FORCE 2020 TO CONSIDER POSSIBLE
CONT'D ON NEXT PAGE

ATTACHMENT 14 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

FUTURES FOR THE DEEP CARBON OBSERVATORY
AMOUNT EXPENDED 202,766.
ANY DIVERSION? NO
DATES OF REPORTS 03/07/2016, 08/16/2016, 12/05/2016; 08/02/2017
VERIFICATION DATE 12/05/2016
RESULTS OF VERIFICATION
GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: OPEN KNOWLEDGE FOUNDATION
GRANTEE'S ADDRESS ST JOHN'S INNOVATION CENTRE
CITY, STATE & ZIP CAMBRIDGESHIRE
FOREIGN COUNTRY UNITED KINGDOM CB4 0WS
GRANT DATE: 10/13/2015
GRANT AMOUNT: 690,575
GRANT PURPOSE TO REDUCE FRICTION IN THE RESEARCH PROCESS THROUGH THE
DEVELOPMENT AND BROAD IMPLEMENTATION OF LIGHT
AMOUNT EXPENDED: 221,224
ANY DIVERSION? NO
DATES OF REPORTS 01/30/2017
VERIFICATION DATE 01/30/2017
RESULTS OF VERIFICATION
GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT

GRANTEE'S NAME: UNIVERSITA DI ROMA LA SAPIENZA
GRANTEE'S ADDRESS PIAZZA ALDO MORO, 5
CITY, STATE & ZIP: ROMA
FOREIGN COUNTRY ITALY 00185
GRANT DATE: 11/16/2016
GRANT AMOUNT: 102,753.
GRANT PURPOSE TO CONDUCT THE THIRD WORKSHOP OF EARLY CAREER
SCIENTISTS OF THE DEEP CARBON OBSERVATORY
AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS: N/A
VERIFICATION DATE
RESULTS OF VERIFICATION.
N/A

GRANTEE'S NAME UNIVERSITY OF CAMBRIDGE
GRANTEE'S ADDRESS DOWNING STREET
CITY, STATE & ZIP CAMBRIDGE
FOREIGN COUNTRY UNITED KINGDOM CB2 3ER
GRANT DATE: 03/22/2016
GRANT AMOUNT 99,376
GRANT PURPOSE TO PROVIDE STRATEGIC VISION AND LEADERSHIP OF THE DEEP
CARBON OBSERVATORY SYNTHESIS GROUP 2019 PROG
AMOUNT EXPENDED 67,451.
ANY DIVERSION? NO
DATES OF REPORTS 11/9/2016, 2/23/2017, 4/3/2017;
VERIFICATION DATE 06/13/2017

CONT'D ON NEXT PAGE

ATTACHMENT 14 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT

GRANTEE'S NAME: ST. EDMUNDS COLLEGE, UNIV OF CAMBRIDGE
GRANTEE'S ADDRESS: MOUNT PLEASANT
CITY, STATE & ZIP: CAMBRIDGE
FOREIGN COUNTRY: UNITED KINGDOM CB3 0BN
GRANT DATE: 11/28/2016
GRANT AMOUNT: 55,000.
GRANT PURPOSE: TO WRITE THE FIRST HISTORY OF DEEP CARBON SCIENCE, A
BOOK TITLED CARBON FROM CRUST TO CORE

AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS: REPORTS ARE DUE IN 2017
VERIFICATION DATE:
RESULTS OF VERIFICATION:

GRANTEE'S NAME: UNIVERSITY COLLEGE LONDON
GRANTEE'S ADDRESS: GOWER STREET
CITY, STATE & ZIP: LONDON
FOREIGN COUNTRY: UNITED KINGDOM WC1E 6BT
GRANT DATE: 12/07/2015
GRANT AMOUNT: 50,000.
GRANT PURPOSE: TO LAUNCH A CAREFULLY CURATED AND EDITED BLOG THAT WILL
MAKE INSIGHTS FROM MICROECONOMIC RESEARCH

AMOUNT EXPENDED: 48,266.
ANY DIVERSION? NO
DATES OF REPORTS: 2/8/2017, 3/23/2017
VERIFICATION DATE: 03/23/2017
RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PAUL JOSKOW 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE/PRESIDENT 40 00	918,655	79,260	0
LEISLE LIN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TREASURER/SR VP FINANCE & OPER 40 00	479,530	84,056	0
ELIZABETH HEWITT 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	SR VP/CHIEF INVESTMENT OFFICER 40 00	1,260,155	75,021	0
DORON WEBER 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	VP /PROGRAM DIRECTOR 40 00	414,892	76,454	0
DANIEL GOROFF 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	VP /PROGRAM DIRECTOR 40 00	392,855	65,694	0
CHRISTOPHER SIA 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TREASURER TERM END MARCH 2016 40 00	226,147	82,727	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
CYNTHIA BARNHART 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	25,000	0	0
BONNIE L BASSLER 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	25,000	0	0
FRANCINE BERMAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	25,000	0	0
RICHARD BERNSTEIN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	32,000	0	0
KEVIN BURKE 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	29,000	0	0
FREDERICK HENDERSON 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	29,000	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
FREEMAN A HRABOWSKI III 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	32,000	0	0
ROBERT LITTERMAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	26,500	0	0
SANDRA O MOOSE 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE/CHAIRMAN 2 00	35,000	0	0
JAMES M POTERBA 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	29,000	0	0
MICHAEL PURUGGANAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	25,000	0	0
MARY SCHMIDT CAMPBELL 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	25,000	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 15 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MARTA TIENDA 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2 00	29,000	0	0
GRAND TOTALS		4,058,734	463,212	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
ELIZABETH S BOYLAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40 00	289,675	74,538 0
KATHLEEN E CHRISTENSEN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	312,000.	77,499. 0
JOSHUA M GREENBERG 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40 00	273,232	83,839. 0
EVAN S MICHELSON 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40 00	215,000.	73,002 0
PAULA J OLSIEWSKI 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40 00	316,125	52,814. 0
TOTAL COMPENSATION		1,406,032	361,692 0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
SILCHESTER INTERNATIONAL INVESTORS 780 3RD AVE #4201 NEW YORK, NY 10017	INVESTMENT MGMT FEES	546,011
RGM CAPITAL STRADA STELL CT # 105 NAPLES, FL 34109	INVESTMENT MGMT FEES	409,389
BANK OF NEW YORK MELLON 135 SANTILLI HWY EVERETT, MA 02149	INVESTMENT CUSTODY	369,141
PZENA INVESTMENT MANAGEMENT LLC 120 W 45TH ST, NO. 20 NEW YORK, NY 10036	INVESTMENT MGMT FEES	350,850
DORSEY ASSET MANAGEMENT 980 N MICHIGAN AVE #1650 CHICAGO, IL 60611	INVESTMENT MGMT FEES	174,473
TOTAL COMPENSATION		<u>1,849,864.</u>

ATTACHMENT 18FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MR. PAUL L. JOSKOW - GRANTS
630 FIFTH AVENUE, SUITE 2200
NEW YORK, NY 10111
212-649-1649

MS GAYLE MYERSON - FELLOWSHIPS
630 FIFTH AVENUE, SUITE 2200
NEW YORK, NY 10111
212-649-1649

ATTACHMENT 19990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

GRANTS:

INFORMATION ABOUT THE APPLICANT, THE WORK THE APPLICANT PROPOSES TO DO, THE COSTS AND DURATION OF THE WORK, AND THE TAX STATUS OF THE ORGANIZATION THAT WOULD ADMINISTER THE GRANT. THE APPLICATION SHOULD NOT EXCEED 20 DOUBLE-SPACED PAGES. PROPOSALS BY E-MAIL ARE ACCEPTED.

FELLOWSHIPS:

CANDIDATES ARE NOMINATED BY DEPARTMENT HEADS OR OTHER SENIOR SCHOLARS. DIRECT APPLICATIONS ARE NOT ACCEPTED. NOMINATION FORMS ARE AVAILABLE ON REQUEST ADDRESSED TO MS GAYLE MYERSON OR ONLINE AT WWW.SLOAN.ORG/FELLOWSHIPS. IN ADDITION TO THIS FORM, THE NOMINATOR SHOULD SUBMIT A LETTER DESCRIBING THE CANDIDATE'S QUALIFICATIONS AND MUST SEE THAT THE FOUNDATION RECEIVES 3 SUPPORTING LETTERS DIRECTLY FROM OTHER SCHOLARS, PREFERABLY NOT AT THE SAME INSTITUTION. A CV, A LIST OF THE CANDIDATE'S PUBLICATIONS, A ONE PAGE STATEMENT AS WELL AS ONE COPY OF EACH OF NO MORE THAN TWO REPRESENTATIVE PUBLICATIONS SHOULD ACCOMPANY THE NOMINATION FORM AND LETTER.

ATTACHMENT 20

990PF, PART XV - SUBMISSION DEADLINES

GRANTS. NONE

FELLOWSHIPS NOMINATIONS ARE DUE BY SEPTEMBER 15 FOR THOSE AWARDS
WHICH ARE AWARDED THE FOLLOWING SEPTEMBER

ATTACHMENT 21990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

GRANTS ·

THE FOUNDATION MAKES GRANTS IN SUPPORT OF RESEARCH AND EDUCATION IN SCIENCE, TECHNOLOGY, AND ECONOMICS EXCEPT IN SPECIAL CIRCUMSTANCES THE FOUNDATION DOES NOT MAKE GRANTS TO INDIVIDUALS, TO INSTITUTIONS OUTSIDE THE UNITED STATES, TO FOR-PROFIT INSTITUTIONS, OR IN AREAS RELATED TO RELIGION, MEDICAL RESEARCH, OR THE HUMANITIES THE FOUNDATION DOES NOT MAKE GRANTS AIMED AT PRE-COLLEGE STUDENTS AND DOES NOT MAKE GRANTS FOR ENDOWMENTS OR FUNDRAISING DRIVES. THE FOUNDATION DOES NOT MAKE GRANTS TO POLITICAL CAMPAIGNS, TO SUPPORT POLITICAL ACTIVITIES OR TO LOBBY FOR OR AGAINST PARTICULAR PIECES OF LEGISLATION

FELLOWSHIPS ·

THE ALFRED P SLOAN FOUNDATION RESEARCH FELLOWSHIPS SEEK TO STIMULATE FUNDAMENTAL RESEARCH BY EARLY-CAREER SCIENTISTS AND SCHOLARS OF OUTSTANDING PROMISE. THESE TWO-YEAR FELLOWSHIPS ARE AWARDED YEARLY TO RESEARCHERS WHO HOLD A TENURE TRACK (OR EQUIVALENT) POSITION AT A COLLEGE, UNIVERSITY, OR OTHER DEGREE GRANTING INSTITUTION IN THE UNITED STATES OR CANADA IN RECOGNITION OF DISTINGUISHED PERFORMANCE AND A UNIQUE POTENTIAL TO MAKE SUBSTANTIAL CONTRIBUTIONS TO THEIR FIELD CANDIDATES FOR SLOAN RESEARCH FELLOWSHIPS MAY BE NO MORE THAN SIX YEARS FROM COMPLETION OF THE PH D AS OF THE YEAR OF NOMINATION UNLESS SPECIAL CIRCUMSTANCES SUCH AS MILITARY SERVICE, A CHANGE OF FIELD OR CHILD REARING ARE INVOLVED OR UNLESS THEY HAVE HELD A FACULTY APPOINTMENT FOR LESS THAN TWO YEARS THEY ARE REQUIRED TO HOLD A PH.D. (OR EQUIVALENT) IN CHEMISTRY, PHYSICS, MATHEMATICS, ECONOMICS, COMPUTER SCIENCE, NEUROSCIENCE, OCEAN SCIENCES, OR COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY OR IN A RELATED INTERDISCIPLINARY FIELD

FORM 990PF-PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

ABT ASSOCIATES
55 WHEELER STREET
CAMBRIDGE, MA 02138NONE
NCTO COMPLETE AN EVALUATION OF THE MOORE-SLOAN DATA
SCIENCE ENVIRONMENTS

400,000

ABT ASSOCIATES
55 WHEELER STREET
CAMBRIDGE, MA 02138NONE
NCTO COMPLETE PLANNING AND PILOT BASELINE DATA
COLLECTION FOR AN EVALUATION OF THE MOORE-SLOAN
DATA SCIENCE ENVIRONMENT GRANTS

124,966

AMERICAN ACADEMY OF ARTS AND SCIENCES
NORTON'S WOODS
CAMBRIDGE, MA 02138NONE
PCTO RESEARCH THE DURABILITY AND ADAPTABILITY OF
ENERGY AND ENVIRONMENTAL POLICY REGULATION
THROUGH FIVE CASE STUDIES FOCUSED ON THE CLEAN
AIR ACT

50,000

AMERICAN ACADEMY OF ARTS AND SCIENCES
NORTON'S WOODS
CAMBRIDGE, MA 02138NONE
PCTO SUPPORT A TWO-YEAR STUDY AND ACCOMPANYING
WORKSHOP ON BETTER UNDERSTANDING PUBLIC ATTITUDES
TOWARD SCIENCE

110,000

AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC
1200 NEW YORK AVENUE, NW
WASHINGTON, DC 20005NONE
PCTO PROMOTE THE PROFESSIONALIZATION AND
INSTITUTIONALIZATION OF THE ROLE OF THE COMMUNITY
ENGAGEMENT MANAGER IN SCIENTIFIC SOCIETIES AND
LARGE-SCALE RESEARCH COLLABORATIONS

579,812

AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC
1200 NEW YORK AVENUE, NW
WASHINGTON, DC 20005NONE
PCTO SUPPORT POST-WORKSHOP ACTIVITIES THAT EXPLORE
THE DESIRABILITY AND FEASIBILITY OF ADAPTING THE
UK'S ATHENA SWAN DIVERSITY INITIATIVE FOR USE IN
US INSTITUTIONS

30,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN ASTRONOMICAL SOCIETY 1667 K STREET NW WASHINGTON, DC 20006	NONE PC		TO ADVANCE THE DISCOVERY, TRACKING, AND PRESERVATION OF SCIENTIFIC SOFTWARE BY IMPROVING SOFTWARE CITATION PRACTICES AIMS	448,500
AMERICAN EDUCATIONAL RESEARCH ASSOCIATION 1430 K STREET, NW WASHINGTON, DC 20005	NONE PC		TO SUPPORT THE AMERICAN EDUCATIONAL RESEARCH ASSOCIATION'S BROWN LECTURE	5,000
AMERICAN FILM INSTITUTE 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027	NONE PC		TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS TO CREATE MORE REALISTIC AND DRAMATIC STORIES ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE STEREOTYPES ABOUT SCIENTISTS AND ENGINEERS THROUGH FILM	100,000
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY ONE BATTERY PARK PLAZA NEW YORK, NY 10004	NONE PC		TO SUPPORT BROAD PARTICIPATION BY BEHAVIORAL AND EXPERIMENTAL ECONOMISTS IN THE ECONOMIC SCIENCE ASSOCIATION'S ANNUAL CONFERENCE	14,800
AMERICAN INDIAN SCIENCE AND ENGINEERING SOCIETY 2305 RENARD SE, SUITE 200 ALBUQUERQUE, NM 87106	NONE PC		TO PROVIDE PARTIAL SUPPORT FOR THE UNDERGRADUATE RESEARCH COMPETITION AT THE 2016 AISES NATIONAL CONFERENCE	10,000
AMERICAN MUSEUM OF THE MOVING IMAGE 36-01 35TH AVENUE ASTORIA, NY 11106	NONE PC		TO MAINTAIN AND DEVELOP THE COMPREHENSIVE, UP-TO-DATE, GO-TO SITE FOR THE NATIONWIDE SLOAN FILM PROGRAM, ITS PARTICIPATING PARTNERS AND 500+ FILM PROJECTS AND TO ADD A SLOAN FILM CHANNEL	145,229

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ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN SOCIETY FOR ENGINEERING EDUCATION 1818 N STREET, NW WASHINGTON, DC 20036		NONE PC		TO CONVENE A PLANNING MEETING AND SMALL CONFERENCE CATALYZING ACTIVITIES WITHIN THE ENGINEERING TRANSITIONS TO INCLUSIVE AND DIVERSE ENVIRONMENTS (E-TIDE) ALLIANCE	50,000
ANNUAL REVIEWS 4139 EL CAMINO WAY PALO ALTO, CA 94303		NONE PC		TO PUBLISH A DIGITAL MAGAZINE THAT UNLOCKS SCIENTIFIC RESEARCH TO INFORM THE PUBLIC DISCOURSE IN MULTIPLE SUBJECTS WITH COMPELLING, TIMELY, AND IMPARTIAL KNOWLEDGE	550,000
ARIZONA STATE UNIVERSITY 300 E UNIVERSITY DRIVE TEMPE, AZ 85287-7205		NONE GOV		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR LERELIA DANIELLE JENKINS IN OCEAN SCIENCES	21,621
ASTROPHYSICAL RESEARCH CONSORTIUM UNIVERSITY OF WASHINGTON SEATTLE, WA 98195		NONE PC		TO EVALUATE THE PROSPECTS, OPERATIONAL LANDSCAPE, AND POTENTIAL OPTIONS FOR THE FUTURE OF THE SLOAN DIGITAL SKY SURVEY (SDSS) RESEARCH PROGRAM AND ITS FACILITIES IN THE 2020S	107,000
ASTROPHYSICAL RESEARCH CONSORTIUM UNIVERSITY OF WASHINGTON SEATTLE, WA 98195		NONE PC		TO INCREASE THE NUMBER OF UNDERREPRESENTED MINORITY STUDENTS IN THE SLOAN DIGITAL SKY SURVEY IV (SDSS-IV) COLLABORATION THROUGH THE DEVELOPMENT AND IMPLEMENTATION OF A FACULTY-AND-STUDENT TEAM (FAST) PROGRAM AND A RESEARCH EXPERIENCE FOR UNDERGRADUATES (REU) PROGRAM	175,000
ASTROPHYSICAL RESEARCH CONSORTIUM UNIVERSITY OF WASHINGTON SEATTLE, WA 98195		NONE PC		TO SUPPORT THE SLOAN DIGITAL SKY SURVEY IV, WHICH WILL STUDY THE HISTORY OF THE MILKY WAY, THE EVOLUTION OF GALAXIES, AND THE EXPANSION OF THE UNIVERSE AND DARK ENERGY OVER THE LAST 12 BILLION YEARS	2,375,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030	NONE PC	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE RESEARCH IN ECONOMICS	93,250
BEHAVIORAL SCIENCE & POLICY ASSOCIATION P O BOX 51336 DURHAM, NC 27717	NONE PC	TO PROMOTE COOPERATION BETWEEN BEHAVIORAL RESEARCHERS AND POLICY PRACTITIONERS	19,700
BENEFITS DATA TRUST CENTRE SQUARE WEST PHILADELPHIA, PA 19102	NONE PC	TO TEST NEOCLASSICAL AND BEHAVIORAL ACCOUNTS OF GOVERNMENT BENEFITS UPTAKE BY RUNNING A RANDOMIZED CONTROLLED EXPERIMENT ON FOOD STAMP PROGRAM ENROLLMENT PROCEDURES	46,958
BOSTON COLLEGE 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	NONE PC	TO BUILD A ROBUST AND SUSTAINABLE MULTI-DISCIPLINARY RESEARCH NETWORK ON AGING AND WORK	170,000
BOSTON UNIVERSITY 725 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ALEXANDER SUSHKOV IN PHYSICS	55,000
BOSTON UNIVERSITY 725 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CATHERINE ESPAILLAT IN PHYSICS	55,000

ATTACHMENT 22

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10/31/2017

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BOSTON UNIVERSITY 725 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE	PC		TO MEASURE THE WORK DISINCENTIVES FACING OLDER AMERICANS ARISING FROM AMERICA'S MAJOR FISCAL PROGRAMS AND PROVISIONS	250,000
BOSTON UNIVERSITY 725 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE	PC		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CHRIS LAUMANN IN PHYSICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF WASHINGTON	55,000
BRANDEIS UNIVERSITY 415 SOUTH STREET WALTHAM, MA 02454-9110	NONE	PC		TO SUPPORT AN INTERNATIONAL CONFERENCE ON HETEROGENEOUS AGENTS AND AGENT-BASED MODELING IN MACROECONOMICS	45,500
BRAVE NEW SOFTWARE 3715 TACOMA AVENUE LOS ANGELES, CA 90065	NONE	PC		TO DEVELOP A BETTER UNDERSTANDING OF THE SUCCESS AND SUSTAINABILITY OF SELECTED SLOAN-FUNDED FREE/OPEN SOURCE SOFTWARE PROJECTS	20,000
BRAVE NEW SOFTWARE 3715 TACOMA AVENUE LOS ANGELES, CA 90065	NONE	PC		TO IMPROVE THE DISCOVERY, ASSESSMENT OF VALUE, AND IMPACT OF OPEN SOURCE SOFTWARE	124,770
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE	PC		TO MEASURE HOW EMPLOYERS' BENEFIT COSTS CHANGE WITH AGE OF EMPLOYEES	186,771

ATTACHMENT 22

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE PC		TO PRESENT ACCESSIBLE, RELIABLE, AND INFLUENTIAL RESEARCH THROUGH THE BROOKINGS PAPERS ON ECONOMIC ACTIVITY	200,000
BROWN UNIVERSITY 164 ANGELL STREET PROVIDENCE, RI 02912	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR STEFANIE TELLEX IN COMPUTER SCIENCE	55,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR VENKAT CHANDRASEKARAN IN MATHEMATICS	55,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	NONE PC		TO CONDUCT REPLICATION STUDIES ON ECONOMICS PAPERS AFTER PUNNING PREDICTION MAPKETS THAT SUBJECTIVELY ASSESS THE PROBABILITY OF CONFIRMATIONS	133,935
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STPEET, N W WASHINGTON, DC 20005	NONE PC		TO CONTINUE TO LEAD THE RESERVOIRS AND FLUXES COMMUNITY OF THE DEEP CARBON OBSERVATORY	625,000
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N W WASHINGTON, DC 20005	NONE PC		TO PROVIDE PENULTIMATE SUPPORT FOR THE SECRETARIAT OF THE DEEP CARBON OBSERVATORY	1,000,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ABHINAV GUPTA IN COMPUTER SCIENCE	55,000
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR WESLEY PEGDEN IN MATHEMATICS	55,000
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO ADVANCE RESEARCH ON BELIEF-BASED UTILITY IN BEHAVIORAL ECONOMICS BY HOLDING A CONFERENCE FOR LEADING ECONOMISTS AND PSYCHOLOGISTS	20,000
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO ASSESS THE CONTRIBUTION OF LIGHT WATER SMALL MODULAR NUCLEAR REACTORS TO REDUCE CARBON EMISSIONS FROM THE UNITED STATES ENERGY SYSTEM BY 2050	124,916
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO ENCOURAGE TOP FILM STUDENTS TO WRITE SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY	92,500
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO INVESTIGATE HOW THE AVAILABILITY AND DEPLOYMENT OF PRIVACY ENHANCING TECHNOLOGIES AFFECT CONSUMER BEHAVIOR AND WELFARE	167,090

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENTRECIPIENT NAME AND ADDRESSPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

CARNEGIE MELLON UNIVERSITY
5000 FORBES AVENUE
PITTSBURGH, PA 15213

NONE
PC

TO STUDY AND DEVELOP BEST PRACTICES FOR COMMUNITY
CODE ENGAGEMENTS IN THE CONTEXT OF SCIENTIFIC
SOFTWARE DEVELOPMENT

400,000

CARNEGIE MELLON UNIVERSITY
5000 FORBES AVENUE
PITTSBURGH, PA 15213

NONE
PC

TO STUDY THE CURRENT AND FUTURE FACTORS
CONTRIBUTING TO THE TECHNOLOGICAL VIABILITY,
ECONOMIC IMPACT, AND ENVIRONMENTAL CONSEQUENCES
OF FUEL CELL TECHNOLOGIES

300,000

CARNEGIE MELLON UNIVERSITY
5000 FORBES AVENUE
PITTSBURGH, PA 15213

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR RUSLAN SALAKHUTDINOV IN
COMPUTER SCIENCE, ORIGINALLY AWARDED AT
UNIVERSITY OF TORONTO

18,542

CATTICUS CORPORATION
2600 TENTH STREET
BERKELEY, CA 94710

NONE
PC

FOR PRODUCTION SUPPORT FOR A THREE-HOUR SERIES
TRACING THE HISTORY OF SILICON VALLEY TO BE Aired
ON PBS PRIMETIME, ALONG WITH DEVELOPMENT OF A
PUBLIC EDUCATION AND COMMUNITY OUTREACH CAMPAIGN

400,000

CELL MOTION LABORATORIES, INC
P O BOX 20374
NEW YORK, NY 10009

NONE
PC

TO SUPPORT EXPANSION OF THE BIOBUS AND BIOBASE
STEM EDUCATION PROGRAMS IN HARLEM

500,000

CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES
1616 RHODE ISLAND AVENUE, NW
WASHINGTON, DC 20036

NONE
PC

TO SUPPORT THE ENERGY FUTURES FORUM IN
IDENTIFYING AND ELABORATING ON MEDIUM-TERM ISSUES
IN THE ENERGY SECTOR

20,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D.)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLORADO STATE UNIVERSITY 1874 CAMPUS DELIVERY FORT COLLINS, CO 80523-1874	NONE GOV	TO SUPPORT AN INDOOR CHEMISTRY DATA NEEDS WORKSHOP	54,044
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CORY DEAN IN PHYSICS	55,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DANIEL HSU IN COMPUTER SCIENCE /	55,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MARCEL NUTZ IN MATHEMATICS	55,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MATEI CIOCARIIE IN COMPUTER SCIENCE	55,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ROXANA GEAMBASU IN COMPUTEP SCIENCE	55,000

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10/31/2017

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ATTACHMENT 22

13-1623877

2016 FORM 990-PF

ALFRED P SLOAN FOUNDATION

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RECIPIENT NAME AND ADDRESS					
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR RYAN ABERNATHY IN OCEAN SCIENCES	55,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			TO BUILD ON THE MOMENTUM OF THE PREVIOUS AGE SMART EMPLOYER AWARDS TO RAISE AWARENESS OF EMPLOYERS ABOUT THE VALUE OF AN AGE-DIVERSE WORKFORCE AND EFFECTIVE STRATEGIES TO RECRUIT, ENGAGE, AND RETAIN OLDER WORKERS	449,944
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			TO ENCOURAGE THE NEXT GENERATION OF FILMMAKERS TO WRITE SCREENPLAYS AND PRODUCE SHORT FILMS ABOUT SCIENCE AND TECHNOLOGY THROUGH ENHANCED RESEARCH, MENTORSHIP, AND AWARD OPPORTUNITIES	97,541
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			TO IMPROVE THE TRAINING OF ENERGY JOURNALISTS THROUGH AN INTRODUCTION TO HIGH QUALITY RESEARCH IN ENERGY ECONOMICS, GEOPOLITICS, AND INNOVATION	200,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			TO INVESTIGATE HOW MENTAL STIMULATION THROUGH DIFFERENT TYPES OF ACTIVITIES AFFECTS COGNITIVE PERFORMANCE IN LATER LIFE AND TO DETERMINE THE UNIQUE AND OVERLAPPING CONTRIBUTIONS OF THESE ACTIVITIES	225,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC			TO PROVIDE CONTINUING SUPPORT FOR THE CENTER ON GLOBAL ENERGY POLICY'S EXTERNAL SPEAKER SERIES AND ROUNDTABLE DISCUSSIONS TO INFORM DIALOGUE ABOUT CRITICAL ENERGY ISSUES	50,000

ATTACHMENT 22

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10/31/2017

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO PROVIDE WORKING JOURNALISTS WITH COHERENT, ACCESSIBLE CURRENT RESEARCH ON WORKING LONGER AS A CENTRAL STRATEGY TOWARD MAKING POPULATION AGING INTO AN OPPORTUNITY RATHER THAN AN INDIVIDUAL AND SOCIETAL CRISIS	156,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO SUPPORT THE WOMEN IN ENERGY PROGRAM AT THE CENTER ON GLOBAL ENERGY POLICY TO HELP INCREASE GENDER DIVERSITY AND FEMALE LEADERSHIP IN THE ENERGY SECTOR	98,400
COOLIDGE CORNER THEATRE FOUNDATION 290 HARVARD STREET BROOKLINE, MA 02446	NONE PC	TO SUPPORT THE EXPANSION OF COOLIDGE CORNER THEATRE'S SCIENCE ON SCREEN PROGRAM TO ART HOUSE CINEMAS NATIONWIDE	249,100
COOPER UNION FOR THE ADVANCEMENT OF SCIENCE AND ART 30 COOPER SQUARE, 8TH FLOOR NEW YORK, NY 10003	NONE PC	TO SUPPORT TWO SESSIONS OF THE COOPER UNION STEM SATURDAYS PROGRAM TO ENGAGE TALENTED AT-RISK NYC HIGH SCHOOL STUDENTS IN ENGINEERING ACTIVITIES	124,000
CORNELL UNIVERSITY 373 PINE TREE ROAD ITHACA, NY 14850-2820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DAVID MINO IN COMPUTER SCIENCE	55,000
CORNELL UNIVERSITY 373 PINE TREE ROAD ITHACA, NY 14850-2820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR YIMON AYE IN CHEMISTRY	55,000

76692K 700J

10/31/2017

11 53 12 AM

V 16-7E

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
ANDRECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNTCORNELL UNIVERSITY
373 PINE TREE ROAD
ITHACA, NY 14850-2820NONE
PCTO EXAMINE HOW DISINFECTANTS MAY PROMOTE
ANTIBIOTIC RESISTANCE THROUGH HORIZONTAL GENE
TRANSFER

125,000

CORNELL UNIVERSITY
373 PINE TREE ROAD
ITHACA, NY 14850-2820NONE
PCTO STUDY THE ECONOMICS OF SOCIALLY EFFICIENT
PROTOCOLS FOR MANAGING RESEARCH DATABASES
CONTAINING PRIVATE INFORMATION

300,000

CORNELL UNIVERSITY
373 PINE TREE ROAD
ITHACA, NY 14850-2820NONE
PCTO SUPPORT A WORKSHOP FOR RESEARCHERS AND
PRACTITIONERS ON HOW SOCIAL SCIENCE EXPERIMENTS
CAN SERVE THE PUBLIC INTEREST

19,967

CORNELL UNIVERSITY
373 PINE TREE ROAD
ITHACA, NY 14850-2820NONE
PCTO SUPPORT THE PLANNING AND TECHNICAL PROTOTYPING
OF THE NEXT GENERATION ARXIV PREPRINT SERVER

445,244

CORNELL UNIVERSITY
373 PINE TREE ROAD
ITHACA, NY 14850-2820NONE
PCTRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR TAPAN PARIKH IN COMPUTER
SCIENCE, ORIGINALLY AWARDED AT THE UNIVERSITY OF
CALIFORNIA, BERKELEY

44,423

COUNCIL FOR ECONOMIC EDUCATION
122 EAST 42ND STREET
NEW YORK, NY 10168NONE
PCTO PROMOTE ECONOMICS EDUCATION IN METROPOLITAN
NEW YORK HIGH SCHOOLS BY RECOGNIZING INNOVATIVE
TEACHERS, SPREADING SUCCESSFUL METHODS, AND
MOTIVATING DIVERSE STUDENTS

100,000

76692K 700J

10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COUNCIL FOR ECONOMIC EDUCATION 122 EAST 42ND STREET NEW YORK, NY 10168	NONE PC	TO PROMOTE ECONOMICS EDUCATION IN NEW YORK AREA SCHOOLS BY RECOGNIZING INNOVATIVE TEACHERS AND PROMOTING THEIR METHODS	62,980
COUNCIL FOR THE ADVANCEMENT OF SCIENCE WRITING, IN P O BOX 910 HEDGESVILLE, WV 25427	NONE PC	TO SPONSOR FOUR SESSIONS AT THE 2017 WORLD CONFERENCE OF SCIENCE JOURNALISTS TO ADVANCE THE EFFECTIVE USE OF TRADITIONAL, EMERGING AND INTERACTIVE MEDIA TO INFORM PUBLIC CONVERSATION ABOUT SCIENCE	25,000
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK, NY 10065	NONE PC	TO CONDUCT A PROGRAM OF RESEARCH AND PUBLICATION ON ENERGY, ECONOMICS, AND INTERNATIONAL SECURITY, ESPECIALLY RELATED TO OIL AND GAS	314,059
COUNCIL ON FOUNDATIONS, INC 2121 CRYSTAL DRIVE, SUITE 700 ARLINGTON, VA 22202	NONE PC	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	25,000
COUNCIL ON LIBRARY AND INFORMATION RESOURCES 1707 L STREET NW WASHINGTON, DC 20036-2124	NONE PC	TO SUPPORT DATA AND SOFTWARE CURATION POSTDOCTORAL FELLOWSHIPS, IN ORDER TO DEVELOP EMERGING LEADERS IN THE FIELD AND ENCOURAGE PERMANENT STAFFING SOLUTIONS WITHIN ACADEMIC LIBRARIES	173,753
CREATIVE VISIONS 18820 PACIFIC COAST HIGHWAY MALIBU, CA 90265	NONE PC	TO PRODUCE AN AMERICAN MASTERS' DOCUMENTARY FOR PBS ON THE REMARKABLE LIFE AND SCIENTIFIC ACHIEVEMENTS OF HOLLYWOOD ACTRESS HEDY LAMARR	200,000

76692K 700J 10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CUNY TV FOUNDATION 365 FIFTH AVENUE NEW YORK, NY 10016		NONE PC		TO CONTINUE PRODUCTION OF THE SERIES "SCIENCE GOES TO THE MOVIES" SO THERE ARE ENOUGH EPISODES TO INITIATE NATIONAL DISTRIBUTION	200,000
				TO PILOT CONTINUED SUSTAINABILITY MODELS FOR NOVEL MACHINE LEARNING AND ANALYTICAL SOLUTIONS TO REDUCE PEDESTRIAN DEATHS IN NEW YORK CITY AND OTHER US CITIES	100,000
DATAKIND 156 FIFTH AVENUE, SUITE 502 NEW YORK, NY 10010		NONE PC		SUPPORT FOR THE DIGITAL PUBLIC LIBRARY OF AMERICA TO COMPLETE ITS NATIONWIDE SERVICE HUB NETWORK AND TO PILOT AN EBOOKS DISTRIBUTION PROGRAM	500,000
DREXEL UNIVERSITY 3141 CHESTNUT ST PHILADELPHIA, PA 19104		NONE PC		TO DEVELOP REPLICABLE MODELS AND ASSESSMENT INSTRUMENTS FOR PROFESSIONAL ADVANCEMENT PROGRAMS TO INCREASE INSTITUTIONAL CAPACITY SUPPORTIVE OF ACADEMIC LEADERS FROM GROUPS UNDERREPRESENTED IN STEM	121,425
DUKE UNIVERSITY 124 SCIENCE DRIVE DUPHAM, NC 27701		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JENNY TUNG IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
DUKE UNIVERSITY 124 SCIENCE DRIVE DURHAM, NC 27701		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR QIU WANG IN CHEMISTRY	55,000

76692K 700J

10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 - (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

DUKE UNIVERSITY
124 SCIENCE DRIVE
DURHAM, NC 27701

NONE
PC

TO DEVELOP, TEST, DOCUMENT, AND RELEASE METHODS
FOR INCREASING DATA QUALITY AND DECREASING
DISCLOSURE RISK IN HOUSEHOLD DATASETS FOR PUBLIC
OR RESTRICTED USE

108,903

EMORY UNIVERSITY
201 DOWMAN DRIVE
ATLANTA, GA 30322

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
FRANCESCO EVANGELISTA IN CHEMISTRY

55,000

EMORY UNIVERSITY
201 DOWMAN DRIVE
ATLANTA, GA 30322

NONE
PC

TO SUPPORT A NATIONAL WORKSHOP ON THE
MICROBIOLOGY OF LEGIONELLA IN THE BUILT
ENVIRONMENT

124,617

ENSEMBLE STUDIO THEATRE, INC
549 WEST 52ND STREET
NEW YORK, NY 10019

NONE
PC

TO COMMISSION, DEVELOP, PRODUCE AND DISSEMINATE
NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE
COUNTRY

600,000

ENVIRONMENTAL DEFENSE FUND INC
257 PARK AVENUE SOUTH
NEW YORK, NY 10010

NONE
PC

TO UNDERTAKE EXPLORATORY PILOT RESEARCH PROJECTS
EXAMINING THE ENVIRONMENTAL IMPACTS OF SHALE OIL
AND GAS DEVELOPMENT THAT INCLUDE THE DEVELOPMENT
OF IMPROVED WASTEWATER CHARACTERIZATION
TECHNIQUES AND BIOLOGICAL TREATMENT
METHODOLOGIES

200,000

ENVIRONMENTAL LAW INSTITUTE
1730 M STREET, NW
WASHINGTON, DC 20036

NONE
PC

TO ORGANIZE A WORKSHOP THAT WILL HELP INCREASE
UNDERSTANDING OF THE ENERGY AND ENVIRONMENTAL
IMPLICATIONS OF THE SHARING AND DIGITAL ECONOMY

20,000

76692K 700J

10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FILM INDEPENDENT, INC 9911 W PICO BOULEVARD, 11TH FLOOR LOS ANGELES, CA 90035	NONE PC	TO PROVIDE DIRECT SUPPORT TO DEVELOP SCIENCE AND TECHNOLOGY SCRIPTS THROUGH A PRODUCER'S LAB AND FAST TRACK FILM FINANCING AND TO START A FUND TO INCENTIVIZE DISTRIBUTION OF COMPLETED SLOAN FILMS AND OTHER SCIENCE-WORTHY FEATURES	125,000
FILMMAKERS COLLABORATIVE 6 EASTMAN PLACE MELROSE, MA 02176	NONE PC	TO SUPPORT THE DEVELOPMENT OF "FRONTIERS," A NEW TELEVISION SHOW THAT PROFILES SCIENTISTS AS EXPLORERS CONDUCTING RESEARCH ACROSS THE GLOBE	100,000
FORCE11 4322 HORTENSIA STREET SAN DIEGO, CA 92103	NONE PC	TO PARTIALLY SUPPORT THE 2016 FUTURE OF RESEARCH COMMUNICATION AND ESCHOLARSHIP MEETING	20,000
FOUNDATION CENTER 32 OLD SLIP, 24TH FLOOR NEW YORK, NY 10005-3500	NONE PC	TO SUPPORT WOPK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	75,000
EPF EDUCATION AND INNOVATION FOUNDATION 1400 EYE STREET NW WASHINGTON, DC 20005	NONE PC	TO SURVEY CORPORATIONS ABOUT BEST PRACTICES FOR SHARING THEIR ADMINISTRATIVE DATA WITH ACADEMIC RESEARCHERS	125,000
FUND FOR PUBLIC HEALTH IN NEW YORK, INC 22 CORTLANDT STREET, SUITE 1103 NEW YORK, NY 10007-3160	NONE PC	TO EVALUATE AND VALIDATE THE USE OF SOCIAL MEDIA FOR FOODBORNE OUTBREAK DETECTION	300,000

ATTACHMENT 22

0170665-00002

V 16-7F

11 53 12 AM

10/31/2017

76692K 700J

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS NEW YORK, NY 10013	NONE PC		TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC SERVICE AWARDS PROGRAM	250,000
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS NEW YORK, NY 10013	NONE PC		TO PROVIDE RENEWED SUPPORT FOR THE SLOAN AWARDS FOR EXCELLENCE IN TEACHING MATHEMATICS AND SCIENCE IN NEW YORK CITY PUBLIC HIGH SCHOOLS	285,000
GENETICS SOCIETY OF AMERICA 9650 ROCKVILLE PIKE BETHESDA, MD 20814	NONE PC		TO PROVIDE PARTIAL TRAVEL AWARDS TO 20 URM DOCTORAL STUDENTS IN GENETICS TO PARTICIPATE IN THE ALLIED GENETICS CONFERENCE, JULY 13-17 IN ORLANDO, FL	10,000
GEORGE MASON UNIVERSITY 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	NONE GOV		TO SUPPORT OUTREACH FOR AND ADOPTION OF PFESSFORWARD, A SOFTWARE PLATFORM FOR THE EDITORIAL CURATION OF ONLINE SCHOLARLY RESEARCH PRODUCTS	204,613
GEORGETOWN UNIVERSITY 37TH & O STREET, NW WASHINGTON, DC 20057	NONE PC		TO BUILD COMMUNITY AND CONSENSUS AMONG ADMINISTRATIVE DATA RESEARCH FACILITIES BY SERVING AS A NETWORK HUB AND CONVENER	350,000
GEORGIA INSTITUTE OF TECHNOLOGY 837 STATE STREET ATLANTA, GA 30332	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ZAHER HANI IN MATHEMATICS	55,000

ATTACHMENT 22

0170665-00002

V 16-7F

11 53 12 AM

10/31/2017

76692K 700J

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GEORGIA INSTITUTE OF TECHNOLOGY 837 STATE STREET ATLANTA, GA 30332	NONE PC		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JENNIFER HOM IN MATHEMATICS, ORIGINALLY AWARDED AT COLUMBIA UNIVERSITY	48,695
GORDON RESEARCH CONFERENCES GORDON RESEARCH CENTER WEST KINGSTON, RI 02892	NONE PC		TO SUPPORT PARTIAL TRAVEL AND FEES FOR 27 UNDERREPRESENTED PARTICIPANTS TO ATTEND THE GORDON RESEARCH CONFERENCE (GRC) ON UNDERGRADUATE BIOLOGY EDUCATION RESEARCH (UBER) FOCUSED ON IMPROVING DIVERSITY, EQUITY, AND LEARNING	20,000
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 3939 CAMPBELL AVE ARLINGTON, VA 22206	NONE PC		TO CONTINUE WEEKLY BROADCAST OF PAUL SOLMAN'S ECONOMIC AND BUSINESS COVERAGE MAKING SENSE ON PBS NEWSHOUR AND TO SUPPORT ONLINE, SOCIAL MEDIA, AND DIGITAL CONTENT AND AUDIENCES	600,000
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 3939 CAMPBELL AVE ARLINGTON, VA 22206	NONE PC		TO INCREASE COVERAGE OF THE NEWSHOUR'S MAKING SENSE PROGRAM BY ONE-THIRD AND MAKE IT A WEEKLY BROADCAST WITH A DESIGNATED REGULAR TIME SLOT	100,000
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 3939 CAMPBELL AVE ARLINGTON, VA 22206	NONE PC		TO SUPPORT THE SCIENTIFIC, TECHNOLOGICAL AND ENGINEERING COMPONENT OF A SIX-PART PUBLIC TELEVISION SERIES ON THE HISTORY OF AFRICA, PRESENTED BY HENRY LOUIS GATES, JR	525,000
GUIDESTAR USA, INC 4801 COURTHOUSE STREET, SUITE 220 WILLIAMSBURG, VA 23188	NONE PC		TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	10,000

76692K 700J

10/31/2017

11 53 12 AM

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0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DEMBA BA IN NEUROSCIENCE	55,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MELISSA DELL IN ECONOMICS	55,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NATHANIEL HENDREN IN ECONOMICS	55,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR SHMUEL RUBINSTEIN IN PHYSICS	55,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO ADVANCE KNOWLEDGE ON HOW HUMAN CAPITAL DEPRECIATING INNOVATION AFFECTS OLDER WORKERS AND ON THE ROLE OF TRAINING IN MODIFYING THOSE EFFECTS	100,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO COMPILE, EDIT, AND WORKSHOP THE FIRST HANDBOOK IN BEHAVIORAL ECONOMICS	19,460

76692K 700J

10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO CONDUCT RESEARCH AND ORGANIZE A CONFERENCE EXAMINING THE RISKS AND BENEFITS OF SOLAR GEOENGINEERING RESEARCH	90,070
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO DELIVER AN INTERDISCIPLINARY, POSTDOCTORAL TRAINING PROGRAM ON AGING AND WORK THAT ADDRESSES THE CHALLENGES OF AGING SOCIETIES AND LABOR FORCE PARTICIPATION	200,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO DETERMINE THE METABOLIC ACTIVITY OF HOST- AND ENVIRONMENTALLY-DERIVED MICROBES IN THE PUBLIC TRANSPORTATION MICROBIOME	249,999
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO DEVELOP BEHAVIORALLY INFORMED VERSIONS OF BASIC MACROECONOMIC MODELS	108,896
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO FACILITATE SOCIAL SCIENCE RESEARCH ON LARGE-SCALE DATASETS BY EXPANDING THE CAPABILITIES OF DATAVERSE REPOSITORY SOFTWARE	351,941
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC		TO IMPROVE THE UNDERSTANDING OF THE AVAILABILITY AND IMPORTANCE OF DIFFERENT PECUNIARY AND NONPECUNIARY JOB CHARACTERISTICS FOR OLDER WORKERS AND THEIR EFFECTS ON OLDER WORKER LABOR OUTCOMES	356,436

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO INCREASE BOTH THE NUMBER OF MINORITY STUDENTS ENTERING TOP PH D PROGRAMS IN ECONOMICS AND ECONOMICS-RELATED FIELDS AND THE DIVERSITY OF THE ECONOMICS FACULTIES AND WORK FORCE	350,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO PROMOTE INTERDEPARTMENTAL, INTERGOVERNMENTAL, AND INTERNATIONAL COOPERATION ON POLICY-RELEVANT RESEARCH BY BEHAVIORAL SCIENTISTS	341,578
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO STRENGTHEN A NEW POSTDOCTORAL PROGRAM FOR INTERDISCIPLINARY WORK ON DATA SCIENCE BY INCLUDING A POSITION FOR A QUANTITATIVE SOCIAL SCIENTIST	124,994
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO SUPPORT THE SECOND ANNUAL CONFERENCE ON BIG DATA AT THE HARVARD CENTER FOR MATHEMATICAL SCIENCES AND APPLICATIONS	20,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO SUPPORT WORKSHOPS ON THE CREATION OF STANDARDS FOR ENTERING TEMPORAL DATA INTO TIMELINE VISUALIZATION TOOLS	19,840
HYPOTHESIS PROJECT 2261 MARKET STREET, #632 SAN FRANCISCO, CA 94114	NONE PC	TO ESTABLISH SUSTAINABLE BUSINESS MODELS FOR THE HYPOTHESIS IS WEB ANNOTATION PLATFORM	394,465

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10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT AND	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INDIANA UNIVERSITY 150 SOUTH WOODLAWN BLOOMINGTON, IN 47405	NONE PC	TO CONDUCT PUBLIC PERCEPTION SURVEYS AND PUBLIC FINANCE RESEARCH ON THE SITING OF ENERGY INFRASTRUCTURE	150,000
INSTITUT DE PHYSIQUE DU GLOBE DE PARIS 1 RUE JUSSIEU PARIS FRANCE 75238	NONE NC	TO CREATE AND LEAD "TASK FORCE 2020" TO CONSIDER POSSIBLE FUTURES FOR THE DEEP CARBON OBSERVATORY AFTER ITS FIRST DECADE	200,000
INSTITUTE FOR THE FUTURE 201 HAMILTON AVE PALO ALTO, CA 94301	NONE PC	TO LAUNCH FOR FUTURE REFERENCE, A 10-EPISEODE PODCAST SERIES ON CONSUMER UNDERSTANDING OF THE LATEST ADVANCES IN SCIENCE AND TECHNOLOGY	35,000
INSTITUTE OF INTERNATIONAL EDUCATION 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	NONE PC	TO PROVIDE LIFE-SAVING FELLOWSHIPS AND ACADEMIC PLACEMENTS FOR PERSECUTED SCHOLARS FROM AROUND THE WORLD	250,000
INTERNATIONAL ENERGY PROGRAM EVALUATION CONFERENCE 85 MONOMESSAT WAY CHATHAM, MA 02633	NONE PC	TO CONTINUE IN ACCELERATING AND ADVANCING THE PROFESSION OF ENERGY EVALUATION BY ENABLING GRADUATE STUDENTS TO ATTEND THE 2016 IEPPEC CONFERENCE AT NO CHARGE	20,000
JOEL N. SHURKIN 2503 MANHATTAN AVENUE BALTIMORE, MD 21215	NONE I	TO SUPPORT A BOOK ON THE PHYSICIST PICHARD CARWIN WHO DESIGNED THE HYDROGEN BOMB THAT EXPLORES THE RELATIONSHIP BETWEEN SCIENCE AND GOVERNMENT	46,900

ATTACHMENT 22

0170665-00002

V 16-7F

11 53 12 AM

10/31/2017

76692K 700J

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21218	NONE PC	TO PARTIALLY SUPPORT THE 2016 IEEE ESCIENCE CONFERENCE	10,000
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21218	NONE PC	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CHRISTOPHER HONEY III NEUROSCIENCE, ORIGINALLY AWARDED AT THE UNIVERSITY OF TORONTO	55,000
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21218	NONE PC	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MICHAEL SCHATZ IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	50,000
L A THEATRE WORKS 681 VENICE BOULEVARD VENICE, CA 90291	NONE PC	TO RECORD FOUR NEW SCIENCE-THEMED SLOAN PLAYS FOR PUBLIC RADIO BROADCAST AND ONLINE STREAMING, TO DEVELOP NEW DIGITAL PRODUCTS BASED ON PREVIOUS PLAY RECORDINGS TO MAXIMIZE VISIBILITY AND ACCESS TO ALL SCIENCE PLAYS FOR THE PUBLIC, FOR LIBRARIES AND FOR SCHOOLS	125,000
LOYOLA UNIVERSITY CHICAGO 6525 N SHERIDAN ROAD CHICAGO, IL 60626	NONE PC	TO CATALOGUE THE USE OF DATASETS AND METHODOLOGIES IN EMPIRICAL ECONOMIC RESEARCH PUBLICATIONS	94,875
MANHATTAN ACTION FUND 1 CENTRE STREET NEW YORK, NY 10007	NONE PC	TO SUPPORT UP WITH AGING, A HEALTHY BRAIN AGING FAIR DESIGNED TO INVIGORATE THE LIVES OF OLDER ADULTS AND ALTER THE NEGATIVE ATTITUDES TOWARD AGING THAT ARE COMMON AMONG OLDER ADULTS	20,000

ATTACHMENT 22

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10/31/2017

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13-1623877

2016 FORM 990-PF

ALFRED P SLOAN FOUNDATION

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT-D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036		NONE PC		TO SUPPORT THE MTC/SLOAN INITIATIVE COMMISSIONING, DEVELOPING, AND PRODUCING NEW SCIENCE AND TECHNOLOGY PLAYS	200,000
MARINE BIOLOGICAL LABORATORY 7 MBL ST WOODS HOLE, MA 02543		NONE PC		TO CONTINUE TO LEAD AND COORDINATE THE ACTIVITIES OF THE DEEP LIFE COMMUNITY OF THE DEEP CARBON OBSERVATORY	600,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ALEKSANDER MADRY IN COMPUTER SCIENCE	55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ANKUR MOTTRA IN COMPUTER SCIENCE	55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR GENE-WEI LI IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MARK VOGELSBERGER IN PHYSICS	55,000

ATTACHMENT 22

0170665-00002

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10/31/2017

76692K 700J

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION		AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR OTTO CORDERO IN OCEAN SCIENCES		55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR R SCOTT KEMP IN PHYSICS		55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR VADIM GORIN IN MATHEMATICS		55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR WILL TISDALE IN CHEMISTRY		55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR YEN-JIE LEE IN PHYSICS		55,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR YOGESH SURENDRANATH IN CHEMISTRY		55,000

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10/31/2017

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ATTACHMENT 22

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 - (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO CONDUCT AN ASSESSMENT OF THE OPPORTUNITIES AND CHALLENGES ASSOCIATED WITH THE FUTURE OF NEXT GENERATION NUCLEAR ENERGY TECHNOLOGIES	400,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO CONTINUE CORE SUPPORT FOR A RESEARCH NETWORK THAT PROMOTES THE RIGOROUS EMPIRICAL STUDY OF ECONOMIC ISSUES IN NORTH AMERICA	1,000,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO DEVELOP GENOMIC ASSAYS TARGETED AT THE HUMAN-ASSOCIATED MICROBIOME THAT CAN BE USED TO MONITOR BIOLOGICAL SAFETY, ENABLING POTABLE REUSE OF WASTEWATER	120,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO DEVELOP TOOLS THAT ARE COMPUTATIONALLY, ADMINISTRATIVELY, AND LEGALLY PRACTICAL FOR CONDUCTING PRIVACY PRESERVING RESEARCH ON SOCIAL SCIENCE DATASETS	200,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO IMPROVE THE CREDIBILITY OF EMPIRICAL ECONOMICS BY TURNING BEST-PRACTICES FOR RESEARCH TRANSPARENCY INTO COMMON PRACTICE FOR RESEARCH PRACTITIONERS	185,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO SUPPORT THE GROWTH OF NINE NEW SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES ACROSS THE COUNTRY WITH SMALL RESOURCE BASES	175,768

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV--GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
MATHEMATICAL SCIENCES PUBLISHERS 798 EVANS HALL, #3840 BERKELEY, CA 94720	NONE PC		TO ENABLE A PEER-REVIEWED JOURNAL FOR UNDERGRADUATE RESEARCH IN MATHEMATICS (INVOLVE) TO INCREASE ITS SUBSCRIPTIONS AND ENSURE ITS LONGEVITY	50,000
MATHEMATICAL SCIENCES RESEARCH INSTITUTE 17 GAUSS WAY BERKELEY, CA 94720-5070	NONE PC		TO INCREASE THE NUMBER OF UNDERREPRESENTED MINORITIES IN GRADUATE PROGRAMS IN MATHEMATICS	125,000
MATHEMATICAL SCIENCES RESEARCH INSTITUTE 17 GAUSS WAY BERKELEY, CA 94720-5070	NONE PC		TO INFORM GRADUATE STUDENTS ABOUT MATHEMATICAL THEORIES, APPLICATIONS, AND OPPORTUNITIES ASSOCIATED WITH HIGH DIMENSIONAL DATA ANALYSIS BY HOLDING A TWO-WEEK SUMMER WORKSHOP	124,982
MATHEMATICAL SCIENCES RESEARCH INSTITUTE 17 GAUSS WAY BERKELEY, CA 94720-5070	NONE PC		TO SUPPORT A NATIONAL FESTIVAL THAT INCREASES THE APPRECIATION OF MATHEMATICS AND MATHEMATICAL RESEARCH	124,982
MICHIGAN STATE UNIVERSITY 619 RED CEDAR ROAD EAST LANSING, MI 48824	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KENDALL MAHN IN PHYSICS	55,000
MICHIGAN STATE UNIVERSITY 619 RED CEDAR ROAD EAST LANSING, MI 48824	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MATTHEW HIPN IN MATHEMATICS	55,000

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10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

MICHIGAN STATE UNIVERSITY
619 RED CEDAR ROAD
EAST LANSING, MI 48824

NONE
PC

TO ADVANCE OUR UNDERSTANDING OF HOW
ESTABLISHMENTS RESPOND TO CHANGES IN PENSIONABLE
AGES IMPLEMENTED THROUGH PUBLIC PENSION REFORM
AND PHASED OVER A 13-YEAR PERIOD

238,572

MICHIGAN STATE UNIVERSITY
619 RED CEDAR ROAD
EAST LANSING, MI 48824

NONE
PC

TO SUPPORT JEAN PIERRE NSHIMIYIMANA IN STUDYING
THE ROLE OF THE VIROME IN THE MICROBIOLOGICAL
STABILITY OF THE AQUATIC BUILT ENVIRONMENT

120,000

MISSOURI UNIVERSITY OF SCIENCE AND TECHNOLOGY
500 WEST 15TH STREET, 325 COMPUTER SCIENCE BLDG
ROLLA, MO 65409

NONE
GOV

TO SUPPORT AN INDOOR CHEMISTRY MODELING WORKSHOP

55,553

MOUNT SINAI SCHOOL OF MEDICINE
ONE GUSTAV L LEVY PLACE
NEW YORK, NY 10029

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR IAN
MAZE IN NEUROSCIENCE

55,000

MOZILLA FOUNDATION
331 E EVELYN AVENUE
MOUNTAIN VIEW, CA 94041

NONE
PC

TO INCREASE OPEN SOURCE PROJECT AND COMMUNITY
MANAGEMENT CAPACITY AND BUILD COMMUNITY AMONG
SCIENTIFIC SOFTWARE DEVELOPERS

300,000

MYCOLOGICAL SOCIETY OF AMERICA
P O BOX 1897
LAWRENCE, KS 66044-8897

NONE
PC

TO HIGHLIGHT ONGOING STUDIES OF FUNGI IN THE
BUILT ENVIRONMENT AND INCREASE UNDERSTANDING OF
FUNDAMENTAL PROCESSES THAT INFLUENCE FUNGAL
COMMUNITIES AT THE 2016 ANNUAL MYCOLOGICAL
SOCIETY OF AMERICA MEETING

28,500

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10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO CONDUCT AN INDEPENDENT MANAGEMENT STUDY OF PROCESSES, PORTFOLIOS, AND PROGRAMS AT THE NATIONAL ACADEMY OF SCIENCES	301,470
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO DISSEMINATE THE RESULTS OF A STUDY ASSESSING APPROACHES TO UPDATE THE ESTIMATE OF THE SOCIAL COST OF CARBON	40,000
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO PROVIDE PARTIAL SUPPORT FOR A CONSENSUS STUDY ON THE MANAGEMENT OF LEGIONELLA IN WATER SYSTEMS	75,000
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO PROVIDE PARTIAL SUPPORT FOR A STUDY TO GATHER, ANALYZE, AND DISSEMINATE THE EVIDENCE ON THE MOST PROMISING RETENTION AND COMPLETION STRATEGIES AND PRACTICES AT US MINORITY-SERVING INSTITUTIONS (MSIS)	110,000
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO REPORT ON THE SCIENCE AND PRACTICE OF LEARNING BY REVISING AND EXTENDING THE BOOK HOW PEOPLE LEARN	70,000
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE PC	TO SUSTAIN AND STRENGTHEN THE SCIENCE & ENTERTAINMENT EXCHANGE AND THE ROLE OF SCIENCE IN HOLLYWOOD AND PROVIDE SUPPORT AND EXPOSURE FOR THE SLOAN FILM PROGRAM	200,000

76692K 700J

10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT. D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO CONTINUE MANAGING THE SLOAN FOUNDATION'S
MINORITY GRADUATE SCHOLARSHIP PROGRAMS FOR AN
ADDITIONAL THREE YEARS

174,737

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO COVER EXPECTED OBLIGATIONS TO BE INCURRED BY
NACME TO PROVIDE PHASE 1 TRANSITIONAL SUPPORT FOR
THE SLOAN MPH D PROGRAM AS CAMEUS PROGRAMS COMPETE
FOR NEW MULTI-YEAR GRANTS FOR UNIVERSITY CENTERS
OF EXEMPLARY MENTORING OR PROGRAMS OF EXEMPLARY
MENTORING

700,000

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO FUND OBLIGATIONS IN THE MINORITY PH D PROGRAM
AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP FOR
THE COHORT NAMED FROM JULY 1, 2012 TO JULY 1,
2013

14,800

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO PROVIDE FOR THREE AWARDS TO NEW UNIVERSITY
CENTERS OF EXEMPLARY MENTORING (UCEMS) IN THE
SLOAN MINORITY PH D PROGRAM

700,000

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO PROVIDE SCHOLARSHIP FUNDS FOR THE SLOAN
INDIGENOUS GRADUATE PARTNERSHIP (SICP) FOR THREE
YEARS, TO BE MANAGED BY THE NATIONAL ACTION
COUNCIL FOR MINORITIES IN ENGINEERING

671,038

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER
ONE NORTH BROADWAY
WHITE PLAINS, NY 10601

NONE
PC

TO SUPPORT THE ALFRED P SLOAN MINORITY PH D
PROGRAM (MPHD) THROUGH PHASE 1 RENEWAL GRANTS FOR
UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)
AT CORNELL UNIVERSITY, GEORGIA INSTITUTE OF
TECHNOLOGY, AND THE PENNSYLVANIA STATE
UNIVERSITY

800,000

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10/31/2017

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0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION		AMOUNT
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER ONE NORTH BROADWAY WHITE PLAINS, NY 10601	NONE			TO SUPPORT THE SLOAN MINORITY PH D PROGRAM FOR PHASE 2 TRANSITION AWARDS FOR NEW UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS) AND PROGRAMS IN EXEMPLARY MENTORING (PEMS)		72,500
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE			TO ADVANCE KNOWLEDGE ABOUT THE ECONOMIC EFFICIENCY AND DISTRIBUTIONAL EQUITY TRADEOFFS ASSOCIATED WITH ENERGY POLICY INTERVENTIONS IN THE UNITED STATES		155,000
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE			TO ADVANCE UNDERSTANDING OF HOUSEHOLD FINANCIAL BEHAVIOR AND POLICY		117,550
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE			TO CONDUCT A RANDOMIZED CONTROLLED TRIAL TO STUDY HOW PEOPLE RESPOND TO AND VALUE INFORMATION ABOUT THEIR DRIVING HABITS		150,000
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE			TO ENABLE ACADEMIC RESEARCH ON NIELSEN'S COMMERCIAL DATA ABOUT WHAT CONSUMERS WATCH AND BUY		115,000
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE			TO LAUNCH AN ACTIVE AND DIVERSE STUDY GROUP ON BEHAVIORAL MACROECONOMICS		20,000

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10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO ORGANIZE AND SUPPORT RESEARCH ON THE ECONOMICS OF DIGITIZATION	200,000
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO STUDY INTERVENTIONS FOR INCREASING THE NUMBER OF UNDERGRADUATE WOMEN MAJORING IN ECONOMICS	150,104
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO SUPPORT A STUDENT CONFERENCE AS PART OF THE UNDERGRADUATE WOMEN IN ECONOMICS CHALLENGE	16,140
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO SUPPORT DISSERTATION-STAGE RESEARCH BY ECONOMICS DOCTORAL STUDENTS WORKING ON A RANGE OF LABOR MARKET ISSUES RELATED TO AN AGING POPULATION	300,000
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO SUPPORT PREDOCTORAL RESEARCH AND TRAINING FELLOWSHIPS IN ENERGY ECONOMICS	224,500
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO SUPPORT THE NBER SUMMER INSTITUTE	260,820

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO SUPPORT WORKSHOPS FOR PLANNING DATA COLLECTION AND CAUSAL RESEARCH ABOUT SCIENTIFIC FELLOWSHIPS AND CAREERS	68,531
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC		TO UNDERTAKE ECONOMIC RESEARCH ON ENERGY INFRASTRUCTURE, WITH A FOCUS ON HYDROCARBON TRANSPORT AND LOCAL ENERGY DISTRIBUTION	200,000
NATIONAL INFORMATION STANDARDS ORGANIZATION 3600 CLIPPER MILL ROAD BALTIMORE, MD 21211	NONE PC		TO PARTIALLY SUPPORT A JOINT INTERNATIONAL RDA-IISO WORKING GROUP AND PUBLIC SYMPOSIUM ON THE PRIVACY IMPLICATIONS OF RESEARCH DATA	48,940
NATIONAL PUBLIC RADIO, INC 1111 NORTH CAPITOL STREET, NE WASHINGTON, DC 20002	NONE PC		TO SUPPORT PLANET MONEY'S COVERAGE OF ECONOMICS VIA MULTIMEDIA JOURNALISM AND ENTERPRISE RADIO REPORTING	250,000
NATIONAL SCIENCE COMMUNICATION INSTITUTE 2320 N 137TH ST SEATTLE, WA 98133	NONE PC		TO PARTIALLY SUPPORT THE INAUGURAL MEETING OF THE OPEN SCHOLARSHIP INITIATIVE	20,000
NEW VENTURE FUND 1201 CONNECTICUT AVE NW WASHINGTON, DC 20036	NONE PC		TO ENCOURAGE CHARITABLE GIVING IN SUPPORT OF BASIC SCIENTIFIC RESEARCH THROUGH SLOAN MEMBERSHIP IN THE SCIENCE PHILANTHROPY ALLIANCE	203,000

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10/31/2017

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0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BOULEVARD BRONX, NY 10458-5126		NONE PC		AS SUPPORT FOR THE NEW YORK BOTANICAL GARDEN TO DIGITIZE 20,000 PLANT SPECIES RECORDS AND TO BECOME A MAJOR CONTENT HUB FOR THE DIGITAL PUBLIC LIBRARY OF AMERICA (DPLA), WHILE CO-HEADING WORLD FLORA ONLINE, THE FIRST COMPLETE ONLINE SCIENTIFIC RESOURCE FOR ALL EARTH'S 350,000 PLANT SPECIES	255,244
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013		NONE PC		FOR PRODUCTION AND ENHANCED DISTRIBUTION OF RADIOLAB, AN INNOVATIVE AND POPULAR SCIENCE-THEMED RADIO SHOW, VIA MULTIPLE PLATFORMS	250,000
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013		NONE PC		FOR PRODUCTION AND ENHANCED DISTRIBUTION OF RADIOLAB, AN INNOVATIVE POPULAR SCIENCE-THEMED RADIO SHOW, VIA MULTIPLE PLATFORMS	150,000
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013		NONE PC		TO SUPPORT THE PRODUCTION AND DISTRIBUTION OF SCIENCE AND TECHNOLOGY COVERAGE ON STUDIO 360, AN AWARD-WINNING ARTS AND CULTURE SHOW	250,000
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013		NONE PC		TO SUPPORT HEALTH CARE REPORTING AT WNYC WITH A FOCUS ON THE ECONOMICS AND POLICY OF OUR HEALTHCARE SYSTEM AND THE IMPACT OF THE AFFORDABLE CARE ACT ON CONSUMERS IN NEW YORK	200,000
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KATHERINE NAGEL IN NEUROSCIENCE	55,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FOPX 990-PF

13-1623877

FORM-990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION		AMOUNT
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MARC GERSHON IN NEUROSCIENCE		55,000
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY		150,000
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS		250,000
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY, EMPOWERING RESEARCHERS TO BE VASTLY MORE EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS		500,000
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		TO CONDUCT A PILOT PROJECT TO DISCOVER PROTISTS IN THE PETS (CATS, DOGS) AND PESTS (RATS, MICE, COCKROACHES, PIGEONS) OF NEW YORK CITY		250,000
NEW YORK UNIVERSITY 6 WASHINGTON PL., RM 280 NEW YORK, NY 10003	NONE	PC		TO EVALUATE HOW CHANGES IN TAX AND BENEFIT POLICIES AND IN RETIREMENT SAVINGS POLICIES WOULD IMPACT WEALTH ACCUMULATION AND LABOR SUPPLY OF OLDER WORKERS		696,815

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003	NONE PC		TO EXAMINE THE MICROBIOMES OF HOMES ACROSS CULTURES	77,386
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003	NONE PC		TO IDENTIFY, MOTIVATE, AND NURTURE MATHEMATICAL TALENT THROUGH AFTER-SCHOOL ACTIVITIES IN NEW YORK CITY'S UNDERSERVED NEIGHBORHOODS	242,316
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003	NONE PC		TO MODEL HOW THE CAPITALIZATION AND REGULATION OF FINANCIAL INSTITUTIONS INTERACT WITH THE MACROECONOMY	200,000
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003	NONE PC		TO PARTIALLY SUPPORT AN INTERNATIONAL SYMPOSIUM ON THE FUTURE OF ARTIFICIAL INTELLIGENCE RESEARCH AND ITS IMPACT ON SOCIETY	100,000
NORTH CAROLINA STATE UNIVERSITY 2701 SULLIVAN DRIVE RALEIGH, NC 27695	NONE GOV		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ZHEN GU IN CHEMISTRY	55,000
NORTH CAROLINA STATE UNIVERSITY 2701 SULLIVAN DRIVE RALEIGH, NC 27695	NONE GOV		TO PROVIDE A COMPREHENSIVE ANALYSIS OF PUBLIC EMPLOYEES' TRANSITION BETWEEN CAREER EMPLOYMENT AND FULL RETIREMENT	176,568

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10/31/2017

11 53 12 AM

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
NORTHERN ARIZONA UNIVERSITY 617 S BEAVER ST FLAGSTAFF, AZ 86011	NONE PC		TO DEVELOP AN INTERACTIVE TEXT THAT INTRODUCES READERS TO THE CORE CONCEPTS AND ALGORITHMS OF BIOINFORMATICS IN THE CONTEXT OF THEIR IMPLEMENTATION AND APPLICATION TO REAL-WORLD PROBLEMS	100,000
NORTHWESTERN UNIVERSITY 2033 SHERIDAN ROAD EVANSTON, IL 60208-2730	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DAVID HARRIS IN CHEMISTRY	55,000
NORTHWESTERN UNIVERSITY 2033 SHERIDAN ROAD EVANSTON, IL 60208-2730	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JAMES RONDINELLI IN PHYSICS	55,000
NORTHWESTERN UNIVERSITY 2033 SHERIDAN ROAD EVANSTON, IL 60208-2730	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MAR REGUANT IN ECONOMICS	55,000
NORTHWESTERN UNIVERSITY 2033 SHERIDAN ROAD EVANSTON, IL 60208-2730	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR YEYGENIA KOZOROVITSKIY IN NEUROSCIENCE	55,000
NORTHWESTERN UNIVERSITY 2033 SHERIDAN ROAD EVANSTON, IL 60208-2730	NONE PC		TO IMPROVE ESTIMATES OF HOW RESEARCH INVESTMENTS TRANSLATE INTO BREAKTHROUGHS BY SCIENTIFIC TEAMS, AND HOW SCIENTIFIC BREAKTHROUGHS TRANSLATE INTO EVENTUAL ECONOMIC GROWTH	80,000

ATTACHMENT 22

76692K 700J

10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
NUMFOCUS P O BOX 90596 AUSTIN, TX 78709	NONE PC		TO BUILD CAPACITY FOR BUSINESS PLANNING AND INDUSTRY ENGAGEMENT WITHIN NUMFOCUS	302,450
NUMFOCUS P O BOX 90596 AUSTIN, TX 78709	NONE PC		TO IMPROVE TEACHING AND RESEARCH IN QUANTITATIVE ECONOMICS BY DEVELOPING CODEBASES AND OTHER RESOURCES THAT ARE COMPELLING, OPEN, AND REPRODUCIBLE	400,000
NYC ARTS IN EDUCATION ROUNDTABLE TIMES SQUARE STATION NEW YORK, NY 10108-2094	NONE PC		FOR A PROFESSIONAL DEVELOPMENT EVENT FOR EDUCATORS THAT WILL EXPLORE HOW IMPROVISATION AFFECTS THE BRAIN	5,000
OFM RESEARCH 28430 NE 47TH PL REDMOND, WA 98053	NONE PC		TO INTEGRATE MODELING OF MELTS AND FLUIDS FOR THE 4D DEEP CARBON IN EARTH MODEL OF THE DEEP CARBON OBSERVATORY	331,064
OHIO STATE UNIVERSITY 270 BEVIS HALL COLUMBUS, OH 43210	NONE PC		TO EVALUATE MICROBIAL ACTIVITY IN HOUSE DUST AND INTERACTIONS WITH PHTHALATE ESTERS (PES)	31,104
OHIO STATE UNIVERSITY 270 BEVIS HALL COLUMBUS, OH 43210	NONE PC		TO SUPPORT A PILOT STUDY TO ESTABLISH METHODS AND FEASIBILITY FOR DETERMINING HOW DIURNAL VARIATION IN RELATIVE HUMIDITY AFFECTS MICROBIAL COMMUNITIES IN CARPET	50,142

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10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
OHIO STATE UNIVERSITY 270 BEVIS HALL COLUMBUS, OH 43210	NONE PC		TO SUPPORT THE MICROBIOLOGY OF THE BUILT ENVIRONMENT SYMPOSIUM AT THE NATIONAL COUNCIL FOR SCIENCE AND THE ENVIRONMENT 2017 CONFERENCE AND GLOBAL FORUM	19,987
OLD DOMINION UNIVERSITY RESEARCH FOUNDATION 4600 ELKHORN AVE NORFOLK, VA 23529	NONE SO-DR		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR SARA MAXWELL IN OCEAN SCIENCES	55,000
OPEN KNOWLEDGE FOUNDATION ST JOHN'S INNOVATION CENTRE CAMBRIDGE UNITED KINGDOM CB4 0WS	NONE NC		TO REDUCE FRICTION IN THE RESEARCH PROCESS THROUGH THE DEVELOPMENT AND BROAD IMPLEMENTATION OF A LIGHTWEIGHT STANDARD FOR PACKAGING DATA	250,000
OPEN SPACE INSTITUTE 1350 BROADWAY, 201 NEW YORK, NY 10018	NONE PC		TO CREATE PERMANENT SCIENTIFICALLY ACCUPATE INTERPRETIVE AND ORIENTATION EXHIBITS AT THE NEW VISITOR CENTER CURRENTLY UNDER CONSTRUCTION AT THE PARK	25,000
PECAN STREET, INC 3924 BERKMAN DRIVE AUSTIN, TX 78723	NONE PC		TO IMPROVE ITS DATAPORT SOFTWARE AND DATA VISUALIZATION, EXPAND AVAILABLE ENERGY DATA CONTENT, AND INCREASE ACADEMIC RESEARCHER USE OF THE DATABASE	250,000
PHILANTHROPY NEW YORK 1500 BROADWAY NEW YORK, NY 10036	NONE PC		TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	28,000

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT.D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PHOENIX BIOINFORMATICS 643 BAIR ISLAND RD REDWOOD CITY, CA 94063	NONE PC		TO FIRMLY ESTABLISH A NONPROFIT SUBSCRIPTION FUNDING MODEL AS A VIABLE OPTION FOR SUSTAINING RESEARCH REPOSITORIES	400,000
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BARBARA ENGELHARDT IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BENJAMIN MOLL IN ECONOMICS	55,000
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JOSE AVALOS IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MARIANGELA LISANTI IN PHYSICS	55,000
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC		TO DEVELOP AND TEST OPEN SOURCE SOFTWARE TO ENABLE AN OPEN REVIEW PROCESS FOR ACADEMIC BOOKS	20,000

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10/31/2017

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V 16-7F

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PRINCETON UNIVERSITY 35 OLDEN ST PRINCETON, NJ 08540	NONE PC	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ILYANA KUZIEKO IN ECONOMICS	50,000
PROPUBLICA 155 AVENUE OF THE AMERICAS NEW YORK, NY 10013	NONE PC	TO RESEARCH AND PUBLISH DATA-DRIVEN SYSTEMS INVESTIGATIONS OF THE MAJOR TRENDS, STRUCTURES AND PROGRAMS SHAPING THE LIVES OF AMERICANS AGE 60 FORWARD WITH AN EYE TO UNCOVERING WHERE THESE ARRANGEMENTS MIGHT LIMIT OPPORTUNITIES OR FAIL TO ADEQUATELY SERVE BOTH 60-PLUS INDIVIDUALS AND THE BROADER SOCIETY	125,000
PRX INCORPORATED 66 CHURCH STREET CAMBRIDGE, MA 02138	NONE PC	TO SUPPORT PRX IN A THREE-PRONGED APPROACH TO EXPAND SCIENCE-THEMED AUDIO CONTENT FOR RADIO BROADCAST, PODCAST, AND VIDEO	250,000
PURDUE UNIVERSITY 100 S GRANT ST WEST LAFAYETTE, IN 47907	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CHRISTOPHER UYEDA IN CHEMISTRY	55,000
PURDUE UNIVERSITY 100 S GRANT ST WEST LAFAYETTE, IN 47907	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DAVID GLEICH IN COMPUTER SCIENCE	55,000
PURDUE UNIVERSITY 100 S GRANT ST WEST LAFAYETTE, IN 47907	NONE PC	TO INCREASE THE NUMBER OF INDIGENOUS AMERICANS OBTAINING ADVANCED DEGREES IN STEM DISCIPLINES AND TO DEVELOP THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP (SIGP) AS A NATIONAL NETWORK	108,961

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10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RAND CORPORATION
1776 MAIN STREET

SANTA MONICA, CA 90407-2137

NONE
PC

125,000

TO ANALYZE HOW TECHNOLOGICAL CHANGE AFFECTED THE
RETIREMENT BEHAVIOR OF OLDER WORKERS IN THE LAST
THREE DECADES WITH A CASE STUDY OF
COMPUTERIZATION, ARGUABLY THE MOST IMPORTANT
TECHNOLOGICAL CHANGE OF OUR ERA

RAND CORPORATION
1776 MAIN STREET

SANTA MONICA, CA 90407-2137

NONE
PC

200,144

TO FIND OUT HOW LABOR FORCE PARTICIPATION AT
OLDER AGES HAS INCREASED EVEN AS SOME
DETERMINANTS OF PARTICIPATION HAVE WORSENERED, AND
WHETHER THE TREND TOWARDS WORKING AT OLDER AGES
IS LIKELY TO CONTINUE IN THE FUTURE, ESPECIALLY
IN VIEW OF ADVERSE TRENDS IN HEALTH

RAND CORPORATION
1776 MAIN STREET

SANTA MONICA, CA 90407-2137

NONE
PC

147,872

TO UNDERSTAND HOW JOINT RETIREMENT AND PARTIAL
RETIREMENT INTERACT

RENSSELAER POLYTECHNIC INSTITUTE
110 8TH STREET

TROY, NY 12180-3590

NONE
PC

500,000

TO CONTINUE TO LEAD THE DATA SCIENCE AND
MANAGEMENT DIMENSIONS OF THE DEEP CARBON
OBSERVATORY AND CONTRIBUTE TO PROGRAM SYNTHESIS

RENSSELAER POLYTECHNIC INSTITUTE
110 8TH STREET

TROY, NY 12180-3590

NONE
PC

16,275

TO PARTIALLY SPONSOR THE PUBLIC ACTIVITIES OF THE
2016 INTERNATIONAL DATA WEEK

RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW
230 WEST 41ST STREET
NEW YORK, NY 10036

NONE
PC

376,925

TO PROVIDE REHEMED SUPPORT TO ENCOURAGE PROMISING
EARLY CAREER SCIENTISTS AT BOTH STUDENT AND
FACULTY LEVELS THROUGH TWO AWARDS PROGRAMS A
SUMMER UNDERGRADUATE RESEARCH PROGRAM AND A
JUNIOR FACULTY RESEARCH AWARD PROGRAM

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990EE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO ASSESS CHANGES IN LOCAL PUBLIC FINANCE ISSUES IN KEY SHALE GAS AND OIL PRODUCING REGIONS	59,983
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO CONDUCT RESEARCH ON THE ECONOMICS OF TRANSPORTATION BY STUDYING CONSUMER DEMAND FOR NEW VEHICLE TECHNOLOGIES AND ALTERNATIVE FUEL VEHICLES	300,000
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO RESEARCH AND ESTIMATE THE MACROECONOMIC AND WEALTH TRANSFER EFFECTS OF UNANTICIPATED OIL SUPPLY DISRUPTIONS	124,169
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO SUPPORT DISSEMINATION OF RESEARCH TO POLICYMAKERS AND THE PUBLIC THROUGH THE SHARP POLICY ENGAGEMENT FUND	100,000
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO SUPPORT THE 2017 MOLLY K MACAULEY AWARD FOR RESEARCH INNOVATION AND ADVANCED ANALYTICS FOR POLICY	10,000
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC		TO TRAIN THE NEXT GENERATION OF RESEARCHERS AND PRACTITIONERS IN ENERGY AND ENVIRONMENTAL ECONOMICS AND POLICY BY LAUNCHING A POSTDOCTORAL RESEARCHER PROGRAM	104,800

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RESOURCES FOR THE FUTURE, INC 1616 P STREET, NW WASHINGTON, DC 20036-1400	NONE PC	TO UNDERSTAND THE BENEFITS AND COSTS OF SHALE GAS AND OIL DEVELOPMENT ON LOCAL COMMUNITIES	290,546
RICE UNIVERSITY 6100 MAIN STREET HOUSTON, TX 77005	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ADRIANNA GILLMAN IN MATHEMATICS	55,000
ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021-6399	NONE PC	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP CARBON OBSERVATORY PROGRAM INITIATED IN 2009	350,000
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 110 FRELINGHUYSEN ROAD PISCATAWAY, NJ 08854-8019	NONE PC	TO DEVELOP CONCEPTUAL AND EMPIRICAL FRAMEWORKS THAT ADVANCE THE STUDY OF STEM LABOR MARKETS	55,833
SAN FRANCISCO FILM SOCIETY 39 MESA STREET SAN FRANCISCO, CA 94129	NONE PC	TO NURTURE, DEVELOP, AND CHAMPION FILMS THAT EXPLORE SCIENTIFIC OR TECHNOLOGICAL THEMES AND CHARACTERS	200,000
SCIENCE FESTIVAL FOUNDATION 475 RIVERSIDE DRIVE NEW YORK, NY 10115	NONE PC	TO SUPPORT THE PRODUCTION AND EXECUTION OF THE ANNUAL WORLD SCIENCE FESTIVAL AND RELATED YEAR-ROUND LIVE AND DIGITAL ACTIVITIES IN 2016, 2017, AND 2018	350,000

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10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
SCIENCE FRIDAY INITIATIVE, INC 19 WEST 44TH STREET, SUITE 412 NEW YORK, NY 10036	NONE PC		TO SUPPORT SCIENCE FRIDAY, FOCUSING ON SCIENCE AND THE ARTS, INCLUDING RADIO BROADCASTS, DIGITAL SCIENCE VIDEOS, BLOG POSTS, AND ASSOCIATED MEDIA	228,500
SETH STEPHENS-DAVIDOWITZ 924 WEST END AVE NEW YORK, NY 10012	NONE I		TO SUPPORT THE RESEARCH AND WRITING OF NEEDLES AND HAYSTACKS THE SMART WAY TO USE NEW DATA, A BOOK ON THE WIDE-RANGING APPLICATIONS OF BIG DATA ANALYSIS	25,620
SIMON FRASER UNIVERSITY 8888 UNIVERSITY DRIVE BURNABY CANADA V5A 1S6	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR LEONID CHINDELEVITCH IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
SOCIAL SCIENCE RESEARCH COUNCIL 810 SEVENTH AVENUE NEW YORK, NY 10019	NONE PC		TO ESTABLISH THE STRUCTURE, GOVERNANCE, AND OFFERINGS OF THE SLOAN SCHOLARS MENTOR NETWORK FOR A THREE-YEAR CYCLE, WITH AN INITIAL TARGET AUDIENCE OF SLOAN SCHOLAR MPHD GRADUATES IN ACADEMIC CAREERS	320,000
SOUTHERN REGIONAL EDUCATION BOARD 592 10TH STREET, NW ATLANTA, GA 30318-5790	NONE PC		TO PROVIDE SLOAN SCHOLARS AND PROGRAM DIRECTORS IN ITS MINORITY PH D PROGRAM ACCESS AND SERVICES AT THE ANNUAL MEETINGS OF SREB'S INSTITUTE FOR TEACHING AND MENTORING	300,000
ST EDMUNDS COLLEGE, UNIVERSITY OF CAMBRIDGE MOUNT PLEASANT CAMBRIDGE UNITED KINGDOM CB3 0BN	NONE NC		TO WRITE THE FIRST HISTORY OF DEEP CARBON SCIENCE, A BOOK TITLED "CARBON FROM CRUST TO CORE."	55,000

76692K 700J

10/31/2017

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V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

ST JOSEPH HOSPITAL FOUNDATION
1100 WEST STEWART DRIVE
ORANGE, CA 92868

NONE
PC

TO SUPPORT THE WORK OF ST JOSEPH HOSPITAL
FOUNDATION IN MEMORY OF ROBERT LIN

2,500

STANFORD UNIVERSITY
450 SERRA MALL
STANFORD, CA 94305

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
GREGORY VALIANT IN COMPUTER SCIENCE

55,000

STANFORD UNIVERSITY
450 SERRA MALL
STANFORD, CA 94305

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
JONATHAN FAN IN PHYSICS

55,000

STANFORD UNIVERSITY
450 SERRA MALL
STANFORD, CA 94305

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
MICHAEL BERNSTEIN IN COMPUTER SCIENCE

55,000

STANFORD UNIVERSITY
450 SERRA MALL
STANFORD, CA 94305

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NOAH
GOODMAN IN NEUROSCIENCE

55,000

STANFORD UNIVERSITY
450 SERRA MALL
STANFORD, CA 94305

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
THOMAS CHURCH IN MATHEMATICS

55,000

76692K 700J

10/31/2017

11 53 12 AM

V 16-7F

0170665-00002

ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR WILLIAM CHUEH IN CHEMISTR	55,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO DEVELOP AND APPLY A FRAMEWORK THAT CLASSIFIES, ASSESSES, AND COMPARES THE EXPLICIT AND IMPLICIT SUBSIDIES PROVIDED FOR DIFFERENT ENERGY SOURCES	300,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO DEVELOP SERVICES THAT MODEL HOW ACCESS TO ADMINISTRATIVE DATA CAN FACILITATE RELIABLE, REPRODUCIBLE, AND GROUNDBREAKING RESEARCH IN ECONOMICS	702,433
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO ESTIMATE THE EFFECT OF THE VETERAN'S ADMINISTRATION DISABILITY COMPENSATION (DC) ENROLLMENT ON OLDER VETERANS' LABOR MARKET OUTCOMES	102,859
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO EXPLORE TO WHAT EXTENT HEALTH, JOB DEMANDS, AND JOB EXPOSURES DRIVE EARLY DISABILITY AND RETIREMENT EVENTS FOR WORKERS IN THE MANUFACTURING SECTOR	114,687
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO FOSTER MORE RESEARCH AND POLICY DISCUSSION ABOUT CHANGING LABOR MARKET INSTITUTIONS TO ACCOMMODATE INCREASED LONGEVITY THROUGH A CONFERENCE SERIES AND A POST-DOC/FIRST SABBATICAL PROGRAM	262,416

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10/31/2017

11 53 12 AM

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0170665-00002

ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC	TO INCLUDE THE CURRENT AND FORMER NBER PRE- AND POST-DOC AGING FELLOWS IN THE GROUP OF PARTICIPANTS AT THE OCTOBER 6TH AND 7TH, 2016 WORKING LONGER CONFERENCE HELD AT SIEPR	14,500
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC	TO MAKE RESEARCH ON MACRO-FINANCIAL MODELING MORE REPRODUCIBLE, COLLABORATIVE, AND COMPARATIVE	206,704
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC	TO STUDY THE EFFECTS OF THE AFFORDABLE CARE ACT ON OLDER WORKERS' LABOR MARKET OUTCOMES	155,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC	TO SUPPORT PARTICIPATION OF STUDENTS AND POST-DOCTORAL RESEARCHERS AT THE 2016 BEHAVIOR, ENERGY AND CLIMATE CHANGE CONFERENCE AND TO ORGANIZE A STRATEGIC PLANNING WORKSHOP AT THE CONFERENCE TO DETERMINE POTENTIAL FUTURE PATHWAYS	20,000
STEVENS INSTITUTE OF TECHNOLOGY 1 CASTLE POINT ON HUDSON HOBOKEN, NJ 07030	NONE PC	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK ON THE ROLE OF CANCER-CAUSING VIRUSES	39,340
SUNDANCE INSTITUTE 5900 WILSHIRE BOULEVARD BEVERLY HILLS, CA 90036	NONE PC	TO SUPPORT A SCIENCE AND TECHNOLOGY FILM PROGRAM AT THE NATION'S PRE-EMINENT INDEPENDENT FILM CENTER THAT INCLUDES SCREENWRITING FELLOWSHIPS, FEATURE FILM PRIZES, SCIENCE AND FILM PANELS, AND ASSOCIATED OUTREACH	200,000

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TECHNOLOGY AFFINITY GROUP C/O LISA POOL CONSULTING, LLC, 23 BRIAR ROAD WAYNE, PA 19087	NONE	PC		FOR 2016 MEMBERSHIP DUES	5,000
THE ASPEN INSTITUTE 271 MADISON AVENUE, SUITE 606 NEW YORK, NY 10016	NONE	PC		TO SUPPORT A DIALOGUE PROCESS THAT APPLIES RESEARCH FINDINGS TO INFORM THE DEVELOPMENT OF BEST PRACTICES FOR THE GOVERNANCE OF SHALE GAS AND OIL	250,000
THE CONVERSATION 745 ATLANTIC AVE BOSTON, MA 02111	NONE	PC		TO INFORM CONVERSATIONS ABOUT ECONOMICS BY EDITING AND PUBLISHING PUBLICLY ACCESSIBLE ARTICLES BY ACADEMICS ABOUT THEIR RESEARCH	75,000
THE FORSYTH INSTITUTE 245 FIRST STREET CAMBRIDGE, MA 02142	NONE	PC		TO EXAMINE THE MICROBIOMES OF INDOOR TRACK FACILITIES AND THE RUNNERS WHO TRAIN INDOORS VERSUS OUTDOORS	60,000
THE GRADUATE CENTER OF THE CITY UNIVERSITY OF NEW 365 FIFTH AVENUE NEW YORK, NY 10016-4309	NONE	PC		TO SUPPORT THE DEVELOPMENT OF THE CITY UNIVERSITY OF NEW YORK DIGITAL HISTORY ARCHIVE	15,000
THE MIAMI FOUNDATION INC 40 NW 3RD STREET MIAMI, FL 33128	NONE	PC		TO SUPPORT CONTINUED DEVELOPMENT OF THE DAT PLATFORM FOR DATA MANAGEMENT AS WELL AS TARGETED OUTREACH TO THE NATURAL AND SOCIAL SCIENCE RESEARCH COMMUNITY	160,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

THE NEW SCHOOL FOR SOCIAL RESEARCH
66 WEST 12TH STREET
NEW YORK, NY 10011

NONE
PC

TO PROVIDE NEW YORK CITY PARENTS, PARTICULARLY
THOSE IN UNDERSERVED COMMUNITIES, WITH
INFORMATION AND DATA NEEDED TO MAKE SOUND CHOICES
ABOUT THEIR CHILDREN'S EDUCATION, ESPECIALLY IN
SCIENCE, MATHEMATICS, ECONOMICS, AND COMPUTER
SCIENCE

200,000

THE NEW SCHOOL FOR SOCIAL RESEARCH
66 WEST 12TH STREET
NEW YORK, NY 10011

NONE
PC

TO SUPPORT A TWO-DAY CONFERENCE TITLED
"INVISIBILITY THE POWER OF AN IDEA," AT THE NEW
SCHOOL IN NEW YORK CITY

24,000

THE PENNSYLVANIA STATE UNIVERSITY
OLD MAIN
UNIVERSITY PARK, PA 16801

NONE
GOV

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
MIKAEL RECHTSMAN IN PHYSICS

55,000

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BOZHI
TIAN IN CHEMISTRY

55,000

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ENGIN
OZKAN IN NEUROSCIENCE

55,000

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NEALE
MAHONEY IN ECONOMICS

55,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR RINA FOYGEL BARBER IN MATHEMATICS	55,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	TO CONSTRUCT, CALIBRATE, AND COMPARE MODELS FOR ANALYZING HOW THE FINANCIAL INSTITUTIONS INTERACT WITH THE REAL ECONOMY	295,775
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	TO CONVENE ACADEMIC ECONOMISTS AND POWER SYSTEM ENGINEERS FROM DISTRIBUTION UTILITIES TO IDENTIFY CRITICAL RESEARCH QUESTIONS AND OPPORTUNITIES FOR COLLABORATION	55,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	TO DEVELOP NEW KNOWLEDGE ABOUT THE METABOLISM OF INDOOR MICROBIAL COMMUNITIES USING EXPERIMENTAL AND MODELING APPROACHES	225,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	TO ELICIT AND STUDY EXPERTS' PRIOR PREDICTIONS ABOUT THE OUTCOMES OF EXPERIMENTS IN BEHAVIORAL ECONOMICS	94,690
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637		NONE PC	TO FACILITATE WORKFORCE RESEARCH BY BROKERING, COMBINING, DOCUMENTING, AND MAKING AVAILABLE FOR STUDY ADMINISTRATIVE DATA ABOUT LABOR MARKETS FROM A VARIETY OF SOURCES	250,000

76692K 700J

10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TO INVESTIGATE THE IMPACT OF THE SOCIAL SECURITY
RETIREMENT EARNINGS TEST

46,243

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TO INVESTIGATE THE IMPACT OF THE SOCIAL SECURITY
RETIREMENT EARNINGS TEST, WHICH CREATES
SUBSTANTIAL DISINCENTIVES FOR WORK, ON THE
ELDERLY EMPLOYMENT RATE

125,000

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR CHARLES SMART IN MATHEMATICS

25,169

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR GREG KAPLAN IN ECONOMICS,
ORIGINALLY AWARDED AT PRINCETON UNIVERSITY

27,534

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR GUANGBIN DONG IN CHEMISTRY,
ORIGINALLY AWARDED AT THE UNIVERSITY OF TEXAS AT
AUSTIN

50,000

THE UNIVERSITY OF CHICAGO
5801 S ELLIS AVE
CHICAGO, IL 60637

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR LEONARDO BURSZTYN IN
ECONOMICS, ORIGINALLY AWARDED AT THE UNIVERSITY
OF CALIFORNIA, LOS ANGELES

55,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THIRD WAY FOUNDATION 1200 NEW HAMPSHIRE AVE NW WASHINGTON, DC 20005	NONE PC	TO DEVELOP, TEST, AND CALIBRATE MODELS OF HOW ADMINISTRATIVE DATA FROM ONLINE PLATFORMS RELATE TO OFFICIAL EMPLOYMENT STATISTICS	93,500
TRIBECA FILM INSTITUTE 375 GREENWICH STREET NEW YORK, NY 10013	NONE PC	TO BUILD ON THE TFI SLOAN FILMMAKER FUND'S SUCCESS AND TO RAISE THE PROFILE OF SLOAN SCREENINGS, READINGS, AND PANELS AT THE TRIBECA FILM FESTIVAL AND YEAR-ROUND	400,000
TRIBECA FILM INSTITUTE 375 GREENWICH STREET NEW YORK, NY 10013	NONE PC	TO SUPPORT THE SLOAN STUDENT GRAND JURY PRIZE FOR THE ANNUAL SELECTION AND DEVELOPMENT OF THE BEST-OF-THE-BEST SCREENPLAY FROM SLOAN'S SIX FILM SCHOOL PARTNERS	110,000
UNIVERSITA DI ROMA LA SAPIENZA PIAZZA ALDO MORO, 5 ROMA ITALY 185	NONE NC	TO CONDUCT THE THIRD WORKSHOP OF EARLY CAREER SCIENTISTS OF THE DEEP CARBON OBSERVATORY	102,753
UNIVERSITY COLLEGE LONDON GOWER STREET LONDON UNITED KINGDOM WC1E 6BT	NONE NC	TO LAUNCH A CAREFULLY CURATED AND EDITED BLOG THAT WILL MAKE INSIGHTS FROM MICROECONOMIC RESEARCH MORE WIDELY AND POPULARLY ACCESSIBLE	50,000
UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH 3090 CENTER GREEN DRIVE BOULDER, CO 80301	NONE PC	TO SUPPORT A WORKSHOP AND RELATED ACTIVITIES ON THE RESCUE OF AT-RISK DATA	20,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ARIZONA 1401 E UNIVERSITY BLVD TUCSON, AZ 85721	NONE	GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR EDUARDO ROZO IN PHYSICS	55,000
UNIVERSITY OF ARIZONA 1401 E UNIVERSITY BLVD TUCSON, AZ 85721	NONE	GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MATTHIAS MORZFEID IN MATHEMATICS	55,000
UNIVERSITY OF ARIZONA 1401 E UNIVERSITY BLVD TUCSON, AZ 85721	NONE	GOV	TO ELUCIDATE THE CONCEPT OF CARBON MINERAL EVOLUTION	115,000
UNIVERSITY OF BRITISH COLUMBIA 2355 EAST MALL VANCOUVER CANADA V6T 1Z4	NONE	PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KATHERINE RYAN IN CHEMISTRY	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR EVAN MILLER IN CHEMISTRY	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR GLORIA BRAR IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
		AND			
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR HERNAN GARCIA IN PHYSICS	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEAPCH FELLOWSHIP FOR DR KE KU IN CHEMISTRY	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NIKHIL SRIVASTAVA IN MATHEMATICS	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NIR YOSEF IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR SURJEET RAJENDRAN IN PHYSICS	55,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR WENJUN ZHANG IN CHEMISTRY	55,000

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10/31/2017

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ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	250,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY, EMPOWERING RESEARCHERS TO BE VASTLY MORE EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	500,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO ADVANCE RESEARCH ON THE ECONOMICS OF ENERGY EFFICIENCY BY MANAGING A REQUEST FOR PROPOSALS SOLICITATION, AUDITING THE IMPLEMENTATION OF ENERGY EFFICIENCY PROGRAMS, AND FACILITATING CONNECTIONS BETWEEN RESEARCHERS	99,922
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO CHARACTERIZE THE MICROBIAL CONTRIBUTION TO THE VOLATILE ORGANIC COMPOUNDS (VOCs) IN REAL RESIDENTIAL ENVIRONMENTS THROUGH TEMPORALLY AND SPATIALLY RESOLVED VOC MEASUREMENTS	60,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO DEVELOP AND TEST INSTRUMENTS THAT DOCUMENT INCREASES IN UNDERSTANDING OF SCIENCE CONCEPTS AND SCIENTIFIC PRACTICES IN UNDERGRADUATE RESEARCH EXPERIENCES	101,821
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO EXPAND UNDERSTANDING OF THE MICROBIAL ECOLOGY OF THE BUILT ENVIRONMENT	363,525

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO EXPAND UNDERSTANDING OF THE PROCESSES CONTROLLING INDOOR CHEMISTRY	250,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO PROVIDE RENEWED SUPPORT TO EXAMINE THE MICROBIOLOGY OF THE NEONATAL INTENSIVE CARE UNIT ENVIRONMENT	250,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO SUPPORT THE E2E PROJECT AND CONTINUE THE EXPANSION, COORDINATION, AND FACILITATION OF INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY THROUGH RANDOMIZED CONTROLLED TRIALS AND OTHER EXPERIMENTAL METHODOLOGIES	325,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO SUPPORT TWO SESSIONS OF THE ENERGY INSTITUTE AT HAAS' ENERGY CAMP IN ORDER TO BRING TOGETHER TOP ENERGY ECONOMISTS TO DISCUSS AND EXPLORE NEW RESEARCH IDEAS ON ENERGY MARKETS	50,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO SUPPORT CONTINUED DEVELOPMENT OF THE JUPYTER PLATFORM FOR SCIENTIFIC COMPUTING AND ITS DEVELOPER COMMUNITY	500,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MEGAN DENNIS IN NEUROSCIENCE	55,000

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10/31/2017

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ATTACHMENT 22

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO FORM A DEEP CARBON MODELING FORUM AND TO STIMULATE CREATION OF A SYSTEM OF LINKED MODELS THAT REPRESENT AND EXPLORE THE DYNAMICS OF THE DEEP CARBON SYSTEM AS A WHOLE	500,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO PROVIDE RENEWED SUPPORT FOR THE MICROBIOLOGY OF THE BUILT ENVIRONMENT NETWORK	497,485
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON UNDERSTANDING HOW THE TARGETING AND TIMING OF ENERGY EFFICIENCY INFORMATION PROVISION IMPACTS PROGRAM PARTICIPATION	50,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO STUDY THE TECHNOLOGICAL, ECONOMIC, AND ENVIRONMENTAL TRADE-OFFS ASSOCIATED WITH THE USE OF NATURAL GAS AS A LOW-CARBON TRANSPORTATION FUEL OPTION IN THE UNITED STATES	100,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO SUPPORT A WORKSHOP TO ENHANCE AND EXTEND THE FUNCTIONALITY OF THE MYBINDER NOTEBOOK COMPUTING PLATFORM	20,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ELENA FUCHS IN MATHEMATICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN	55,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CHANG LIU IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR FRANKLIN DOLLAR IN PHYSICS	55,000
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC		TO EXTEND THE JUST-COMPLETED MAJOR FIELD EXPERIMENT ON AGE DISCRIMINATION FROM 11 TO 50 STATES, AND TO PROVIDE EVIDENCE ON THE RELATIONSHIPS BETWEEN DIRECT MEASURES OF AGE DISCRIMINATION IN HIRING	20,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ARTEM CHERNIKOV IN MATHEMATICS	55,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ELAINE HSIAO IN NEUROSCIENCE	55,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	85,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE	PC		TO CONTINUE THE ACTIVITIES OF THE DEEP ENERGY COMMUNITY OF THE DEEP CARBON OBSERVATORY	600,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE	PC		TO CONTINUE TO LEAD AND COORDINATE THE ACTIVITIES OF THE EXTREME PHYSICS AND CHEMISTRY COMMUNITY OF THE DEEP CARBON OBSERVATORY	600,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE	PC		TO PROVIDE INFORMATION ON THE LABOR MARKET CONSEQUENCES FOR ADULT DAUGHTERS AND SONS PROVIDING ELDER CARE TO THEIR AGING PARENTS	116,000
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE	PC		TO STUDY HOW DISCIPLINARY CONFIGURATIONS, SCALE, AND METHODS OF COLLECTION INFLUENCE THE CIRCULATION OF SCIENTIFIC RESEARCH DATA	424,012
UNIVERSITY OF CALIFORNIA, RIVERSIDE 900 UNIVERSITY AVE RIVERSIDE, CA 92521	NONE	PC		TO SUPPORT A CONFERENCE ON CAUSAL INFERENCE METHODOLOGIES BOTH IN COMPUTER SCIENCE AND IN THE SOCIAL SCIENCES	19,990
UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE	PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CHARLES SPRENGER III ECONOMICS	55,000

ATTACHMENT 22

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

UNIVERSITY OF CALIFORNIA, SAN DIEGO
9500 GILMAN DRIVE
LA JOLLA, CA 92093

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JULIO
BARREIRO IN PHYSICS

55,000

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
513 PARNASSUS AVE
SAN FRANCISCO, CA 94143

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
DENGKE MA IN NEUROSCIENCE

55,000

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO
513 PARNASSUS AVE
SAN FRANCISCO, CA 94143

NONE
PC

TO PARTIALLY FUND THE PLANNING AND PILOTING OF A
PREPRINT SERVICE FOR THE LIFE SCIENCES

101,858

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
BROIDA HALL
SANTA BARBARA, CA 93106-9530

NONE
PC

TRANSFER OF AN ALFRED P SLOAN RESEARCH
FELLOWSHIP FOR DR ALYSON SANTORO IN OCEAN
SCIENCES, ORIGINALLY AWARDED AT THE UNIVERSITY OF
MARYLAND CENTER FOR ENVIRONMENTAL SCIENCE

16,532

UNIVERSITY OF CALIFORNIA, SANTA CRUZ
1156 HIGH STREET
SANTA CRUZ, CA 95064

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
ANDREW SKEMER IN PHYSICS

55,000

UNIVERSITY OF CALIFORNIA, SANTA CRUZ
1156 HIGH STREET
SANTA CRUZ, CA 95064

NONE
PC

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
KRISTY KROEKER IN OCEAN SCIENCES

55,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF--PART XV-- GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
UNIVERSITY OF CALIFORNIA, SANTA CRUZ 1156 HIGH STREET SANTA CRUZ, CA 95064	NONE PC		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR RYAN FOLEY IN PHYSICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN	50,000
UNIVERSITY OF CAMBRIDGE DOWNING STREET CAMBRIDGE UNITED KINGDOM CB2 3ER	NONE NC		TO PROVIDE STRATEGIC VISION AND LEADERSHIP OF THE DEEP CARBON OBSERVATORY SYNTHESIS GROUP FOR THE 2019 PROGRAM FINALE	99,376
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR SHAUN KANE IN COMPUTER SCIENCE	55,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO CONDUCT A LONGITUDINAL ANALYSIS OF THE MICROBIOMES OF DORMITORIES AND THEIR INHABITANTS AT THE US AIR FORCE ACADEMY (USAF)	316,490
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO ELUCIDATE BENEFICIAL PATHWAYS OF MYCOBACTERIOME EXPOSURES IN OUR BUILT ENVIRONMENT	60,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO EXPAND THE SCHOLARLY UNDERSTANDING OF EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS, AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS, AND CLASSROOM OBSERVATIONS OF STUDENTS AND FACULTY AT SEVEN COLLEGES	138,301

ATTACHMENT 22

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC	TO EXPAND UNDERSTANDING OF CHEMICAL SOURCES, SINKS, AND TRANSFORMATIONS TAKING PLACE INDOORS	250,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC	TO SUPPORT PLANNING ACTIVITIES TO GUIDE COMMUNITY BUILDING, EDUCATION AND OUTREACH ACTIVITIES FOR THE CHEMISTRY OF INDOOR ENVIRONMENTS PROGRAM	50,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KRISTOPHER KARNAUSKAS IN OCEAN SCIENCES	19,194
UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC 310 EAST CAMPUS ROAD ATHENS, GA 30602	NONE PC	TO TEST HOW BEHAVIORAL FACTORS CAN PREDICT INSURANCE CHOICES	19,754
UNIVERSITY OF ILLINOIS 505 S GOODWIN AVE URBANA, IL 61801	NONE PC	TO PARTIALLY FUND THE 2016 WORKING TOWARDS SUSTAINABLE SOFTWARE FOR SCIENCE PRACTICE AND EXPERIENCES MEETING	20,000
UNIVERSITY OF ILLINOIS AT CHICAGO 845 WEST TAYLOR STREET CHICAGO, IL 60607	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KEVIN TUCKER IN MATHEMATICS	55,000

ATTACHMENT 22

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990-BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JIAN PENG IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JOAQUIN RODRIGUEZ-LOPEZ IN CHEMISTRY	55,000
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KAMI HULL IN CHEMISTRY	55,000
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR YUE SHEN IN PHYSICS	55,000
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON EVALUATING THE PROJECTED AND REALIZED SAVINGS FROM THE WEATHERIZATION ASSISTANCE PROGRAM	200,000
UNIVERSITY OF MARYLAND, BALTIMORE 620 W LEXINGTON ST BALTIMORE, MD 21201	NONE GOV	TO CONDUCT PLANNING ACTIVITIES FOR THE MOBE 2017 MEETING	20,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF MARYLAND, BALTIMORE 620 W LEXINGTON ST BALTIMORE, MD 21201	NONE GOV		TO SUPPORT A SCIENTIFIC MEETING CELEBRATING THE ACCOMPLISHMENTS OF THE MOBE PROGRAM IN 2017	249,289
UNIVERSITY OF MARYLAND, BALTIMORE COUNTY 1000 HILTOP CIRCLE BALTIMORE, MD 21250	NONE GOV		TO SUPPORT SEEING SCIENCE PHOTOGRAPHY, SCIENCE AND VISUAL CULTURE, AN ONLINE PROJECT AND ON-SITE EXHIBITION	31,000
UNIVERSITY OF MARYLAND, COLLEGE PARK 7809 REGENTS DRIVE COLLEGE PARK, MD 20742	NONE GOV		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JAY DEEP SAU IN PHYSICS	55,000
UNIVERSITY OF MARYLAND, COLLEGE PARK 7809 REGENTS DRIVE COLLEGE PARK, MD 20742	NONE GOV		TO DEMONSTRATE HOW TO ESTIMATE STANDARD ECONOMIC INDICATORS USING ADMINISTRATIVE DATA ABOUT BUSINESS TRANSACTIONS	847,513
UNIVERSITY OF MARYLAND, COLLEGE PARK 7809 REGENTS DRIVE COLLEGE PARK, MD 20742	NONE GOV		TO SUPPORT DEVELOPMENT AND OUTREACH ACTIVITIES OF THE SOCARXIV PREPRINT SERVER	50,000
UNIVERSITY OF MASSACHUSETTS, AMHERST 120 GOVERNORS DR AMHERST, MA 01003	NONE GOV		TO IDENTIFY WHETHER AND HOW DIFFERENT SURVEY METHODOLOGIES AFFECT THE RESULTS OF EXPERT ELICITATIONS FOCUSED ON ENERGY TECHNOLOGIES	19,971

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR HONGLAK LEE IN COMPUTER SCIENCE	55,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MICHAEL CAFARELLA IN COMPUTER SCIENCE	55,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR PAUL ZIMMERMAN IN CHEMISTRY	55,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO EXAMINE "ABUNDANCE, AEROSOLIZATION, AND QUANTITATIVE MICROBIAL RISK ASSESSMENT OF OPPORTUNISTIC BACTERIAL PATHOGENS IN THE BUILT ENVIRONMENT"	60,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO EXPLORE THE RELATIONSHIP BETWEEN BEHAVIORAL NUDGES AND INTRINSIC MOTIVATION BY CONDUCTING FIELD EXPERIMENTS	233,610
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO FACILITATE DATA ACCESS BY DEVELOPING A BROADLY ACCEPTED SYSTEM OF RESEARCHER CREDENTIALING	178,957

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO IDENTIFY THE FIVE MOST IMPORTANT REACTIONS GOVERNING DEEP CARBON AND USE THESE TO SYNTHESIZE AND LIFT UNDERSTANDING OF DEEP CARBON	125,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO SUPPORT A WORKSHOP OF "RISING STAR" RESEARCHERS IN COMPUTER-SUPPORTED COOPERATIVE WORK	20,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO ESTABLISH AN INDEPENDENT, SCIENTIFIC, AND COMPREHENSIVE SOURCE OF DETAILED INFORMATION ABOUT THE RESULTS OF PUBLIC AND PRIVATE INVESTMENTS IN SCIENCE	199,629
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR TASHO KALETHA IN MATHEMATICS, ORIGINALLY AWARDED AT HARVARD UNIVERSITY	41,677
UNIVERSITY OF MINNESOTA 207 PLEASANT ST SE MINNEAPOLIS, MN 55455	NONE GOV		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR RAN BLEKHMAN IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
UNIVERSITY OF MINNESOTA 207 PLEASANT ST SE MINNEAPOLIS, MN 55455	NONE GOV		TO PLAN A CONSORTIAL MODEL FOR DATA CURATION RESOURCE SHARING AMONG ACADEMIC LIBRARIES	89,725

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF MINNESOTA 207 PLEASANT ST SE MINNEAPOLIS, MN 55455		NONE GOV		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR LOUKAS KARABAROUNIS IN ECONOMICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF CHICAGO	55,000
UNIVERSITY OF MISSOURI, COLUMBIA 612 HITT STREET COLUMBIA, MO 65211		NONE GOV		TO STUDY HEALTH AND SUSTAINABILITY OF OPEN ONLINE COMMUNITIES AND DEVELOP A SET OF INDICATORS THEREOF	104,906
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL 104 AIRPORT DRIVE CHAPEL HILL, NC 27599-3250		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ALEXANDER MILLER IN CHEMISTRY	55,000
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL 104 AIRPORT DRIVE CHAPEL HILL, NC 27599-3250		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JILLIAN DEMPSEY IN CHEMISTRY	55,000
UNIVERSITY OF NOTRE DAME 317 MAIN BUILDING NOTRE DAME, IN 46556		NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR CLAUDIU RAICU IN MATHEMATICS	55,000
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403		NONE GOV		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BENJAMIN ELIAS IN MATHEMATICS	55,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR KELLY SUTHERLAND IN OCEAN SCIENCES	55,000
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403	NONE GOV	TO PROVIDE RENEWED SUPPORT TO THE BIOLOGY AND THE BUILT ENVIRONMENT CENTER	450,000
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403	NONE GOV	TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR PETER RALPH IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY, ORIGINALLY AWARDED AT THE UNIVERSITY OF SOUTHERN CALIFORNIA	50,000
UNIVERSITY OF OXFORD WELLINGTON SQUARE OXFORD UNITED KINGDOM OX1 2JD	NONE PC	TO CONDUCT A FIELD CAMPAIGN OF THE DEEP CARBON OBSERVATORY (DCO) ON DIFFERENTIATION OF BIOTIC AND ABIOTIC CARBON UNITING A DOZEN EARLY CAREER SCIENTISTS REPRESENTING ALL FOUR DCO COMMUNITIES IN A SYNOPSIS STUDY EXEMPLIFYING FULFILLMENT OF THE DCO'S DECADEAL GOALS	285,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR GOLNAZ VAHEDI IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MATTHEW KAYSER IN NEUROSCIENCE	55,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ZONGMING MA IN MATHEMATICS	55,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO HIRE, HOUSE, AND MANAGE THE INITIAL COORDINATOR FOR A NETWORK OF ADMINISTRATIVE DATA RESEARCH FACILITATORS	132,118
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO STUDY HOW KNOWLEDGE GENERATED BY RESEARCH AND DEVELOPMENT SPILLS OVER THROUGH INNOVATION NETWORKS	100,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO SUPPORT A CONFERENCE ON THE USE OF ADMINISTRATIVE DATA IN SOCIAL SCIENCE RESEARCH	15,000
UNIVERSITY OF PITTSBURGH 3700 O'HARA STREET PITTSBURGH, PA 15261	NONE PC	TO SUPPORT THE ADOPTION OF ACTIVE CURATION PLATFORMS BY ASSOCIATION FOR COMPUTING MACHINERY PUBLISHING SYSTEMS	123,728
UNIVERSITY OF RHODE ISLAND 79 UPPER COLLEGE RD KINGSTON, RI 02881	NONE GOV	TO CONTINUE CONDUCTING ENGAGEMENT ACTIVITIES AND TO PROVIDE SUPPORT FOR SYNTHESIS ACTIVITIES OF THE DEEP CARBON OBSERVATORY	500,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF SOUTHERN CALIFORNIA 1050 CHILD WAY, RRI 201 (MCB) LOS ANGELES, CA 90089	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR FEI SHA IN COMPUTER SCIENCE	34,577
UNIVERSITY OF SOUTHERN CALIFORNIA 1050 CHILD WAY, RRI 201 (MCB) LOS ANGELES, CA 90089	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NAOMI LEVINE IN OCEAN SCIENCES	55,000
UNIVERSITY OF SOUTHERN CALIFORNIA 1050 CHILD WAY, RRI 201 (MCB) LOS ANGELES, CA 90089	NONE PC	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	123,612
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BRETT BAKER IN OCEAN SCIENCES	55,000
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DELIA MILLIRON IN CHEMISTRY	55,000
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR GUIHUA YU IN CHEMISTRY	55,000

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10/31/2017

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JEFFREY DANCIGER IN MATHEMATICS	55,000
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO CONDUCT A CASE STUDY OF HOW HIDDEN SPACES IN A PORTABLE CLASSROOM BUILDING INFLUENCE THE INDOOR MICROBIOME AS A FUNCTION OF BUILDING VENTILATION AND OPERATION	255,734
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO EXAMINE THE HYDROLOGICAL CHARACTERISTICS OF FIVE MAJOR SHALE GAS AND SHALE OIL REGIONS, INCLUDING UNDERSTANDING ENVIRONMENTAL IMPACTS ON REGIONAL WATER RESOURCES AND INDUCED SEISMICITY EFFECTS FROM WASTEWATER DISPOSAL	125,000
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO RAISE THE VISIBILITY OF AND IMPROVE INCENTIVES FOR SOFTWARE WORK AS A CONTRIBUTION IN THE SCIENTIFIC LITERATURE	212,019
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO SUPPORT A SMALL SUMMIT OF ETHNOGRAPHERS OF DATA AND SOFTWARE PRACTICES	19,583
UNIVERSITY OF TEXAS, SOUTHWESTERN MEDICAL CENTER A 5323 HARRY HINES BLVD DALLAS, TX 75390-9020	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR BRAD PFEIFFER IN NEUROSCIENCE	55,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TORONTO 1 KINGS COLLEGE CIRCLE, TORONTO CANADA M5S 1A8	NONE PC	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JO BOVY IN PHYSICS	55,000
				TO EXPAND UNDERSTANDING OF MULTIPHASE CHEMISTRY IN INDOOR ENVIRONMENTS	365,000
				TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR STEFANOS ARETAKIS IN MATHEMATICS, ORIGINALLY AWARDED AT PRINCETON UNIVERSITY	55,000
				TO DEVELOP A MOBE RESEARCH ROADMAP TO TRANSITION FROM BASIC RESEARCH TO PRACTICAL APPLICATIONS	99,960
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE GOV	NONE GOV		ALFRED P SLOAN RESEAPCH FELLOWSHIP FOR DR BINGNT BRUNTON IN NEUROSCIENCE	55,000
				ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR EMIHA TORLAK IN COMPUTER SCIENCE	55,000

ATTACHMENT 22

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE	GOV		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MATTHEW MCQUINN IN PHYSICS	55,000
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE	GOV		TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	250,000
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE	GOV		TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY, EMPOWERING RESEARCHERS TO BE VASTLY MORE EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	500,000
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE	GOV		TO DEVELOP UP-TO-DATE PRICING AND QUALITY METRICS THAT ENABLE RESEARCHERS TO BETTER COMPARE OPEN ACCESS JOURNALS TO OTHER OPEN ACCESS AND NON-OPEN ACCESS JOURNALS	124,370
UNIVERSITY OF WATERLOO 200 UNIVERSITY AVE W WATERLOO CANADA N2L 3G1	NONE	PC		TO LAUNCH AN ORGANIZATION THAT WILL COMPILE AND ENCODE MATHEMATICAL KNOWLEDGE TO MAKE IT MORE SEARCHABLE, COMPUTABLE, LINKABLE, CHECKABLE, AND USABLE	125,000
UNIVERSITY OF WISCONSIN, MADISON 21 NORTH PARK STREET, SUITE 6401 MADISON, WI 53715-1218	NONE	GOV		ALFRED P SLOAN RESEAPCH FELLOWSHIP FOR DR ARI ROSENBERG IN NEUROSCIENCE	55,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

UNIVERSITY OF WISCONSIN, MADISON
21 NORTH PARK STREET, SUITE 6401
MADISON, WI 53715-1218

NONE
GOV

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR
ETIENNE GARAND IN CHEMISTRY

55,000

UNIVERSITY OF WISCONSIN, MADISON
21 NORTH PARK STREET, SUITE 6401
MADISON, WI 53715-1218

NONE
GOV

ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR LU
WANG IN MATHEMATICS

55,000

UNIVERSITY OF WISCONSIN, MADISON
21 NORTH PARK STREET, SUITE 6401
MADISON, WI 53715-1218

NONE
GOV

TO CONDUCT A SET OF CASE STUDIES ON THE
SUSTAINABILITY OF SOCIAL SCIENCE DATA ARCHIVES

58,822

UNIVERSITY OF WISCONSIN, MADISON
21 NORTH PARK STREET, SUITE 6401
MADISON, WI 53715-1218

NONE
GOV

TO EXPAND THE SCHOLARLY UNDERSTANDING OF
EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS,
AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM
MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS,
AND CLASSROOM OBSERVATIONS OF STUDENTS AND
FACULTY AT SEVEN COLLEGES

97,864

UNIVERSITY SYSTEM OF MARYLAND FOUNDATION, INC
3300 METZEROTT ROAD
ADELPHI, MD 20783

NONE
PC

TO STRENGTHEN POSTSECONDARY MATHEMATICS EDUCATION
BY DEVELOPING STRATEGIC PARTNERSHIPS WITH
MATHEMATICAL LEADERS, FUNDERS, AND CLIENTS

124,775

URBAN INSTITUTE
2100 M STREET, NW
WASHINGTON, DC 20037

NONE
PC

TO DEVELOP, DOCUMENT, AND MAKE FREELY AVAILABLE
BOTH LINKED MORTGAGE DATASETS, AS WELL AS NEW
TOOLS FOR ANALYZING LARGE COLLECTIONS OF
ADMINISTRATIVE DATA

263,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE PC	TO IDENTIFY POLICY REFORMS THAT COULD REDUCE WORK DISINCENTIVES AT OLDER AGES AND MORE EQUITABLY AND EFFICIENTLY PROVIDE RETIREMENT BENEFITS TO OLDER ADULTS	204,951
URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE PC	TO ASSESS RECENT TRENDS IN MEDICARE ENROLLEES' ACCESS TO PHYSICIAN SERVICES AT THE STATE AND LOCAL LEVEL AND TO STUDY THE IMPLICATIONS FOR LABOR SUPPLY DECISIONS AT OLDER AGES	174,087
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT VIRGINIA TECH BLACKSBURG, VA 24061	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR AMANDA MORRIS IN CHEMISTRY	55,000
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT VIRGINIA TECH BLACKSBURG, VA 24061	NONE GOV	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR DEVI PARIKH IN COMPUTER SCIENCE	55,000
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT VIRGINIA TECH BLACKSBURG, VA 24061	NONE GOV	TO EXAMINE HOW WARM AMBIENT WATER TEMPERATURES AND RECYCLED WATER INFLUENCE THE BUILDING PLUMBING MICROBIOME	250,000
WAKE FOREST UNIVERSITY 1834 WAKE FOREST ROAD WINSTON-SALEM, NC 27109	NONE PC	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON DETERMINING HOW MANAGEMENT PRACTICES IN THE INDUSTRIAL SECTOR IMPACT ENERGY EFFICIENCY	150,000

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ATTACHMENT 22

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
WASHINGTON UNIVERSITY IN ST LOUIS 1 BROOKINGS DR ST LOUIS, MO 63130-4899	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR MARTHA BAGNALL IN NEUROSCIENCE	55,000
WESTERN WASHINGTON UNIVERSITY 516 HIGH STREET BELLINGHAM, WA 98225-5996	NONE GOV		TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON QUANTIFYING THE IMPACT OF ENERGY EFFICIENCY ON HOUSING VALUES	200,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE PC		FOR A TWO-HOUR NOVA SPECIAL ABOUT BLACK HOLES HOSTED BY ASTROPHYSICIST JANNA LEVIN, AND ANCILLARY OUTREACH ACTIVITIES AND A FREE MOBILE APP	300,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE PC		TO PRODUCE AND BROADCAST FOUR NEW DOCUMENTARIES ON THE ROLE OF SCIENCE AND TECHNOLOGY IN HISTORY ON PBS'S THE AMERICAN EXPERIENCE	1,250,000
WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH NINE CAMBRIDGE CENTER CAMBRIDGE, MA 02142-1479	NONE PC		ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR JING-KE WENG IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	55,000
WIKIMEDIA FOUNDATION 149 NEW MONTGOMERY STREET, 6TH FLOOR SAN FRANCISCO, CA 94105	NONE PC		AS A FINAL GRANT TO BOLSTER WIKIPEDIA'S READERSHIP AND EDITORS, INCLUDING MORE WOMEN, EXPAND ITS MOBILE PRESENCE, AND STRENGTHEN ITS TECHNICAL INFRASTRUCTURE AS IT MOVES TO SELF-SUSTAINABILITY	500,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WIKIMEDIA FOUNDATION 149 NEW MONTGOMERY STREET, 6TH FLOOR SAN FRANCISCO, CA 94105	NONE PC	TO HELP SUPPORT A MEETING ON THE CITATION OF ACADEMIC RESEARCH IN WIKIPEDIA	20,000
WNET 825 EIGHTH AVENUE, 14TH FLOOR NEW YORK, NY 10019	NONE PC	TO PRODUCE AT LEAST THREE ANNUAL SLOAN-RELATED SCIENCE, TECHNOLOGY, AND ECONOMICS THEMED EPISODES OF THE OPEN MIND FOR TWO YEARS AND TO SUPPORT ENHANCED OUTREACH AND PROMOTION FOR THE SHOW TO A NATIONAL PBS AUDIENCE	50,000
WOMEN MAKE MOVIES, INC 115 W 29TH ST , SUITE 1200 NEW YORK, NY 10001-5059	NONE PC	TO EXPLORE THE NEW MEDIUM OF VIRTUAL REALITY WITH A SHORT FILM ALLOWING VIEWERS TO EXPERIENCE THE WORKINGS OF THE LIGO GRAVITATIONAL WAVE DETECTOR, AND TO GRASP THE SCIENCE BEHIND THIS BREAKTHROUGH DISCOVERY	125,000
WOMEN MAKE MOVIES, INC 115 W 29TH ST , SUITE 1200 NEW YORK, NY 10001-5059	NONE PC	TO SUPPORT A PILOT PROJECT TO TRACK WHAT HAPPENED TO SCRIPTS THAT WON SLOAN AWARDS, TO TRACK THE CAREERS OF SLOAN AWARD WINNERS, AND TO TRACK THE CAREERS OF STUDENTS IN THE SLOAN PROGRAMS WHO SOUGHT AWARDS, BUT DID NOT RECEIVE THEM	47,770
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS ONE WOODROW WILSON PLAZA WASHINGTON, DC 20004-3027	NONE PC	AS A FINAL GRANT TO SUPPORT THE GROWTH AND EXPANSION OF CITIZEN SCIENCE WITHIN AND OUTSIDE OF GOVERNMENT	250,000
YALE UNIVERSITY 300 GEORGE STREET, SUITE 901 NEW HAVEN, CT 06511	NONE PC	ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR NOAH PLANAVSKY IN OCEAN SCIENCES	55,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 22 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
YALE UNIVERSITY 300 GEORGE STREET, SUITE 901 NEW HAVEN, CT 06511	NONE PC		TO CONDUCT RESEARCH AND PROFESSIONAL TRAINING ON THE THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL REGULATION	344,276
YALE UNIVERSITY 300 GEORGE STREET, SUITE 901 NEW HAVEN, CT 06511	NONE PC		TO LAUNCH A PROFESSIONAL TRAINING PROGRAM ON THE THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL REGULATION	357,224
YALE UNIVERSITY 300 GEORGE STREET, SUITE 901 NEW HAVEN, CT 06511	NONE PC		TO SUPPORT A MOBE WORKSHOP IN SINGAPORE TO FOSTER RELATIONSHIPS WITH MOBE RESEARCHERS IN EAST ASIA	69,975
YALE UNIVERSITY 300 GEORGE STREET, SUITE 901 NEW HAVEN, CT 06511	NONE PC		TRANSFER OF AN ALFRED P SLOAN RESEARCH FELLOWSHIP FOR DR ERIC GLEN WEYL IN ECONOMICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF CHICAGO	47,263
TOTAL CONTRIBUTIONS PAID				<u>74,047,583</u>

ATTACHMENT 22

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ABT ASSOCIATES 55 WHEELER STREET CAMBRIDGE, MA 02138	NONE NC	TO COMPLETE AN EVALUATION OF THE MOORE-SLOAN DATA SCIENCE ENVIRONMENTS	558,389
ADAM BECKER 815 WALKER AVENUE APT 3 OAKLAND, CA 94610	NONE I	TO RESEARCH AND WRITE A BOOK ON THE HISTORY OF THE FOUNDATIONS OF QUANTUM PHYSICS, WITH A PARTICULAR EMPHASIS ON THE CONTINUED DOMINANCE OF THE TROUBLED "COPENHAGEN INTERPRETATION"	50,000
AMERICAN ACADEMY OF ARTS AND SCIENCES NORTON'S WOODS 136 IRVING STREET CAMBRIDGE, MA 02138	NONE PC	TO SUPPORT A TWO-YEAR STUDY AND ACCOMPANYING WORKSHOP ON BETTER UNDERSTANDING PUBLIC ATTITUDES TOWARD SCIENCE	40,000
AMERICAN FILM INSTITUTE 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027	NONE PC	TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS TO CREATE MORE REALISTIC AND DRAMATIC STORIES ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE STEREOTYPES ABOUT SCIENTISTS AND ENGINEERS THROUGH FILM	90,000
AMERICAN MUSEUM OF THE MOVING IMAGE 36-01 35TH AVENUE ASTORIA, NY 11106	NONE PC	TO MAINTAIN AND DEVELOP THE COMPREHENSIVE, UP-TO-DATE, GO-TO SITE FOR THE NATIONWIDE SLOAN FILM PROGRAM. ITS PARTICIPATING PARTNERS AND 500+ FILM PROJECTS AND TO ADD A SLOAN FILM CHANNEL	254,595
ANNUAL REVIEWS 4139 EL CAMINO WAY PALO ALTO, CA 94303	NONE PC	TO PUBLISH A DIGITAL MAGAZINE THAT UNLOCKS SCIENTIFIC RESEARCH TO INFORM THE PUBLIC DISCLOSURE IN MULTIPLE SUBJECTS WITH COMPELLING, TIMELY, AND IMPARTIAL KNOWLEDGE	250,000

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10/31/2017

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BENEFITS DATA TRUST CENTRE SQUARE WEST 1500 MARKET ST , STE 2800 PHILADELPHIA, PA 19102	TO TEST NEOCLASSICAL AND BEHAVIORAL ACCOUNTS OF GOVERNMENT BENEFITS UPTAKE BY RUNNING A RANDOMIZED CONTROLLED EXPERIMENT ON FOOD STAMP PROGRAM ENROLLMENT PROCEDURES	30,515
BOSTON COLLEGE 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	TO BUILD A ROBUST AND SUSTAINABLE MULTI-DISCIPLINARY RESEARCH NETWORK ON AGING AND WORK	62,630
BOSTON UNIVERSITY 725 COMMONWEALTH AVENUE BOSTON, MA 02215	TO MEASURE THE WORK DISINCENTIVES FACING OLDER AMERICANS ARISING FROM AMERICA'S MAJOR FISCAL PROGRAMS AND PROVISIONS	154,982
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	TO MEASURE HOW EMPLOYERS' BENEFIT COSTS CHANGE WITH AGE OF EMPLOYEES	213,229
BUSINESS-HIGHER EDUCATION FORUM 2025 M STREET NW SUITE 800 WASHINGTON, DC 20036-0000	TO SUPPORT THE NEW YORK CITY (NYC) DATA SCIENCE TASK FORCE AS IT LEADS THE PLANNING, DESIGN, AND IMPLEMENTATION OF NEW PARTNERSHIPS, PATHWAYS, AND LEARNING OPPORTUNITIES IN DATA SCIENCE AND ANALYTICS AT THE UNDERGRADUATE LEVEL	250,000
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N W WASHINGTON, DC 20005	TO PROVIDE PENULTIMATE SUPPORT FOR THE SECRETARIAT OF THE DEEP CARBON OBSERVATORY	1,198,534

ATTACHMENT 23

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10/31/2017

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO ENCOURAGE TOP FILM STUDENTS TO WRITE SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY	100,000
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO STUDY AND DEVELOP BEST PRACTICES FOR COMMUNITY CODE ENGAGEMENTS IN THE CONTEXT OF SCIENTIFIC SOFTWARE DEVELOPMENT	198,493
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213	NONE PC		TO STUDY THE CURRENT AND FUTURE FACTORS CONTRIBUTING TO THE TECHNOLOGICAL VIABILITY, ECONOMIC IMPACT, AND ENVIRONMENTAL CONSEQUENCES OF FUEL CELL TECHNOLOGIES	87,546
CATTICUS CORPORATION 2600 TENTH STREET SUITE 619 BERKELEY, CA 94710	NONE PC		FOR PRODUCTION SUPPORT FOR A THREE-HOUR SERIES TRACING THE HISTORY OF SILICON VALLEY TO BE AIRED ON PBS PRIMETIME, ALONG WITH DEVELOPMENT OF A PUBLIC EDUCATION AND COMMUNITY OUTREACH CAMPAIGN	100,000
CELL MOTION LABORATORIES, INC P O BOX 20374 NEW YORK, NY 10009	NONE PC		TO SUPPORT EXPANSION OF THE BIOBUS AND BIOBASE STEM EDUCATION PROGRAMS IN HARLEM	250,000
CHARLES GRAEBER 223 BEDFORD AVE SUITE 505 BROOKLYN, NY 11211	NONE I		TO SUPPORT THE WRITING OF A BOOK, THE BREAKTHROUGH, TO ENHANCE PUBLIC UNDERSTANDING OF THE SCIENCE BEHIND RECENT ADVANCES IN CANCER IMMUNOTHERAPY	50,000

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23. (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO ENCOURAGE THE NEXT GENERATION OF FILMMAKERS TO WRITE SCREENPLAYS AND PRODUCE SHORT FILMS ABOUT SCIENCE AND TECHNOLOGY THROUGH ENHANCED RESEARCH, MENTORSHIP, AND AWARD OPPORTUNITIES	192,000
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO IMPROVE THE TRAINING OF ENERGY JOURNALISTS THROUGH AN INTRODUCTION TO HIGH QUALITY RESEARCH IN ENERGY ECONOMICS, GEOPOLITICS, AND INNOVATION	150,226
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO PROVIDE WORKING JOURNALISTS WITH COHERENT, ACCESSIBLE CURRENT RESEARCH ON WORKING LONGER AS A CENTRAL STRATEGY TOWARD MAKING POPULATION AGING INTO AN OPPORTUNITY RATHER THAN AN INDIVIDUAL AND SOCIETAL CRISIS	155,229
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE PC	TO SUPPORT THE DEVELOPMENT, MAINTENANCE, AND DISSEMINATION OF STAN. A PROBABILISTIC PROGRAMMING LANGUAGE THAT SIMPLIFIES BAYESIAN MODELING AND DATA ANALYSIS	250,007
COOLIDGE CORNER THEATRE FOUNDATION 290 HARVARD STREET BROOKLINE, MA 02446	NONE PC	TO SUPPORT THE EXPANSION OF COOLIDGE CORNER THEATRE'S SCIENCE ON SCREEN PROGRAM TO ART HOUSE CINEMAS NATIONWIDE	149,292
COUNCIL FOR ECONOMIC EDUCATION 122 EAST 42ND STREET SUITE 2600 NEW YORK, NY 10168	NONE PC	TO PROMOTE ECONOMICS EDUCATION IN METROPOLITAN NEW YORK HIGH SCHOOLS BY RECOGNIZING INNOVATIVE TEACHERS, SPREADING SUCCESSFUL METHODS, AND MOTIVATING DIVERSE STUDENTS	190,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DIGITAL PUBLIC LIBRARY OF AMERICA, INC P O BOX 426038 CAMBRIDGE, MA 02142	NONE	PC	SUPPORT FOR THE DIGITAL PUBLIC LIBRARY OF AMERICA TO COMPLETE ITS NATIONWIDE SERVICE HUB NETWORK AND TO PILOT AN EBOOKS DISTRIBUTION PROGRAM	401,709
DIGITAL PUBLIC LIBRARY OF AMERICA, INC P O BOX 426038 CAMBRIDGE, MA 02142	NONE	PC	TO LEVERAGE DELA'S NATIONAL NETWORK FOR THE CREATION OF A FREE EBOOK COLLECTION AVAILABLE IN 50 STATES AND A PILOT EBOOK MARKETPLACE FOR THOUSANDS OF LIBRARIES AND SCHOOLS	1,497,674
ENSEMBLE STUDIO THEATRE, INC 549 WEST 52ND STREET NEW YORK, NY 10019	NONE	PC	TO COMMISSION, DEVELOP, PRODUCE AND DISSEMINATE NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE COUNTRY	1,200,000
ENVIRONMENTAL DEFENSE FUND INC 257 PARK AVENUE SOUTH NEW YORK, NY 10010	NONE	PC	TO UNDERTAKE EXPLORATORY PILOT RESEARCH PROJECTS EXAMINING THE ENVIRONMENTAL IMPACTS OF SHALE OIL AND GAS DEVELOPMENT THAT INCLUDE THE DEVELOPMENT OF IMPROVED WASTEWATER CHARACTERIZATION TECHNIQUES AND BIOLOGICAL TREATMENT METHODOLOGIES	200,000
FUND FOR PUBLIC HEALTH IN NEW YORK, INC 22 CORTLANDT STREET, SUITE 1103 NEW YORK, NY 10007-3160	NONE	PC	TO EVALUATE AND VALIDATE THE USE OF SOCIAL MEDIA FOR FOODBORNE OUTBREAK DETECTION	244,516
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE	PC	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC SERVICE AWARDS PROGRAM	250,000

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE PC	TO PROVIDE RENEWED SUPPORT FOR THE SLOAN AWARDS FOR EXCELLENCE IN TEACHING MATHEMATICS AND SCIENCE IN NEW YORK CITY PUBLIC HIGH SCHOOLS	570,000
GEORGE MASON UNIVERSITY 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	NONE GOV	TO SUPPORT OUTREACH FOR AND ADOPTION OF PRESSFORWARD, A SOFTWARE PLATFORM FOR THE EDITORIAL CURATION OF ONLINE SCHOLARLY RESEARCH PRODUCTS	100,227
GEORGETOWN UNIVERSITY 37TH & O STREET, NW WASHINGTON, DC 20057	NONE PC	TO BUILD COMMUNITY AND CONSENSUS AMONG ADMINISTRATIVE DATA RESEARCH FACILITIES BY SERVING AS A NETWORK HUB AND CONVENER INTERVENTIONS THAT AFFECT ENERGY UTILIZATION	149,940
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 3939 CAMPBELL AVE ARLINGTON, VA 22206	NONE PC	TO CONTINUE WEEKLY BROADCAST OF PAUL SOLMAN'S ECONOMIC AND BUSINESS COVERAGE MAKING SENSE ON PBS NEWSHOUR AND TO SUPPORT ONLINE, SOCIAL MEDIA, AND DIGITAL CONTENT AND AUDIENCES	400,000
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO ADVANCE KNOWLEDGE ON HOW HUMAN CAPITAL DEPRECIATING INNOVATION AFFECTS OLDER WORKERS AND ON THE ROLE OF TRAINING IN MODIFYING THOSE EFFECTS	141,606
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	NONE PC	TO DELIVER AN INTERDISCIPLINARY, POSTDOCTORAL TRAINING PROGRAM ON AGING AND WORK THAT ADDRESSES THE CHALLENGES OF AGING SOCIETIES AND LABOR FORCE PARTICIPATION	104,647

13-1623877

2016 FORM 990-PF

ALFRED P SLOAN FOUNDATION

FORM 990-PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	TO DEVELOP BEHAVIORALLY INFORMED VERSIONS OF BASIC MACROECONOMIC MODELS	217,792
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	TO FASHION FUNDAMENTAL CONCEPTS AND MODELS FOR BEHAVIORAL ECONOMICS BASED ON THEORIES OF CONTEXT-DEPENDENT CHOICE	186,695
HARVARD UNIVERSITY 12 OXFORD ST CAMBRIDGE, MA 02138	TO INCREASE BOTH THE NUMBER OF MINORITY STUDENTS ENTERING TOP PH D PROGRAMS IN ECONOMICS AND ECONOMICS-RELATED FIELDS AND THE DIVERSITY OF THE ECONOMICS FACULTIES AND WORK FORCE	1,000,000
INDIANA UNIVERSITY 150 SOUTH WOODLAWN BLOOMINGTON, IN 47405	TO CONDUCT PUBLIC PERCEPTION SURVEYS AND PUBLIC FINANCE RESEARCH ON THE SITING OF ENERGY INFRASTRUCTURE	109,900
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036	TO SUPPORT THE MTC/SLOAN INITIATIVE COMMISSIONING, DEVELOPING, AND PRODUCING NEW SCIENCE AND TECHNOLOGY PLAYS	200,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	TO CONDUCT AN ASSESSMENT OF THE OPPORTUNITIES AND CHALLENGES ASSOCIATED WITH THE FUTURE OF NEXT GENERATION NUCLEAR ENERGY TECHNOLOGIES	600,000

ATTACHMENT 23

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10/31/2017

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990EF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO CONTINUE CORE SUPPORT FOR A RESEARCH NETWORK THAT PROMOTES THE RIGOROUS EMPIRICAL STUDY OF ECONOMIC ISSUES IN NORTH AMERICA	1,998,325
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO DEVELOP TOOLS THAT ARE COMPUTATIONALLY, ADMINISTRATIVELY, AND LEGALLY PRACTICAL FOR CONDUCTING PRIVACY PRESERVING RESEARCH ON SOCIAL SCIENCE DATASETS	218,954
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO IMPROVE THE CREDIBILITY OF EMPIRICAL ECONOMICS BY TURNING BEST-PRACTICES FOR RESEARCH TRANSPARENCY INTO COMMON PRACTICE FOR RESEARCH PRACTITIONERS	300,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	NONE	PC		TO SUPPORT THE GROWTH OF NINE NEW SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES ACROSS THE COUNTRY WITH SMALL RESOURCE BASES	174,000
MICHIGAN STATE UNIVERSITY 619 RED CEDAR ROAD EAST LANSING, MI 48824	NONE	PC		TO ADVANCE OUR UNDERSTANDING OF HOW ESTABLISHMENTS RESPOND TO CHANGES IN PENSIONABLE AGES IMPLEMENTED THROUGH PUBLIC PENSION REFORM AND PHASED OVER A 13-YEAR PERIOD	248,631
MOZILLA FOUNDATION 331 E EVELYN AVENUE MOUNTAIN VIEW, CA 94041	NONE	PC		TO INCREASE OPEN SOURCE PROJECT AND COMMUNITY MANAGEMENT CAPACITY AND BUILD COMMUNITY AMONG SCIENTIFIC SOFTWARE DEVELOPERS	450,000

ATTACHMENT 23

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10/31/2017

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ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF - PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER

NONE

ONE NORTH BROADWAY

WHITE PLAINS, NY 10601

TO COVER EXPECTED OBLIGATIONS TO BE INCURRED BY

NACME TO PROVIDE PHASE 1 TRANSITIONAL SUPPORT FOR

THE SLOAN MPH D PROGRAM AS CAMPUS PROGRAMS COMPETE

FOR NEW MULTI-YEAR GRANTS FOR UNIVERSITY CENTERS

OF EXEMPLARY MENTORING OR PROGRAMS OF EXEMPLARY

MENTORING

300,000

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER

NONE

ONE NORTH BROADWAY

SUITE 601

WHITE PLAINS, NY 10601

TO PROVIDE \$2 MILLION FOR THREE AWARDS TO NEW

UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)

IN THE SLOAN MINORITY PH D PROGRAM

300,000

NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER

NONE

ONE NORTH BROADWAY

SUITE 601

WHITE PLAINS, NY 10601

TO SUPPORT THE ALFRED P SLOAN MINORITY PH D

PROGRAM (MPHD) THROUGH PHASE 1 RENEWAL GRANTS FOR

UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)

AT CORNELL UNIVERSITY, GEORGIA INSTITUTE OF

TECHNOLOGY, AND THE PENNSYLVANIA STATE

UNIVERSITY

2,500,000

NATIONAL BUREAU OF ECONOMIC RESEARCH, INC

NONE

1050 MASSACHUSETTS AVENUE

SUITE 601

CAMBRIDGE, MA 02138-5398

TO ADVANCE KNOWLEDGE ABOUT THE ECONOMIC

EFFICIENCY AND DISTRIBUTIONAL EQUITY TRADEOFFS

ASSOCIATED WITH ENERGY POLICY INTERVENTIONS IN

THE UNITED STATES

79,100

NATIONAL BUREAU OF ECONOMIC RESEARCH, INC

NONE

1050 MASSACHUSETTS AVENUE

CAMBRIDGE, MA 02138-5398

TO ADVANCE UNDERSTANDING OF HOUSEHOLD FINANCIAL

BEHAVIOR AND POLICY

200,000

NATIONAL BUREAU OF ECONOMIC RESEARCH, INC

NONE

1050 MASSACHUSETTS AVENUE

CAMBRIDGE, MA 02138-5398

TO CONDUCT A RANDOMIZED CONTROLLED TRIAL TO STUDY

HOW PEOPLE RESPOND TO AND VALUE INFORMATION ABOUT

THEIR DRIVING HABITS

99,550

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC	TO SUPPORT DISSEPTATION-STAGE RESEARCH BY ECONOMICS DOCTORAL STUDENTS WORKING ON A RANGE OF LABOR MARKET ISSUES RELATED TO AN AGING POPULATION	462,700
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMERIDGE, MA 02138-5398	NONE PC	TO SUPPORT THE NBER SUMMER INSTITUTE	529,920
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE PC	TO UNDERTAKE ECONOMIC RESEARCH ON ENERGY INFRASTRUCTURE, WITH A FOCUS ON HYDROCARBON TRANSPORT AND LOCAL ENERGY DISTRIBUTION	113,600
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	NONE PC	FOR PRODUCTION AND ENHANCED DISTRIBUTION OF RADIOLAB, AN INNOVATIVE AND POPULAR SCIENCE-THEMED RADIO SHOW, VIA MULTIPLE PLATFORMS	150,000
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	NONE PC	TO SUPPORT HEALTH CARE REPORTING AT WNYC WITH A FOCUS ON THE ECONOMICS AND POLICY OF OUR HEALTHCARE SYSTEM AND THE IMPACT OF THE AFFORDABLE CARE ACT ON CONSUMERS IN NEW YORK	200,000
NEW YORK UNIVERSITY 6 WASHINGTON PL, RM 280 NEW YORK, NY 10003	NONE PC	FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY	334,596

76692K 700J

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESSFOUNDATION STATUS OF RECIPIENTPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

NEW YORK UNIVERSITY
6 WASHINGTON PL, RM 280
MEYER HALL
NEW YORK, NY 10003

NONE
PC

FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND
TECHNOLOGY FILMS BY TOP FILM STUDENTS

157,890

NEW YORK UNIVERSITY
6 WASHINGTON PL, RM 280
MEYER HALL
NEW YORK, NY 10003

NONE
PC

TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY,
EMPOWERING RESEARCHERS TO BE VASTLY MORE
EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS,
NEW PARTNERSHIPS, AND NEW CAREER PATHS

600,000

NORTH CAROLINA STATE UNIVERSITY
2701 SULLIVAN DRIVE
MEYER HALL
RALEIGH, NC 27695

NONE
GOV

TO PROVIDE A COMPREHENSIVE ANALYSIS OF PUBLIC
EMPLOYEES' TRANSITION BETWEEN CAREER EMPLOYMENT
AND FULL RETIREMENT

363,199

NORTH CAROLINA STATE UNIVERSITY
2701 SULLIVAN DRIVE
RALEIGH, NC 27695

NONE
GOV

TO SUPPORT RESEARCH AND WRITING OF A BOOK, "NEVER
HOME ALONE," THAT ENGAGES THE GENERAL PUBLIC IN
THE HISTORY AND SCIENCE OF THE MICROBIOLOGY OF
THE BUILT ENVIRONMENT

80,000

NORTHWESTERN UNIVERSITY
2033 SHERIDAN ROAD
EVANSTON, IL 60208-2730

NONE
PC

TO EXAMINE HOW SURFACE FINISHES IMPACT THE INDOOR
MICROBIOME AND THEIR COLLECTION OF ANTIBIOTIC
RESISTANT GENES

40,481

NORTHWESTERN UNIVERSITY
2033 SHERIDAN ROAD
EVANSTON, IL 60208-2730

NONE
PC

TO IMPROVE ESTIMATES OF HOW RESEARCH INVESTMENTS
TRANSLATE INTO BREAKTHROUGHS BY SCIENTIFIC TEAMS,
AND HOW SCIENTIFIC BREAKTHROUGHS TRANSLATE INTO
EVENTUAL ECONOMIC GROWTH

78,536

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
NUMFOCUS P O BOX 90596 AUSTIN, TX 78709	NONE PC		TO BUILD CAPACITY FOR BUSINESS PLANNING AND INDUSTRY ENGAGEMENT WITHIN NUMFOCUS	295,550
NUMFOCUS P O BOX 90596 AUSTIN, TX 78709	NONE PC		TO IMPROVE TEACHING AND RESEARCH IN QUANTITATIVE ECONOMICS BY DEVELOPING CODEBASES AND OTHER RESOURCES THAT ARE COMPELLING, OPEN, AND REPRODUCIBLE	306,608
OREN HARMAN 5 ALEXANDER YANAY STREETAPT 7 TEL AVIV ISRAEL 6238201	NONE I		TO SUPPORT THE WRITING OF A BOOK, EVOLUTIONS, THAT JUNCTAPOSES THE MOST CURRENT SCIENTIFIC UNDERSTANDING OF COSMOLOGY AND THE EVOLUTION WITH MYTHS	50,000
PECAN STREET, INC 3924 BERKMAN DRIVE AUSTIN, TX 78723	NONE PC		TO IMPROVE ITS DATAPORT SOFTWARE AND DATA VISUALIZATION, EXPAND AVAILABLE ENERGY DATA CONTENT, AND INCREASE ACADEMIC RESEARCHER USE OF THE DATABASE	200,000
PHOENIX BIOINFORMATICS 643 BAIR ISLAND RD REDWOOD CITY, CA 94063	NONE PC		TO FIRMLY ESTABLISH A NONPROFIT SUBSCRIPTION FUNDING MODEL AS A VIABLE OPTION FOR SUSTAINING RESEARCH REPOSITORIES	414,300
PRINCETON UNIVERSITY 35 OLDEN ST STE 403 PRINCETON, NJ 08540	NONE PC		TO STUDY HOW THE PSYCHOLOGY OF SCARCITY AND SLACK HAS IMPLICATIONS FOR BEHAVIORAL AND TRADITIONAL ECONOMICS	223,655

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10/31/2017

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RAND CORPORATION
1776 MAIN STREET
SANTA MONICA, CA 90407-2137

NONE
PC

199,814

TO FIND OUT HOW LABOR FORCE PARTICIPATION AT
OLDER AGES HAS INCREASED EVEN AS SOME
DETERMINANTS OF PARTICIPATION HAVE WORSENEDED, AND
WHETHER THE TREND TOWARDS WORKING AT OLDER AGES
IS LIKELY TO CONTINUE IN THE FUTURE, ESPECIALLY
IN VIEW OF ADVERSE TRENDS IN HEALTH

RENSSELAER POLYTECHNIC INSTITUTE
110 8TH STREET
P O BOX 2138
TROY, NY 12180-3590

NONE
PC

250,000

TO CONTINUE TO LEAD THE DATA SCIENCE AND
MANAGEMENT DIMENSIONS OF THE DEEP CARBON
OBSERVATORY AND CONTRIBUTE TO PROGRAM SYNTHESIS

RESOURCES FOR THE FUTURE, INC
1616 P STREET, NW
WASHINGTON, DC 20036-1400

NONE
PC

150,000

TO CONDUCT RESEARCH ON THE ECONOMICS OF
TRANSPORTATION BY STUDYING CONSUMER DEMAND FOR
NEW VEHICLE TECHNOLOGIES AND ALTERNATIVE FUEL
VEHICLES

ROCKEFELLER UNIVERSITY
1230 YORK AVENUE
NEW YORK, NY 10021-6399

NONE
PC

800,000

TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP
ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP
CARBON OBSERVATORY PROGRAM INITIATED IN 2009

RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY
110 FRELINGHUYSEN ROAD
PISCATAWAY, NJ 08854-8019

NONE
PC

55,832

TO DEVELOP CONCEPTUAL AND EMPIRICAL FRAMEWORKS
THAT ADVANCE THE STUDY OF STEM LABOR MARKETS

SCIENCE FESTIVAL FOUNDATION
475 RIVERSIDE DRIVE
HILL CENTER, BUCH CAMPUS
NEW YORK, NY 10115

NONE
PC

250,000

TO SUPPORT THE PRODUCTION AND EXECUTION OF THE
ANNUAL WORLD SCIENCE FESTIVAL AND RELATED
YEAR-ROUND LIVE AND DIGITAL ACTIVITIES IN 2016,
2017, AND 2018

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ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS, APPROVED FOR FUTURE PAYMENTATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SCIENCE FRIDAY INITIATIVE, INC 19 WEST 44TH STREET, SUITE 412 SUITE 950 NEW YORK, NY 10036	NONE PC	TO SUPPORT SCIENCE FRIDAY, FOCUSING ON SCIENCE AND THE ARTS, INCLUDING RADIO BROADCASTS, DIGITAL SCIENCE VIDEOS, BLOG POSTS, AND ASSOCIATED MEDIA	456,500
SETH STEPHENS-DAVIDOWITZ 924 WEST END AVE NEW YORK, NY 10012	NONE I	TO SUPPORT THE RESEARCH AND WRITING OF NEEDLES AND HAYSTACKS THE SMART WAY TO USE NEW DATA, A BOOK ON THE WIDE-RANGING APPLICATIONS OF BIG DATA ANALYSIS	20,000
SOCIAL SCIENCE RESEARCH COUNCIL 810 SEVENTH AVENUE APT 72 NEW YORK, NY 10019	NONE PC	TO ESTABLISH THE STRUCTURE, GOVERNANCE, AND OFFERINGS OF THE SLOAN SCHOLARS MENTOR NETWORK FOR A THREE-YEAR CYCLE, WITH AN INITIAL TARGET AUDIENCE OF SLOAN SCHOLAR MPHD GRADUATES IN ACADEMIC CAREERS	655,976
SOUTHERN REGIONAL EDUCATION BOARD 592 10TH STREET, NW ATLANTA, GA 30318-5790	NONE PC	TO PROVIDE SLOAN SCHOLARS AND PROGRAM DIRECTORS IN ITS MINORITY PH D PROGRAM ACCESS AND SERVICES AT THE ANNUAL MEETINGS OF SREB'S INSTITUTE FOR TEACHING AND MENTORING	399,645
STANFORD UNIVERSITY 450 SERRA WALL STANFORD, CA 94305	NONE PC	TO CONVENE A CONFERENCE OF ACADEMIC RESEARCHERS AND HUMAN RESOURCE PRACTITIONERS TO DISCUSS PRACTICAL IDEAS TO APPLY EMERGING ACADEMIC RESEARCH TO MANAGING AN AGING WORKFORCE	80,668
STANFORD UNIVERSITY 450 SERRA WALL STANFORD, CA 94305	NONE PC	TO DEVELOP AND APPLY A FRAMEWORK THAT CLASSIFIES, ASSESSES, AND COMPARES THE EXPLICIT AND IMPLICIT SUBSIDIES PROVIDED FOR DIFFERENT ENERGY SOURCES	300,000

ATTACHMENT 23

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10/31/2017

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO DEVELOP NEW EMPIRICAL METHODS AND USE NEW "BIG DATA" RESOURCES FOR ASSESSING THE PERFORMANCE OF MEDICARE AND MEDICARE ADVANTAGE INSURANCE PLANS	270,647
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO DEVELOP SERVICES THAT MODEL HOW ACCESS TO ADMINISTRATIVE DATA CAN FACILITATE RELIABLE, REPRODUCIBLE, AND GROUNDBREAKING RESEARCH IN ECONOMICS	1,104,864
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO MAKE RESEARCH ON MACRO-FINANCIAL MODELING MORE REPRODUCIBLE, COLLABORATIVE, AND COMPARATIVE	384,591
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE PC		TO STUDY THE EFFECTS OF THE AFFORDABLE CARE ACT ON OLDER WORKERS' LABOR MARKET OUTCOMES	103,248
THE ASPEN INSTITUTE 271 MADISON AVENUE, SUITE 606 NEW YORK, NY 10016	NONE PC		TO SUPPORT A DIALOGUE PROCESS THAT APPLIES RESEARCH FINDINGS TO INFORM THE DEVELOPMENT OF BEST PRACTICES FOR THE GOVERNANCE OF SHALE GAS AND OIL	250,000
THE CONVERSATION 745 ATLANTIC AVE BOSTON, MA 02111	NONE PC		TO INFORM CONVERSATIONS ABOUT ECONOMICS BY EDITING AND PUBLISHING PUBLICLY ACCESSIBLE ARTICLES BY ACADEMICS ABOUT THEIR RESEARCH	75,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE NEW SCHOOL FOR SOCIAL RESEARCH 66 WEST 12TH STREET 8TH FLOOR NEW YORK, NY 10011	NONE PC	TO PROVIDE NEW YORK CITY PARENTS, PARTICULARLY THOSE IN UNDERSERVED COMMUNITIES, WITH INFORMATION AND DATA NEEDED TO MAKE SOUND CHOICES ABOUT THEIR CHILDREN'S EDUCATION, ESPECIALLY IN SCIENCE, MATHEMATICS, ECONOMICS, AND COMPUTER SCIENCE	200,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	NONE PC	TO ACCELERATE SCIENTIFIC DISCOVERY BY USING STATISTICAL MACHINE LEARNING TO ENABLE ADVANCED SEARCH OF MATHEMATICAL LITERATURE	400,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	NONE PC	TO CONSTRUCT, CALIBRATE, AND COMPARE MODELS FOR ANALYZING HOW THE FINANCIAL INSTITUTIONS INTERACT WITH THE REAL ECONOMY	200,000
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	NONE PC	TO DEVELOP NEW KNOWLEDGE ABOUT THE METABOLISM OF INDOOR MICROBIAL COMMUNITIES USING EXPERIMENTAL AND MODELING APPROACHES	256,666
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	NONE PC	TO FACILITATE WORKFORCE RESEARCH BY BROKERING, COMBINING, DOCUMENTING, AND MAKING AVAILABLE FOR STUDY ADMINISTRATIVE DATA ABOUT LABOR MARKETS FROM A VARIETY OF SOURCES	243,818
THE UNIVERSITY OF CHICAGO 5801 S ELLIS AVE CHICAGO, IL 60637	NONE PC	TO STUDY EXPERIMENTALLY THE WELFARE ECONOMICS OF NUDGING AND OTHER BEHAVIORAL INTERVENTIONS	305,003

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
TRIBECA FILM INSTITUTE 375 GREENWICH STREET NEW YORK, NY 10013	NONE PC		TO SUPPORT THE SLOAN STUDENT GRAND JURY PRIZE FOR THE ANNUAL SELECTION AND DEVELOPMENT OF THE BEST-OF-THE-BEST SCREENPLAY FROM SLOAN'S SIX FILM SCHOOL PARTNERS	106,320
UNIVERSITY COLLEGE LONDON GOWER STREET LONDON LONDON, CITY OF UNITED KINGDOM WC1E 6BT	NONE NC		TO SUPPORT MICROECONOMIC INSIGHTS, AN ONLINE SOURCE FOR ACCESSIBLE SUMMARIES OF HIGH QUALITY MICROECONOMIC RESEARCH	20,000
UNIVERSITY OF ARIZONA 1401 E UNIVERSITY BLVD TUCSON, AZ 85721	NONE GOV		TO ELUCIDATE THE CONCEPT OF CARBON MINERAL EVOLUTION	116,050
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY, EMPOWERING RESEARCHERS TO BE VASTLY MORE EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	600,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO CHARACTERIZE THE MICROBIAL CONTRIBUTION TO THE VOLATILE ORGANIC COMPOUNDS (VOCs) IN REAL PESIDENTIAL ENVIRONMENTS THROUGH TEMPORALLY AND SPATIALLY RESOLVED VOC MEASUREMENTS	60,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC		TO DEVELOP, DOCUMENT, AND FREELY DISTRIBUTE LINKED ADMINISTRATIVE DATA DERIVED FROM FEDERAL TAX AND EDUCATIONAL RECORDS	95,158

ALFRED P SLOAN FOUNDATION

2016 FOPM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 22 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO EXPAND UNDERSTANDING OF THE MICROBIAL ECOLOGY OF THE BUILT ENVIRONMENT	385,104
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO EXPAND UNDERSTANDING OF THE PROCESSES CONTROLLING INDOOR CHEMISTRY	500,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO PROVIDE RENEWED SUPPORT TO EXAMINE THE MICROBIOLOGY OF THE NEONATAL INTENSIVE CARE UNIT ENVIRONMENT	500,000
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO SUPPORT THE E2E PROJECT AND CONTINUE THE EXPANSION, COORDINATION, AND FACILITATION OF INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY THROUGH RANDOMIZED CONTROLLED TRIALS AND OTHER EXPERIMENTAL METHODOLOGIES	149,516
UNIVERSITY OF CALIFORNIA, BERKELEY 2150 SHATTUCK AVENUE BERKELEY, CA 94704-5940	NONE PC	TO SUPPORT CONTINUED DEVELOPMENT OF THE JUPYTER PLATFORM FOR SCIENTIFIC COMPUTING AND ITS DEVELOPER COMMUNITY	500,000
UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE PC	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON UNDERSTANDING HOW THE TARGETING AND TIMING OF ENERGY EFFICIENCY INFORMATION PROVISION IMPACTS PROGRAM PARTICIPATION	41,063

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC		TO ENGAGE MATHEMATICIANS AND CRYPTOGRAPHERS IN DEVELOPING EFFICIENT AND SECURE METHODS OF COMPUTING ON ENCRYPTED DATA	193,006
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	80,100
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		TO PROVIDE INFORMATION ON THE LABOR MARKET CONSEQUENCES FOR ADULT DAUGHTERS AND SONS PROVIDING ELDER CARE TO THEIR AGING PARENTS	100,199
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC		TO STUDY HOW DISCIPLINARY CONFIGURATIONS, SCALE, AND METHODS OF COLLECTION INFLUENCE THE CIRCULATION OF SCIENTIFIC RESEARCH DATA	300,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO CONDUCT A LONGITUDINAL ANALYSIS OF THE MICROBIOMES OF DORMITORIES AND THEIR INHABITANTS AT THE US AIR FORCE ACADEMY (USAFPA)	200,000
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO ELUCIDATE BENEFICIAL PATHWAYS OF MYCOBACTERIOME EXPOSURES IN OUR BUILT ENVIRONMENT	60,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
UNIVERSITY OF COLORADO, BOULDER 430 UCB BOULDER, CO 80309-0430	NONE PC		TO EXPAND UNDERSTANDING OF CHEMICAL SOURCES, SINKS, AND TRANSFORMATIONS TAKING PLACE INDOORS	500,000
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE PC		TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON EVALUATING THE PROJECTED AND REALIZED SAVINGS FROM THE WEATHERIZATION ASSISTANCE PROGRAM	149,700
UNIVERSITY OF MARYLAND, COLLEGE PARK 7809 REGENTS DRIVE COLLEGE PARK, MD 20742	NONE GOV		TO DEMONSTRATE HOW TO ESTIMATE STANDARD ECONOMIC INDICATORS USING ADMINISTRATIVE DATA ABOUT BUSINESS TRANSACTIONS	845,803
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO EXAMINE "ABUNDANCE, AEROSOLIZATION, AND QUANTITATIVE MICROBIAL RISK ASSESSMENT OF OPPORTUNISTIC BACTERIAL PATHOGENS IN THE BUILD ENVIRONMENT"	60,000
UNIVERSITY OF MICHIGAN 930 N UNIVERSITY AVE ANN ARBOR, MI 48109	NONE PC		TO FACILITATE DATA ACCESS BY DEVELOPING A BROADLY ACCEPTED SYSTEM OF RESEARCHER CREDENTIALING	219,559
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403	NONE GOV		TO PROVIDE RENEWED SUPPORT TO THE BIOLOGY AND THE BUILT ENVIRONMENT CENTER	250,000

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF OXFORD WELLINGTON SQUARE OXFORD UNITED KINGDOM OX1 2JD	NONE PC	TO CONDUCT A FIELD CAMPAIGN OF THE DEEP CARBON OBSERVATORY (DCO) ON DIFFERENTIATION OF BIOTIC AND ABIOTIC CARBON UNITING A DOZEN EARLY CAREER SCIENTISTS REPRESENTING ALL FOUR DCO COMMUNITIES IN A SYNOPTIC STUDY EXEMPLIFYING FULFILLMENT OF THE DCO'S DECADEAL GOALS	179,129
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO DEVELOP, ANALYZE, AND EVALUATE DATA SCIENCE ALGORITHMS THAT PROVABLY PROTECT PRIVACY WHILE AVOIDING OVERFITTING AND FALSE DISCOVERY	244,015
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO ESTABLISH THE MACRO FINANCE SOCIETY AS A CATALYST, FORUM, AND DISSEMINATOR FOR RESEARCH BY MACRO AND FINANCIAL ECONOMISTS	132,457
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE PC	TO HIRE, HOUSE, AND MANAGE THE INITIAL COORDINATOR FOR A NETWORK OF ADMINISTRATIVE DATA RESEARCH FACILITATORS	132,119
UNIVERSITY OF RHODE ISLAND 79 UPPER COLLEGE RD KINGSTON, RI 02881	NONE GOV	TO CONTINUE CONDUCTING ENGAGEMENT ACTIVITIES AND TO PROVIDE SUPPORT FOR SYNTHESIS ACTIVITIES OF THE DEEP CARBON OBSERVATORY	467,731
UNIVERSITY OF SOUTHERN CALIFORNIA 1050 CHILD WAY, RRI 201 (MCB) LOS ANGELES, CA 90089	NONE PC	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	100,000

ATTACHMENT 23

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10/31/2017

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FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY UNIVERSITY OF SOUTHERN CALIFORNIA AUSTIN, TX 78712	NONE GOV	TO CURATE, MERGE, ANONYMIZE, AND EXAMINE RESIDENTIAL SMART METER DATA IN THE COMPETITIVE ELECTRICITY MARKET AREAS OF TEXAS	300,000
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO EXAMINE THE HYDROLOGICAL CHARACTERISTICS OF FIVE MAJOR SHALE GAS AND SHALE OIL REGIONS, INCLUDING UNDERSTANDING ENVIRONMENTAL IMPACTS ON REGIONAL WATER RESOURCES AND INDUCED SEISMICITY EFFECTS FROM WASTEWATER DISPOSAL	155,060
UNIVERSITY OF TEXAS, AUSTIN 2500 SPEEDWAY AUSTIN, TX 78712	NONE GOV	TO RAISE THE VISIBILITY OF AND IMPROVE INCENTIVES FOR SOFTWARE WORK AS A CONTRIBUTION IN THE SCIENTIFIC LITERATURE	423,242
UNIVERSITY OF TORONTO 1 KINGS COLLEGE CIRCLE, RM 4286, ME TORONTO ONTARIO CANADA M5S 1A8	NONE PC	TO EXPAND UNDERSTANDING OF MULTIPHASE CHEMISTRY IN INDOOR ENVIRONMENTS	385,000
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE NE SEATTLE, WA 98195-9472	NONE GOV	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY, EMPOWERING RESEARCHERS TO BE VASTLY MORE EFFECTIVE BY UTILIZING NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS, AND NEW CAREER PATHS	600,000
WAKE FOREST UNIVERSITY 1834 WAKE FOREST ROAD BOX 359472 WINSTON-SALEM, NC 27109	NONE PC	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON DETERMINING HOW MANAGEMENT PRACTICES IN THE INDUSTRIAL SECTOR IMPACT ENERGY EFFICIENCY	99,933

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 23 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WEISMAN ART MUSEUM, UNIVERSITY OF MINNESOTA FOUNDATION 333 E RIVER PKWY MINNEAPOLIS, MN 55455	NONE PC	TO EXPAND PUBLIC AWARENESS OF THE GROUNDBREAKING WORK OF SANTIAGO RAMON Y CAJAL, THE FATHER OF MODERN NEUROSCIENCE, THROUGH AN EXHIBITION AND BOOK OF HIS DRAWINGS	75,000
WESTERN WASHINGTON UNIVERSITY 516 HIGH STREET BELLINGHAM, WA 98225-5996	NONE GOV	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY, AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW COMMITTEE, FOCUSED ON QUANTIFYING THE IMPACT OF ENERGY EFFICIENCY ON HOUSING VALUES	109,304
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE PC	FOR A TWO-HOUR NOVA SPECIAL ABOUT BLACK HOLES HOSTED BY ASTROPHYSICIST JANNA LEVIN, AND ANCILLARY OUTREACH ACTIVITIES AND A FREE MOBILE APP	100,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE PC	FOR A TWO-HOUR NOVA SPECIAL, BEYOND THE ELEMENTS, THAT INVESTIGATES THE SUBSTANCES COMPOSED OF THE ELEMENTS IN THE PERIODIC TABLE AND ACCOMPANYING EDUCATIONAL OUTREACH AND MARKETING	1,000,000
WIKIMEDIA FOUNDATION 149 NEW MONTGOMERY STREET, 6TH FLOOR SAN FRANCISCO, CA 94105	NONE PC	AS A FINAL GRANT TO BOLSTER WIKIPEDIA'S READERSHIP AND EDITORS, INCLUDING MORE WOMEN, EXPAND ITS MOBILE PRESENCE, AND STRENGTHEN ITS TECHNICAL INFRASTRUCTURE AS IT MOVES TO SELF-SUSTAINABILITY	500,000
WIKIMEDIA FOUNDATION 149 NEW MONTGOMERY STREET, 6TH FLOOR SAN FRANCISCO, CA 94105	NONE PC	TO TRANSFORM WIKIPEDIA COMMONS' MEDIA FILES FROM FREE TEXT INTO MACHINE-READABLE, STRUCTURED DATA, ENABLING NEW USES FOR MILLIONS OF MEDIA FILES ON WIKIPEDIA AND ACROSS THE WEB	3,015,000

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10/31/2017

76692K 700J

ATTACHMENT 23

ALFRED P SLOAN FOUNDATION

2016 FORM 990-PF

13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENTRECIPIENT NAME AND ADDRESSPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

WNET

825 EIGHTH AVENUE, 14TH FLOOR
NEW YORK, NY 10019NONE
PC

50,000

TO PRODUCE AT LEAST THREE ANNUAL SLOAN-RELATED
SCIENCE, TECHNOLOGY, AND ECONOMICS THEMED
EPISODES OF THE OPEN MIND FOR TWO YEARS AND TO
SUPPORT ENHANCED OUTREACH AND PROMOTION FOR THE
SHOW TO A NATIONAL PBS AUDIENCEWOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS
ONE WOODROW WILSON PLAZA
WASHINGTON, DC 20004-3027NONE
PC

250,000

AS A FINAL GRANT TO SUPPORT THE GROWTH AND
EXPANSION OF CITIZEN SCIENCE WITHIN AND OUTSIDE
OF GOVERNMENT

YALE UNIVERSITY

300 GEORGE STREET, SUITE 901
1300 PENNSYLVANIA AVENUE, N W
NEW HAVEN, CT 06511NONE
PC

652,646

TO CONDUCT RESEARCH AND PROFESSIONAL TRAINING ON
THE THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL
REGULATION

YESHIVA UNIVERSITY

500 W 185TH STREET
NEW YORK, NY 10033NONE
PC

20,000

TO SUPPORT COLLABORATION BETWEEN MATHEMATICIANS
FROM THE US AND EUROPE ON THE STUDY OF FINITE AND
INFINITE DIMENSIONAL DYNAMICAL SYSTEMS

DUKE UNIVERSITY

124 SCIENCE DRIVE
DURHAM, NC 27701NONE
PC

100,000

TO SUPPORT DUKE UNIVERSITY'S ENERGY DATA
ANALYTICS LAB TO DEVELOP AND APPLY ADVANCED DATA
ANALYTICS TOOLS THAT IMPROVE UNDERSTANDING ABOUT
POTENTIAL ENERGY UTILIZATION AND RESPONSES TO
VARIOUS INTERVENTIONS THAT AFFECT ENERGY
UTILIZATION

TOTAL CONTRIBUTIONS APPROVED

46,604,654

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10/31/2017

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ATTACHMENT 23

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 24

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	RELATED OR EXEMPT FUNCTION INCOME	
				AMOUNT	
REFUNDED GRANTS					
REC'D WITH GRANT TRANSFERS			01	447,034	
ROYALTY INC BOOKS, VIDEOS, SOFTWARE			01	1,034,983	
BNYM QUALIFIED - FOREX SETTLEMENT FUNDS			01	733	
			01	23,242	

TOTALS

1,505,992