

Form 990-PF

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public.

2016

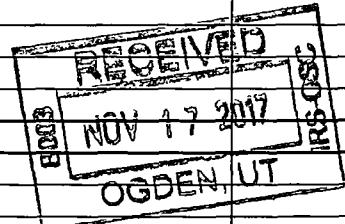
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: MATHIS PFOHL FOUNDATION
Employer identification number: 11-6013764
Telephone number: (718) 784-4800
City: LONG ISLAND CITY, NY 11101
Check type of organization: Section 501(c)(3) exempt private foundation
Fair market value of all assets at end of year: \$ 4,721,619

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Adjusted net income of -280,949.



SCANNED NOV 17 2017 Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		275,253.	274,514.	274,514.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) ATCH 5 . . . . .	4,571,080.	4,447,105.	4,447,105.	
	c	Investments - corporate bonds (attach schedule) . . . . .				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans . . . . .				
13		Investments - other (attach schedule) . . . . .				
14		Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶ )				
16		<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	4,846,333.	4,721,619.	4,721,619.	
17		Accounts payable and accrued expenses . . . . .				
18		Grants payable . . . . .				
19		Deferred revenue . . . . .				
20		Loans from officers, directors, trustees, and other disqualified persons . . . . .				
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ )				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0.	0.		
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24	Unrestricted . . . . .	4,846,333.	4,721,619.		
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	4,846,333.	4,721,619.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	4,846,333.	4,721,619.			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	4,846,333.
2	Enter amount from Part I, line 27a . . . . .	2	-280,949.
3	Other increases not included in line 2 (itemize) ▶ ATCH 6 . . . . .	3	159,435.
4	Add lines 1, 2, and 3 . . . . .	4	4,724,819.
5	Decreases not included in line 2 (itemize) ▶ ATCH 7 . . . . .	5	3,200.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	4,721,619.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b>	SEE PART IV SCHEDULE			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	133,954.
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	457,500.	5,052,426.	0.090551
2014	370,751.	5,301,701.	0.069931
2013	331,757.	4,706,972.	0.070482
2012	337,161.	4,119,701.	0.081841
2011	304,235.	4,001,847.	0.076024
<b>2</b>	Total of line 1, column (d)	<b>2</b>	0.388829
<b>3</b>	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	<b>3</b>	0.077766
<b>4</b>	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	4,712,216.
<b>5</b>	Multiply line 4 by line 3.	<b>5</b>	366,450.
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	1,852.
<b>7</b>	Add lines 5 and 6.	<b>7</b>	368,302.
<b>8</b>	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	<b>8</b>	461,180.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', 'Credits/Payments', and 'Total credits and payments'. Values include 1,852, 6,793, and 4,941.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question text, 'Yes', and 'No'. Questions cover political influence, tax on political expenditures, and substantial contributors. Marked 'Yes' or 'No' with 'X'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes, attach schedule (see instructions). . . . . 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of MUFIT KOPRAN Telephone no 718-784-4800 Located at 5-46 46TH AVENUE LONG ISLAND CITY, NY ZIP+4 11101-5215
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b Yes No X
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . . 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . Yes No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b Yes No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) . . . . . 3b Yes No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? . . . . . 4b Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  **5b** X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870 **6b** X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
3 All other program-related investments See instructions NONE	

Total. Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	4,509,093.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	274,883.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	4,783,976.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	4,783,976.
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	71,760.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	4,712,216.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	235,611.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	235,611.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	1,852.
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	1,852.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	<b>3</b>	233,759.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	233,759.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	233,759.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	461,180.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	461,180.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	1,852.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	459,328.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				233,759.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .				
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>				
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . . .	108,269.			
b From 2012 . . . . .	135,440.			
c From 2013 . . . . .	108,268.			
d From 2014 . . . . .	116,052.			
e From 2015 . . . . .	208,324.			
f Total of lines 3a through e . . . . .	676,353.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>461,180.</u>				
a Applied to 2015, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2016 distributable amount. . . . .				233,759.
e Remaining amount distributed out of corpus. . .	227,421.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	903,774.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	108,269.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	795,505.			
10 Analysis of line 9				
a Excess from 2012 . . . . .	135,440.			
b Excess from 2013 . . . . .	108,268.			
c Excess from 2014 . . . . .	116,052.			
d Excess from 2015 . . . . .	208,324.			
e Excess from 2016 . . . . .	227,421.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> <i>Paid during the year</i></p> <p>ATCH 9</p>				
<b>Total</b> .....				460,930.
<p><b>b</b> <i>Approved for future payment</i></p>				
<b>Total</b> .....				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Gain from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash... (2) Other assets... b Other transactions: (1) Sales of assets... (2) Purchases of assets... (3) Rental of facilities... (4) Reimbursement arrangements... (5) Loans or loan guarantees... (6) Performance of services... c Sharing of facilities... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All cells are empty.

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Sign Here [Signature] 11/14/17 Trustee

Paid Preparer Use Only section containing fields for Preparer's name (WILLIAM TIMLEN), Signature, Date (11/14/17), Firm's name (EISNERAMPER LLP), Firm's address (750 THIRD AVENUE NEW YORK, NY), and Firm's EIN (13-1639826).

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
47,893.		MERRILL LYNCH A/C 840-04040 45,138.					2,755.	
173,740.		MERRILL LYNCH A/C 840-04040 174,840.					-1,100.	
26,540.		MERRILL LYNCH A/C 840-04040 14,590.					11,950.	
204,412.		MERRILL LYNCH A/C 840-04041 210,969.					-6,557.	
167,459.		MERRILL LYNCH A/C 840-04041 138,777.					28,682.	
3,460.		MERRILL LYNCH A/C 840-04041 994.					2,466.	
65,063.		MERRILL LYNCH A/C 840-04042 65,505.					-442.	
90,233.		MERRILL LYNCH A/C 840-04042 80,571.					9,662.	
2,708.		MERRILL LYNCH A/C 840-04042 1,462.					1,246.	
190,727.		MERRILL LYNCH A/C 840-04043 194,829.					-4,102.	
80,285.		MERRILL LYNCH A/C 840-04043 81,221.					-936.	
8,373.		MERRILL LYNCH A/C 840-04043 4,252.					4,121.	

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
25,077.		MERRILL LYNCH A/C 840-04088 28,481.					-3,404.	
45,206.		MERRILL LYNCH A/C 840-04088 42,533.					2,673.	
3,025.		MERRILL LYNCH A/C 840-04088 1,553.					1,472.	
34,859.		MERRILL LYNCH A/C 840-96070 18,364.					16,495.	
13,990.		MERRILL LYNCH A/C 840-04G62 12,174.					1,816.	
67,916.		MERRILL LYNCH A/C 840-04G62 57,470.					10,446.	
28,121.		MERRILL LYNCH A/C 840-04G62 13,084.					15,037.	
160,273.		MERRILL LYNCH A/C 840-04G63 166,038.					-5,765.	
141,916.		MERRILL LYNCH A/C 840-04G63 117,977.					23,939.	
1,462.		MERRILL LYNCH A/C 840-04G63 518.					944.	
63,579.		MERRILL LYNCH A/C 840-04G64 73,938.					-10,359.	
159,377.		MERRILL LYNCH A/C 840-04G64 153,772.					5,605.	

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
17,660.		MERRILL LYNCH A/C 840-04G64 7,109.					10,551.	
306,039.		MERRILL LYNCH A/C 840-04G65 319,644.					-13,605.	
82,538.		MERRILL LYNCH A/C 840-04G65 72,012.					10,526.	
14,760.		MERRILL LYNCH A/C 840-04G66 19,869.					-5,109.	
237.		MERRILL LYNCH A/C 840-04G66 237.						
44,035.		MERRILL LYNCH A/C 840-04G66 40,048.					3,987.	
6,577.		MERRILL LYNCH A/C 840-04G66 3,571.					3,006.	
4,802.		MERRILL LYNCH A/C 840-04G67 4,083.					719.	
24,676.		MERRILL LYNCH A/C 840-04G67 25,224.					-548.	
11,338.		MERRILL LYNCH A/C 840-04G67 6,923.					4,415.	
44,678.		MERRILL LYNCH A/C 840-04G68 49,553.					-4,875.	
140,209.		MERRILL LYNCH A/C 840-04G68 122,082.					18,127.	

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
116.		MERRILL LYNCH CAPITAL DISTRIBU					116.	
TOTAL GAIN (LOSS) .....							<u>133,954.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST	24.	24.
TOTAL	<u>24.</u>	<u>24.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	93,573.	93,573.
TOTAL	<u>93,573.</u>	<u>93,573.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX WITHHOLDING	2,636.	2,636.
SECTION 4940 TAX ON INVESTMENT	5,000.	
TOTALS	<u>7,636.</u>	<u>2,636.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES <u>PER BOOKS</u>	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	32,150.	32,150.	
NYS FILING FEE	250.		250.
PROFESSIONAL FEES	7,534.	7,534.	
TOTALS	<u>39,934.</u>	<u>39,684.</u>	<u>250.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MERRILL LYNCH - SEE ATTACHMENT	4,447,105.	4,447,105.
TOTALS	<u>4,447,105.</u>	<u>4,447,105.</u>

ATTACHMENT 6

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN	159,435.
TOTAL	<u>159,435.</u>

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

NON-DIVIDEND DISTRIBUTIONS

3,200.

TOTAL

3,200.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PAULA C KIRBY  
15 EDMONT ROAD  
LARCHMONT, NY 10538

DIRECTOR  
1.00

LEONARD MATTHEW QUIGLEY  
3 NORTHWAY  
BRONXVILLE, NY 10708

DIRECTOR  
1.00

LOUIS ANTHONY PFOHL  
1053 SHADY OAKS DRIVE  
DUBUQUE, IA 52003

DIRECTOR  
1.00

GRAND TOTALS

0.

0.

0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

NONE

UNRESTRICTED GRANTS TO PUBLIC CHARITIES

460,930

SEE STATEMENT ATTACHED  
VARIOUS  
VARIOUS, NY 10001

TOTAL CONTRIBUTIONS PAID

460,930

Institution	Account Number	Cash	Equities	Total Investment
Merrill Lynch	840-04G62	21,333	278,715	300,048
Merrill Lynch	840-04G63	2,624	281,301	283,925
Merrill Lynch	840-04G64	17,771	283,223	300,995
Merrill Lynch	840-04G65	5,321	196,196	201,516
Merrill Lynch	840-04G66	4,482	180,741	185,224
Merrill Lynch	840-04G67	7,563	228,924	236,487
Merrill Lynch	840-04G68	13,454	229,185	242,639
Merrill Lynch	840-04040	24,914	458,168	483,082
Merrill Lynch	840-04041	3,415	312,791	316,206
Merrill Lynch	840-04042	2,461	189,908	192,368
Merrill Lynch	840-04043	5,482	196,574	202,056
Merrill Lynch	840-04088	3,770	124,736	128,506
Merrill Lynch	840-96070	161,923	1,486,645	1,648,568
		274,514	4,447,105	4,721,619

Mathis Philol Foundation  
 Form 990BF - Part XV - Grants and Contributions paid during the year

Recipient	Address	Address 2	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
Socrates Sculpture Park	P O BOX 6259		Long Island City	NY	11106	PC	General Purposes	500
Hunters Point Parks Conservancy	48-09 Center Blvd		Long Island City	NY	11101	PC	General Purposes	2,500
PS/IS 78Q PTA	Queens Library Foundation		Long Island City	NY	11101	PC	General Purposes	5,000
Queens Borough Public Library	44-02 23rd Street	89-11 Merrick Boulevard	Jamaica	NY	11432	PC	General Purposes	1,000
LIC Arts Open	65-30 Kissena Blvd		Long Island City	NY	11101	PC	General Purposes	2,500
Queens College Foundation	Eastern Division Inc. Queens Office		Flushing	NY	11367	PC	General Purposes	2,750
American Cancer Society	310 Prospect Park West	PO BOX 910	New York City	NY	10101-0910	PC	General Purposes	1,000
Catholic Foundation for Brooklyn & Queens	New York City Building		Brooklyn	NY	11215	PC	General Purposes	60,000
Annual Catholic Appeal	5-49 49th Ave		Brooklyn	NY	11215	PC	General Purposes	25,000
Queens Museum	3711 35th St	Flushing Meadows Corona Park	Queens	NY	11368	PC	General Purposes	25,000
Chocolatic Factory Theater	248 W 35th St		Long Island City	NY	11101	PC	General Purposes	2,500
Queens Council On Arts	4-74 48th Ave		Astoria	NY	11101	PC	General Purposes	2,000
Fractured Atlas		Apt 11E	New York	NY	10001	PC	General Purposes	500
Hunters Point Civic Association			Long Island City	NY	11109	PC	General Purposes	250
Department of Law						PC	General Purposes	250
Hellen Keller Worldwide	352 Park Ave South	12th Floor	New York City	NY	10010	PC	General Purposes	250
Middlebury College	Italian School Sunderland Language Center	Director of Annual Fund Sunderland Language Center	Middlebury	VT	05753	PC	General Purposes	300
National Down Syndrome	666 Broadway		New York City	NY	10012-2317	PC	General Purposes	150
Smile Train	41 Madison Avenue	28TH FLOOR	New York City	NY	10010	PC	General Purposes	100
ATO Capital fund						PC	General Purposes	500
CCYA Crohns & Colitis Foundation	733 3rd Ave	#510	New York	NY	10017	PC	General Purposes	200
Doctors Without Borders	333 7TH Avenue	2nd Floor	New York City	NY	10001	PC	General Purposes	300
Phillips Exeter Academy	20 Main St		Exeter	NH	03833	PC	General Purposes	200
Rain Forest Alliance	233 Broadway		New York	NY	10279	PC	General Purposes	200
Tower School	75 W Shore Dr		Marblehead	MA	01945	PC	General Purposes	200
University of Virginia	UVA Gift Processing Services	PO Box 400331	Charlottesville	VA	22904-4331	PC	General Purposes	500
Vanderbilt Univ Law School	131 21st Ave S		Nashville	TN	37203	PC	General Purposes	1,000
William Lawrence Camp	139 Federal Corner Rd		Center Tufonboro	NH	03816	PC	General Purposes	100
Penn State University	Penn State Annual Fund		State College	PA	16801	PC	General Purposes	500
St. John's Prep	Office of Development	2583 Gateway Drive, Suite 200	Astoria	New York	11105	PC	General Purposes	1,000
Old North Church	193 Salem St	21-21 Crescent Street	Boston	MA	02113	PC	General Purposes	300
Coalition For Queens	31-00 47th Ave suite 1105	Long Island City, NY 11101				PC	General Purposes	15,000
Alzheimers Association	P O BOX 96011	Washington				PC	General Purposes	5,000
American Museum of Natural Hist	Central Park West at 79th Street		New York City	NY	20090-6011	PC	General Purposes	1,800
Calvary Hospital	1740 Eastchester Road		Bronx	NY	10461	PC	General Purposes	2,500
Campaign for Mt. Sinai Queens	One Gustave L Levy Place	Box #1049	New York City	NY	10029	PC	General Purposes	2,000
Channel Thirteen WNET	450 W 33rd Street		New York City	NY	10001	PC	General Purposes	2,500
Duke University	2127 Campus Drive		Durham	NC	27708-0600	PC	General Purposes	60,000
East River Development Alliance	12-11 40th Avenue		Long Island City	NY	11101	PC	General Purposes	1,000
Forest Hills Ambulances Corps	P O BOX 750617		Forest Hills	NY	11375	PC	General Purposes	600
Fractured Atlas	ATTN Development	248 West 35th Street 10th Floor	New York	NY	10001	PC	General Purposes	1,000
Hour Children	36-11A 12th Street		Long Island City	NY	11106	PC	General Purposes	2,000
Hunters Point Community Development	49-10 Vernon Blvd		Long Island City	NY	11101	PC	General Purposes	250
Jacob A. Rius Settlement	10-25 41st Avenue		Long Island City	NY	11101	PC	General Purposes	2,500
Laguardia Community College Foundation	31-10 Thomson Avenue	Room E508	Long Island City	NY	11101	PC	General Purposes	1,000
LIC Cultural Alliance	PO Box 1858		Long Island City	NY	11101	PC	General Purposes	1,000
Mary's Meals	32-23 Queens Boulevard		Long Island City	NY	11101	PC	General Purposes	1,500
Materials for the Arts	590 Bloomfield Ave	Unit 280	Bloomfield	NJ	07003	PC	General Purposes	500
Metropolitan Museum of Art	33-00 Northern Blvd		Long Island City	NY	11101	PC	General Purposes	1,000
MOMA	1000 Fifth Avenue		New York	NY	10028-0198	PC	General Purposes	1,800
Department of Development, The Museum of N Y	11 West 53 Street		New York	NY	10019	PC	General Purposes	1,800

Recipient	Address	Address 2	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
Museum of the Moving Image	36-01 35th Avenue		Astoria	NY	11106	PC	General Purposes	1,000
New York Public Library	445 5th Ave	4th Floor	New York	NY	10016	PC	General Purposes	1,500
Newtown Creek Alliance	45-18 Skillman Avenue		Sunnyside	NY	11104	PC	General Purposes	1,000
Our Lady of Mercy	70-01 Kessel Street		Forest Hills	NY	11375	PC	General Purposes	180
Prosper Special Education	21 Bay 11th Street		Brooklyn	NY	11228	PC	General Purposes	1,000
PS 1	22-25 Jackson Ave		Long Island City	NY	11101	PC	General Purposes	1,000
Queens Borough Public Library	Queens Library Foundation	89-11 Merrick Boulevard	Jamaica	NY	11432	PC	General Purposes	1,000
Friends Of Queens Library	Ravenswood Family Literacy Center	37-44 21st St	Long Island City	NY	11101	PC	General Purposes	500
Metropolitan Waterfront Alliance	217 Water Street	Suite 300	New York	NY	10038	PC	General Purposes	1,000
National Trustee For Historic Preservation	1785 Massachusetts Ave, NW		Washington	DC	20036	PC	General Purposes	300
Riverkeeper	20 Secor Road		Ossining	NY	10562	PC	General Purposes	1,000
Sculpture Center	44-19 Purves St		Long Island City	NY	11101	PC	General Purposes	1,000
Sharing and Caring	45-02 Dumars Blvd		Astoria	NY	11105	PC	General Purposes	750
St. David's School	12 East 89th Street		New York	NY	10128	PC	General Purposes	1,000
St. Jude's Children's Hospital	501 St. Jude Place		Memphis	TN	38105	PC	General Purposes	500
St. Mary's Church	10-08 49th Avenue		Long Island City	NY	11101	PC	General Purposes	2,000
St. Mary's Romanian Church	42-14 74th Street		Elmhurst	NY	11373	PC	General Purposes	750
Sunnyside Community Services	43-31 39th Street		New York	NY	10065	PC	General Purposes	3,000
Wright Center for the Aging	1300 York Avenue - Box 39	Sunnyside, NY 11104	New York	NY	11101	PC	General Purposes	500
108th Precinct Community Council	Police Department	5-47 50th Avenue	Long Island City	NY	11101	PC	General Purposes	1,000
Socrates Sculpture Park	P O Box 6259		Long Island City	NY	11106	PC	General Purposes	1,000
Duke University	2127 Campus Drive		Durham	NC	27708-0600	PC	General Purposes	750
Hochkiss School	The Hochkiss Fund	11 Interlaken Road	Lakeville	CT	06039-2141	PC	General Purposes	750
INSEAD	Management Education Foundation	P O Box 7555	New York	NY	10150	PC	General Purposes	1,700
Masters School	Atin Development Office	49 Clinton Avenue	Dobbs Ferry	NY	10522	PC	General Purposes	1,000
Cathedral of the Sacred Heart	89 Ridge St		Newark	NJ	07104	PC	General Purposes	400
Columbia Medical School	Columbia University Gift Systems, MC 4524	622 W 113th Street	Richmond	VA	23235	PC	General Purposes	1,000
Community Idea Station	23 Sesame Street		Richmond	VA	23220	PC	General Purposes	400
Dartmouth College	6066 Development Office		Hanover	NH	03755	PC	General Purposes	750
Hellen Keller Worldwide	352 Park Ave South	12th Floor	New York City	NY	10010	PC	General Purposes	1,000
Massachusetts Institute of Technology	MIT Annual Fund	600 Memorial Drive, W98-200	Cambridge	MA	02139-4822	PC	General Purposes	750
MLWGSIGIS Foundation	Governor's School Foundation, Attention: Trea	1000 North Lombardy Street	Richmond	VA	23220	PC	General Purposes	500
Penn State University	Penn State Annual Fund	2383 Gateway Drive, Suite 200	State College	PA	16801	PC	General Purposes	200
Chocolate Factory Theater	5-49 49th Ave		Long Island City	NY	11101	PC	General Purposes	500
CHOP GI Research	Children's Hospital of Philadelphia Foundation P O Box 8500	Lockbox #1352	Philadelphia	PA	19178	PC	General Purposes	500
Dream Program, Inc	Development Office, 8th Floor	150 West 62nd Street	New York	NY	10023	PC	General Purposes	200
Fordham Law School	2500 Mann Street		Lawrenceville	NJ	08648	PC	General Purposes	100
Lawrenceville School	Penn State Annual Fund	2583 Gateway Drive, Suite 200	State College	PA	16801	PC	General Purposes	2,000
Penn State University	1219 Vine Street	Floor 3	Philadelphia	PA	19107	PC	General Purposes	500
Philadelphia Young Playwrights	815 Second Avenue		New York	NY	10017	PC	General Purposes	250
St. David's Episcopal Church	P O Box 61075		New Orleans	LA	70161-9986	PC	General Purposes	250
Tulane University	Annual Fund, University of California	One Shields Avenue	Davis	CA	95616-8574	PC	General Purposes	250
UC Davis Annual Fund	UGA Foundation	394 S. Millidge Avenue	Athens	GA	30602	PC	General Purposes	250
University of Georgia Foundation	UGA Wishmakers	102U Tate Plaza	Athens	GA	30602	PC	General Purposes	250
UGA Wishmakers on Campus	5-25 46th Ave		Long Island City	NY	11101	PC	General Purposes	1,000
LIC Artists	217 Water Street, Suite 300		New York	NY	10038	PC	General Purposes	1,000
Waterfront Alliance	Penn State Annual Fund	2583 Gateway Drive, Suite 200	State College	PA	16801	PC	General Purposes	500
Penn State University	Atin Development Office	49 Clinton Avenue	Dobbs Ferry	NY	10522	PC	General Purposes	500
Masters School	Primary Building, 161 Garden St		Cambridge	MA	02138	PC	General Purposes	250
Cambridge Montesson	Givc2Asia	600 California Street, 11th Floor	San Francisco	CA	94108	PC	General Purposes	250
Friends of American School in Japan	20 Main St		Exeter	NH	03833	PC	General Purposes	250
Phillips Exeter Academy	120 Water Street	Suite 310	North Andover	MA	01845	PC	General Purposes	3,150
School Year Abroad								

Recipient	Address	Address 2	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
St. Davids School	12 East 89th Street		New York	NY	10128	PC	General Purposes	100
Pennsylvania State University	Penn State Annual Fund	2583 Gateway Drive, Suite 200	State College	PA	16801	PC	General Purposes	1,000
Angell Memorial Holiday Trees						PC	General Purposes	100
Buddy Dug Humane Society	151 Boston Post Road		Sudbury	MA	01776	PC	General Purposes	200
Cat Connection	P O Box 541435		Waltham	MA	02454-1435	PC	General Purposes	100
Jackson School						PC	General Purposes	1,500
Jimmy Fund	Dana-Farber Cancer Institute and the Jimmy Fu P O Box 849168		Boston	MA	02284-9168	PC	General Purposes	200
Make a Wish Foundation	Make-A-Wish America Gift Processing	4742 North 24th Street, Suite 400	Phoenix	AZ	85016	PC	General Purposes	100
Pacific Legal Foundation	930 G Street		Sacramento	CA	95814	PC	General Purposes	500
Perkins School for the Blind	Perkins School for the Blind, Perkins Trust	175 North Beacon Street	Watertown	MA	02472	PC	General Purposes	200
Salvation Army	34-02 Steinway St		Long Island City	NY	11101	PC	General Purposes	300
Smile Train	41 Madison Avenue 28th Floor		New York	NY	10010	PC	General Purposes	500
St. Jude's Children's Hospital	501 St. Jude Place		Memphis	TN	38105	PC	General Purposes	200
St. Mary of the Assumption						PC	General Purposes	500
Wesleyan University	318 High Street		Middletown	CT	06459	PC	General Purposes	100
Penn State University	Penn State Annual Fund	2583 Gateway Drive, Suite 200	State College	PA	16801	PC	General Purposes	500
American Cancer Society	Eastern Division Inc Queens Office	PO BOX 910	New York City	NY	10101-0910	PC	General Purposes	100
American Lung Association	Donation Department	45 Ash Street	East Hartford	CT	06108	PC	General Purposes	100
Americares	88 Hamilton Ave		Stamford	CT	06902	PC	General Purposes	200
Catholic Medical Mission Board	100 Wall St 9th Fl		NY	NY	10005	PC	General Purposes	250
Catholic Relief Services	P O Box 170666		Baltimore	MD	21297	PC	General Purposes	250
Center for Comprehensive Health Practices	1900 2nd Ave		NY	NY	10029	PC	General Purposes	300
CHOP GI Research	Down Syndrome Program	401 Park Drive Suite 602	Boston	MA	02215	PC	General Purposes	500
CHOP GI Research	Children's Hospital of Philadelphia Foundation	P O Box 8500 Lockbox #1352	Philadelphia	PA	19178	PC	General Purposes	500
City Harvest Inc	Donor Systems	6 East 32nd St	New York	NY	10016	PC	General Purposes	200
Coalition for the Homeless	Donation Department	129 Fulton Street	New York	NY	10038	PC	General Purposes	200
Duke Catholic Student Center	PO Box 90974		Durham	NC	27708	PC	General Purposes	100
FINCA	1201 15th Street NW		Washington	DC	20005	PC	General Purposes	250
Fresh Air Fund	633 3rd Ave 14th Floor		New York	NY	10017	PC	General Purposes	250
Habitat for Humanity	Donation Department	121 Habitat Street	Americus	GA	31709	PC	General Purposes	250
Hour Children	36-11A 12th Street		Long Island City	NY		PC	General Purposes	250
International Medical Corps	Development Department	12400 Wilshire Blvd Suite 1500	Los Angeles	CA	90025	PC	General Purposes	250
Jacob A. Rus Settlement	10-25 41st Avenue		Long Island City	NY	11101	PC	General Purposes	1,000
Memorial Sloan Kettering Cancer	633 Third Avenue, 28th Floor		New York	NY	10017	PC	General Purposes	200
Mothers Against Drunk Driving	Donation Department	511 E John Carpenter Fwy Suite 700	Irving	TX	75062	PC	General Purposes	200
Multiple Myeloma Research	383 Main Street		Norwalk	CT	06851	PC	General Purposes	200
National Trustee For Historic Preservation	2600 Virginia Avenue NW		Washington	DC	20037	PC	General Purposes	200
New York Presbyterian Hospital	Division of Neonatology	1300 York Ave, Box 123	New York	NY	10021	PC	General Purposes	200
New York Restoration Project	254 West 31st St	10th Floor	New York	NY	10001	PC	General Purposes	100
Oxfam International	226 Causeway Street	5th Floor	Boston	MA	02114	PC	General Purposes	200
Pacific Legal Foundation	930 G Street		Washington	DC	20037	PC	General Purposes	200
Paine Memorial Free Library	2 Gilliland Lane		Willsboro	NY	12996	PC	General Purposes	500
Save the Children	Suite 400	501 King Hwy E Suite 400	Fairfield	CT	06825	PC	General Purposes	250
Songcatchers, Inc	44 Liberty Avenue		New York	NY	10010	PC	General Purposes	200
Special Olympics	1133 19th Street NW		Washington	DC	20036	PC	General Purposes	200
St Philip of Jesus Church	P O Box 607		Willsboro	NY	12996	PC	General Purposes	1,000
Tablet Bright	The Tablet	1712 10th Avenue	Brooklyn	NY	11215	PC	General Purposes	200
Ursuline Provinciate Inc	Development Office	1338 North Avenue	New Rochelle	NY	10804	PC	General Purposes	300
The USO	P O Box 96860		Washington	DC	20077	PC	General Purposes	300
Franciscan Friars Renewal	Franciscan Friar of the Atonement - Graymoor P O Box 301		Garrison	NY	10524-0301	PC	General Purposes	100
Albertus Magnus College	700 Prospect Street		New Haven	CT	06511	PC	General Purposes	500
Boys and Girls Club of Greenwich	4 Horseneck Lane		Greenwich	CT	06830	PC	General Purposes	250

Recipient	Address	Address 2	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
Chaminade High School	Chaminade Development Fund, Office of Devo	340 Jackson Avenue	Mincola	NY	11301	PC	General Purposes	500
Deerfield Academy	P O Box 306		Deerfield	MA	01342	PC	General Purposes	250
Deerfield Academy	P O Box 306		Deerfield	MA	01342	PC	General Purposes	5,000
Dominican Academy	44 East 68th Street		New York	NY	10065	PC	General Purposes	500
The Fiquia Annual Fund	Alumni & Development Records, Duke Univer	Box 90581	Durham	NC	27708-0581	PC	General Purposes	500
Dr Katie Donahue Resident International Ar	Suite 115		Hershey	PA	17033-0852	PC	General Purposes	1,000
Duke Annual Fund	Alumni & Development Records, Duke Univer	Box 90581	Durham	NC	27708-0581	PC	General Purposes	7,000
Duke University School of Law	Alumni & Development Records, Duke Univer	210 Science Drive, PO Box 90389	Durham	NC	27708	PC	General Purposes	500
Duke Annual Fund	Alumni & Development Records, Duke Univer	Box 90581	Durham	NC	27708-0581	PC	General Purposes	2,500
Episcopal High School RollCall	Advancement Office, Episcopal High School	1200 North Quaker Lane	Alexandria	VA	22302	PC	General Purposes	2,500
Friends of Greenwich Point	P O Box 711		Old Greenwich	CT	06870	PC	General Purposes	250
Harvard Law School	Alumni Center	1563 Massachusetts Avenue	Cambridge	MA	02138	PC	General Purposes	1,000
Hope Funds for Cancer Research	174 Bellevue Avenue	Suite 208	Newport	RI	02840	PC	General Purposes	200
Hospital for Special Surgery	Development Department	535 East 70th Street	New York	NY	10021	PC	General Purposes	1,000
Lawrenceville School	2500 Main Street		Lawrenceville	NJ	08648	PC	General Purposes	500
Lee Smith Memorial						PC	General Purposes	1,000
Lincoln Center Theater Young Angels						PC	General Purposes	750
Little Orchestra Society	330 West 42nd Street	12th Floor	New York	NY	10036	PC	General Purposes	250
Naval War College	686 Cushing Road		Newport	RI	02841-1207	PC	General Purposes	200
New Canaan Fire Department	60 Main St		New Canaan	CT	06840	PC	General Purposes	500
New Canaan Library	151 Main Street		New Canaan	CT	06840	PC	General Purposes	200
New Canaan YMCA	564 South Avenue		New Canaan	CT	06840	PC	General Purposes	500
No Greater Sacrifice	1101 Pennsylvania Avenue, NW	Suite 300	Washington	DC	20004	PC	General Purposes	1,000
Norbertine Fathers						PC	General Purposes	500
Notre Dame University	University of Notre Dame, Department of Dev.	1100 Grace Hall	Notre Dame	IN	46556	PC	General Purposes	1,000
New York Philharmonic	David Geffen Hall	10 Lincoln Center Plaza	New York	NY	10023-6970	PC	General Purposes	750
Our Lady Queen of Angels School	Nina DeMco, Admissions and Development A-	229 East 112th St	New York	NY	10029	PC	General Purposes	500
Paulist Fathers	415 West 59th St		New York	NY	10019	PC	General Purposes	2,500
Perrot Memorial Library	90 Sound Beach Avenue		Old Greenwich	CT	06870	PC	General Purposes	100
Princeton University	Annual Giving	P O Box 5357	Princeton	NJ	08543-5357	PC	General Purposes	500
Rhodes College	Attn Office of Development	2000 North Parkway	Memphis	TN	38112	PC	General Purposes	1,000
Shawn Reeder Memorial Scholarship						PC	General Purposes	500
SMU Dedman Law School	SMU Office of Development	PO Box 750402	Dallas	TX	75275-0402	PC	General Purposes	500
Sound Beach Volunteer Fire Dept Inc	Annual Giving Program	207 Sound Beach Ave	Old Greenwich	CT	06870	PC	General Purposes	500
Spence School	22 East 91st Street		New York	NY	10128-0657	PC	General Purposes	250
Spence School	22 East 91st Street		New York	NY	10128-0657	PC	General Purposes	500
Spence School	22 East 91st Street		New York	NY	10128-0657	PC	General Purposes	250
St Agnes Church	143 East 43rd Street		New York	NY	10017	PC	General Purposes	500
St Davids School	12 East 89th Street		New York	NY	10128	PC	General Purposes	250
St John's School of Education	St John's University, Attn Gift Processing	8000 Utopia Parkway	Queens	NY	11439	PC	General Purposes	250
The Taft School	Taft School Annual Fund, Attn Anna Kasperuk	110 Woodbury Road	Watertown	CT	06795	PC	General Purposes	300
UMass Memorial Foundation	333 South St		Shrewsbury	MA	01545	PC	General Purposes	250
University of Vermont	The University of Vermont Foundation	411 Main St	Burlington	VT	05401	PC	General Purposes	250
Cystic Fibrosis Foundation-Connecticut Ch	101 Centerpoint Drive	Suite 107	Middletown	CT	06457	PC	General Purposes	1,000
New Canaan Country School	P O Box 997		New Canaan	CT	06840	PC	General Purposes	1,000
New Canaan Police Union						PC	General Purposes	500
St Aloysius Church						PC	General Purposes	500
Waverly Life Care Network	3 Farm Road		New Canaan	CT	06840	PC	General Purposes	500
American Museum of Natural Hist	Office of Development	Central Park West at 79th Street	New York	NY	10024-9981	PC	General Purposes	200
Barnes Foundation	2025 Benjamin Franklin Parkway		Philadelphia	PA	19130	PC	General Purposes	200
Catholic Charities	P O Box 17066		Baltimore	MD	21297-1066	PC	General Purposes	1,000
Central Park Conservancy	Membership - Development Office	14 East 60th Street	New York	NY	10022	PC	General Purposes	200
Channel Thirteen WNET	450 W 33rd Street		New York	NY	10001	PC	General Purposes	250

Channel/WHYY	Recipient	Address	Address 2	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
Channel WHYY	Independence Mall West 150 N 6th Street			Philadelphia	PA	19106	PC	General Purposes	250
Queens Library Foundation	89-11 Merrick Boulevard	Jamaica		New York	NY	11432	PC	General Purposes	1,500
Children's Scholarship Fund	8 West 38th Street 9th Floor			New York	NY	10018	PC	General Purposes	1,000
City Year	Gift Processing		287 Columbus Ave	Boston	MA	19103	PC	General Purposes	400
Columbia Business School	Uris Hall		3022 Broadway Room #828	New York	NY	10027-6902	PC	General Purposes	1,000
Connecticut Children's Medical Center	Four Neo-natology Unit		282 Washington Street	Hartford	CT	06106	PC	General Purposes	1,000
Covenant House	P O Box 731		Times Square Station	New York	NY	10108-0900	PC	General Purposes	1,000
Covenant House	P O Box 731		Times Square Station	New York	NY	10108-0900	PC	General Purposes	400
Doctors Without Borders	333 7th Avenue		2nd Floor	New York	NY	10001	PC	General Purposes	700
Duke University	Affair & Development		225 Allen Building Box 90032	Durham	NC	27708	PC	General Purposes	2,000
Epiphany School Foundation	154 Centre Street			Dorchester	MA	02124	PC	General Purposes	400
Fallic Care Corporation (Irish Center)	1040 Jackson Avenue			Long Island City	NY	11101	PC	General Purposes	1,000
Friends Without A Border	1123 Broadway		Suite 1210	New York	NY	10010	PC	General Purposes	1,000
Groton School	P O Box 991			Groton	Massachusetts	01450-9986	PC	General Purposes	1,000
Groton School	P O Box 992			Groton	Massachusetts	01450-9987	PC	General Purposes	200
Harvard Law School	Alumni Center		1563 Massachusetts Avenue	Cambridge	MA	02138	PC	General Purposes	1,000
Holy Trinity Church							PC	General Purposes	2,000
Hour Children	36-11 A 12th Street			Long Island City	NY		PC	General Purposes	1,000
JBFC Inc	Janada L. Batchelor Foundation For Children		1934 East 45th Place	Tulsa	OK	74105	PC	General Purposes	2,000
Juvenile Diabetes Research Foundation	26 Broadway		14th Floor	New York	NY	10004	PC	General Purposes	650
Make a Wish Foundation	Make-A-Wish Foundation w/ the Mid-Atlantic		P O Box 97104	Washington	DC	20090-7104	PC	General Purposes	350
Mary's Meals	590 Bloomfield Ave		Unit 280	Bloomfield	NJ	07003	PC	General Purposes	2,000
Meals On Wheels	Development Department		1550 Crystal Drive	Arlington	VA	22202	PC	General Purposes	500
Medical Mission Sisters	8400 Pine Road			Philadelphia	PA	19111-9986	PC	General Purposes	400
Metropolitan Museum of Art	Membership Department		P O Box 814	New York	NY	10130-0087	PC	General Purposes	200
MOMA	Department of Development, The Museum of Modern Art		West 53 Street	New York	NY	10019	PC	General Purposes	200
Naromi Land Trust Inc	P O Box 265			Sherman,	CT	06784	PC	General Purposes	300
National Outdoor Leadership	Development Office		284 Lincoln Street	Lander	Wyoming	82520	PC	General Purposes	500
Noguchi Museum	32-37 Vernon Blvd			Long Island City	NY	11106	PC	General Purposes	500
Options for Cambridge Students	Community Charter School of Cambridge		Development Office, 245 Bent Street	Cambridge	MA	02141	PC	General Purposes	200
Philadelphia Museum of Art	3616 Galloway Street			Philadelphia	PA	19148	PC	General Purposes	350
Queens Community House	Membership Department		PO Box 7646	Philadelphia	PA	19101-9937	PC	General Purposes	300
Ready, Willing and Able	Atin Development Department		108-25 62nd Drive	Forest Hills	PA	11375	PC	General Purposes	500
Recycle-a-Bicycle	1211 Bainbridge Street			Philadelphia	PA	19147	PC	General Purposes	300
Renbrook School	35 Pearl Street			Brooklyn	NY	11201	PC	General Purposes	1,000
Riverbend Environmental Center	2865 Albany Avenue			West Hartford	CT	06117	PC	General Purposes	5,000
Robin Hood Foundation	1950 Spring Mill Road		7th Floor	Gladwyne	PA	19035	PC	General Purposes	200
Rosenbach Museum & Library	826 Broadway			New York	NY	10003	PC	General Purposes	500
Sankofa Freedom Academy	Membership Department		2008-2010 Delancey Place	Philadelphia	PA	19103	PC	General Purposes	300
Sculpture Center	2501 Kensington Ave			Philadelphia	PA	19125	PC	General Purposes	150
Sherman Historical Society	44-19 Purves St			Long Island City	NY	11101	PC	General Purposes	500
Sherman Library	10 Route 37 Center			Sherman	CT	06784	PC	General Purposes	250
Sisters of Notre Dame de Namur	Sherman Library Association		1 Sherman Center, P O Box 40	Sherman	CT	06784	PC	General Purposes	500
Socrates Sculpture Park	P O Box 6259			Long Island City	NY	11106	PC	General Purposes	500
South Bronx Educational Foundation	843 Crotona Park North			Bronx	NY	10460	PC	General Purposes	1,000
Spence School	22 East 91st Street			New York	NY	10128-0657	PC	General Purposes	100
St David's School	12 East 89th Street			New York	NY	10128	PC	General Purposes	100
St Jude's Children's Hospital	501 St. Jude Place			Memphis	TN	38105	PC	General Purposes	500
St Mary's Priory							PC	General Purposes	1,000
Stanford University	Gitt Processing		301 Enema Hall	Stanford	California	94305-6076	PC	General Purposes	300
Stenn Fund for Ovarian Cancer	C/O Irving Stenn		456 West Belden Avenue	Chicago	IL	60614	PC	General Purposes	1,000
UNICEF	U S Committee for UNICEF		125 Maiden Lane	New York	NY	10038	PC	General Purposes	500

Recipient	Address	City	State	Zipcode	Foundation status of recipient	Purpose of grant or contribution	Amount
V Foundation	106 Towerview Court	Cary	NC	27513	PC	General Purposes	1,000
Wildlife Conservation Society	2300 Southern Blvd	Bronx	NY	10460	PC	General Purposes	500
Center for Development Disabilities	72 South Woods Rd	Woodbury,	NY	11797	PC	General Purposes	250
Congregation of IHM	Sisters of IHM, IHM Center Development Offi: 2300 Adams Avenue	Seranton	PA	18509	PC	General Purposes	500
New Milford Hospital	The Danbury Hospital & New Milford Hospital 21 Elm Street	New Milford	CT	06776	PC	General Purposes	250
Our Lady of the Pines	11020 Teachout Rd	Colorado Springs	CO	80908	PC	General Purposes	1,000
Sherman Volunteer Fire Dept	808 14th Ave SE	Minneapolis	MN	55414	PC	General Purposes	500
Wilderness Inquiry	WQXR Radio	New York	NY	10116	PC	General Purposes	250
WQXR	Camp Albrecht Acres	Sherill	IA	52073	PC	General Purposes	500
Albrecht Acres					PC	General Purposes	20,000
America's River Campaign	Clark College Foundation	Vancouver	WA	98663-3598	PC	General Purposes	2,500
Clark College	3800 Arboretum Drive	Dubuque	IA	52001	PC	General Purposes	500
Dubuque Arboretum	1299 Locust Street	Dubuque	IA	52001	PC	General Purposes	2,500
Dubuque Boys & Girls Club	Hospice of Dubuque	Dubuque	IA	52002	PC	General Purposes	750
Dubuque Hospice	Hospice of Dubuque	Dubuque	IA	52002	PC	General Purposes	400
Dubuque Hospice	701 Locust ST	Dubuque	IA	52001	PC	General Purposes	1,000
Dubuque Museum of Art	1069 Main Street	Dubuque	IA	52002	PC	General Purposes	2,750
Dubuque Regional Humane Society	4242 Chavencelle Rd	Dubuque	IA	52001	PC	General Purposes	500
Dubuque Regional Humane Society	4900 Fern Road	Dubuque	IA	52001	PC	General Purposes	800
Four Mounds Foundation	2005 Ashbury Road	Dubuque	IA	52001	PC	General Purposes	500
Hillcrest Family Services	Atin Development	Dubuque	IA	52001	PC	General Purposes	2,500
Hills & Dale's	Office of Institutional Advancement	Dubuque	IA	52001	PC	General Purposes	2,500
Loras College					PC	General Purposes	2,500
Northeast Iowa Comm College					PC	General Purposes	2,500
River Valley Initiative Foundation					PC	General Purposes	4,000
St. Marks Community Center					PC	General Purposes	1,000
University of Dubuque	Advancement Office	Dubuque	IA	52001	PC	General Purposes	2,500
DBQ Mercy Health Found	Dubuque Mercy Health Foundation	Dubuque	IA	52001	PC	General Purposes	1,000
Holy Family Catholic School					PC	General Purposes	3,000
Finley Health Foundation	University of Iowa Center for Advancement, c/ PO Box 4550	Iowa City	IA	52244-4550	PC	General Purposes	3,000
Dubuque Rescue Mission	350 North Grandview Ave	Dubuque	IA	52001	PC	General Purposes	1,500
Carmegie Stout Library Fund	398 Main Street	Dubuque	IA	52001	PC	General Purposes	1,000
Bell Tower Theatre	The Carnegie-Stout Public Library Foundation P O Box 27	Dubuque	IA	52001-0027	PC	General Purposes	500
Opening Doors	2728 Ashbury Road	Dubuque	IA	52001	PC	General Purposes	500
DBQ Multicultural Center	1157 Central Ave	Dubuque	IA	52001	PC	General Purposes	750
Two by Two	470 W 4th St	Dubuque	IA	52001	PC	General Purposes	1,000
Foundation for DBQ Public Schools					PC	General Purposes	1,000
DBQ Auxiliary Police					PC	General Purposes	1,000
DBQ County Firefighters Association					PC	General Purposes	1,000
Dubuque Food Pantry					PC	General Purposes	1,000
Juhen Dubuque International	1600 White St	Dubuque	IA	52001	PC	General Purposes	500
Dubuque Dream Center	Dubuque Community YMCA/YWCA, Office o 35 North Booth Street	Dubuque	IA	52001	PC	General Purposes	750
Dubuque Community YMCA					PC	General Purposes	1,000
Dubuque Police Dept K9	105 East 15th Street	Dubuque	IA	52004-3188	PC	General Purposes	500
Steeple Square	700 Locust Street	Dubuque	IA	52001	PC	General Purposes	500
Community Foundation of Greater Dubuque	Suite 195	Dubuque	IA	52001	PC	General Purposes	1,500
Grw2Asia	Friends of American School 11th Floor	San Francisco	CA	94108	PC	General Purposes	250

460,930

DAVIS LCV

Account Number: 840-04G62

24-Hour Assistance: (800) MERRILL  
Access Code: 91-840-04462

**YOUR EMA BANK DEPOSIT INTEREST SUMMARY**

December 01, 2016 December 30, 2016

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	23,199	20,587	.02	0.34	<b>21,322</b>
<b>TOTAL ML Bank Deposit Program</b>	23,199			0.34	<b>21,322</b>

**YOUR EMA ASSETS**

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	10.96	10.96		<b>10.96</b>		
+ML BANK DEPOSIT PROGRAM	21,322.00	21,322.00	1.0000	<b>21,322.00</b>	<b>4</b>	.02
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>21,332.96</b>		<b>21,332.96</b>	<b>4</b>	<b>.02</b>

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ADIANT PLC SHS	ADNT	11/02/16	56	44.9794	2,518.85	58.6000	3,281.60	762.75		
		11/03/16	19	46.5847	885.11	58.6000	1,113.40	228.29		
<b>Subtotal</b>			75		3,403.96		<b>4,395.00</b>	991.04		
AETNA INC NEW	AET	09/26/16	23	114.8695	2,642.00	124.0100	2,852.23	210.23	23	.80
		09/27/16	6	114.8100	688.86	124.0100	744.06	55.20	6	.80
		10/13/16	13	109.9207	1,428.97	124.0100	1,612.13	183.16	13	.80
<b>Subtotal</b>			42		4,759.83		<b>5,208.42</b>	448.59	<b>42</b>	<b>.80</b>
ALPHABET INC SHS CLC	GOOG	11/14/12	4	328.5100	1,314.04	771.8200	3,087.28	1,773.24		
		04/18/13	5	383.6300	1,918.15	771.8200	3,859.10	1,940.95		
		04/22/15	2	536.4500	1,072.90	771.8200	1,543.64	470.74		
		11/30/16	3	763.0033	2,289.01	771.8200	2,315.46	26.45		
<b>Subtotal</b>			14		6,594.10		<b>10,805.48</b>	4,211.38		