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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation
THE CHARLES B WANG INTERNATIONAL FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
1600 OLD COUNTRY ROAD

City or town, state or province, country, and ZIP or foreign postal code
PLAINVIEW, NY 11803

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,309,028

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number
11-3461453

B Telephone number (see instructions)
(516) 622-8212

C If exemption application is pending, check here

D 1. Foreign organizations, check here

D 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Revenue

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	243,600	7,513,095	7,513,095
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,568,148	2,769,261	3,794,908
	14	Land, buildings, and equipment basis ▶ 7,123 Less accumulated depreciation (attach schedule) ▶ 6,098	1,709	1,025	1,025
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,813,457	10,283,381	11,309,028	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	3,813,457	10,283,381	
	30	Total net assets or fund balances (see instructions)	3,813,457	10,283,381	
31	Total liabilities and net assets/fund balances (see instructions) .	3,813,457	10,283,381		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,813,457
2	Enter amount from Part I, line 27a	2	6,469,924
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	10,283,381
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,283,381

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a INVESTMENTS IN STOCKS			
b INVESTMENTS IN STOCKS			
c CAPITAL GAIN DISTRIBUTIONS			
d INVESTMENTS IN STOCKS			
e INVESTMENTS IN STOCKS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,208		11,565	-357
b 166,654		65,751	100,903
c			6,416
d 1,003,204		963,664	39,540
e 1,224,039		1,236,034	-11,995

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-357
b			100,903
c			6,416
d			39,540
e			-11,995

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	134,507
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-12,352

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,308,318	5,615,624	0 232978
2014	1,284,233	6,678,121	0 192305
2013	1,464,630	7,397,935	0 197978
2012	19,562	7,636,248	0 002562
2011	179,961	2,580,179	0 069747

2 Total of line 1, column (d)	2	0 695570
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 139114
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,818,091
5 Multiply line 4 by line 3	5	670,264
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,435
7 Add lines 5 and 6	7	671,699
8 Enter qualifying distributions from Part XII, line 4	8	773,599

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,435
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,435
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,435
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	4,050
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	4,050
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,615
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 2,615 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CHARLES B WANG INTERNATIONAL FOUND Telephone no ► (516) 622-8212			

Located at **►** 1600 OLD COUNTRY ROAD PLAINVIEW NY ZIP+4 **►** 11803

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐	Yes	☒	No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐	Yes	☒	No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐	Yes	☒	No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐	Yes	☒	No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐	Yes	☒	No
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b			
	Organizations relying on a current notice regarding disaster assistance check here.	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐	Yes	☐	No
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐	Yes	☒	No
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b			
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐	Yes	☒	No
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b			

[illegible]

Total number of other employees paid over \$50,000.	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,240,312
b	Average of monthly cash balances.	1b	651,151
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,891,463
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,891,463
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	73,372
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,818,091
6	Minimum investment return. Enter 5% of line 5.	6	240,905

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	240,905
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,435
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,435
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	239,470
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	239,470
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	239,470

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	773,599
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	773,599
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,435
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	772,164

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				239,470
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				50,952
b From 2012.				
c From 2013.				1,099,635
d From 2014.				956,009
e From 2015.				1,032,765
f Total of lines 3a through e.	3,139,361			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 773,599				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				239,470
e Remaining amount distributed out of corpus	534,129			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,673,490			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	50,952			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,622,538			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				1,099,635
c Excess from 2014.				956,009
d Excess from 2015.				1,032,765
e Excess from 2016.				534,129

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	707,200
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	91,836	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	134,507	6
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		0		226,343	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name MICHAEL FELLER	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00536207
Firm's name ► CITRIN COOPERMAN & COMPANY LLP				Firm's EIN ► 22-2428965
Firm's address ► 529 FIFTH AVENUE NEW YORK, NY 100174683				Phone no (212) 697-1000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT T BELL RESIGNED 63016	FORMER PRESIDENT/DIRECTOR 25 00	90,000	0	0
766 WOODED TRAIL FRANKLIN LAKES, NJ 07417				
CHARLES B WANG	PRESIDENT/DIRECTOR 0 00	0	0	0
1600 OLD COUNTRY ROAD PLAINVIEW, NY 11803				
NANCY LI	DIRECTOR 0 00	0	0	0
1600 OLD COUNTRY ROAD PLAINVIEW, NY 11803				
JUDITH CEDENO RESIGNED 121516	SECRETARY/TREASURER 1 00	0	0	0
1600 OLD COUNTRY ROAD PLAINVIEW, NY 11803				
KIMBERLY W DEY	DIRECTOR 0 00	0	0	0
1600 OLD COUNTRY ROAD PLAINVIEW, NY 11803				
ARTHUR MCCARTHY	CFO 0 00	0	0	0
1600 OLD COUNTRY ROAD PLAINVIEW, NY 11803				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARLES B WANG COMMUNITY HEALTH CENTER 268 CANAL STREET NEW YORK, NY 10013		EXEMPT	HEALTH CARE SERVICES	28,000
FRIENDS ACADEMY 270 DUCK POND ROAD LOCUST VALLEY, NY 11560		EXEMPT	EDUCATION	46,500
KAPIOLANI HEALTH FOUNDATION 1319 PUNAHOU ST HONOLULU, HI 96826		EXEMPT	HEALTH CARE SERVICES	100,000
LE JARDIN 917 KALANIANA'OLE HWY KAILUA, HI 96734		EXEMPT	EDUCATION	200,000
NASSAU COUNTY CRIMESTOPPERS INC PO BOX 625 MINEOLA, NY 11501		EXEMPT	SOCIAL AND CULTURAL SERVICES	10,000
Total 				707,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL CENTER FOR MISSING AND EXPLOITED CHILDREN 699 PRINCE STREET ALEXANDRIA, VA 22314		EXEMPT	SOCIAL AND CULTURAL SERVICES	25,000
SOOCHOW UNIVERSITY NO 50 DONGHUAN RD SUZHOU, JIANGSU PR 215021 CH		EXEMPT	EDUCATION	113,700
THE LUSTGARTEN FOUNDATION 1111 STEWART AVE BETHPAGE, NY 11714		EXEMPT	HEALTH CARE SERVICES	50,000
THE MORGAN CENTER P O BOX 333 BRIGHTWATERS, NY 11718		EXEMPT	EDUCATION	5,000
WORLD CHILDHOOD FOUNDATION 183 MADISON AVE SUITE 715 NEW YORK, NY 10016		EXEMPT	SOCIAL AND CULTURAL SERVICES	15,000
Total ► 3a				707,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHINA INSTITUTE 100 WASHINGTON STREET NEW YORK, NY 10006		EXEMPT	SOCIAL AND CULTURAL SERVICES	10,000
BROOKLYN TECH ALUMNI FOUNDATION 29 FORT GREENE PLACE BROOKLYN, NY 11217		EXEMPT	EDUCATION	25,000
THE AMERICAN IRISH HISTORICAL SOCIETY 991 5TH AVE NEW YORK, NY 10028		EXEMPT	SOCIAL AND CULTURAL SERVICES	25,000
CHAPMAN UNIVERSITY 1 UNIVERSITY DRIVE ORANGE, CA 92866		EXEMPT	EDUCATION	25,000
CHINA CHARITY FOUNDATION 7TH FL XINLONG BLDG A33 ERLONG RD XICHENG DISTRICT BEIJING, BEIJING 100032 CH		EXEMPT	SOCIAL AND CULTURAL SERVICES	29,000
Total ▶ 3a				707,200

TY 2016 Accounting Fees Schedule

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	7,818	782	0	7,036

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER EQUIPMENT	2014-06-30	7,123	1,852	200DB	5 000000000000	684	0	684	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MISCELLANEOUS		PURCHASED			6			0	6	

TY 2016 Investments - Other Schedule

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENTS - SECURITIES	AT COST	2,769,261	3,794,908

**TY 2016 Land, Etc.
Schedule**

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER EQUIPMENT	7,123	6,098	1,025	

TY 2016 Other Expenses Schedule

Name: THE CHARLES B WANG INTERNATIONAL
FOUNDATION

EIN: 11-3461453

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSE	32,053	32,053	0	0
INSURANCE EXPENSE	6,623	662	0	5,961
FOREIGN TAX PAID	2,712	2,712	0	0
OFFICE EXPENSE	8,750	875	0	7,875
TELEPHONE	585	58	0	527

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2016

Name of the organization THE CHARLES B WANG INTERNATIONAL FOUNDATION	Employer identification number 11-3461453
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE CHARLES B WANG INTERNATIONAL FOUNDATION	Employer identification number 11-3461453
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES B WANG PO BOX 697 OYSTER BAY, NY11771	\$ 7,100,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

11-3461453

Date received

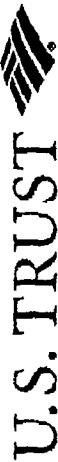
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE CHARLES B WANG INTERNATIONAL FOUNDATION	Employer identification number 11-3461453
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Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee



2016 Tax Information Letter

Account Number 161022268837

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Bank of America Private Wealth Management CHARLES B WANG INTERNATIONAL FDN

Income for 2016

A schedule of your current year sales is shown below. The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (included in Net G/L)". For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (included in Net G/L)".

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor.

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation.

- † - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure
- * - Tax Cost has been adjusted for current year's return of capital
- ◇ - Section 988 translation gains or losses

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SHIRE PHARMACEUTICALS GROUP PLC 82481R106										
07/25/2016		06/03/2016	0.37	\$72.27	\$70.51	\$1.76	\$0.00	\$0.00	\$0.00	\$0.00
SHIRE LTD B2QKY05#7										
06/03/2016		06/03/2016	0.84	\$54.06	\$53.95	\$0.11	\$0.00	\$0.00	\$0.00	\$0.00
09/09/2016		06/03/2016	177.00	\$11,006.34	\$11,368.85	\$-362.51	\$0.00	\$0.00	\$0.00	\$0.00
CHUBB LTD H1467J104										
03/09/2016		01/14/2016	0.64	\$75.35	\$71.50	\$3.85	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

CHARLES B WANG INTERNATIONAL FDN

2016 Tax Information Letter
Account Number 161022268837

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Total Short Term Gain/Loss:

\$11,208.02 \$11,564.81 \$-356.79 \$0.00 \$0.00 \$0.00

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
BAXALTA INC											
				07177M103							
06/03/2016		04/30/2010		0.77	\$35.43	\$17.93	\$17.50	\$0.00	\$0.00	\$0.00	\$0.00
06/03/2016		04/30/2010		16	\$744.91	\$370.71	\$374.20	\$0.00	\$0.00	\$0.00	\$0.00
06/03/2016		04/30/2010		384	\$17,877.89	\$8,369.36	\$9,508.53	\$0.00	\$0.00	\$0.00	\$0.00
06/03/2016		04/30/2010		16	\$738.62	\$348.72	\$389.90	\$0.00	\$0.00	\$0.00	\$0.00
BAXTER INTERNATIONAL INC											
				071813109							
06/01/2016		04/30/2010		0.53	\$22.88	\$14.18	\$8.70	\$0.00	\$0.00	\$0.00	\$0.00
BERKSHIRE HATHAWAY INC DEL											
				084670702							
02/12/2016		02/23/2007		275	\$34,997.84	\$19,650.45	\$15,347.39	\$0.00	\$0.00	\$0.00	\$0.00
CHUBB CORP											
				171232101							
01/14/2016		10/08/2008		865	\$112,236.29	\$36,979.96	\$75,256.33	\$0.00	\$0.00	\$0.00	\$0.00
Total Long Term Gain/Loss:					\$166,553.86	\$65,751.37	\$100,902.55	\$0.00	\$0.00	\$0.00	\$0.00

BANK OF HAWAII Run on 5/4/2017 8:15:15 AM

Realized Gain/Loss Report Start Date: 01/01/2016

End Date: 12/31/2016

Account 135297604 Administrator: MS. CRIS LIVESAY 808-694-4384
BANK OF HAWAII AGENT U/A DATED 12/21/2012 FOR
CHARLES B WANG INTERNATIONAL FOUNDATION

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss	Covered
** SHORT TERM CAPITAL GAIN (LOSS)						
4116.79 SHARES OF MATTH	1/11/2016	7/7/2015	90,610.55	114,693.77	(24,083.22)	Covered
15.097 SHARES OF THIRD A'	1/11/2016	12/8/2015	405.06	438.57	(33.51)	Covered
169.008 SHARES OF THIRD /	1/11/2016	12/8/2015	4,534.48	4,909.68	(375.20)	Covered
310 SHARES OF ALLEGHENY	1/11/2016	8/18/2015	2,843.14	6,144.20	(3,301.06)	Covered
15 SHARES OF ALPHABET IN	1/11/2016	9/25/2015	10,555.00	9,447.00	1,108.00	Covered
95 SHARES OF ARCHER-DAN	1/11/2016	8/18/2015	3,234.69	4,497.30	(1,262.61)	Covered
390 SHARES OF BG GROUP	1/11/2016	8/18/2015	5,183.00	6,548.10	(1,365.10)	Covered
295 SHARES OF LEUCADIA M	1/11/2016	9/25/2015	4,627.01	6,038.65	(1,411.64)	Covered
40 SHARES OF MEAD JOHN	1/11/2016	12/15/2015	2,809.49	3,111.20	(301.71)	Covered
75 SHARES OF NATIONAL O	1/11/2016	4/15/2015	2,219.29	4,108.50	(1,889.21)	Covered
325 SHARES OF PAYPAL HOI	1/11/2016	9/25/2015	10,599.55	11,254.75	(655.20)	Covered
30 SHARES OF STERICYCLE I	1/11/2016	12/15/2015	3,560.65	3,553.20	7.45	Covered
90 SHARES OF VERISK ANAL	1/11/2016	9/25/2015	6,629.62	6,665.40	(35.78)	Covered
38 SHARES OF PERRIGO COI	1/11/2016	12/15/2015	5,312.87	5,732.30	(419.43)	Covered
120 SHARES OF CORE LABO	1/11/2016	4/13/2015	11,096.19	14,304.00	(3,207.81)	Covered
35 SHARES OF CHUBB CORP	1/15/2016	8/18/2015	4,499.88	4,386.90	112.98	Covered
30 SHARES OF CHUBB CORP	1/15/2016	9/25/2015	3,857.04	3,657.90	199.14	Covered
43.362 SHARES OF JOHN HA	1/28/2016	12/16/2015	740.62	839.06	(98.44)	Covered
446.818 SHARES OF JOHN H	1/28/2016	12/16/2015	7,631.65	8,645.93	(1,014.28)	Covered
60.157 SHARES OF VANGUA	1/28/2016	1/11/2016	2,809.93	2,867.08	(57.15)	Covered
5761.935 SHARES OF WILLI	1/28/2016	4/15/2015	64,130.34	76,288.02	(12,157.68)	Covered
40 SHARES OF KRAFT HEINZ	1/28/2016	7/6/2015	3,053.54	1,860.98	1,192.56	Covered
10 SHARES OF M & T BANK	1/28/2016	4/15/2015	1,083.78	1,238.60	(154.82)	Covered
26 SHARES OF M & T BANK	1/28/2016	12/15/2015	2,817.83	3,180.06	(362.23)	Covered
36 SHARES OF MCDONALDS	1/28/2016	8/18/2015	4,396.95	3,605.40	791.55	Covered
190 SHARES OF NEWMONT	1/28/2016	9/25/2015	3,734.38	3,121.70	612.68	Covered
60 SHARES OF WELLS FARGO	1/28/2016	1/11/2016	2,937.54	2,982.60	(45.06)	Covered
.124 SHARES OF CHUBB LTD	2/5/2016	1/15/2016	13.51	13.52	(0.01)	Covered
215 SHARES OF BG GROUP	3/7/2016	8/18/2015	3,266.34	3,609.85	(343.51)	Covered
1256.595 SHARES OF HARBO	6/7/2016	7/7/2015	77,582.17	85,586.70	(8,004.53)	Covered
3582.055 SHARES OF VANG	6/7/2016	12/15/2015	89,694.66	86,220.06	3,474.60	Covered
1681.3 SHARES OF VANGUA	6/7/2016	7/17/2015	88,755.83	89,999.98	(1,244.15)	Covered
2579.89 SHARES OF VANGU	6/7/2016	1/11/2016	136,192.39	122,957.55	13,234.84	Covered
65 SHARES OF AGCO CORP	6/7/2016	12/15/2015	3,574.92	3,209.05	365.87	Covered
30 SHARES OF ADVANCE AL	6/7/2016	1/11/2016	4,549.40	4,366.80	182.60	Covered
640 SHARES OF AMBEV SA	6/7/2016	12/15/2015	3,494.32	3,008.00	486.32	Covered

5 SHARES OF APPLE INC	6/7/2016	8/18/2015	496.64	581.40	(84.76) Covered
22 SHARES OF BECTON DICKINSON	6/7/2016	8/18/2015	3,719.67	3,258.86	460.81 Covered
115 SHARES OF BED BATH & BATHING	6/7/2016	9/25/2015	5,242.07	6,824.10	(1,582.03) Covered
11 SHARES OF BLACKROCK INC	6/7/2016	9/25/2015	3,978.17	3,345.87	632.30 Covered
35 SHARES OF BRITISH AMERICAN	6/7/2016	9/25/2015	4,349.35	3,844.40	504.95 Covered
130 SHARES OF CSX CORP	6/7/2016	8/18/2015	3,456.77	3,863.60	(406.83) Covered
5 SHARES OF CSX CORP	6/7/2016	12/15/2015	132.95	128.30	4.65 Covered
45 SHARES OF CANADIAN PACIFIC	6/7/2016	9/25/2015	3,657.97	3,153.60	504.37 Covered
35 SHARES OF DIAGEO PLC	6/7/2016	9/25/2015	3,848.51	3,713.85	134.66 Covered
130 SHARES OF DISCOVERY	6/7/2016	8/18/2015	3,489.77	3,610.10	(120.33) Covered
55 SHARES OF EXXON MOBIL	6/7/2016	9/25/2015	4,987.29	4,027.65	959.64 Covered
90 SHARES OF GENERAL ELECTRIC	6/7/2016	12/15/2015	2,726.49	2,738.70	(12.21) Covered
70 SHARES OF KRAFT HEINZ	6/7/2016	7/6/2015	5,942.17	3,206.00	2,736.17 Covered
15 SHARES OF KRAFT HEINZ	6/7/2016	7/6/2015	1,273.32	697.87	575.45 Covered
75 SHARES OF LINCOLN ELECTRIC	6/7/2016	1/11/2016	4,640.14	3,673.50	966.64 Covered
24 SHARES OF MCDONALD'S	6/7/2016	8/18/2015	2,930.22	2,403.60	526.62 Covered
20 SHARES OF MCKESSON CORP	6/7/2016	9/25/2015	3,719.11	3,965.80	(246.69) Covered
375 SHARES OF NATIONAL ALCOHOL	6/7/2016	9/25/2015	3,671.16	3,990.00	(318.84) Covered
170 SHARES OF OMEGA HEALTH	6/7/2016	9/25/2015	5,586.07	6,043.50	(457.43) Covered
22 SHARES OF RAYTHEON CORP	6/7/2016	8/18/2015	2,971.03	2,409.44	561.59 Covered
85 SHARES OF ROGERS COMMUNICATIONS	6/7/2016	12/15/2015	3,368.47	3,056.60	311.87 Covered
16 SHARES OF SHERWIN-WILLIAMS	6/7/2016	1/11/2016	4,695.73	3,847.04	848.69 Covered
180 SHARES OF TELENOR ASA	6/7/2016	12/15/2015	3,065.33	3,166.80	(101.47) Covered
105 SHARES OF TELUS CORP	6/7/2016	12/15/2015	3,412.42	3,067.05	345.37 Covered
150 SHARES OF TRANSCANADA	6/7/2016	8/18/2015	6,563.85	5,419.50	1,144.35 Covered
55 SHARES OF UNION PACIFIC	6/7/2016	1/11/2016	4,840.99	4,076.60	764.39 Covered
55 SHARES OF VISA INC CLASS A	6/7/2016	9/25/2015	4,451.60	3,912.15	539.45 Covered
590 SHARES OF WESTERN UNION	6/7/2016	1/11/2016	11,577.13	9,856.89	1,720.24 Covered
304 SHARES OF WEYERHAEUSER	6/7/2016	9/25/2015	9,498.24	7,510.70	1,987.54 Covered
6 SHARES OF PERRIGO COMPANY	6/7/2016	12/15/2015	594.36	905.10	(310.74) Covered
50 SHARES OF TEE CONNECT	6/7/2016	12/15/2015	3,102.18	3,169.50	(67.32) Covered
5 SHARES OF TEE CONNECTIVITY	6/7/2016	1/28/2016	310.22	280.10	30.12 Covered
75 SHARES OF JOHNSON CONTROLS	7/6/2016	12/15/2015	3,445.23	2,982.75	462.48 Covered
48.049 SHARES OF HARBOR	9/23/2016	12/15/2015	3,016.99	2,944.42	72.57 Covered
60.713 SHARES OF HARBOR	9/23/2016	12/17/2015	3,812.17	3,581.45	230.72 Covered
193.246 SHARES OF HARBOR	9/23/2016	1/28/2016	12,133.92	10,659.45	1,474.47 Covered
3486.233 SHARES OF VANGUARD	9/23/2016	12/15/2015	88,585.18	83,913.63	4,671.55 Covered
70 SHARES OF DOLLAR TREE	9/23/2016	1/11/2016	5,600.75	5,369.77	230.98 Covered
100 SHARES OF HARLEY-DAVIDSON	9/23/2016	12/15/2015	5,210.67	4,601.00	609.67 Covered
.68 SHARES OF JOHNSON CONTROLS	10/11/2016	7/6/2016	31.46	32.71	(1.25) Covered
183.581 SHARES OF BLACKFINANCIAL	11/21/2016	6/7/2016	48,428.67	46,568.99	1,859.68 Covered
211.941 SHARES OF BLACKFINANCIAL	11/21/2016	9/23/2016	55,910.03	55,119.49	790.54 Covered
1908.627 SHARES OF VANGUARD	11/21/2016	12/15/2015	46,226.95	45,940.65	286.30 Covered
1329.793 SHARES OF VANGUARD	11/21/2016	6/7/2016	14,202.19	14,587.83	(385.64) Covered
253.926 SHARES OF VANGUARD	11/21/2016	9/23/2016	2,711.93	2,808.42	(96.49) Covered
1117.687 SHARES OF VANGUARD	11/21/2016	9/23/2016	61,796.92	60,478.03	1,318.89 Covered
40 SHARES OF EXPRESS SCRIPTS	11/21/2016	9/23/2016	3,050.73	2,864.40	186.33 Covered
16 SHARES OF ALLIANCE DATA	11/21/2016	1/11/2016	3,645.36	4,028.64	(383.28) Covered
4 SHARES OF ALPHABET INC	11/21/2016	1/11/2016	3,141.01	2,879.40	261.61 Covered

35 SHARES OF AMPHENOL (	11/21/2016	1/11/2016	2,377.14	1,594.25	782.89	Covered
20 SHARES OF CELGENE COI	11/21/2016	1/11/2016	2,476.54	2,010.20	466.34	Covered
80 SHARES OF FASTENAL CC	11/21/2016	1/11/2016	3,601.52	3,084.40	517.12	Covered
45 SHARES OF JP MORGAN	11/21/2016	12/15/2015	3,503.57	2,936.70	566.87	Covered
36 SHARES OF JOHNSON &	11/21/2016	12/15/2015	4,135.58	3,699.72	435.86	Covered
34 SHARES OF MASTERCARI	11/21/2016	1/11/2016	3,590.32	3,038.58	551.74	Covered
70 SHARES OF REYNOLDS AI	11/21/2016	12/15/2015	3,777.82	3,178.00	599.82	Covered
70 SHARES OF STARBUCKS (	11/21/2016	1/11/2016	3,916.41	3,980.00	(63.59)	Covered
6 SHARES OF ADIENT PLC	11/21/2016	11/1/2016	317.39	279.51	37.88	Covered
36 SHARES OF CHUBB LTD	11/21/2016	1/15/2016	4,565.78	3,925.80	639.98	Covered
.2 SHARES OF ADIENT PLC	11/30/2016	11/1/2016	10.19	9.32	0.87	Covered
45 SHARES OF ABBVIE INC	12/5/2016	6/7/2016	2,740.00	2,855.48	(115.48)	Covered
45 SHARES OF ABBVIE INC	12/5/2016	9/23/2016	2,739.99	2,936.99	(197.00)	Covered
70 SHARES OF COCA COLA (	12/5/2016	9/23/2016	2,834.24	2,998.92	(164.68)	Covered
40 SHARES OF DUKE ENERG	12/5/2016	6/7/2016	2,931.14	3,203.67	(272.53)	Covered
75 SHARES OF GLAXOSMITH	12/5/2016	12/15/2015	2,821.61	2,948.25	(126.64)	Covered
75 SHARES OF SANOFI ADR	12/5/2016	9/23/2016	3,061.44	2,882.75	178.69	
60 SHARES OF VERIZON COI	12/5/2016	6/7/2016	2,995.85	3,103.20	(107.35)	Covered
22 SHARES OF AETNA INC N	12/5/2016	1/11/2016	2,841.89	2,297.24	544.65	Covered
50 SHARES OF AMERICAN E	12/5/2016	1/11/2016	2,927.93	2,932.00	(4.07)	Covered
6 SHARES OF CHEVRON COI	12/5/2016	6/7/2016	685.19	620.94	64.25	Covered
30 SHARES OF CROWN CAS	12/5/2016	9/23/2016	2,483.05	2,866.80	(383.75)	Covered
35 SHARES OF NOVARTIS AC	12/5/2016	11/21/2016	2,415.64	2,448.25	(32.61)	Covered

** TOTAL SHORT TERM CAPITAL GAIN (LOSS) 1,224,037.39 1,236,033.53 (11,995.14)

** LONG TERM CAPITAL GAIN (LOSS)

671.138 SHARES OF THIRD /	1/11/2016	1/2/2013	18,006.63	17,228.11	778.52	Covered
1094.173 SHARES OF THIRD	1/11/2016	1/4/2013	29,356.66	28,010.83	1,345.83	Covered
1656.511 SHARES OF THIRD	1/11/2016	1/8/2013	44,444.19	42,522.63	1,921.56	Covered
90 SHARES OF BERKSHIRE H	1/11/2016	1/15/2013	11,430.68	8,550.00	2,880.68	Covered
80 SHARES OF COACH INC	1/11/2016	1/2/2013	2,573.35	4,393.60	(1,820.25)	Covered
150 SHARES OF COACH INC	1/11/2016	1/23/2013	4,825.04	7,658.90	(2,833.86)	Covered
35 SHARES OF COACH INC	1/11/2016	8/13/2013	1,125.84	1,864.10	(738.26)	Covered
55 SHARES OF COACH INC	1/11/2016	4/28/2014	1,769.18	2,751.65	(982.47)	Covered
100 SHARES OF COGNIZANT	1/11/2016	8/13/2013	5,558.89	3,676.00	1,882.89	Covered
40 SHARES OF EXPRESS SCR	1/11/2016	1/8/2013	3,412.05	2,161.41	1,250.64	Covered
100 SHARES OF EXPRESS SC	1/11/2016	1/23/2013	8,530.14	5,396.56	3,133.58	Covered
45 SHARES OF HCP INC	1/11/2016	1/4/2013	1,657.31	2,050.65	(393.34)	Covered
335 SHARES OF LKQ CORPO	1/11/2016	4/28/2014	8,858.74	8,988.05	(129.31)	Covered
95 SHARES OF MEAD JOHNS	1/11/2016	4/28/2014	6,672.53	8,289.70	(1,617.17)	Covered
105 SHARES OF NATIONAL (	1/11/2016	1/23/2013	3,107.01	6,938.34	(3,831.33)	Covered
80 SHARES OF QUALCOMM	1/11/2016	1/8/2013	3,624.80	5,118.40	(1,493.60)	Covered
150 SHARES OF QUALCOMM	1/11/2016	1/23/2013	6,796.51	9,740.51	(2,944.00)	Covered
120 SHARES OF QUALCOMM	1/11/2016	8/13/2013	5,437.21	8,017.20	(2,579.99)	Covered
60 SHARES OF ROYAL DUTC	1/11/2016	1/2/2013	2,362.20	4,248.00	(1,885.80)	Covered
25 SHARES OF ROYAL DUTC	1/11/2016	1/8/2013	984.24	1,771.04	(786.80)	Covered

35 SHARES OF ROYAL DUTC	1/11/2016	11/18/2013	1,377.95	2,473.85	(1,095.90) Covered
15 SHARES OF SCHLUMBER	1/11/2016	1/2/2013	957.17	1,056.45	(99.28) Covered
120 SHARES OF SCHLUMBEI	1/11/2016	1/23/2013	7,657.32	9,360.00	(1,702.68) Covered
75 SHARES OF STERICYCLE I	1/11/2016	1/23/2013	8,901.62	7,141.50	1,760.12 Covered
110 SHARES OF US BANCOR	1/11/2016	4/28/2014	4,342.12	4,441.80	(99.68) Covered
55 SHARES OF VERISK ANAL	1/11/2016	1/2/2013	4,051.43	2,891.90	1,159.53 Covered
601.995 SHARES OF MAINS	1/28/2016	1/2/2013	3,124.35	3,690.23	(565.88) Covered
715.601 SHARES OF T ROWI	1/28/2016	1/8/2013	6,934.17	7,327.76	(393.59) Covered
80 SHARES OF ALTRIA GROU	1/28/2016	1/4/2013	4,719.91	2,603.94	2,115.97 Covered
170 SHARES OF BP PLC SPO	1/28/2016	1/15/2013	5,416.58	7,558.32	(2,141.74) Covered
35 SHARES OF BP PLC SPON	1/28/2016	8/13/2013	1,115.18	1,444.45	(329.27) Covered
68 SHARES OF BERKSHIRE H	1/28/2016	1/8/2013	8,590.28	6,347.12	2,243.16 Covered
60 SHARES OF CHEVRON CC	1/28/2016	1/8/2013	5,125.70	6,564.00	(1,438.30) Covered
85 SHARES OF M & T BANK	1/28/2016	8/13/2013	9,212.12	10,021.50	(809.38) Covered
35 SHARES OF PHILIP MORF	1/28/2016	1/8/2013	3,127.89	3,019.45	108.44 Covered
180 SHARES OF ZURICH INS	1/28/2016	4/24/2013	3,931.12	4,515.53	(584.41) Covered
6508.757 SHARES OF FEDER	6/7/2016	3/17/2014	71,401.06	71,596.32	(195.26) Covered
504.224 SHARES OF HARBO	6/7/2016	4/28/2014	31,130.79	36,636.92	(5,506.13) Covered
561.156 SHARES OF VANGU	6/7/2016	12/19/2014	14,051.35	14,651.78	(600.43) Covered
905.332 SHARES OF VANGU	6/7/2016	1/13/2015	22,669.51	23,230.82	(561.31) Covered
637.3 SHARES OF VANGUAF	6/7/2016	4/28/2014	33,643.07	29,981.41	3,661.66 Covered
375 SHARES OF AMBEV SA /	6/7/2016	10/22/2014	2,047.45	2,392.13	(344.68) Covered
80 SHARES OF APPLE INC	6/7/2016	4/24/2013	7,946.22	4,661.71	3,284.51 Covered
95 SHARES OF BANK OF MC	6/7/2016	8/13/2013	6,229.96	5,850.10	379.86 Covered
40 SHARES OF EXPRESS SCR	6/7/2016	1/23/2013	3,042.33	2,158.63	883.70 Covered
70 SHARES OF GLAXOSMITH	6/7/2016	1/4/2013	2,991.03	3,098.83	(107.80) Covered
50 SHARES OF IMPERIAL BR	6/7/2016	4/24/2013	5,506.82	3,528.50	1,978.32 Covered
55 SHARES OF MICROSOFT I	6/7/2016	8/13/2013	2,883.03	1,789.70	1,093.33 Covered
160 SHARES OF MUENCHEN	6/7/2016	4/24/2013	2,934.33	3,134.40	(200.07) Covered
80 SHARES OF NESTLE SA SF	6/7/2016	8/13/2013	6,104.92	5,371.79	733.13 Covered
40 SHARES OF NOVARTIS AC	6/7/2016	8/13/2013	3,264.72	2,932.80	331.92 Covered
55 SHARES OF ROCHE HOLC	6/7/2016	8/13/2013	1,852.89	1,765.77	87.12 Covered
295 SHARES OF SSE PLC SPN	6/7/2016	8/13/2013	6,613.75	7,171.83	(558.08) Covered
140 SHARES OF SHAW COM	6/7/2016	4/24/2013	2,731.97	3,159.80	(427.83) Covered
80 SHARES OF SHAW COMM	6/7/2016	8/13/2013	1,561.12	1,977.60	(416.48) Covered
160 SHARES OF SINGAPORE	6/7/2016	8/13/2013	4,678.29	4,856.00	(177.71) Covered
100 SHARES OF SWEDBANK	6/7/2016	8/13/2013	2,262.93	2,454.83	(191.90) Covered
115 SHARES OF SWISSCOM	6/7/2016	8/13/2013	5,671.22	5,347.14	324.08 Covered
215 SHARES OF TELSTRA CC	6/7/2016	8/13/2013	4,456.85	5,039.60	(582.75) Covered
145 SHARES OF TOTAL SA SI	6/7/2016	1/8/2013	7,173.72	7,492.60	(318.88) Covered
135 SHARES OF UNILEVER P	6/7/2016	1/23/2013	6,335.61	5,392.95	942.66 Covered
125 SHARES OF WESTPAC B	6/7/2016	8/13/2013	2,832.43	3,570.75	(738.32) Covered
15 SHARES OF PERRIGO COI	6/7/2016	12/18/2013	1,485.91	2,335.05	(849.14) Covered
24 SHARES OF PERRIGO COI	6/7/2016	4/13/2015	2,377.46	4,763.28	(2,385.82) Covered
200 SHARES OF EMC CORP	9/7/2016	1/4/2013	5,862.09	4,847.60	1,014.49 Covered
.29 SHARES OF DELL TECHN	9/23/2016	1/4/2013	14.10	13.69	0.41 Covered
2527.108 SHARES OF GOLDI	9/23/2016	7/7/2015	27,823.46	26,332.46	1,491.00 Covered
211.618 SHARES OF HARBO	9/23/2016	7/7/2015	13,287.50	14,413.30	(1,125.80) Covered
631.839 SHARES OF JOHN H	9/23/2016	1/4/2013	13,167.53	8,662.51	4,505.02 Covered

1046.088 SHARES OF JOHN	9/23/2016	1/15/2013	21,800.47	14,425.55	7,374.92	Covered
420 016 SHARES OF MATTH	9/23/2016	7/7/2015	10,987.62	11,701.65	(714.03)	Covered
428.436 SHARES OF T ROWI	9/23/2016	4/30/2013	13,577.14	13,191.55	385.59	Covered
18 SHARES OF AMGEN INC	9/23/2016	8/18/2015	3,155.69	3,060.72	94.97	Covered
85 SHARES OF ARCHER-DAN	9/23/2016	8/18/2015	3,619.85	4,023.90	(404.05)	Covered
130 SHARES OF INTEL CORP	9/23/2016	8/13/2013	4,832.10	2,954.90	1,877.20	Covered
55 SHARES OF PHILIP MORF	9/23/2016	1/8/2013	5,600.52	4,744.85	855.67	Covered
471.327 SHARES OF DODGE	11/21/2016	10/2/2015	18,089.53	17,816.16	273.37	Covered
1721.901 SHARES OF FEDER	11/21/2016	3/17/2014	18,613.75	18,940.91	(327.16)	Covered
199.485 SHARES OF T ROWI	11/21/2016	4/30/2013	5,747.16	6,142.14	(394.98)	Covered
444.372 SHARES OF VANGU	11/21/2016	4/15/2015	4,745.89	4,892.54	(146.65)	Covered
1130.114 SHARES OF VANG	11/21/2016	4/28/2014	62,484.00	53,165.55	9,318.45	Covered
1368.613 SHARES OF WELLS	11/21/2016	10/2/2015	15,082.12	15,177.92	(95.80)	Covered
5 SHARES OF ALLEGHANY CO	11/21/2016	8/18/2015	2,830.53	2,480.15	350.38	Covered
1 SHARES OF ALPHABET INC	11/21/2016	1/2/2013	785.25	358.88	426.37	Covered
80 SHARES OF AVNET INC	11/21/2016	8/18/2015	3,627.92	3,484.05	143.87	Covered
18 SHARES OF BECTON DICI	11/21/2016	8/18/2015	3,102.95	2,666.34	436.61	Covered
65 SHARES OF CONOCOPHIL	11/21/2016	1/2/2013	2,998.38	3,816.15	(817.77)	Covered
30 SHARES OF EXXON MOBI	11/21/2016	9/25/2015	2,590.74	2,196.90	393.84	Covered
50 SHARES OF GENERAL MIL	11/21/2016	8/18/2015	3,079.93	2,959.50	120.43	Covered
85 SHARES OF GLAXOSMITH	11/21/2016	1/2/2013	3,258.82	3,738.19	(479.37)	Covered
50 SHARES OF GLAXOSMITH	11/21/2016	1/4/2013	1,916.96	2,213.45	(296.49)	Covered
30 SHARES OF THE HOWARD	11/21/2016	9/25/2015	3,264.22	3,653.70	(389.48)	Covered
90 SHARES OF KRAFT HEINZ	11/21/2016	7/6/2015	7,513.03	4,122.00	3,391.03	Covered
110 SHARES OF MERCK & CO	11/21/2016	8/18/2015	6,816.65	6,573.60	243.05	Covered
60 SHARES OF MORGAN STER	11/21/2016	8/18/2015	2,414.94	2,286.00	128.94	Covered
60 SHARES OF NATIONAL GU	11/21/2016	8/18/2015	3,533.92	4,147.80	(613.88)	Covered
75 SHARES OF NEWMONT MIN	11/21/2016	9/25/2015	2,481.69	1,232.25	1,249.44	Covered
65 SHARES OF NOBLE ENERGI	11/21/2016	9/25/2015	2,344.49	2,115.75	228.74	Covered
105 SHARES OF PFIZER INC	11/21/2016	9/25/2015	3,312.67	3,457.65	(144.98)	Covered
50 SHARES OF PHILLIPS 66	11/21/2016	9/25/2015	4,225.90	3,910.50	315.40	Covered
4 SHARES OF PRICELINE.CO	11/21/2016	8/13/2013	6,098.62	3,841.84	2,256.78	Covered
35 SHARES OF QUALCOMM	11/21/2016	1/8/2013	2,337.94	2,239.30	98.64	Covered
120 SHARES OF REYNOLDS /	11/21/2016	4/13/2015	6,476.25	4,441.80	2,034.45	Covered
60 SHARES OF SOUTHWEST	11/21/2016	9/25/2015	2,843.93	2,311.80	532.13	Covered
18 SHARES OF 3M CO	11/21/2016	8/18/2015	3,083.33	2,675.88	407.45	Covered
100 SHARES OF US BANCOR	11/21/2016	1/23/2013	4,916.89	3,309.81	1,607.08	Covered
50 SHARES OF UNILEVER PL	11/21/2016	1/2/2013	1,955.46	1,944.48	10.98	Covered
40 SHARES OF UNILEVER PL	11/21/2016	1/23/2013	1,564.36	1,597.91	(33.55)	Covered
130 SHARES OF ALTRIA GRC	12/5/2016	1/2/2013	8,292.52	4,187.24	4,105.28	Covered
5 SHARES OF ALTRIA GROUP	12/5/2016	1/4/2013	318.94	162.75	156.19	Covered
100 SHARES OF BCE INC	12/5/2016	1/2/2013	4,354.90	4,339.00	15.90	Covered
120 SHARES OF BCE INC	12/5/2016	1/4/2013	5,225.89	5,238.62	(12.73)	Covered
95 SHARES OF BP PLC SPON	12/5/2016	8/13/2013	3,392.57	3,920.65	(528.08)	Covered
50 SHARES OF COCA COLA CO	12/5/2016	1/23/2013	2,024.46	1,859.40	165.06	Covered
60 SHARES OF DOMINION R	12/5/2016	1/2/2013	4,375.11	3,156.00	1,219.11	Covered
70 SHARES OF DUKE ENERG	12/5/2016	1/2/2013	5,129.49	4,522.70	606.79	Covered
5 SHARES OF DUKE ENERGY	12/5/2016	1/4/2013	366.39	325.80	40.59	Covered
85 SHARES OF EXXON MOBI	12/5/2016	9/25/2015	7,439.90	6,224.55	1,215.35	Covered

55 SHARES OF GENERAL MILLS	12/5/2016	8/18/2015	3,356.03	3,255.45	100.58	Covered
15 SHARES OF GLAXOSMITH-CLINE	12/5/2016	1/2/2013	564.32	659.68	(95.36)	Covered
35 SHARES OF KIMBERLY CLARK	12/5/2016	8/18/2015	3,964.44	4,034.10	(69.66)	Covered
90 SHARES OF KRAFT HEINZ	12/5/2016	7/6/2015	7,261.95	4,114.80	3,147.15	Covered
40 SHARES OF KRAFT HEINZ	12/5/2016	7/6/2015	3,227.54	1,832.00	1,395.54	Covered
45 SHARES OF MCDONALDS	12/5/2016	1/4/2013	5,380.58	4,042.35	1,338.23	Covered
35 SHARES OF MCDONALDS	12/5/2016	8/18/2015	4,184.89	3,505.25	679.64	Covered
45 SHARES OF MERCK & CO	12/5/2016	1/2/2013	2,712.10	1,844.01	868.09	Covered
5 SHARES OF MERCK & CO INC	12/5/2016	1/4/2013	301.34	209.59	91.75	Covered
35 SHARES OF MERCK & CO	12/5/2016	8/18/2015	2,109.41	2,091.60	17.81	Covered
110 SHARES OF NATIONAL OIL	12/5/2016	1/8/2013	6,296.27	6,098.67	197.60	Covered
230 SHARES OF PPL CORP	12/5/2016	1/8/2013	7,578.45	6,195.45	1,383.00	Covered
45 SHARES OF PHILIP MORRIS	12/5/2016	1/8/2013	3,954.51	3,882.15	72.36	Covered
55 SHARES OF PHILIP MORRIS	12/5/2016	9/25/2015	4,833.30	4,443.45	389.85	Covered
60 SHARES OF PROCTER & GAMBLE	12/5/2016	1/8/2013	4,958.89	4,114.20	844.69	Covered
45 SHARES OF REALTY INCOME	12/5/2016	8/13/2013	2,448.40	1,942.65	505.75	Covered
115 SHARES OF SANOFI ADI	12/5/2016	8/13/2013	4,694.21	5,952.78	(1,258.57)	Covered
105 SHARES OF SOUTHERN CO	12/5/2016	1/15/2013	4,898.67	4,510.22	388.45	Covered
60 SHARES OF UNILEVER PL	12/5/2016	1/2/2013	2,404.20	2,333.37	70.83	Covered
65 SHARES OF VENTAS INC	12/5/2016	9/25/2015	3,864.17	3,682.25	181.92	Covered
160 SHARES OF VERIZON CC	12/5/2016	1/23/2013	7,988.93	6,843.41	1,145.52	Covered
50 SHARES OF WELLTOWER	12/5/2016	9/25/2015	3,140.28	3,400.50	(260.22)	Covered
115 SHARES OF AT&T INC	12/5/2016	1/15/2013	4,462.49	3,885.85	576.64	Covered
130 SHARES OF AT&T INC	12/5/2016	8/18/2015	5,044.55	4,451.20	593.35	Covered
35 SHARES OF CHEVRON CC	12/5/2016	1/8/2013	3,996.91	3,829.00	167.91	Covered
87 SHARES OF VODAFONE C	12/5/2016	1/2/2013	2,117.05	4,084.78	(1,967.73)	Covered
36 SHARES OF VODAFONE C	12/5/2016	1/4/2013	876.03	1,705.17	(829.14)	Covered
115 SHARES OF VODAFONE	12/5/2016	8/18/2015	2,798.40	4,322.85	(1,524.45)	Covered

** TOTAL LONG TERM CAPITAL GAIN (LOSS)

1,003,203.62

963,663.59

39,540.03