



Form **990-PF**

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

## 2016

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

RHODA M HELLMANN TRUST UW

A Employer identification number

06-6263709

Number and street (or P O box number if mail is not delivered to street address)

P O BOX 1802

Room/suite

B Telephone number (see instructions)

888-866-3275

City or town, state or province, country, and ZIP or foreign postal code

PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization:

Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 10,295,846.**

J Accounting method:  Cash  Accrual

Other (specify)

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

### Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	179,965.	179,515.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	134,886.			
b Gross sales price for all assets on line 6a	3,714,609.			
7 Capital gain net income (from Part IV, line 2)		134,886.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	3,909.	525.		STMT 2
12 Total. Add lines 1 through 11	318,760.	314,926.		
13 Compensation of officers, directors, trustees, etc.	57,191.	34,315.		22,876.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule) STMT 3	3,940.	NONE	NONE	3,940.
b Accounting fees (attach schedule) STMT 4	1,250.	750.	NONE	500.
c Other professional fees (attach schedule) STMT 5	350.			350.
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 6	5,652.	5,652.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 7		1,583.		
24 Total operating and administrative expenses. Add lines 13 through 23.	68,383.	42,300.	NONE	27,666.
25 Contributions, gifts, grants paid	429,293.			429,293.
26 Total expenses and disbursements Add lines 24 and 25	497,676.	42,300.	NONE	456,959.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	-178,916.			
b Net investment income (if negative, enter -0-)		272,626.		
c Adjusted net income (if negative, enter -0-)				

MAY 10 2017

OPERATING AND ADMINISTRATIVE EXPENSES RECEIVED MAY 10 2017

27 P ZUN

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	836,981.	442,070.	442,070.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations(attach schedule). . . . .			
	b	Investments - corporate stock (attach schedule) . <b>STMT 8</b> . . . . .	9,326,284.	9,420,971.	9,853,776.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶ (attach schedule)				
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	10,163,265.	9,863,041.	10,295,846.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons. . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here . ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/></b>				
	27	Capital stock, trust principal, or current funds . . . . .	10,163,265.	9,863,041.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund. . . . .			
29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	10,163,265.	9,863,041.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	10,163,265.	9,863,041.		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	10,163,265.
2	Enter amount from Part I, line 27a . . . . .	2	-178,916.
3	Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 9</b>	3	1,016.
4	Add lines 1, 2, and 3 . . . . .	4	9,985,365.
5	Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 10</b>	5	122,324.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	9,863,041.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLICLY TRADED SECURITIES</b>				
<b>b OTHER GAINS AND LOSSES</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 3,593,866.		3,478,605.	115,261.	
<b>b</b> 120,743.		99,922.	20,821.	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>			115,261.	
<b>b</b>			20,821.	
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	134,886.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	516,083.	10,424,843.	0.049505
2014	520,703.	10,663,144.	0.048832
2013	478,853.	10,116,478.	0.047334
2012	438,009.	9,409,364.	0.046550
2011	465,399.	9,431,773.	0.049344
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.241565
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			<b>3</b> 0.048313
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 10,059,027.
<b>5</b> Multiply line 4 by line 3.			<b>5</b> 485,982.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>6</b> 2,726.
<b>7</b> Add lines 5 and 6.			<b>7</b> 488,708.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 456,959.



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 993.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, unrelated business income, and substantial contributors. Includes a table for states (CT).



Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
13		X	
14	The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no ▶ (888) 866-3275 Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ▶ 02901-1802		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ▶ and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	Yes	No
16			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X



**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .  **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . .

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  Yes  No **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		57,191.		

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** . . . . .  **NONE**



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1, through 3 . . . . .	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	9,691,005.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	521,205.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	10,212,210.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	10,212,210.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	153,183.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	10,059,027.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	502,951.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	502,951.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	5,453.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	5,453.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	497,498.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	497,498.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	497,498.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	456,959.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	456,959.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	456,959.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				497,498.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only, . . . . .			115,409.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 . . . . .				NONE
b From 2012 . . . . .				NONE
c From 2013 . . . . .				NONE
d From 2014 . . . . .				NONE
e From 2015 . . . . .				NONE
f Total of lines 3a through e . . . . .	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>456,959.</u>				
a Applied to 2015, but not more than line 2a . . . . .			115,409.	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2016 distributable amount . . . . .				341,550.
e Remaining amount distributed out of corpus . . . . .	NONE			
5 Excess distributions carryover applied to 2016 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .			NONE	
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .			NONE	
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				155,948.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
10 Analysis of line 9:				
a Excess from 2012 . . . . .				NONE
b Excess from 2013 . . . . .				NONE
c Excess from 2014 . . . . .				NONE
d Excess from 2015 . . . . .				NONE
e Excess from 2016 . . . . .				NONE



**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ST MARYS HOSPITAL RENEE WARNER SR ACCOUNTANT WATERBURY CT 0670	N/A	PC	UNRESTRICTED GENERAL SUPPORT	237,067.
WATERBURY HOSPITAL ATTN BARBARA HALLINAN 64 ROBBINS ST WATERBURY CT 06708-2613	N/A	PC	UNRESTRICTED GENERAL SUPPORT	192,226.
<b>Total</b> . . . . .				<b>▶ 3a</b> 429,293.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .				<b>▶ 3b</b>



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer or trustee: Karen J. Klein

Date: 05/01/2017

Title: SVP Tax

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

BANK OF AMERICA, N.A.

Paid Preparer Use Only

Table for paid preparer information including Print/Type preparer's name, Preparer's signature, Date, Check self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, and Phone no.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	235.	235.
FOREIGN DIVIDENDS	30,742.	30,742.
NONDIVIDEND DISTRIBUTIONS	450.	
DOMESTIC DIVIDENDS	52,640.	52,640.
OTHER INTEREST	45,467.	45,467.
FOREIGN INTEREST	4,906.	4,906.
U.S. GOVERNMENT INTEREST (FEDERAL TAXABLE	7,159.	7,159.
FEDERALLY TAXABLE MUNICIPAL INTEREST	2,730.	2,730.
NONDISTRIBUTIVE U.S. GOVERNMENT INTEREST	1,862.	1,862.
NONQUALIFIED FOREIGN DIVIDENDS	5,082.	5,082.
NONQUALIFIED DOMESTIC DIVIDENDS	27,496.	27,496.
ACCRUED MARKET DISCOUNT	1,196.	1,196.
TOTAL	179,965.	179,515.

RHODA M HELLMANN TRUST UW

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FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAX REFUND	3,909.	525.
PARTNERSHIP INCOME		
TOTALS	3,909.	525.

RHODA M HELLMANN TRUST UW

06-6263709

FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES - PRINCIPAL (ALLOCA	309.			309.
LEGAL FEES - INCOME (ALLOCABLE	309.			309.
LEGAL FEES - CHARITABLE	3,322.			3,322.
TOTALS	3,940.	NONE	NONE	3,940.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	1,250.	750.		500.
TOTALS	1,250.	750.	NONE	500.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER PROFESSIONAL FEES - CHAR	350.	350.
TOTALS	350.	350.
	=====	=====

RHODA M HELLMANN TRUST UW

06-6263709

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES ON QUALIFIED FOR FOREIGN TAXES ON NONQUALIFIED	4,998. 654.	4,998. 654.
TOTALS	5,652.	5,652.

RHODA M HELLMANN TRUST UW

06-6263709

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
PARTNERSHIP EXPENSES		1,583.
TOTALS	-----	-----
	=====	=====



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FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION  
-----

SEE ATTACHED STATEMENT

TOTALS





RHODA M HELLMANN TRUST UW

06-6263709

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
INCOME ADJUSTMENT	258.
SALES ADJUSTMENT	220.
ROUNDING	11.
SECURITIES ADJUSTMENT	305.
YEAR END WASH SALE ADJUSTMENT	29.
ACCRUED INTEREST PAID ADJUSTMENT	193.
	-----
TOTAL	1,016.
	=====



FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION -----	AMOUNT -----
SALES GAIN/LOSS ADJUSTMENT	101,127.
PARTNERSHIP TRANSACTION ADJUSTMENT	20,073.
ACCRUED INTEREST PAID CARRYOVER	987.
TRANSFER ADJUSTMENT	137.
	-----
TOTAL	122,324.
	=====





RHODA M HELLMANN TRUST UW

06-6263709

GAINS AND LOSSES FROM PASS-THRU ENTITIES

=====

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS  
AND OTHER FIDUCIARIES

PARTNERSHIPS, TRUSTS, S CORPORATIONS

20,073.00

-----

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

-----  
20,073.00

=====



RHODA M HELLMANN TRUST UW

06-6263709

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA

ADDRESS:

777 MAIN STREET

HARTFORD, CT 06115

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 57,191.

COMPENSATION EXPLANATION:

SEE FOOTNOTE

TOTAL COMPENSATION:

57,191.

=====



FEDERAL FOOTNOTES



THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

**RHODA M HELLMANN TRUST UW**  
**06-6263709**  
**Balance Sheet**  
**12/31/2016**



<u>Cusip</u>	<u>Asset</u>	<u>Units</u>	<u>Basis</u>	<u>Market Value</u>
	Cash/Cash Equivalent	442069 520	442,069 52	442,069 52
00206RCC4	AT&T INC	10000 000	10,120 10	10,038 30
00507UAP6	ACTAVIS FDG SCS SR UNSECD	10000 000	10,041 02	10,138.60
00507UAR2	ACTAVIS FDG SCS SR UNSECD	10000 000	10,063 96	10,150 10
008882532	INVESCO INTL GROWTH FUND	14633 518	486,092 17	444,858 95
010392FN3	ALABAMA PWR CO	10000 000	9,564 40	9,738 20
02005AEQ7	ALLY MASTER OWNER TR 2014-5	15000.000	15,000 00	15,044 10
025537AF8	AMERICAN ELEC PWR CO INC	6000 000	5,997 54	6,001 98
026874CY1	AMERICAN INTL GROUP INC	3000.000	3,147 28	3,114.66
05565QCP1	BP CAP MKTS P L C	15000 000	15,434 88	15,582 90
05567SAA0	BNSF FDG TR I	5000 000	4,750.00	5,725 00
064058AB6	BANK NEW YORK MELLON CORP DEP	10000 000	9,500.00	9,144 50
084664BT7	BERKSHIRE HATHAWAY FIN CORP	15000 000	14,922 90	15,283 95
12189LAX9	BURLINGTON NORTHN SANTA FE LLC	5000 000	5,054.73	5,472 55
12592XBD7	COMM MORTGAGE TR	10000 000	10,299 20	10,120 80
126192AD5	COMM 2012-LC4 MTG TR	10000 000	10,639 06	10,386 30
126650CK4	CVS CAREMARK CORP	10000 000	10,040 17	10,274 90
13063A5G5	CALIFORNIA ST	5000 000	4,638 90	7,398 25
136385AK7	CANADIAN NAT RES LTD	15000.000	15,076 25	15,223 35
136385AV3	CANADIAN NAT RES LTD SR UNSECD	3000 000	2,819 82	3,008 88
13645RAK0	CANADIAN PAC RY CO	5000 000	5,467 10	5,361 55
14040HBF1	CAPITAL ONE FINL CORP	10000 000	10,064 85	10,130 20
14912L6B2	CATERPILLAR FINL SVCS CORP	5000 000	4,988.95	5,018 20
14912L6N6	CATERPILLAR FINL SVCS CORP	5000 000	4,995 55	5,002 90
171232AP6	CHUBB CORP DIRECTLY ISSUED SUB	10000.000	10,609 84	9,400 00
172967HT1	CITIGROUP INC	5000 000	5,055 30	5,092 30
197199813	COLUMBIA ACORN INTERNATIONAL	7596 654	285,773 86	286,697 72
19763T889	COLUMBIA INCOME OPPORTUNITIES	31601 540	275,850 52	309,695 09
19765H362	COLUMBIA SHORT TERM BOND FUND	30907 283	305,901 71	307,836 54
19765N567	COLUMBIA SMALL CAP VALUE I FUND	6259 530	220,391 92	283,556.71
19765P232	COLUMBIA MID CAP GROWTH FUND	11864 960	218,391 54	297,335 90
19765P547	COLUMBIA REAL ESTATE EQUITY	31992 978	429,865 22	492,691 86
200474BC7	COMM 2015-LC19 MTG TR	10000 000	10,299 41	10,070 10
202795JG6	COMMONWEALTH EDISON CO	6000 000	5,987 04	6,264 60

RHODA M HELLMANN TRUST UW  
06-6263709  
Balance Sheet  
12/31/2016



Cusip	Asset	Units	Basis	Market Value
209111EB5	CONSOLIDATED EDISON CO NEW	5000 000	4,970 80	5,910 35
26441YAW7	DUKE RLTY LTD PARTNERSHIP NEW	10000 000	10,140 10	10,401.30
29273RAR0	ENERGY TRANSFER PARTNERS L P	5000 000	5,481 90	5,401 85
3128JPLD0	FEDERAL HOME LN MTG CORP	838 110	843.36	885 14
3128P76P3	FEDERAL HOME LN MTG CORP	83195 210	87,484 96	86,033 00
3128PP2Y8	FEDERAL HOME LN MTG CORP	3298 850	3,438 27	3,501 89
3129405K1	FEDERAL HOME LN MTG CORP	12227 490	13,125 45	13,575 45
3138EKFW0	FEDERAL NATL MTG ASSN	48209 535	51,380 82	49,718 97
3138ELQN6	FEDERAL NATL MTG ASSN	26724 090	26,456 86	26,716.61
3138EMTV3	FEDERAL NATL MTG ASSN	79364 625	86,495 04	85,435 23
3138W9MB3	FEDERAL NATL MTG ASSN	47628 070	47,654 09	49,090 25
3138WFJL1	FEDERAL NATL MTG ASSN	37114 570	39,594 15	39,034.13
3138WGDT8	FEDERAL NATL MTG ASSN	9057 340	9,133 77	9,090 31
3138WHMS8	FEDERAL NATL MTG ASSN	47501 593	52,303 71	51,198 17
3138XYRT3	FEDERAL NATL MTG ASSN	16439 050	17,245 60	16,885 04
3138YR5Y0	FEDERAL NATL MTG ASSN	25186 220	26,037 22	25,833 76
31398CTX6	FEDERAL HOME LN MTG CORP	1611 840	1,671 92	1,679 15
3140EUEF2	FEDERAL NATL MTG ASSN	30613 380	31,656 16	31,442 39
31410GME0	FEDERAL NATL MTG ASSN	1379 914	1,393.86	1,474 71
31410K7M0	FEDERAL NATL MTG ASSN	1825 360	1,953 15	2,073 28
31418UWC4	FEDERAL NATL MTG ASSN	20282 120	21,502 23	22,460 22
31428XBE5	FEDEX CORP	5000 000	4,857 90	5,178 35
316773CQ1	FIFTH THIRD BANCORP	20000 000	19,971 80	20,173 00
34530HAC4	FORD CREDIT AUTO OWNER TR	769 040	768 93	768 85
345397XY4	FORD MTR CR CO LLC	25000 000	24,914 75	24,770 50
36159LCR5	GE DEALER FLOORPLAN MASTER NT	10000 000	9,947 66	10,014 80
36179Q3J8	GOVERNMENT NATL MTG ASSN II	21013 280	22,100 05	21,866 00
36198EAE5	GS MTG SECS TR 2013-GC13	15000 000	15,449 67	16,220 40
36250VAD4	GS MTG SECS TR 2015-GC34	10000 000	10,047 27	10,205 60
369604BH5	GENERAL ELEC CO	2000 000	2,190 79	2,146 96
369604BQ5	GENERAL ELEC CO	10000 000	10,000 00	10,377 00
36962G6S8	GENERAL ELEC CAP CORP	10000 000	10,006 47	10,134 80
38013PAB5	GM FINL AUTOMOBILE LEASING TR	1548 310	1,548 21	1,548 23
404280AL3	HSBC HLDGS PLC	10000 000	10,636 12	10,795 40

**RHODA M HELLMANN TRUST UW**  
**06-6263709**  
**Balance Sheet**  
**12/31/2016**



<u>Cusip</u>	<u>Asset</u>	<u>Units</u>	<u>Basis</u>	<u>Market Value</u>
40429CGD8	HSBC FIN CORP	5000 000	5,680 27	5,620 20
41665H847	HARTFORD MUT FDS II INC	40777 099	449,363 63	485,655 25
437076BK7	HOME DEPOT INC	5000.000	5,111 36	5,127.80
44890YAC0	HYUNDAI AUTO RECEIVABLES TR	530 410	530 41	530 42
464287200	ISHARES CORE S&P 500 ETF	3048 000	637,674 66	685,769 52
464287655	ISHARES RUSSELL 2000 ETF	2150 000	251,949 36	289,927 50
46625HHS2	JPMORGAN CHASE & CO	10000 000	10,588 78	10,622 10
46629YAC3	J P MORGAN CHASE COML MTG SECS	2305 780	2,175 72	2,304 19
46638UAC0	J P MORGAN CHASE COML MTG SECS	15000 000	15,467 58	15,204 75
46643TBJ0	JPMBB COML MTG SECS TR	10000.000	10,079 69	10,264 70
46644FAC5	JPMBB COML MTG SECS TR 2015-C28	15000 000	14,999 90	14,775 45
491189FC5	KENTUCKY ASSET / LIABILITY COM	4279 320	4,279 32	4,312 78
49446RAK5	KIMCO RLTY CORP	10000 000	9,665 90	9,900 50
494550BF2	KINDER MORGAN ENERGY PARTNERS	5000 000	5,480 14	5,498 15
494550BR6	KINDER MORGAN ENERGY PARTNERS	3000 000	2,767 50	3,015 78
49456BAD3	KINDER MORGAN INC DEL	5000 000	4,680 15	5,007 60
49456BAJ0	KINDER MORGAN INC DEL	2000 000	1,474 82	1,979 82
50076QAX4	KRAFT FOODS GROUP INC	5000.000	5,377 40	5,329 90
50180JAD7	LB-UBS COML MTG TR 2007-C2	1970 460	2,180 74	1,974 46
50247VAA7	LYB INTL FINANCE BV	10000 000	10,294.18	10,447 90
534187AX7	LINCOLN NATL CORP IND	2000 000	2,037 71	2,301 16
539473AH1	LLOYDS BK PLC	5000.000	5,828 11	5,710 30
539830AY5	LOCKHEED MARTIN CORP	5000 000	5,101 78	5,163 40
539830BH1	LOCKHEED MARTIN CORP	8000 000	7,967 12	8,173 68
548661DJ3	LOWES COS INC	5000 000	4,961 95	5,150.95
55272P596	MFS MID CAP VALUE FUND	15175 005	304,107 10	328,994 11
552983694	MFS VALUE FUND	36670 554	1,149,331 94	1,328,940 88
55616XAH0	MACYS RETAIL HLDGS INC	10000 000	9,358 90	9,517 00
585055BR6	MEDTRONIC INC	10000 000	10,139 02	10,242 20
58769AAD8	MERCEDES-BENZ AUTO LEASE TR	10000 000	9,999 62	10,007 60
59156RAT5	METLIFE INC	10000 000	10,025 18	11,186 40
59156RBQ0	METLIFE INC	5000 000	5,030.78	5,083 75
59259YDC0	METROPOLITAN TRANSN AUTH N Y R	10000 000	10,084 73	13,438 20
594918BL7	MICROSOFT CORP	5000 000	5,060 77	5,327 10

**RHODA M HELLMANN TRUST UW**  
**06-6263709**  
**Balance Sheet**  
**12/31/2016**



<u>Cusip</u>	<u>Asset</u>	<u>Units</u>	<u>Basis</u>	<u>Market Value</u>
594918BT0	MICROSOFT CORP	25000 000	25,507 00	23,536 75
61746BDJ2	MORGAN STANLEY	10000 000	10,271 24	10,271 40
637432LR4	NATIONAL RURAL UTILS COOP FIN	15000 000	15,714 59	17,298 45
646139X83	NEW JERSEY ST TPK AUTH TPK REV	15000 000	15,340 47	20,987 25
65473QBA0	NISOURCE FIN CORP	5000 000	5,202 08	5,165.65
66989GAA8	NOVARTIS SECS INVEST LTD	2000 000	2,170 73	2,141 58
66989HAJ7	NOVARTIS CAP CORP	10000 000	9,943 50	9,929 80
68389XBB0	ORACLE CORP	5000 000	4,968 05	4,965 30
693475AM7	PNC FINL SVCS GROUP INC JR SUB	5000 000	4,756 25	4,801 00
69352PAF0	PPL CAP FDG INC	15000 000	15,011 06	15,102 15
69353RDD7	PNC BK N A PITTSBURGH PA UNSECD	25000 000	25,132 94	25,167 00
694308GE1	PACIFIC GAS & ELEC CO	5000.000	6,043 81	6,257 35
695114CM8	PACIFICORP	10000 000	10,481 14	10,598 20
73935S105	POWERSHARES DB COMMODITY	13704.000	274,558 35	217,071 36
74432QBG9	PRUDENTIAL FINL INC	7000 000	7,794 92	7,879 97
76116FAB3	RESOLUTION FDG CORP	20000 000	12,253 65	13,072 80
816851AT6	SEMPRA ENERGY	10000 000	9,981 91	9,904 40
822582BM3	SHELL INTL FIN B V	20000 000	19,934 20	20,022 60
842400FR9	SOUTHERN CA EDISON CO	10000 000	10,511 77	10,583 60
87165BAA1	SYNCHRONY FINL	25000 000	25,074 50	25,020 25
87234N302	TCW SELECT EQUITIES FUND	39378 311	978,190 48	933,265 97
885215566	THORNBURG INTL VALUE FUND	19631 053	482,809 43	464,470 71
89153VAB5	TOTAL CAP INTL SA	5000 000	4,960 55	5,039 50
89231TAD2	TOYOTA AUTO RECEIVABLES 2015-C	15000 000	14,997 81	15,000 75
89236TCR4	TOYOTA MTR CR CORP	15000 000	15,015 75	15,043.95
90131HBD6	21ST CENTY FOX AMER INC	10000 000	10,037 02	12,139 00
907818DK1	UNION PAC CORP SR UNSECD NT	5000 000	5,348 61	5,388 20
907818DN5	UNION PAC CORP	5000 000	4,928 55	4,964 55
91159JAA4	U S BANCORP	4000 000	3,988 40	4,023 72
912803EE9	UNITED STATES TREAS BD	93000 000	35,019 69	40,475 46
912810QT8	UNITED STATES TREAS BD	25000 000	29,376 96	25,372 00
912810RN0	UNITED STATES TREAS BD	81000 000	78,670 90	77,947 11
912810RT7	UNITED STATES TREAS BD	50000 000	48,275 39	42,041 00
9128282A7	UNITED STATES TREAS NT	50000 000	49,102 54	45,986 50

RHODA M HELLMANN TRUST UW  
06-6263709  
Balance Sheet  
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Cusip	Asset	Units	Basis	Market Value
912828A75	UNITED STATES TREAS NT	5000 000	4,947 85	5,029 10
912828M56	UNITED STATES TREAS NT	51900.000	51,882 29	51,235 16
912828N22	UNITED STATES TREAS NT	80000 000	80,200 02	80,087 20
912828N30	UNITED STATES TREAS NT	20000 000	20,075.21	19,989 00
912828N48	UNITED STATES TREAS NT	291000 000	292,353 38	290,909 79
912828N55	UNITED STATES TREAS NT	10000 000	9,994 92	10,007 80
912828Q94	UNITED STATES TREAS NT	75000.000	74,709.96	74,754 00
912828S50	UNITED STATES TREAS NT	50421 000	50,464 19	48,757 11
912828T42	UNITED STATES TREAS NT	25000 000	24,997 07	24,831 00
912828U24	UNITED STATES TREAS NT	50000 000	47,644 53	48,107 50
917542QR6	UTAH ST	10000 000	10,098 24	11,038 80
922042858	VANGUARD FTSE EMERGING MKTS	9035 000	304,616 05	323,272 30
92343VBR4	VERIZON COMMUNICATIONS INC	5000 000	5,473 29	5,528.70
92343VCC6	VERIZON COMMUNICATIONS INC	10000 000	10,167 33	10,324 00
92826CAD4	VISA INC	8000 000	8,002 18	8,035 12
92930RBB7	WFRBS COML MTG TR 2012-C9	20000 000	20,499 14	20,311 40
949746RG8	WELLS FARGO & CO NEW JR SUB NT	10000 000	10,381 25	10,050 00
976656CJ5	WISCONSIN ELEC PWR CO	5000 000	4,985 55	5,166 20
		Totals	9,863,040 66	10,295,845 50