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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: KATHARINE MATTHIES FOUNDATION

A Employer identification number: 06-6261860

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802

Room/suite:

B Telephone number (see instructions): 888-866-3275

City or town, state or province, country, and ZIP or foreign postal code: PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 18,360,674.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	349,170.	337,394.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-167,778.			
	b	Gross sales price for all assets on line 6a 6,092,917.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	8,388.	1,392.		STMT 2
	12	**Total.** Add lines 1 through 11	189,780.	338,786.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	93,536.	56,122.		37,414.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) STMT 3	45,209.			18,084.
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 4	14,858.	13,258.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule) STMT 5	5,408.	9,539.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	159,011.	78,919.	NONE	55,498.
	25	Contributions, gifts, grants paid	825,942.			825,942.
	26	Total expenses and disbursements Add lines 24 and 25	984,953.	78,919.	NONE	881,440.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-795,173.			
	b	**Net investment income** (if negative, enter -0-)		259,867.		
	c	**Adjusted net income** (if negative, enter -0-)				

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JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	435,802.	764,484.	764,484.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STMT 6	17,627,476.	16,449,039.	17,596,190.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	18,063,278.	17,213,523.	18,360,674.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds	18,063,278.	17,213,523.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	18,063,278.	17,213,523.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	18,063,278.	17,213,523.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,063,278.
2	Enter amount from Part I, line 27a	2	-795,173.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	33,954.
4	Add lines 1, 2, and 3	4	17,302,059.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	88,536.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17,213,523.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b	OTHER GAINS AND LOSSES			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,194,223.		4,574,985.	-380,762.
b	1,898,694.		1,685,710.	212,984.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-380,762.
b				212,984.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 -167,778.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	948,832.	18,772,956.	0.050542
2014	914,147.	19,370,203.	0.047193
2013	842,037.	18,601,426.	0.045267
2012	568,308.	17,606,878.	0.032278
2011	635,930.	16,964,209.	0.037487

2	**Total** of line 1, column (d)	2	0.212767
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.042553
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	17,854,034.
5	Multiply line 4 by line 3	5	759,743.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,599.
7	Add lines 5 and 6	7	762,342.
8	Enter qualifying distributions from Part XII, line 4	8	881,440.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	2,599.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	2,599.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,599.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . 6a 10,132.		
b	Exempt foreign organizations - tax withheld at source . . . . 6b NONE		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c NONE		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments Add lines 6a through 6d	7	10,132.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	7,533.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 2,600. **Refunded** ▶	11	4,933.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no. ▶ (888)866-3275

Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	X
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		93,536.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1NONE	
2	
All other program-related investments See instructions 3NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,493,055.
b	Average of monthly cash balances	1b	632,868.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	18,125,923.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	18,125,923.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	271,889.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,854,034.
6	**Minimum investment return.** Enter 5% of line 5	6	892,702.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	892,702.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,599.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,599.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	890,103.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	890,103.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	890,103.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	881,440.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	881,440.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,599.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	878,841.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				890,103.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			554,619.	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011 ... NONE				
b From 2012 ... NONE				
c From 2013 ... NONE				
d From 2014 ... NONE				
e From 2015 ... NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 881,440.				
a Applied to 2015, but not more than line 2a			554,619.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				326,821.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				563,282.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2012 ... NONE				
b Excess from 2013 ... NONE				
c Excess from 2014 ... NONE				
d Excess from 2015 ... NONE				
e Excess from 2016 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENTS				
	N/A	SEE ATTACH	SEE ATTACHED	825,942.
Total . . . . ▶ **3a**				825,942.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	349,170.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-167,778.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b EXCISE TAX REFUND			1	8,388.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				189,780.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 189,780.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 05/01/2017 Title: SVP Tax

BANK OF AMERICA, N.A.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ Firm's EIN ▶

Firm's address ▶ Phone no

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	1,186.	1,186.
FOREIGN DIVIDENDS	69,518.	69,518.
NONDIVIDEND DISTRIBUTIONS	16,524.	
DOMESTIC DIVIDENDS	132,743.	132,743.
OTHER INTEREST	40,115.	40,115.
FOREIGN INTEREST	1,638.	1,638.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	12,396.	12,396.
NON-TAXABLE FOREIGN INCOME	-4,748.	
NONDISTRIBUTIVE DIVIDENDS	1,050.	1,050.
NONQUALIFIED FOREIGN DIVIDENDS	17,692.	17,692.
NONQUALIFIED DOMESTIC DIVIDENDS	61,056.	61,056.
TOTAL	349,170.	337,394.

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAX REFUND	8,388.	
PARTNERSHIP INCOME		1,392.
TOTALS	8,388.	1,392.

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
GRANTMAKING FEES - BOA	45,209.	18,084.
TOTALS	45,209.	18,084.

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	12,388.	12,388.
EXCISE TAX ESTIMATES	1,600.	
FOREIGN TAXES ON QUALIFIED FOR	781.	781.
FOREIGN TAXES ON NONQUALIFIED	89.	89.
TOTALS	14,858.	13,258.

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER ALLOCABLE EXPENSE-PRINCI	2,704.	2,704.
OTHER ALLOCABLE EXPENSE-INCOME	2,704.	2,704.
PARTNERSHIP EXPENSES		4,131.
TOTALS	5,408.	9,539.

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION

SEE ATTACHED

TOTALS

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
CTF ADJUSTMENT		5,164.
INCOME ADJUSTMENT		28,790.
	TOTAL	33,954.

STATEMENT 7

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
SECURITIES ADJUSTMENT	14,394.
PARTNERSHIP TRANSACTION ADJUSTMENT	70,422.
SALES GAIN/LOSS ADJUSTMENT	3,717.
ROUNDING	3.
TOTAL	88,536.

KATHARINE MATTHIES FOUNDATION 06-6261860

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
OTHER GAINS AND LOSSES					
965.728 SMALL CAP VALUE CTF	06/10/2016	08/05/2016	25,000.00	24,379.00	621.00
1713.768 DIVIDEND INCOME COMMON TRUST FUND	06/10/2016	08/05/2016	95,000.00	92,359.00	2,641.00
7679.298 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND	06/30/2015	06/10/2016	84,000.00	95,007.00	-11,007.00
TOTAL OTHER GAINS AND LOSSES			204,000.00	211,745.00	-7,745.00
Totals			204,000.00	211,745.00	-7,745.00

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6F0971 1 000

KATHARINE MATTHIES FOUNDATION 06-6261860
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
OTHER GAINS AND LOSSES					
13539.27 AGGREGATE BOND CTF	08/31/2012	06/10/2016	233,000.00	233,188.00	-188.00
4625.454 AGGREGATE BOND CTF	08/31/2012	08/05/2016	80,000.00	79,732.00	268.00
939.436 SMALL CAP GROWTH LEADERS CTF	08/08/2014	06/10/2016	20,663.00	20,885.00	-222.00
1598.809 SMALL CAP GROWTH LEADERS CTF	08/16/2013	06/10/2016	35,166.00	34,546.00	620.00
2326.519 SMALL CAP GROWTH LEADERS CTF	08/31/2012	06/10/2016	51,172.00	41,959.00	9,213.00
1056.082 SMALL CAP GROWTH LEADERS CTF	08/31/2012	08/05/2016	25,000.00	19,738.00	5,262.00
3128.256 EMERGING MARKETS STOCK COMMON TRUST FUND	07/11/2014	08/05/2016	145,000.00	142,783.00	2,217.00
4961.921 MID CAP VALUE CTF	12/20/2013	06/10/2016	149,188.00	161,207.00	-12,019.00
1843.901 MID CAP VALUE CTF	12/31/2006	06/10/2016	55,440.00	53,721.00	1,719.00
245.215 MID CAP VALUE CTF	09/30/2012	06/10/2016	7,373.00	6,803.00	570.00
651.799 MID CAP VALUE CTF	09/30/2012	08/05/2016	20,000.00	18,278.00	1,722.00
3867.079 MID CAP GROWTH CTF	12/20/2013	06/10/2016	108,192.00	119,667.00	-11,475.00
7927.987 MID CAP GROWTH CTF	09/30/2012	06/10/2016	221,808.00	219,737.00	2,071.00
521.166 MID CAP GROWTH CTF	09/30/2012	08/05/2016	15,000.00	14,498.00	502.00
4040.041 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND	06/30/2015	08/05/2016	45,000.00	49,510.00	-4,510.00
17537.789 STRATEGIC GROWTH COMMON TRUST FUND	08/16/2013	06/10/2016	223,000.00	198,895.00	24,105.00
5223.647 STRATEGIC GROWTH COMMON TRUST FUND	08/16/2013	08/05/2016	70,000.00	58,818.00	11,182.00
TOTAL OTHER GAINS AND LOSSES			1,505,002.00	1,473,965.00	31,037.00
Totals			1,505,002.00	1,473,965.00	31,037.00

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KATHARINE MATTHIES FOUNDATION 06-6261860

GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	-85,702.00	
TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)		-85,702.00

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	204,972.00	
PARTNERSHIPS, TRUSTS, S CORPORATIONS	70,422.00	
TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)		275,394.00

KATHARINE MATTHIES FOUNDATION 06-6261860

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA
ADDRESS:
777 MAIN STREET
HARTFORD, CT 06115
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 93,536.
COMPENSATION EXPLANATION:
SEE FOOTNOTE

TOTAL COMPENSATION: 93,536.

KATHARINE MATTHIES FOUNDATION 06-6261860
FORM 990PF, PART XV - LINES 2a - 2d
====================================

RECIPIENT NAME:
N/A
FORM, INFORMATION AND MATERIALS:
N/A
SUBMISSION DEADLINES:
QUARTERLY
RESTRICTIONS OR LIMITATIONS ON AWARDS:
SEYMOUR, ANSONIA, DERBY, OXFORD, BEACON FALLS

KATHARINE MATTHIES FOUNDATION
06-6261860
Balance Sheet
12/31/2016

Bank of America

Cusip	Asset	Units	Basis	Market Value
	Cash/Cash Equivalent	764484 140	764,484 14	764,484 14
00203H859	AQR MANAGED FUTURES STRATEGY	22198 797	223,985 86	206,892 79
03875R205	ARBITRAGE FUND	20793 807	273,211 56	274,478 25
09256H286	BLACKROCK STRATEGIC INCOME	9283 952	90,982 73	91,261 25
202671913	AGGREGATE BOND CTF	133527 777	2,272,209 46	2,225,213 70
207543877	SMALL CAP GROWTH LEADERS CTF	13718 321	268,741 96	345,360 10
233051200	DB X-TRACKERS MSCI EAFE HEDGED	17284 000	504,592 55	484,989 04
29099J109	EMERGING MARKETS STOCK	35094 878	1,437,313 20	1,535,650 09
302993993	MID CAP VALUE CTF	16306 355	450,613 17	529,062 95
303995997	SMALL CAP VALUE CTF	18798.603	443,482 04	568,031.75
323991307	MID CAP GROWTH CTF	11492 697	330,120 97	333,460 60
360873111	GOTHAM NEUTRAL FUND INSTL CL	16626 286	163,768 92	173,245 90
45399C107	DIVIDEND INCOME COMMON TRUST	34751 961	1,714,348 35	1,971,016 55
464287200	ISHARES CORE S&P 500 ETF	10915 000	2,269,189 62	2,455,765 85
464287507	ISHARES CORE S&P MID CAP ETF	1541 000	118,439 94	254,788 94
464287655	ISHARES RUSSELL 2000 ETF	2242 000	182,320 35	302,333 70
524686672	LEGG MASON BW ABSOLUTE RETURN	19612 458	226,042.93	229,661 88
589509108	THE MERGER FD	17595 274	273,430 56	275,541 99
64128R608	NEUBERGER BERMAN LONG SHORT	21273 498	273,368 28	274,853 59
693390841	PIMCO HIGH YIELD FD	20613 075	194,793 56	181,601 19
73935S105	POWERSHARES DB COMMODITY	36295 000	926,882 47	574,912 80
74925K581	BOSTON PARTNERS LONG/SHORT	18186 052	273,528 70	280,974 50
922908553	VANGUARD REIT	11749 000	770,462 31	969,644 97
99Z466197	INTERNATIONAL FOCUSED EQUITY	167037 688	1,731,825 22	1,827,292.08
99Z501647	STRATEGIC GROWTH COMMON TRUST	94105 384	1,035,384 32	1,230,154 99
		Totals	17,213,523 17	18,360,673 60

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
08/24/2016	-26,000 00	ANSONIA PUBLIC SCHOOLS	N/A	TO SUPPORT HUMAN RELATIONS CLUB	PC
08/24/2016	-17,500 00	ASSUMPTION SCHOOL	N/A	RESTORATION OF 3RD FL GYM & THEATRE ARTS STAGE	PC
08/24/2016	-25,000 00	CENTRAL CT COAST YMCA	N/A	TO SUPPORT HEALTHY FAMILIES INITIATIVE	PC
08/24/2016	-28,000 00	CHRIST EPISCOPAL CHURCH	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/24/2016	-3,000 00	STAR BETHLEHEM CHURCH	N/A	SUPPORT ANNUAL THANKSGIVING BASKET DRIVE	PC
08/24/2016	-25,000 00	THE SALVATION ARMY	N/A	SUPPORT EMERGENCY ASSISTANCE PROGRAM	PC
08/24/2016	-6,300 00	DERBY YOUTH BUREAU	N/A	CHILD SCREENING INTERVENTION & REFERRAL PROGRAM	PC
08/24/2016	-15,000 00	ST VINCENT DE PAUL SHOPPE	N/A	FACILITIES, UPKEEP, AND MAINTENANCE	PC
08/24/2016	-22,000 00	BOY SCOUTS OF AMERICA	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/24/2016	-40,000 00	TEAM INC	N/A	TO SUPPORT ELDERLY SUPPORT SVCS PRGMS	PC
08/24/2016	-4,000 00	CT PARTNERSHIP FOR CHILDREN INC	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/24/2016	-7,000 00	NAUGATUCK VALLEY HEALTH DISTRICT	N/A	MATTER OF BALANCE FALL PREVENTION PROGRAM	PC
08/24/2016	-10,000 00	SEYMOUR HISTORICAL SOCIETY	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/24/2016	-7,500 00	SEYMOUR PINK INC	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/24/2016	-1,600 00	SEYMOUR TIGERS POP WARNER	N/A	TO SUPPORT PURCHASE OF EQUIPMENT	PC
08/24/2016	-550 00	TOWN OF SEYMOUR	N/A	CT AUDOBON PROGRAM FOR SUMMER CAMP	PC
08/24/2016	-1,800 00	TOWN OF SEYMOUR	N/A	TO SUPPORT SEYMOUR CULTURE & ARTS-SUMMER	PC
08/24/2016	-2,500 00	TOWN OF SEYMOUR	N/A	SEYMOUR/OXFORD FOOD BANK-FOOD & SUPPLIES	PC
08/24/2016	-3,000 00	TOWN OF SEYMOUR	N/A	TO SUPPORT SEYMOUR SENIOR CENTER	PC
08/24/2016	-3,500 00	TOWN OF SEYMOUR	N/A	TO SUPPORT SEYMOUR ANIMAL SHELTER	PC
08/24/2016	-2,000 00	TOWN OF SEYMOUR	N/A	ELDERLY/DISABLED HOUSES	PC
08/25/2016	-7,500 00	TOWN OF SEYMOUR	N/A	TO SUPPORT SEYMOUR AMBULANCE	PC
08/25/2016	-2,000 00	TOWN OF SEYMOUR	N/A	SEYMOUR LIBRARY-SEASONAL CONCERTS	PC
08/25/2016	-2,000 00	TOWN OF SEYMOUR	N/A	WILDCATS SWIM CLUB SAFETY PROGRAM	PC
08/25/2016	-30,000 00	VALLEY EMERGENCY MEDICAL SVCS	N/A	TO SUPPORT REPLACING A CARDIAC MONITOR	PC
08/25/2016	-50,000 00	BOYS & GIRLS CLUB	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-10,000 00	LITERACY VOLUNTEERS GTR N HAVEN	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-15,000 00	SHELTON ECONOMIC DEVELOPMNT CORP	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-9,100 00	SHELTON HISTORICAL SOCIETY	N/A	FACILITIES, UPKEEP, AND MAINTENANCE	PC
08/25/2016	-7,500 00	VALLEY SHAKESPEARE FESTIVAL	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-20,000 00	AMERICAN RED CROSS CT CHAPTER	N/A	TO SUPPORT EMERGENCY SERVICES FOR VALLEY	PC
08/25/2016	-5,500 00	ARTS FOR LEARNING CONNECTICUT	N/A	TO SUPPORT WRITE ME A STORY PROGRAM	PC
08/25/2016	-7,500 00	BEST BUDDIES CONNECTICUT	N/A	LOWER NAUGATUCK VALLEY SCHOOL PROGRAMS	PC
08/25/2016	-2,000 00	CANCER CARE INC	N/A	TO SUPPORT CANCER SUPPORT SERVICES	PC
08/25/2016	-3,500 00	COLLEGE ACESS FOR ALL KIDS INC	N/A	COLLEGE TOURS FOR 8TH & 9TH GRADERS	PC
08/25/2016	-6,000 00	CT ASSOC FOR HUMAN SERVICES	N/A	VOLUNTEER INCOME TAX ASSISTANCE PROGRAM	PC
08/25/2016	-5,000 00	CONNECTICUT FOOD BANK	N/A	SUPPORT GROW UP WITH GOOD NUTRITION PRGM	PC
08/25/2016	-7,500 00	CHRIS RADIO	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-9,000 00	GIRL SCOUTS OF CONNECTICUT INC	N/A	TO SUPPORT 2016 CAMPERSHIP PROGRAM	PC
08/25/2016	-17,000 00	HEALTHY EYES ALLIANCE	N/A	SUPPORT HEALTHY EYES FOR CT KIDS PROJECT	PC
08/25/2016	-3,000 00	INVENT NOW INC	N/A	TO SUPPORT CAMP INVENTION STEM PROGRAM	PC
08/25/2016	-17,000 00	JR ACHIEVEMENT OF WESTERN CT INC	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-7,500 00	MARITIME AQUARIUM AT NORWALK	N/A	SUPPORT KATHERINE MATTHIES SCHOLARSHIP FUND	PC
08/25/2016	-10,000 00	MIDWESTERN CT COUNCIL ALCOHOLISM	N/A	SUPPORT DRUG & ALCOHOL DEPENDENCY TRTMNT	PC
08/25/2016	-2,500 00	NEIGHBORHOOD MUSIC SCHOOL INC	N/A	SUPPORT VALLEY COMM ASSISTANCE PROGRAM	PC
08/25/2016	-10,000 00	NEW HAVEN LEGAL ASSIST ASSOC INC	N/A	CIVIL LEGAL SVCS FOR LOW-INC VALLEY RESIDENTS	PC
08/25/2016	-20,000 00	NEW HAVEN SYMPHONY ORCHESTRA INC	N/A	MUSIC EDUCATION IN NAUGATUCK VALLEY	PC
08/25/2016	-18,000 00	RAPE CRISIS CENTER OF MILFORD	N/A	TO SUPPORT PROJECT TEACH	PC
08/25/2016	-10,000 00	READ TO GROW INC	N/A	TO SUPPORT BUILDING LITERACY FROM BIRTH	PC
08/25/2016	-1,500 00	RONALD MCDONALD HOUSE OF CT	N/A	TO SUPPORT SPONSOR A FAMILY	PC
08/25/2016	-10,000 00	SEA RESEARCH FOUNDATION INC	N/A	TO SUPPORT STEM AFTERSCHOOL ADVENTURE PRGMS	PC
08/25/2016	-11,000 00	SHAKESPERIENCE PRODUCTIONS INC	N/A	TO SUPPORT CURRICULAR ENHANCEMENT	PC
08/25/2016	-6,600 00	SOUNDWATERS	N/A	TO SUPPORT SCIENCE ON THE SOUND	PC

08/25/2016	-20,000 00	VISITING NURSE ASSOC S CNTRL CT	N/A	TO SUPPORT MEDICAL SOCIAL WORK PROGRAM	PC
08/25/2016	-10,000 00	WORKPLACE INC	N/A	TO SUPPORT DRESS FOR SUCCESS	PC
08/25/2016	-37,500 00	GRIFFIN HEALTH SERVICES CORP/	N/A	INTERVENTIONAL RADIOLOGY SURGICAL EXPANSION	PC
08/25/2016	-15,000 00	ONLINE JOURNALISM PROJECT INC	N/A	UNRESTRICTED GENERAL SUPPORT	PC
08/25/2016	-20,000 00	VALLEY COMMUNITY FOUNDATION INC	N/A	HEALTH & WELLBEING ASSESSMENT PROJECT	PC
08/25/2016	-15,000 00	VALLEY UNITED WAY	N/A	EARLY CHILDHOOD COLLABORATIVE PROJECT	PC
08/25/2016	-5,000 00	INTERNATIONAL INSTITUTE OF CT	N/A	SVCS FOR FOREIGN-BORN VICTIMS OF CRIME	PC
08/29/2016	-25,000 00	LOWER NAUGATUCK VALLEY PARENT	N/A	CHILDREN & ADULT BEHAVIORAL HEALTH SERVICES	PC
08/29/2016	-25,000 00	ST MARY CATHOLIC CHURCH SOCIETY	N/A	TO SUPPORT UPDATE OF ALL PURPOSE ROOM	PC
08/29/2016	-2,000 00	SEYMOUR PUBLIC SCHOOLS	N/A	TO SUPPORT 8TH GRADE CLASS HISTORY TRIP	PC
08/29/2016	-10,000 00	CENTER STAGE THEATRE INC	N/A	TO SUPPORT CHILDREN'S THEATER & OPEN CAPTIONING	PC
08/29/2016	-10,000 00	HOMEFRONT	N/A	HOME REVITALIZATION FOR LOW INC RESIDENTS	PC
10/13/2016	-8,000 00	TOWN OF BEACON FALLS	N/A	PURCHASE EQUIPMENT FOR TOWN ASSEMBLY ROOM	PC
11/07/2016	-14,000 00	TOWN OF OXFORD	N/A	PURCHASE EQUIPMENT FOR COMMUNITY USE	PC
11/14/2016	15,000 00	STOP PAY CHECK # 18574830 DTD 8/25/2016	N/A	PAYABLE TO ONLINE JOURNALISM PROJECT INC	PC
11/14/2016	-15,000 00	ONLINE JOURNALISM PROJECT INC	N/A	UNRESTRICTED GENERAL SUPPORT	PC
12/20/2016	-4,000 00	TOWN OF SEYMOUR	N/A	SUPPORT SEYMOUR COMMUNITY CENTER	PC
12/20/2016	-2,000 00	TOWN OF SEYMOUR	N/A	SUPPORT SEYMOUR EMERGENCY MGMT	PC
12/20/2016	-6,100 00	TOWN OF SEYMOUR	N/A	FACILITIES, UPKEEP, AND MAINTENANCE	PC
12/28/2016	-2,892 10	TOWN OF BEACON FALLS	N/A	FOR PICNIC TABLES FOR THE SENIOR CENTER	PC
TOTAL	-825,942 10				