Form 990-PF

Department of the Treasury

Internal Revenue Service

DLN: 93491256006217

2016

OMB No 1545-0052

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For	caler	ndar year 2016, or tax year beginning 01-01-20)16 , a	nd en	ding 12-31-	2016	
		indation K FAMILY FOUNDATION INC			A Employer ıd	entification numbe	r
11	E VLOC	R FAMILI FOUNDATION INC			06-6036710		
		street (or P O box number if mail is not delivered to street address)	Room/suite		R Telenhone nu	mber (see instructio	ns)
P	D BOX 5	592			(203) 799-2356	•	
Cıty	or town	, state or province, country, and ZIP or foreign postal code			, ,		
ORA	NGE, C1	06477			C If exemption	application is pendin	g, check here
G Cł	neck al	I that apply 🔲 Initial return 🔲 Initial return of a	former public charity		D 1. Foreign or	ganizations, check h	ere $lacksquare$
		☐ Final return ☐ Amended return	,		2. Foreign oi	rganizations meeting	the 85%
		Address change Name change			test, chec	k here and attach co	mputation 🕨 🔼
H C	neck ty	pe of organization Section 501(c)(3) exempt private	foundation			undation status was f n 507(b)(1)(A), chec	
	Section	4947(a)(1) nonexempt charitable trust	private foundation				
			☑ Cash ☐ Accru	ıal		ation is in a 60-mont	
		rom Part II, col (c), \$ 1,340,102 ☐ Other (specify) _			under sectio	n 507(b)(1)(B), chec	k here
	/-	(Part I, column (d) must	be on cash basis)				
Pa	rt I	Analysis of Revenue and Expenses (The total	(a) Revenue and	Ī			(d) Disbursements
		of amounts in columns (b), (c), and (d) may not necessarily	expenses per	(b)	Net investment income	(c) Adjusted net income	for charitable purposes
		equal the amounts in column (a) (see instructions))	books				(cash basis only)
	1	Contributions, gifts, grants, etc , received (attach schedule)					
	2	Check ► ✓ If the foundation is not required to attach					
	*	Sch B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities	23,437	7	23,437		
	5a	Gross rents					
•	b	Net rental income or (loss)					
Ē	6a	Net gain or (loss) from sale of assets not on line 10	32,288	3			
Revenue	b	Gross sales price for all assets on line 6a 168,035					
ă	7	Capital gain net income (from Part IV, line 2)		<u> </u>	32,288		
	8	Net short-term capital gain		1			
	9	Income modifications		-			
	10a	Gross sales less returns and allowances Less Cost of goods sold]	-			
	b c	Gross profit or (loss) (attach schedule)	<u> </u>	+			
		Other income (attach schedule)	319		319		
	11	,	56,044		56,044		
	12	Total. Add lines 1 through 11	·	<u> </u>			,
	13 14		C	1	0		
	15	Pension plans, employee benefits		+			
Şe	16a	Legal fees (attach schedule)		-			
<u>e</u>	ь	Accounting fees (attach schedule)	3,800		1,520		(
EX	c	Other professional fees (attach schedule)	3,500	+			
Ve	17	Interest		+			
Operating and Administrative Expenses	18	Taxes (attach schedule) (see instructions)	9 254	1	254		(
ıl St	19	Depreciation (attach schedule) and depletion		+			
Ē	20	Occupancy		\vdash			
Αď	21	Travel, conferences, and meetings		+			
n D	22	Printing and publications		\vdash			
g G	23	Other expenses (attach schedule)	7,674	1	7,589		
atın	24	Total operating and administrative expenses.	-	1	·		
ě		Add lines 13 through 23	11,728	3	9,363		
Ö	25	Contributions, gifts, grants paid	39,975	-	<u> </u>		39,975
	26	Total expenses and disbursements. Add lines 24 and		1			
		25	51,703	3	9,363		39,975
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements	4,341	1			
	ь	Net investment income (if negative, enter -0-)		t	46,681		
	С	Adjusted net income(if negative, enter -0-)		1			
	Danar	work Deduction Act Natice are instructions	1				000 BE (2215

21,841

886,395

88,450

797,945

886,395

886,395

22,249

891,448

88,450

802,998

891,448

891,448

1

2

3

4

5

6

886,395

891,448

891.448 Form **990-PF** (2016)

4,341

712

0

18.921

1,340,102

Investments—land, buildings, and equipment basis ▶ _

Loans from officers, directors, trustees, and other disqualified persons

Mortgages and other notes payable (attach schedule).

Total liabilities(add lines 17 through 22)

Foundations that do not follow SFAS 117, check here ▶ ✓

Paid-in or capital surplus, or land, bldg, and equipment fund

Retained earnings, accumulated income, endowment, or other funds

Total net assets or fund balances (see instructions)

Total liabilities and net assets/fund balances (see instructions) .

Total net assets or fund balances at beginning of year-Part II, column (a), line 30 (must agree with end-

Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

Analysis of Changes in Net Assets or Fund Balances

Less accumulated depreciation (attach schedule) ▶

Less accumulated depreciation (attach schedule)

Total assets (to be completed by all filers—see the

Foundations that follow SFAS 117, check here ▶

and complete lines 24 through 26 and lines 30 and 31.

Land, buildings, and equipment basis

instructions Also, see page 1, item I)

Other assets (describe > _

Other liabilities (describe ▶_

Unrestricted

and complete lines 27 through 31.

of-year figure reported on prior year's return)

Decreases not included in line 2 (itemize) ▶

Enter amount from Part I, line 27a

Other increases not included in line 2 (itemize) -

Permanently restricted . . .

Temporarily restricted

11

12

13

14

15

16

17

18

19 20

21

22

23

24

25

28

29

30

31

Part III

2

3

Liabilities

Balances

Fund 26

ō

Assets 27

Net

instructions

(b)

		(a) kınd(s) of property sold (e g , reluse, or common stock, 200 shs		How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a MERRILL	LYNCH					
b MERRILL	LYNCH					
c PERSHING	G					
d CAPITAL I	LOSS - SCHEDULE	K-1		Р		
e CAPITAL (GAIN - SCHEDULE	K-1		Р		
Gross	(e) (f) Gross cales price Depreciation allowed Cost				Gain o	h) ir (loss)) minus (g)
	16,2	(or allowable)	pius exp	pense of sale 15,476		745
a b	107,0			78,178		28,825
	44,5			42,088	-	2,426
С	44,3	17		42,088		-5
d	2	0.7		<u> </u>		
e		97				297
•	(i) as of 12/31/69	owing gain in column (h) and ow (j) Adjusted basis as of 12/31/69	Excess	(k) s of col (ı) l (j), ıf any	Gains (Col (col (k), but not	l) h) gain minus less than -0-) or om col (h))
a						745
b						28,825
С						2,426
d						-5
e						297
			If (loss), enter -0- in		🤈	32 288
	also enter in Part I,	or (loss) as defined in sections 1 , line 8, column (c) (see instruction	1222(5) and (6)	· I	3	32,288
If gain, a in Part I,	also enter in Part I, , line 8 ualification Unc	, line 8, column (c) (see instruction der Section 4940(e) for Re	1222(5) and (6) ons) If (loss), enter -	0- 	3 come	32,288
If gain, a in Part I,	also enter in Part I, , line 8 ualification Unc	, line 8, column (c) (see instruction	1222(5) and (6) ons) If (loss), enter -	0- 	3 come	32,288
If gain, a in Part I, Part V Qu (For optional use) If section 4940(a) Was the foundat If "Yes," the four	also enter in Part I, , line 8 ualification Unc e by domestic priva d)(2) applies, leave tion liable for the se ndation does not qu	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributate ualify under section 4940(e).	1222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part	t Investment In et investment incom	come	32,288 es
If gain, a in Part I, Part V Qu (For optional use) If section 4940(a) Was the foundat If "Yes," the four 1 Enter the	also enter in Part I, Inne 8 ualification Unc by domestic priva d)(2) applies, leave tion liable for the se indation does not que appropriate amount	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributationality under section 4940(e) Do nt in each column for each year,	1222(5) and (6) cons) If (loss), enter -i consecuted Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part see instructions before	t Investment In et investment incom	3 come ne) 7 Y	
If gain, a in Part I, Part V Qu (For optional use) If section 4940(Was the foundat If "Yes," the four 1 Enter the Base period ye year (or tax year	also enter in Part I, Inne 8 ualification Unc by domestic priva d)(2) applies, leave tion liable for the se ndation does not que appropriate amoun bears Calendar in beginning in)	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributate ualify under section 4940(e) Do nt in each column for each year, (b) Adjusted qualifying distributions	1222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part	t Investment Inet investment incom	come	es V No
If gain, a in Part I, Part V Qu (For optional use) If section 4940(Was the foundat If "Yes," the four 1 Enter the Base period ye year (or tax year)	also enter in Part I, Inne 8 ualification Unc by domestic priva d)(2) applies, leave tion liable for the se indation does not que appropriate amoun bears Calendar in beginning in)	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributate ualify under section 4940(e) Dont in each column for each year, (b) Adjusted qualifying distributions 41,866	L222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part see instructions before	t Investment In et investment incom ir in the base period te e making any entrie	come ne) y S (d) Distribution rat	es No
If gain, a in Part I, Part V Qu (For optional use of section 4940(of was the foundatter "Yes," the foundatte	also enter in Part I, Inne 8 ualification Unc by domestic priva d)(2) applies, leave tion liable for the se indation does not que appropriate amoun bears Calendar ir beginning in) 15 14	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributate ualify under section 4940(e) Do nt in each column for each year, (b) Adjusted qualifying distributions 41,866 96,716	L222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part see instructions before	t Investment Inet investment income in the base period to the making any entries ble-use assets 1,264,880 841,477	come ne) y S (d) Distribution rat	es No lo (c)) 0 033099 0 114936
If gain, a in Part I, Part V Qu (For optional use) If section 4940(Was the foundat If "Yes," the four Base period ye year (or tax year 201 201 201	also enter in Part I, Inne 8 ualification Unc by domestic privation liable for the se indation does not que appropriate amount bears Calendar in beginning in) 15 14	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributate ualify under section 4940(e) Dont in each column for each year, (b) Adjusted qualifying distributions 41,866 96,716 85,757	L222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part see instructions before	t Investment Inet investment incom In the base period to the making any entried to the ble-use assets to 1,264,880 to 841,477 to 711,421	come ne) y S (d) Distribution rat	es No No O O O O O O O O O O
If gain, a in Part I, Part V Qu (For optional use) If section 4940(Was the foundat If "Yes," the four 1 Enter the (a) Base period ye year (or tax year) 201 201 201 201	also enter in Part I, Inne 8 ualification Unc by domestic priva d)(2) applies, leave tion liable for the se ndation does not que appropriate amoun bears Calendar ir beginning in) 15 14 13 12	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributational under section 4940(e) Do nt in each column for each year, (b) Adjusted qualifying distributions 41,866 96,716 85,757 88,146	L222(5) and (6) cons) If (loss), enter -0 cduced Tax on Net ction 4940(a) tax on n cole amount of any yea not complete this part see instructions before	t Investment Inet investment income in the base period to the making any entries ble-use assets 1,264,880 841,477 711,421 685,492	come ne) y S (d) Distribution rat	es No No O O O O O O O O O
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If gain, a in Part I, Part V Qu (For optional use of section 4940(o) Was the foundat of "Yes," the four of section 4940(o) Base period ye year (or tax year) 201 201 201 2 Total of li 3 Average do number of 4 Enter the	also enter in Part I, Inne 8 ualification Unc by domestic privation liable for the se indation does not que appropriate amount bears Calendar in beginning in) 15 14 13 12 11 ine 1, column (d) distribution ratio for f years the foundat net value of nonch	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributational for each year, (b) Adjusted qualifying distributions 41,866 96,716 85,757 88,146 42,016 The 5-year base period—divide to the section has been in existence if less that aritable-use assets for 2016 from	the total on line 2 by 5 than 5 years	t Investment Inet investment income in the base period to the making any entries ble-use assets 1,264,880 841,477 711,421 685,492 752,781 2 5, or by the 4	come ne) y S (d) Distribution rat	es No 10 10 10 10 10 10 10 10 10 10 10 10 10
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If gain, a in Part I, Part V Qu (For optional use) If section 4940(a) Was the foundat If "Yes," the four 1 Base period ye year (or tax year 201 201 201 201 201 3 Average d number of 4 Enter the 5 Multiply lir 6 Enter 1%	also enter in Part I, Inne 8 ualification Unc by domestic privat d)(2) applies, leave tion liable for the se indation does not qu appropriate amount pears Calendar in beginning in) 15 14 13 12 11 Inne 1, column (d) distribution ratio for f years the foundat net value of nonch ne 4 by line 3 of net investment	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributational for each year, (b) Adjusted qualifying distributions 41,866 96,716 85,757 88,146 42,016 The 5-year base period—divide to the section has been in existence if less that aritable-use assets for 2016 from	the total on line 2 by 5 than 5 years	t Investment In et investment incom Ir in the base period to the making any entrier in the ble-use assets 1,264,880 841,477 711,421 685,492 752,781 2 5, or by the	come ne) y S (d) Distribution rat	es No 100 (c)) 0 033099 0 114936 0 120543 0 128588 0 055814 0 452980 0 090596 1,241,520 112,477 467
If gain, a in Part I, Part V Qu (For optional use) If section 4940(a) Was the foundat If "Yes," the four optional use) 1 Enter the (a) Base period ye year (or tax year) 201 201 201 201 201 2 Total of li 3 Average do number of 4 Enter the 5 Multiply lir 6 Enter 1% 7 Add lines	also enter in Part I, , line 8 ualification Unc e by domestic priva d)(2) applies, leave tion liable for the se ndation does not qu appropriate amoun bears Calendar ir beginning in) 15 14 13 12 11 ine 1, column (d) distribution ratio for f years the foundat net value of nonch ne 4 by line 3 of net investment 5 and 6	der Section 4940(e) for Reate foundations subject to the section 4942 tax on the distributational for each year, (b) Adjusted qualifying distributions 41,866 96,716 85,757 88,146 42,016 The 5-year base period—divide to the section has been in existence if less faritable-use assets for 2016 from	the total on line 2 by 5 than 5 years	t Investment In et investment incom Ir in the base period to the making any entrier in the ble-use assets 1,264,880 841,477 711,421 685,492 752,781 2 5, or by the	come ne) y S (d) Distribution rat	es No O O O O O O O O

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid and Contractors (continued)	Employees,
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "N	ONE".
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	
Total number of others receiving over \$50,000 for professional services	0
Part IX-A Summary of Direct Charitable Activities	_
List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	D
Iotal. Add lines 1 through 3	Form 990-PF (2016)

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

Amounts set aside for specific charitable projects that satisfy the

the section 4940(e) reduction of tax in those years

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions. Subtract line 5 from line 4.

Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

3

4

5

2

3a 3h

4

5

39.975

39,975

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b Total for prior years

a From 2011.

d From 2014.

e From 2015.

b From 2012. . . . c From 2013. . .

(d)

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61,142

39,975

21,167

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0-PF	(2	016	(
		-	-	

Undistributed Income (see instructions)

4.545 54,139

51 524

65.130

37.517

51,524 65.130

- (a) Corpus
- Years prior to 2015

175.338

21.167

154,171

154,171

(b)

(c)

2015

1 Distributable amount for 2016 from Part XI, line 7 2 Undistributed income, if any, as of the end of 2016

a Enter amount for 2015 only.

Excess distributions carryover, if any, to 2016

f Total of lines 3a through e.

d Applied to 2016 distributable amount. . . . e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2016

same amount must be shown in column (a))

(If an amount appears in column (d), the

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

6 Enter the net total of each column as

b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .

9 Excess distributions carryover to 2017.

10 Analysis of line 9

a Excess from 2012. . .

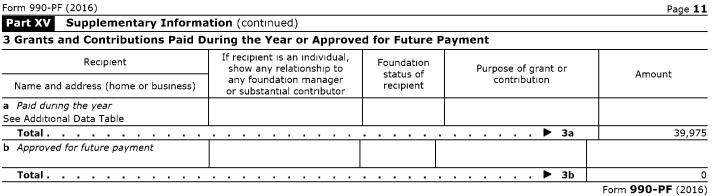
c Excess from 2014. . . . d Excess from 2015. . . e Excess from 2016. . .

b Excess from 2013. .

Subtract lines 7 and 8 from line 6a

indicated below:

4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ a Applied to 2015, but not more than line 2a **b** Applied to undistributed income of prior years (Election required—see instructions). c Treated as distributions out of corpus (Election



Enter gross amounts unless otherwise indicated		Unrelated bus	siness income	Excluded by section	(e) Related or exempt	
Program	service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions
_	and contracts from government agencies					
	rship dues and assessments store on savings and temporary cash					
ınvesti	ments					
	ds and interest from securities			14	23,437	
	financed property					
	ebt-financed property					
Net re	ntal income or (loss) from personal property					
	vestment income			14	319	
	r (loss) from sales of assets other than			18	32,288	
	ome or (loss) from special events			10	32,200	
Gross p	rofit or (loss) from sales of inventory					
	evenue a					
е						
			0		56,044	
Subtota Total.	al Add columns (b), (d), and (e)	ns)	0	13	56,044 3	
Subtota Total. A se worksh		ns)			· · · · · · · · · · · · · · · · · · ·	56,044
Subtota Total. A se worksh	al Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) neet in line 13 instructions to verify calculatio	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
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Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) Beet in line 13 instructions to verify calculation. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044
Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e) The columns (b), (d), and (e), and (e). The columns (b), (d), and (e), and (e	ns) e Accomplish i ncome is reporte	ment of Exemp	ot Purposes Part XVI-A contribu	ted importantly to	56,044

, ,	,10)
П	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Par	t XVII	Exempt Organia		alisi	1615 10	anu mansac	LIU	is allu Ke	Siatioi	nsinps with Noi	iciiaiic	iDic		
		ganization directly or in Code (other than sectio	directly enga								on 501		Yes	No
a Tra	ansfers	from the reporting foun	dation to a ne	oncha	ırıtable ex	empt organizat	ion	of			Ī			
(1) Cash										. :	1a(1)		No
(2) Othe	rassets										1a(2)		No
b Ot	her tran	nsactions									Ī			
(1) Sales	of assets to a nonchari	table exempt	t orga	nızatıon.						:	1b(1)		No
(2) Purch	nases of assets from a n	oncharitable	exem	pt organi	zation					[1b(2)		No
-	-	al of facilities, equipmer									[1b(3)		No
(4) Reim	bursement arrangemen	ts								. [1b(4)		No
(5) Loan:	s or loan guarantees.									. [1b(5)		No
(6) Perfor	mance of services or m	embership or	fund	raising so	licitations					. [1b(6)		No
c Sh	aring of	f facilities, equipment, n	nailing lists, c	ther a	assets, or	paid employee	s.				. [1c		No
d If	the ansv	wer to any of the above	ıs "Yes," com	nplete	the follow	wing schedule	Colu	ımn (b) sho	ould alv	vays show the fair r	narket va	lue		
ın	any trai	nds, other assets, or ser- nsaction or sharing arra	ngement, sho	ow in	column (d) the value of	the	goods, othe	er asset	ts, or services recei	ved			
(a) Lin	e No	(b) Amount involved	(c) Name of	noncha	arıtable exe	empt organization		(d) Descrip	ption of	transfers, transactions,	, and sharı	ng arrai	ngemen	ts
							-							
							-							
							+							
2a Is	the four	ndation directly or indire	ectiv affiliated	with	. or relate	ed to, one or me	ore t	ax-exempt	organi	zations				
		in section 501(c) of the	•		•	•			_		Г	Yes	✓ N	0
		omplete the following so	•	CHAIT	30000011 30	01(0)(3)) 01 111	3000	1011 327 1	•					Ū
וו ט	165, 0	(a) Name of organization			l d	b) Type of organi	zatio	n I		(c) Description	of relation	ship		
						· · · · · · · · · · · · · · · · · · ·								
Sign	of m	er penalties of perjury, ny knowledge and belief ch preparer has any kno	, it is true, co											
Here	•	*****	-			2017-07-19		***** May th		May the IR				
i i e i c	│					<u> </u>		_)			with the pro			
	<u> </u>	Signature of officer or ti	Signature of officer or trustee			Date		▼ Title	!	(see instr)	, √ ∧	es 🗆 N	lo
		Print/Type preparer's	name	Prepa	arer's Sıgı	nature		Date		Check if self- employed ▶ □	PTIN F	00076	123	
Paid	I	ARMAND E ROSSI								, ,				
_	arer		CUM LLP					I			Fırm's EII	\ \ 11.	19863	23
Use	Only		5 LONG WHA	RE DI	RIVE						11	11		
			W HAVEN, C								Phone no	(203)	781-9	9600

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation (a) Name and address Title, and average (c) Compensation (If (d) Expense account, hours per week not paid, enter Contributions to (e) other allowances (b) devoted to position -0-) employee benefit plans and deferred compensation JAY I VLOCK PRESIDENT 0.00 101 ANSONIA ROAD WOODBRIDGE, CT 06525 DANIEL R VLOCK DIRECTOR 0.00 50 BUCKINHAM STREET MICHAEL VLOCK DIRECTOR 0.00 235 THIMBLE ISLANDS ROAD BRANFORD, CT 06405

CAMBRIDGE, MA 02138				
SANDRA E VLOCK	SECRETARY	0	0	C
4 SACHEM ROAD STONY CREEK, CT 06405	0 00			
				1

DIRECTOR/TREASURER

0 00

GATL L BREKKE

101 ANSONIA ROAD WOODBRIDGE, CT 06525 Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

JAY I VLOCK

MICHAEL VLOCK

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment							
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount			
Name and address (home or business)	any foundation manager or substantial contributor	recipient					
a Paid during the year							
ANTI DEFAMATION LEAGUE 1952 WHITNEY AVENUE 6 HAMDEN, CT 06517	N/A	PUBLIC	HUMANITARIAN	1,500			
CONNECTICUT PLAYERS FOUNDATION INC (LONG WHARF THEATRE) 222 SARGENT DRIVE NEW HAVEN, CT 06511	N/A	PUBLIC	HUMANITARIAN	5,500			
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	N/A	PUBLIC	EDUCATIONAL	15,000			
JCC CAMP SCHOLARSHIP FUND 380 AMITY ROAD WOODBRIDGE, CT 06525	N/A	PUBLIC	EDUCATIONAL	250			
JEWISH FEDERATION OF GREATER NEW HAVEN 360 AMITY ROAD WOODBRIDGE, CT 06525	N/A	PUBLIC	HUMANITARIAN	17,000			

39,975

Total . 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year JUVENILE DIABETES RESEARCH N/A **PUBLIC** HUMANITARIAN 100 **FOUNDATION** 2060 WHITNEY AVENUE

HAMDEN, CT 06518				
PLANNED PARENTHOOD OF SOUTHERN NEW ENGLAND 345 WHITNEY AVENUE	N/A	PUBLIC	HUMANITARIAN	

NEW ENGLAND 345 WHITNEY AVENUE NEW HAVEN, CT 06511				
TOWERS FOUNDATION 18 TOWER LANE	N/A	PUBLIC	HUMANITARIAN	

11211 11711211, 61 66511				
TOWERS FOUNDATION 18 TOWER LANE NEW HAVEN, CT 06519	N/A	PUBLIC	HUMANITARIAN	250
WOMEN'S HEALTH RESEARCH AT YALE	N/A	PUBLIC	HUMANITARIAN	250

125

NEW HAVEN, CT 06519	.,,,,			
WOMEN'S HEALTH RESEARCH AT YALE 135 COLLEGE STREET SUITE 220 NEW HAVEN, CT 06510	N/A	PUBLIC	HUMANITARIAN	2!

WOMEN'S HEALTH RESEARCH AT YALE 135 COLLEGE STREET SUITE 220 NEW HAVEN, CT 06510	N/A	PUBLIC	HUMANITARIAN	2!

135 COLLEGE STREET SUITE 220 NEW HAVEN, CT 06510	-4		
Total		 	39,975

efile GRAPHIC print - DO NOT PROCESS As Filed Data - DLN: 93491256006217								
TY 2016 Accounting Fo	ees Sche	edule						
	Name: THE VLOCK FAMILY FOUNDATION INC							
	EIN:	06-6036710	1					
0.1				A 41 -1 - 4 N - 1	D'als assurants			
Category	Amo	ount N	et Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes			
				 	+			

3,800

ACCOUNTING FEES

DLN: 93491256006217

TY 2016 Investments Corporate Stock Schedule

Name: THE VLOCK FAMILY FOUNDATION INC

EIN: 06-6036710

Name of Stock	End of Year Book Value	End of Year Fair Market Value
650 MICROSOFT MSFT	26,510	40,391
700 ABBOT ABT	22,814	26,887
300 JOHNSON & JOHNSON JNJ	19,951	34,563
400 WELLS FARGO	0	0
200 AIR PRODUCTS APD	8,560	28,764
280 CHUBB CB	32,636	36,994
75 BARD CR INC.	2,075	16,849
250 BERKSHIRE BRKB	12,236	40,745
600 CISCO SYS INC. CSCO	9,275	18,132
1100 EMC. CORP.	0	0
400 SUNCOR ENERGY INC. SU	3,718	13,076
150 UNITED TECHNOLOGIES UTX	5,963	16,443
300 WALT DISNEY CO. DIS	8,567	31,266
225 THERMO ELECTRON CORP. TMO	7,168	31,747
225 ACCENTURE LTD ACN	8,243	26,354
250 E I DU PONT DE NEMOURS & CO. DD	0	0
300 HONEYWELL HON	12,453	34,755
300 UNITED PARCEL SERVICE UPS	25,975	34,392
250 ANADARKO PETE CORP. APC	13,132	17,432
200 BECTON DICKINSON CO. BDX	15,124	33,110
1200 CORNING INC. GLW	23,801	29,124
350 DEVON ENERGY DVN	23,920	15,984
150 GILEAD SCIENCES GILD	2,666	10,742
225 NATIONAL-OILWELL VARCO NOV	0	0
250 OCCIDENTAL PETE CORP. OXY	21,980	17,807
600 BRISTOL-MYERS SQUIBB CO.	23,040	35,064
200 PEPSICO INC. PEP	12,419	20,926
350 FISERV INC. WISC PV FISV	11,517	37,198
400 APPLE INC. AAPL	32,484	46,328
600 WEST PHARMACTL SVCS INC. WST	26,961	50,898

Name of Stock	End of Year Book Value	End of Year Fair Market Value
700 ABBVIE INC.	378	43,834
200 AMERISOURCEBERGEN CORP. ABC	18,526	15,638
500 W R BERKLEY CORP. WRB	24,833	33,255
350 CELEGENE CORP. CELG	35,551	40,513
225 ECOLAB INC. ECL	24,889	26,374
40 ALPHABET (FORMERLY GOOGLE INC.) GOOG	22,028	30,873
700 INTEL CORP. INTC	23,408	25,389
300 PNC FINCL SERVICES GROUP PNC	25,132	35,088
175 STERICYCLE INC. SRCL	0	0
300 TEXAS INSTRUMENTS TXN	14,162	21,891
150 3M COMPANY MMM	23,650	26,786
600 US BANCORP (NEW) USB	24,812	30,822
400 VISA INC. CL A V	25,034	31,208
125 FACEBOOK	11,290	14,381
100 BLACKROCK INC.	38,028	38,054
250 INGERSOLL-RAND PLC	0	0
450 JPMORGAN CHASE &CO.	28,257	38,831
300 PENSKE AUTO GROUP INC.	0	0
80 CHEMOURS CC	0	0
17 PJT PARTNERS INC. PJT	0	0
150 EXXON MOBIL CORP	12,659	13,539
100 LOCKHEED MARTIN CORP	24,827	24,994
300 QUALCOMM INC	20,579	19,560
150 SIEMENS A G SPONSORED ADR	16,410	18,363
500 TOTAL S A SPONSORED ADR	23,430	25,485
100 VERSUM MATLS INC.	803	2,807
12 ADVANSIX INC.	66	266

TY 2016 Investments - Other Schedule	

DLN: 93491256006217

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EIN: 06-6036710				
Category/ Item	Book Value	End of Year Fair Market Value		
700 BLACKSTONE GROUP LP	AT COST	22,249	18,921	

Name: THE VLOCK FAMILY FOUNDATION INC.

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN:	93491256006217				
TY 2016 Other Expenses Sche	dule							
Name: THE VLOCK FAMILY FOUNDATION INC								
EIN: 06-6036710								
Other Expenses Schedule				·				
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes				
INVESTMENT FEES	7,589	7,589		0				
SCHEDULE K-1 LOSSES	32	0		0				

ANNUAL FEE

FEE

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DLN: 93491256006217

Name: THE VLOCK FAMILY FOUNDATION INC EIN: 06-6036710

Other Income Schedule					
Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income		
PASSTHROUGH INCOME - SCHEDULE K-1	316	316	316		
DASSTHROUGH INCOME - SCHEDULE K-1	3	2	3		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN: 93491256006217			
TY 2016 Other Increases Schedule						
Name:	THE VLOCK FA	AMILY FOUNDATION INC				
EIN:	06-6036710					
Description		Amount				

ADJUSTMENT TO PARTNERSHIPS COST BASIS

efile GRAPHIC print - DO NOT PROCESS	As Filed Data	-	DLI	N: 93491256006217			
TY 2016 Taxes Schedule							
Name: THE VLOCK FAMILY FOUNDATION INC EIN: 06-6036710							
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes			
TAXES - FOREIGN TAX	254	254		0			