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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at www irs gov/form990pf

OMB No 1545 0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: BODENWEIN PUB BEN FDN TR U/W

A Employer identification number: 06-6030548

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802 — Room/suite:

B Telephone number (see instructions): 888-866-3275

City or town, state or province country and ZIP or foreign postal code: PROVIDENCE, RI 02901-1802

C If exemption application is pending check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations check here ▶ [ ]
2 Foreign organizations meeting the 85% test check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 315,158

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60 month termination under section 507(b)(1)(B) check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions gifts grants etc received (attach schedule)	210,179.			
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	4,499.	4,385.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,111.			
	b Gross sales price for all assets on line 6a 173,697.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)		10.		STMT 2
	12 **Total** Add lines 1 through 11	209,567.	4,395.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	1,976.	1,186.		790.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule) STMT 3	2,008.	NONE	NONE	2,008.
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STMT 4	553.			221.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 5	181.	138.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 6	56.	87.		
	24 **Total operating and administrative expenses** Add lines 13 through 23	4,774.	1,411.	NONE	3,019.
	25 Contributions, gifts, grants paid	104,500.			104,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	109,274.	1,411.	NONE	107,519.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	100,293.			
	b **Net investment income** (if negative, enter -0-)		2,984.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	12,754.	113,560.	113,560.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less. allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) . STMT 7	187,364.	185,246.	201,598.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 9			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	200,118.	298,806.	315,158.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds	200,118.	298,806.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	200,118.	298,806.	
31	**Total liabilities and net assets/fund balances** (see instructions)	200,118.	298,806.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	200,118.
2	Enter amount from Part I, line 27a	2	100,293.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	126.
4	Add lines 1, 2, and 3	4	300,537.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	1,731.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	298,806.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b	OTHER GAINS AND LOSSES			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	85,472.		91,390.	-5,918.
b	88,225.		87,418.	807.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-5,918.
b				807.
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-5,111.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	109,766.	211,480.	0.519037
2014	102,849.	214,328.	0.479867
2013	103,310.	199,231.	0.518544
2012	25,842.	175,579.	0.147182
2011	91,022.	177,782.	0.511987

2	**Total** of line 1, column (d)	2	2.176617
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.435323
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	227,227.
5	Multiply line 4 by line 3.	5	98,917.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	30.
7	Add lines 5 and 6	7	98,947.
8	Enter qualifying distributions from Part XII, line 4	8	107,519.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	30.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	30.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	30.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 60.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	60.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	30.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 30. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	X	

Part VII-A **Statements Regarding Activities** *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no. ▶ (888)866-3275

Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945-5(d).*	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		1,976.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	212,240.
b	Average of monthly cash balances	1b	18,447.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	230,687.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e |		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	230,687.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,460.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	227,227.
6	**Minimum investment return.** Enter 5% of line 5	6	11,361.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	11,361.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	30.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	30.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	11,331.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	11,331.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	11,331.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,519.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,519.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	30.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	107,489.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				11,331.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 . . . 82,355.				
b From 2012 . . . 17,379.				
c From 2013 . . . 93,496.				
d From 2014 . . . 92,201.				
e From 2015 . . . 99,294.				
f **Total** of lines 3a through e	384,725.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 107,519.				
a Applied to 2015, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				11,331.
e Remaining amount distributed out of corpus	96,188.			
5 Excess distributions carryover applied to 2016 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	480,913.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	82,355.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	398,558.			
10 Analysis of line 9				
a Excess from 2012 . . . 17,379.				
b Excess from 2013 . . . 93,496.				
c Excess from 2014 . . . 92,201.				
d Excess from 2015 . . . 99,294.				
e Excess from 2016 . . . 96,188.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENTS	N/A	PC	SEE ATTACHED	104,500.
Total . . . . ▶ **3a**				104,500.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,499.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-5,111.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-612.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 -612.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] | 04/21/2017 | SVP Tax

Signature of officer or trustee | Date | Title

BANK OF AMERICA, N.A.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs gov/form990***

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
BODENWEIN PUB BEN FDN TR U/W	06-6030548

Organization type (check one)·

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization ıs covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that receıved, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
BODENWEIN PUB BEN FDN TR U/W	06-6030548

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE DAY TRUST PO BOX 1231 NEW LONDON, CT 06320-1231	$ 210,179.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

BODENWEIN PUB BEN FDN TR U/W 06-6030548

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	69.	69.
FOREIGN DIVIDENDS	819.	819.
NONDIVIDEND DISTRIBUTIONS	158.	
DOMESTIC DIVIDENDS	1,470.	1,470.
OTHER INTEREST	569.	569.
FOREIGN INTEREST	23.	23.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	171.	171.
NON-TAXABLE FOREIGN INCOME	-44.	
NONDISTRIBUTIVE DIVIDENDS	7.	7.
NONQUALIFIED FOREIGN DIVIDENDS	267.	267.
NONQUALIFIED DOMESTIC DIVIDENDS	990.	990.
TOTAL	4,499.	4,385.

BODENWEIN PUB BEN FDN TR U/W   06-6030548

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME		10.
TOTALS		10.

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES - PRINCIPAL (ALLOCA	129.			129.
LEGAL FEES - INCOME (ALLOCABLE	129.			129.
LEGAL FEES - CHARITABLE	1,750.			1,750.
TOTALS	2,008.	NONE	NONE	2,008.

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
GRANTMAKING FEES - BOA	553.	221.
TOTALS	553.	221.

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STATEMENT 4

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	105.	105.
EXCISE TAX ESTIMATES	43.	
FOREIGN TAXES ON QUALIFIED FOR	21.	21.
FOREIGN TAXES ON NONQUALIFIED	12.	12.
TOTALS	181.	138.

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER ALLOCABLE EXPENSE-PRINCI	28.	28.
OTHER ALLOCABLE EXPENSE-INCOME	28.	28.
PARTNERSHIP EXPENSES		31.
TOTALS	56.	87.

BODENWEIN PUB BEN FDN TR U/W 06-6030548

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
922042858 VANGUARD FTSE EMERGI		7,464.	7,943.
922908553 VANGUARD REIT ETF	7,598.	9,771.	12,214.
693390841 PIMCO HIGH YIELD FD	4,122.	2,060.	2,130.
202671913 AGGREGATE BOND CTF	36,494.	15,921.	15,996.
302993993 MID CAP VALUE CTF	3,637.	3,782.	4,434.
323991307 MID CAP GROWTH CTF	3,866.	4,065.	4,149.
464287507 ISHARES CORE S&P MID	4,788.	3,099.	4,464.
464287655 ISHARES RUSSELL 2000	3,308.	5,242.	6,877.
921943858 VANGUARD FTSE DEVELO	5,794.	7,991.	8,002.
466001864 IVY ASSET STRATEGY F	10,081.		
207543877 SMALL CAP GROWTH LEA	3,565.	2,829.	3,291.
29099J109 EMERGING MARKETS STO	9,687.	12,398.	13,301.
303995997 SMALL CAP VALUE CTF	3,777.	2,929.	3,531.
45399C107 DIVIDEND INCOME COMM	15,999.	17,974.	20,037.
99Z466163 HIGH QUALITY CORE CO	6,554.	5,109.	5,375.
99Z466197 INTERNATIONAL FOCUSE	16,261.	13,571.	14,385.
99Z501647 STRATEGIC GROWTH COM	14,197.	14,467.	17,440.
73935S105 POWERSHARES DB COMMO	5,754.	7,519.	6,431.
38145C646 GOLDMAN SACHS STRATE	13,932.	5,197.	5,176.
464287200 ISHARES CORE S&P 500	11,660.		
92203J407 VANGUARD TOTAL INTL	1,024.		
97717X701 WISDOMTREE EUROPE HE	4,238.		
693390882 PIMCO FOREIGN BD US$	1,028.		
464287226 ISHARES CORE US AGGR		10,137.	9,942.
922908363 VANGUARD S&P 500 ETF		18,481.	21,352.
00203H859 AQR MANAGED FUTURES		3,001.	2,649.
09256H286 BLACKROCK STRATEGIC		6,167.	6,210.
94987W737 WELLS FARGO ABSOLUTE		2,920.	3,077.
589509108 THE MERGER FD		3,152.	3,192.

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TOTALS	187,364.	185,246.	201,598.

BODENWEIN PUB BEN FDN TR U/W

06-6030548

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F
73935S105 POWERSHARES DB COMMO	C
TOTALS	

BODENWEIN PUB BEN FDN TR U/W 06-6030548

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
CTF ADJUSTMENT	86.
INCOME ADJUSTMENT	40.
TOTAL	126.

STATEMENT 10

BODENWEIN PUB BEN FDN TR U/W 06-6030548

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
SECURITIES ADJUSTMENT	116.
PARTNERSHIP TRANSACTION ADJUSTMENT	518.
SALES GAIN/LOSS ADJUSTMENT	1,097.
TOTAL	1,731.

STATEMENT 11

BODENWEIN PUB BEN FDN TR U/W 06-6030548

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
OTHER GAINS AND LOSSES					
348.765 AGGREGATE BOND CTF	02/28/2015	02/29/2016	5,926.00	5,943.00	-17.00
610.864 AGGREGATE BOND CTF	01/08/2016	02/29/2016	10,379.00	10,252.00	127.00
33.579 AGGREGATE BOND CTF	05/31/2016	06/30/2016	581.00	573.00	8.00
114.375 AGGREGATE BOND CTF	05/31/2016	10/14/2016	1,958.00	1,951.00	7.00
405.579 AGGREGATE BOND CTF	01/08/2016	10/14/2016	6,945.00	6,810.00	135.00
17.315 SMALL CAP GROWTH LEADERS CTF	01/08/2016	02/29/2016	341.00	354.00	-13.00
2.558 MID CAP VALUE CTF	02/28/2015	02/29/2016	68.00	81.00	-13.00
20.591 DIVIDEND INCOME COMMON TRUST	02/28/2015	02/29/2016	1,018.00	1,120.00	-102.00
26.264 HIGH QUALITY CORE COMMON TRUST FUND	02/28/2015	02/29/2016	339.00	370.00	-31.00
30.377 HIGH QUALITY CORE COMMON TRUST FUND	01/08/2016	02/29/2016	392.00	389.00	3.00
227.51 INTERNATIONAL FOCUSED EQUITY	02/28/2015	02/29/2016	2,322.00	2,825.00	-503.00
131.862 INTERNATIONAL FOCUSED EQUITY	01/08/2016	05/31/2016	1,465.00	1,376.00	89.00
622.802 STRATEGIC GROWTH COMMON TRUST FUND	01/08/2016	02/29/2016	7,565.00	7,697.00	-132.00
TOTAL OTHER GAINS AND LOSSES			39,299.00	39,741.00	-442.00
Totals			39,299.00	39,741.00	-442.00

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BODENWEIN PUB BEN FDN TR U/W 06-6030548

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
OTHER GAINS AND LOSSES					
206.594 AGGREGATE BOND CTF	02/29/2012	02/29/2016	3,510.00	3,536.00	-26.00
50.312 AGGREGATE BOND CTF	08/31/2011	02/29/2016	855.00	851.00	4.00
261.561 AGGREGATE BOND CTF	09/30/2013	10/14/2016	4,479.00	4,366.00	113.00
52.328 AGGREGATE BOND CTF	05/31/2010	10/14/2016	896.00	873.00	23.00
298.716 AGGREGATE BOND CTF	11/30/2013	10/14/2016	5,115.00	4,982.00	133.00
37.336 SMALL CAP GROWTH LEADERS CTF	05/31/2013	02/29/2016	734.00	756.00	-22.00
50.977 SMALL CAP GROWTH LEADERS CTF	05/31/2013	05/31/2016	1,125.00	1,044.00	81.00
27.266 EMERGING MARKETS STOCK COMMON	02/28/2013	02/29/2016	1,051.00	1,163.00	-112.00
94.785 EMERGING MARKETS STOCK COMMON	02/28/2014	02/29/2016	3,654.00	3,999.00	-345.00
9.011 EMERGING MARKETS STOCK COMMON TRUST FUND	02/28/2014	08/31/2016	421.00	383.00	38.00
45.725 MID CAP VALUE CTF	11/21/2003	02/29/2016	1,220.00	1,239.00	-19.00
.664 MID CAP VALUE CTF	10/24/2003	02/29/2016	18.00	18.00	
59.989 SMALL CAP VALUE CTF	05/31/2013	02/29/2016	1,303.00	1,403.00	-100.00
19.459 SMALL CAP VALUE CTF	05/31/2013	05/31/2016	481.00	448.00	33.00
28.872 SMALL CAP VALUE CTF	02/28/2013	05/31/2016	713.00	632.00	81.00
46.324 MID CAP GROWTH CTF	04/22/2005	02/29/2016	1,199.00	1,257.00	-58.00
159.457 DIVIDEND INCOME COMMON TRUST	05/31/2013	02/29/2016	7,887.00	8,092.00	-205.00
81. POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT	05/31/2013	02/29/2016	1,028.00	1,023.00	5.00
132.268 HIGH QUALITY CORE COMMON TRUST FUND	05/31/2013	02/29/2016	1,706.00	1,684.00	22.00
137.229 HIGH QUALITY CORE COMMON TRUST FUND	05/31/2013	05/31/2016	1,896.00	1,771.00	125.00
176.271 INTERNATIONAL FOCUSED EQUITY	09/30/2013	02/29/2016	1,799.00	1,966.00	-167.00
382.815 INTERNATIONAL FOCUSED EQUITY	05/31/2013	02/29/2016	3,907.00	4,027.00	-120.00
206.092 INTERNATIONAL FOCUSED EQUITY	05/31/2013	05/31/2016	2,290.00	2,164.00	126.00
TOTAL OTHER GAINS AND LOSSES			47,287.00	47,677.00	-390.00
Totals			47,287.00	47,677.00	-390.00

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BODENWEIN PUB BEN FDN TR U/W 06-6030548

GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	-913.00	
TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)		-913.00

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	2,034.00	
PARTNERSHIPS, TRUSTS, S CORPORATIONS	518.00	
TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)		2,552.00

STATEMENT 14

BODENWEIN PUB BEN FDN TR U/W 06-6030548

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA
ADDRESS:
777 MAIN STREET
HARTFORD, CT 06115
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 1,976.
COMPENSATION EXPLANATION:
SEE FOOTNOTE

TOTAL COMPENSATION: 1,976.

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
03/09/2016	-1,000 00	HEALING WITH HORSES AT WILDROSE	N/A	YOUTH AT RISK EQUINE ASSISTED THERAPY PROGRAMS	PC
03/09/2016	-1,000 00	ARTS FOR LEARNING CONNECTICUT	N/A	THEATER & STORYTELLING WITH CAROL GLYNN	PC
03/09/2016	-2,000 00	BILL MEMORIAL LIBRARY	N/A	LIBRARY ON DISPLAY	PC
03/09/2016	-1,000 00	CHILDREN'S MUSEUM OF	N/A	BP LEARNED MEET THE ANIMALS/UNDER THE SEA	PC
03/09/2016	-1,000 00	CONNECTICUT STORYTELLING CENTER	N/A	LITTLEST LISTENERS PRESCHOOL STORYTELLING	PC
03/09/2016	-1,000 00	EASTERN CONNECTICUT BALLET	N/A	THE NUTCRACKER FREE TICKET INITIATIVE	PC
03/09/2016	-2,000 00	EASTERN CONNECTICUT SYMPHONY INC	N/A	YOUNG PEOPLE'S CONCERTS FUNDING	PC
03/09/2016	-2,000 00	EUGENE O'NEILL THEATER CENTER	N/A	2016 YOUNG PLAYWRIGHTS FESTIVAL	PC
03/09/2016	-2,000 00	EXPRESSIONES CULTURAL CENTER	N/A	ARTVENTURE-ARTIST-IN-RESIDENCE	PC
03/09/2016	-1,000 00	FLOCK THEATRE COMPANY	N/A	MAKE WE JOY	PC
03/09/2016	-1,000 00	HYGIENIC ART INC	N/A	DO THE WRITE THING/PICTURE MY WORLD	PC
03/09/2016	-2,000 00	JEWISH FEDERATION OF EASTERN CT	N/A	PHOTO DOCUMENTARY OF JEWISH FARMERS OF CT	PC
03/09/2016	-2,000 00	LYMAN ALLYN ART MUSEUM	N/A	THE YELLOW BUS FUND	PC
03/09/2016	-1,000 00	NEW LONDON COMMUNITY ORCHESTRA	N/A	MUSIC LESSONS AT NEW LONDON PUBLIC LIBRARY	PC
03/09/2016	-1,000 00	NEW LONDON MARITIME SOCIETY	N/A	SUPPORTS AMISTAD EXHIBIT	PC
03/09/2016	-1,000 00	NEW LONDON MUSIC FESTIVALS	N/A	2016 SEASON SUPPORT	PC
03/09/2016	-500 00	NOANK-MYSTIC COMMUNITY BAND	N/A	2016 ANNUAL SUMMER SOUNDS CONCERT SERIES	PC
03/09/2016	-2,000 00	SOUTHEASTERN CONNECTICUT	N/A	THE RISING TIDE SERIES	PC
03/09/2016	-1,000 00	THE COLONIAL THEATRE SCHOOL	N/A	SHAKESPEARE TO GO SCHOOL OUTREACH PROGRAM	PC
03/09/2016	-1,500 00	CONNECTICUT LEGAL SERVICES	N/A	LEGAL SERVICES FOOD ACCESS PROJECT	PC
03/09/2016	-1,000 00	A BETTER WAY FOUNDATION	N/A	YOUTH ORGANIZING PROGRAM	PC
03/09/2016	-2,000 00	CONNECTICUT COLLEGE	N/A	SUPPORT LITERACY PROGRAMS	PC
03/09/2016	-1,000 00	EASTERN CONNECTICUT COMMUNITY	N/A	FEEDING THE COMMUNITY IN NEW LONDON COUNTY	PC
03/09/2016	-1,500 00	ENVISION KINDNESS	N/A	STUDENT KINDNESS ARTS & LETTERS COMPETITION	PC
03/09/2016	-2,000 00	HIGHER EDGE	N/A	COLLEGE ACCESS PROGRAM	PC
03/09/2016	-1,000 00	HISTORICALLY BLACK COLLEGE	N/A	COLLEGE PREP	PC
03/09/2016	-1,500 00	LIGHTHOUSE VOC-ED CENTER	N/A	PROJECT BEACON	PC
03/09/2016	-2,500 00	OPPORTUNITIES INDUSTRIALIZATION	N/A	CNA CAREER TECHNICAL TRAINING PROGRAM	PC
03/09/2016	-2,000 00	PROJECT OCEANOLOGY	N/A	OCEAN EXPLORER ACADEMY	PC
03/09/2016	-1,000 00	READ TO GROW	N/A	READ TO GROW-NEW LONDON REGION	PC
03/09/2016	-2,000 00	NEW ENGLAND SCIENCE AND SAILING	N/A	NEW LONDON INITIATIVE	PC
03/09/2016	-1,500 00	DENISON PEQUOTSEPOS NATURE	N/A	DIG INTO NATURE	PC
03/09/2016	-1,500 00	SEA RESEARCH FOUNDATION	N/A	WHERE THE CITY MEETS THE SEA	PC
03/09/2016	-1,000 00	NEW LONDON AREA FOOD COALITION	N/A	FEEDING THE FOOD INSECURE	PC
03/09/2016	-1,000 00	PAWCATUCK NEIGHBORHOOD CENTER	N/A	WEEKENDER BACKPACK PROGRAM	PC
03/15/2016	-1,000 00	RIVERSIDE PARK CONSERVANCY INC	N/A	RIVERSIDE COMMUNITY GARDEN EXPANSION	PC
03/15/2016	-2,000 00	SHORELINE SOUP KITCHEN, INC	N/A	PANTRY FOOD DISTRIBUTION	PC
03/15/2016	-5,000 00	NEW LONDON COMMUNITY MEAL CENTER	N/A	GENERAL OPERATING SUPPORT	PC
03/15/2016	-2,000 00	UNITED CEREBRAL PALSY OF EASTERN	N/A	DISABILITY SUPPORT PROGRAM	PC
03/15/2016	-1,500 00	UNITED COMMUNITY & FAMILY	N/A	ART THERAPY SUPPLIES	PC
03/15/2016	-3,000 00	VNA OF SOUTHEASTERN CONNECTICUT	N/A	FOOT CARE CLINICS AT THE PAWCATUCK CENTER	PC
03/15/2016	-2,500 00	CATHOLIC CHARITIES	N/A	EMERGENCY BASIC NEEDS & CASE MANAGEMENT	PC
03/15/2016	-4,000 00	COVENANT SHELTER OF NEW LONDON	N/A	SHELTER SERVICES & CASE MANAGEMENT	PC
03/15/2016	-1,500 00	HABITAT FOR HUMANITY	N/A	127 JEFFERSON AVE, NEW LONDON	PC
03/15/2016	-2,500 00	MYSTIC AREA SHELTER &	N/A	SHELTER DIVERSION FOR HOMELESS FAMILIES	PC
03/15/2016	-4,000 00	NEW LONDON HOMELESS HOSPITALITY	N/A	FINANCIAL ASSISTANCE FOR GUESTS	PC
03/15/2016	-3,000 00	CHILD AND FAMILY AGENCY OF	N/A	SUPPORT NURSERY AND EARLY CHILDHOOD EDUCATION	PC
03/15/2016	-1,500 00	FURNITURE BANK OF SECT	N/A	PURCHASE BEDS FOR FAMILIES IN FINANCIAL NEED	PC
03/15/2016	-1,000 00	HORSES HEALING HUMANS INC	N/A	COMMUNITY COLLABORATION INITIATIVE	PC
03/15/2016	-1,000 00	MADONNA PLACE INC	N/A	FATHERHOOD INITIATIVE PROGRAM	PC
03/15/2016	-2,000 00	MARTIN HOUSE INC	N/A	BASIC NEEDS-FOOD SECURITY PROGRAM	PC
03/15/2016	-2,000 00	SAFE FUTURES, INC	N/A	PHOENIX HOUSE TRANSITIONAL LIVING PROGRAM	PC

BODENWEIN PUB BEN FDN TR U/W 06-6030548
FORM 990PF, PART XV - LINES 2a - 2d
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RECIPIENT NAME:
AMY LYNCH
ADDRESS:
99 FOUNDERS PLAZA
EAST HARTFORD, CT 06108
RECIPIENT'S PHONE NUMBER: 860-244-4870
FORM, INFORMATION AND MATERIALS:
APPLICATION SHOULD BE OBTAINED THROUGH THE FOUNDATION
SUBMISSION DEADLINES:
MAY 15 AND NOVEMBER 15
RESTRICTIONS OR LIMITATIONS ON AWARDS:
LIMITED TO THE TOWNS OF LYME, OLD LYME, EAST LYE, WATERFORD,
NEW LONDON, MONTVILLE, GROTON, LEDYARD, STONINGTON, AND N. STONINGTON.

03/15/2016	-1,500 00	THAMES RIVER COMMUNITY SERIVCE	N/A	SUPPORTING A CHILD'S DEVELOPMENT	PC
03/15/2016	-2,000 00	THAMES VALLEY COUNCIL FOR	N/A	MULTI-PURPOSE EMERGENCY CLIENT ASSISTANCE	PC
03/15/2016	-2,000 00	THE ARC OF NEW LONDON COUNTY	N/A	ELEMENTS OF ELLEN	PC
03/15/2016	-1,500 00	THE CENTER DROP IN COMMUNITY	N/A	OUTLAST CASCADE PLAY CENTER	PC
03/15/2016	-1,500 00	THE RIVERFRONT CHILDREN'S CENTER	N/A	DOOR REPLACEMENT	PC
03/15/2016	-1,500 00	THE SALVATION ARMY	N/A	NEW LONDON CORPS SUMMER CAMP PROGRAM	PC
03/15/2016	-1,500 00	WATERFORD COUNTRY SCHOOL	N/A	PROJECT CONNNECTICUT'S CHILD	PC
03/15/2016	-1,500 00	ST EDMUND'S RETREAT AT ENDERS	N/A	COLLEGIATE RECOVERY COMMUNITY	PC
03/15/2016	-1,000 00	BOYS & GIRLS CLUB OF	N/A	SUMMER BRAIN GAIN	PC
03/15/2016	-1,500 00	CITY OF NEW LONDON	N/A	EARLY CHILDHOOD FAMILY CENTER	PC
TOTAL	**-104,500.00**				