

EXTENDED THROUGH 11/15/17

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning , and ending

Name of foundation CRAIG H. NEILSEN FOUNDATION		A Employer identification number 06-1695275
Number and street (or P.O. box number if mail is not delivered to street address) 16830 VENTURA BLVD STE 352		B Telephone number 818-925-1245
City or town, state or province, country, and ZIP or foreign postal code ENCINO, CA 91436		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 446,873,133.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	8,778.	8,778.		STATEMENT 1
4 Dividends and interest from securities	6,244,597.	6,244,597.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	284,135.			
b Gross sales price for all assets on line 6a	156,350,820.			
7 Capital gain net income (from Part IV, line 2)		284,135.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	576,321.	-12,819.		STATEMENT 3
12 Total. Add lines 1 through 11	7,113,831.	6,524,691.		
13 Compensation of officers, directors, trustees, etc.	370,000.	115,000.		255,000.
14 Other employee salaries and wages	2,076,186.	236,478.		1,824,938.
15 Pension plans, employee benefits	571,579.	65,103.		502,409.
16a Legal fees STMT 4	109,086.	2,004.		101,194.
b Accounting fees STMT 5	49,286.	19,714.		29,482.
c Other professional fees STMT 6	594,908.	543,868.		65,842.
17 Interest	13,329.	13,329.		0.
18 Taxes STMT 7	517,977.	122,694.		283.
19 Depreciation and depletion	62,340.	6,119.		
20 Occupancy	202,025.	30,304.		170,193.
21 Travel, conferences, and meetings	222,104.	19,989.		202,885.
22 Printing and publications	32,058.	0.		24,777.
23 Other expenses STMT 8	441,545.	42,023.		392,581.
24 Total operating and administrative expenses. Add lines 13 through 23	5,262,423.	1,216,625.		3,569,584.
25 Contributions, gifts, grants paid	26,961,000.			26,961,000.
26 Total expenses and disbursements. Add lines 24 and 25	32,223,423.	1,216,625.		30,530,584.
27 Subtract line 26 from line 12.	-25,109,592.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		5,308,066.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year		End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		10,649,388.	9,539,693.	9,539,693.	
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges		59,377.	79,813.	79,813.	
	10a	Investments - U.S. and state government obligations STMT 11		54,722,973.	76,046,887.	76,046,887.	
	b	Investments - corporate stock STMT 12		48,285,214.	44,672,516.	44,672,516.	
	c	Investments - corporate bonds STMT 13		25,867,714.	38,901,851.	38,901,851.	
11	Investments - land, buildings, and equipment basis ▶						
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other STMT 14		316,403,887.	277,382,007.	277,382,007.		
14	Land, buildings, and equipment basis ▶ 355,369.						
	Less: accumulated depreciation STMT 15 ▶ 205,003.		190,494.	150,366.	150,366.		
15	Other assets (describe ▶ STATEMENT 16)		0.	100,000.	100,000.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		456,179,047.	446,873,133.	446,873,133.		
Liabilities	17	Accounts payable and accrued expenses		313,901.	342,861.		
	18	Grants payable		18,776,506.	17,042,149.		
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶ STATEMENT 17)		469,000.	694,000.		
	23	Total liabilities (add lines 17 through 22)		19,559,407.	18,079,010.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	24	Unrestricted and complete lines 24 through 26 and lines 30 and 31.		436,619,640.	428,794,123.		
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances		436,619,640.	428,794,123.		
	31	Total liabilities and net assets/fund balances		456,179,047.	446,873,133.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	436,619,640.
2 Enter amount from Part I, line 27a	2	-25,109,592.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	17,421,830.
4 Add lines 1, 2, and 3	4	428,931,878.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	137,755.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	428,794,123.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 156,350,820.		156,066,685.	284,135.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			284,135.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 { If (loss), enter -0- in Part I, line 7	2	284,135.
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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter -0- in Part I, line 8

3	N/A
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Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	24,662,605.	472,815,664.	.052161
2014	22,957,842.	486,962,024.	.047145
2013	19,106,582.	463,465,856.	.041225
2012	8,620,899.	432,440,796.	.019935
2011	7,740,099.	310,331,164.	.024941

2 Total of line 1, column (d) 2 .185407

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .037081

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 4 443,328,606.

5 Multiply line 4 by line 3 5 16,439,068.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 53,081.

7 Add lines 5 and 6 7 16,492,149.

8 Enter qualifying distributions from Part XII, line 4 8 30,566,857.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	53,081.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	53,081.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	53,081.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	67,643.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	95,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	162,643.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	109,562.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input checked="" type="checkbox"/> 109,562. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.CHNFOUNDATION.ORG	X	
14 The books are in care of ► THE FOUNDATION Telephone no. ► 818-925-1245 Located at ► 16830 VENTURA BLVD STE 352, ENCINO, CA ZIP+4 ► 91436		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		370,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KYMBERLY EISNER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	EXECUTIVE DIRECTOR	384,231.	10,804.	0.
JASON TROY - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP OF FINANCE	279,231.	13,571.	0.
NAOMI KLEITMAN - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP SCIENTIFIC RESEARCH	279,231.	9,749.	0.
JOY GUIHAMA - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	150,558.	8,779.	0.
TRACY WHEELER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	152,846.	6,113.	0.
Total number of other employees paid over \$50,000				11

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BESSEMER TRUST - 100 WOODBRIDGE CENTER DRIVE, WOODBRIDGE, NJ 07095	INVESTMENT MANAGEMENT	444,038.
GIBSON DUNN & CRUTCHER LLP PO BOX 840723, LOS ANGELES, CA 90084	CONSULTING SERVICES/LEGAL	81,109.
CRESCENT BAY ADVISORS INC - 2101 E COAST HWY STE 120, CORONA DEL MAR, CA 92625	INVESTMENT CONSULTING	52,400.

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	450,079,803.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	450,079,803.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	450,079,803.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,751,197.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	443,328,606.
6	Minimum investment return. Enter 5% of line 5	6	22,166,430.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	22,166,430.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	53,081.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	53,081.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	22,113,349.
4	Recoveries of amounts treated as qualifying distributions	4	192,138.
5	Add lines 3 and 4	5	22,305,487.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	22,305,487.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	30,530,584.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	36,273.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,566,857.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	53,081.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,513,776.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				22,305,487.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016.				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				794,394.
f Total of lines 3a through e	794,394.			
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ \$ 30,566,857.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				22,305,487.
e Remaining amount distributed out of corpus	8,261,370.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	9,055,764.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	9,055,764.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				794,394.
e Excess from 2016				8,261,370.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE WWW.CHNFOUNDATION.ORG, 818-925-1245
16830 VENTURA BLVD STE 352, ENCINO, CA 91436

- b The form in which applications should be submitted and information and materials they should include:

SEE WWW.CHNFOUNDATION.ORG

- c Any submission deadlines:

SEE WWW.CHNFOUNDATION.ORG

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE WWW.CHNFOUNDATION.ORG

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE A ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436			SEE SCHEDULE A ATTACHED	26,961,000.
Total			3a	26,961,000.
b Approved for future payment				
SEE SCHEDULE B ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436			SEE SCHEDULE B ATTACHED	17,262,525.
Total			3b	17,262,525.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUB TRADED SECS - BESSEMER TR-8G8400			
b	PUB TRADED SECS - BESSEMER TR-8G8400			
c	PUB TRADED SECS - BESSEMER TR-8H7328			
d	PUB TRADED SECS - BESSEMER TR-8H7328			
e	PUB TRADED SECS - BESSEMER TR-8H7329			
f	PUB TRADED SECS - BESSEMER TR-8H7329			
g	OLD WESTBURY PRIVATE EQUITY XI K-1			
h	OLD WESTBURY PRIVATE EQUITY XI K-1			
i	OLD WESTBURY PRIVATE EQUITY XII K-1			
j	OLD WESTBURY PRIVATE EQUITY XII K-1			
k	OLD WESTBURY PRIVATE EQUITY XIII K-1			
l	OLD WESTBURY PRIVATE EQUITY XIII K-1			
m	PUB TRADED SECS - BESSEMER TR-8H7330			
n	PUB TRADED SECS - BESSEMER TR-8M3417			
o	BESSEMER TR-8M3417 - WASH SALES			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	21,136,345.	22,655,777.	-1,519,432.
b	49,489,646.	51,477,727.	-1,988,081.
c	823,822.	841,034.	-17,212.
d	6,899,371.	6,876,810.	22,561.
e	30,660.	32,550.	-1,890.
f	340,474.	328,169.	12,305.
g	63.		63.
h	57,474.		57,474.
i	13,687.		13,687.
j	126,962.		126,962.
k		658.	-658.
l	69,447.		69,447.
m	1,120,000.	1,148,880.	-28,880.
n	42,143,775.	42,352,040.	-208,265.
o	93,031.		93,031.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1,519,432.
b			-1,988,081.
c			-17,212.
d			22,561.
e			-1,890.
f			12,305.
g			63.
h			57,474.
i			13,687.
j			126,962.
k			-658.
l			69,447.
m			-28,880.
n			-208,265.
o			93,031.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

CRAIG H. NEILSEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUB TRADED SECS - BESSEMER TR-8M3417			
b	LIQUIDATING DISTRIB - OW REAL RETURN FUND - A/C 8			
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 30,425,985.		30,353,040.	72,945.
b 56,683.			56,683.
c 3,523,395.			3,523,395.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			72,945.
b			56,683.
c			3,523,395.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2	284,135.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
ACADEMY OF SPINAL CORD INJURY PROFESSIONALS	206 South Sixth Street Springfield, IL 62701	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
ADAPTIVE ADVENTURES	1315 Nelson St Unit 1 Lakewood, CO 80215	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	43,205
ADAPTIVE SPORTS NORTHWEST	4840 SW Western Ave Suite 500 Beaverton, OR 97005	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
ADAPTIVE SPORTS USA, INC.	7040 South Chase Court Littleton, CO 80128	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	210,810
ALBERT EINSTEIN HEALTHCARE NETWORK	5501 Old York Road Philadelphia, PA 19141	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	70,000
AMERICAN SPINAL INJURY ASSOCIATION	2020 Peachtree Road NW Atlanta, GA 30309	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	90,000
ARKANSAS SPINAL CORD FOUNDATION, INC.	1501 N University Ste 470 Little Rock AR 72207-5233	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	90,000
ASSOCIATION OF ACADEMIC PHYSIATRISTS	10461 Mill Run Circle, Ste 730 Owning Mills Md 21117	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
BAY AREA OUTREACH & RECREATION PROGRAM	3075 Adeline St Berkeley, CA 94703	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	57,750
BE EXTRAORDINARY	310 Towson Ave Smith AZ 72901	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	62,000
BLAZESPORTS AMERICA, INC.	1670 Oakbrook Dr Norcross, GA 30093	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	39,301
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	3172 Porter Drive Palo Alto, CA 94304	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa, AL 35487-0104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	396,471
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-685 1901 South First Street, Suite A Champaign, IL 61820	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	187,705
BRONX VETERAN HOSPITAL	130 West Kingsbridge Road Bronx, NY 10468	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	362,558

CARNEGIE MELLON UNIVERSITY	5000 Forbes Ave. WQED Bldg. Pittsburgh, PA 15213	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
CASA COLINA HOSPITAL AND CENTERS FOR HEALTHCARE	255 East Bonita Ave Pomona, CA 91767	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	74,730
CASE WESTERN RESERVE UNIVERSITY	10900 Euclid Avenue Cleveland, OH 44106-4919	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	420,000
CATALYST SPORTS, INC.	2925 Leafwood Dr Marietta, GA 30067	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,775
CENTRE INTEGRE UNIV DE SANTE	525 Blvd Hamel Quebec, Quebec G1M 2S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
CHALLENGE ASPEN	PO Box 6639 Snowmass Village CO 81615	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	29,530
CHALLENGED ATHLETES, INC	9591 Waples St San Diego CA 92121	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
CHICAGO ASSOCIATION FOR RESEARCH & EDUCATION	Bld One, Room C337 5000 South 5th Avenue Hines IL 60141	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	58,255
CHILDREN'S HOSPITAL CORPORATION	300 Longwood Avenue Boston MA 02115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	105,000
CLEVELAND VA MEDICAL RESEARCH & EDUCATION FOUNDATION	10701 East Blvd Cleveland, OH 44106	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,875
COURAGE KENNY FOUNDATION	3915 Golden Valley Rd Minneapolis MN 55422	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	99,700
CRAIG HOSPITAL FOUNDATION	3425 South Clarkson Street Englewood, CO 80113	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	463,236
CYSTIC FIBROSIS FOUNDATION	6931 Arlington Road, Suite 200 Bethesda MD 20814	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
DALLAS VA RESEARCH CORP	4500 South Lancaster Rd Bldg 43 Ste 124 Dallas, TX 75216	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	42,500
DAREZTRI PARATRIATHLON CLUB	516 North Ogden Ave #172 Chicago, IL 60642	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
DISABILITY RIGHTS & RESOURCE CENTER	300 Pell Ave Rocky Mount, VA 24151	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
DISABLED SAILING ASSOC OF BC	318-425 Caroll St Vancouver, BC V6B 6E3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	34,464

DISABLED SPORTS USA	451 Hungerford Dr Ste 100 Rockville MD 20850	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	125,000
DREXEL UNIVERSITY	3201 Arch Street Suite 100 Philadelphia, PA 19104-2875	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	944,840
EAST CAROLINA UNIVERSITY	2200 S. Charles Blvd Ste 2900 Greenville Centre, NC 27858-4353	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000
EMORY UNIVERSITY	1599 Clifton Road NE, 4th Floor Mailstop 1599-001 18A Atlanta, GA 30322	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	620,000
FOUNDATION FOR REHAB PSYCH	480 Medical Center Dr Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
GLOBAL OPP UNLIMITED INC	505 Elm St NE Albuquerque NM 897102	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	59,920
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	12 Queen's Park Cres West, 3rd Fl McMurrich Bldg. Toronto, ON M5S 1S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,740
GOVERNOR'S UNIVERSITY OF ALBERTA	222 Campus Tower 8625-112 St Edmonton Alberta Canada T6G 2E1	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	254,321
GREAT LAKES ADAPTIVE SPORTS	27864 Irma Lee Circle #101 Lake Forest IL 60045	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
GRTR ROCHESTER AREA CHPTR NSOA	601 Elmwood Ave Rochester, NY 14642	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	37,500
HIGHER GROUND SUN VALLEY INC	160 7th St West, Ste 2C PO Box 6791 Ketchum, ID 83340	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	26,150
HINDS COMMUNITY COLLEGE FOUNDATION	P O Box 1100 Raymond MS 39154-1100	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,072
HUGO W. MOSER RESEARCH INSTITUTE	707 N Broadway Baltimore MD 21205	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	59,908
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	One Gustave L. Levy Place Box 1075 New York, NY 10029	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	157,690
INDIANA UNIVERSITY	980 Indiana Avenue, Room 2232 Indianapolis, IN 46202-2915	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	392,109
INTERNATIONAL SYMPOSIUM ON NEURAL REGENERATION	695 Biomedical Research Tower 460 West 12th Avenue Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
JEWISH HOSPITAL & ST. MARY'S HEALTHCARE, INC.	250 East Liberty St Ste 612 Louisville, KY 40202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,008

JOHNS HOPKINS UNIVERSITY	733 N Broadway, Suite 117 Baltimore MD 21205	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	180,000
KEEP MEMORY ALIVE	888 W Bonnevill Ave Las Vegas NV 89106	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
KESSLER FOUNDATION INC.	300 Executive Drive Suite 70 West Orange, NJ 7052	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	215,047
LAKESHORE FOUNDATION	4000 Ridgeway Dr Birmingham, AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	78,360
LOS AMIGOS RESEARCH & EDUCATION INSTITUTE	7601 E Imperial Hwy 900 Annex A Downey, CA 90242	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
MAGEE REHABILITATION HOSPITAL	1513 Race Street Philadelphia PA 19102	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	140,000
MARQUETTE UNIVERSITY	1324 W Wisconsin Ave Milwaukee, WI 53233-2210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	73,254
MEDICAL UNIVERSITY OF SOUTH CAROLINA	19 Hagood Ave Suite 606 Charleston, SC 29425-8080	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	6,709
MEDSTAR HEALTH RESEARCH INSTITUTE	6525 Belcrest Rd Ste 700 Hyattsville MD 20782	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	179,580
MEMORIAL HERMANN FOUNDATION	1333 Moursund St Houston, TX 77030	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
METROHEALTH FOUNDATION INC	2500 MetroHealth Drive Cleveland, OH 44109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	83,154
MISSISSIPPI METHODIST HOSPITAL REHABILITATION CENTER INC	1350 E Woodrow Wilson Drive Jackson, MS 39216	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	95,171
MOUNT ROYAL UNIVERSITY	4825 Mount Royal Gate SW Calgary, AB T3E 6K6	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
NATIONAL COUNCIL ON INDEPENDENT LIVING	2013 H Street NW 6th Floor Washington, DC 20006	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	225,000
NATIONAL NEUROTRAUMA SYMPOSIUMS INC	1095 NW 14th Terrace Miami, FL 33136	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
NEURO ASSISTANCE FOUNDATION	2320 Bridgewood Dr Keller, TX 76262	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	96,000
NORTHWEST WHEELCHAIR TENNIS	18775 Old River Dr West Linn, OR 97068	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	32,375

NORTHWESTERN UNIVERSITY	750N Lake Shore Drive, Rubloff 7th Floor Chicago, IL 60611-4579	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	391,716
OHIO STATE UNIVERSITY	1960 Kenny Road Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	563,428
PARAQUAD, INC.	5240 Oakland Ave St Louis, MO 63110	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,918
PENNSYLVANIA STATE UNIVERSITY	Office of Research Affairs H138 500 University Drive Hershey PA 17033	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	122,407
PORTLAND COMMUNITY COLLEGE FOUNDATION	12000 SW 49th Avenue Portland OR 97219	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	110,235
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	6404 North 70th Plaza Omaha, NE 68104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	14,880
PROVIDENCE HEALTH CARE FOUNDATION	711 South Cowley St Spokane WA 99202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,000
PROVIDENCE LITTLE COMPANY OF MARY FOUNDATION	1300 West 7th St San Pedro-CA 90732	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	36,950
QUALITY LIVING INC	P O Box 3500 Downey CA 90242	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	80,000
RANCHO RESEARCH INSTITUTE	345 East Superior Street O-814 Chicago IL 60611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000
RANKEN-JORDAN HOME FOR CCC	11365 Dorsett Rd Maryland Heights, MO 63043	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	29,407
REGENTS OF THE UNIVERSITY OF CALIF	5751 California Ave Ste 150 Irvine, CA 92697-7600	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	561,400
REGENTS OF THE UNIVERSITY OF CALIF - IRVINE	5751 California Ave Ste 150 Irvine, CA 92697 7600	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,856
REGENTS OF THE UNIVERSITY OF CALIF - RIVERSIDE	3720 S. Flower St Los Angeles, CA 90007-4318	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
REGENTS OF THE UNIVERSITY OF CALIF - SAN DIEGO	9500 Gilman Dr Dept 0934 La Jolla, CA 92093-0934	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	319,994
REGENTS OF THE UNIVERSITY OF CALIF - UCLA	3720 S. Flower St Los Angeles, CA 90007-4318	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
REGENTS OF THE UNIVERSITY OF CALIF - UCSF	550 16th St 6th Fl San Francisco, CA 94143	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	350,000

REGENTS OF THE UNIVERSITY OF CALIFORNIA DAVIS	One Shields Ave Davis, CA 95616	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	390,000
REGENTS OF THE UNIVERSITY OF COLORADO	345 UCB Boulder CO 80309-0345	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
REHABILITATION INSTITUTE OF CHICAGO	345 East Superior Street Chicago, IL 60611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	241,146
REHABILITATION INSTITUTE OF CHICAGO	345 East Superior Street Chicago, IL 60611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
RENSSELAER POLYTECHNIC INSTITUTE	110 Eighth St Troy, NY 12180-3590	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK	230W 41st Street 7th Floor New York NY 10036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	544,790
ROYAL INSTITUTION FOR THE ADVANCEMENT OF LEARNING	845 Sherbrooke St West James Adams Bldg, 2nd Fl Montreal, Quebec H3A 0G4	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	73,731
SHARP HEALTHCARE FOUNDATION	8695 Spectrum Center Blvd San Diego CA 92123	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	43,550
SHEPHERD CENTER INC	2020 Peachtree Rd NW Atlanta GA 30309	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,981
SHRINERS HOSPITALS FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	101,336
SIMON FRASER UNIVERSITY	888 University Dr Burnaby, BC V5A 1S6	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	14,995
SOUTHSIDE HOSPITAL	301 East Main St Bayshore NY 11706	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	54,120
SPAULDING REHABILITATION HOSPITAL	125 Nashua St Boston, MA 02114-1101	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,960
SPINAL CORD INJURY ASSOCIATION OF CONNECTICUT	50 Gaylord Farms Rd Wallingford, CT 06492	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	26,085
SPORTABLE RICHMOND ADAPTIVE S&R	1365 Overbrook Road, Room 2 Richmond VA 23220	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	44,470
ST LUKE'S MAGIC VALLEY HEALTH FDN INC.	775 Pole Line Road West Twin Falls, ID 83301	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
TEMPLE UNIVERSITY	3400 N Broadway St Suite 427 Philadelphia, PA 19140	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	315,000

TEXAS A&M UNIVERSITY	400 Harvey Mitchell Parkway South, Ste 300 College Station TX 77845-4375	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	184,454
THE COLLEGE OF IDAHO INC.	2112 Cleveland Blvd Caldwell, ID 83605-4432	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	90,000
THE CURATORS OF UNIV OF MO	310 Jesse Hall Columbia MO 65211	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,999
THE GENERAL HOSPITAL CORPORATION	75 Francis Street Boston MA 02115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000
THE METROHEALTH SYSTEM	2500 Metrohealth Drive Cleveland OH 44109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	92,948
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	3003 S. State Street Ann Arbor MI 48109-1274	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	286,033
THOMAS JEFFERSON UNIVERSITY	1 Olympic Plaza Colorado Springs CO 80909	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	600,929
TRUSTEES OF BOSTON UNIVERSITY	85 E Newton St M-921 Boston, MA 02118	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000
UNITE 2 FIGHT PARALYSIS	4000 Ridgeway Dr Birmingham AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
UNITED SPINAL ASSOCIATION INC.	75-20 Astoria Blvd East Elmhurst, NY 11370	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
UNITED STATES OLYMPIC AND PARALYMPIC FOUNDATION	1 Olympic Plaza Colorado Springs, CO 80909	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	125,000
UNIVERSITE DE MONTREAL	3744 Jean Brillant, Office 6320 Montreal QC H3T 1P1	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	127,777
UNIVERSITY COLLEGE LONDON	149 Tottenham Court Road London, W1T 7NF United Kingdom	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	65,000
UNIVERSITY HEALTH NETWORK	550 University Ave Toronto ON M5G2A2	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	361,099
UNIVERSITY OF ARIZONA FOUNDATION	1111 N Cherry Avenue Tucson, AZ 85721-0109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	221,879
UNIVERSITY OF BRITISH COLUMBIA	#102-6190 Agronomy Road, Vancouver, B C, Canada V6T1Z3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	566,055
UNIVERSITY OF DELAWARE	341 McDowell Hall Newark, DE 19716	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	174,107

UNIVERSITY OF FLORIDA	219 Grinter Hall Gainesville, FL 32611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	171,236
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	500 South Limestone 109 Kinkaid Hall Lexington, KY 40526-0001	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	205,448
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	Med Centerone, 501 E Broadway, Suite 200 Louisville, KY 40202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
UNIVERSITY OF MANITOBA	770 Bannatyne Ave Winnipeg, Manitoba R3E 0W3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000
UNIVERSITY OF MARYLAND	620 W Lexington St 4th Fl Baltimore, MD 21201-1508	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
UNIVERSITY OF MIAMI	1320 S Dixie Highway, Gables one Tower Coral Gables, Florida 33146	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	709,199
UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor MI 48109-1274	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,961
UNIVERSITY OF NOTRE DAME	940 Grace Hall Notre Dame, IN 46356	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	140,000
UNIVERSITY OF PITTSBURGH	University Club 123 University Place Pittsburgh, PA 15213	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	197,462
UNIVERSITY OF ROCHESTER	518 Hyman Bldg Box 270140 Rochester, NY 14627-0140	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	61,100
UNIVERSITY OF TEXAS AT ARLINGTON	701 SOUTH NEDDERMAN DRIVE, SUITE 421 ARLINGTON, TX 76019	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	287,271
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER	Post Office Box 20036 Houston TX 77225-0036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	458,822
UNIVERSITY OF UTAH	75 S 2000 E Rm 111 Salt Lake City, UT 84112	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	5,343,897
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Box 359472 Seattle, WA 98195	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	307,358
USTA FOUNDATION, INC.	70 West Red Oak Lane White Plains, NY 10604	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
VANCOUVER ADAPTED MUSIC SOCIETY	318-425 Carrall St Vancouver, BC V6B 6E3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	54,500
VANDERBILT UNIVERSITY	1400 18th Ave South Somers/BMG Bldg, 3rd Floor Nashville TN 37212-2809	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000

VARIETY THE CHILDREN'S CHARITY ST LOUIS	11840 Westline Industrial Dr Ste 220 Louis, MO 63146	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
VMC FOUNDATION	2400 Moorpark Avenue Suite 207 San Jose CA 95128	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	52,106
WASHINGTON UNIVERSITY	660 S Euclid Street St. Louis MO 63110	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	257,256
WAYNE STATE UNIVERSITY	5057 Woodward Ave Ste 13001 Detroit, MI 48202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,727
WINIFRED MASTERSON BURKE MEDICAL RESEARCH INSTITUTE	785 Mamaroneck Avenue White Plains NY 10605	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
YALE UNIVERSITY	47 COLLEGE STREET, SUITE 203 NEW HAVEN CT 06510-8047	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	134,474
YORK UNIVERSITY	4700 Keele St Toronto, Ontario M3J 1P3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	89,575
		NA	Public Entity		1
Old Westbury Private Equity Fund XI LLC	C/O Bessemer Trust Company N.A 630 Fifth Ave 36th Floor New York, NY 10111			Old Westbury Private Equity Fund XI LLC-miscellaneous K1	
Old Westbury Private Equity Fund XII LLC	C/O Bessemer Trust Company N.A 630 Fifth Ave 36th Floor New York, NY 10111	NA	Public Entity	Old Westbury Private Equity Fund XI LLC-miscellaneous K1	55
Old Westbury Private Equity Fund XIII LLC	C/O Bessemer Trust Company N.A 630 Fifth Ave 36th Floor New York, NY 10111	NA	Public Entity	Old Westbury Private Equity Fund XI LLC-miscellaneous K1	134

Total Grants and Contributions Paid During the Year

26,961,000

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
AMERICAN SPINAL INJURY ASSOCIATION	2020 Peachtree Road NW Atlanta, GA 30309	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
ARKANSAS SPINAL CORD FOUNDATION INC	1501 N University, Suite 470 Little Rock AR 72207	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa AL 35487-0104	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	510,348
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-685 1901 South First Street, Suite A Champaign, IL 61820	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	237,492
BRONX VETERANS MEDICAL RESEARCH FOUNDATION	FOUNDATION, INC 130 West Kingsbridge Road Bronx, NY 10468	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
CASE WESTERN RESERVE UNIVERSITY	10900 Euclid Avenue, Adelbert Hall 329 Cleveland, OH 44106	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	650,000
CENTRE INTEGRE UNIV DE SANTE	525 Blvd. Hamel Quebec Quebec G1M 2S8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
CHICAGO ASSOCIATION FOR RESEARCH & EDUCATION	10901 Euclid Avenue, Adelbert Hall 329 Cleveland, OH 44106	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	-12,000
CHILDRENS HOSPITAL CORPORATION	300 Longwood Ave Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
CHILDREN'S HOSPITAL MEDICAL CENTER	3333 Burnet Avenue Cincinnati, OH 45229	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
CHRISTOPHER & DANA REEVE FOUNDATION	C/O Peter Wilderottter 636 Morris Turnpike, #A Short Hills, NJ 07078	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
CLEVELAND VA MEDICAL RESEARCH & EDUCATION FOUNDATION	10701 EAST BLVD CLEVELAND, OH 44106	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
COLLEGE OF IDAHO	2112 Cleveland Blvd Caldwell, ID 83605-4432	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	160,000
CORNELL UNIVERSITY	373 Pine Tree Road Ithaca, NY 14850	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
CRAIG HOSPITAL FOUNDATION	3425 SOUTH CLARKSON STREET ENGLEWOOD, CO 80113	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	208,660
CYSTIC FIBROSIS FOUNDATION	6931 Arlington Road, Suite 200 Bethesda, MD 20814	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
DREXEL UNIVERSITY	3201 ARCH STREET, STE 100 PHILADELPHIA, PA 19104-2875	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	659,998
EAST CAROLINA UNIVERSITY	SPONSORED PROGRAMS 2200 S CHARLES BLVD GREENVILLE CENTRE, STE 2900 GREENVILLE, NC 27858-4353	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
EMORY UNIVERSITY	1599 Clifton Road, NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	640,000
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	12 Queen's Park Cres West 3rd Floor, McMurich Building Toronto, ON M5S1S8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
GOVERNOR'S UNIVERSITY OF ALBERTA	222 Campus Tower 8625-112 St Edmonton, Alberta Canada T6G 2E1	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	144,334
HINDS COMMUNITY COLLEGE FOUNDATION	608 Hinds Blvd Raymond, MS 39154	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	18,338
HUGO W. MOSER RESEARCH INSTITUTE	707 N Broadway Baltimore, MD 21205	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
INDIANA UNIVERSITY	980 Indiana Avenue, Room 2232 Indianapolis, IN 46202-2915	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
JOHNS HOPKINS UNIVERSITY	733 N Broadway, Suite 117 Baltimore, MD 21205	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
KESSLER FOUNDATION, INC	300 Executive Drive, Suite 70 West Orange, NJ 07052-3329	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	174,538

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
LAWSON RESEARCH INSTITUTE	750 Base Line Rd E Suite 300 London, Ontario N6C 2R5 Canada	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
MAGEE REHABILITATION HOSPITAL	C/O Norah Salmon 1513 Race St Philadelphia PA 19102	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
MARQUETTE UNIVERSITY	1324 W Wisconsin Ave Milwaukee, WI 53233-2210	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	76,746
MASSACHUSETTES GENERAL HOSPITAL	75 Francis Street Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
MEDSTAR HEALTH RESEARCH INSTITUTION	6525 Belcrest Rd Ste 700 Hyattsville MD, 20782	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	357,237
MEMORIAL HERMANN FOUNDATION	1333 Moursund St Houston, TX 77030	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
METROHEALTH SYSTEM	2500 MetroHealth Drive Cleveland, OH 44109	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
MS METHODIST HOSPITAL REHAB CTR, INC	1350 EAST WOODROW WILSON DRIVE JACKSON, MS 39216	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
NATIONAL REHABILITATION HOSPITAL INC	102 Irving St NW Washington, DC 20010	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
NORTHWESTERN UNIVERSITY	C/O David Lynch 750N Lake Shore Drive Rubloff 7th Floor Chicago, IL 60611-4579	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	240,537
OHIO STATE UNIVERSITY	C/O Anne Moffat 1960 Kenny Road Columbus, OH 43210	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	407,450
PENNSYLVANIA STATE UNIVERSITY	Office of Research Affairs, H138 500 University Drive Hershey, PA 17033	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
PORTLAND COMMUNITY COLLEGE FOUNDATION	12000 SW 49th Avenue Portland, OR 97219	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,183
RANCHO RESEARCH INSTITUTE	345 East Superior Street O-814 Chicago, IL 60611	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
REGENTS OF THE UNIVERSITY OF CALIF	11000 Kinross Ave., Suite 211 Los Angeles, CA 90095-1406	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	634,927
REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	One Shields Ave Davis, CA 95616	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	747,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA, IRVINE	5751 California Ave Ste 150 Irvine, CA 92697-7600	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,554
REGENTS OF THE UNIVERSITY OF CALIFORNIA, RIVERSIDE	3720 S Flower St Los Angeles, CA 90007-4318	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN DIEGO	9500 Gilman Dr Dept 0934 La Jolla, CA 92093-0934	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	430,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA, UCLA	3720 S Flower St Los Angeles, CA 90007-4318	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA, UCSF	550 16th St 8th Fl San Francisco, CA 94143	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	800,000
REGENTS UNIV OF COLORADO	345 UCB Boulder, CO 80309-0345	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
REHABILITATION INSTITUTE OF CHICAGO	345 EAST SUPERIOR STREET CHICAGO, IL 60611	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	1,165,000
RESEARCH FOUNDATION OF STATE UNIV OF NY	Stony Brook University Melville Library, Suite W5510 Stony Brook, NY 11794-3382	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	424,720
ROYAL INSTITUTION FOR THE ADVANCEMENT OF LEARNING	845 Sherbrooke St West James Admin Bldg 2nd Fl Montreal, Quebec H3A 0G4	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
SALK INST FOR BIO STUDIES	10010 N Torrey Pines Rd La Jolla, CA 92037-1002	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
SCHWAB REHAB HOSPITAL & CARE NETWORK	California Ave at 15th st, F125 Chicago, IL 60608	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
SHEPHERD CENTER, INC	2020 Peachtree Rd NW Atlanta, GA 30309	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,978

Recipient Name	Recipient Address	Is recipient an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
SHRINERS HOSPITALS FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
SIMON FRASER UNIVERSITY	OFFICE OF RESEARCH SERVICES 8888 UNIVERSITY DRIVE BURNABY, BRITISH COLUMBIA V5A 1S6	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
ST LUKE'S MAGIC VALLEY HEALTH FDN, INC	775 Pole Line Road West Twin Falls, ID 83301	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	400,000
STANFORD UNIV BOARD OF TRUSTEE OF LELAND	C/O Debra Porzio 3172 Porter Drive Palo Alto, CA 94304-1212	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
TEMPLE UNIVERSITY	3400 N Broadway St, Suite 427 Philadelphia, PA 19140	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	300,000
TEXAS A&M UNIVERSITY	400 HARVEY MITCHELL PKWY S, STE 300 COLLEGE STATION TX 77845	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	187,221
THE CURATORS OF UNIV OF MO	310 Jesse Hall Columbia, MO 65211	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
THE GENERAL HOSPITAL CORPORATION	75 Francis Street Boston, MA 02115	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
The Medical College of Wisconsin, Inc	8701 Watertown Plank Rd P O Box 26509 Milwaukee WI 53226-0509	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	Box 223131 Pittsburgh, PA 15251-2131	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
THOMAS JEFFERSON UNIVERSITY	125 S 9th Street 2nd Shendan Building Philadelphia, PA 19107	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	796,028
TRUSTEES OF BOSTON UNIVERSITY	85 E Newton St M-921 Boston, MA 02118	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
TRUSTEES OF INDIANA UNIV	85 E Newton St M-921 Boston, MA 02118	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
UNITED SPINAL ASSOCIATION INC	75-20 Astoria Blvs East Elmhurst, NY 11370	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
UNIV COLLEGE LONDON	149 Tottenham Court Road London, W1T 7NF United Kingdom	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	230,000
UNIVERSITE DE MONTREAL	3744 Jean Brillant, Office 6320 Montreal QC H3T 1P1	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	134,032
UNIVERSITY HEALTH NETWORK	550 University Ave Toronto, ON M5G2A2	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	460,923
UNIVERSITY OF ARIZONA FOUNDATION	1111 N Cherry Avenue Tucson, AZ 85721-0109	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	283,708
UNIVERSITY OF BRITISH COLUMBIA	C/O Dean Kuusela #102-6190 Agronomy Road, Vancouver, B C, Canada V6T1Z3	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	693,843
UNIVERSITY OF DELAWARE	341 McDowell Hall Newark, DE 19716	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	147,546
UNIVERSITY OF FLORIDA	219 Grinter Hall Gainesville, FL 32611	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	45,000
UNIVERSITY OF ILLINOIS	1901 S First St Suite A Champaign, IL 61820-7406	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,300
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	500 South Limestone 109 Kinkead Hall Lexington, KY 40528-0001	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	165,000
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	Med Centerone, 501 E Broadway, Suite 200 Louisville, KY 40202	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
UNIVERSITY OF MANITOBA	770 Bannatyne Ave Winnipeg, Manitoba R3E 0W3	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
UNIVERSITY OF MARYLAND	620 W Lexington St 4th Fl Baltimore, MD 21201-1508	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	199,999
UNIVERSITY OF MIAMI	C/O Suzanne Liv Pige 1320 S Dixie Highway, Gables one Tower, Coral Gables, Florida, 33148	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	490,412
UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	40,000

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
UNIVERSITY OF NOTRE DAME	940 Grace Hall Notre Dame, IN 46556	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
UNIVERSITY OF PITTSBURGH	University Club 123 University Place Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	138,940
UNIVERSITY OF SOUTHERN CALIFORNIA	3720 South Flower St. 303-30 Los Angeles, CA 90089-0701	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
UNIVERSITY OF TEXAS AT ARLINGTON	701 SOUTH NEDDERMAN DRIVE, SUITE 421 ARLINGTON, TX 76019	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	101,078
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER	Post Office Box 20036 Houston, TX 77225- 0036	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	330,000
UNIVERSITY OF UTAH	201 Presidents Circle, Room 203 Salt Lake City, Utah 84112	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	419,504
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Seattle, WA 98195- 9472	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	190,000
VANDERBILT UNIVERSITY	1400 18TH AVENUE SOUTH SONY/BMG BUILDING, 3RD FL NASHVILLE, TN 37212- 2809	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
VMC FOUNDATION	2400 Moorpark Ave Suite 207 San Jose, CA 95128	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
WASHINGTON STATE UNIVERSITY	Office of Research 423 Neill Hall Pullman WA 99164-3140	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
WASHINGTON UNIVERSITY	PO Box 8018 660 S Euclid Street St Louis, MO 63110	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
WAYNE STATE UNIVERSITY	5057 Woodward Ave Ste 13001 Detroit, MI 48202	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,951
WINIFRED MASTERSON BURKE MEDICAL RESEARCH INSTITUTE	785 Mamaroneck Avenue White Plains, NY 10605	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
YALE UNIVERSITY	47 College Street, Suite 203 New Haven, CT 06510-8047	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
YORK UNIVERSITY	4700 Keele St Toronto, Ontario M3J 1P3	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000

Total Grants and Contributions Approved for Future Payment 17,262,525

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TR - PER 1099 - 8H3083	8,468.	8,468.	
BESSEMER TR - PER 1099 - 8H7328	157.	157.	
BESSEMER TR - PER 1099 - 8H7329	14.	14.	
BESSEMER TR - PER 1099 - 8M3417	138.	138.	
BESSEMER TR - PER 1099 - 8M9222	1.	1.	
TOTAL TO PART I, LINE 3	8,778.	8,778.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TR - LESS: PRIOR YEAR ACCRUED INTEREST	-334,522.	0.	-334,522.	-334,522.	
BESSEMER TR - PER 1099 - 8G8400	5,617,708.	2,695,368.	2,922,340.	2,922,340.	
BESSEMER TR - PER 1099 - 8H3083	155,772.	0.	155,772.	155,772.	
BESSEMER TR - PER 1099 - 8H3083 - ACCRUED INTEREST	-53,865.	0.	-53,865.	-53,865.	
BESSEMER TR - PER 1099 - 8H3083 - BOND PREMIUM	-10,135.	0.	-10,135.	-10,135.	
BESSEMER TR - PER 1099 - 8H7328	1,514,440.	71,202.	1,443,238.	1,443,238.	
BESSEMER TR - PER 1099 - 8H7329	73,900.	0.	73,900.	73,900.	
BESSEMER TR - PER 1099 - 8M3417	1,441,474.	0.	1,441,474.	1,441,474.	
BESSEMER TR - PER 1099 - 8M3417 - ACCRUED INTEREST	-195,725.	0.	-195,725.	-195,725.	
BESSEMER TR - PER 1099 - 8M3417 - BOND PREMIUM	-149,744.	0.	-149,744.	-149,744.	

BESSEMER TR - PER 1099 - 8M3417 - MARKET DISCOUNT	3,222.	0.	3,222.	3,222.
BESSEMER TR - PER 1099 - 8M3417 - OID	50,224.	0.	50,224.	50,224.
BESSEMER TR - PER 1099 - 8M9222	921,517.	756,825.	164,692.	164,692.
BESSEMER TR - PLUS: CURRENT YEAR ACCRUED INTEREST	473,605.	0.	473,605.	473,605.
GMO BENCHMARK-FREE ALLOC III	252,395.	0.	252,395.	252,395.
OW PRIVATE EQUITY FUND XI LLC	3,107.	0.	3,107.	3,107.
OW PRIVATE EQUITY FUND XII LLC	2,912.	0.	2,912.	2,912.
OW PRIVATE EQUITY FUND XIII LLC	1,707.	0.	1,707.	1,707.
TO PART I, LINE 4	9,767,992.	3,523,395.	6,244,597.	6,244,597.

FORM 990-PF

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OLD WESTBURY PRIVATE EQUITY FUND XI LLC	164.	164.	
OLD WESTBURY PRIVATE EQUITY FUND XI LLC	1,218.	1,218.	
OLD WESTBURY PRIVATE EQUITY FUND XII LLC	426.	426.	
OLD WESTBURY PRIVATE EQUITY FUND XIII LLC	65.	65.	
OLD WESTBURY PRIVATE EQUITY FUND XIII LLC	172.	172.	
OLD WESTBURY PRIVATE EQUITY FUND XI LLC	-750.	-750.	
OLD WESTBURY PRIVATE EQUITY FUND XII LLC	-5,425.	-5,425.	
GRANT REFUNDS AND ACCRUAL ADJUSTMENTS	589,140.	0.	
OLD WESTBURY PRIVATE EQUITY FUND XIII LLC	-8,689.	-8,689.	
TOTAL TO FORM 990-PF, PART I, LINE 11	576,321.	-12,819.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	109,086.	2,004.		101,194.
TO FM 990-PF, PG 1, LN 16A	109,086.	2,004.		101,194.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	49,286.	19,714.		29,482.
TO FORM 990-PF, PG 1, LN 16B	49,286.	19,714.		29,482.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL ADMINISTRATION	31,355.	3,571.		27,561.
CONSULTING	103,159.	79,902.		38,281.
INVESTMENT MANAGEMENT FEES	240,338.	240,339.		0.
OW PRIVATE EQUITY FUND XI LLC	15,290.	15,290.		0.
OW PRIVATE EQUITY FUND XII LLC	55,062.	55,062.		0.
OW PRIVATE EQUITY FUND XIII LLC	149,704.	149,704.		0.
TO FORM 990-PF, PG 1, LN 16C	594,908.	543,868.		65,842.

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES - FOREIGN WITHHELD FROM DIVIDENDS	122,686.	122,686.		0.	
TAXES - CALIFORNIA FILING FEES	235.	0.		235.	
TAXES - FEDERAL EXCISE TAX ON NET INVESTMENT INCOME, NET OF DEFERRED TAX	395,000.	0.		0.	
TAXES - BUSINESS PROPERTY	56.	8.		48.	
TO FORM 990-PF, PG 1, LN 18	517,977.	122,694.		283.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COMMUNICATION/TELEPHONE	75,241.	11,286.		65,833.	
INSURANCE	51,402.	25,701.		25,106.	
GRANT REVIEW FEES	208,863.	0.		182,363.	
MEMBERSHIPS AND SUBSCRIPTIONS	66,500.	0.		86,812.	
OFFICE SUPPLIES AND EXPENSE	33,572.	5,036.		26,500.	
OTHER PROGRAM ADMINISTRATION	5,967.	0.		5,967.	
TO FORM 990-PF, PG 1, LN 23	441,545.	42,023.		392,581.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	9
DESCRIPTION	AMOUNT				
CURRENT YEAR'S NET UNREALIZED GAIN ON INVESTMENTS	15,885,833.				
K-1 - OLD WESTBURY PRIVATE EQUITY XIII LLC - K-1 LOSS NOT ON BOOKS	98,642.				
ACCRUAL TO CASH ADJUSTMENT - CONTRIBUTIONS, GIFTS GRANTS	1,437,355.				
TOTAL TO FORM 990-PF, PART III, LINE 3	17,421,830.				

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	10
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DESCRIPTION	AMOUNT
BOND PREMIUM AMORTIZATION - DIFFERENCE IN BOOK VS TAX	9.
K-1 - OLD WESTBURY PRIVATE EQUITY XI LLC - K-1 INCOME NOT ON BOOKS	45,594.
K-1 - OLD WESTBURY PRIVATE EQUITY XII LLC - K-1 INCOME NOT ON BOOKS	81,244.
DIFFERENCE IN DIVIDENDS PER TAX AND DIVIDENDS PER BOOKS	10,908.
TOTAL TO FORM 990-PF, PART III, LINE 5	137,755.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		76,046,887.	76,046,887.
TOTAL U.S. GOVERNMENT OBLIGATIONS			76,046,887.	76,046,887.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			76,046,887.	76,046,887.

FORM 990-PF	CORPORATE STOCK	STATEMENT	12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	44,672,516.	44,672,516.
TOTAL TO FORM 990-PF, PART II, LINE 10B	44,672,516.	44,672,516.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	29,642,044.	29,642,044.
INTERNATIONAL BONDS	9,259,807.	9,259,807.
TOTAL TO FORM 990-PF, PART II, LINE 10C	38,901,851.	38,901,851.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	FMV	473,605.	473,605.
MUTUAL FUNDS	FMV	273,637,181.	273,637,181.
ALTERNATIVE INVESTMENTS - PRIVATE EQUITY	FMV	3,271,221.	3,271,221.
TOTAL TO FORM 990-PF, PART II, LINE 13		277,382,007.	277,382,007.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 15
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	8,127.	1,548.	6,579.
TELECOMMUNICATION EQUIPMENT	4,373.	3,060.	1,313.
COMPUTER EQUIPMENT	63,457.	44,420.	19,037.
FURNITURE AND FIXTURES	103,744.	51,874.	51,870.
LEASEHOLD IMPROVEMENTS	14,758.	993.	13,765.
FURNITURE AND FIXTURES	653.	233.	420.
COMPUTER EQUIPMENT	21,487.	10,743.	10,744.
LEASEHOLD IMPROVEMENTS SUITE EXPANSION	74,113.	1,979.	72,134.
COMPUTER EQUIPMENT	2,491.	685.	1,806.
COMPUTER EQUIPMENT	5,479.	1,233.	4,246.
MOBILE PEDESTAL W CASTER	1,027.	239.	788.
OFFICE FURNITURE - KC	471.	109.	362.
FURNITURE FOR STE 364 EXPANSION	24,592.	657.	23,935.
OFFICE CHAIRS FOR STE 364 EXPANSION	8,384.	1,348.	7,036.
INSULATION DRYWALL ELECT OUTLET	1,535.	34.	1,501.

MS SOFTWARE UPGRADE	9,166.	917.	8,249.
BW - NEW COMPUTERS FOR KIM & NAOMI	3,927.	393.	3,534.
BW - NEW COMPUTER FOR JOY	1,865.	187.	1,678.
REPAIRS ON FURNITURE FOR EXPANSION	259.	26.	233.
CHAIRS FOR COMMON AREA SUITE 364	1,815.	182.	1,633.
ARENSON OFFICE FURNITURE BUILT IN SUITE 364	3,645.	365.	3,280.
TOTAL TO FM 990-PF, PART II, LN 14	355,368.	121,225.	234,143.

FORM 990-PF	OTHER ASSETS	STATEMENT 16
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
GRANT REFUND RECEIVABLE	0.	100,000.	100,000.
TO FORM 990-PF, PART II, LINE 15	0.	100,000.	100,000.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 17
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED EXCISE TAX	120,000.	37,000.
DEFERRED TAX LIABILITY	349,000.	657,000.
TOTAL TO FORM 990-PF, PART II, LINE 22	469,000.	694,000.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 18
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT D BROWN JR 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
RAY H NEILSEN 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 14.00	100,000.	0.	0.
GORDON R KANOFSKY 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 20.00	130,000.	0.	0.
LORNE M MENDELL 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
DANIEL LAMMERTSE 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
DAVID W PERSHING 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		370,000.	0.	0.

FORM 990-PF OTHER REVENUE STATEMENT 19

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
OLD WESTBURY PRIVATE EQUITY FUND XI LLC			01	-750.	
OLD WESTBURY PRIVATE EQUITY FUND XII LLC			01	-5,425.	
GRANT REFUNDS AND ACCRUAL ADJUSTMENTS					589,140.
OLD WESTBURY PRIVATE EQUITY FUND XIII LLC			01	-8,689.	
TOTAL TO FORM 990-PF, PG 12, LN 11				-14,864.	589,140.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
4	FURNITURE & FIXTURES FURNITURE AND FIXTURES	02/28/13	SL	7.00		HY17	103,744.				103,744.	37,053.		14,821.	51,874.
6	FURNITURE AND FIXTURES	12/09/14	SL	7.00		HY17	653.				653.	140.		93.	233.
13	MOBILE PEDESTAL W CASTER	06/10/15	SL	7.00		MC17	1,027.				1,027.	92.		147.	239.
14	OFFICE FURNITURE - KC	06/30/15	SL	7.00		MC17	471.				471.	42.		67.	109.
15	FURNITURE FOR STE 364 EXPANSION	12/01/15	SL	39.00		MM17	24,592.				24,592.	26.		631.	657.
16	OFFICE CHAIRS FOR STE 364 EXPANSION	12/09/15	SL	7.00		MC17	8,384.				8,384.	150.		1,198.	1,348.
21	REPAIRS ON FURNITURE FOR EXPANSION	01/19/16	SL	5.00		HY19B	259.				259.			26.	26.
22	CHAIRS FOR COMMON AREA SUITE 364	02/29/16	SL	5.00		HY19B	1,815.				1,815.			182.	182.
23	ARENSON OFFICE FURNITURE BUILT IN SUITE 364 * 990-PF PG 1 TOTAL	05/11/16	SL	5.00		HY19B	3,645.				3,645.			355.	355.
3	FURNITURE & FIXTURES MACHINERY & EQUIPMENT						144,590.				144,590.	37,503.		17,530.	55,033.
7	COMPUTER EQUIPMENT	03/31/13	SL	5.00		HY17	63,457.				63,457.	31,729.		12,691.	44,420.
11	COMPUTER EQUIPMENT	06/30/14	SL	5.00		HY17	21,487.				21,487.	5,446.		4,297.	10,743.
12	COMPUTER EQUIPMENT	08/31/15	SL	5.00		MC17	2,491.				2,491.	187.		498.	685.
19	COMPUTER EQUIPMENT BW - NEW COMPUTERS FOR KIM & NAOMI	12/31/15	SL	5.00		MC17	5,479.				5,479.	137.		1,096.	1,233.
20	BW - NEW COMPUTER FOR JOY	04/28/16	SL	5.00		HY19B	3,927.				3,927.			393.	393.
		10/28/16	SL	5.00		HY19B	1,865.				1,865.			187.	187.

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2016 DEPRECIATION AND AMORTIZATION REPORT

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Asset No.	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	* 990-PF PG 1 TOTAL MACHINERY & EQUIPMENT LEASEHOLD IMPROVEMENTS						98,706.				98,706.	38,499.		19,162.	57,661.
	OTHER														
1	LEASEHOLD IMPROVEMENTS	03/31/13	SL	39.00		MM17	8,127.				8,127.	1,548.		0.	1,548.
5	LEASEHOLD IMPROVEMENTS	05/30/14	SL	39.00		MM17	14,758.				14,758.	615.		378.	993.
10	LEASEHOLD IMPROVEMENTS SUITE EXPANSION	12/15/15	SL	39.00		MM17	74,113.				74,113.	79.		1,900.	1,979.
17	INSULATION DRYWALL ELECT OUTLET	02/25/16	SL	39.00		MM19I	1,535.				1,535.			34.	34.
	* 990-PF PG 1 TOTAL OTHER						98,533.				98,533.	2,242.		2,312.	4,554.
	* 990-PF PG 1 TOTAL - LEASEHOLD IMPROVEMENTS						98,533.				98,533.	2,242.		2,312.	4,554.
	TELECOMMUNICATION EQUIPMENT														
	OTHER														
2	TELECOMMUNICATION EQUIPMENT	01/31/13	SL	5.00		MM17	4,373.				4,373.	2,185.		875.	3,060.
18	MS SOFTWARE UPGRADE	01/28/16	SL	5.00		MM19B	9,166.				9,166.			917.	917.
	* 990-PF PG 1 TOTAL OTHER						13,539.				13,539.	2,185.		1,792.	3,977.
	* 990-PF PG 1 TOTAL - TELECOMMUNICATION EQUIPMENT						13,539.				13,539.	2,185.		1,792.	3,977.
	* GRAND TOTAL 990-PF PG 1 DEPR						355,368.				355,368.	80,429.		40,796.	121,225.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						333,156.			0.	333,156.	80,429.			119,121.

629111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2016 DEPRECIATION AND AMORTIZATION REPORT

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Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	ACQUISITIONS						22,212.			0.	22,212.	0.			2,104.
	DISPOSITIONS						0.			0.	0.	0.			0.
	ENDING BALANCE						355,368.			0.	355,368.	80,429.			121,225.
	ENDING ACCUM DEPR											121,225.			
	ENDING BOOK VALUE											234,143.			

628111 04-01-18

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone