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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning**, and ending**

Name of foundation JAMES J MCINERNEY AND GARY R FAFARD FOUNDATION INC			A Employer identification number 06-1602836	
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 1501, NJ2-130-03-31		Room/suite	B Telephone number (see instructions) 609-274-6834	
City or town, state or province, country, and ZIP or foreign postal code PENNINGTON NJ 08534-1501				
Foreign country name	Foreign province/state/county	Foreign postal code		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change				
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 807,826		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	28,018	24,729		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	14,927			
	b Gross sales price for all assets on line 6a	155,264			
	7 Capital gain net income (from Part IV, line 2)		14,927		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	42,945	39,656	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,500			1,500
	c Other professional fees (attach schedule)	10,153	6,092		4,061
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	296	132		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	11,949	6,224	0	5,561
	25 Contributions, gifts, grants paid	50,000			50,000
26 Total expenses and disbursements. Add lines 24 and 25	61,949	6,224	0	55,561	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-19,004				
b Net investment income (if negative, enter -0-)		33,432			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2016)

P 1404

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	506	216	216
	2 Savings and temporary cash investments	6,337	59,299	59,299
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	133,619	126,584	187,459
	c Investments—corporate bonds (attach schedule)	260,011	155,221	161,797
	11 Investments—land, buildings, and equipment, basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	294,674	332,346	399,055	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	695,147	673,666	807,826	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	695,147	673,666	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	695,147	673,666		
31 Total liabilities and net assets/fund balances (see instructions)	695,147	673,666		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	695,147
2 Enter amount from Part I, line 27a	2	-19,004
3 Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	532
4 Add lines 1, 2, and 3	4	676,675
5 Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	3,009
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	673,666

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Statement			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,927
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	46,489	856,614	0.054271
2014	43,945	911,599	0.048207
2013	39,759	884,853	0.044933
2012	42,538	843,901	0.050406
2011	37,436	823,479	0.045461

2	Total of line 1, column (d)	2	0.243278
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048656
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	782,332
5	Multiply line 4 by line 3	5	38,065
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	334
7	Add lines 5 and 6	7	38,399
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	55,561

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1		334
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		334
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		334
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	164	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		164
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		170
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	▶ ☐		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

N/A

6b

X

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES J MCINERNEY 2 GOPHER RD NEWTOWN, CT 06470	PRESIDENT 2 00	0		
GARY R FAFARD 2 GOPHER RD NEWTOWN, CT 06470	SECRETARY 00	0		
EDWARD V GENCO 3219 D'Amico St HOUSTON, TX 77019	DIRECTOR 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	768,102
b	Average of monthly cash balances	1b	26,144
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	794,246
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	794,246
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	11,914
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	782,332
6	Minimum investment return. Enter 5% of line 5	6	39,117

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	39,117
2a	Tax on investment income for 2016 from Part VI, line 5	2a	334
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	334
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	38,783
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	38,783
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	38,783

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	55,561
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,561
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	334
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	55,227

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				38,783
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			34,200	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4. ► \$ 55,561				
a Applied to 2015, but not more than line 2a			34,200	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus				21,361
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				17,422
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	50,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	28,018	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	14,927	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		42,945	0
13	Total. Add line 12, columns (b), (d), and (e)				13	42,945

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NEWTON HOOK & LADDER CO. NO. 1 INC.

Street

12 CHURCH HILL RD

City

NEWTOWN

State

CT

Zip Code

06470

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

50,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

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Part I, Line 6 (980-PF) - Gain/Loss from Sale of Assets Other Than Inventory

										Totals	Gross Sales		Cost or Other Basis Expenses Depreciation and Adjustments		Net Gain or Loss	
Long Term CG Distributions		Amount								Capital Gains/Losses	155,264		140,337		14	
Short Term CG Distributions		0								Other sales	0		0		0	
Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss		
1 INTEL CORP	458140100	X				8/20/2013	4/7/2016	7,005	5,343				0	1		
2 ISHARES CORE S&P MID-CAP	464287507	X				2/25/2010	4/7/2016	2,849	1,486				0	1		
3 ISHARES RUSSELL 1000	464287822	X				2/25/2010	4/4/2016	6,287	3,331				0	2		
4 LENNAR CORP CL A	526057104	X				8/19/2010	4/4/2016	8,064	1,682				0	4		
5 OPPENHEIMER	68380T509	X				3/8/2010	3/22/2016	8,874	9,656				0			
6 OPPENHEIMER	68380T509	X				6/1/2010	3/22/2016	6	6				0			
7 OPPENHEIMER	68380T509	X				11/28/2014	3/22/2016	51	53				0			
8 OPPENHEIMER	68380T509	X				6/28/2013	3/22/2016	68	70				0			
9 COMMONWEALTH EDISON	202795H13	X				3/8/2010	8/15/2016	25,000	25,000				0			
10 OPPENHEIMER	68380T509	X				5/31/2013	3/22/2016	79	86				0			
11 OPPENHEIMER	68380T509	X				8/28/2013	3/22/2016	6	6				0			
12 OPPENHEIMER	68380T509	X				7/31/2013	3/22/2016	74	77				0			
13 OPPENHEIMER	68380T509	X				8/30/2013	3/22/2016	79	81				0			
14 OPPENHEIMER	68380T509	X				3/28/2013	3/22/2016	74	82				0			
15 OPPENHEIMER	68380T509	X				4/1/2010	3/22/2016	6	6				0			
16 OPPENHEIMER	68380T509	X				12/30/2013	3/22/2016	68	70				0			
17 OPPENHEIMER	68380T509	X				4/30/2013	3/22/2016	74	83				0			
18 OPPENHEIMER	68380T509	X				3/9/2010	3/22/2016	6	6				0			
19 OPPENHEIMER	68380T509	X				12/30/2013	3/22/2016	6	6				0			
20 OPPENHEIMER	68380T509	X				12/30/2013	3/22/2016	6	6				0			
21 OPPENHEIMER	68380T509	X				1/31/2014	3/22/2016	74	75				0			
22 OPPENHEIMER	68380T509	X				2/28/2014	3/22/2016	57	59				0			
23 OPPENHEIMER	68380T509	X				2/28/2014	3/22/2016	6	6				0			
24 OPPENHEIMER	68380T509	X				3/31/2014	3/22/2016	57	59				0			
25 OPPENHEIMER	68380T509	X				4/30/2014	3/22/2016	62	66				0			
26 OPPENHEIMER	68380T509	X				5/30/2014	3/22/2016	62	66				0			
27 OPPENHEIMER	68380T509	X				5/30/2014	3/22/2016	6	6				0			
28 OPPENHEIMER	68380T509	X				8/30/2014	3/22/2016	57	61				0			
29 OPPENHEIMER	68380T509	X				8/30/2014	3/22/2016	6	6				0			
30 OPPENHEIMER	68380T509	X				8/30/2013	3/22/2016	57	59				0			
31 OPPENHEIMER	68380T509	X				10/31/2014	3/22/2016	62	65				0			
32 OPPENHEIMER	68380T509	X				9/30/2014	3/22/2016	6	6				0			
33 OPPENHEIMER	68380T509	X				10/31/2013	3/22/2016	6	6				0			
34 OPPENHEIMER	68380T509	X				9/30/2014	3/22/2016	57	59				0			
35 OPPENHEIMER	68380T509	X				11/28/2013	3/22/2016	74	76				0			
36 OPPENHEIMER	68380T509	X				12/31/2014	3/22/2016	57	58				0			
37 OPPENHEIMER	68380T509	X				12/31/2014	3/22/2016	6	6				0			
38 OPPENHEIMER	68380T509	X				1/30/2015	3/22/2016	57	59				0			
39 OPPENHEIMER	68380T509	X				1/30/2015	3/22/2016	6	6				0			
40 OPPENHEIMER	68380T509	X				2/27/2015	3/22/2016	51	53				0			
41 OPPENHEIMER	68380T509	X				3/31/2015	3/22/2016	57	59				0			
42 OPPENHEIMER	68380T509	X				3/31/2015	3/22/2016	6	6				0			
43 OPPENHEIMER	68380T509	X				4/30/2015	3/22/2016	62	64				0			
44 OPPENHEIMER	68380T509	X				5/29/2015	3/22/2016	68	69				0			
45 OPPENHEIMER	68380T509	X				5/28/2015	3/22/2016	6	6				0			
46 OPPENHEIMER	68380T509	X				6/30/2015	3/22/2016	74	74				0			
47 OPPENHEIMER	68380T509	X				6/30/2015	3/22/2016	6	6				0			
48 OPPENHEIMER	68380T509	X				7/31/2015	3/22/2016	85	86				0			
49 OPPENHEIMER	68380T509	X				8/31/2015	3/22/2016	68	68				0			
50 OPPENHEIMER	68380T509	X				8/31/2015	3/22/2016	6	6				0			
51 OPPENHEIMER	68380T509	X				9/30/2015	3/22/2016	57	59				0			
52 OPPENHEIMER	68380T509	X				9/30/2015	3/22/2016	6	6				0			
53 OPPENHEIMER	68380T509	X				10/30/2015	3/22/2016	68	67				0			
54 OPPENHEIMER	68380T509	X				11/30/2015	3/22/2016	62	61				0			
55 OPPENHEIMER	68380T509	X				11/30/2015	3/22/2016	6	6				0			
56 OPPENHEIMER	68380T509	X				12/31/2015	3/22/2016	74	72				0			
57 OPPENHEIMER	68380T509	X				12/31/2015	3/22/2016	6	6				0			
58 OPPENHEIMER	68380T509	X				1/29/2016	3/22/2016	74	71				0			
59 OPPENHEIMER	68380T509	X				1/29/2016	3/22/2016	6	6				0			
60 OPPENHEIMER	68380T509	X				2/29/2016	3/22/2016	68	66				0			
61 OPPENHEIMER	68380T509	X				2/29/2016	3/22/2016	5	5				0			
62 OPPENHEIMER	68380T509	X				7/31/2014	3/22/2016	62	66				0			
63 OPPENHEIMER	68380T509	X				10/31/2013	3/22/2016	62	66				0			
64 OPPENHEIMER	68380T509	X				3/28/2013	3/22/2016	6	6				0			
65 OPPENHEIMER	68380T509	X				8/29/2014	3/22/2016	62	69				0			
66 OPPENHEIMER	68380T509	X				2/25/2010	3/22/2016	13,141	14,137				0			
67 OPPENHEIMER	68380T509	X				2/25/2010	3/22/2016	40	43				0			
68 OPPENHEIMER	68380T509	X				3/31/2016	4/4/2016	0	0				0			
69 OPPENHEIMER	68380T509	X				3/8/2010	4/4/2016	40	43				0			
70 PETROBRAS INTL FIN CO	71645VAM3	X				9/11/2013	4/1/2016	24,038	25,646				0	-1		
71 TIME WARNER CABLE INC	88732JAL2	X				9/11/2013	3/22/2016	27,448	28,418				0			
72 WELLS FARGO COMPANY	948746JE2	X				7/7/2010	9/15/2016	25,000	25,000				0			

Part I, Line 16b (990-PF) - Accounting Fees

		1,500	0	0	1,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTING FEES	1,500			1,500

Part I, Line 16c (990-PF) - Other Professional Fees

		10,153	6,092	0	4,061
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	UST-MLT FEES AS AGENT	10,153	6,092		4,061

Part I, Line 18 (990-PF) - Taxes

		296	132	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	132	132		
2	ESTIMATED EXCISE PAYMENTS	164			

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Part II, Line 10b (990-PF) - Investments - Corporate Stock

		133,619	126,584	0	187,459	
Description		Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1						
2	INTEL CORPORATION	0		33,516		50,053
3	JOHNSON & JOHNSON COM	0		39,859		48,964
4	LENNAR CORP	0		13,241		43,451
5	PEPSICO INC	0		39,968		44,991

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Part II, Line 10c (990-PF) - Investments - Corporate Bonds

				260,011	155,221	0	161,797
	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1							
2	BUCKEYE PARTNERS LP			0	25,768		26,807
3	ENTERGY CORP			0	25,871		26,949
4	FIRST NIAGARA FIN GRP			0	26,914		28,198
5	METLIFE INC			0	25,615		26,952
6	SOUTHWESTERN ELEC POWER			0	25,873		27,145
7	AMERICAN EXPRESS			0	25,180		25,746

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Part II, Line 13 (990-PF) - Investments - Other

			294,674	332,346	399,055
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1				0	
2	FIDELITY ADV LEVERAGED		0	26,828	41,123
3	ISHARES TR		0	37,241	40,804
4	ISHARES TR S&P MIDCAP 400 INDEX FD		0	23,302	51,271
5	ISHARES TR		0	25,801	52,056
6	LOOMIS SAYLES STRATEGIC		0	39,832	39,570
7	OPPENHEIMER DEVELOPING		0	23,245	26,575
8	PIMCO INCOME FUND CL P		0	30,000	30,787
9	TORTOISE ENERGY INFRASTRUCTURE		0	27,067	26,474
10	VICTORY RS GLOBAL		0	30,216	20,751
11	BLACKROCK GLOBAL		0	38,814	39,148
12	BLACKROCK STRATEGIC		0	30,000	30,496

• **Part III (990-PF) - Changes in Net Assets or Fund Balances**

Line 3 - Other increases not included in Part III, Line 2

1	MUTUAL FUND AND CTF INCOME ADDITION	1	39
2	FEDERAL EXCISE TAX REFUND	2	493
3	Total	3	532

Line 5 - Decreases not included in Part III, Line 2

1	BOND AMORTIZATION	1	2,725
2	COST BASIS ADJUSTMENT	2	284
3	Total	3	3,009

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Short Term CG Distributions		148,275		0		3		140,340		7,938		0		0		0		7	
		6,989		0																			
Description of Property Sold		CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adjusted Basis or Loss									
1	INTEL CORP	458140100		6/20/2013	4/7/2016	7,005		0	5,343	1,662	0	0	0	1									
2	ISHARES CORE S&P MID-CAP	464287507		2/25/2010	4/7/2016	2,849		0	1,466	1,383	0	0	0	1									
3	ISHARES RUSSELL 1000	464287622		2/25/2010	4/4/2016	6,287		0	3,331	2,956	0	0	0	2									
4	LENNAR CORP CL A	526057104		8/19/2010	4/4/2016	6,064		0	1,692	4,372	0	0	0	4									
5	OPPENHEIMER	68380T509		3/8/2010	3/22/2016	8,874		0	9,656	-782	0	0	0										
6	OPPENHEIMER	68380T509		6/1/2010	3/22/2016	6		0	6	0	0	0	0										
7	OPPENHEIMER	68380T509		11/28/2014	3/22/2016	51		0	53	-2	0	0	0										
8	OPPENHEIMER	68380T509		6/28/2013	3/22/2016	68		0	70	-2	0	0	0										
9	COMMONWEALTH EDISON	202795H3		3/8/2010	8/15/2016	25,000		0	25,000	0	0	0	0										
10	OPPENHEIMER	68380T509		5/31/2013	3/22/2016	79		0	86	-7	0	0	0										
11	OPPENHEIMER	68380T509		6/28/2013	3/22/2016	6		0	6	0	0	0	0										
12	OPPENHEIMER	68380T509		7/31/2013	3/22/2016	74		0	77	-3	0	0	0										
13	OPPENHEIMER	68380T509		8/30/2013	3/22/2016	79		0	81	-2	0	0	0										
14	OPPENHEIMER	68380T509		3/28/2013	3/22/2016	74		0	82	-8	0	0	0										
15	OPPENHEIMER	68380T509		4/1/2010	3/22/2016	6		0	6	0	0	0	0										
16	OPPENHEIMER	68380T509		12/30/2013	3/22/2016	68		0	70	-2	0	0	0										
17	OPPENHEIMER	68380T509		4/30/2013	3/22/2016	74		0	83	-9	0	0	0										
18	OPPENHEIMER	68380T509		3/9/2010	3/22/2016	6		0	6	0	0	0	0										
19	OPPENHEIMER	68380T509		12/30/2013	3/22/2016	6		0	6	0	0	0	0										
20	OPPENHEIMER	68380T509		12/30/2013	3/22/2016	6		0	6	0	0	0	0										
21	OPPENHEIMER	68380T509		1/31/2014	3/22/2016	74		0	75	-1	0	0	0										
22	OPPENHEIMER	68380T509		2/28/2014	3/22/2016	57		0	59	-2	0	0	0										
23	OPPENHEIMER	68380T509		2/28/2014	3/22/2016	6		0	6	0	0	0	0										
24	OPPENHEIMER	68380T509		3/31/2014	3/22/2016	57		0	59	-2	0	0	0										
25	OPPENHEIMER	68380T509		4/30/2014	3/22/2016	62		0	66	-4	0	0	0										
26	OPPENHEIMER	68380T509		5/30/2014	3/22/2016	62		0	66	-4	0	0	0										
27	OPPENHEIMER	68380T509		5/30/2014	3/22/2016	6		0	6	0	0	0	0										
28	OPPENHEIMER	68380T509		6/30/2014	3/22/2016	57		0	61	-4	0	0	0										
29	OPPENHEIMER	68380T509		6/30/2014	3/22/2016	6		0	6	0	0	0	0										
30	OPPENHEIMER	68380T509		9/30/2013	3/22/2016	57		0	59	-2	0	0	0										
31	OPPENHEIMER	68380T509		10/31/2014	3/22/2016	62		0	65	-3	0	0	0										
32	OPPENHEIMER	68380T509		9/30/2014	3/22/2016	6		0	6	0	0	0	0										
33	OPPENHEIMER	68380T509		10/31/2013	3/22/2016	5		0	5	0	0	0	0										
34	OPPENHEIMER	68380T509		9/30/2014	3/22/2016	57		0	59	-2	0	0	0										
35	OPPENHEIMER	68380T509		11/29/2013	3/22/2016	74		0	75	-1	0	0	0										
36	OPPENHEIMER	68380T509		1/23/2014	3/22/2016	57		0	58	-1	0	0	0										
37	OPPENHEIMER	68380T509		12/31/2014	3/22/2016	6		0	6	0	0	0	0										
38	OPPENHEIMER	68380T509		1/30/2015	3/22/2016	57		0	59	-2	0	0	0										
39	OPPENHEIMER	68380T509		1/30/2015	3/22/2016	6		0	6	0	0	0	0										
40	OPPENHEIMER	68380T509		2/27/2015	3/22/2016	51		0	53	-2	0	0	0										
41	OPPENHEIMER	68380T509		3/31/2015	3/22/2016	57		0	59	-2	0	0	0										
42	OPPENHEIMER	68380T509		3/31/2015	3/22/2016	6		0	6	0	0	0	0										
43	OPPENHEIMER	68380T509		4/30/2015	3/22/2016	62		0	64	-2	0	0	0										
44	OPPENHEIMER	68380T509		5/29/2015	3/22/2016	68		0	69	-1	0	0	0										
45	OPPENHEIMER	68380T509		5/29/2015	3/22/2016	6		0	6	0	0	0	0										
46	OPPENHEIMER	68380T509		6/30/2015	3/22/2016	74		0	74	0	0	0	0										
47	OPPENHEIMER	68380T509		6/30/2015	3/22/2016	6		0	6	0	0	0	0										
48	OPPENHEIMER	68380T509		7/31/2015	3/22/2016	85		0	86	-1	0	0	0										
49	OPPENHEIMER	68380T509		8/31/2015	3/22/2016	68		0	68	0	0	0	0										
50	OPPENHEIMER	68380T509		8/31/2015	3/22/2016	6		0	6	0	0	0	0										
51	OPPENHEIMER	68380T509		9/30/2015	3/22/2016	57		0	56	1	0	0	0										
52	OPPENHEIMER	68380T509		9/30/2015	3/22/2016	6		0	6	0	0	0	0										
53	OPPENHEIMER	68380T509		10/30/2015	3/22/2016	68		0	67	1	0	0	0										
54	OPPENHEIMER	68380T509		11/30/2015	3/22/2016	62		0	61	1	0	0	0										
55	OPPENHEIMER	68380T509		11/30/2015	3/22/2016	6		0	6	0	0	0	0										
56	OPPENHEIMER	68380T509		12/31/2015	3/22/2016	74		0	72	2	0	0	0										
57	OPPENHEIMER	68380T509		12/31/2015	3/22/2016	6		0	6	0	0	0	0										
58	OPPENHEIMER	68380T509		1/29/2016	3/22/2016	74		0	71	3	0	0	0										
59	OPPENHEIMER	68380T509		1/29/2016	3/22/2016	6		0	6	0	0	0	0										
60	OPPENHEIMER	68380T509		2/29/2016	3/22/2016	68		0	66	2	0	0	0										
61	OPPENHEIMER	68380T509		2/29/2016	3/22/2016	5		0	5	0	0	0	0										
62	OPPENHEIMER	68380T509		7/31/2014	3/22/2016	62		0	66	-4	0	0	0										
63	OPPENHEIMER	68380T509		10/31/2013	3/22/2016	62		0	66	-4	0	0	0										
64	OPPENHEIMER	68380T509		3/28/2013	3/22/2016	6		0	6	0	0	0	0										
65	OPPENHEIMER	68380T509		8/29/2014	3/22/2016	62		0	66	-4	0	0	0										
66	OPPENHEIMER	68380T509		2/25/2010	3/22/2016	13,141		0	14,137	-996	0	0	0										
67	OPPENHEIMER	68380T509		2/25/2010	3/22/2016	40		3	43	0	0	0	0										
68	OPPENHEIMER	68380T509		3/31/2016	4/4/2016	0		0	0	0	0	0	0										
69	OPPENHEIMER	68380T509		3/6/2010	4/4/2016	40		0	43	-3	0	0	0										

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount											
Long Term CG Distributions		6,989											
Short Term CG Distributions		0											
				148,275	0	3	140,340	7,938	0	0	0	7	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/99	Adjusted Basis as of 12/31/99	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis or Loss
70 PETROBRAS INTL FIN CO	71645WAM3		9/11/2013	4/1/2016	24,038		0	25,646	-1,608	0	0	0	-1
71 TIME WARNER CABLE INC	88732JAL2		9/11/2013	3/22/2016	27,446		0	26,418	1,028	0	0	0	1
72 WELLS FARGO COMPANY	949746JE2		7/7/2010	9/15/2016	25,000		0	25,000	0	0	0	0	0

5/1/2017 2 15 49 PM - XXXX4C10 - LAG - PGC - JAMES J MCINERNEY AND GARY R FAFARD

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

											0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	JAMES J MCINERNEY		2 GOPHER RD	NEWTOWN	CT	06470		PRESIDENT	2 00	0		
2	GARY R FAFARD		2 GOPHER RD	NEWTOWN	CT	06470		SECRETARY	0 00	0		
3	EDWARD V GENCO		3219 D'Amico St	HOUSTON	TX	77019		DIRECTOR	0 00	0		

• **Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return		1	
2 First quarter estimated tax payment	6/12/2016	2	164
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	164

✓ **Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	34,200
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	34,200