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OMB No 1545-0052

2016

## **Return of Private Foundation**

Form 990-PF

Department of the Treasury

Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at <a href="www.irs.gov/form990pf">www.irs.gov/form990pf</a>.

Open to Public Inspection

		ndar year 2016, or tax year beginning 01-01-2	016 , aı	nd er	nding 12-31-		
		indation SIROT FOUNDATION			1	entification numbe	er
			\		06-1600346		
		l street (or P O box number if mail is not delivered to street address TE ROAD	) Room/suite		<b>B</b> Telephone nu	mber (see instructio	ns)
City	or town	, state or province, country, and ZIP or foreign postal code			(203) 453-5755	;	
		CT 06437			C If exemption	application is pendir	g, check here
G Ch	ieck al		former public charity		<b>D 1.</b> Foreign or	ganızatıons, check h	ere 🕨 🗀
		Final return Amended return				rganizations meeting k here and attach co	
		Address change Name change			E If private for	ındatıon status was	terminated
_	•	pe of organization Section 501(c)(3) exempt private 4947(a)(1) nonexempt charitable trust Other taxabl			under sectio	n 507(b)(1)(A), che	ck here
		eet value of all assets at end  J Accounting method	Cash Accru	ادر	F If the founds	ation is in a 60-mont	h termination
of '	ear <i>(f</i> .	rom Part II, col (c),  \$ 1,525,255   ☐ Other (specify)  (Part I, column (d) mus.)		ıuı		n 507(b)(1)(B), chec	
Pa	rt I	Analysis of Revenue and Expenses (The total	(-) B		1		(d) Disbursements
		of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )	(a) Revenue and expenses per books	(b)	Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc , received (attach schedule)					
	2	Check ► ✓ If the foundation is <b>not</b> required to attach					
	_	Sch B	1 224		1 201		
	3 4	Interest on savings and temporary cash investments  Dividends and interest from securities	1,201 38,847		1,201 38,847		
	4 5a	Gross rents	30,047		30,047		
	b	Net rental income or (loss)					
ne	6a	Net gain or (loss) from sale of assets not on line 10	-14,964				
Revenue	b	Gross sales price for all assets on line 6a 144,300	3				
ă	7	Capital gain net income (from Part IV, line 2)					
	8 9	Net short-term capital gain					
	10a	Gross sales less returns and allowances					
	ь	Less Cost of goods sold					
	С	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)	<b>9</b> 38		38		
	12	Total. Add lines 1 through 11	25,122		40,086		
	13	Compensation of officers, directors, trustees, etc					
	14	Other employee salaries and wages					
ses	15	Pension plans, employee benefits	<b>∞</b> 660		660		
É	16a	Legal fees (attach schedule)	<u> </u>		2 275		
Operating and Administrative Expenses	b c	Accounting fees (attach schedule)	2,275 6,958		2,275 6,958		
Ne	17	Interest	0,550				
rat	18	Taxes (attach schedule) (see instructions)	104		104		
<u> </u>	19	Depreciation (attach schedule) and depletion					
重	20	Occupancy					
ĕ	21	Travel, conferences, and meetings					
ä	22	Printing and publications					
Бü	23	Other expenses (attach schedule)	1,170		1,170		
rat	24	Total operating and administrative expenses.					
<u>8</u>		Add lines 13 through 23	11,167		11,167		(
_	25 26	Contributions, gifts, grants paid	67,617				67,617
		25	78,784		11,167		67,61
	27	Subtract line 26 from line 12					
	а	Excess of revenue over expenses and disbursements	-53,662				
	b	Net investment income (if negative, enter -0-)			28,919		
	С	Adjusted net income(If negative, enter -0-)					
-or	Paner	work Reduction Act Notice, see instructions.			Cat No. 11280)	/ Ea	m <b>000-DF</b> (2016

	5
	6
	7
Š	8
Assets	9
As	10a

Liabilities

Net Assets or Fund Balances

Pa

3

5 6

<b>6</b> Receivables due from officers, directors, trustees, and other			
disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			_
10a Investments—U S and state government obligations (attach schedule)			
<b>b</b> Investments—corporate stock (attach schedule)	616,620	595,927	1,019,167
c Investments—corporate bonds (attach schedule)	476,230	407,998	450,039
11 Investments—land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe  )	1,100	1,320	1,320
16 Total assets (to be completed by all filers—see the	29 27200 29	1,320	1,525
instructions Also, see page 1, item I)	1,115,710	1,059,974	1,525,255
	1,113,710	1,033,374	
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)			
<b>Total liabilities</b> (add lines 17 through 22)		0	
Foundations that follow SFAS 117, check here			
and complete lines 24 through 26 and lines 30 and 31.			
<b>24</b> Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here			
and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	1,115,710	1,059,974	
30 Total net assets or fund balances (see instructions)	1,115,710	1,059,974	
31 Total liabilities and net assets/fund balances (see instructions).	1,115,710	1,059,974	
art III Analysis of Changes in Net Assets or Fund Balances	1,110,710	2,003,57	
Total net assets or fund balances at beginning of year—Part II, column (a), lir	ae 30 (must agree with en	d-	
of-year figure reported on prior year's return)	ie 50 (iliust agree with en	" <b>1</b>	1,115,710
Enter amount from Part I, line 27a		2	-53,662
Other increases not included in line 2 (itemize)		3	·
Add lines 1, 2, and 3		4	1,062,048
Decreases not included in line 2 (itemize) ▶	<b>@</b>	5	2,074
Total net assets or fund balances at end of year (line 4 minus line 5)—Part II,	column (b), line 30	6	1,059,974
		F	orm <b>990-PF</b> (2016)

Part IV Capital Gain	s and Losses for Tax on Investmen	t Income			
	(a) he the kınd(s) of property sold (e g , real est arehouse, or common stock, 200 shs MLC (		<b>(b)</b> How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Addıtıonal Data Ta	ble				
b					
С					
d					
e					
<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	Cost or o	<b>g)</b> ther basıs nse of sale	Gain o	n) r (loss) ) minus (g)
a See Additional Data Ta	ible				
b					
c					
d					
e					
Complete only for asse	ets showing gain in column (h) and owned b	y the foundation o	n 12/31/69	(	1)
(i) F M V as of 12/31/0	(j) Adjusted basis		k) of col_(i)	Gains (Col (Ì	n) gain minus less than -0-) <b>or</b>

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets show	wing gain in column (h) and owned b	y the foundation on 12/31/69		(1)
<b>(i)</b> F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	( <b>k)</b> Excess of col(ı) over col(J), ıf any	cc	Gains (Col (h) gain minus ol (k), but not less than -0-) <b>or</b> Losses (from col (h))
a See Additional Data Table				
b				
с				
d				
e				
2 Capital gain net income or (i	I	ın, also enter ın Part I, line 7 ss), enter -0- ın Part I, line 7	2	-14,964
· •	or (loss) as defined in sections 1222( line 8, column (c) (see instructions)	, , ,		

in Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income ) If section 4940(d)(2) applies, leave this part blank Yes 🗸 No Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

Enter the appropriate amount in each column for each year, see instructions before making any entries (a) (d) Distribution ratio Base period years Calendar Adjusted qualifying distributions Net value of noncharitable-use assets (col (b) divided by col (c)) 0 046379 2015 70,504 1,520,185 77,550 2014 1,528,278 0 050743 2013 74,412 1,438,495 0 051729 2012 67,539 1,403,887 0 048109 2011 70,675 1,393,072 0 050733

year (or tax year beginning in)

2 Total of line 1, column (d) 2 0 247693 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the 3 0 049539 number of years the foundation has been in existence if less than 5 years 4 1,483,613 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 5 73,497 **5** Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 289 6 7 73,786

8 Enter qualifying distributions from Part XII, line 4 8 67,617 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Page 3

5a	During the year did the foundation p	ay o	r incur any amount to									
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  (2) Influence the outcome of any specific public election (see section 4955), or to carry											
	(2) Influence the outcome of any sp	ecifi	c public election (see sect	tion 4955), or to carry		1es	<u> </u>	•				
	on, directly or indirectly, any voter registration drive? Yes Volume											
	(3) Provide a grant to an individual for travel, study, or other similar purposes?											
	(4) Provide a grant to an organization other than a charitable, etc , organization described											
	ın section 4945(d)(4)(A)? (see instructions)											
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or											
	educational purposes, or for the prevention of cruelty to children or animals?											
b	If any answer is "Yes" to $5a(1)-(5)$ ,	dıd a	any of the transactions fa	al to qualify under the ex	ceptions des	cribed in	<u> </u>	•				
	Regulations section 53 4945 or in a	curre	ent notice regarding disas	ter assistance (see instru	uctions)?			51	<b>o</b>			
	Organizations relying on a current n	otice	regarding disaster assist	ance check here		▶	П					
С	If the answer is "Yes" to question 5a	a(4),	does the foundation clain	n exemption from the								
	tax because it maintained expenditu	ire re	sponsibility for the grant	<sup>?</sup>		☐ Yes		lo				
	If "Yes," attach the statement requir	red b	y Regulations section 53	4945-5(d)		res	ш '	•				
<b>6</b> a	Did the foundation, during the year,	rece	ive any funds, directly or	indirectly, to pay premit	ıms on							
	a personal benefit contract?					□ v <sub>==</sub>	<b>✓</b> ,					
b	Did the foundation, during the year,	pay	premiums, directly or inc	lirectly, on a personal be	nefit contract	:? <b>tes</b>		6	,	No		
	If "Yes" to 6b, file Form 8870											
7a	At any time during the tax year, was	s the	foundation a party to a p	prohibited tax shelter trai	nsaction?	□ vas	<b>✓</b> ,	lo l				
b	If yes, did the foundation receive an	y pro	ceeds or have any net in	come attributable to the	transaction?		, <u>L</u>	7	<b>,</b>			
	Information About C	offic	ers, Directors, Trust	tees, Foundation Ma	nagers, H	ighly Pai	d Em	ployee	s,			
Pal	t VIII and Contractors											
1	List all officers, directors, trustee	es, fo	oundation managers ar	nd their compensation	(see instru	ctions).						
			Title, and average	(c) Compensation (If		(d)						
	(a) Name and address		hours per week	not paid, enter	Contribution				ense ac	•		
		(1	<b>b)</b> devoted to position	-0-)	benefit plar comp	ensation	irred	(e) ou	er allow	ances		
See /	Addıtıonal Data Table				'							
		1										
2	Compensation of five highest-pai	id en	nplovees (other than t	l hose included on line 1	∟ L−see instrı	ıctions). I	If none	e, ente	· "NONE	."		
						tributions t		•				
N.a	(a) me and address of each employee pa	ud	Title, and average hours per week	(c) Compensation		loyee bene			ense acc			
	more than \$50,000		(b) devoted to position	, , ,		and deferi npensatior		(e) oth	er allowa	inces		
NON					(4) (0)	препзастог	<u>'</u>					
IVOIVI	<del>-</del>											
					+							
					+							
					+							
Tete	I number of other condenses and an	or 45	0.000									
TOTA	number of other employees paid over	=i þɔ	0,000			. •		- 0	00 05	(2016)		

Page **6** 

Form 990-PF (2016)  Information About Officers, Directors, Trustees,	Foundation Managers, Highly	Page 7 Paid Employees.
and Contractors (continued)	. canadas i i anagere, ingini,	Tara Emproyecs,
3 Five highest-paid independent contractors for professional service	es (see instructions). If none, en	ter "NONE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Tatal number of others recover average for 000 for professional common		<b>&gt;</b>
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include rele	evant statistical information such as the nun	nher of
organizations and other beneficiaries served, conferences convened, research papers produc		Expenses
1		
2		
3		
4		
Part IX-B Summary of Program-Related Investments (see	nstructions)	
Describe the two largest program-related investments made by the foundation during the		Amount
1 N/A	le tax year off filles I and 2	Amount
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		. ▶
		Form <b>990-PF</b> (2016

1a

1b

2

3a 3b

4

5

67,617

67.617

67.617

Form 990-PF (2016)

Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes

Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions. Subtract line 5 from line 4. . . . . . . . . .

Amounts set aside for specific charitable projects that satisfy the

the section 4940(e) reduction of tax in those years

Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . . . . . . . . .

Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

1

2

3

4

5

b

XII, line 4 > \$

Excess distributions carryover a From 2011. . . . . **b** From 2012. . . . . c From 2013. . . **d** From 2014. . . . e From 2015. . . . .

f Total of lines 3a through e. . . . .

4 Qualifying distributions for 2016 from Part

a Applied to 2015, but not more than line 2a **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . c Treated as distributions out of corpus (Election required—see instructions). . . . . . . . . .

d Applied to 2016 distributable amount. . . . . e Remaining amount distributed out of corpus

same amount must be shown in column (a) )

**5** Excess distributions carryover applied to 2016 (If an amount appears in column (d), the

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

6 Enter the net total of each column as

**b** Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . d Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . . . 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . . . 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .

9 Excess distributions carryover to 2017.

Subtract lines 7 and 8 from line 6a.

c Excess from 2014. . . . d Excess from 2015. . e Excess from 2016. .

10 Analysis of line 9 a Excess from 2012. . **b** Excess from 2013. .

indicated below:

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73.603

67.617

5,986

Form **990-PF** (2016)

1	Distributable amount for 2016 from Part XI, line 7												
2	Undistributed income, if any, as of the end of 2016												
а	Enter amount for 2015 only												
b	Total for prio	r ye	ears	5	2	0,	. 20 , 20						
3	Excess distrib	uti	ons	ca	rry	over, if	f any, to 2016						
а	From 2011.						1,699						
b	From 2012.												
С	From 2013.						3,121						
d	From 2014.						1,944						

(a)

Corpus

6,764

5,986

778

778

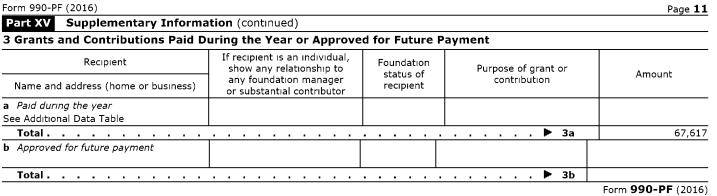
778

(b)

Years prior to 2015

(c)

2015



er aross	amounts unless otherwise indicated	Unrelated bu	siness income	Excluded by section	512, 513, or 514	(e) Related or exemp
_		(a)	(b)	(c)	(d)	function income (See instructions
-	service revenue	Business code	Amount	Exclusion code	Amount	(See mstructions
d t						
	nd contracts from government agencies					
	ship dues and assessments t on savings and temporary cash					
	nents			14	1,201	
	s and interest from securities			14	38,847	
	al income or (loss) from real estate					
	ht financed property					
	bt-financed property					
	vestment income	+				
	vestment income					
	pry					-14,9
	me or (loss) from special events					
	rofit or (loss) from sales of inventory					
Other re				14	38	
	ION SETTLEMENTS			14	36	
d						
d e					40,086	,
d e Subtota Total. /	Add columns (b), (d), and (e).  I Add line 12, columns (b), (d), and (e).	ns.)		13	40,086	-14,9 25,11
d e Subtota Total. A worksh	Add columns (b), (d), and (e).  Indicate the Add columns (b), (d), and (e).  Indicate the Add columns (b), (d), and (e).	ns )				
d Subtota Total. A worksh	Add columns (b), (d), and (e)	<sup>ns</sup> ) <b>e Accomplish</b>	ment of Exem	pt Purposes	3	25,1
d Subtota <b>Total.</b> A worksh	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Fotal. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Fotal. A worksh t XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Fotal. A worksh rt XVI	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota  Fotal. A  worksh  rt XVI  e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1
Subtota Total. A worksh rt XVI e No.	Add columns (b), (d), and (e)	ns ) <b>e Accomplish</b> Income Is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	25,1

. (==			
	Information Regarding Transfers To and Transactions and Relationships With Noncharit Exempt Organizations	able	

Part XVII	Information Re Exempt Organiz		ansfers To a	and Transaction	ns and Relatio	nships With Nonc	haritable		
1 Did the or (c) of the	rganization directly or in- Code (other than sectio	directly engage	e in any of the ganizations) o	e following with any or in section 527, re	other organization	on described in section organizations?	501	Yes	No
a Transfers	from the reporting foun	dation to a nor	ncharitable ex	empt organization	of				
<b>(1)</b> Cash							1a(1)		No
<b>(2)</b> Othe	er assets						1a(2)		No
<b>b</b> Other tran	nsactions								
(1) Sale:	s of assets to a nonchari	table exempt o	organization.				.  1b(1)		No
(2) Purc	hases of assets from a n	oncharitable e	xempt organi	zation			. 1b(2)		No
	al of facilities, equipmen						. 1b(3)		No
<b>(4)</b> Reim	bursement arrangemen	ts					1b(4)		No
	s or loan guarantees.						1b(5)		No
(6) Perfo	rmance of services or m	embership or f	undraising so	licitations			1b(6)		No
c Sharing o	f facilities, equipment, n	nailing lists, otl	her assets, or	paid employees.			1c		No
-	wer to any of the above		· ·				rket value		
ın any tra	ods, other assets, or servins action or sharing arra	ngement, shov	v in column (c	<b>d)</b> the value of the	goods, other asse	ets, or services received	d		
(a) Line No	(b) Amount involved	(c) Name of no	oncharitable exe	empt organization	(d) Description of	transfers, transactions, ar	nd sharing arra	ngemen	ts
<b>2a</b> Is the fou	ndation directly or indire	ectly affiliated v	with, or relate	ed to, one or more	tax-exempt organ	ızatıons			
described	in section 501(c) of the	Code (other th	han section 50	O1(c)(3)) or in sect	ion 527? <b></b>		. 🗌 Yes	<b>✓</b> N	0
<b>b</b> If "Yes," o	complete the following so	hedule							
	(a) Name of organization	n	(1	<b>b)</b> Type of organizatio	n	(c) Description of	relationship		
of n Sign white	ler penalties of perjury, ny knowledge and belief ch preparer has any kno	, it is true, cori		plete Declaration o	of preparer (other	than taxpayer) is base	ed on all info	rmatior	n of
Here	*****			2017-05-11			the IRS discu the preparer		
<b>/</b>	Signature of officer or ti	rustee		Date	Title	(see	e ınstr )? 🗹 🕻	es 🗆 N	lo
	Print/Type preparer's	name F	Preparer's Sigi	nature	Date	Check if self- employed ▶ □	IN P00045	 5628	
Paid	JOSEPH A MIRAND	A CPA			2017-05-15	employed • 🗀			
Preparei Use Only	THE SHAFE	PH A MIRANDA	A PC			Fire	m's EIN ▶06	-14276	92
		0 CHURCH ST	REET SUITE 3	03					
	YA	LESVILLE, CT	064922253			Pho	one no (203	) 265-1	7851

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d List and describe the kind(s) of property sold (e.g., real estate, (b) (c) (d) (a) 2-story brick warehouse, or common stock, 200 shs MLC Co ) How acquired Date acquired Date sold P-Purchase (mo, day, yr) (mo, day, yr) D-Donation Р 2016-01-25 VANGUARD TAX MANAGED FD EUROPE 2015-02-25 Ρ VANGUARD TAX MANAGED FD EUROPE 2015-07-10 2016-01-25 Р 2016-12-21 COLUMBIA FDS SER TR I INTERMEDIATE 2008-03-26 ISHARES INC MSCI SINAPORE INDEX Р 2010-03-11 2016-01-25 ISHARES INC MSCI SINAPORE INDEX Ρ 2010-06-16 2016-02-05 Ρ PIMCO HIGH YIELD FUND 2012-01-25 2016-12-21 Р VANGUARD INTL EQUITY INDEX FD INC 2012-07-26 2016-01-25 Р VANGUARD INTL EQUITY INDEX FD INC 2013-11-27 2016-01-25 Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h (e) Gross sales price Depreciation allowed Cost or other basis Gain or (loss) (or allowable) (f) (g) plus expense of sale (h) (e) plus (f) minus (g) 40,623 48.752 -8.1293,385 4,006 -621 50,000 48,820 1,180 11.005 13.764 -2.7597,336 9,296 -1,960 18,607 19,389 -782 2.935 3.906 -971 8,071 11,334 -3,263Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Gains (Col (h) gain minus col (k), but not less than -0-) or (i) F M V as of 12/31/69 Adjusted basis Excess of col (1) **(I)** Losses (from col (h)) (k) over col (j), if any (j) as of 12/31/69 -8,129 -621 1,180 -2,759 -1.960-782 -971 -3,263

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation (c) Compensation (If (a) Name and address Title, and average (d) Expense account, hours per week not paid, enter Contributions to (e) other allowances -0-) (b) devoted to position employee benefit plans and deferred compensation CAROL L STROT PRES/MGR 0 5 00 7 WINGATE ROAD GUILFORD, CT 06437 JOSEPH A MIRANDA **TREASURER** 0 50 300 CHURCH ST SUITE 303 YALESVILLE, CT 06492 MICHAEL SEELEY SECRETARY 0 0 0 25 2109 ADELBERT ROAD T101 CLEVELAND, OH 44106 MARGARET SIROT DIRECTOR 0 0 25 10 HOWARD ROAD STAMFORD, CT 06903 GREG PEPE DIRECTOR 0 0 25 195 CHURCH STREET 13TH FLOOR NEW HAVEN, CT 06510 JOHN W O'BRIEN MD DIRECTOR 0 0 50 180 THIMBLE ISLANDS ROAD BRANFORD, CT 06405 MORRIS PAPERNIK MD DIRECTOR 0 0 0 50 320 WESTERN BLVD GLASTONBURY, CT 06033 CHARLES NOBLE DIRECTOR

0 25

321 WHITNEY AVENUE NEW HVEN, CT 06511

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment								
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of recipient	Purpose of grant or contribution	Amount				
Name and address (home or business)	or substantial contributor	, ==.p.						
a Paid during the year				<u> </u>				
ANTI DEFAMATION LEAGUE 1952 WHITNEY AVENUE HAMDEN, CT 06517	NONE		HOLOCAUST EDUCATION	3,000				
CFIDS 61 BLUE RIDGE ROAD WILTON, CT 06897	NONE		RESEARCH	1,000				
GOODSPEED MUSICALS BOX A EAST HADDAM, CT 06423	NONE		SUPPORT FOR WOMEN DIRECTORS	10,000				
HARTFORD STAGE 50 CHURCH STREET HARTFORD, CT 06103	NONE		UNRESTRICTED	750				
INSTITUTE OF CONTEMPORARY ART 25 HARBOR SHORE DRIVE BOSTON, MA 02210	NONE		UNRESTRICTED	113				
INTL FESTIVAL OF ARTS & IDEAS 195 CHURCH STREET NEW HAVEN, CT 06510	NONE		UNRESTRICTED	5,000				
INTL FESTIVAL OF ARTS & IDEAS 195 CHURCH STREET NEW HAVEN, CT 06510	NONE		INFRASTRUCTURE UPDATE PLAN	15,000				
JEWISH FEDERATION 360 AMITY ROAD WOODBRIDGE, CT 06525	NONE		UNRESTRICTED	10,000				
JEWISH FEDERATION 360 AMITY ROAD WOODBRIDGE, CT 06525	NONE		S PERRY FUND FOR JEWISH LEARNING	1,000				
JOSEPH SLIFKA CENTER 80 WALL STREET NEW HAVEN, CT 06511	NONE		UNRESTRICTED	54				
KIDS 4 PUBLIC SERVICE 44 WELLS HILL ROAD WESTON, CT 06883	NONE		URESTRICTED	2,000				
LEAP 31 JEFFERSON STREET NEW HAVEN, CT 06511	NONE		UNRESTRICTED	250				
LONG WHARF THEATRE 222 LONG WHARF DRIVE NEW HAVEN, CT 06511	NONE		UNRESTRICTED	1,500				
LONG WHARF THEATRE 222 LONG WHARF DRIVE NEW HAVEN, CT 06511	NONE		UNRESTRICTED	1,000				
SHUBERT NEW HAVEN 247 COLLEGE STREET NEW HAVEN, CT 06510	NONE		UNRESTRICTED	100				

Total . . 3a 67,617

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual. Foundation Purpose of grant or Amount show any relationship to status of contribution any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year SITE PROJECTS NEW HAVEN NONE UNRESTRICTED 1,000 33 WHITNEY AVENUE 3RD FL NEW HAVEN, CT 06510 SMITH COLLEGE MUSEUM OF ART NONE CONTEMPORARY ASSOCIATE 1,500 PO BOX 40029 **FUND** BOSTON, MA 02210 SOLVE MECFS INITIATIVE NONE SUPPORT TARGETED 2,000 5455 WILSHIRE BLVD STE806 **INITIATIVES** LOS ANGELES, CA 90036 NONE 100 THE HOLLYCROFT FOUNDATION UNRESTRICTED **BOX 1315** MADISON, CT 06443 WOMEN & FAMILY LIFE CENTER NONE UNRESTRICTED 1,000 96 FAIR STREET GUILFORD, CT 06437 NONE WOMEN'S HEALTH AT YALE UNRESTRICTED 250 PO BOX 208091 NEW HAVEN, CT 06520 NONE YALE REPERTORY THEATRE 50TH ANNIVERSARY SEASON 10,000 PO BOX 208244 **SPONSOR** NEW HAVEN, CT 06511 YALE UNIV ART GALLERIES NONE ANNUAL FUND 1,000 PO BOX 208271

67,617

Total . . . . .

3a

efile GRAPHIC print - DO NOT	PROCESS	As Filed D	ata -			D	LN: 93491135029817
TY 2016 Accounting Fees Schedule							
Name: CAROL L SIROT FOUNDATION EIN: 06-1600346							
Category	Amo	ount		Investment Income	Adjuste Incor		Disbursements for Charitable Purposes
ACCOUNTING & TAX PREP FEE		2,275		2,275			

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TY 2016 Investments Corporate Bonds Schedule								
17 2010 Investments Corporate Bonds Schedule								
Name: (	CAROL L SIROT F	OUNDATION						

<b>EIN:</b> 06-1600346		
Name of Bond	End of Year Book Value	End of Year Fair Market Value
DUKE CAPITAL CORP	14,338	17,061
COLUMBIA INTERMEDIATE BOND FUND	393,660	432,978
PIMCO HIGH YIELD FUND		

## **TY 2016 Investments Corporate Stock Schedule**

Name: CAROL L SIROT FOUNDATION

**EIN:** 06-1600346

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABS	30,266	38,410
ABBVIE INC	12,154	31,310
APPLE INC	33,455	81,074
AT&T INC	30,674	41,382
BERKSHIRE HATHAWAY INC	52,395	105,937
CELANESE CORP	13,672	39,370
CONOCOPHILLIPS	22,829	25,070
EBAY INC	4,161	5,938
EXXONMOBIL CORP	19,323	27,078
FACEBOOK	17,795	17,258
GENERAL MOTORS	21,885	20,904
ALPHABET INC	38,327	109,499
IBM	33,230	49,797
ISHARES MSCI SINGAPORE		
JOHNSON & JOHNSON	30,513	57,605
L-3 COMMUNICATIONS HOLDINGS	30,686	60,844
LILLY ELI & CO	15,567	29,420
MEDTRONICS PLC	21,853	20,372
NESTLE SA	17,384	21,562
PAYPAL HOLDINGS INC	6,435	7,894
PHILLIPS 66	6,784	21,603
PRAXAIR INC	21,041	35,157
SELECT SECTOR SPDR TR FINANCIAL	11,783	11,625
UNITED PARCEL SVC INC	27,607	45,856
UNITED TECHNOLOGIES CORP	33,370	54,810
VANGUARD FTSE DEVELOPED MARKETS		
VANGUARD FTSE EMERGING MARKETS		
VANGUARD MID-CAP ETF	25,575	26,326
WELLS FARGO & CO	17,163	33,066

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TY 2016 Legal Fees Schedu	ıle			
Nan	ne: CAROLLSIF	ROT FOUNDATION		
EJ	<b>IN:</b> 06-1600346			
Category	Amount	Net Investment	Adjusted Net	Disbursements
Gutage.,	7.1110	Income	Income	for Charitable Purposes
LEGAL FEES	660	660		

Description

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As Filed Data -

Name: CAROL L SIROT FOUNDATION

Other Assets Schedule

**DIVIDENDS IN TRANSIT** 

**EIN:** 06-1600346

End of Year - Book

Value

End of Year - Fair

1,320

Beginning of Year -

**Book Value** 

1,100

DLN: 93491135029817

1,320

Market Value

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491135029817					
TY 2016 Other Decreases Schedule							
Name:	CAROL L SIROT FOUNDA	KTION					
EIN:	06-1600346						
De	escription	Amount					
2015 TAX BALANCE DUE		794					
2016 ESTIMATED TAX PAYMENTS		1,280					

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN:	: 93491135029817		
TY 2016 Other Expenses Schedule						
Name:	CAROL L SIROT	FOUNDATION				
EIN:	<b>EIN:</b> 06-1600346					
Other Expenses Schedule	,					
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes		
EXPENSES						
BOOKKEEPING FEES	850	850				
MEETING EXPENSES	320	320				

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN: 93491135029817
TY 2016 Other Income Schedu	ıle		
Name:	CAROL L SIROT F	OUNDATION	
EIN:	06-1600346		

Other Income Schedule					
Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income		
LITIGATION SETTLEMENTS	38	3.8			

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TY 2016 Other Professional Fees Schedule							
	e: CAROL L SIR N: 06-1600346	OT FOUNDATION					
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes			

6,958

6,958

INVESTMENT FEES

efile GRAPHIC print - DO NOT PROCESS	As Filed Data	-	DLI	N: 93491135029817			
TY 2016 Taxes Schedule							
Name	: CAROL L SIF	ROT FOUNDATION					
EIN	: 06-1600346	i					
Category	Amount	Net Investment	Adjusted Net	Disbursements			
Category	Amount	Income	Income	for Charitable			
				Purposes			
FOREIGN TAX W/H	104	104					