

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation: **DIME BANK FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address): **290 SALEM TURNPIKE**

City or town, state or province, country, and ZIP or foreign postal code: **NORWICH, CT 06360**

Room/suite: \_\_\_\_\_

A Employer identification number: **06-1507800**

B Telephone number: **860-859-4300**

C If exemption application is pending, check here

D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

H Check type of organization:  
 Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 3,898,199.**

J Accounting method:  
 Cash  
 Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	142.	142.		STATEMENT 1
4	Dividends and interest from securities	93,369.	93,369.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-2,741.			
b	Gross sales price for all assets on line 6a	65,295.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	90,770.	93,511.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3 1,361.	680.		681.
c	Other professional fees				
17	Interest				
18	Taxes	STMT 4 1,875.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 5 12,052.	0.		4.
24	Total operating and administrative expenses. Add lines 13 through 23	15,288.	680.		685.
25	Contributions, gifts, grants paid	179,898.			179,898.
26	Total expenses and disbursements. Add lines 24 and 25	195,186.	680.		180,583.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-104,416.			
b	Net investment income (if negative, enter -0-)		92,831.		
c	Adjusted net income (if negative, enter -0-)			N/A	

SCANNED SEP 08 2017  
 Operating and Administrative Expenses  
 RECEIVED  
 SEP 06 2017  
 IRS-CSC

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash - non-interest-bearing		6,941.	3,944.	3,944.	
	2	Savings and temporary cash investments		44,360.	10,932.	10,932.	
	3	Accounts receivable ▶	5,210.				
		Less: allowance for doubtful accounts ▶		5,665.	5,210.	5,210.	
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock	STMT 7		3,181,144.	3,452,526.	3,452,526.
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other	STMT 8		387,821.	425,030.	425,030.	
14	Land, buildings, and equipment: basis ▶						
	Less: accumulated depreciation ▶						
15	Other assets (describe ▶)	STATEMENT 9		1,695.	557.	557.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)			3,627,626.	3,898,199.	3,898,199.	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
23	<b>Total liabilities</b> (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted		3,627,626.	3,898,199.		
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds						
30	<b>Total net assets or fund balances</b>			3,627,626.	3,898,199.		
31	<b>Total liabilities and net assets/fund balances</b>			3,627,626.	3,898,199.		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,627,626.
2	Enter amount from Part I, line 27a	2	-104,416.
3	Other increases not included in line 2 (itemize) ▶	3	SEE STATEMENT 6
4	Add lines 1, 2, and 3	4	3,898,199.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	3,898,199.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEXTRA ENERGY	P	07/17/09	08/25/16
b FACTORSHARES PUREFUNDS ISE CYBER	P	05/01/15	08/25/16
c ROCHE HOLDINGS	P	08/06/14	12/14/16
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,575.		8,321.	10,254.
b 13,059.		15,578.	-2,519.
c 32,760.		44,137.	-11,377.
d 901.			901.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,254.
b			-2,519.
c			-11,377.
d			901.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-2,741.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	183,514.	3,770,266.	.048674
2014	163,071.	3,778,759.	.043155
2013	162,139.	3,480,651.	.046583
2012	157,763.	3,141,484.	.050219
2011	159,501.	3,012,270.	.052950

2 Total of line 1, column (d)	2	.241581
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048316
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,729,655.
5 Multiply line 4 by line 3	5	180,202.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	928.
7 Add lines 5 and 6	7	181,130.
8 Enter qualifying distributions from Part XII, line 4	8	180,583.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 6a-6d for credits. Total tax due is 587.00, with 587.00 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns for Yes/No. Includes questions about political campaigns, unrelated business income, and substantial contributors.

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.DIME-BANK.COM	X	
14 The books are in care of ► DAVID STANLAND Telephone no. ► 860-859-4300 Located at ► 290 SALEM TURNPIKE, NORWICH, CT ZIP+4 ► 06360		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,727,701.
b	Average of monthly cash balances	1b	57,845.
c	Fair market value of all other assets	1c	906.
d	<b>Total</b> (add lines 1a, b, and c)	1d	3,786,452.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,786,452.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	56,797.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,729,655.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	186,483.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	186,483.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,857.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,857.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	184,626.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	184,626.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	184,626.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	180,583.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	180,583.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	180,583.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				184,626.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	10,692.			
b From 2012	3,807.			
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	14,499.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	180,583.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				180,583.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	4,043.			4,043.
6 Enter the net total of each column as indicated below:	10,456.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	6,649.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,807.			
10 Analysis of line 9:				
a Excess from 2012	3,807.			
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**DIME BANK FOUNDATION, INC. DEIRDRE SULLIVAN, SECRETARY, 860-859-4300  
290 SALEM TURNPIKE, NORWICH, CT 06360**

**b** The form in which applications should be submitted and information and materials they should include:

**WRITTEN GRANT APPLICATION**

**c** Any submission deadlines:

**NONE**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**GEOGRAPHIC AREA SERVED BY THE DIME BANK**







## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CASH ACCOUNTS	3.	3.	
ENABLE MIDSTREAM PARTNERS, LP	7.	7.	
MARKWEST ENERGY PARTNERS, LP	36.	36.	
MARTIN MIDSTREAM PARTNERS, LP	94.	94.	
TERRA NITROGEN COMPANY	2.	2.	
TOTAL TO PART I, LINE 3	142.	142.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LPL FINANCIAL	93,303.	0.	93,303.	93,303.	
MARKWEST ENERGY PARTNERS, LP	66.	0.	66.	66.	
MATTHEW INDUSTRIES	901.	901.	0.	0.	
TO PART I, LINE 4	94,270.	901.	93,369.	93,369.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,200.	600.		600.
SUPPLIES	161.	80.		81.
TO FORM 990-PF, PG 1, LN 16B	1,361.	680.		681.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,875.	0.		0.
TOTAL TO FORM 990-PF, PG 1, LN 18	1,875.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NET LOSS FROM PARTNERSHIPS	12,048.	0.		0.
CONTRIBUTIONS FROM PARTNERSHIPS	4.	0.		4.
TOTAL TO FORM 990-PF, PG 1, LN 23	12,052.	0.		4.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6
DESCRIPTION		AMOUNT
UNREALIZED GAIN ON INVESTMENTS		374,484.
NONDEDUCTIBLE EXPENSES FROM K-1		505.
TOTAL TO FORM 990-PF, PART III, LINE 3		374,989.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITIES - COMMON STOCK	3,452,526.	3,452,526.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,452,526.	3,452,526.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - MUTUAL FUNDS	FMV	425,030.	425,030.
TOTAL TO FORM 990-PF, PART II, LINE 13		425,030.	425,030.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
EXCISE TAX RECEIVABLE	1,695.	557.	557.
TOTAL TO FORM 990-PF, PART II, LINE 15	1,695.	557.	557.

FORM 990-PF      PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS      STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
NICHOLAS CAPLANSON 290 SALEM TURNPIKE NORWICH, CT 06360	PRESIDENT 0.00	0.	0.	0.
DAVID STANLAND 290 SALEM TURNPIKE NORWICH, CT 06360	VP/TREASURER 0.00	0.	0.	0.
CHERYL CALDERADO 290 SALEM TURNPIKE NORWICH, CT 06360	VICE PRESIDENT 0.00	0.	0.	0.
DEIRDRE SULLIVAN 290 SALEM TURNPIKE NORWICH, CT 06360	SECRETARY 0.00	0.	0.	0.
STEVEN BOKOFF 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.

LEE-ANN GOMES 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
ROLAND J. HARRIS 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
JAMES M. KIRKER 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
LINDA L. MARIANI 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
ROBERT A. STALEY 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
MARK TRAMONTOZZI 290 SALEM TURNPIKE NORWICH, CT 06360	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>0.</u>	<u>0.</u>	<u>0.</u>

**Foundation Grants 2016**

Organization	Individual Y/N	Amount	Program/Project	Recipient Status
American Red Cross	No	\$1,500	Emergency Services Programs	501(c) 3
ARC New London County	No	\$1,536	Transportation costs for a Digital Literacy course	501(c) 3
Autism Services and Resources, CT	No	\$2,245	Education for families to prepare their children for independent living after high school	501(c) 3
Catholic Charities, Diocese of Norwich	No	\$5,000	Emergency Basic Needs and Intensive Case Management Program	501(c) 3
Channel 3 Kids Camp	No	\$2,500	Campership support for one week of overnight camp	501(c) 3
Colchester Hayward Volunteer Fire Company	No	\$2,500	Funding for an Automated Chest Compression Device	501(c) 3
Colchester Youth & Social Services	No	\$1,450	Adolescent substance prevention program aimed at educating parents	170 (C) 1
Connecticut College Children's Program	No	\$2,400	Family Literacy Initiative at the Conn College Children's Program	501(c) 3
CT Housing Coalition	No	\$1,000	Support for their annual conference held in Hartford	501(c) 3
Eastern Area Health Education Center (AHEC)	No	\$2,500	Youth Health Service Corps, health careers exploration and service learning program	501(c) 3
Eastern Connecticut Community Gardens Association, Inc	No	\$2,900	Plan, establish, plant and maintain gardens in the towns in which Dime Bank serves	501(c) 3
Eastern Connecticut Transportation Consortium, Inc	No	\$930	Assistance to help cover the cost of GPS units to be used by their drivers	501(c) 3
Eastern CT Area Agency on Aging d/b/a Senior Resources	No	\$2,500	Support for Family Caregiver Respite Program	501(c) 3
Eastern CT Ballet	No	\$1,000	Tickets for disadvantaged families to experience a performance of the Nutcracker	501(c) 3
Envision Kindness, Inc	No	\$1,500	Student Kindness Film Contest aimed at promoting kindness	501(c) 3
Eugene O'Neill Theater Center	No	\$500	Free and discounted ticket program	501(c) 3
Family Service of RI	No	\$2,500	Restorative Practices training for Westerly Public School District	501(c) 3
FRESH New London	No	\$2,500	Youth food service literacy program	501(c) 3
Frosty Drew Memorial Fund	No	\$2,000	Children's Field Studies Program	501(c) 3
Higher Edge	No	\$2,500	College Access Program and College Success Program	501(c) 3
Historically Black College Alumni	No	\$1,000	Spring 2016 Annual Historically Black College and University Tour	501(c) 3
Homefront Health Care	No	\$1,800	Portable health technology (tablets) for nurses and nursing assistants	501(c) 3
Honzons	No	\$1,500	Subsidize program fees for children with disabilities to attend summer camp	501(c) 3
Integrated Day Charter School	No	\$2,000	Two-day field trip to Fishers Island Sound.	501(c) 3
INTERSECT (Integrated Network to Employment and Rehousing of SECT)	No	\$5,000	Secure Jobs CT pilot program	501(c) 3

Foundation Grants 2016				
Organization	Individual Y/N	Amount	Program/Project	Recipient Status
Jomycake Center of Westerly	No	\$3,500	Emergency Food Assistance Program	501(c) 3
Junior Achievement of Southwest New England	No	\$3,000	Financial literacy, work readiness, and entrepreneurship programming	501(c) 3
LEARN	No	\$2,160	Curriculum for students with autism and moderate to severe development disabilities	501(c) 3
Ledyard Youth & Social Services	No	\$1,500	Scholarships for disadvantaged Ledyard youth	170 (C) 1
LifeFAQs org, Inc	No	\$3,000	In-school tutoring for at-risk students at New London High School	501(c) 3
Madonna Place, Inc	No	\$3,000	Family Support Center Program	501(c) 3
Martin House	No	\$3,000	Meal expenses for clients	501(c) 3
Mystic Area Shelter & Hospitality, Inc	No	\$3,000	Continuing support of their Homeless Prevention Program	501(c) 3
Natchaug Hospital	No	\$3,000	Outdoor enhancement plan for Thames Valley Joshua Center	501(c) 3
NeighborWorks New Honzons	No	\$3,500	Furnishing for the community room at an affordable housing development in Pawcatuck	501(c) 3
New England Science & Sailing Foundation, Inc	No	\$1,500	Funding for the New London Initiative NESS/STEM Ocean Adventure Education Programs	501(c) 3
New London Area Food Coalition	No	\$4,200	Rent assistance for their space in the OIC building for 2017	501(c) 3
New London Homeless Hospitality Center	No	\$4,000	Support to provide direct financial assistance to help individuals return to permanent housing	501(c) 3
New London Youth Affairs	No	\$4,000	Positive youth development after school program for high school aged teens	501(c) 3
Norwich Community Backpack Program	No	\$1,500	Back Pack It To School program	501(c) 3
Norwich Housing Authority	No	\$1,500	Part-time Resident Services Coordinator for Westwood Park and the Dorsey Building	170 (C) 1
Norwich Human Services	No	\$3,000	Rental assistance for low-income Norwich residents	170 (C) 1
Norwich Human Services Safety Net Team	No	\$3,000	Golden Wishes Program and Job Related Incidentals/Transportation	170 (C) 1
Norwich Youth and Family Services	No	\$2,500	Summer Jam and Learn diversion collaborative targeting at-risk youth living in Norwich	170 (C) 1
Operation Fuel, Inc.	No	\$3,500	Emergency energy assistance grants to low income individuals and families.	501(c) 3
Operation Warm, Inc	No	\$4,000	Winter coat distribution to 200 children in N L County	501(c) 3
Our Piece of the Pie (OPP)	No	\$2,000	Programs that bridge the gap between high school and post-secondary employment	501(c) 3
Pregnancy Support Center, Inc	No	\$2,500	Crisis Pregnancy Program.	501(c) 3
Read to Grow	No	\$1,500	Books for Babies and Books for Kids programs	501(c) 3
Riverfront Children's Center	No	\$2,500	Support to address the social-emotional health trends amongst children from middle to low-income households	501(c) 3

Foundation Grants 2016					
Organization	Individual Y/N	Amount	Program/Project	Recipient Status	
Rose City Senior Center	No	\$1,500	Extended hours for their Senior Center Benefits Counselor.	501(c) 3	
S T E P S	No	\$1,500	Self-esteem programs for middle and high school girls	501(c) 3	
Sacred Heart Educational Center at the Academy of the Holy Family	No	\$2,000	Subsidies for quality tutoring, books and supplies for students facing financial difficulties	501(c) 3	
Safe Futures	No	\$3,000	Norwich Domestic Violence Response Team for the 2016-17 program year	501(c) 3	
Save The Bay	No	\$2,000	Hands-on, experiential, STEM programs for students at Westerly Middle School	501(c) 3	
Shoreline Soup Kitchens	No	\$2,500	Supplemental foods for food pantries in Old Lyme and East Lyme	501(c) 3	
South County Home Health	No	\$1,200	Self Management of Chronic Disease/Pain Management for Westerly seniors	501(c) 3	
Special Olympics Connecticut, Inc	No	\$2,500	2016 Annual Fall Sports Festival/Sailing Program/Regatta	501(c) 3	
St Vincent de Paul Place	No	\$5,000	General operating support to help fund their programs	501(c) 3	
Thames Area Citizen Advocacy Program, Inc (TACAP)	No	\$1,500	Advocates for sr citizens who have no ties to the human services delivery system	501(c) 3	
Thames River Community Service, Inc	No	\$2,927	Funding to replace the outdated phone system at Thames River Family Program	501(c) 3	
Thames Valley Council For Community Action (TVCCA)	No	\$2,500	Multi-purpose Emergency Client Assistance Fund	501(c) 3	
The Children's Museum (West Hartford)	No	\$2,250	STEM programs serving K-8 homeschooling students and parents	501(c) 3	
The Furniture Bank	No	\$5,500	Purchase of new beds for families in need	501(c) 3	
The Salvation Army Norwich	No	\$4,000	Food Pantry and Community Meals Program	501(c) 3	
The Writer's Block	No	\$2,500	"Summer On The Block" session for disadvantaged youth	501(c) 3	
UCP of Eastern Ct , Inc	No	\$2,500	Expansion of Assistive Technology and Independent Living Resource Center	501(c) 3	
United Cerebral Palsy Association of Greater Hartford, Inc	No	\$2,500	Campership tuition to Camp Harkness for individuals with disabilities.	501(c) 3	
United Community Family Services	No	\$2,900	Extraction sets for dental clinic in Griswold	501(c) 3	
Waterford Country School	No	\$3,000	Recruitment program seeking families to adopt children from CT state foster care	501(c) 3	
Westerly Area Rest Meals (WARM Center), Inc	No	\$4,000	Soup Kitchen Program	501(c) 3	
	<b>TOTAL</b>	<b>\$179,898</b>			