Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: THE LEIR FOUNDATION, INC.

A Employer identification number: 06-1466481

Number and street (or P O box number if mail is not delivered to street address): 570 LEXINGTON AVENUE, 33RD FLOOR

Room/suite:

B Telephone number (see instructions): (212) 750-9330

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10022

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 47,439,646.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts grants etc, received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,567.	1,567.		ATCH 1
	4 Dividends and interest from securities	622,693.	622,693.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	570,500.			
	b Gross sales price for all assets on line 6a 1,928,794.				
	7 Capital gain net income (from Part IV, line 2)		570,500.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 3	-17,107.	17,791.		
	12 **Total.** Add lines 1 through 11	1,177,653.	1,212,551.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	174,497.	87,248.		87,249.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	24,102.	12,051.		12,051.
	16a Legal fees (attach schedule) ATCH 4	115,410.	57,705.		57,705.
	b Accounting fees (attach schedule) ATCH 5	204,585.	102,292.		102,293.
	c Other professional fees (attach schedule) [6]	158,490.	158,490.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [7]	107,136.	45,054.		7,082.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	110,226.	55,113.		55,113.
	21 Travel, conferences, and meetings	14,681.			14,681.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 8	31,823.	15,912.		15,911.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	940,950.	533,865.		352,085.
	25 Contributions, gifts, grants paid	4,093,300.			2,443,300.
	26 **Total expenses and disbursements** Add lines 24 and 25	5,034,250.	533,865.	0.	2,795,385.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-3,856,597.			
	b **Net investment income** (if negative, enter -0-)		678,686.		
	c **Adjusted net income** (if negative, enter -0-)				

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JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	14,295.	55,959.	55,959.
	2 Savings and temporary cash investments	17,347,325.	16,700,159.	16,700,159.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 9	2,265,647.	2,627,062.	2,579,378.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 10	27,760,178.	24,323,251.	28,100,050.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 11)	4,100.	4,100.	4,100.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	47,391,545.	43,710,531.	47,439,646.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 12)	382,263.	596,471.	
	23 Total liabilities (add lines 17 through 22)	382,263.	596,471.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	47,009,282.	43,114,060.	
	30 Total net assets or fund balances (see instructions)	47,009,282.	43,114,060.	
	31 Total liabilities and net assets/fund balances (see instructions)	47,391,545.	43,710,531.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,009,282.
2	Enter amount from Part I, line 27a	2	-3,856,597.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	43,152,685.
5	Decreases not included in line 2 (itemize) ▶ ATCH 13	5	38,625.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	43,114,060.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	570,500.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,761,371.	52,731,564.	0.052367
2014	2,657,678.	52,328,907.	0.050788
2013	2,517,945.	50,215,740.	0.050143
2012	2,768,318.	50,923,033.	0.054363
2011	2,616,006.	52,275,461.	0.050043

2	**Total** of line 1, column (d)	2	0.257704
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051541
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	46,409,852.
5	Multiply line 4 by line 3	5	2,392,010.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,787.
7	Add lines 5 and 6	7	2,398,797.
8	Enter qualifying distributions from Part XII, line 4	8	2,795,385.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	6,787.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	6,787.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	6,787.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 112,912.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	112,912.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	106,125.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 106,125. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEVEN ROSENTHAL Telephone no ▶ 212-750-9330

Located at ▶ 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☒ Yes ☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATCH 14	☒ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		174,497.	9,987.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 16		403,564.

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	30,906,121.
b	Average of monthly cash balances	1b	16,210,480.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	47,116,601.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	47,116,601.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	706,749.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	46,409,852.
6	**Minimum investment return.** Enter 5% of line 5	6	2,320,493.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	2,320,493.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	6,787.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	6,787.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	2,313,706.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,313,706.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,313,706.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,795,385.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,795,385.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	6,787.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,788,598.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,313,706.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011 63,086.				
b From 2012 239,230.				
c From 2013 85,595.				
d From 2014 140,559.				
e From 2015 182,875.				
f **Total** of lines 3a through e	711,345.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 2,795,385.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				2,313,706.
e Remaining amount distributed out of corpus	481,679.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,193,024.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	63,086.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	1,129,938.			
10 Analysis of line 9				
a Excess from 2012 239,230.				
b Excess from 2013 85,595.				
c Excess from 2014 140,559.				
d Excess from 2015 182,875.				
e Excess from 2016 481,679.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon					
a 'Assets' alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test- enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c 'Support' alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 17				
Total . . . . ▶ **3a**				4,093,300.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,567.	
4 Dividends and interest from securities			14	622,693.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-17,107.	
8 Gain or (loss) from sales of assets other than inventory			18	570,500.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,177,653.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 1,177,653.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1A(1)		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Nancy Oplis | Nov 13/2017 | ▶ President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BARBARA HASSELMAN	Barbara Hasselman	11/13/2017		
Firm's name ▶ MARCUM LLP			Firm's EIN ▶	
Firm's address ▶ 750 THIRD AVENUE NEW YORK, NY 10017			Phone no 212-485-5500	

Form **990-PF** (2016)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					256,708.	
		CERBERUS RMBS OPORTUNITY FUDND					02/01/2015	12/31/2016
1,281,673.		1,250,040.					31,633.	
		ODYSSEY INVESTMENT PARTNERS FUND IV LP					01/01/2015	12/31/2016
343,570.							343,570.	
		ALPHAGEN EUROPEAN BEST IDEAS INVESTMENT					01/01/2016	12/31/2016
		92,554.					-92,554.	
		ALPHAGEN EUROPEAN BEST IDEAS INVESTMENT					01/01/2015	12/31/2016
46,843.							46,843.	
		OCA OHA CREDIT FUND					01/01/2016	12/31/2016
		15,700.					-15,700.	
TOTAL GAIN(LOSS)							570,500.	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK OF NEW YORK #3578		20.	20.
FIDELITY INVESTMENTS #9696		1,547.	1,547.
	TOTAL	1,567.	1,567.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INT - ODYSSEY INV FD III - PER K-1	83.	83.
DIVIDENDS - FIDELITY INVESTMENTS #9696	214,389.	214,389.
INT - ODYSSEY INV FD IV - PER K-1	1.	1.
INT - OCA STRATEGOS RMBS - PER K-1	225,846.	225,846.
DIV - ALPHAGEN EUROPEAN BEST FD-PER K-1	182,374.	182,374.
TOTAL	622,693.	622,693.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ODYSSEY INV PTR IV-FOREIGN TAX REF	69,579.	69,579.
ALPHAGEN EUROPEAN BEST IDEAS - PER K-1	-52,692.	-52,692.
MISCELLANEOUS OTHER	904.	904.
ODYSSEY INVEST PTR FUND III-BOOK NOT TAX	-25,006.	
ODYSSEY INVEST PTR FUND IV-BOOK NOT TAX	-9,892.	
TOTALS	-17,107.	17,791.

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CRAVATH	5,400.	2,700.		2,700.
CADWALADER, WICKERSHAM & TAFT	110,010.	55,005.		55,005.
TOTALS	115,410.	57,705.		57,705.

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
UHY ADVISORS - TAX, ACCT,ADVIS	150,500.	75,250.		75,250.
MARCUM LLP - AUDIT FEES	54,085.	27,042.		27,043.
TOTALS	204,585.	102,292.		102,293.

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT ADVISORY FEES	88,969.	88,969.
ODYSSEY INV III - K-1 PORT DED	9,570.	9,570.
ODYSSEY INV IV - K-1 PORT DED	22,207.	22,207.
OCA STRATEGOS RMBS-K-1 PORTDED	39,001.	39,001.
FEE REFUND	-1,257.	-1,257.
TOTALS	158,490.	158,490.

ATTACHMENT 7

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	14,163.	7,081.	7,082.
FEDERAL EXCISE TAX	55,000.		
FOREIGN TAX PAID-ALPHAGEN	37,973.	37,973.	
TOTALS	107,136.	45,054.	7,082.

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	12,904.	6,452.	6,452.
BANK CHARGES	1,689.	845.	844.
PAYROLL PROCESSING FEES	1,475.	737.	738.
GENERAL AND ADMIN EXPENSES	15,755.	7,878.	7,877.
TOTALS	31,823.	15,912.	15,911.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EUROPEAN INVESTORS	2,627,062.	2,579,378.
TOTALS	2,627,062.	2,579,378.

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ODYSSEY INVESTMNT PTRNS FD III	1,672,567.	1,875,551.
ODYSSEY INVEST PTRNS FD IV	1,768,538.	3,245,954.
VENTURE STAR SHANGHAI CO LTD	221,263.	1,509,835.
EII GLOBAL PROPERTY FUND	1,728,121.	1,519,070.
OCA BRIGADE CREDIT FUND II LLC		
OCA EUROPEAN STRATEGIC CREDIT	800,953.	986,964.
CERBERUS RMBS OPPORTUNITIES FU	3,749,960.	3,914,344.
THE ALPHAGEN EUROPEAN	5,102,735.	5,247,377.
OCA OHA CREDIT FUND SEG PORT	6,000,000.	6,606,784.
OCA STRATEGOS RMBS FUND LLC	3,279,114.	3,194,171.
TOTALS	24,323,251.	28,100,050.

ATTACHMENT 11

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ARTWORK	4,100.	4,100.
TOTALS	4,100.	4,100.

ATTACHMENT 12

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		ENDING BOOK VALUE
DUE TO RIDGEFIELD FOUNDATION		596,471.
	TOTALS	596,471.

ATTACHMENT 13

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
PRIOR PERIOD ADJUSTMENT		38,625.
	TOTAL	38,625.

ATTACHMENT 14

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: CARITAS SENIORENHEIM ST. GUNTER
GRANTEE'S ADDRESS: GEYERSBERGER STRASSE 36
CITY, STATE & ZIP: FREYUNG
FOREIGN PROVINCE: BAYERN
FOREIGN COUNTRY: GERMANY 94078
GRANT DATE: 06/09/2016
GRANT AMOUNT: 5,000.
GRANT PURPOSE: SUPPORT OF WORKSHOPS AND ACTIVITIES FOR THE ELDERLY
AMOUNT EXPENDED: 5,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: FEUERWEHE FINSTERAU
GRANTEE'S ADDRESS: MUSEUMSTRASSE 43
CITY, STATE & ZIP: MAUTH
FOREIGN COUNTRY: GERMANY 94151
GRANT DATE: 06/09/2016
GRANT AMOUNT: 20,000.
GRANT PURPOSE: SUPPORT OF THE FIRE DEPARTMENT
AMOUNT EXPENDED: 20,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: CONGREGATION OF THE HOLY SPIRIT
GRANTEE'S ADDRESS: 41540 DORMAGEN
CITY, STATE & ZIP: KNECHSTEDEN
FOREIGN COUNTRY: GERMANY
GRANT DATE: 06/09/2016
GRANT AMOUNT: 3,000.
GRANT PURPOSE: SUPPORT CHURCH
AMOUNT EXPENDED: 3,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: FONDATION LETZEBUERGER KANNERDUERF

CONT'D ON NEXT PAGE

ATTACHMENT 14 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S ADDRESS: RUE HERMANN GMEINER 10
CITY, STATE & ZIP:
FOREIGN COUNTRY: LUXEMBOURG L-7534
GRANT DATE: 03/10/2016
GRANT AMOUNT: 20,000.
GRANT PURPOSE: SUPPORT OF ABUSED CHILDREN WITH ANIMAL ASSISTED PEDAGOGY/THERAPY
AMOUNT EXPENDED: 20,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: HEINZ-THEUERJAHR-SCHULE-NEUSCHONAU
GRANTEE'S ADDRESS: SCHULSTRABE 11
CITY, STATE & ZIP: NEUSCHONAU
FOREIGN COUNTRY: GERMANY 94556
GRANT DATE: 06/09/2016
GRANT AMOUNT: 10,000.
GRANT PURPOSE: SUPPORT OF CHILDREN'S EDUCATION
AMOUNT EXPENDED: 10,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: KANNERLAND LETZEBUERG
GRANTEE'S ADDRESS: 59A AV. VICTOR HUGO
CITY, STATE & ZIP:
FOREIGN COUNTRY: LUXEMBOURG L-1750
GRANT DATE: 08/16/2016
GRANT AMOUNT: 50,000.
GRANT PURPOSE: SUPPORT OF FAMILY RELATIONS SERVICES
AMOUNT EXPENDED: 50,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: AESDEK
GRANTEE'S ADDRESS: BP 5595 DAKAR FANN

CONT'D ON NEXT PAGE

ATTACHMENT 14 (CONT'D)

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

CITY, STATE & ZIP: DAKAR
FOREIGN COUNTRY: SENEGAL
GRANT DATE: 09/30/2016
GRANT AMOUNT: 62,000.
GRANT PURPOSE: SUPPORT OF UNIVERSITIES AND SCHOOLS
AMOUNT EXPENDED: 62,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: MALTESER HLFSDIENST PASSAU
GRANTEE'S ADDRESS: VILSHOFENER STRASSE 50
CITY, STATE & ZIP: PASSAU
FOREIGN COUNTRY: GERMANY 94034
GRANT DATE: 06/09/2016
GRANT AMOUNT: 10,000.
GRANT PURPOSE: TO SUPPORT UNIVERSITIES AND SCHOOLS
AMOUNT EXPENDED: 10,000.
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMAITON PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ARTHUR S. HOFFMAN 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022 DECEASED AUGUST 2016	PRES/TREAS/DIRECTOR 40.00	108,238.	4,905.	0.
MARGOT GIBIS 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022 PRESIDENT AS OF AUGUST 2016 V PRESIDENT THROUGH AUGUST 2016	PRESIDENT/DIRECTOR 40.00	66,259.	5,082.	0.
STUART SILVER 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR/SECRETARY 1.00	0.	0.	0.
JEAN WAGENER 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
LAUREL EISNER 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022 CHAIRWOMAN AS OF SEPTEMBER 29, 2016	DIRECTOR/CHAIRWOMAN 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUNHEE GERTZ 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
JOHN ROBINSON 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022 RESIGNED AS OF SEPTEMBER 29, 2016	DIRECTOR/CHAIRMAN 1.00	0.	0.	0.
	GRAND TOTALS	174,497.	9,987.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 16

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
UHY ADVISORS NY, INC. 1185 AVENUE OF THE AMERICAS, 38TH FL NEW YORK, NY 10036	ACCOUNTING	150,500.
CADWALADER, WICKERSHAM & TAFT LLP 200 LIVERTY STREET NEW YORK, NY 10281	LEGAL SERVICES	110,010.
MARCUM LLP 750 THIRD AVNEUE, 11TH FL NEW YORK, NY 10017	AUDIT	54,085.
OFFIT CAPITAL ADVISORS 485 LEXINGTON AVENUE NEW YORK, NY 10017	INVESTMENT ADVISORY	88,969.
	TOTAL COMPENSATION	403,564.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 17

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHMENT A 570 LEXINGTON AVENUE NEW YORK, NY 10022	N/A PC	GRANTS FOR DONEE'S EXEMPT PURPOSE	2,443,300
THE LEIR RETREAT CENTER 570 LEXINGTON AVENUE, 33R NEW YORK, NY 10022	N/A POF	SUPPORT OF OPERATION OF PROGRAMS FOR DISADVANTAGED CHILDREN AND CONFERENCE CENTER FOR CHAR ORGANIZATIONS	1,650,000
		TOTAL CONTRIBUTIONS PAID	4,093,300

THE LEIR FOUNDATION, INC. 06-1466481
2016 Form 990-PF, ATTACHMENT A
PART XV - LINE 3A GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENTS	ADDRESS	AMOUNT	PURPOSE OF GRANT OR CONTRIBUTION
A Better Chance in Ridgefield, Inc	P.O. Box 1044, Ridgefield, CT 06877	$ 7,000	Community Service
* AESDEK	BP 5595 Dakar Fann, Dakar, Senegal	$ 62,000	Universities and Schools
American Friends of Georgia Foundation	P O. Box 1200, Truro, MA 02666	$ 20,000	Relief of Disadvantaged
Am Friends of Hand In Hand	P O Box 80102, Portland, OR 97280	$ 75,000	Interreligious Understanding
American Society of the French Legion of Honor	P O. Box 983, Harriman, NY 10926	$ 1,000	Community Service
Ann's Place - The Home of I CAN	80 Saw Mill Road, Danbury, CT 06810	$ 25,000	Community Service
Bridgeport Public Education Fund (Lighthouse Program)	446 University Avenue, Bridgeport, CT 06604	$ 20,000	Universities and Schools
Bronx Veterans Medical Research Foundation, Inc.	130 West Kingsbridge Road, New York, NY 10468	$ 80,000	Medical Research
* Caritas Seniorenheim St. Gunter	Geyersberger Strasse 36, 94078 Freyung, Bayern, Germany	$ 5,000	Medical Clinical
Center For Family Justice, Inc	753 Fairfield Avenue, Bridgeport, CT 06604	$ 50,000	Community Service
Children's Help Net Foundation. Inc.	293 Scarborough Road, Briarcliff Manor, NY 10510	$ 10,000	Children's Program
Children's Hospital of Philadelphia	34th Street & Civic Center Boulevard, Philadelphia, PA 19104	$ 200,000	Medical Clinical
Clark University	950 Main Street, Worcester, MA 01610-1477	$ 125,000	Universities and Schools
Commission on Aging-Town of Ridgefield	400 Main Street, Ridgefield, CT 06877	$ 10,000	Community Service
* Congregation of the Holy Spirit	Knechsteden, 41540 Dormagen, Germany	$ 3,000	Interreligious Understanding
Cooperative Educational Services	40 Lindeman Drive, Trumbull, CT 06611	$ 20,000	Children's Program
CT Quest for Peace	336 Ridgebury Road, Ridgefield, CT 06877	$ 7,000	Relief of Disadvantaged
Danbury Police Department	375 Main Street, Danbury, CT 06810	$ 10,000	Community Service
Dorothy Day Hospitality House, Inc.	11 Spring Street, Danbury, CT 06810	$ 10,000	Relief of Disadvantaged
Education Through Music, Inc.	122 East 42nd Street - Suite 1501, New York, NY 10168	$ 40,000	Children's Program
Family and Children's Aid	75 West Street, Danbury, CT 06810	$ 30,000	Children's Program
Families Network of Western Connecticut, Inc.	5 Library Place, Danbury, CT 06810	$ 20,000	Community Service
* Finsterau Fire Department (Feuerwehr Finsterau)	Museumstrasse 43, 94151 Mauth, Germany	$ 20,000	Community Service
* Foundation Letzebuerger Kannerduerf	Rue Hermannn Gmeiner 10, L-7534, Luxembourg	$ 20,000	Community Service
Fr of Ridgefield Community Programs, Inc. (CHIRP)	400 Main Street, Ridgefield, CT 06877	$ 1,300	Museum and Cultural
Fr of Ridgefield Community Programs, Inc (Lyme)	66 Prospect Street, Ridgefield, CT 06877	$ 10,000	Community Service
Fr of Ridgefield Community Programs, Inc (RCCASA)	400 Main Street, Ridgefield, CT 06877	$ 20,000	Community Service
Green Chimneys	400 Doansburg Rd , Box 719, Brewster, NY 10509	$ 150,000	Children's Program
* Heinz -Theuerjahr-Schule-Neuschonau	Schulstrabe 11, 94556 Neuschonau, Germany	$ 10,000	Children's Program
Herzog Hospital	57 West 57th Street, Suite #412, New York, NY 10019	$ 100,000	Medical Clinical
Israel Museum	500 Fifth Avenue, Suite 2450, New York, NY 10110	$ 150,000	Museum and Cultural
Jericho Partnership, Inc.	13 Rose Street, Danbury, CT 06810	$ 10,000	Community Service
Jerusalem Foundation - Elevator	420 Lexington Avenue, Suite 1645, New York, NY 10170	$ 120,000	Museum and Cultural
* Kannerland Letzebuerg	59a av. Victor Hugo, L-1750 Luxembourg	$ 50,000	Relief of Disadvantaged
Kevin's Community Center	153 South Main Street, Newton, CT 06470	$ 15,000	Relief of Disadvantaged
Lower East Side Tenement Museum	91 Orchard Street, New York, NY 10002	$ 135,000	Museum and Cultural
* Malteser Hilfsdienst Passau (Malteser School Escort Service)	Geschaeftsfuehrer, Rainer Breinbauer, Vilshofener Strasse 50-94034 Pasau, Germany	$ 10,000	Universities and Schools
Meals on Wheels of Ridgefield, Inc	Ballard Green, 25 Gilbert Street, Ridgefield, CT 06877	$ 14,000	Relief of Disadvantaged
New York Presbyterian Hospital	850 Third Avenue - 12th Floor, New York, NY 10022	$ 10,000	Medical Research
92nd Street "Y"	1395 Lexington Avenue, New York, NY 10128	$ 100,000	Museum and Cultural
Northside Center for Child Development, Inc.	1301 Fifth Avenue, New York, NY 10029	$ 45,000	Relief of Disadvantaged

Ridgefield Fire Department	6 Catoonah Street, Ridgefield, CT 06877	$	5,000	Community Service
Ridgefield Library	472 Main Street, Ridgefield, CT 06877	$	10,000	Museum and Cultural
Ridgefield Operation Animal Rescue	45 South Street, Ridgefield, CT 06877	$	6,000	Community Service
Ridgefield Police Department	76 East Ridge, Ridgefield, CT 06877	$	15,000	Community Service
Ridgefield Sunrise Cottage	P O. Box 486, Ridgefield, CT 06877	$	10,000	Relief of Disadvantaged
Ridgefield Volunteer Fire Department	6 Catoonah Street, Ridgefield, CT 06877	$	5,000	Community Service
Rogosin Institute	505 East 70th Street, New York, NY 10021	$	100,000	Medical Research
Schwartz Center	100 Cambridge Street. Suite 2100, Boston, MA 02114	$	50,000	Medical Clinical
Selfhelp Community Services	520 Eighth Avenue, New York, NY 10018	$	200,000	Relief of Disadvantaged
St Jude Children's Research Hospital	P O. Box 1000, Dept 142, Memphis, TN 38148	$	2,000	Medical Clinical
Stanley M. Isaacs Neighborhood Center	415 East 93rd Street, New York, NY 10128-6904	$	60,000	Relief of Disadvantaged
SV Finsterau 1957 e.V.	Auenweg 1 - 94151 Mauth-Finsterau, Germany	$	10,000	Children's Program
Tiny Miracles Foundation	381 Post Road, Darien, CT 06820	$	25,000	Medical Clinical
United Methodist Church - Jessee Lee Church	207 Main Street, Ridgefield, CT 06877	$	15,000	Community Service
WNYC Radio	160 Varick Street, New York, NY 10013	$	25,000	Museum and Cultural
WQXR Radio	160 Varick Street, New York, NY 10013	$	25,000	Museum and Cultural
World Wildlife Fund	1250 24th Street, NW, Washington, DC 20037	$	10,000	Museum and Cultural
Yale University / Yale Eye Center	330 Cedar Street, New Haven, CT 06520	$	50,000	Medical Research
TOTAL		$	2,443,300	

* ALL GRANTS ARE PAID TO U.S PUBLIC CHARITIES EXPECT FOR THOSE MARKED WITH AN "*" . SEE EXPENDITURE RESPONSIBILITY STATEMENTS FOR THE GRANTS WITH AN "*"