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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE FINN FAMILY FOUNDATION INC		A Employer identification number 06-1405387	
Number and street (or P.O. box number if mail is not delivered to street address) C/O MARCUM LLP 35 MASON ST		B Telephone number (see instructions) (203) 532-1520	
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06830		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,427,113	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	267,956	206,880		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	16,370			
	b Gross sales price for all assets on line 6a _____ 397,650				
	7 Capital gain net income (from Part IV, line 2)		16,370		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	305	305		
	12 Total. Add lines 1 through 11	284,631	223,555		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,535	2,268		2,267
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	4,057	4,057		0
	24 Total operating and administrative expenses. Add lines 13 through 23	8,592	6,325		2,267
	25 Contributions, gifts, grants paid	243,780			243,780
	26 Total expenses and disbursements. Add lines 24 and 25	252,372	6,325		246,047
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	32,259			
	b Net investment income (if negative, enter -0-)		217,230		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	48,505	141,959	141,959
	2 Savings and temporary cash investments	200,994	109,580	109,580
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	2,327,724	2,644,833	2,847,445
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)	2,145,360	1,859,634	1,896,179
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	409,422	408,258	431,950
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,132,005	5,164,264	5,427,113	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable.			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	5,132,005	5,164,264	
	30 Total net assets or fund balances (see instructions)	5,132,005	5,164,264	
31 Total liabilities and net assets/fund balances (see instructions) .	5,132,005	5,164,264		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,132,005
2 Enter amount from Part I, line 27a	2	32,259
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	5,164,264
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,164,264

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			
b PUBLICLY TRADED SECURITIES			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 60,000		60,375	-375
b 337,650		320,905	16,745
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-375
b			16,745
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2 16,370
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes
 ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	265,725	5,349,736	0 049671
2014	266,502	5,345,760	0 049853
2013	253,297	5,132,333	0 049353
2012	287,867	5,073,027	0 056745
2011	240,657	4,949,564	0 048622

2 Total of line 1, column (d)	2	0 254244
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050849
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,322,883
5 Multiply line 4 by line 3	5	270,663
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,172
7 Add lines 5 and 6	7	272,835
8 Enter qualifying distributions from Part XII, line 4	8	246,047

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	4,345
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	4,345
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,345
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,863
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,863
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	1,482
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13		No
14	The books are in care of ► MARCUM LLP Telephone no ► (203) 781-9800			

Located at **►** 35 MASON STREET GREENWICH CT ZIP+4 **►** 06830

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐ 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
PHYLLIS FINN 6945 MOURNING DOVE WAY HOBE SOUND, FL 33455	PRESIDENT 2 00	0	0	0
DANIEL R FINN JR 6945 MOURNING DOVE WAY HOBE SOUND, FL 33455	SECRETARY 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	5,253,920
b	Average of monthly cash balances.	1b	150,022
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,403,942
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,403,942
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	81,059
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,322,883
6	Minimum investment return. Enter 5% of line 5.	6	266,144

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	266,144
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	4,345
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,345
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	261,799
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	261,799
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	261,799

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	246,047
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	246,047
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	246,047

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				261,799
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012. 39,468				
c From 2013. 3,456				
d From 2014. 9,176				
e From 2015. 3,712				
f Total of lines 3a through e.	55,812			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 246,047				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				246,047
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	15,752			15,752
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	40,060			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	40,060			
10 Analysis of line 9				
a Excess from 2012. 23,716				
b Excess from 2013. 3,456				
c Excess from 2014. 9,176				
d Excess from 2015. 3,712				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	243,780
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	267,956	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	305	
8 Gain or (loss) from sales of assets other than inventory			18	16,370	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		0		284,631	0
13 Total. Add line 12, columns (b), (d), and (e).			13		284,631

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Yes	No
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1a(1)		No
1a(2)		No

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1b(1)	No
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1b(2)	No
--------------	-----------

1b(3)	No
--------------	-----------

1b(4)		No
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1b(5)		No
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1b(6)		No
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1c		No
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[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name ARI U MAUNULA	Preparer's Signature	Date 2017-05-01	Check if self-employed ☐	PTIN P00160551
Firm's name ▶ MARCUM LLP				Firm's EIN ▶ 11-1986323
Firm's address ▶ 35 MASON STREET SUITE 1D GREENWICH, CT 06830				Phone no (203) 781-9800

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOSTON COLLEGE 140 COMMONWEALTH AVE CHESTNUT HILL, MA 02467	NONE	PUBLIC	SUPPORT OF ORGANIZATION	25,000
BOY SCOUTS OF AMERICA GREENWICH CHAPTER 63 MASON ST GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	100
BOYS & GIRLS CLUB OF MARTIN COUNTY 11500 SE LARES AVENUE HOBE SOUND, FL 33475	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
BREAST CANCER ALLIANCE 48 MAPLE AVENUE GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
CHILDREN'S DAY SCHOOL 449 PEMBERWICK ROAD GREENWICH, CT 06831	NONE	PUBLIC	SUPPORT OF ORGANIZATION	500
DIOCEASAN SERVICES APPEAL 238 JEWEL AVE BRIDGEPORT, CT 06606	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
EAGLE HILL SCHOOL 45 GLENVILLE ROAD GREENS FARMS, CT 06831	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
EAGLE HILL SCHOOL 45 GLENVILLE ROAD GREENWICH, CT 06831	NONE	PUBLIC	SUPPORT OF ORGANIZATION	10,000
FAIRFIELD UNIVERSITY 1073 N BENSON ROAD FAIRFIELD, CT 06824	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
FAIRFIELD UNIVERSITY 1073 N BENSON ROAD FAIRFIELD, CT 06824	NONE	PUBLIC	SUPPORT OF ORGANIZATION	10,000
HABITAT FOR HUMANITY CFC 1542 BARNUM AVE BRIDGEPORT, CT 06610	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
HAITIAN PROJECT PO BOX 6891 PROVIDENCE, RI 02940	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
HAITIAN PROJECT PO BOX 6891 PROVIDENCE, RI 02940	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
HIGH FIVES FOUNDATION 10775 PIONEER TRAIL TRUCKEE, CA 96161	NONE	PUBLIC	SUPPORT OF ORGANIZATION	3,000
HOBE SOUND COMMUNITY CHEST PO BOX 511 HOBE SOUND, FL 33475	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
Total ► 3a				243,780

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HOBE SOUND NATURE CENTER PO BOX 214 HOBE SOUND, FL 33475	NONE	PUBLIC	SUPPORT OF ORGANIZATION	250
HORIZON'S AT BRUNSWICK 35 BEACHSIDE AVENUE GREENS FARMS, CT 06838	NONE	PUBLIC	SUPPORT OF ORGANIZATION	3,000
HORIZON'S AT GREENS FARMS ACADEMY 35 BEACHSIDE AVENUE GREENS FARMS, CT 06838	NONE	PUBLIC	SUPPORT OF ORGANIZATION	3,000
JUNIOR LEAGUE OF GREENWICH 231 E PUTNAM AVENUE GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
JUNIOR LEAGUE OF GREENWICH 231 E PUTNAM AVENUE GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	500
KIDS IN CRISIS ONE SALEM STREET COS COB, CT 06807	NONE	PUBLIC	SUPPORT OF ORGANIZATION	25,000
KIDS IN CRISIS ONE SALEM STREET COS COB, CT 06807	NONE	PUBLIC	SUPPORT OF ORGANIZATION	100
LINCOLN PARK ZOO 2001 N CLARK ST CHICAGO, IL 60614	NONE	PUBLIC	SUPPORT OF ORGANIZATION	200
LOBLOLLY COMMUNITY FOUNDATION 1760 SE SALERNO RD STUART, FL 34997	NONE	PUBLIC	SUPPORT OF ORGANIZATION	350
LOBLOLLY COMMUNITY FOUNDATION 7407 SE HILL TERRACE HOBE SOUND, FL 33455	NONE	PUBLIC	SUPPORT OF ORGANIZATIONSUPPORT OF ORGANIZATION	29,330
MARTIN HEALTH FOUNDATION 200 SE HOSPITAL AVE STUART, FL 34995	NONE	PUBLIC	SUPPORT OF ORGANIZATION	10,000
MEALS ON WHEELS 413 N LEE STREET ALEXANDRIA, VA 22314	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
PACIFIC HOUSE 597 PACIFIC ST STAMFORD, CT 06902	NONE	PUBLIC	SUPPORT OF ORGANIZATION	2,500
PATHWAYS 585 NORTH MARY AVENUE SUNNYVALE, CA 94085	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
PROJECT LIFT 2324 LASALLE ST CHARLOTTE, NC 28216	NONE	PUBLIC	SUPPORT OF ORGANIZATION	3,000
Total ► 3a				243,780

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
RIVER HOUSE (GREENWICH ADULT DAY CARE) 125 RIVER ROAD EXTENSION COS COB, CT 06807	NONE	PUBLIC	SUPPORT OF ORGANIZATION	500
SACRED HEART CHURCH 211 W CHARLOTTE AVENUE PUNTA GORDA, FL 33950	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
SACRED HEART OF GREENWICH 1177 KING ST GREENWICH, CT 06831	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
SAINT MARY'S COLLEGE 1928 SAINT MARYS ROAD MORAGA, CA 94575	NONE	PUBLIC	SUPPORT OF ORGANIZATION	10,000
ST BENEDICTS PREP 520 DR MARTIN LUTHER KING JR BLVD NEWARK, NJ 07102	NONE	PUBLIC	SUPPORT OF ORGANIZATION	50
ST BENEDICTS PREP 520 DR MARTIN LUTHER KING JR BLVD NEWARK, NJ 07102	NONE	PUBLIC	SUPPORT OF ORGANIZATION	49,950
ST CHRISTOPHER CATHOLIC CHURCH 538 BREWER STREET EAST HARTFORD, CT 06118	NONE	PUBLIC	SUPPORT OF ORGANIZATION	5,000
THE GARRETT B SMITH FOUNDATION PO BOX 5118 GREENWICH, CT 06831	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
UNITED WAY OF GREENWICH 1 LAFAYETTE COURT GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	15,000
UNITED WAY OF GREENWICH 1 LAFAYETTE COURT GREENWICH, CT 06830	NONE	PUBLIC	SUPPORT OF ORGANIZATION	500
UNITED WAY OF MARTIN COUNTY 10 SE CENTRAL PARKWAY STE 101 STUART, FL 34994	NONE	PUBLIC	SUPPORT OF ORGANIZATION	1,000
UNITED WAY OF MARTIN COUNTY 10 SE CENTRAL PARKWAY STE 101 STUART, FL 34994	NONE	PUBLIC	SUPPORT OF ORGANIZATION	250
USSGA MEMORIAL FUND 49 KNOLLWOOD ROAD ELMSFORD, NY 10523	NONE	PUBLIC	SUPPORT OF ORGANIZATION	500
WILLIAM B NOLLMAN FUND PO BOX 1068 GREENWICH, CT 06836	NONE	PUBLIC	SUPPORT OF ORGANIZATION	200
Total ► 3a				243,780

TY 2016 Accounting Fees Schedule**Name:** THE FINN FAMILY FOUNDATION INC**EIN:** 06-1405387

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	4,535	2,268		2,267

TY 2016 Investments Corporate Bonds Schedule**Name:** THE FINN FAMILY FOUNDATION INC**EIN:** 06-1405387

Name of Bond	End of Year Book Value	End of Year Fair Market Value
100K BS COS INC BS	95,339	103,595
300K GE CAP MD TERM 5.625%	281,385	316,619
165K NBD BK SUB DEBENTURES	198,160	211,086
175K NBD BK DETROIT MICH 8.25%	229,908	223,879
200K JPMORGAN CHASE & CO 6%	199,300	200,750
300K JPMORGAN CHASE & CO 6.125%	316,629	301,500
500K JPMORGAN CHASE & CO 6.75%	538,913	538,750

TY 2016 Investments Government Obligations Schedule**Name:** THE FINN FAMILY FOUNDATION INC**EIN:** 06-1405387**US Government Securities - End
of Year Book Value:**

0

**US Government Securities - End
of Year Fair Market Value:**

0

**State & Local Government
Securities - End of Year Book
Value:**

2,644,833

**State & Local Government
Securities - End of Year Fair
Market Value:**

2,847,445

TY 2016 Investments - Other Schedule**Name:** THE FINN FAMILY FOUNDATION INC**EIN:** 06-1405387

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TRANSMONTAIGNE PARTNERS LP	AT COST	145,181	177,080
MS 108346 EXCHANGE TRADED FUNDS	AT COST	263,077	254,870

TY 2016 Other Expenses Schedule**Name:** THE FINN FAMILY FOUNDATION INC**EIN:** 06-1405387**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEE/EXECUTOR FEES	4,057	4,057		0

TY 2016 Other Income Schedule

Name: THE FINN FAMILY FOUNDATION INC

EIN: 06-1405387

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	305	305	305