Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
THE H. WINFIELD AND PHYLLIS TUCKER FAMILY FOUNDATION INC.

A Employer identification number
05-0514790

Number and street (or P O box number if mail is not delivered to street address)
P.O. BOX 2

Room/suite

B Telephone number
401-294-3100

City or town, state or province, country, and ZIP or foreign postal code
SLOCUM, RI 02877

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 742,689.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	5,743.	5,743.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<1,055.>			
	b Gross sales price for all assets on line 6a 92,848.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	22,275.	19,825.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	26,963.	25,568.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1,628.	0.		1,628.
	c Other professional fees STMT 4	2,753.	2,753.		0.
	17 Interest				
	18 Taxes STMT 5	118.	118.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	70.	0.		70.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	4,569.	2,871.		1,698.
	25 Contributions, gifts, grants paid	0.			0.
	26 **Total expenses and disbursements.** Add lines 24 and 25	4,569.	2,871.		1,698.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	22,394.			
	b **Net investment income** (if negative, enter -0-)		22,697.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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16490510 710287 0494401 2016.03030 THE H. WINFIELD AND PHYLLIS 04944011

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	3,507.	417,518.	417,518.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶	344,000.	0.	0.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	173,219.	159,153.	177,103.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	145,489.	111,938.	148,068.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	666,215.	688,609.	742,689.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	666,215.	688,609.	
	30	**Total net assets or fund balances**	666,215.	688,609.	
	31	**Total liabilities and net assets/fund balances**	666,215.	688,609.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	666,215.
2	Enter amount from Part I, line 27a	2	22,394.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	688,609.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	688,609.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	92,848.		93,903.	<1,055.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				<1,055.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 <1,055.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	0.	683,427.	.000000
2014	0.	638,353.	.000000
2013	186,363.	759,756.	.245293
2012	36,777.	741,786.	.049579
2011	20,000.	750,756.	.026640

2	**Total** of line 1, column (d)	2	.321512
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.064302
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	669,281.
5	Multiply line 4 by line 3	5	43,036.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	227.
7	Add lines 5 and 6	7	43,263.
8	Enter qualifying distributions from Part XII, line 4	8	1,698.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	454.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	454.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	454.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a 400.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	400.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	54.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ RI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LINDA TUCKER Telephone no. ▶ 401-294-3100

Located at ▶ 204 EXETER RD., SLOCUM, RI ZIP+4 ▶ 02877

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EVE B. KEENAN 946F TUCKERTOWN ROAD WAKEFIELD, RI 02879	DIRECTOR, SECRETARY 0.00	0.	0.	0.
LINDA D. TUCKER 946E TUCKERTOWN ROAD WAKEFIELD, RI 02879	TREASURER, PRESIDENT, DIRECTOR 0.00	0.	0.	0.
BONNIE T. L'ETOILE 496 PINE MEADOW ROAD NORTHFIELD, MA 01360	DIRECTOR, VICE PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	377,468.
b	Average of monthly cash balances	1b	65,421.
c	Fair market value of all other assets	1c	236,584.
d	**Total** (add lines 1a, b, and c)	1d	679,473.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	679,473.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,192.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	669,281.
6	**Minimum investment return.** Enter 5% of line 5	6	33,464.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	33,464.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 454.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	454.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	33,010.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	33,010.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	33,010.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,698.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,698.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,698.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				33,010.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013 50,188.				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	50,188.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 1,698.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,698.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	31,312.			31,312.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,876.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	18,876.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013 18,876.				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NONE				
Total			▶ 3a	0.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	5,743.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	19,825.	
8 Gain or (loss) from sales of assets other than inventory			18	<1,055.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a FEDERAL REFUND - 2015					
b 990-PF					2,450.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		24,513.	2,450.

13 Total. Add line 12, columns (b), (d), and (e) 13 26,963.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
7	FEDERAL REFUND - 2015 990-PF

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Linda D. Tucker | 5/12/17 | TRUSTEE
Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PATRICIA A. THOMPSON	Patricia Thompson CPA	5/12/17		P01202653
Firm's name ▶ PICCERELLI, GILSTEIN & CO., LLP			Firm's EIN ▶ 05-0353162	
Firm's address ▶ 144 WESTMINSTER STREET PROVIDENCE, RI 02903-2282			Phone no. (401)831-0200	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY (0107) - PUBLICLY TRADED SECURITIE	P	VARIOUS	12/31/16
b	MORGAN STANLEY (0107) - PUBLICLY TRADED SECURITIE	P	VARIOUS	12/31/16
c	MORGAN STANLEY (5362) - PUBLICLY TRADED SECURITIE	P	VARIOUS	12/31/16
d	MORGAN STANLEY (5362) - PUBLICLY TRADED SECURITIE	P	VARIOUS	12/31/16
e	MORGAN STANLEY (5362) - PUBLICLY TRADED SECURITIE	P	VARIOUS	12/31/16
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	24,012.		25,903.	<1,891.>
b	35,258.		38,287.	<3,029.>
c	9,404.		9,734.	<330.>
d	36.			36.
e	24,107.		19,979.	4,128.
f	31.			31.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<1,891.>
b				<3,029.>
c				<330.>
d				36.
e				4,128.
f				31.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	<1,055.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-01-16

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY (0107)-CAP GAINS	31.	31.	0.	0.	
MORGAN STANLEY (0107)-DIVIDENDS	2,729.	0.	2,729.	2,729.	
MORGAN STANLEY (0107)-INTEREST	8.	0.	8.	8.	
MORGAN STANLEY (2366)	387.	0.	387.	387.	
MORGAN STANLEY (5362)-DIVIDENDS	2,618.	0.	2,618.	2,618.	
MORGAN STANLEY (5362)-INTEREST	1.	0.	1.	1.	
TO PART I, LINE 4	5,774.	31.	5,743.	5,743.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
LOAN INTEREST	19,825.	19,825.	
FEDERAL REFUND - 2015 990-PF	2,450.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	22,275.	19,825.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,628.	0.		1,628.
TO FORM 990-PF, PG 1, LN 16B	1,628.	0.		1,628.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	2,753.	2,753.		0.
TO FORM 990-PF, PG 1, LN 16C	2,753.	2,753.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	118.	118.		0.
TO FORM 990-PF, PG 1, LN 18	118.	118.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RHODE ISLAND FEES	70.	0.		70.
TO FORM 990-PF, PG 1, LN 23	70.	0.		70.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY (5362)	159,327.	177,277.
NONDIVIDEND DISTRIBUTIONS	<174.>	<174.>
TOTAL TO FORM 990-PF, PART II, LINE 10B	159,153.	177,103.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY (0107)	COST	111,938.	148,068.
TOTAL TO FORM 990-PF, PART II, LINE 13		111,938.	148,068.

Account Detail

Portfolio Management Active Assets Account
422-090107-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Investment Objectives †: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	$30,249.14	—	$3.00	0.010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	20.43%	$30,249.14	$3.00

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
DEUTSCHE X-TRACKERS MSCI JAP (DBJP)	12/8/16	130.000	$37.635	$37.220	$4,892.60	$4,838.60	$(54.00) ST	$54.00	1.11
GIMA Status: AL; Next Dividend Payable 06/2017; Asset Class: Equities									
FIRST TRUST NORTH AMERICAN E (EMLP)	10/6/14	259.000	26 961	25.240	6,982.98	6,537.16	(445.82) LT	236 00	3.61
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Alt									
ISHARES EDGE MSCI MIN VOL EAFE (EFAV)	12/7/16	201.000	62.371	61.220	12,536.53	12,305.22	(231.31) ST		
	12/8/16	51.000	62.070	61.220	3,165.56	3,122.22	(43.34) ST		
	Total	252.000			15,702.09	15,427.44	(274.65) ST	608.00	3.94
GIMA Status: AL; Next Dividend Payable 06/2017; Asset Class: Equities									

003123 MSGDT042 021974

Account Detail

Portfolio Management Active Assets Account
422-090107-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES MSCI EMERGING MKTS ETF (EEM)	10/6/14	260.000	41.997	35.010	10,919.17	9,102.60	(1,816.57) LT	172.00	1.88
GIMA Status: AL; Next Dividend Payable 06/2017; Asset Class: Equities									
ISHARES RUSSELL 1000 GRW ETF (IWF)	10/6/14	254.000	91.107	104.900	23,141.10	26,644.60	3,503 50 LT	382.00	1.43
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES RUSSELL 1000 VALUE ETF (IWD)	10/6/14	170.000	99.666	112.030	16,943.28	19,045.10	2,101.82 LT	428.00	2 24
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES RUSSELL 2000 GRWTH ETF (IWO)	10/6/14	32.000	128.699	153.940	4,118.36	4,926.08	807.72 LT	48.00	0.97
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES RUSSELL 2000 VALUE ETF (IWN)	10/6/14	45.000	93.300	118 940	4,198.51	5,352.30	1,153.79 LT	93.00	1.73
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES RUSSELL MIDCAP G ETF (IWP)	10/6/14	47.000	87.923	97.390	4,132.36	4,577.33	444 97 LT	53.00	1.15
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
ISHARES RUSSELL MIDCAP V ETF (IWS)	10/6/14	60.000	69.583	80.430	4,175.01	4,825.80	650.79 LT	101.00	2.09
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									
WISDOMTREE EUROPE HEDGED EQUIT (HEDJ)	10/6/14	201.000	56.510	57.400	11,358.51	11,537.40	178.89 LT	316.00	2.73
GIMA Status: AL; Next Dividend Payable 03/2017; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	76.19%	$106,563.97	$112,814.41	$6,579.09 LT (328.65) ST	$2,491.00	2.21%

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MATTHEWS ASIAN JAPAN INV (MJFOX)	6/7/16	259.842	$20.220	$18.830	$5,254.01	$4,892.82	$(361.19) ST		
	Purchases	259.842			5,254.01	4,892.82	(361.19) ST		
Short Term Reinvestments		5.943			120.06	111.91	(8.15) ST H		

Account Detail

Portfolio Management Active Assets Account
422-090107-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	265.785			5,374.07	5,004.73	(369.34) ST	44.00	0.87
Total Purchases vs Market Value					5,254.01	5,004.73			
Net Value Increase/(Decrease)						(249.28)			

GIMA Status: FL: Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest; Basis Adjustment Due to Wash Sale: $7.91; Asset Class: Equities

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	3.38%	$5,374.07	$5,004.73	$(369.34) ST	$44.00	0.88%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$111,938.04	$148,068.28	$6,579.09 LT $(697.99) ST	$2,538.00 $0.00	1.71%
TOTAL VALUE (includes accrued interest)	100.00%		$148,068.28			

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$30,249.14	—	—	—	—	—	—
ETFs & CEFs	—	$106,277.25	—	$6,537.16	—	—	—
Mutual Funds	—	5,004.73	—	—	—	—	—
TOTAL ALLOCATION OF ASSETS	$30,249.14	$111,281.98	—	$6,537.16	—	—	—

Account Detail

Select UMA Active Assets Account
422-095362-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Investment Objectives†: Capital Appreciation

Investment Advisory Account
Manager: ClearBridge - Multi Cap Growth

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	$1,879.56	—	—	0 010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	1.05%	$1,879.56	$0.00

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALLERGAN PLC SHS (AGN)	10/7/14	22.000	$241.768	$210.010	$5,318.90	$4,620.22	$(698 68) LT		
	10/21/15	4 000	256 903	210.010	1,027.61	840.04	(187 57) LT		
	5/4/16	11.000	213.873	210.010	2,352.60	2,310.11	(42 49) ST		
	12/8/16	5.000	189.124	210.010	945.62	1,050.05	104.43 ST		
	Total	42.000			9,644.73	8,820.42	(886.25) LT 61.94 ST	118.00	1.33
Asset Class: Equities									
AMC NETWORKS INC CL A (AMCX)	10/7/14	26.000	56 081	52.340	1,458.11	1,360.84	(97.27) LT	—	—

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Account Detail

Select UMA Active Assets Account
422-095362-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities									
ANADARKO PETE (APC)	8/24/15	41.000	66.256	69 730	2,716.50	2,858 93	142.43 LT		
	11/10/15	113.000	64.999	69 730	7,344.86	7,879.49	534.63 LT		
	1/12/16	44.000	37.048	69.730	1,630 09	3,068 12	1,438 03 ST		
	Total	198.000			11,691 45	13,806.54	677.06 LT 1,438.03 ST	40.00	0.28
Next Dividend Payable 03/2017; Asset Class: Equities									
AUTODESK INC DELAWARE (ADSK)	11/10/15	80.000	62.838	74.010	5,027.02	5,920.80	893.78 LT	—	—
Asset Class: Equities									
BIOGEN INC COM (BIIB)	11/10/15	26 000	295.983	283.580	7,695.56	7,373.08	(322.48) LT		
	2/3/16	1.000	267.310	283.580	267 31	283.58	16.27 ST		
	12/8/16	1.000	283 600	283.580	283 60	283.58	(0 02) ST		
	Total	28.000			8,246.47	7,940.24	(322.48) LT 16.25 ST	—	—
Asset Class: Equities									
BROADCOM LTD SHS (AVGO)	10/7/14	36.000	88.004	176.770	3,168.16	6,363.72	3,195.56 LT R	147.00	2.30
Next Dividend Payable 03/2017; Asset Class: Equities									
CITRIX SYSTEMS INC (CTXS)	10/7/14	54.000	67.403	89.310	3,639.76	4,822.74	1,182.98 LT	—	—
Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	10/7/14	202.000	52.647	69.050	10,634.67	13,948.10	3,313.43 LT	222.00	1.59
Next Dividend Payable 01/25/17; Asset Class: Equities									
CREE RESEARCH INC (CREE)	11/10/15	82.000	25.345	26.390	2,078.31	2,163.98	85.67 LT	—	—
Asset Class: Equities									
DISCOVERY COMMUNICATIONS SER A (DISCA)	5/12/15	62.000	32.080	27.410	1,988.97	1,699 42	(289.55) LT		
	9/29/15	29.000	26.781	27.410	776.64	794.89	18.25 LT		
	Total	91.000			2,765.61	2,494.31	(271.30) LT	—	—
Asset Class: Equities									
DOLBY CLA A COM STK (DLB)	11/10/15	59.000	34.965	45.190	2,062.96	2,666.21	603.25 LT	33.00	1.23
Next Dividend Payable 02/2017; Asset Class: Equities									
FLUOR CORP NEW (FLR)	11/10/15	128.000	48 328	52.520	6,185.96	6,722.56	536 60 LT	108 00	1.60
Next Dividend Payable 01/04/17; Asset Class: Equities									
FREEPORT-MCMORAN INC (FCX)	3/4/15	16.000	20.624	13.190	329.98	211.04	(118.94) LT		
	9/22/15	287.000	10.439	13.190	2,996.02	3,785.53	789.51 LT		
	11/10/15	97.000	9.988	13.190	968.82	1,279.43	310.61 LT		
	9/12/16	82.000	10.836	13 190	888.55	1,081.58	193.03 ST		

Account Detail

Select UMA Active Assets Account
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H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	482.000			5,183.37	6,357.58	981.18 LT 193.03 ST	—	—
Asset Class: Equities									
IMMUNOGEN INC (IMGN)	10/7/14	109.000	9.721	2.040	1,059.57	222 36	(837.21) LT		
	11/10/15	11.000	13.020	2.040	143.22	22.44	(120.78) LT		
	10/28/16	94.000	1 976	2 040	185 74	191 76	6 02 ST		
	Total	214.000			1,388.53	436.56	(957.99) LT 6.02 ST	—	—
Asset Class: Equities									
IONIS PHARMACEUTICALS INC (IONS)	10/7/14	48.000	37.665	47.830	1,807.91	2,295.84	487.93 LT		
	2/11/16	13.000	33.423	47.830	434.50	621.79	187.29 ST		
	2/25/16	2.000	33.610	47.830	67.22	95.66	28.44 ST		
	Total	63.000			2,309.63	3,013.29	487.93 LT 215.73 ST	—	—
Asset Class: Equities									
JOHNSON CTLS INTL PLC (JCI) *Next Dividend Payable 01/06/17; Asset Class: Equities*	11/10/15	146.000	38.178	41.190	5,573.99	6,013.74	439 75 LT	146.00	2.42
L-3 COMMUNICATIONS HOLDING INC (LLL) *Next Dividend Payable 03/2017; Asset Class: Equities*	10/7/14	45.000	112.008	152.110	5,040.34	6,844.95	1,804.61 LT	126.00	1.84
LIBERTY BROADBAND CORP S-A (LBRDA) *Asset Class: Equities*	10/7/14	7.000	45.827	72.460	320.79	507.22	186.43 LT	—	—
LIBERTY BROADBAND CORP S-C (LBRDK) *Asset Class: Equities*	10/7/14	15.000	46.596	74 070	698 94	1,111.05	412.11 LT	—	—
LIBERTY INTERACTIVE CORP (LVNTA) *Asset Class: Equities*	10/7/14	16 000	28.303	36.870	452.84	589.92	137.08 LT	—	—
LIBERTY INTERACTIVE CORP QVC A (QVCA) *Asset Class: Equities*	10/7/14	151.000	25.370	19.980	3,830.86	3,016.98	(813.88) LT	—	—
LIBERTY MEDIA C SER A SIRIUSXM (LSXMA) *Asset Class: Equities*	10/7/14	30.000	27.243	34.520	817.30	1,035.60	218.30 LT	—	—
LIBERTY MEDIA C SER C SIRIUSXM (LSXMK) *Asset Class: Equities*	10/7/14	62.000	27 027	33.920	1,675 66	2,103.04	427.38 LT	—	—
LIBERTY MEDIA CORP S-A BRAVES (BATRA) *Asset Class: Equities*	10/7/14	3.000	24.547	20.490	73.64	61.47	(12.17) LT	—	—
LIBERTY MEDIA CORP S-C BRAVES (BATRK) *Asset Class: Equities*	10/7/14	6.000	19.952	20.590	119.71	123.54	3.83 LT	—	—

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Account Detail

Select UMA Active Assets Account
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H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
LIBERTY MEDIA CORP SER A (LMCA)	10/7/14	7.000	20.301	31.350	142.11	219.45	77.34 LT	—	—
Asset Class: Equities									
LIBERTY MEDIA CORP SER C (LMCK)	10/7/14	15.000	20.081	31.330	301.21	469.95	168.74 LT	—	—
Asset Class: Equities									
LIONS GATE ENTMNT CORP CL B (LGF'B)	12/12/16	16.000	—	24.540	Pending Corp. Action	392.64	N/A	—	—
Asset Class: Equities									
MEDTRONIC PLC SHS (MDT)	11/10/15	29.000	76.547	71.230	2,219.87	2,065.67	(154.20) LT	50.00	2.42
Next Dividend Payable 01/13/17; Asset Class: Equities									
NATIONAL OILWELL VARCO INC (NOV)	11/10/15	107.000	39.040	37.440	4,177.28	4,006.08	(171.20) LT	21.00	0.52
Next Dividend Payable 03/2017; Asset Class: Equities									
NOW INC (DNOW)	11/10/15	103.000	18.160	20.470	1,870.48	2,108 41	237.93 LT	—	—
Asset Class: Equities									
NUANCE COMMUNICATIONS INC (NUAN)	10/7/14	86.000	15.025	14.900	1,292.18	1,281.40	(10.78) LT	—	—
Asset Class: Equities									
NUCOR CORPORATION (NUE)	11/10/15	144 000	41.520	59.520	5,978.88	8,570.88	2,592.00 LT	217.00	2.53
Next Dividend Payable 02/10/17; Asset Class: Equities									
PENTAIR PLC (PNR)	11/10/15	55.000	56.643	56.070	3,115.39	3,083.85	(31.54) LT	76.00	2.46
Next Dividend Payable 02/2017; Asset Class: Equities									
SEAGATE TECHNOLOGY PLC (STX)	11/10/15	177.000	36.960	38.170	6,541.92	6,756.09	214.17 LT	446.00	6.60
Next Dividend Payable 01/04/17; Asset Class: Equities									
TE CONNECTIVITY LTD NEW (TEL)	10/7/14	100.000	56.198	69.280	5,619.81	6,928.00	1,308.19 LT	148 00	2.13
Next Dividend Payable 03/2017; Asset Class: Equities									
TWITTER INC (TWTR)	9/28/15	44.000	25.238	16.300	1,110.46	717.20	(393.26) LT		
	11/10/15	33.000	26.700	16 300	881.10	537.90	(343.20) LT		
	12/3/15	35.000	25.719	16.300	900.17	570.50	(329.67) LT		
	12/8/15	24.000	24.739	16.300	593.74	391.20	(202.54) LT		
	1/13/16	59 000	18.786	16.300	1,108.37	961.70	(146.67) ST		
	1/14/16	42.000	18.664	16.300	783.90	684.60	(99.30) ST		
	5/4/16	96.000	14.656	16.300	1,406.97	1,564.80	157.83 ST		
	Total	333.000			6,784.71	5,427.90	(1,268.67) LT (88.14) ST	—	—
Asset Class: Equities									
UNITEDHEALTH GP INC (UNH)	10/7/14	83.000	84.627	160.040	7,024.06	13,283.32	6,259.26 LT	208.00	1.56
Next Dividend Payable 03/2017; Asset Class: Equities									

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Account Detail

Select UMA Active Assets Account
422-095362-610

H. WINFIELD & P. TUCKER FOUNDA
C/O LINDA TUCKER

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
VERTEX PHARMACEUTICALS (VRTX)	10/7/14	25.000	103.984	73.670	2,599.61	1,841.75	(757.86) LT		
	11/2/16	15 000	77 963	73.670	1,169.44	1,105 05	(64.39) ST		
	11/3/16	4.000	77.828	73.670	311.31	294.68	(16.63) ST		
	Total	44.000			4,080 36	3,241.48	(757.86) LT (81.02) ST	—	—
Asset Class: Equities									
WEATHERFORD INTERNATIONAL (WFT)	11/10/15	961.000	10.850	4.990	10,426.85	4,795.39	(5,631.46) LT		
	9/12/16	12.000	6.248	4.990	74.98	59.88	(15.10) ST		
	9/13/16	95 000	6 223	4.990	591.16	474.05	(117.11) ST		
	9/14/16	32.000	5.952	4.990	190.45	159.68	(30.77) ST		
	Total	1,100.000			11,283.44	5,489.00	(5,631.46) LT (162.98) ST	—	—
Asset Class: Equities									
WESTERN DIGITAL CORPORATION (WDC)	11/4/15	15 000	67.631	67.950	1,014.47	1,019.25	4.78 LT		
	11/5/15	16.000	67.746	67.950	1,083.94	1,087.20	3.26 LT		
	12/3/15	6.000	63 122	67.950	378.73	407 70	28 97 LT		
	12/11/15	3.000	61.797	67.950	185.39	203.85	18.46 LT		
	5/4/16	41.000	39.424	67.950	1,616.37	2,785.95	1,169.58 ST		
	5/13/16	3.000	36.740	67.950	110.22	203.85	93.63 ST		
	Total	84.000			4,389.12	5,707.80	55.47 LT 1,263.21 ST	168.00	2.94
Next Dividend Payable 01/17/17; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	98.95%	$158,909.63	$177,277.32	$15,112.98 LT $2,862.07 ST	$2,274.00	1.28%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$158,909.63	$179,156.88	$15,112.98 LT $2,862.07 ST	$2,274.00 $0.00	1.27%

1-0	Adjusted Stock Cost Basis	
1-1	Basis	158,909.63
1-2	Missing Basis	+ 417.60
1-T	Total	= 159,327.23

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