Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
VIPONT DE MERWIN RD TR 151872 FBO 312065014

A Employer identification number
04-6148959

Number and street (or P O box number if mail is not delivered to street address)
307 MAIN STREET

Room/suite

B Telephone number (see instructions)
(877) 773-1229

City or town, state or province, country, and ZIP or foreign postal code
HYANNIS, MA 02601

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☑ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,817,211

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	40,955	40,955		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-554			
	b	Gross sales price for all assets on line 6a 481,375				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	40,401	40,955		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	25,138	25,138		
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	725	725	0	0
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	2,196	435		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	52	52		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	28,111	26,350	0	0
	25	Contributions, gifts, grants paid	85,928			85,928
	26	**Total expenses and disbursements.** Add lines 24 and 25	114,039	26,350	0	85,928
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-73,638			
	b	**Net investment income** (if negative, enter -0-)		14,605		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	106,660	63,966	63,966
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		449,464	447,336
	b	Investments—corporate stock (attach schedule)		817,180	949,431
	c	Investments—corporate bonds (attach schedule)		360,970	356,478
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,658,165		0
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,764,825	1,691,580	1,817,211
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	1,764,825	1,691,580	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,764,825	1,691,580	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,764,825	1,691,580	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,764,825
2	Enter amount from Part I, line 27a	2	-73,638
3	Other increases not included in line 2 (itemize) ▶	3	393
4	Add lines 1, 2, and 3	4	1,691,580
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,691,580

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } **2** -554

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	98,688	1,877,204	0 052572
2014	92,992	1,942,029	0 047884
2013	83,323	1,883,074	0 044248
2012	89,787	1,818,796	0 049366
2011	193,297	1,812,307	0 106658

2	**Total** of line 1, column (d)	2	0 300728
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 060146
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,819,281
5	Multiply line 4 by line 3	5	109,422
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	146
7	Add lines 5 and 6	7	109,568
8	Enter qualifying distributions from Part XII, line 4	8	85,928

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	292
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	292
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	292
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 1,620		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d.	7	1,620
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,328
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 292 **Refunded** ▶	11	1,036

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No

Website address ▶

14 The books are in care of ▶TD Bank NA Telephone no ▶(877) 773-1229

Located at ▶143 NORTH MAIN STREET CONCORD NH ZIP+4 ▶03302

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. If "Yes," list the years ▶ 20____, 20____, 20____, 20____	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b	Yes	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
TD Bank NA 143 NORTH MAIN STREET CONCORD, NH 03302	Trustee 1	25,138		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,765,154
b	Average of monthly cash balances.	1b	81,832
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	1,846,986
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,846,986
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	27,705
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,819,281
6	**Minimum investment return.** Enter 5% of line 5.	6	90,964

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	90,964
2a	Tax on investment income for 2016 from Part VI, line 5. 2a 292		
b	Income tax for 2016 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	292
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	90,672
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	90,672
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	90,672

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	85,928
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	85,928
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	85,928

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					90,672
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 2014 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	0				
b From 2012.	0				
c From 2013.	0				
d From 2014.	0				
e From 2015.	5,193				
f Total of lines 3a through e.		5,193			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 85,928					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					85,928
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		4,744			4,744
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		449			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		449			
10 Analysis of line 9					
a Excess from 2012.	0				
b Excess from 2013.	0				
c Excess from 2014.	0				
d Excess from 2015.	449				
e Excess from 2016.					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HISTORIC NEW ENGLAND ATTN WENDY GUSS 151 ESSEX STREET HAVERHILL, MA 01832	NONE	501(C)(3)	DAILY OPERATIONS	85,928
Total . . . . ▶ **3a**				85,928
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	40,955	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-554	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				40,401	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 40,401

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-20	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
150 CONOCOPHILLIPS COM		2013-11-14	2016-01-21
60 CONOCOPHILLIPS COM		2015-08-26	2016-01-22
100 CONOCOPHILLIPS COM		2011-08-03	2016-01-22
170 ENTERGY CORP COMMON NEW		2013-11-14	2016-01-22
5000 U S TREASURY NOTE 4 875% 08/15/2016 DTD 08/15/2006		2015-04-09	2016-01-25
25000 U S TREASURY NOTE 4 875% 08/15/2016 DTD 08/15/2006		2015-01-23	2016-01-25
10 AT&T INC		2011-08-03	2016-02-08
10 ALTRIA GROUP INC		2013-11-14	2016-02-08
5000 ANHEUSER-BUSCH 1 375% 07/15/2017 DTD 07/16/2012		2012-08-06	2016-02-08
10 CME GROUP INC		2013-11-14	2016-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,406		9,867	-4,461
2,242		2,591	-349
3,737		5,401	-1,664
11,671		10,758	913
5,120		5,124	-4
25,600		25,617	-17
368		294	74
599		378	221
5,001		5,031	-30
893		785	108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,461
			-349
			-1,664
			913
			-4
			-17
			74
			221
			-30
			108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 F H L M C NOTES 3 75% 03/27/2019 DTD 03/27/2009		2011-12-08	2016-02-08
5000 FNMA 5 375% 06/12/2017 DTD 06/08/07		2011-08-24	2016-02-08
10 INTEL CORP COM		2011-08-03	2016-02-08
5000 ONTARIO PROVINCE OF 1 65% 09/27/2019 DTD 09/27/2012		2013-04-02	2016-02-08
5000 PROVINCE OF QUEBEC 2 750% 08/25/2021 DTD 08/25/11		2013-02-07	2016-02-08
10 ROYAL DUTCH SHELL PLC SPONS ADR A		2014-10-22	2016-02-08
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2012-05-03	2016-02-08
5000 U S TREASURY BOND 2 625% 08/15/2020 DTD 08/15/10		2011-12-08	2016-02-08
5000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2015-01-23	2016-02-08
5000 U S TREAS BONDS 1 75% 05/15/2022 DTD 05/15/2012		2012-06-26	2016-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,425		5,485	-60
5,312		5,657	-345
285		219	66
5,009		4,997	12
5,213		5,132	81
439		699	-260
5,359		5,430	-71
5,325		5,271	54
5,098		5,089	9
5,106		5,047	59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-60
			-345
			66
			12
			81
			-260
			-71
			54
			9
			59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 US TREASURY BOND 2 5% 08/15/2023 DTD 08/15/2013		2013-11-13	2016-02-08
10 VERIZON COMMUNICATIONS		2013-11-14	2016-02-08
10 VODAFONE GROUP PLC-SP ADR		2014-05-09	2016-02-08
5000 WALMART STORES INC 3 25% 10/25/2020 DTD 10/25/2010		2015-02-26	2016-02-08
10 MEDTRONIC PLC		2015-01-28	2016-02-08
10 BOEING CO		2013-11-14	2016-03-09
15000 BK OF NOVA SCOTIA 1 1% 12/13/2016 DTD 12/13/2013		2013-12-17	2016-03-15
10000 TOYOTA MOTOR CREDIT 2 00% 09/15/2016 DTD 09/08/11		2013-06-17	2016-03-15
17 788 CALIFORNIA RESOURCES CORPORATION		2015-01-01	2016-03-30
1 212 CALIFORNIA RESOURCES CORPORATION		2016-02-08	2016-03-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,346		4,923	423
504		503	1
289		379	-90
5,357		5,301	56
715		767	-52
1,226		1,345	-119
15,016		15,004	12
10,060		10,123	-63
18		25	-7
1		1	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			423
			1
			-90
			56
			-52
			-119
			12
			-63
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
66 CALIFORNIA RESOURCES CORPORATION		2016-02-08	2016-04-12
40 AT&T INC		2011-08-03	2016-04-20
20 ABBVIE INC		2015-03-24	2016-04-20
20 ALTRIA GROUP INC		2013-11-14	2016-04-20
10 APPLE COMPUTER, INC		2013-06-06	2016-04-20
10 BOEING CO		2013-11-14	2016-04-20
10 CME GROUP INC		2013-11-14	2016-04-20
10 CISCO SYSTEMS INC		2016-03-09	2016-04-20
20 COCA COLA CO		2011-08-03	2016-04-20
10 COLGATE PALMOLIVE CO		2011-04-21	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	
1,551		1,176	375
1,210		1,195	15
1,237		755	482
1,076		627	449
1,310		1,345	-35
934		785	149
286		277	9
894		683	211
706		404	302

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			375
			15
			482
			449
			-35
			149
			9
			211
			302

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 DOMINION RES INC VA NEW COM		2015-08-26	2016-04-20
20 DOW CHEMICAL CO		2013-11-14	2016-04-20
10 DUKE ENERGY CORP		2014-03-26	2016-04-20
20 EMERSON ELECTRIC CO		2013-11-14	2016-04-20
20 EVERSOURCE ENERGY		2013-11-14	2016-04-20
10 EXXON MOBIL CORP COM		2016-02-08	2016-04-20
30 INTEL CORP COM		2011-08-03	2016-04-20
10 IRON MOUNTAIN INC		2015-04-17	2016-04-20
20 JOHNSON & JOHNSON CO		2011-08-03	2016-04-20
10 KIMBERLY CLARK CORP		2013-11-14	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
718		703	15
1,043		801	242
792		697	95
1,102		1,347	-245
1,123		858	265
874		808	66
962		656	306
351		366	-15
2,278		1,261	1,017
1,366		1,050	316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15
			242
			95
			-245
			265
			66
			306
			-15
			1,017
			316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10 MCDONALDS CORP		2014-03-26	2016-04-20
20 MICROSOFT CORPORATION		2010-11-18	2016-04-20
20 OCCIDENTAL PETROLEUM CO		2015-01-01	2016-04-20
20 PPL CORP COM		2016-02-08	2016-04-20
20 PHILIP MORRIS INTL INC COM		2014-04-10	2016-04-20
10 PROCTER & GAMBLE CO		2005-12-28	2016-04-20
10 RAYTHEON CO		2013-11-14	2016-04-20
30 REYNOLDS AMERICAN INC		2016-02-08	2016-04-20
20 SOUTHERN CO		2016-02-08	2016-04-20
10 3M CO		2013-11-14	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,293		959	334
1,121		518	603
1,519		1,693	-174
750		716	34
1,974		1,696	278
820		586	234
1,267		855	412
1,481		1,359	122
1,007		971	36
1,697		1,297	400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			334
			603
			-174
			34
			278
			234
			412
			122
			36
			400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 TIME WARNER INC		2013-11-14	2016-04-20
20 VERIZON COMMUNICATIONS		2013-11-14	2016-04-20
20 VODAFONE GROUP PLC-SP ADR		2014-05-09	2016-04-20
20 WEC ENERGY GROUP INC		2016-02-08	2016-04-20
20 WELLS FARGO & CO NEW		2015-04-17	2016-04-20
20 WELLTOWER		2015-08-26	2016-04-20
20 EATON CORP PLC		2013-11-14	2016-04-20
20 SEAGATE TECHNOLOGY PLC		2013-11-14	2016-04-20
170 SEAGATE TECHNOLOGY PLC		2013-11-14	2016-04-21
285 199 INVESCO INTL GROWTH-Y		2010-11-19	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
750		659	91
1,037		1,005	32
676		758	-82
1,159		1,107	52
1,006		1,084	-78
1,400		1,285	115
1,247		1,358	-111
516		993	-477
4,304		8,443	-4,139
9,109		7,794	1,315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			32
			-82
			52
			-78
			115
			-111
			-477
			-4,139
			1,315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
215 641 FIDELITY SPARTAN HIGH INCOME		2013-08-05	2016-04-22
196 91 HARBOR INTERNATIONAL FUND		2010-11-19	2016-04-22
309 759 NEUBERGER BERMAN EQUITY SER GENESIS INSTL		2015-06-18	2016-04-22
150 SEAGATE TECHNOLOGY PLC		2013-11-14	2016-04-22
15000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2015-04-02	2016-05-25
40 BOEING CO		2013-11-14	2016-06-03
28 COMMONWEALTH BANK OF AUSTRALIA ADR		2013-11-14	2016-06-03
32 COMMONWEALTH BANK OF AUSTRALIA ADR		2013-11-14	2016-06-06
10000 WALMART STORES INC 3 25% 10/25/2020 DTD 10/25/2010		2015-02-26	2016-06-13
5000 WALMART STORES INC 3 25% 10/25/2020 DTD 10/25/2010		2015-06-19	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,783		2,008	-225
12,165		11,815	350
16,358		18,719	-2,361
3,789		7,449	-3,660
15,210		15,215	-5
5,098		5,379	-281
1,554		2,019	-465
1,784		2,307	-523
10,797		10,561	236
5,399		5,232	167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-225
			350
			-2,361
			-3,660
			-5
			-281
			-465
			-523
			236
			167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20000 JPMORGAN CHASE & CO 6% 01/15/2018 DTD 12/20/07		2013-06-17	2016-07-14
20 AT&T INC		2011-08-03	2016-08-10
10 ABBVIE INC		2015-03-24	2016-08-10
10 ALTRIA GROUP INC		2013-11-14	2016-08-10
10 CME GROUP INC		2013-11-14	2016-08-10
10 COCA COLA CO		2011-08-03	2016-08-10
10 COLGATE PALMOLIVE CO		2011-04-21	2016-08-10
10 DOMINION RES INC VA NEW COM		2015-08-26	2016-08-10
10 DOW CHEMICAL CO		2013-11-14	2016-08-10
10 DUKE ENERGY CORP		2014-03-26	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,335		21,176	159
864		588	276
666		598	68
668		378	290
1,036		785	251
436		341	95
747		404	343
748		703	45
533		401	132
836		697	139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			159
			276
			68
			290
			251
			95
			343
			45
			132
			139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 EMERSON ELECTRIC CO		2013-11-14	2016-08-10
10 EVERSOURCE ENERGY		2013-11-14	2016-08-10
90 671 FIDELITY SPARTAN HIGH INCOME		2013-07-29	2016-08-10
272 228 HARBOR INTERNATIONAL FUND		2010-11-19	2016-08-10
20 INTEL CORP COM		2011-08-03	2016-08-10
10 IRON MOUNTAIN INC		2015-04-17	2016-08-10
128 14 NEUBERGER BERMAN EQUITY SER GENESIS INSTL		2015-06-18	2016-08-10
20 PPL CORP COM		2015-08-26	2016-08-10
10 PHILIP MORRIS INTL INC COM		2014-04-10	2016-08-10
10 PROCTER & GAMBLE CO		2005-12-28	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
535		673	-138
569		429	140
780		844	-64
16,777		16,334	443
691		437	254
368		364	4
7,104		7,744	-640
719		625	94
993		848	145
862		586	276

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-138
			140
			-64
			443
			254
			4
			-640
			94
			145
			276

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 RAYTHEON CO		2013-11-14	2016-08-10
10 REYNOLDS AMERICAN INC		2015-06-15	2016-08-10
10 ROYAL DUTCH SHELL PLC SPONS ADR A		2014-10-22	2016-08-10
10 SOUTHERN CO		2015-08-26	2016-08-10
10 VERIZON COMMUNICATIONS		2013-11-14	2016-08-10
20 VODAFONE GROUP PLC-SP ADR		2014-05-09	2016-08-10
10 WELLS FARGO & CO NEW		2015-04-17	2016-08-10
10 WELLTOWER		2015-08-26	2016-08-10
10 EATON CORP PLC		2013-11-14	2016-08-10
10 MEDTRONIC PLC		2015-01-28	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,406		855	551
495		359	136
491		699	-208
529		435	94
538		503	35
615		758	-143
482		542	-60
789		642	147
665		669	-4
872		757	115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			551
			136
			-208
			94
			35
			-143
			-60
			147
			-4
			115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15000 ANHEUSER-BUSCH 1 375% 07/15/2017 DTD 07/16/2012		2015-06-19	2016-08-31
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2012-05-03	2016-08-31
10000 BANK OF MONTREAL 1 4% 09/11/2017 DTD 09/11/2012		2015-06-19	2016-09-15
20000 US TREASURY BOND 2 5% 08/15/2023 DTD 08/15/2013		2015-06-19	2016-09-15
20000 BERKSHIRE HATHAWAY 5 40% 5/15/2018 DTD 11/15/2008		2013-06-17	2016-10-24
40 TIME WARNER INC		2013-11-14	2016-10-24
5000 F H L M C NOTES 3 75% 03/27/2019 DTD 03/27/2009		2011-12-08	2016-12-14
5000 FNMA 5 375% 06/12/2017 DTD 06/08/07		2011-08-24	2016-12-14
129 183 FIDELITY SPARTAN HIGH INCOME		2013-07-29	2016-12-14
2 196 HARBOR INTERNATIONAL FUND		2010-11-19	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,030		15,031	-1
5,298		5,381	-83
10,013		10,017	-4
21,320		20,395	925
21,276		21,336	-60
3,480		2,635	845
5,258		5,405	-147
5,112		5,501	-389
1,124		1,203	-79
130		132	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-83
			-4
			925
			-60
			845
			-147
			-389
			-79
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 176 HARBOR INTERNATIONAL FUND		2010-11-19	2016-12-14
21 196 NEUBERGER BERMAN EQUITY SER GENESIS INSTL		2015-06-18	2016-12-14
250 SPDR GOLD TRUST		2016-08-10	2016-12-14
5000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2015-11-16	2016-12-14
116 988 VANGUARD SHORT-TERM FED-ADM #549		2015-04-13	2016-12-14
15000 FNMA 5 375% 06/12/2017 DTD 06/08/07		2011-08-24	2016-12-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
664		671	-7
1,285		1,281	4
27,259		31,002	-3,743
5,039		5,042	-3
1,251		1,263	-12
15,337		16,253	-916
			9,390

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			4
			-3,743
			-3
			-12
			-916

TY 2016 Accounting Fees Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	725	725		

TY 2016 Investments Corporate Bonds Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Name of Bond	End of Year Book Value	End of Year Fair Market Value
10000 ANHEUSER BUSCH 2.65%	10,324	10,058
10000 APPLE INC 3.45%	10,524	10,300
10000 BANK OF MONTREAL 1.9%	9,940	9,690
10000 BANK OF NOVA SCOTIA 2.8%	10,135	10,076
10000 CISCO SYSTEMS INC 4.950%	10,748	10,690
10000 COMCAST CORP 2.85%	9,895	9,974
10000 CONOCOPHILLIPS CO 3.350%	9,848	9,942
10000 EXXON MOBIL 2.222%	10,206	10,023
9000 GE CAP INTL FNDG 3.373%	9,038	9,149
10000 GOLDMAN SACHS 2.9%	10,182	10,138
10000 IBM CORP 3.625%	10,255	10,414
10000 JPMORGAN CHASE CO 3.9%	10,192	10,283
10000 JPMORGAN CHASE & CO 3.2%	10,253	9,788
10000 MICROSOFT CORP 2.0%	9,997	9,558
20000 ONTARIO PROVINCE OF 1.65	19,925	19,888
10000 PNC BANK NA 1.6%	9,979	9,988
10000 PEPSICO INC. 3.1%	10,632	10,273
15000 PROVINCE OF QUEBEC 2.750	15,078	15,287
10000 ROYAL BK CANADA 2.15%	10,063	10,039
10000 TOYOTA MR CR CORP 1.55%	10,010	9,879

Name of Bond	End of Year Book Value	End of Year Fair Market Value
10000 US BANCORP 1.95%	10,006	10,051
20000 WACHOVIA CORP 5.750%	21,582	20,852
10000 WELLS FARGO & CO 3.300%	9,970	9,898
3102.653 FIDELITY HIGH INCOME	28,342	27,024
6848.991 VANGUARD SHORT-TERM F	73,846	73,216

TY 2016 Investments Corporate Stock Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Name of Stock	End of Year Book Value	End of Year Fair Market Value
120 MCDONALDS CORP	11,418	14,606
70 TIME WARNER INC	4,899	6,757
320 ALTRIA GROUP INC	12,653	21,638
350 COCA COLA CO	12,524	14,511
180 COLGATE PALMOLIVE CO	7,073	11,779
110 KIMBERLY CLARK CORP	8,614	12,553
240 PHILIP MORRIS INTL INC COM	20,389	21,958
205 PROCTER & GAMBLE CO	12,130	17,236
318 REYNOLDS AMERICAN INC	12,776	17,821
180 EXXON MOBIL CORP	13,851	16,247
220 OCCIDENTAL PETROLEUM CO	17,401	15,671
120 ROYAL DUTCH SHELL PLC SPON	7,957	6,526
230 CME GROUP INC	18,929	26,531
290 WELLS FARGO & CO NEW	10,622	15,982
270 WELLTOWER	17,209	18,071
90 MEDTRONIC PLC	6,829	6,411
280 ABBVIE INC	17,016	17,534
175 JOHNSON & JOHNSON CO	12,880	20,162
250 EATON CORP PLC	16,373	16,773
70 BOEING CO	5,361	10,898
290 EMERSON ELECTRIC CO	15,423	16,168
170 RAYTHEON CO	15,118	24,140
110 3M CO	9,925	19,643
100 APPLE COMPUTER INC.	6,581	11,582
240 CISCO SYSTEMS INC	6,874	7,253
500 INTEL CORP	11,367	18,135
200 IRON MOUNTAIN INC	7,311	6,496
260 MICROSOFT CORPORATION	6,299	16,156
270 QUALCOMM INCORPORATED	15,073	17,604
500 VODAFONE GROUP PLC	16,220	12,215

Name of Stock	End of Year Book Value	End of Year Fair Market Value
270 DOW CHEMICAL CO	11,172	15,449
550 AT&T INC	16,545	23,392
340 VERIZON COMMUNICATIONS	13,385	18,149
150 DOMINION RES INC VA NEW	10,581	11,489
290 DUKE ENERGY CORP	18,229	22,510
310 EVERSOURCE ENERGY	13,930	17,121
350 PPL CORP	11,015	11,918
250 SOUTHERN CO	10,969	12,298
200 WEC ENERGY GROUP INC	10,745	11,730
2954.061 INVESCO INTL GROWTH-Y	81,489	89,803
1203.318 HARBOR INTERNATIONAL	70,174	70,286
2644.652 NEUBERGER BERMAN EQUI	152,337	150,058
330.000 SPDR GOLD TRUST	39,514	36,171

TY 2016 Investments Government Obligations Schedule

Name:	VIPONT DE MERWIN RD TR 151872 FBO 312065014
EIN:	04-6148959
US Government Securities - End of Year Book Value:	449,464
US Government Securities - End of Year Fair Market Value:	447,336
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2016 Other Expenses Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NON-ALLOCABLE EXPENSE -	52	52		0

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TY 2016 Other Increases Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Description	Amount
CASH ACCRUAL ADJUSTING ENTRY	241
BASIS ADJUSTMENT	152

TY 2016 Taxes Schedule

Name: VIPONT DE MERWIN RD TR 151872 FBO 312065014

EIN: 04-6148959

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	138	138		0
FEDERAL TAX PAYMENT - PRIOR YE	141	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,620	0		0
FOREIGN TAXES ON QUALIFIED FOR	297	297		0