

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND		A Employer identification number 04-6037391	
Number and street (or P O box number if mail is not delivered to street address) 2319 WHITNEY AVE NO 2B		B Telephone number (see instructions) (203) 230-3330	
City or town, state or province, country, and ZIP or foreign postal code HAMDEN, CT 06518		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 107,802,693		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	225,036			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	200,450	200,450		
	4 Dividends and interest from securities . . .	1,206,237	1,206,237		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	556,924			
	b Gross sales price for all assets on line 6a _____ 2,573,932				
	7 Capital gain net income (from Part IV, line 2) . . .		556,924		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,711,638	2,698,737	0	
	12 Total. Add lines 1 through 11	4,900,285	4,662,348	0	
	13 Compensation of officers, directors, trustees, etc	321,308	38,155	0	0
	14 Other employee salaries and wages	893,385	17,868	0	875,517
	15 Pension plans, employee benefits	443,376	9,990	0	489,486
	16a Legal fees (attach schedule)	2,770	0	0	2,770
	b Accounting fees (attach schedule)	113,100	56,550	0	56,550
	c Other professional fees (attach schedule)	945,247	322,503	0	622,744
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	102,765	1,015	0	1,750
	19 Depreciation (attach schedule) and depletion . . .	7,928	0	0	
	20 Occupancy	181,136	3,622	0	177,514
	21 Travel, conferences, and meetings	130,343	1,303	0	129,040
	22 Printing and publications	16,354	0	0	16,354
	23 Other expenses (attach schedule)	297,282	0	0	297,282
	24 Total operating and administrative expenses. Add lines 13 through 23	3,454,994	451,006	0	2,669,007
	25 Contributions, gifts, grants paid	2,404,826			2,404,826
	26 Total expenses and disbursements. Add lines 24 and 25	5,859,820	451,006	0	5,073,833
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-959,535			
	b Net investment income (if negative, enter -0-)		4,211,342		
c Adjusted net income (if negative, enter -0-) . . .				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	500	500	500
	2 Savings and temporary cash investments	5,919,490	3,421,674	3,421,674
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	2,894,418	3,211,461	3,211,461
	b Investments—corporate stock (attach schedule)	66,672,911	67,511,183	67,511,183
	c Investments—corporate bonds (attach schedule)	896,900	915,620	915,620
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	31,251,088	32,644,100	32,644,100
	14 Land, buildings, and equipment basis ▶ _____ 514,434 Less accumulated depreciation (attach schedule) ▶ _____ 474,727	11,500	39,707	39,707
15 Other assets (describe ▶ _____)	67,586	58,448	58,448	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	107,714,393	107,802,693	107,802,693	
Liabilities	17 Accounts payable and accrued expenses	541		
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	536,859	582,494	
	23 Total liabilities (add lines 17 through 22)	537,400	582,494	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	107,176,993	107,220,199	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	107,176,993	107,220,199	
31 Total liabilities and net assets/fund balances (see instructions) .	107,714,393	107,802,693		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	107,176,993
2 Enter amount from Part I, line 27a	2	-959,535
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,048,376
4 Add lines 1, 2, and 3	4	107,265,834
5 Decreases not included in line 2 (itemize) ▶ _____	5	45,635
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	107,220,199

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	556,924
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	5,880,372	110,243,998	0 053340
2014	5,932,267	112,861,237	0 052562
2013	6,433,662	107,797,896	0 059683
2012	5,317,184	101,858,719	0 052202
2011	6,900,859	103,131,484	0 066913
2 Total of line 1, column (d)			2 0 284700
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 056940
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 104,343,648
5 Multiply line 4 by line 3			5 5,941,327
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 42,113
7 Add lines 5 and 6			7 5,983,440
8 Enter qualifying distributions from Part XII, line 4 ,			8 5,109,968

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	84,227
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	84,227
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	84,227
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	106,175
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	50,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	156,175
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	110
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	71,838
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 71,838 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW WCGMF ORG	13	Yes	
14	The books are in care of THE ORGANIZATION Telephone no (203) 230-3330			

Located at **2319 WHITNEY AVE NO 2B HAMDEN CT** ZIP+4 **06518**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b		
Organizations relying on a current notice regarding disaster assistance check here.	☐ Yes ☒ No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CARMEN SIBERON	SR PROG OFF - CO-CRE 40 00	136,696	13,670	24,504
ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN, CT 06158				
NANCY B LEONARD	SR PROG OFF - INSPIR 40 00	136,300	13,630	24,492
ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN, CT 06158				
RAQUEL SANTIAGO-MARTINEZ	DIR - OPERATIONS & A 40 00	85,050	2,700	33,000
ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN, CT 06158				
JANEE WOODS	DIRECTOR-ORG CULTUR 40 00	86,250	3,833	23,508
ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN, CT 06158				
GAIL TORRESQUINTERO	EVENT COORDINATOR 30 00	97,483	9,748	0
ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN, CT 06158				
Total number of other employees paid over \$50,000.				3

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (*continued*)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 100 SUMMER STREET BOSTON, MA 02110	INVESTMENT ADVISORS	153,379
SOLID FIRE CONSULTING LLC 292 LEFFERTS AVENUE BROOKLYN, NY 11225	CONSULTING	88,000
T M BYXBEE COMPANY PC 2319 WHITNEY AVENUE HAMDEN, CT 06518	ACCOUNTING	81,600
KIA N LEVEY 120 TOWNE HOUSE ROAD HAMDEN, CT 06514	FELLOW - CO-CREATING EQUITY	59,391
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PROGRAMS TO BUILD ASSETS WITHIN CHILDREN'S HOMES, SCHOOLS, NEIGHBORHOODS, AND SOCIETY TO REVERSE THE EFFECTS OF STRUCTURAL RACISM AND POVERTY INVEST IN A SMALL NUMBER OF HIGHLY DISTRESSED NEIGHBORHOODS AS THE CENTER OF OUR WORK AGAINST POVERTY AND RACISM SUPPORT PEOPLE MOST AFFECTED, ESPECIALLY PARENTS, TO NAVIGATE AND CHANGE THE BARRIERS THEY SEE PROMOTE GREATER AWARENESS AND UNDERSTANDING OF THE DISPARITIES RESULTING FROM STRUCTURAL RACISM, THE EFFECTS OF POVERTY AND THE INTERSECTION OF THE TWO ENCOURAGE HEALING IN OUR SOCIETY BY SUPPORTING DIALOGUE, ACTION, POLICIES AND PRACTICES THAT ADVANCE RACIAL AND ECONOMIC EQUITY	552,580
2 COMMUNITY LEADERSHIP PROGRAMSTHE COMMUNITY LEADERSHIP PROGRAMS OFFER NONPROFIT STAFF, VOLUNTEERS, BOARD MEMBERS AND MEMBERS OF THE GREATER NEW HAVEN COMMUNITY OPPORTUNITIES TO LEARN ABOUT AND DISCUSS IMPORTANT ISSUES THROUGH MEETINGS, FULL DAY SEMINARS, AND YEAR-LONG LEADERSHIP TRAINING, PARTICIPANTS BUILD SKILLS AND DEVELOP A NETWORK OF LEADERS WITHIN THE COMMUNITY, WORKING ON CRITICAL SOCIAL ISSUES	165,138
3 NO-COST MEETING SPACE FOR CONNECTICUT NONPROFITSTHE MEMORIAL FUND PROVIDES MEETING SPACE FOR NONPROFIT ORGANIZATIONS, AT NO OR LOW COST TO THEM, IN ORDER TO BRING PEOPLE TOGETHER FOR TRAINING, CONSULTATION, PLANNING, PROGRAM OVERSIGHT, EVALUATION AND OTHER ACTIVITIES THAT IMPROVE THE STATUS OF CHILDREN AND FAMILIES IN CONNECTICUT	36,395
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	

2 _____	

All other program-related investments See instructions	
3 _____	

Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	16,525,729
b	Average of monthly cash balances.	1b	1,803,319
c	Fair market value of all other assets (see instructions).	1c	87,603,590
d	Total (add lines 1a, b, and c).	1d	105,932,638
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	105,932,638
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,588,990
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	104,343,648
6	Minimum investment return. Enter 5% of line 5.	6	5,217,182

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,217,182
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	84,227
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	84,227
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,132,955
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	5,132,955
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,132,955

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,073,833
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	36,135
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	5,109,968
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,109,968

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,132,955
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	1,824,039			
b From 2012.	326,117			
c From 2013.	1,224,949			
d From 2014.	438,285			
e From 2015.	480,304			
f Total of lines 3a through e.	4,293,694			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>5,109,968</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				5,109,968
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	22,987			22,987
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,270,707			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	1,801,052			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,469,655			
10 Analysis of line 9				
a Excess from 2012.	326,117			
b Excess from 2013.	1,224,949			
c Excess from 2014.	438,285			
d Excess from 2015.	480,304			
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
WILLIAM C GRAUSTEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
R DAVID ADDAMSEXECUTIVE DIRECTOR WI
1 HAMDEN CENTER SUITE 2B 2319
WHITNEY AVE
HAMDEN, CT 06518
(203) 230-3330

b The form in which applications should be submitted and information and materials they should include
A BRIEF LETTER SHOULD INCLUDE A PROBLEM AND OPPORTUNITY STATEMENT, THE METHODS FOR ADDRESSING THE PROBLEM, AND ITS PROPOSED SOLUTION. ENCLOSE A CURRENT COPY OF IRS 501(C)(3) DETERMINATION LETTER. AFTER THE LETTER IS REVIEWED, AN APPLICATION FORM IS PROVIDED, WHEN APPROPRIATE, FOR COMPLETION BY THE APPLICANT

c Any submission deadlines
NO ESTABLISHED DEADLINE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
THE MEMORIAL FUND WILL WORK WITH COMMUNITIES AND NONPROFIT ORGANIZATIONS THAT BENEFIT CONNECTICUT'S CHILDREN THROUGH EDUCATIONAL CHANGE IN SCHOOLS, POLICY RESEARCH AND ADVOCACY, AND INCREASED LEVELS OF COMMUNITY ENGAGEMENT AND PARENTAL INVOLVEMENT. GRANTS ARE NOT MADE TO ORGANIZATIONS THAT ARE NOT RECOGNIZED AS 501(C)(3), INDIVIDUALS, RELIGIOUS ORGANIZATIONS FOR SECTARIAN PURPOSES, CAPITAL CAMPAIGNS, POLITICAL CAUSES AND ACTIVITIES, AND SCHOLARSHIPS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				2,404,826
b <i>Approved for future payment</i> See Additional Data Table				
Total ▶ 3b				2,369,827

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

Print/Type preparer's name PAMELA J MATOCHA	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN P00572001
Firm's name ► T M BYXBEE COMPANY PC				Firm's EIN ► 06-1386456
Firm's address ► PO BOX 187169 HAMDEN, CT 06518				Phone no (203) 281-4933

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2418 SHS CDN NAT RES LTD COM NAT RES COM STK	P	2016-09-19	2016-12-27
3706 SHS CDN NAT RES LTD COM NAT RES COM STK	P	2016-09-19	2016-12-09
2120 SHS YUM CHINA HLDGS INC COM	P	2016-09-19	2016-12-27
810 SHS ADR NESTLE S A ADR REPSTG REG SH	P	2016-09-19	2016-10-11
225 SHS AIR PROD & CHEM INC COM	P	2016-09-19	2016-11-22
209 SHS ARISTA ARISTA NETWORKS INC COM	P	2016-09-19	2016-10-04
300 SHS BECTON DICKINSON & CO COM	P	2016-09-19	2016-11-22
2013 SHS CARMAX INC	P	2016-09-19	2016-12-13
100 SHS COSTCO WHOLESALE CORP NEW COM	P	2016-09-19	2016-11-22
350 SHS FEDEX CORP	P	2016-09-19	2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77,065		102,894	-25,829
122,940		157,703	-34,763
55,022		45,082	9,940
61,627		53,889	7,738
31,309		13,621	17,688
17,804		8,987	8,817
50,248		23,426	26,822
124,936		119,446	5,490
15,266		4,652	10,614
65,650		78,930	-13,280

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25,829
			-34,763
			9,940
			7,738
			17,688
			8,817
			26,822
			5,490
			10,614
			-13,280

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
325 SHS JOHNSON & JOHNSON COM USD1	P	2016-09-19	2016-11-22
627 SHS MONSANTO MONSANTO CO NEW COM	P	2016-09-19	2016-09-20
422 SHS MONSANTO MONSANTO CO NEW COM	P	2016-09-19	2016-09-19
300 SHS PACCAR, INC	P	2016-09-19	2016-11-22
400 SHS UNION UNION PAC CORP COM	P	2016-09-19	2016-11-22
986 SHS VERSUM VERSUM MATLS LLC COM	P	2016-09-19	2016-10-04
0 5 SHS VERSUM VERSUM MATLS LLC COM	P	2016-09-19	2016-10-03
350 SHS WELLS FARGO CO NEW COM STK	P	2016-10-11	2016-11-22
120000 SHS UNITED STATES TREAS NTS 875% DUE 11-15-2017	P	2016-09-19	2016-11-22
3595 29 SHS CG & U FAC LN TR II SEQUENTIAL PAY D 4 00 BD DUE 6-1-2018 REG	P	1993-11-30	2016-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,643		24,365	12,278
64,323		48,803	15,520
43,017		32,847	10,170
18,189		17,889	300
40,203		16,117	24,086
24,416		11,204	13,212
11		6	5
18,217		15,921	2,296
119,948		120,431	-483
3,595		3,494	101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,278
			15,520
			10,170
			300
			24,086
			13,212
			5
			2,296
			-483
			101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1361 22 SHS FNMA FNMA POOL #AB7989 4% 02-01-2043 BEO	P	2016-09-19	2016-09-26
1582 81 SHS FNMA FNMA POOL #AB7989 4% 02-01-2043 BEO	P	2016-09-19	2016-10-25
5160 81 SHS FNMA FNMA POOL #AB7989 4% 02-01-2043 BEO	P	2016-09-19	2016-11-25
3360 17 SHS FNMA FNMA POOL #AB7989 4% 02-01-2043 BEO	P	2016-09-19	2016-12-27
104 55 SHS FNMA FNMA POOL #AB9890 4% 07-01-2043 BEO	P	2016-09-19	2016-11-25
112 41 SHS FNMA FNMA POOL #AB9890 4% 07-01-2043 BEO	P	2016-09-19	2016-10-25
1996 7 SHS FNMA FNMA POOL #AB9890 4% 07-01-2043 BEO	P	2016-09-19	2016-12-27
127 06 SHS FNMA FNMA POOL #AB9890 4% 07-01-2043 BEO	P	2016-09-19	2016-09-26
1581 89 SHS FNMA FNMA POOL #AP4886 3 5% 09-01-2042 BEO	P	2016-09-19	2016-10-25
264 72 SHS FNMA FNMA POOL #AP4886 3 5% 09-01-2042 BEO	P	2016-09-19	2016-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,361		1,945	-584
1,583		2,261	-678
5,161		7,372	-2,211
3,360		4,800	-1,440
105		148	-43
112		159	-47
1,997		2,824	-827
127		180	-53
1,582		1,913	-331
265		320	-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-584
			-678
			-2,211
			-1,440
			-43
			-47
			-827
			-53
			-331
			-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
269 93 SHS FNMA FNMA POOL #AP4886 3 5% 09-01-2042 BEO	P	2016-09-19	2016-09-26
2390 6 SHS FNMA FNMA POOL #AP4886 3 5% 09-01-2042 BEO	P	2016-09-19	2016-11-25
2689 89 SHS FNMA FNMA POOL #AS5698 3 5% 08-01-2045 BEO	P	2016-09-19	2016-11-25
4479 56 SHS FNMA FNMA POOL #AS5698 3 5% 08-01-2045 BEO	P	2016-09-19	2016-10-25
2638 82 SHS FNMA FNMA POOL #AS5698 3 5% 08-01-2045 BEO	P	2016-09-19	2016-12-27
5354 3 SHS FNMA FNMA POOL #AS5698 3 5% 08-01-2045 BEO	P	2016-09-19	2016-09-26
85 19 SHS FNMA REMIC TR SER CL E GTD MTG THRU CTF DUE 03-18-2027 REG	P	1997-04-17	2016-11-18
68 84 SHS FNMA REMIC TR SER CL E GTD MTG THRU CTF DUE 03-18-2027 REG	P	1997-04-17	2016-10-18
164 8 SHS FNMA REMIC TR SER CL E GTD MTG THRU CTF DUE 03-18-2027 REG	P	1997-04-17	2016-12-19
18 64 SHS GNMA POOL #338250 SER 2022 7% DUE 11-15-2022 REG	P	1993-12-06	2016-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
270		326	-56
2,391		2,890	-499
2,690		2,803	-113
4,480		4,667	-187
2,639		2,749	-110
5,354		5,579	-225
85		84	1
69		68	1
165		163	2
19		19	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-56
			-499
			-113
			-187
			-110
			-225
			1
			1
			2
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 91 SHS GNMA POOL #338250 SER 2022 7% DUE 11-15-2022 REG	P	1993-12-06	2016-10-17
18 76 SHS GNMA POOL #338250 SER 2022 7% DUE 11-15-2022 REG	P	1993-12-06	2016-12-15
12 97 SHS GNMA POOL #351408 SER 2024 6 5% DUE 01-15-2024 REG	P	1994-07-08	2016-12-15
12 72 SHS GNMA POOL #351408 SER 2024 6 5% DUE 01-15-2024 REG	P	1994-07-08	2016-11-15
12 83 SHS GNMA POOL #351408 SER 2024 6 5% DUE 01-15-2024 REG	P	1994-07-08	2016-10-17
23 18 SHS GNMA POOL #354751 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-24	2016-10-17
23 59 SHS GNMA POOL #354751 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-24	2016-12-15
22 89 SHS GNMA POOL #354751 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-24	2016-11-15
16 09 SHS GNMA POOL #366719 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-22	2016-10-17
16 26 SHS GNMA POOL #366719 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-22	2016-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19		19	0
19		19	0
13		13	0
13		12	1
13		13	0
23		22	1
24		23	1
23		22	1
16		16	0
16		16	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			1
			0
			1
			1
			1
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 18 SHS GNMA POOL #366719 SER 2024 6% DUE 02-15-2024 REG	P	1997-12-22	2016-11-15
6 7 SHS GNMA POOL #368813 SER 2023 7% DUE 10-15-2023 REG	P	1994-06-19	2016-11-15
6 66 SHS GNMA POOL #368813 SER 2023 7% DUE 10-15-2023 REG	P	1994-06-19	2016-10-17
6 74 SHS GNMA POOL #368813 SER 2023 7% DUE 10-15-2023 REG	P	1994-06-19	2016-12-15
596 69 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-12-15
44 06 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-10-17
44 07 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-11-15
81 89 SHS GNMA POOL #371000 SER 2024 6% DUE 01-15-2024 REG	P	1995-10-05	2016-11-15
92 89 SHS GNMA POOL #371000 SER 2024 6% DUE 01-15-2024 REG	P	1995-10-05	2016-10-17
82 37 SHS GNMA POOL #371000 SER 2024 6% DUE 01-15-2024 REG	P	1995-10-05	2016-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16		16	0
7		7	0
7		7	0
7		7	0
597		592	5
44		44	0
44		44	0
82		78	4
93		88	5
82		78	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			5
			0
			0
			4
			5
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
730 54 SHS GNMA 06-15-2039 BEO	P	2016-09-19	2016-11-15
1095 38 SHS GNMA 06-15-2039 BEO	P	2016-09-19	2016-10-17
661 82 SHS GNMA 06-15-2039 BEO	P	2016-09-19	2016-12-15
915 22 SHS GNMA 02-15-2040 BEO	P	2016-09-19	2016-11-15
34 27 SHS GNMA 02-15-2040 BEO	P	2016-09-19	2016-10-17
32 85 SHS GNMA 02-15-2040 BEO	P	2016-09-19	2016-12-15
319 95 SHS GNMA 07-15-2041 BEO	P	2016-09-19	2016-11-15
786 8 SHS GNMA 07-15-2041 BEO	P	2016-09-19	2016-12-15
743 05 SHS GNMA 07-15-2041 BEO	P	2016-09-19	2016-10-17
1576 1 SHS GNMA POOL #772641 SER 2041 4% DUE 09-15-2041 REG	P	2016-09-19	2016-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
731		1,352	-621
1,095		2,027	-932
662		1,225	-563
915		2,060	-1,145
34		77	-43
33		74	-41
320		585	-265
787		1,438	-651
743		1,358	-615
1,576		2,526	-950

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-621
			-932
			-563
			-1,145
			-43
			-41
			-265
			-651
			-615
			-950

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
304 59 SHS GNMA POOL #772641 SER 2041 4% DUE 09-15-2041 REG	P	2016-09-19	2016-10-17
237 37 SHS GNMA POOL #772641 SER 2041 4% DUE 09-15-2041 REG	P	2016-09-19	2016-12-15
2076 34 SHS GNMA DUE 01-15-2042	P	2016-09-19	2016-11-15
89 04 SHS GNMA DUE 01-15-2042	P	2016-09-19	2016-10-17
86 15 SHS GNMA DUE 01-15-2042	P	2016-09-19	2016-12-15
654 63 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR A1 CL IIA-1 5 25 03-01-21 REG	P	2016-09-19	2016-11-25
182 43 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR A1 CL IIA-1 5 25 03-01-21 REG	P	2016-09-19	2016-10-25
153 94 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR A1 CL IIA-1 5 25 03-01-21 REG	P	2016-09-19	2016-12-27
289 8 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR A1 CL IIA-1 5 25 03-01-21 REG	P	2016-09-19	2016-09-26
1343 SHS AMERICAN EXPRESS CO	P	1993-11-16	2016-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
305		488	-183
237		380	-143
2,076		3,584	-1,508
89		154	-65
86		149	-63
655		5,592	-4,937
182		1,558	-1,376
154		1,315	-1,161
290		2,476	-2,186
85,016		27,898	57,118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-183
			-143
			-1,508
			-65
			-63
			-4,937
			-1,376
			-1,161
			-2,186
			57,118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
265 SHS JOHNSON & JOHNSON COM USD	P	1993-11-16	2016-06-21
1452 05 SHS CG & U FAC LN TR II SEQUENTIAL PAY CL D BD DUE REG	P	1993-11-30	2016-05-24
18 37 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-06-02
477 67 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-01-05
17 62 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-02-02
18 03 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-03-02
18 29 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-08-02
18 18 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-07-05
18 41 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-09-02
17 95 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,842		6,403	24,439
1,452		1,080	372
18		19	-1
478		487	-9
18		18	0
18		18	0
18		19	-1
18		19	-1
18		19	-1
18		18	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24,439
			372
			-1
			-9
			0
			0
			-1
			-1
			-1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17 84 SHS GNMA POOL SER DUE REG	P	1993-12-06	2016-04-04
579 SHS AMERICAN EXPRESS CO	P	1994-07-08	2016-05-17
12 04 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-02-02
12 48 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-09-02
12 18 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-04-04
12 11 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-03-02
12 33 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-06-02
11 97 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-01-05
12 47 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-08-02
12 25 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 4 SHS GNMA POOL SER DUE REG	P	1994-07-08	2016-07-05
209 52 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-01-05
6 33 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-02-02
6 41 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-04-04
6 53 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-07-05
6 49 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-06-02
6 61 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-09-02
6 45 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-05-03
6 37 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-03-02
6 57 SHS GNMA POOL SER DUE REG	P	1994-07-19	2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		11	1
210		196	14
6		6	0
6		6	0
7		6	1
6		6	0
7		6	1
6		6	0
6		6	0
7		6	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			14
			0
			0
			1
			0
			1
			0
			0
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
79 59 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-07-05
97 72 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-03-02
108 98 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-04-04
98 1 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-02-02
80 98 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-09-02
102 71 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-05-03
103 78 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-06-02
95 61 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-01-05
80 54 SHS GNMA POOL SER DUE REG	P	1995-10-05	2016-08-02
88 73 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
80		75	5
98		93	5
109		103	6
98		93	5
81		77	4
103		97	6
104		98	6
96		91	5
81		76	5
89		82	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5
			5
			6
			5
			4
			6
			6
			5
			5
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
172 87 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-03-18
202 94 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-07-18
101 02 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-04-18
77 54 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-08-18
92 13 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-05-18
343 78 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-06-18
85 71 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-01-18
237 59 SHS FNMA REMIC TR SER CL E GTD MTG PASS THRU CTF DUE REG	P	1997-04-17	2016-02-18
15 39 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-01-05
15 66 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
173		160	13
203		188	15
101		94	7
78		72	6
92		85	7
344		319	25
86		79	7
238		220	18
15		15	0
16		15	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13
			15
			7
			6
			7
			25
			7
			18
			0
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 54 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-02-02
15 58 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-04-04
15 49 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-03-02
15 84 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-07-05
16 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-09-02
15 75 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-06-02
15 92 SHS GNMA POOL SER DUE REG	P	1997-12-22	2016-08-02
23 97 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-01-05
25 16 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-05-03
24 58 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17		16	1
16		15	1
15		15	0
16		15	1
16		15	1
16		15	1
16		15	1
24		23	1
25		24	1
25		24	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 29 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-09-02
23 93 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-02-02
24 01 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-03-02
27 36 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-08-02
24 56 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-06-02
24 61 SHS GNMA POOL SER DUE REG	P	1997-12-24	2016-04-04
878 SHS AMERICAN EXPRESS CO	P	2001-11-09	2016-05-17
6 375 SHS PRAIRIESKY ROYALTY COM NPV	P	2003-01-22	2016-07-19
3 028 SHS PRAIRIESKY ROYALTY COM NPV	P	2003-01-23	2016-07-19
17 153 SHS PRAIRIESKY ROYALTY COM NPV	P	2003-01-29	2016-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27		26	1
24		23	1
24		23	1
27		26	1
25		24	1
25		24	1
55,580		18,238	37,342
121		21	100
58		10	48
326		56	270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			1
			1
			1
			1
			37,342
			100
			48
			270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 268 SHS PRAIRIESKY ROYALTY COM NPV	P	2003-02-05	2016-07-19
6 394 SHS PRAIRIESKY ROYALTY COM NPV	P	2003-02-06	2016-07-19
213 SHS AIR PROD & CHEM INC COM	P	2005-09-19	2016-06-21
410 SHS PROCTER & GAMBLE COM NPV	P	2007-06-26	2016-03-01
459 SHS PROCTER & GAMBLE COM NPV	P	2007-06-27	2016-04-26
299 SHS PROCTER & GAMBLE COM NPV	P	2007-06-27	2016-03-01
219 94 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-05-25
225 29 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-02-25
178 62 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-03-25
180 19 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
347		60	287
122		21	101
30,490		11,935	18,555
33,236		25,159	8,077
36,678		28,124	8,554
24,238		18,320	5,918
220		219	1
225		225	0
179		178	1
180		180	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			287
			101
			18,555
			8,077
			8,554
			5,918
			1
			0
			1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
229 85 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-07-25
174 61 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-01-25
194 71 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-08-25
197 79 SHS CMO CITIMORTGAGE ALTERNATIVE LN TR SER A CL IIA REG	P	2008-01-31	2016-04-25
518 SHS AMERICAN EXPRESS CO	P	2009-04-21	2016-05-17
323 SHS PROCTER & GAMBLE COM NPV	P	2009-04-21	2016-04-26
1143 SHS CATERPILLAR INC COM	P	2009-05-26	2016-08-30
460 SHS CATERPILLAR INC COM	P	2009-05-27	2016-08-30
910 74 SHS GNMA POOL BEO	P	2010-04-15	2016-05-03
37 98 SHS GNMA POOL BEO	P	2010-04-15	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
230		229	1
175		174	1
195		194	1
198		197	1
32,791		9,874	22,917
25,811		16,638	9,173
94,382		40,057	54,325
37,984		16,204	21,780
911		947	-36
38		39	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			1
			1
			22,917
			9,173
			54,325
			21,780
			-36
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
905 18 SHS GNMA POOL BEO	P	2010-04-15	2016-04-04
793 07 SHS GNMA POOL BEO	P	2010-04-15	2016-06-02
41 2 SHS GNMA POOL BEO	P	2010-04-15	2016-02-02
43 72 SHS GNMA POOL BEO	P	2010-04-15	2016-03-02
788 25 SHS GNMA POOL BEO	P	2010-04-15	2016-09-02
37 09 SHS GNMA POOL BEO	P	2010-04-15	2016-08-02
875 39 SHS GNMA POOL BEO	P	2010-04-15	2016-01-05
215 SHS 3M CO COM	P	2010-09-17	2016-05-17
533 SHS ADOBE SYS INC COM	P	2010-11-23	2016-08-30
60000 SHS UNITED STATES TREAS BDS DTD DUE REG	P	2011-01-10	2016-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
905		941	-36
793		825	-32
41		43	-2
44		45	-1
788		820	-32
37		39	-2
875		910	-35
36,229		18,449	17,780
54,237		15,029	39,208
81,204		57,713	23,491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			-32
			-2
			-1
			-32
			-2
			-35
			17,780
			39,208
			23,491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
806 SHS APPLE INC COM STK	P	2011-02-15	2016-07-05
86 SHS AMAZON COM INC COM	P	2011-03-02	2016-06-21
102 SHS APPLE INC COM STK	P	2011-06-01	2016-07-05
74 49 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-05-03
562 9 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-04-04
664 03 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-06-02
910 93 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-02-02
693 97 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-09-02
308 24 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-03-02
345 77 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76,474		41,328	35,146
61,544		14,732	46,812
9,678		5,084	4,594
74		79	-5
563		600	-37
664		707	-43
911		970	-59
694		739	-45
308		328	-20
346		368	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			35,146
			46,812
			4,594
			-5
			-37
			-43
			-59
			-45
			-20
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
293 04 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-07-05
791 54 SHS GNMA POOL SER DUE REG	P	2011-09-28	2016-08-02
667 94 SHS GNMA POOL BEO	P	2011-10-20	2016-01-05
1087 74 SHS GNMA POOL BEO	P	2011-10-20	2016-09-02
443 73 SHS GNMA POOL BEO	P	2011-10-20	2016-08-02
419 59 SHS GNMA POOL BEO	P	2011-10-20	2016-04-04
260 12 SHS GNMA POOL BEO	P	2011-10-20	2016-02-02
284 31 SHS GNMA POOL BEO	P	2011-10-20	2016-03-02
513 69 SHS GNMA POOL BEO	P	2011-10-20	2016-05-03
481 57 SHS GNMA POOL BEO	P	2011-10-20	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
293		312	-19
792		843	-51
668		709	-41
1,088		1,155	-67
444		471	-27
420		446	-26
260		276	-16
284		302	-18
514		546	-32
482		511	-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			-51
			-41
			-67
			-27
			-26
			-16
			-18
			-32
			-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
437 44 SHS GNMA POOL BEO	P	2011-10-20	2016-06-02
40000 SHS AVNET INC SR NT DUE	P	2011-10-20	2016-09-15
25000 SHS UNITED STATES TREAS BDS DTD DUE REG	P	2011-10-21	2016-09-16
92 41 SHS GNMA POOL DUE	P	2012-02-17	2016-07-05
81 81 SHS GNMA POOL DUE	P	2012-02-17	2016-03-02
82 64 SHS GNMA POOL DUE	P	2012-02-17	2016-09-02
88 81 SHS GNMA POOL DUE	P	2012-02-17	2016-05-03
88 36 SHS GNMA POOL DUE	P	2012-02-17	2016-04-04
3050 23 SHS GNMA POOL DUE	P	2012-02-17	2016-02-02
91 21 SHS GNMA POOL DUE	P	2012-02-17	2016-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
437		465	-28
40,000		44,760	-4,760
33,835		29,914	3,921
92		100	-8
82		88	-6
83		89	-6
89		96	-7
88		95	-7
3,050		3,286	-236
91		98	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			-4,760
			3,921
			-8
			-6
			-6
			-7
			-7
			-236
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2599 62 SHS GNMA POOL DUE	P	2012-02-17	2016-08-02
98 19 SHS GNMA POOL DUE	P	2012-02-17	2016-06-02
511 SHS FACEBOOK INC CL A CL A	P	2012-05-17	2016-04-26
21 36 SHS CONTL AIRLS PASS DUE	P	2012-08-21	2016-07-02
341 24 SHS CONTL AIRLS PASS DUE	P	2012-08-21	2016-06-16
5709 58 SHS CONTL AIRLS PASS DUE	P	2012-08-21	2016-01-02
992 SHS TEXAS INSTRUMENTS INC COM	P	2012-09-13	2016-06-21
200 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-09	2016-08-29
166 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-09	2016-08-09
302 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-09	2016-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,600		2,801	-201
98		106	-8
55,872		19,418	36,454
21		23	-2
341		373	-32
5,710		6,238	-528
61,435		28,605	32,830
5,503		6,120	-617
4,658		5,080	-422
8,476		9,241	-765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-201
			-8
			36,454
			-2
			-32
			-528
			32,830
			-617
			-422
			-765

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-09	2016-08-10
402 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-10	2016-08-04
98 SHS WELLS FARGO & CO NEW DEP SHS SER J	P	2012-10-10	2016-08-08
6121 3 SHS CMO CONTINENTAL AIRLS PASS THRU TRS SER CTF CL A BEO	P	2012-10-31	2016-05-01
40000 SHS AVNET INC SR NT DUE	P	2012-11-21	2016-09-15
6 714 SHS PRAIRIESKY ROYALTY COM NPV	P	2012-12-21	2016-07-19
50 242 SHS PRAIRIESKY ROYALTY COM NPV	P	2012-12-21	2016-07-19
50000 SHS HOME DEPOT INC DUE	P	2013-03-19	2016-03-01
948 56 SHS GNMA POOL BEO	P	2013-03-20	2016-08-02
1049 83 SHS GNMA POOL BEO	P	2013-03-20	2016-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,613		6,120	-507
11,305		12,402	-1,097
2,750		3,023	-273
6,121		7,085	-964
40,000		45,420	-5,420
128		165	-37
955		1,225	-270
50,000		56,855	-6,855
949		1,035	-86
1,050		1,145	-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-507
			-1,097
			-273
			-964
			-5,420
			-37
			-270
			-6,855
			-86
			-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1514 93 SHS GNMA POOL BEO	P	2013-03-20	2016-09-02
1000 3 SHS GNMA POOL BEO	P	2013-03-20	2016-05-03
1204 85 SHS GNMA POOL BEO	P	2013-03-20	2016-03-02
1177 81 SHS GNMA POOL BEO	P	2013-03-20	2016-04-04
1328 36 SHS GNMA POOL BEO	P	2013-03-20	2016-07-15
555 57 SHS GNMA POOL BEO	P	2013-03-20	2016-06-02
983 22 SHS GNMA POOL BEO	P	2013-03-20	2016-02-02
80000 SHS CONSTELLATION DUE	P	2013-06-07	2016-08-30
1969 61 SHS FNMA POOL AB BEO	P	2013-07-16	2016-07-05
110 29 SHS FNMA POOL AB BEO	P	2013-07-16	2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,515		1,653	-138
1,000		1,091	-91
1,205		1,314	-109
1,178		1,285	-107
1,328		1,449	-121
556		606	-50
983		1,073	-90
80,014		92,000	-11,986
1,970		2,052	-82
110		115	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-138
			-91
			-109
			-107
			-121
			-50
			-90
			-11,986
			-82
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1275 16 SHS FNMA POOL AB BEO	P	2013-07-16	2016-01-05
114 31 SHS FNMA POOL AB BEO	P	2013-07-16	2016-06-02
2326 09 SHS FNMA POOL AB BEO	P	2013-07-16	2016-04-04
108 21 SHS FNMA POOL AB BEO	P	2013-07-16	2016-02-02
119 68 SHS FNMA POOL AB BEO	P	2013-07-16	2016-03-02
113 75 SHS FNMA POOL AB BEO	P	2013-07-16	2016-05-03
125 SHS CATERPILLAR INC COM	P	2013-11-04	2016-08-30
303 SHS CATERPILLAR INC COM	P	2013-11-05	2016-08-30
75000 SHS MONDELEZ INTERNATIONAL	P	2013-12-03	2016-02-09
7490 81 SHS CONTINENTAL AIRLS PASS THRU TRS CTF CL A BEO	P	2014-01-13	2016-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,275		1,329	-54
114		119	-5
2,326		2,423	-97
108		113	-5
120		125	-5
114		119	-5
10,322		10,487	-165
25,020		25,396	-376
75,000		80,190	-5,190
7,491		7,987	-496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-54
			-5
			-97
			-5
			-5
			-5
			-165
			-376
			-5,190
			-496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
39 71 SHS CONTINENTAL AIRLS PASS THRU TRS CTF CL A BEO	P	2014-01-13	2016-09-15
40000 SHS UNITED STATES TREAS NTS DUE REG	P	2014-01-29	2016-02-16
-1916 78 SHS FNMA POOL AP BEO	P	2014-04-16	2014-08-04
-4301 8 SHS FNMA POOL AP BEO	P	2014-04-16	2014-12-02
-271 52 SHS FNMA POOL AP BEO	P	2014-04-16	2015-12-02
-1335 36 SHS FNMA POOL AP BEO	P	2014-04-16	2015-02-03
-2525 85 SHS FNMA POOL AP BEO	P	2014-04-16	2015-07-02
272 44 SHS FNMA POOL AP BEO	P	2014-04-16	2015-09-02
260 71 SHS FNMA POOL AP BEO	P	2014-04-16	2016-02-02
267 59 SHS FNMA POOL AP BEO	P	2014-04-16	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
40		42	-2
40,000		40,013	-13
			26
			59
			3
			19
			35
272		276	-4
261		264	-3
268		271	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2
			-13
			26
			59
			3
			19
			35
			-4
			-3
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
287 63 SHS FNMA POOL AP BEO	P	2014-04-16	2014-06-03
271 73 SHS FNMA POOL AP BEO	P	2014-04-16	2015-03-03
2037 56 SHS FNMA POOL AP BEO	P	2014-04-16	2016-08-02
-287 95 SHS FNMA POOL AP BEO	P	2014-04-16	2014-09-03
-275 53 SHS FNMA POOL AP BEO	P	2014-04-16	2015-08-04
287 95 SHS FNMA POOL AP BEO	P	2014-04-16	2014-09-03
267 25 SHS FNMA POOL AP BEO	P	2014-04-16	2016-05-03
-271 73 SHS FNMA POOL AP BEO	P	2014-04-16	2015-03-03
276 55 SHS FNMA POOL AP BEO	P	2014-04-16	2014-11-04
3997 38 SHS FNMA POOL AP BEO	P	2014-04-16	2016-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
288		292	-4
272		275	-3
2,038		2,066	-28
			4
			3
288		292	-4
267		271	-4
			3
277		280	-3
3,997		4,053	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4
			-3
			-28
			4
			3
			-4
			-4
			3
			-3
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
274 11 SHS FNMA POOL AP BEO	P	2014-04-16	2015-05-04
2910 33 SHS FNMA POOL AP BEO	P	2014-04-16	2015-11-03
-272 44 SHS FNMA POOL AP BEO	P	2014-04-16	2015-09-02
266 02 SHS FNMA POOL AP BEO	P	2014-04-16	2014-10-02
271 21 SHS FNMA POOL AP BEO	P	2014-04-16	2015-01-05
-270 SHS FNMA POOL AP BEO	P	2014-04-16	2015-04-02
271 52 SHS FNMA POOL AP BEO	P	2014-04-16	2015-12-02
275 53 SHS FNMA POOL AP BEO	P	2014-04-16	2015-08-04
269 14 SHS FNMA POOL AP BEO	P	2014-04-16	2016-01-05
267 99 SHS FNMA POOL AP BEO	P	2014-04-16	2016-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
274		278	-4
2,910		2,951	-41
			4
266		270	-4
271		275	-4
			4
272		275	-3
276		279	-3
269		273	-4
268		272	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-41
			4
			-4
			-4
			4
			-3
			-3
			-4
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1335 36 SHS FNMA POOL AP BEO	P	2014-04-16	2015-02-03
2525 85 SHS FNMA POOL AP BEO	P	2014-04-16	2015-07-02
-266 02 SHS FNMA POOL AP BEO	P	2014-04-16	2014-10-02
-269 09 SHS FNMA POOL AP BEO	P	2014-04-16	2015-10-02
3962 34 SHS FNMA POOL AP BEO	P	2014-04-16	2014-07-02
1916 78 SHS FNMA POOL AP BEO	P	2014-04-16	2014-08-04
-274 11 SHS FNMA POOL AP BEO	P	2014-04-16	2015-05-04
-287 63 SHS FNMA POOL AP BEO	P	2014-04-16	2014-06-03
261 04 SHS FNMA POOL AP BEO	P	2014-04-16	2016-03-02
3125 09 SHS FNMA POOL AP BEO	P	2014-04-16	2015-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,335		1,354	-19
2,526		2,561	-35
			4
			4
3,962		4,017	-55
1,917		1,943	-26
			4
			4
261		265	-4
3,125		3,168	-43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			-35
			4
			4
			-55
			-26
			4
			4
			-4
			-43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
270 SHS FNMA POOL AP BEO	P	2014-04-16	2015-04-02
269 09 SHS FNMA POOL AP BEO	P	2014-04-16	2015-10-02
-276 55 SHS FNMA POOL AP BEO	P	2014-04-16	2014-11-04
-2910 33 SHS FNMA POOL AP BEO	P	2014-04-16	2015-11-03
-271 21 SHS FNMA POOL AP BEO	P	2014-04-16	2015-01-05
-3125 09 SHS FNMA POOL AP BEO	P	2014-04-16	2015-06-02
4301 8 SHS FNMA POOL AP BEO	P	2014-04-16	2014-12-02
-3962 34 SHS FNMA POOL AP BEO	P	2014-04-16	2014-07-02
1289 52 SHS FNMA POOL AB BEO	P	2014-07-16	2016-01-05
3808 74 SHS FNMA POOL AB BEO	P	2014-07-16	2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
270		274	-4
269		273	-4
			3
			41
			4
			43
4,302		4,361	-59
			55
1,290		1,364	-74
3,809		4,029	-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4
			-4
			3
			41
			4
			43
			-59
			55
			-74
			-220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2481 18 SHS FNMA POOL AB BEO	P	2014-07-16	2016-05-03
1408 97 SHS FNMA POOL AB BEO	P	2014-07-16	2016-04-04
2451 87 SHS FNMA POOL AB BEO	P	2014-07-16	2016-03-02
1373 51 SHS FNMA POOL AB BEO	P	2014-07-16	2016-02-02
4366 07 SHS FNMA POOL AB BEO	P	2014-07-16	2016-06-02
3377 93 SHS FNMA POOL AB BEO	P	2014-07-16	2016-07-05
4067 98 SHS US AWYS PASS THRU FIXED DUE	P	2014-09-11	2016-01-20
935 15 SHS US AWYS PASS THRU FIXED DUE	P	2014-09-11	2016-07-20
72 SHS ADOBE SYS INC COM	P	2014-11-25	2016-08-30
891 79 SHS FNMA POOL AS BEO	P	2015-08-21	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,481		2,625	-144
1,409		1,490	-81
2,452		2,594	-142
1,374		1,453	-79
4,366		4,618	-252
3,378		3,573	-195
4,068		4,576	-508
935		1,052	-117
7,327		5,198	2,129
892		929	-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-144
			-81
			-142
			-79
			-252
			-195
			-508
			-117
			2,129
			-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2568 52 SHS FNMA POOL AS BEO	P	2015-08-21	2016-05-03
2522 5 SHS FNMA POOL AS BEO	P	2015-08-21	2016-07-05
2044 98 SHS FNMA POOL AS BEO	P	2015-08-21	2016-08-02
1550 17 SHS FNMA POOL AS BEO	P	2015-08-21	2016-06-02
1061 75 SHS FNMA POOL AS BEO	P	2015-08-21	2016-01-05
1333 49 SHS FNMA POOL AS BEO	P	2015-08-21	2016-03-02
3533 18 SHS FNMA POOL AS BEO	P	2015-08-21	2016-04-04
4 562 SHS PRAIRIESKY ROYALTY COM NPV	P	2015-10-15	2016-07-19
5 419 SHS PRAIRIESKY ROYALTY COM NPV	P	2015-10-16	2016-07-19
3 845 SHS PRAIRIESKY ROYALTY COM NPV	P	2015-10-19	2016-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,569		2,676	-107
2,523		2,628	-105
2,045		2,131	-86
1,550		1,615	-65
1,062		1,106	-44
1,333		1,389	-56
3,533		3,681	-148
87		92	-5
103		110	-7
73		76	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-107
			-105
			-86
			-65
			-44
			-56
			-148
			-5
			-7
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 28 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-05-03
56 72 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-06-02
47 25 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-02-02
47 65 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-03-02
43 18 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-07-05
43 31 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-08-02
43 69 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-09-02
47 8 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-04-04
79 41 SHS GNMA POOL #370975 SER 2023 6 5% DUE 12-15-2023 REG	P	1993-11-12	2016-01-05
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48		48	0
57		56	1
47		47	0
48		47	1
43		43	0
43		43	0
44		43	1
48		47	1
79		79	0
277			277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			1
			0
			1
			0
			0
			1
			1
			0
			277

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WILLIAM C GRAUSTEIN	TRUSTEE 5 00	7,231	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
BENJAMIN R SHUTE JR	TRUSTEE 3 00	9,375	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
DAVID C OXMAN	TRUSTEE 3 00	0	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
LISA GRAUSTEIN	TRUSTEE 2 00	0	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
BARBARA TINNEY	TRUSTEE 2 00	6,875	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
JESSICA SAGER	TRUSTEE 2 00	6,875	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
ANGELICA MATOS	TRUSTEE 2 00	2,500	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
LAURA A BERRY	TRUSTEE 2 00	9,750	0	0
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				
R DAVID ADDAMS	EXECUTIVE DIRECTOR 50 00	222,602	22,260	33,840
ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTICUT ASSOCIATION FOR HUMAN SERVICES 237 HAMILTON STREET SUITE 208 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	CONNECTICUT EARLY CHILDHOOD ALLIANCE CARE4KIDS PROGRAM	500
READ TO GROW INC 53 SCHOOL GROUND ROAD UNIT 3 BRANFORD, CT 06405	NONE	PUBLIC CHARITY	GENERAL SUPPORT	500
THE AMISTAD COMMITTEE INC PO BOX 2936 WESTVILLE STATION NEW HAVEN, CT 06515	NONE	PUBLIC CHARITY	COMMEMORATIVE BANQUET	550
4 CIRCLES BEYOND PO BOX 2365 WILLINGBORO, NJ 08046	NONE	PUBLIC CHARITY	4 CIRCLES BEYOND INC	1,000
CNNS DEVELOPMENT PROGRAM INC 353 MAIN STREET MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	CT CYCLING ADVANCEMENT PROGRAM	1,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONCEPTS FOR ADAPTIVE LEARNING 4 SCIENCE PARK SUITE A NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
FRIENDS CENTER FOR CHILDREN 227 EAST GRAND AVENUE NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
GATEWAY COMMUNITY COLLEGE FOUNDATION 20 CHURCH STREET NEW HAVEN, CT 06510	NONE	PUBLIC CHARITY	GATEWAY COMMUNITY COLLEGE FOUNDATION	1,000
JOY MINISTRIES 2967 W SCHOOL HOUSE LANE PHILADELPHIA, PA 19144	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
KIDS EMPOWERED BY YOUR SUPPORT INC PO BOX 532 NEW CANAAN, CT 06840	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT AERY BAPTIST CHURCH 73 FRANK STREET BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	CONTRIBUTION TO MOUNT AERY BAPTIST CHURCH MUSIC AND AUDIO MINISTRY IN HONOR OF JOHN COOPER	1,000
NEW LIFE CHRISTIAN FELLOWSHIP 28 HEDGEHOG ROAD TRUMBULL, CT 06611	NONE	PUBLIC CHARITY	DERBY CHURCH CHRISTMAS OFFERING	1,000
PLAYING FOR CHANGE FOUNDATION 1221 ELECTRIC AVENUE VENICE, CA 90291	NONE	PUBLIC CHARITY	PLAYING FOR CHANGE FOUNDATION	1,000
SOLAR YOUTH INC 53 WAYFARER STREET NEW HAVEN, CT 06515	NONE	PUBLIC CHARITY	SOLAR YOUTH	1,000
ULYSEES S GRANT SCHOLARSHIP FOUNDATION PO BOX 200082 NEW HAVEN, CT 06520	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSAL HEALTH CARE FOUNDATION OF CONNECTICUT INC 290 PRATT STREET MERIDEN, CT 06450	NONE	PUBLIC CHARITY	FIGHT FOR BETTER CAMPAIGN	1,000
URBAN LEAGUE OF SOUTHERN CONNECTICUT 458 GRAND AVENUE SUITE 101 NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	2016 DIVERSITY AWARD	1,000
LEADERSHIP EDUCATION AND ATHLETICS IN PARTNERSHIP (LEAP) 31 JEFFERSON STREET NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
STAFFORD LIBRARY ASSOCIATION 10 LEVINTHAL RUN STAFFORD SPRINGS, CT 06076	NONE	PUBLIC CHARITY	STAFFORD DISCOVERY	1,730
AID FOR ORPHANS RELIEF FOUNDATION INC 1952 WHITNEY AVENUE SUITE 3 HAMDEN, CT 06517	NONE	PUBLIC CHARITY	WEEKEND FOOD ASSISTANCE PROGRAM AT THE CHURCH STREET SCHOOL IN HAMDEN	2,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INFORM CONNECTICUT 805 BROOK STREET BUILDING 4 ROCKY HILL, CT 06067	NONE	PUBLIC CHARITY	SPONSORSHIP FOR CONNECTICUT DATA COLLABORATIVE CONFERENCE	2,000
FIRST CONGREGATIONAL CHURCH IN BLOOMFIELD 10 WINTONBURY AVENUE BLOOMFIELD, CT 06002	NONE	PUBLIC CHARITY	BLOOMFIELD DISCOVERY	2,456
LEARN 44 HATCHETTS HILL ROAD OLD LYME, CT 06371	NONE	PUBLIC CHARITY	NEW LONDON DISCOVERY	2,456
SPANISH COMMUNITY OF WALLINGFORD INC 284 WASHINGTON STREET WALLINGFORD, CT 06492	NONE	PUBLIC CHARITY	WALLINGFORD DISCOVERY	2,456
UNITED WAY OF WESTERN CONNECTICUT 85 WEST STREET DANBURY, CT 06810	NONE	PUBLIC CHARITY	STAMFORD DISCOVERY	2,456
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EAGLE ACADEMY FOUNDATION 31 W 125TH STREET 3RD FLOOR NEW YORK, NY 10027	NONE	PUBLIC CHARITY	SOARING BEYOND 5TH ANNUAL AWARDS BREAKFAST	2,500
URBAN LEAGUE OF SOUTHERN CONNECTICUT 458 GRAND AVENUE SUITE 101 NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	URBAN YOUTH EMPOWERMENT PROGRAM	2,500
URBAN LEAGUE OF SOUTHERN CONNECTICUT 458 GRAND AVENUE SUITE 101 NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	ANNUAL EQUAL OPPORTUNITY DAY AWARDS DINNER	2,500
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET NW SUITE 825 WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	ANNUAL SUPPORT	3,000
UNITED WAY OF GREATER NEW HAVEN 370 JAMES STREET 4TH FLOOR NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	BRANFORD DISCOVERY	4,230
Total 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DANBURY CHILDREN FIRST INC 83 WEST STREET DANBURY, CT 06810	NONE	PUBLIC CHARITY	GENERAL SUPPORT	4,669
UNITED WAY OF GREATER NEW HAVEN 370 JAMES STREET 4TH FLOOR NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	HAMDEN DISCOVERY	4,730
COMMUNITY MEDIATION INC 3013 DIXWELL AVE HAMDEN, CT 06518	NONE	PUBLIC CHARITY	THE 4TH ANNUAL PILLSBURY PEACEMAKER BREAKFAST	5,000
CONNECTICUT LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 555 FARMINGTON AVENUE HARTFORD, CT 06105	NONE	PUBLIC CHARITY	CHISPA PROGRAM	5,000
EDUCATION DEVELOPMENT CENTER INC 43 FOUNDRY AVENUES WALTHAM, MA 02453	NONE	PUBLIC CHARITY	THE LITERACY AND ACADEMIC SUCCESS FOR PRE-K ENGLISH LEARNERS THROUGH SCIENCE	5,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEADERSHIP GREATER HARTFORD INC 30 LAUREL STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	COMMON GROUND YOUTH LEADERSHIP DEVELOPMENT PROGRAM	5,000
POVERTY AND RACE RESEARCH ACTION COUNCIL SHEFF MOVEMENT COALITION 75 CHARTER OAK AVENUE STE 1-308 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	SHEFF MOVEMENT	5,000
PUERTO RICAN LEGAL DEFENSE AND EDUCATION FUND 99 HUDSON STREET 14TH FLOOR NEW YORK, NY 10013	NONE	PUBLIC CHARITY	CONTRIBUTION	5,000
SERC FOUNDATION 100 ROSCOMMON DRIVE SUITE 110 MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	DISMANTLING SYSTEMIC RACISM 2016 CONFERENCE ON RACE, EDUCATION, AND SUCCESS	5,000
UNIVERSITY OF HARTFORD 200 BLOOMFIELD AVE WEST HARTFORD, CT 06117	NONE	PUBLIC CHARITY	PARENT INQUIRY INITIATIVE (PARENTII) - KNOWLEDGE TRANSFER	5,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COVENTRY EARLY CHILDHOOD CENTER 1776 MAIN STREET COVENTRY, CT 06238	NONE	PUBLIC CHARITY	MANSFIELD DISCOVERY	6,730
EAST HARTFORD CHILDPLAN INC EAST HARTFORD COMMUNITY CULTURAL CENTER 50 CHAPMAN PLACE EAST HARTFORD, CT 06108	NONE	PUBLIC CHARITY	EAST HARTFORD DISCOVERY	6,730
LEARN 44 HATCHETTS HILL ROAD OLD LYME, CT 06371	NONE	PUBLIC CHARITY	GROTON DISCOVERY	6,730
MERIDEN CHILDREN FIRST INITIATIVE 165 MILLER STREET MERIDEN, CT 06450	NONE	PUBLIC CHARITY	MERIDEN DISCOVERY	6,730
UNITED WAY OF COASTAL FAIRFIELD 855 MAIN STREET 10TH FLOOR BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	NORWALK DISCOVERY	6,730
Total 				2,404,826
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF GREATER WATERBURY 100 NORTH ELM STREET 2ND FLOOR WATERBURY, CT 06702	NONE	PUBLIC CHARITY	WATERBURY DISCOVERY	6,730
UNITED WAY OF WEST CENTRAL CONNECTICUT INC 200 MAIN STREET BRISTOL, CT 06010	NONE	PUBLIC CHARITY	BRISTOL DISCOVERY	6,730
UNITED WAY OF WESTERN CONNECTICUT 85 WEST STREET DANBURY, CT 06810	NONE	PUBLIC CHARITY	DANBURY DISCOVERY	6,730
YMCA OF GREATER HARTFORD 12 N MAIN STREET WEST HARTFORD, CT 06107	NONE	PUBLIC CHARITY	WETHERSFIELD DISCOVERY	6,730
NATIONAL ASSOCIATION FOR THE ADVANCEMENT OF COLORED PEOPLE 2074 PARK STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	A PROVEN FACTOR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES, A VIABLE OPTION IN MINORITY TEACHER RECRUITMENT	7,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF WESTERN CONNECTICUT 85 WEST STREET DANBURY, CT 06810	NONE	PUBLIC CHARITY	DANBURY- EQUITY LEARNING PARTNERSHIP TRAINING ANTI-RACISM SERIES	7,431
UNIVERSITY OF MASSACHUSETTS OFFICE OF GRANT AND CONTRACT ADMINISTRATION THOMPSON HALL ROAD RM 302 200 HICKS WAY AMHERST, MA 01003	NONE	PUBLIC CHARITY	CONNECTICUT'S EARLY CHILDHOOD ADVOCACY PROCESSES, 2001- PRESENT A CASE STUDY	8,000
TEAM INC 30 ELIZABETH STREET DERBY, CT 06418	NONE	PUBLIC CHARITY	DERBY DISCOVERY	9,126
VALLEY UNITED WAY 54 GROVE STREET SHELTON, CT 06484	NONE	PUBLIC CHARITY	ANSONIA DISCOVERY	9,126
UNITED WAY OF GREATER NEW HAVEN 370 JAMES STREET 4TH FLOOR NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	NEW HAVEN- BEYOND DIVERSITY 101 TRAINING RETREAT	9,623
Total ▶ 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EASTCONN 376 HARTFORD TURNPIKE HAMPTON, CT 06247	NONE	PUBLIC CHARITY	KILLINGLY, PLAINVILLE, PUTNAM, STERLING, THOMPSON- EQUITY LEARNING PARTNERSHIP GRANT	9,755
PAUL J AICHER FOUNDATION INC 75 CHARTER OAK AVENUE SUITE 2-300 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	THE MOMENT IS NOW DEMOCRACY THAT WORKS FOR ALL 2016 NATIONAL CONVENING	9,900
A BETTER WAY FOUNDATION ABWF INC POBOX 942 HARTFORD, CT 06143	NONE	PUBLIC CHARITY	HEARING YOUTH VOICES	10,000
A BETTER WAY FOUNDATION ABWF INC POBOX 942 HARTFORD, CT 06143	NONE	PUBLIC CHARITY	RESPONSIVE GRANT - CTCORE- ORGANIZE NOW!	10,000
COMMUNITY HEALTH RESOURCES INC 995 DAY HILL ROAD WINDSOR, CT 06095	NONE	PUBLIC CHARITY	ENFIELD'S COMMUNITY EQUITY TRAINING PROJECT	10,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTICUT COUNCIL FOR PHILANTHROPY 221 MAIN STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	2016 LEADERSHIP FUND GIFT	10,000
DOMUS KIDS INC 83 LOCKWOOD AVENUE STAMFORD, CT 06902	NONE	PUBLIC CHARITY	RACE INITIATIVE	10,000
ED ADVANCE 355 GOSHEN ROAD PO BOX 909 LITCHFIELD, CT 06759	NONE	PUBLIC CHARITY	TORRINGTON- INSPIRING EQUITY LEARNING IN NORTHWEST CONNECTICUT	10,000
EDUCATORS FOR EXCELLENCE 80 PINE STREET 28TH FLOOR NEW YORK, NY 10005	NONE	PUBLIC CHARITY	EDUCATORS 4 EXCELLENCE-CONNECTICUT	10,000
MERIDEN CHILDREN FIRST INITIATIVE 165 MILLER STREET MERIDEN, CT 06450	NONE	PUBLIC CHARITY	MERIDEN- PARENT EQUITY TRAINING	10,000
Total 				2,404,826
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIDDLESEX UNITED WAY 100 RIVERVIEW CENTER SUITE 230 MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	MIDDLETOWN RACIAL EQUITY TRAINING	10,000
NAACP LEGAL DEFENSE AND EDUCATIONAL FUND 40 RECTOR STREET 5TH FLOOR NEW YORK, NY 10006	NONE	PUBLIC CHARITY	MEDIATION FOR THE MILO SHEFF V WILLIAM A O'NEILL	10,000
NATIONAL ASSOCIATION FOR THE ADVANCEMENT OF COLORED PEOPLE 545 WHALLEY AVENUE NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
NATIONAL COUNCIL ON TEACHER QUALITY 1120 G STREET NW 800 WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	TO ACHIEVE GREATER EQUITY IN PUBLIC SCHOOL CLASSROOMS	10,000
PUBLIC SQUARE PARTNERSHIP 1730 COMMERCE DRIVE SUITE C BRIDGEPORT, CT 06605	NONE	PUBLIC CHARITY	OPERATING SUPPORT	10,000
Total ▶ 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEAM INC 30 ELIZABETH STREET DERBY, CT 06418	NONE	PUBLIC CHARITY	DERBY- EQUITY LEARNING PARTNERSHIP	10,000
THAMES VALLEY COUNCIL FOR COMMUNITY ACTION INC 1 SYLVANDALE ROAD JEWETT CITY, CT 06351	NONE	PUBLIC CHARITY	GRISWOLD- FAMILIES AND COMMUNITY FIRST GRISWOLD EQUITY LEARNING PARTNERSHIP	10,000
THE BRIDGE FAMILY CENTER INC 1022 FARMINGTON AVENUE WEST HARTFORD, CT 06107	NONE	PUBLIC CHARITY	WEST HARTFORD- GREAT BY 8 EQUITY LEARNING TRAINING	10,000
UNITED WAY OF CENTRAL AND NORTHEASTERN CONNECTICUT 30 LAUREL STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	NEW BRITAIN COMMUNITY EQUITY PROJECT	10,000
VALLEY UNITED WAY 54 GROVE STREET SHELTON, CT 06484	NONE	PUBLIC CHARITY	ANSONIA EQUITY LEARNING PARTNERSHIP	10,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ZOOM FOUNDATION 1210 POST ROAD FAIRFIELD, CT 06824	NONE	PUBLIC CHARITY	LEADERSHIP DEVELOPMENT ROUNDTABLE	10,000
COVENTRY EARLY CHILDHOOD CENTER 1776 MAIN STREET COVENTRY, CT 06238	NONE	PUBLIC CHARITY	COVENTRY DISCOVERY	10,790
WINSTED AREA CHILD CARE CENTER INC 185 PROSPECT STREET PO BOX 585 WINSTED, CT 06098	NONE	PUBLIC CHARITY	WINCHESTER DISCOVERY	11,130
COMMUNITY FOUNDATION OF GREATER NEW BRITAIN 74A VINE STREET NEW BRITAIN, CT 06052	NONE	PUBLIC CHARITY	SOUTHINGTON DISCOVERY	11,730
COMMUNITY HEALTH RESOURCES INC 995 DAY HILL ROAD WINDSOR, CT 06095	NONE	PUBLIC CHARITY	ENFIELD DISCOVERY	11,730
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EASTCONN 376 HARTFORD TURNPIKE HAMPTON, CT 06247	NONE	PUBLIC CHARITY	KILLINGLY, PLAINFIELD, PUTNAM AND STERLING DISCOVERY	11,730
THAMES VALLEY COUNCIL FOR COMMUNITY ACTION INC 1 SYLVANDALE ROAD JEWETT CITY, CT 06351	NONE	PUBLIC CHARITY	GRISWOLD DISCOVERY	11,730
THE BRIDGE FAMILY CENTER INC 1022 FARMINGTON AVENUE WEST HARTFORD, CT 06107	NONE	PUBLIC CHARITY	WEST HARTFORD DISCOVERY	11,730
UNITED COMMUNITY AND FAMILY SERVICES INC 34 EAST TOWN STREET NORWICH, CT 06360	NONE	PUBLIC CHARITY	NORWICH DISCOVERY	11,730
UNITED WAY OF NAUGATUCK AND BEACON FALLS INC 284 CHURCH STREET PO BOX 209 NAUGATUCK, CT 06770	NONE	PUBLIC CHARITY	NAUGATUCK DISCOVERY	11,730
Total 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF WEST CENTRAL CONNECTICUT INC 200 MAIN STREET BRISTOL, CT 06010	NONE	PUBLIC CHARITY	PLYMOUTH DISCOVERY	11,730
YMCA OF GREATER HARTFORD 12 N MAIN STREET WEST HARTFORD, CT 06107	NONE	PUBLIC CHARITY	VERNON DISCOVERY	11,730
FIRST CONGREGATIONAL CHURCH IN BLOOMFIELD 10 WINTONBURY AVENUE BLOOMFIELD, CT 06002	NONE	PUBLIC CHARITY	WINDSOR DISCOVERY	15,000
ED ADVANCE 355 GOSHEN ROAD PO BOX 909 LITCHFIELD, CT 06759	NONE	PUBLIC CHARITY	TORRINGTON DISCOVERY	20,012
MIDDLESEX UNITED WAY 100 RIVERVIEW CENTER SUITE 230 MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	MIDDLETOWN DISCOVERY	20,012
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED COMMUNITY AND FAMILY SERVICES INC 34 EAST TOWN STREET NORWICH, CT 06360	NONE	PUBLIC CHARITY	COLCHESTER DISCOVERY	20,012
UNITED WAY OF CENTRAL AND NORTHEASTERN CONNECTICUT 30 LAUREL STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	NEW BRITAIN DISCOVERY	20,012
UNITED WAY OF COASTAL FAIRFIELD 855 MAIN STREET 10TH FLOOR BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	BRIDGEPORT DISCOVERY	20,012
UNITED WAY OF GREATER NEW HAVEN 370 JAMES STREET 4TH FLOOR NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	NEW HAVEN DISCOVERY	20,012
EMERGE CONNECTICUT 830 GRAND AVENUE NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	RESEARCH PROJECT	25,000
Total ► 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
URBAN LEAGUE OF SOUTHERN CONNECTICUT 458 GRAND AVENUE SUITE 101 NEW HAVEN, CT 06513	NONE	PUBLIC CHARITY	STATE OF URBAN CONNECTICUT REPORT	25,000
UNITED WAY OF COASTAL FAIRFIELD 855 MAIN STREET 10TH FLOOR BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	PT PARTNERS	50,000
ALL OUR KIN 414A CHAPEL ST SUITE 100 NEW HAVEN, CT 06530	NONE	PUBLIC CHARITY	BUILDING AN INCLUSIVE CHILD CARE SYSTEM LAYING THE FOUNDATION FOR ALL PROVIDERS, CHILDREN AND FAMILIES TO SUCCEED	75,000
CENTER FOR POPULAR DEMOCRACY 449 TROUTMAN STREET SUITE A BROOKLYN, NY 11237	NONE	PUBLIC CHARITY	MAKE THE ROAD CONNECTICUT	75,000
GLENDOWER GROUP INC 360 ORANGE STREET NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	HOUSING AUTHORITY OF THE CITY OF NEW HAVEN	75,000
Total 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF CENTRAL AND NORTHEASTERN CONNECTICUT 30 LAUREL STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	COALITION FOR NEW BRITAIN'S YOUTH/ PARENT LEADERS FOR EDUCATIONAL ACHIEVEMENT	75,000
BLUE HILLS CIVIC ASSOCIATION INCORPORATED 846 ASYLUM AVENUE HARTFORD, CT 06105	NONE	PUBLIC CHARITY	HARTFORD COLLABORATIVE PARENT ORGANIZING PROJECT	100,000
BUILDING ONE COMMUNITY 75 SELLECK STREET STAMFORD, CT 06902	NONE	PUBLIC CHARITY	PARENTS ORGANIZED WILL EFFECT RESULTS (POWER)	100,000
CONGREGATIONS ORGANIZED FOR A NEW CONNECTICUT INC 185 COLD SPRING STREET NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	CONGREGATIONS ORGANIZED FOR A NEW CONNECTICUT	100,000
CONNECTICUT ASSOCIATION FOR HUMAN SERVICES 237 HAMILTON STREET SUITE 208 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	INTEGRATING EARLY CARE WITH POVERTY REDUCTION AND RACE EQUITY	100,000
Total 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTICUT ASSOCIATION FOR HUMAN SERVICES 237 HAMILTON STREET SUITE 208 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	PARENT/PUPIL ADVOCACY JOURNEY VOICES 2B HEARD "YOUR FAMILY STORIES MATTER"	100,000
CONNECTICUT COALITION FOR ACHIEVEMENT NOW INC 85 WILLOW STREET NEW HAVEN, CT 06511	NONE	PUBLIC CHARITY	CONNECTICUT COALITION FOR ACHIEVEMENT NOW	100,000
CONNECTICUT VOICES FOR CHILDREN 33 WHITNEY AVE NEW HAVEN, CT 06510	NONE	PUBLIC CHARITY	IDENTIFYING BARRIERS AND PATHWAYS TO EQUITABLE OPPORTUNITY FOR CHILDREN IN CONNECTICUT	100,000
FAITHACTS FOR EDUCATION INC 285 FAIRFIELD AVENUE BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	FAITHACTS FOR EDUCATION	100,000
HARTFORD PARENT UNIVERSITY 207 MAIN STREET SUITE 200 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	HARTFORD PARENT UNIVERSITY	100,000
Total 3a				2,404,826

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTH END ACTION TEAM 654 MAIN STREET MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	THE NORTH END ACTION TEAM	100,000
SERC FOUNDATION 100 ROSCOMMON DRIVE SUITE 110 MIDDLETOWN, CT 06457	NONE	PUBLIC CHARITY	A CULTURALLY RESPONSIVE APPROACH TO CIVIC ENGAGEMENT ISOLATING RACIAL EQUITY AND POVERTY TO PROMOTE PARENTAL LEADERSHIP	125,000
CONNECTICUT ASSOCIATION FOR HUMAN SERVICES 237 HAMILTON STREET SUITE 208 HARTFORD, CT 06106	NONE	PUBLIC CHARITY	ALLIANCE CORE OPERATING GRANT	200,000
Total ▶ 3a				2,404,826

TY 2016 Accounting Fees Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDITORS	41,500	20,750	0	20,750
ACCOUNTANTS	71,600	35,800	0	35,800

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2015-01-01	110,762	73,031	200DB	7 000000000000	7,505	0	7,505	
FURNITURE	2015-01-01	188,481	178,577	200DB	7 000000000000	423	0	423	
LEASEHOLD IMPROVEMENTS	2015-01-01	215,191	215,191	200DB	7 000000000000	0	0	0	

TY 2016 Investments Corporate Bonds Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AT&T INC 2.4% DUE 03-15-2017 REG	200,491	200,491
BEAM INC 1.875% DUE 05-15-2017/05-15-2017 REG	45,064	45,064
BERKSHIRE HATHAWAY INC DEL 1.9% DUE 01-31-2017 REG	80,042	80,042
BORG WARNER AUTOMOTIVE INC SR NT 8.0% DUE 10-01-2019 BEO	90,844	90,844
CANADIAN NAT RES LTD 3.45% DUE 11-15-2021 REG	133,912	133,912
CMO CONTINENTAL AIRLS PASS THRU TRS SER 2000-1 CTF CL A-1 8.048% DUE 05-01-2	37,797	37,797
CONTINENTAL AIRLS PASS THRU TRS 6.9% DUE07-02-2019 REG SINKING FUND 01-02-20	4,587	4,587
EATON CORP 5.3% DUE 03-15-2017/03-16-2007 BEO	40,302	40,302
JPMORGAN CHASE & CO 1.8% DUE 01-25-2018 REG	170,188	170,188
KELLOGG CO 4.15% DUE 11-15-2019/11-19-2009 REG	52,704	52,704
S&P GLOBAL INC 2.5% DUE 08-15-2018 REG	40,334	40,334
US AWYS PASS THRU TRS 8.36% DUE 07-20-2020 BEO SINKING FUND SNKFNDT	19,355	19,355

TY 2016 Investments Corporate Stock Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND
EIN: 04-6037391

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NYES LEDGE CAPITAL OFFSHORE FUND	4,743,621	4,743,621
TIFF ABSOLUTE RETURN POOL II	5,728,844	5,728,844
TIFF MULTI-ASSET FUND	44,823,715	44,823,715
3M CO COM	207,140	207,140
ADOBE SYS INC COM	179,133	179,133
ADR DANONE SPONSORED ADR	174,271	174,271
ADR NESTLE S A SPONSORED ADR REPSTG REG SH	300,017	300,017
ADR NOVARTIS AG	207,885	207,885
ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104	207,556	207,556
ADR ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	338,719	338,719
AIR PRODUCTS AND CHEMICALS, INC., COMMON STOCK, \$1 PAR	251,397	251,397
ALPHABET INC CAP STK USD0.001 CL C	259,332	259,332
ALPHABET INC CAPITAL STOCK USD0.001 CL A	165,622	165,622
AMAZON COM INC COM	332,192	332,192
APPLE INC COM STK	137,363	137,363
BECTON, DICKINSON AND CO., COMMON STOCK,\$1 PAR	225,645	225,645
BORG WARNER INC COM	225,597	225,597
CANADIAN PAC RY LTD COM NPV	221,008	221,008
CELGENE CORP COM	255,576	255,576
CERNER CORP COM	172,000	172,000
CISCO SYSTEMS INC COMMON STOCK	280,502	280,502
COMCAST CORP NEW-CL A	262,942	262,942
COSTCO WHOLESALE CORP NEW COM	325,824	325,824
ECOLAB INC COM STK USD1	276,170	276,170
FACEBOOK INC CL A CL A	145,768	145,768
FEDEX CORP COM	268,314	268,314
GRAINGER, W . W . , INC., COMMON STOCK, \$1 PAR	247,811	247,811
JOHNSON & JOHNSON COM USD1	346,782	346,782
JPMORGAN CHASE & CO COM	432,572	432,572
MASTERCARD INC CL A	305,517	305,517

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MICROSOFT CORP COM	261,734	261,734
MONSANTO CO NEW COM	101,002	101,002
NETFLIX INC COM STK	156,607	156,607
NIKE INC CL B CL B	263,147	263,147
NORWEGIAN CRUISE LINE HLDGS LTD COM USD0.001	192,959	192,959
PACCAR INC., COMMON STOCK, \$12 PAR	239,625	239,625
PAYPAL HLDGS INC COM	211,599	211,599
PEPSICO INC COM	243,474	243,474
PROGRESSIVE CORP., OHIO, COMMON STOCK, \$1 PAR	287,870	287,870
RALPH LAUREN CORP CL A CL A	163,028	163,028
ROPER TECHNOLOGIES , INC	248,073	248,073
S&P GLOBAL INC COM	239,492	239,492
SCHLUMBERGER LTD COM COM	321,696	321,696
SOUTHWESTERN ENERGY CO COM	147,293	147,293
TEXAS INSTRUMENTS INC COM	361,056	361,056
TIFFANY & CO COMMON STOCK	199,382	199,382
UNION PAC CORP COM	223,327	223,327
UNITEDHEALTH GROUP INC COM	274,149	274,149
US BANCORP	315,669	315,669
WALT DISNEY CO	201,457	201,457
WELLS FARGO & CO NEW COM STK	376,952	376,952
WELLS FARGO & CO NEW DEP SHS SER J	142,801	142,801
WORKDAY INC CL A COM USD0.001	100,853	100,853
YUM BRANDS INC COM	189,103	189,103

TY 2016 Investments Government Obligations Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391**US Government Securities - End
of Year Book Value:**

3,211,461

**US Government Securities - End
of Year Fair Market Value:**

3,211,461

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2016 Investments - Other Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND
EIN: 04-6037391

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COMMONFUND CAPITAL PEP IX	FMV	226,482	226,482
COMMONFUND CAPITAL PEP VI	FMV	391,205	391,205
COMMONFUND CAPITAL PEP VII	FMV	784,922	784,922
COMMONFUND CAPITAL PEP VIII	FMV	318,138	318,138
COMMONFUND INTERNATIONAL PARTNERS V	FMV	1,243,503	1,243,503
COMMONFUND INTERNATIONAL PARTNERS VI	FMV	1,499,622	1,499,622
COMMONFUND INTERNATIONAL PARTNERS VII	FMV	330,408	330,408
COMMONFUND INTERNATIONAL PARTNERS VIII	FMV	131,844	131,844
COMMONFUND NATURAL RESOURCE PARTNERS IX	FMV	1,016,216	1,016,216
COMMONFUND NATURAL RESOURCE PARTNERS VII	FMV	964,403	964,403
COMMONFUND NATURAL RESOURCE PARTNERS VIII	FMV	333,006	333,006
COMMONFUND NATURAL RESOURCE PARTNERS X	FMV	153,476	153,476
COMMONFUND VENTURE PARTNERS IX	FMV	782,476	782,476
COMMONFUND VENTURE PARTNERS VII	FMV	594,459	594,459
COMMONFUND VENTURE PARTNERS VIII	FMV	2,813,482	2,813,482
COMMONFUND VENTURE PARTNERS X	FMV	542,994	542,994
COMMONFUND VENTURE PARTNERS XI	FMV	255,415	255,415
CROW REALTY VI-A	FMV	820,602	820,602
DOUBLE BOTTOM LINE	FMV	285,956	285,956
EMERGING MARKETS GLOBAL FUND	FMV	1,525,570	1,525,570
LL OPPORTUNISTIC MORTGAGE FUND	FMV	70,474	70,474
MONDRIAN IEF, LP	FMV	3,685,676	3,685,676
OWL VENTURES	FMV	374,851	374,851
POMONA CAPITAL VI	FMV	840,543	840,543
TIFF LIMITED PARTNERSHIP II	FMV	389	389
TIFF LIMITED PARTNERSHIP III	FMV	30,107	30,107
TIFF LIMITED PARTNERSHIP IV	FMV	146,047	146,047
TIFF PARTNERS V - US	FMV	470,859	470,859
TIFF PEP 2005	FMV	438,615	438,615
TIFF PEP 2006	FMV	447,765	447,765

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TIFF PEP 2007	FMV	1,139,945	1,139,945
TIFF PEP 2008	FMV	1,030,551	1,030,551
TIFF PEP 2009	FMV	209,507	209,507
TIFF PEP 2010	FMV	448,773	448,773
TIFF PEP 2011	FMV	349,738	349,738
TIFF PEP 2012	FMV	378,063	378,063
TIFF PEP 2013	FMV	566,065	566,065
TIFF PEP 2014	FMV	406,060	406,060
TIFF PEP 2015	FMV	151,513	151,513
TIFF R&R 2008	FMV	317,841	317,841
TIFF R&R 2009	FMV	319,243	319,243
TIFF REAL ESTATE PARTNERS I	FMV	125,639	125,639
TIFF REAL ESTATE PARTNERS II	FMV	546,817	546,817
TIFF REALTY & RESOURCES IV	FMV	341,991	341,991
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP I	FMV	68,124	68,124
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP II	FMV	252,747	252,747
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP III	FMV	419,609	419,609
TIFF ROF	FMV	682,130	682,130
TIFF SECONDARY PARTNERS	FMV	389,379	389,379
TIFF SPECIAL OPPORTUNITY FUND	FMV	358,092	358,092
TUCKERBROOK GDF FUND	FMV	678,788	678,788
AXIOM ASIA IV	FMV	107,607	107,607
GENERATION IM ASIA	FMV	1,031,382	1,031,382
CROW HOLDINGS VII-A	FMV	300,947	300,947
KLINE HILL PARTNERS	FMV	302,485	302,485
OWL VENTURES II	FMV	72,722	72,722
TIFF PEP 2016	FMV	128,837	128,837

**TY 2016 Land, Etc.
Schedule****Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
EQUIPMENT	110,762	80,536	30,226	
FURNITURE	188,481	179,000	9,481	
LEASEHOLD IMPROVEMENTS	215,191	215,191	0	

TY 2016 Legal Fees Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	2,770	0	0	2,770

TY 2016 Other Assets Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSITS	12,999	12,999	12,999
LISC LOAN RECEIVABLE	54,587	45,449	45,449

TY 2016 Other Decreases Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Amount
CHANGE IN POST-RETIREMENT BENEFIT OBLIGATION	45,635

TY 2016 Other Expenses Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL FEES	1,991	0	0	1,991
DUES, FEES, MEMBERSHIPS	50,387	0	0	50,387
TRAINING BOARD & STAFF	1,506	0	0	1,506
OFFICE SUPPLIES	12,671	0	0	12,671
OFFICE	8,750	0	0	8,750
COMPUTER MAINTENANCE & SUPPLIES	46,594	0	0	46,594
POSTAGE	4,313	0	0	4,313
CONVENING	117,165	0	0	117,165
TELECOMMUNICATIONS	4,476	0	0	4,476
LIABILITY INSURANCE	20,734	0	0	20,734

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMMUNICATIONS	13,091	0	0	13,091
TEMPORARY SERVICES	15,604	0	0	15,604

TY 2016 Other Income Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND
EIN: 04-6037391

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ALLOCATION OF UBIT FROM PARTNERSHIPS	12,901	0	
ALLOCATION OF UBIT FROM PARTNERSHIPS	-12,901	-12,901	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS V LP	296,009	296,009	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VI,L P	442,481	442,481	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VII	16,200	16,200	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VIII	-2,916	-2,916	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VI LP	99,577	99,577	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VII	85,436	85,436	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VIII	-161	-161	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS IX	-6,726	-6,726	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VII LP	104,573	104,573	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VIII	326,425	326,425	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS IX	20,611	20,611	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS X	-1,816	-1,816	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS XI	52	52	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VII	-25,714	-25,714	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VIII	-4,175	-4,175	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS IX	-58,214	-58,214	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS X	-9,241	-9,241	
PTSHP INCOME - TIFF PARTNERS II	-19,505	-19,505	

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PTSHP INCOME - TIFF PARTNERS III	-2,119	-2,119	
PTSHP INCOME - TIFF PARTNERS IV	-8,922	-8,922	
PTSHP INCOME - TIFF PARTNERS V - US	91,641	91,641	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS I	-2,606	-2,606	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS II	5,580	5,580	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS III	-66,551	-66,551	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS IV	-3,192	-3,192	
PTSHP INCOME - TIFF REAL ESTATE I	11,631	11,631	
PTSHP INCOME - TIFF REAL ESTATE II	156,295	156,295	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2005	88,554	88,554	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2006	128,011	128,011	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2007	119,216	119,216	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2008	44,529	44,529	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2009	24,237	24,237	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2010	52,055	52,055	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2011	23,087	23,087	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2012	-9,694	-9,694	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2013	-28,655	-28,655	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2014	14,334	14,334	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2015	-17,914	-17,914	

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2016	-16,502	-16,502	
PTSHP INCOME - TIFF REALTY AND RESOURCES 2008	97,552	97,552	
PTSHP INCOME - TIFF REALTY AND RESOURCES 2009	19,524	19,524	
PTSHP INCOME - TIFF SECONDARY PARTNERS II	484,920	484,920	
PTSHP INCOME - TIFF SPECIAL OPPORTUNITY FUND	-9,452	-9,452	
PTSHP INCOME - TIFF REALTY OPPORTUNITY FUND	36,255	36,255	
PTSHP INCOME - LL OPPORTUNISTIC FUND	27,848	27,848	
PTSHP INCOME - MONDRIAN IEF, LP	11,620	11,620	
PTSHP INCOME - CROW HOLDINGS, VI	62,747	62,747	
PTSHP INCOME - CROW HOLDINGS, VII	5,852	5,852	
PTSHP INCOME - TUCKERBROOK	6,528	6,528	
PTSHP INCOME - AXIOM ASIA	-11,827	-11,827	
PTSHP INCOME - POMONA	39,963	39,963	
PTSHP INCOME - NYES LEDGE	109,060	109,060	
PTSHP INCOME - EMGF - CITY OF LONDON	22,588	22,588	
PTSHP INCOME - OWL VENTURES	-12,253	-12,253	
PTSHP INCOME - OWL VENTURES II	-6,006	-6,006	
PTSHP INCOME - GENERATION IM ASIA	-7,758	-7,758	
PTSHP INCOME - DOUBLE BOTTOM LINE	-26,402	-26,402	
PTSHP INCOME - KLINE HILL	-5,933	-5,933	

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	901	901	

TY 2016 Other Increases Schedule

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Amount
CHANGE IN UNREALIZED APPRECIATION	1,048,376

TY 2016 Other Liabilities Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Description	Beginning of Year - Book Value	End of Year - Book Value
POST-RETIREMENT BENEFIT OBLIGATION	536,859	582,494

TY 2016 Other Professional Fees Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISOR	153,379	153,379	0	0
INVESTMENT CUSTODIAN	7,958	7,958	0	0
INVESTMENT MANAGER	95,017	95,017	0	0
OTHER PROFESSIONALS	6,000	0	0	6,000
PROGRAM CONSULTANTS	382,393	0	0	382,393
INVESTMENT MANAGEMENT FEES - PASS-THROUGH	66,149	66,149	0	0
COMMUNITY LIAISONS	15,902	0	0	15,902
CAPACITY BUILDING	131,967	0	0	131,967
TECHNICAL ASSISTANCE	21,033	0	0	21,033
WEBSITE DEVELOPMENT	1,043	0	0	1,043
DONALD DAVIS WORKSHOP	49,742	0	0	49,742
CLP CONSULTANTS	14,664	0	0	14,664

TY 2016 Taxes Schedule**Name:** WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND**EIN:** 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN	1,015	1,015	0	0
NYS CHARITABLE FILING FEE	1,500	0	0	1,500
OTHER	250	0	0	250
EXCISE TAX	100,000	0	0	0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2016

Name of the organization WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND	Employer identification number 04-6037391
---	---

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND	Employer identification number 04-6037391
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM & JEAN GRAUSTEIN ONE HAMDEN CENTER SUITE 2B 2319 WHI HAMDEN, CT 06518	\$ 225,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

04-6037391

Part II	Noncash Property
---------	------------------

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND	Employer identification number 04-6037391
---	---

Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee