

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE SHAPIRO FOUNDATION		A Employer identification number 04-3541595	
Number and street (or P O box number if mail is not delivered to street address) 275 GROVE STREET 2-400		Room/suite	B Telephone number (see instructions) (818) 610-2900
City or town, state or province, country, and ZIP or foreign postal code NEWTON, MA 02466		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 125,028,155	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	4,500,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	286,962	286,962		
	4 Dividends and interest from securities . . .	1,310,813	1,229,006		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	4,345,713			
	b Gross sales price for all assets on line 6a 4,582,384				
	7 Capital gain net income (from Part IV, line 2) . . .		3,622,202		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 155,184	164,324		
	12 Total. Add lines 1 through 11	10,598,672	5,302,494		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	 2,432	0		0
	b Accounting fees (attach schedule)	 3,535	0		0
	c Other professional fees (attach schedule)				
	17 Interest	1,114,842	1,114,836		0
	18 Taxes (attach schedule) (see instructions) . . .	 268,920	22,545		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	12,441	0		0
	22 Printing and publications				
	23 Other expenses (attach schedule)	 104,740	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	1,506,910	1,137,381		0
	25 Contributions, gifts, grants paid	5,452,367			5,452,367
	26 Total expenses and disbursements. Add lines 24 and 25	6,959,277	1,137,381		5,452,367
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	3,639,395			
	b Net investment income (if negative, enter -0-)		4,165,113		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	30,852,648	929,964	929,964
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	65,137,714	98,699,793	124,098,191
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	95,990,362	99,629,757	125,028,155	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	95,990,362	99,629,757	
30	Total net assets or fund balances (see instructions)	95,990,362	99,629,757		
31	Total liabilities and net assets/fund balances (see instructions) .	95,990,362	99,629,757		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	95,990,362
2	Enter amount from Part I, line 27a	2	3,639,395
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	99,629,757
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	99,629,757

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PASSTHROUGH FROM PAR GROUP, L P	P		
b PASSTHROUGH FROM PAR GROUP, L P	P		
c PASSTHROUGH FROM PAR GROUP, L P	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		195,315	-195,315
b 4,582,384		764,770	3,817,614
c		97	-97
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-195,315
b			3,817,614
c			-97
d			
e			

2 Capital gain net income or (net capital loss)	2	3,622,202
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,721,908	74,480,553	0 049972
2014	3,101,403	60,658,500	0 051129
2013	2,822,301	53,760,964	0 052497
2012	1,898,917	36,999,395	0 051323
2011	1,432,992	28,484,340	0 050308
2 Total of line 1, column (d)			0 255229
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 051046
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			109,297,556
5 Multiply line 4 by line 3			5,579,203
6 Enter 1% of net investment income (1% of Part I, line 27b)			41,651
7 Add lines 5 and 6			5,620,854
8 Enter qualifying distributions from Part XII, line 4			5,452,367

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	83,302
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	83,302
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	83,302
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	0
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	2,489
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	85,791
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► THE FOUNDATION Telephone no ► (818) 610-2900			

Located at **►** 275 GROVE STREET 2-400 NEWTON MA ZIP+4 **►** 02466

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		☐	5b	
Organizations relying on a current notice regarding disaster assistance check here.		☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)		☐ Yes ☐ No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No	6b	No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes ☒ No	7b	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
EDWARD L SHAPIRO 275 GROVE STREET 2-400 NEWTON, MA 02466	TRUSTEE 1 00	0	0	0
BARBARA J SHAPIRO 275 GROVE STREET 2-400 NEWTON, MA 02466	TRUSTEE 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	109,392,911
b	Average of monthly cash balances.	1b	1,569,075
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	110,961,986
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	110,961,986
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,664,430
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	109,297,556
6	Minimum investment return. Enter 5% of line 5.	6	5,464,878

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,464,878
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	83,302
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	76,023
c	Add lines 2a and 2b.	2c	159,325
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,305,553
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	5,305,553
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,305,553

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,452,367
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	5,452,367
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,452,367

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,305,553
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	428,395			
b From 2012.	327,437			
c From 2013.	2,822,301			
d From 2014.	216,891			
e From 2015.	219,549			
f Total of lines 3a through e.	4,014,573			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 5,452,367				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				5,305,553
e Remaining amount distributed out of corpus	146,814			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,161,387			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	428,395			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,732,992			
10 Analysis of line 9				
a Excess from 2012.	327,437			
b Excess from 2013.	2,822,301			
c Excess from 2014.	216,891			
d Excess from 2015.	219,549			
e Excess from 2016.	146,814			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	5,452,367
b <i>Approved for future payment</i>				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions)
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	286,962	
4 Dividends and interest from securities. . . .	900000	81,807	14	1,228,909	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory	900000	723,511	18	3,622,299	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a <u>PASSTHROUGH ORDINARY INCOME</u>	900000	-9,140	01	164,324	
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		796,178		5,302,494	0
13 Total. Add line 12, columns (b), (d), and (e).			13		6,098,672

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
--	------------	-----------

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

***** 2017-05-11 ***** May the IRS discuss this return with the preparer shown below.

Signature of officer or trustee	Date	Title	(see instr 12) ☒ Yes ☐ No
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Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
LENNY EDMOND CRA		2017-05-14		P00037472

Paid Preparer Use Only	LENNY ESMOND CPA		2017-05-11	
	Firm's name ▶ ESMOND & ASSOCIATES INC			Firm's EIN ▶ 20-3250475

Firm's address ▶	23901 CALABASAS RD 1010 CALABASAS, CA 91302	Phone no (818) 610-2900
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Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

EDWARD L SHAPIRO
BARBARA J SHAPIRO

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A KID'S BRAIN TUMOR CURE 98 RANDOM FARMS DRIVE CHAPPAQUA, NY 10514	NONE	PUBLIC CHARITY	RESEARCH	25,700
ACTION AGAINST HUNGER 247 WEST 37TH STREET 10TH FL NEW YORK, NY 10018	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	25,000
AFRICAN LEADERSHIP FOUNDATION 545 SANSOME ST 7TH FLOOR SAN FRANCISCO, CA 94111	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	10,000
ALZHEIMER'S ASSOCIATION 225 N MICHIGAN AVENUE FLOOR 17 CHICAGO, IL 60601	NONE	PUBLIC CHARITY	RESEARCH	25,000
BEAVER COUNTRY DAY SCHOOL 791 HAMMOND ST CHESTNUT HILL, MA 02467	NONE	PUBLIC CHARITY	EDUCATION	110,000
BIG BROTHERS BIG SISTERS OF MASSACHUSETTS BAY INC 75 FEDERAL STREET 8TH FLOOR BOSTON, MA 02110	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	1,000
BIRTHRIGHT 79A MAIN STREET FRAMINGHAM, MA 01702	NONE	PUBLIC CHARITY	RELIGIOUS	10,000
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON, MA 02115	NONE	PUBLIC CHARITY	RESEARCH	350,000
BOSTON MEDICAL CENTER CORPORATION 1 BOSTON MEDICAL CENTER PLACE BOSTON, MA 02118	NONE	PUBLIC CHARITY	RESEARCH	250,250
BRIDGE2RWANDA 1818 N TAYLOR ST SUITE B PMB 322 LITTLE ROCK, AR 72207	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	27,605
BROTHERS FOR LIFE 270 SOUTH HANFORD ST SUITE 207 SEATTLE, WA 98134	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	17,000
CHILDREN'S HOSPITAL BOSTON 300 LONGWOOD AVENUE BOSTON, MA 02115	NONE	PUBLIC HOSPITAL	MEDICAL TREATMENT	18,525
CHILDREN'S HOSPITAL LEAGUE 401 PARK DRIVE SUITE 602 BOSTON, MA 02115	NONE	PUBLIC CHARITY	MEDICAL RESEARCH	6,600
CHILDRENS MEDICAL CENTER 35 SHATTUCK STREET BOSTON, MA 02115	NONE	PUBLIC CHARITY	RESEARCH	600
COMBINED JEWISH PHILANTHROPIES 126 HIGH STREET BOSTON, MA 02110	NONE	PUBLIC CHARITY	RELIGIOUS	5,483
Total ► 3a				5,452,367

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COMMUNITY LEGAL SERVICES 405 MAIN STREET WORCESTER, MA 01608	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	50,000
COURAGEOUS PARENTS NETWORK 21 ROCHESTER ROAD NEWTON, MA 02458	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	10,000
DANA FARBERJIMMY FUND 450 BROOKLINE AVENUE BOSTON, MA 02215	NONE	PUBLIC CHARITY	RESEARCH	15,486
EXCEPTIONAL LIVES INC 77 HEALTH STREET BROOKLINE, MA 02445	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	1,000
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	NONE	PUBLIC CHARITY	EDUCATION	5,000
FALMOUTH HARBOR SAILING SCHOOL INC PO BOX 182 FALMOUTH, MA 02541	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	500
FRIENDS OF YEMIN ORDE 4340 EAST-WEST HWY SUITE 202 BETHESDA, MD 20814	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	10,000
FSRI PO BOX 391594 MOUNTAIN VIEW, CA 94041	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	1,000
GATEWAYS 333 NAHANTON STREET NEWTON, MA 02459	NONE	PUBLIC CHARITY	EDUCATION	1,000
GLOBAL IMPACT 1199 NORTH FAIRFAX ST SUITE 300 ALEXANDRIA, VA 22314	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	100,000
HEBREW SENIOR LIFE 1200 CENTRE STREET BOSTON, MA 02131	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	50,000
HEWBREW COLLEGE 160 HERRICK ROAD NEWTON CENTRE, MA 02459	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	2,470
HORIZONS FOR HOMELESS CHILDREN 1705 COLUMBUS AVENUE ROXBURY, MA 02119	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	305,500
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	50,000
ISRAAID 7700 OLD GEORGETOWN RD SUITE 700 BETHESDA, MD 20814	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	150,000
Total ►				5,452,367
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
JEWECER COMMUNITY FUNDING 4647 KINGSWELL AVENUE SUITE 148 LOS ANGELES, CA 90027	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	18,000
JEWISH BIG BROTHERS BIG SISTERS OF GREATER BOSTON 333 NAHANTON STREET NEWTON, MA 02459	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	114,050
JEWISH FAMILY AND CHILDREN'S SERVICES 1430 MAIN STREET WALTHAM, MA 02451	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	55,700
JEWISH TEEN INITIATIVE OF GREATER BOSTON INC 4 COMMUNITY ROAD MARBLEHEAD, MA 01945	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	2,470
JFS OF METROWEST 475 FRANKLIN STREET 101 FRAMINGHAM, MA 01702	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	75,600
JOE ANDRUZZI FOUNDATION 200 CHAUNCY STREET SUITE 208 MANSFIELD, MA 02048	NONE	PUBLIC CHARITY	RESEARCH	1,000
JUVENILE DIABETES 60 WALNUT STREET WELLESLEY, MA 02481	NONE	PUBLIC CHARITY	RESEARCH	5,000
MAOZ INC PO BOX 535788 GRAND PRAIRIE, TX 75053	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	100,000
MASSCHALLENGE 21 DRYDOCK AVENUE FLOOR 6 BOSTON, MA 02210	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	5,000
MAYYIM HAYYIM 1838 WASHINGTON STREET NEWTON, MA 02466	NONE	PUBLIC CHARITY	RELIGIOUS	2,500
NATIONAL BRAIN TUMOR SOCIETY INC 55 CHAPEL ST SUITE 200 NEWTON, MA 02458	NONE	PUBLIC CHARITY	RESEARCH	1,000
NEEDHAM COMMUNITY FARM PO BOX 920877 NATICK, MA 02492	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	5,000
NEW HORIZONS 21 WARREN AVENUE WOBURN, MA 01801	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	20,000
NEWPROFIT 200 CLARENDON STREET 44TH FLOOR BOSTON, MA 02116	NONE	PUBLIC CHARITY	EDUCATION	250,000
PARTNERS IN HEALTH 888 COMMONWEALTH AVENUE 3RD FLOOR BOSTON, MA 02215	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	1,050,000
Total ► 3a				5,452,367

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PASS-THROUGH FROM PAR GROUP LP ONE INTERNATIONAL PLACE SUITE 2401 BOSTON, MA 02110	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	18
RED RHINO ORPHANAGE PROJECT 2529 W MARCH LANE SUITE 201B STOCKTON, CA 95207	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	5,000
SECOND STEP INC PO BOX 600213 NEWTONVILLE, MA 02460	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	6,590
SOCIAL FINANCE 10 MILK STREET SUITE 1010 BOSTON, MA 02108	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	33,644
SQUASHBUSTERS 795 COLUMBUS AVENUE ROXBURY CROSSING, MA 02120	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	1,000
SUMMITS EDUCATION 51 MELCHER ST BOSTON, MA 02210	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	25,000
TEACH FOR AMERICA 25 BROADWAY 12TH FLOOR NEW YORK, NY 10004	NONE	PUBLIC CHARITY	EDUCATION	50,000
TEMPLE BETH ELOHIM 31 MT EBO ROAD NORTH BREWSTER, NY 10509	NONE	PUBLIC CHARITY	RELIGIOUS	111,234
TENACITY 38 EVERETT STEEET SUITE 50 BOSTON, MA 02134	NONE	PUBLIC CHARITY	EDUCATION	100,000
THE CHILDREN'S ROOM 1210 MASSACHUSETTS AVENUE ARLINGTON, MA 02476	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	5,000
THE MATCH FOUNDATION INC 215 FOREST HILLS STREET JAMAICA PLAIN, MA 02139	NONE	PUBLIC CHARITY	EDUCATION	250,000
THE RASHI SCHOOL 8000 GREAT MEADOW ROAD DEDHAM, MA 02026	NONE	PUBLIC CHARITY	EDUCATION	56,620
UCLA ANDERSON 110 WESTWOOD PLAZA LOS ANGELES, CA 90024	NONE	PUBLIC CHARITY	EDUCATION	200,000
UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	PUBLIC CHARITY	INTERNATIONAL RELIEF	512,622
UNIVERSITY OF VERMONT UNIVERSITY OF VERMONT BURLINGTON, VT 05405	NONE	PUBLIC UNIVERSITY	EDUCATION	5,000
Total ►				5,452,367
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UP EDUCATION NETWORK 90 CANAL STREET SUITE 600 BOSTON, MA 02114	NONE	PUBLIC CHARITY	EDUCATION	25,000
WHARTON SCHOOL 3620 LOCUST WALK PHILADELPHIA, PA 19104	NONE	PUBLIC UNIVERSITY	EDUCATION	525,000
YACHAD INC 8720 GEORGIA AVENUE SUITE 705 SILVER SPRING, MD 20910	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	100
YEAR UP INC 45 MILK STREET 9TH FLOOR BOSTON, MA 02109	NONE	PUBLIC CHARITY	EDUCATION	200,000
YESHIVA OHR YISRAEL PO BOX 67386 CHESTNUT HILL, MA 02467	NONE	PUBLIC CHARITY	DOMESTIC NEEDS	500
Total ► 3a				5,452,367

TY 2016 Accounting Fees Schedule**Name:** THE SHAPIRO FOUNDATION**EIN:** 04-3541595

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,535	0		0

TY 2016 Investments Corporate Stock Schedule

Name: THE SHAPIRO FOUNDATION

EIN: 04-3541595

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PAR GROUP, L.P.	98,222,823	123,692,351
W.R. GRACE - 6,000 SHARES	476,970	405,840

TY 2016 Legal Fees Schedule**Name:** THE SHAPIRO FOUNDATION**EIN:** 04-3541595

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,432	0		0

TY 2016 Other Expenses Schedule**Name:** THE SHAPIRO FOUNDATION**EIN:** 04-3541595**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DEDUCTIONS RELATED TO PORTFOLIO INCOME	99,185	0		0
PASSTHROUGH NONDEDUCTIBLE EXPENSES	58	0		0
PENALTIES	5,497	0		0

TY 2016 Other Income Schedule

Name: THE SHAPIRO FOUNDATION

EIN: 04-3541595

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PASSTHROUGH ORDINARY INCOME	155,184	164,324	155,184

TY 2016 Substantial Contributors Schedule

Name: THE SHAPIRO FOUNDATION

EIN: 04-3541595

Name	Address
EDWARD L SHAPIRO	275 GROVE STREET 2-400 NEWTON, MA 02466
BARBARA J SHAPIRO	275 GROVE STREET 2-400 NEWTON, MA 02466

TY 2016 Taxes Schedule**Name:** THE SHAPIRO FOUNDATION**EIN:** 04-3541595

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	221,669	0		0
FOREIGN TAXES	22,291	22,291		0
MASSACHUSETTS TAXES	24,706	0		0
INDIANA TAXES	254	254		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990 .	OMB No 1545-0047 2016
	Name of the organization THE SHAPIRO FOUNDATION	Employer identification number 04-3541595

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE SHAPIRO FOUNDATION	Employer identification number 04-3541595
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDWARD L SHAPIRO 275 GROVE STREET 2-400 NEWTON, MA 02466	 \$ 4,500,000 	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

04-3541595

Part II	Noncash Property
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Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization THE SHAPIRO FOUNDATION	Employer identification number 04-3541595
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee