Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: HAZARD FAMILY FOUNDATION

A Employer identification number: 04-3338927

Number and street (or P O box number if mail is not delivered to street address): 23 MARLBOROUGH STREET | Room/suite:

B Telephone number: 617-262-1903

City or town, state or province, country, and ZIP or foreign postal code: BOSTON, MA 02116

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 7,275,094.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	16,483.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	56.	56.		STATEMENT 1
	4 Dividends and interest from securities	72,287.	72,287.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	620,254.			
	b Gross sales price for all assets on line 6a 961,966.				
	7 Capital gain net income (from Part IV, line 2)		620,254.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	62,271.	62,271.		STATEMENT 3
	12 **Total** Add lines 1 through 11	771,351.	754,868.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 4	7,782.	7,782.		0.
	17 Interest	4,632.	4,632.		0.
	18 Taxes STMT 5	19,117.	295.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	40,255.	40,255.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	71,786.	52,964.		0.
	25 Contributions, gifts, grants paid	451,500.			451,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	523,286.	52,964.		451,500.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	248,065.			
	b **Net investment income** (if negative, enter -0-)		701,904.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	150,135.	92,803.	92,803.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	2,663,256.	2,159,046.	3,077,193.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	2,539,344.	3,391,131.	4,105,098.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	5,352,735.	5,642,980.	7,275,094.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,352,735.	5,642,980.	
	30 **Total net assets or fund balances**	5,352,735.	5,642,980.	
	31 **Total liabilities and net assets/fund balances**	5,352,735.	5,642,980.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,352,735.
2	Enter amount from Part I, line 27a	2	248,065.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENTS	3	42,180.
4	Add lines 1, 2, and 3	4	5,642,980.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,642,980.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	961,966.		341,712.	620,254.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				620,254.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 620,254.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2015	399,454.	6,906,365.	.057839
2014	385,380.	5,403,472.	.071321
2013	369,139.	5,169,714.	.071404
2012	205,925.	4,283,048.	.048079
2011	205,500.	4,199,197.	.048938

2 **Total** of line 1, column (d)	2	.297581
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059516
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,139,682.
5 Multiply line 4 by line 3	5	424,925.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,019.
7 Add lines 5 and 6	7	431,944.
8 Enter qualifying distributions from Part XII, line 4	8	451,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	7,019.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	7,019.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	7,019.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	12,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	12,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,981.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	4,981.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ C. MICHAEL HAZARD Telephone no. ▶ (617) 262-1903

Located at ▶ 23 MARLBOROUGH ST., BOSTON, MA ZIP+4 ▶ 02116

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
If "Yes," list the years ▶ ____, ____, ____, ____			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2016 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
C. MICHAEL HAZARD 23 MARLBOROUGH STREET BOSTON MA	TRUSTEE - AS NEEDED 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2016)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	6,912,846.
b	Average of monthly cash balances		1b	335,562.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	7,248,408.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	7,248,408.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	108,726.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	7,139,682.
6	**Minimum investment return.** Enter 5% of line 5		6	356,984.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	356,984.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 7,019.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b 2,333.		
c	Add lines 2a and 2b		2c	9,352.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	347,632.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	347,632.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	347,632.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	451,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	451,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,019.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	444,481.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				347,632.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013 129,365.				
d From 2014 124,648.				
e From 2015 77,228.				
f Total of lines 3a through e	331,241.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 451,500.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				347,632.
e Remaining amount distributed out of corpus	103,868.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	435,109.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	435,109.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013 129,365.				
c Excess from 2014 124,648.				
d Excess from 2015 77,228.				
e Excess from 2016 103,868.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

C. MICHAEL HAZARD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED	NONE	PUBLIC	UNRESTRICTED	451,500.
Total			▶ 3a	451,500.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2016)

623611 11-23-16

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	56.	
4 Dividends and interest from securities			14	72,287.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	62,271.	
8 Gain or (loss) from sales of assets other than inventory			18	620,254.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		754,868.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 754,868.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/15/17 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KATHLEEN WALLACE	[signature]	5/12/17		P01032694

Firm's name ▶ RINET COMPANY, LLC — Firm's EIN ▶ 80-0031560

Firm's address ▶ 101 FEDERAL STREET, 14TH FL BOSTON, MA 02110 — Phone no. (617) 488-2700

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CUTLASS CAPITAL LLC LIQUIDATION DISTRIBUTIONS	P	VARIOUS	12/31/16
b	WESTFIELD SELECT GROWTH EQUITY LP	P	VARIOUS	12/31/16
c	WESTFIELD SELECT GROWTH EQUITY LP	P	VARIOUS	12/31/16
d	WESTFIELD LIFE SCIENCES FUND LP	P	VARIOUS	12/31/16
e	WESTFIELD MICRO CAP FUND LP	P	VARIOUS	12/31/16
f	WESTFIELD MICRO CAP FUND LP	P	VARIOUS	12/31/16
g	FLYBRIDGE CAPITAL PARTNERSI LP	P	VARIOUS	12/31/16
h	FLYBRIDGE CAPITAL PARTNERS III LP	P	VARIOUS	12/31/16
i	DGHM MICROCAP PARTNERS	P	VARIOUS	12/31/16
j	DGHM MICROCAP PARTNERS	P	VARIOUS	12/31/16
k	DGHM ULTRAVALUE PARTNERS LP	P	VARIOUS	12/31/16
l	DGHM ULTRAVALUE PARTNERS LP	P	VARIOUS	12/31/16
m	BAIN CAPITAL	P	VARIOUS	12/31/16
n	OPPENHEIMER- SEE ATTACHED	P	VARIOUS	12/31/16
o	OPPENHEIMER- SEE ATTACHED	P	VARIOUS	12/31/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	707.			707.
b	12,803.			12,803.
c	1,805.			1,805.
d			2,124.	<2,124.>
e			15,408.	<15,408.>
f	35,012.			35,012.
g			4,465.	<4,465.>
h	2,736.			2,736.
i	1,620.			1,620.
j			3,984.	<3,984.>
k	3,106.			3,106.
l	27,175.			27,175.
m	40,059.			40,059.
n	325,438.			325,438.
o			145,368.	<145,368.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				707.
b				12,803.
c				1,805.
d				<2,124.>
e				<15,408.>
f				35,012.
g				<4,465.>
h				2,736.
i				1,620.
j				<3,984.>
k				3,106.
l				27,175.
m				40,059.
n				325,438.
o				<145,368.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

623591
04-01-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DGHM MICROCAP PARTNERS GAIN ON CONVERSION	P	VARIOUS	12/31/16
b	410 SHS ALLERGAN PLC ADDL	P	10/01/13	01/19/16
c	375 SHS MASIMO CORP ADDL	P	02/05/08	09/22/16
d	5,300 SHS MASIMO CORP	D	VARIOUS	09/22/16
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	162,416.		153,880.	8,536.
b	28,349.			28,349.
c	1,350.			1,350.
d	317,129.		16,483.	300,646.
e	2,261.			2,261.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				8,536.
b				28,349.
c				1,350.
d				300,646.
e				2,261.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	620,254.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-01-16

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	Employer identification number
HAZARD FAMILY FOUNDATION	04-3338927

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
HAZARD FAMILY FOUNDATION	04-3338927

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	C MICHAEL & SUSAN HAZARD 23 MARLBOROUGH ST BOSTON, MA 02116	$ 16,483.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
HAZARD FAMILY FOUNDATION	04-3338927

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	5,300 SHS MASIMO CORP TAX COST $16,483	$ 314,741.	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
HAZARD FAMILY FOUNDATION	04-3338927

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BOSTON PRIVATE BANK	56.	56.	
TOTAL TO PART I, LINE 3	56.	56.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DGHM MICRO CAP INST	578.	0.	578.	578.	
OPPENHEIMER	32,291.	0.	32,291.	32,291.	
PARTNERSHIPS	26,804.	0.	26,804.	26,804.	
WESTFIELD DIVIDEND GROWTH FD	14,875.	2,261.	12,614.	12,614.	
TO PART I, LINE 4	74,548.	2,261.	72,287.	72,287.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
FROM PARTNERSHIP K-1 SCHEDULES	62,271.	62,271.	
TOTAL TO FORM 990-PF, PART I, LINE 11	62,271.	62,271.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RINET COMPANY FEE	7,782.	7,782.		0.
TO FORM 990-PF, PG 1, LN 16C	7,782.	7,782.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX W/H	265.	265.		0.
FOREIGN TAX ON PARTNERSHIP K-1S	30.	30.		0.
U S TREASURY 2015 BALANCE	6,822.	0.		0.
U S TREASURY 2016 ESTIMATES	12,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	19,117.	295.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MASS FILING FEE FORM PC	125.	125.		0.
WIRE CHARGES/MISC	60.	60.		0.
PARTNERSHIP K1 EXPENSES	40,008.	40,008.		0.
ADR FEE	62.	62.		0.
TO FORM 990-PF, PG 1, LN 23	40,255.	40,255.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 9	2,159,046.	3,077,193.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,159,046.	3,077,193.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 9	COST	3,391,131.	4,105,098.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,391,131.	4,105,098.

Hazard Family Foundation
EIN: 04-3338927
2016 Form 990PF
Part II, Statement 9

Schedule of Investments

	(A) Beginning of Year BV	(B) End of Year BV	(C) FMV on 12/31/2016
Line 10b: **Corporate Stock:**			
Abbvie Inc	81,121	0	0
Adobe Sys INC	89,570	89,570	120,452
Allergan PLC	30,695	0	0
Alphabet INC CL C	62,808	62,924	127,350
Alphabet INC CL A	62,298	62,298	129,169
Amazon Com	0	73,946	70,488
Apple Inc	27,808	27,808	187,628
Borgwarner Inc	88,761	0	0
Bristol Myers Squibb Co	41,942	41,942	64,868
Brunswick CORP	91,826	0	0
Cardiome Pharma Corp	46,080	0	0
Celgene Corp	3,906	3,906	141,447
Charter Communications Inc	0	48,811	60,463
Coca Cola Enterprises INC	88,561	0	0
Constellation Brands	88,197	88,197	114,216
Cooper Cos Inc	42,963	42,963	95,337
Costco Wholesale Corp	51,689	0	0
CME Grooup Inc	0	63,848	69,210
Danaher Corp	42,018	31,697	79,397
Disney Walt Co Com	48,678	0	0
Dow Chem Co	56,846	78,547	85,830
Eastman Chemical	56,372	0	0
Facebook Inc	94,627	94,627	154,742
Gilead Sciences Inc	0	80,574	71,610
Gold Fields Ltd	82,400	82,400	15,050
Home Depot Inc	68,940	0	0
Kellogg Co	0	67,825	66,339
Lockheed Martin Corp	0	47,471	47,489
Masimo Corp	10,655	0	0
Mastercard INC	80,211	0	0
Merrick Pharmaceuticals Inc	0	35,908	22,032
Mettler Toledo Int'l	0	64,118	79,945
Middleby Corp	89,139	89,139	109,489
Newell Brands Inc	0	92,416	102,472
Packaging Corp Amer	54,035	0	0
Palo Alto Networks	72,369	72,369	76,906
Paypal Holdings Inc	0	47,765	47,364
Priceline Com Inc	43,005	43,005	96,760
Qlik Technologies Inc	90,076	0	0
Qualcomm Inc	64,453	0	0
Salesforce Com Inc	0	81,731	75,306
Scotts Miracle Grow Co	0	49,735	66,885
Schwab Charles Corp	38,896	0	0
Sibanye Gold Ltd	13,600	13,600	8,825
Six Flags Entertainment Group	0	39,997	47,968
Starbucks Corp	32,465	0	0
Steris PLC	0	79,393	80,868
Suncor Energy Inc New	39,760	0	0

Hazard Family Foundation
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Part II, Statement 9

Schedule of Investments

	(A) Beginning of Year BV	(B) End of Year BV	(C) FMV on 12/31/2016
Synchrony Financial	58,250	58,250	68,913
Tesoro Corp	34,431	0	0
Teva Pharmaceutical Inds Ltd	139,711	0	0
Thermo Fisher Scientific	51,322	51,322	143,922
T2 Biosystems	569	569	636
Twenty First Centy Fox Inc	89,218	0	0
Union Pacific Corp	0	65,404	82,944
United Continental Holdings	42,651	42,651	74,338
United Parcel Services Inc	0	48,553	51,588
V F Corp	82,873	0	0
Visa	86,052	86,052	132,868
Walt Disney Co	48,678	0	0
Williams Sonoma Inc	44,997	0	0
Workday Inc	7,716	7,716	6,080
Total	2,663,236	2,159,046	3,077,193

Line 13 **Investments - Other:**

	(A) Beginning of Year BV	(B) End of Year BV	(C) FMV on 12/31/2016
Bain Capital Fund XI, LP	23,714	112,426	130,958
GNDI Holding IIIA, LP	19,982	19,980	0
GAM Beachwood Total Return Fund, LLC	234,650	242,976	241,203
DGHM MicroCap Partnership	155,200	0	0
DGHM MicroCap Institutional Fund	0	162,996	197,107
DGHM UltraValue Partners, LP	245,205	279,621	300,059
Flybridge Capital Ptrs I, LP	75,611	71,069	58,873
Flybridge Capital Partners III, LP	174,454	172,290	371,727
Flybridge Capital III Codecademy	0	100,000	100,000
Flybridge Partners IV NS1	0	150,000	150,000
Flybridge Network Fund IV LP	26,944	55,924	36,477
Greylock X-A Limited Partnership	42,367	42,350	5,593
LPC London, LP	37,530	38,980	63,096
LPC Harvest, LP	0	385,004	393,274
Westfield Capital SPV I, LP	300,000	299,313	298,526
Wayfarer Capiital LP(Offshore)	2,810	2,810	355,457
Westfield Dividend Growth Fund	502,673	517,548	618,458
Westfield Life Science Fund, LP	10,660	7,573	1,829
Westfield Micro Cap Fund, LP	382,040	395,194	413,356
Westfield Select Growth Equity, LP	305,504	335,078	369,105
Total	2,539,344	3,391,131	4,105,098

Hazard Family Foundation
EIN: 04-3338927
2016 Form 990PF
Part XV, Line 3: Grants and Contributions Paid During the Year

Recipient	Contribution
Beth Israel Deaconess Hospital	$2,000.00
Brown University	$1,000.00
Button Hole Golf	$5,000.00
C I D E R	$1,000.00
Camp Sunshine	$2,000.00
Carroll School	$30,000.00
Children's Fund	$7,500.00
Community Prepatory School	$5,000.00
Crossroads R I	$1,000.00
Cure Alzheimer's Fund	$31,000.00
Day One	$20,000.00
Day One	$1,500.00
Domestic Violence Center of South County	$10,000.00
East Hampton Food Pantry	$1,000.00
First Works	$1,000.00
Good Shepard Parish	$500.00
Holiday Food Gift Basket Program	$500.00
Horizon for Homeless Children	$15,000.00
Housing Forward	$1,000.00
Indiana University Foundation	$2,500.00
Jonnycake Center of Peace Dale	$2,000.00
Mass General Hospital ECOR Scholarship program	$100,000.00
Moses Brown School	$5,000.00
Mother Caroline Academy	$20,000.00
Mother Caroline Academy Education Center	$10,000.00
Narrow River Preservation Association	$2,000.00
Nature Conservancy of Rhode Island	$10,000.00
New England Aquarium	$25,000.00
Oak Park River Forest Community Foundation	$2,000.00
Oak Park River Forest Infant Welfare Society	$500.00
Oak Park River Forest Pantry	$2,000.00
One Arc of South Norfolk	$1,000.00
Operation Walk Chicago	$2,500.00
Parmenter Foundation	$500.00
Providence Public Library	$1,000.00
Red Pine Camp Foundation	$1,000.00
Rhode Island Community Food Bank	$5,000.00

Hazard Family Foundation

EIN: 04-3338927

2016 Form 990PF

Part XV, Line 3: Grants and Contributions Paid During the Year

Recipient	Contribution
Rhode Island Land Trust Council	$5,000.00
San Miguel School	$5,000.00
Santa for the Very Poor	$500.00
Sarah's Inn	$1,000.00
Save the Bay	$15,000.00
Sophia Academy	$5,000.00
South County Health	$25,000.00
St Boniface Haiti Foundation	$500.00
St Luke's Church	$4,000.00
St Sebastian School	$5,000.00
Teach for America	$10,000.00
The Carroll School	$2,000.00
The Learning Community	$5,000.00
University of Rhode Island Foundation	$1,000.00
USO Education Center	$5,000.00
VNA of Vermont	$1,000.00
Washington University of St Louis	$1,500.00
Willett Free Library	$1,000.00
Year Up Providence	$1,000.00
Year Up Providence	$30,000.00
Total	**$451,500.00**

OPPENHEIMER

Print

Account Number: A390019980 Foundation **Financial Advisor:** CONNAUGHTON, STEPHEN - CD2
Year: 2016
Realized Gain/Loss Report

Quantity	Description	Symbol/Cusip	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/Loss	Term
1,450	BORGWARNER INC	BWA	04/07/2015	61.0910	88,760.95	01/19/2016	30.1831	43,585.69	-45,175.26	S
835	BRUNSWICK CORP	BC	04/07/2015	51.4468	43,063.28	01/19/2016	38.5570	32,091.87	-10,971.41	S
885	BRUNSWICK CORP	BC	02/19/2015	54.9739	48,763.10	01/19/2016	38.5570	34,013.54	-14,749.56	S
100	CITIGROUP INC COM NEW	C	03/23/2016	42.4486	4,257.17	06/24/2016	41.0902	4,091.93	-165.24	S
200	CITIGROUP INC COM NEW	C	03/23/2016	42.4486	8,514.34	06/24/2016	40.9118	8,153.18	-361.16	S
200	CITIGROUP INC COM NEW	C	03/23/2016	42.4486	8,514.34	06/24/2016	41.0357	8,177.96	-336.38	S
300	CITIGROUP INC COM NEW	C	03/23/2016	42.4486	12,771.52	06/24/2016	40.8877	12,225.04	-546.48	S
800	CITIGROUP INC COM NEW	C	03/23/2016	42.4486	34,057.38	06/24/2016	40.9831	32,684.76	-1,372.62	S
645	COCA COLA ENTERPRISES INC NEW	19122T109000	04/14/2015	44.7922	28,973.37	01/19/2016	46.3300	29,803.24	829.87	S
1,000	COCA COLA ENTERPRISES INC NEW	19122T109000	04/07/2015	45.6701	45,795.10	01/19/2016	46.3300	46,206.58	411.48	S
300	COCA COLA ENTERPRISES INC NEW	19122T109000	04/07/2015	45.8393	13,792.79	01/19/2016	46.3300	13,861.97	69.18	S
200	COGNIZANT TECHNOLOGY SOLUTIONS CL A	CTSH	01/27/2016	61.8568	12,397.36	07/26/2016	58.6049	11,691.72	-705.64	S
300	COGNIZANT TECHNOLOGY SOLUTIONS CL A	CTSH	01/27/2016	61.8568	18,596.04	07/26/2016	58.6087	17,541.22	-1,054.82	S
300	IMPERVA INC	IMPV	02/04/2016	41.7728	12,569.20	06/07/2016	38.0966	11,387.73	-1,181.47	S
200	IMPERVA INC	IMPV	02/04/2016	41.7728	8,379.47	06/08/2016	38.0735	7,585.53	-793.94	S
100	IMPERVA INC	IMPV	02/04/2016	41.7728	4,189.73	06/09/2016	37.5355	3,736.46	-453.27	S
200	IMPERVA INC	IMPV	02/04/2016	41.7728	8,379.47	06/09/2016	37.6213	7,495.09	-884.38	S
300	IMPERVA INC	IMPV	02/04/2016	41.7728	12,569.20	06/10/2016	37.5700	11,229.75	-1,339.45	S
100	KROGER CO	KR	07/06/2016	37.4946	3,761.84	09/12/2016	30.9018	3,073.11	-688.73	S
500	KROGER CO	KR	07/06/2016	37.4946	18,809.22	09/12/2016	30.8623	15,365.81	-3,443.41	S
700	KROGER CO	KR	07/06/2016	37.4946	26,332.91	09/12/2016	30.9281	21,560.19	-4,772.72	S
387	QUALCOMM INC	QCOM	04/07/2015	67.8999	26,328.70	01/08/2016	46.2100	17,835.02	-8,493.68	S
1,350	SCHWAB CHARLES CORP NEW	SCHW	10/16/2015	28.6879	38,895.67	07/06/2016	24.2969	32,633.10	-6,262.57	S
1,400	SUNCOR ENERGY INC NEW	SU	11/16/2015	28.2762	39,759.68	01/19/2016	19.9489	27,754.94	-12,004.74	S
100	TARGET CORP	TGT	07/06/2016	70.3534	7,048.05	10/25/2016	68.1650	6,799.35	-248.70	S
600	TARGET CORP	TGT	07/06/2016	70.3534	42,288.33	10/25/2016	68.1122	40,789.42	-1,498.91	S
590	TEVA PHARMACEUTICAL INDS LTD SPONSORED ADR	TEVA	04/07/2015	64.6900	38,242.90	03/23/2016	54.2484	31,933.12	-6,309.78	S
650	TEVA PHARMACEUTICAL INDS LTD SPONSORED ADR	TEVA	07/31/2015	68.5826	44,661.69	03/23/2016	54.2484	35,180.55	-9,481.14	S
40	V F CORP	VFC	04/07/2015	75.6716	3,031.94	02/09/2016	56.7001	2,262.16	-769.78	S
675	V F CORP	VFC	04/07/2015	75.6716	51,164.05	02/10/2016	57.2407	38,550.62	-12,613.43	S
1,170	ABBVIE INC	ABBV	11/14/2013	48.2765	56,628.40	03/23/2016	56.2539	65,671.66	9,043.25	L
470	ABBVIE INC	ABBV	08/06/2014	51.9805	24,492.24	03/23/2016	56.2539	26,380.92	1,888.68	L
410	ALLERGAN PLC	AGN	10/01/2013	144.0100	59,044.10	01/19/2016	290.4649	119,034.21	59,990.11	L

500	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	4,902.13	12/09/2016	2.9574	1,413.66	-3,488.47	L
100	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	980.43	12/12/2016	2.9500	277.99	-702.44	L
500	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	4,902.13	12/13/2016	2.7999	1,334.91	-3,567.22	L
100	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	980.43	12/15/2016	2.7376	256.75	-723.68	L
100	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	980.43	12/15/2016	2.7100	253.99	-726.44	L
1,200	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	11,765.12	12/16/2016	2.6800	3,066.92	-8,698.20	L
2,200	CARDIOME PHARMA CORP COM NO PAR	CRME	04/23/2015	9.6832	21,569.38	12/16/2016	2.6731	5,611.69	-15,957.69	L
405	COSTCO WHSL CORP NEW	COST	10/20/2014	127.4938	51,688.59	10/25/2016	150.7897	61,014.89	9,326.30	L
130	DISNEY WALT CO COM DISNEY	DIS	11/20/2012	48.3311	6,301.89	01/19/2016	93.7357	12,169.08	5,867.19	L
100	DISNEY WALT CO COM DISNEY	DIS	11/21/2012	48.6327	4,880.27	01/19/2016	93.7357	9,360.83	4,480.56	L
200	DISNEY WALT CO COM DISNEY	DIS	11/21/2012	48.6921	9,767.42	01/19/2016	93.7357	18,721.66	8,954.24	L
450	DISNEY WALT CO COM DISNEY	DIS	08/21/2013	61.4867	27,728.02	01/19/2016	93.7357	42,123.73	14,395.71	L
100	EASTMAN CHEM CO	EMN	08/06/2014	79.2713	7,939.78	07/05/2016	65.8705	6,569.91	-1,369.87	L
100	EASTMAN CHEM CO	EMN	08/06/2014	79.2713	7,939.78	07/06/2016	65.0223	6,485.09	-1,454.69	L
510	EASTMAN CHEM CO	EMN	08/06/2014	79.2713	40,492.87	07/06/2016	65.1243	33,146.46	-7,346.41	L
100	FORTIVE CORP	FTV	08/22/2011	20.2390	2,023.90	07/12/2016	50.7800	5,060.88	3,036.98	L
200	FORTIVE CORP	FTV	08/22/2011	20.2390	4,047.79	07/12/2016	50.7663	10,124.03	6,076.24	L
210	FORTIVE CORP	FTV	08/22/2011	20.2390	4,250.18	07/12/2016	50.8028	10,638.15	6,387.97	L
830	HOME DEPOT INC	HD	08/12/2014	82.9342	68,939.60	09/13/2016	125.9412	104,424.32	35,484.72	L
375	MASIMO CORP	MASI	02/05/2008	31.8916	12,005.06	09/22/2016	59.9578	22,438.35	10,433.30	L
900	MASTERCARD INCORPORATED CL A	MA	03/12/2015	88.9977	80,210.93	07/06/2016	86.8320	78,034.09	-2,176.84	L
1,000	PACKAGING CORP AMER	PKG	08/21/2013	53.9100	54,034.63	01/08/2016	59.4255	59,299.40	5,264.77	L
1,265	QLIK TECHNOLOGIES INC	QLIK	08/21/2013	31.9771	40,607.45	08/23/2016	30.5000	38,582.50	-2,024.95	L
1,505	QLIK TECHNOLOGIES INC	QLIK	04/07/2015	32.7461	49,468.48	08/23/2016	30.5000	45,902.50	-3,565.98	L
925	QUALCOMM INC	QCOM	04/14/2009	41.0900	38,123.88	01/08/2016	46.2100	42,628.94	4,505.06	L
750	STARBUCKS CORP	SBUX	12/08/2011	21.5800	16,232.33	05/02/2016	57.0350	42,682.82	26,450.49	L
750	STARBUCKS CORP	SBUX	12/08/2011	21.5800	16,232.33	05/02/2016	57.0350	42,682.82	26,450.49	L
1,620	TESORO CORP	TSO	08/16/2011	21.1311	34,431.28	01/22/2016	90.8357	146,951.72	112,520.44	L
100	TEVA PHARMACEUTICAL INDS LTD SPONSORED ADR	TEVA	11/14/2013	38.2593	3,838.24	03/23/2016	54.6150	5,444.38	1,606.14	L
280	TEVA PHARMACEUTICAL INDS LTD SPONSORED ADR	TEVA	11/14/2013	38.2593	10,747.08	03/23/2016	54.2484	15,154.70	4,407.62	L
1,100	TEVA PHARMACEUTICAL INDS LTD SPONSORED ADR	TEVA	11/14/2013	38.2593	42,220.67	03/23/2016	54.6525	59,979.43	17,758.76	L
1,180		FOXA	04/07/2015	34.3799	40,714.88	08/18/2016	25.1537	29,536.83	-11,178.05	L

	TWENTY FIRST CENTY FOX INC CL A									
1,400	TWENTY FIRST CENTY FOX INC CL A	FOXA	02/19/2015	34.5215	48,503.10	08/18/2016	25.1537	35,043.70	-13,459.40	L
100	V F CORP	VFC	04/01/2014	62.2110	6,234.10	02/08/2016	56.8487	5,667.76	-566.34	L
200	V F CORP	VFC	04/01/2014	62.2110	12,468.20	02/08/2016	56.7573	11,322.25	-1,145.95	L
160	V F CORP	VFC	04/01/2014	62.2110	9,974.56	02/09/2016	56.7001	9,048.65	-925.91	L
1,305	WILLIAMS SONOMA INC	WSM	01/25/2012	34.3572	44,997.37	05/09/2016	57.7379	75,184.71	30,187.34	L
5,300	MASIMO CORP	MASI	Not Provided	N/A	N/A	09/22/2016	59.9578	317,128.75	N/A	N/A
				Total:	1,737,958.24			2,235,156.68	180,069.69	
								2016 Total Long: 325,437.83 2016 Total Short: -145,368.14		

The information and statistical data contained herein have been obtained from sources which we believe to be reliable but are in no way warranted by us as to accuracy or completeness. This report is intended to be an analysis and should not be relied upon for accuracy unless it conforms with the official records of the firm. Accordingly, you should rely only on transaction confirmations and the monthly statement as the official record of your valued Oppenheimer & Co. account.
We encourage you to seek professional tax advice for further information.

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