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EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

Form 990-PF

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation LOTTA FUND FOR AIDING DISCHARGED CONVICTS #0710900 NUTTER MCLENNEN FISH		A Employer identification number 04-2607208
Number and street (or P.O. box number if mail is not delivered to street address) POST OFFICE BOX 51400	Room/suite	B Telephone number 617-439-2000
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 02205		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 919575. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		24669.	24669.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		17560.			
b Gross sales price for all assets on line 6a 101029.					
7 Capital gain net income (from Part IV, line 2)			17560.		
8 Net short-term capital gain				N/A	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		42229.	42229.	0.	
13 Compensation of officers, directors, trustees, etc		1374.	687.	0.	687.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 1		3213.	1332.	0.	1881.
b Accounting fees STMT 2		1500.	0.	0.	1500.
c Other professional fees STMT 3		5494.	2747.	0.	2747.
17 Interest					
18 Taxes STMT 4		1139.	639.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1380.	60.	0.	1320.
24 Total operating and administrative expenses. Add lines 13 through 23		14100.	5465.	0.	8135.
25 Contributions, gifts, grants paid		35072.			35072.
26 Total expenses and disbursements. Add lines 24 and 25		49172.	5465.	0.	43207.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-6943.			
b Net investment income (if negative, enter -0-)			36764.		
c Adjusted net income (if negative, enter -0-)				0.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		500.	500.	500.
	2	Savings and temporary cash investments		15344.	12529.	12529.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations	STMT 6	225.	25179.	25712.
	b	Investments - corporate stock	STMT 7	385979.	377929.	781073.
	c	Investments - corporate bonds	STMT 8	62829.	41740.	40639.
	Liabilities	11	Investments - land, buildings, and equipment: basis			
		Less accumulated depreciation				
12		Investments - mortgage loans				
13		Investments - other	STMT 9	59860.	59919.	59122.
14		Land, buildings, and equipment: basis				
		Less accumulated depreciation				
15		Other assets (describe)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		524737.	517796.	919575.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds		524737.	524737.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	-6941.	
30	Total net assets or fund balances		524737.	517796.		
31	Total liabilities and net assets/fund balances		524737.	517796.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	524737.
2	Enter amount from Part I, line 27a	2	-6943.
3	Other increases not included in line 2 (itemize) ROUNDING	3	2.
4	Add lines 1, 2, and 3	4	517796.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	517796.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 101029.		83469.	17560.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			17560.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	17560.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	58.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	42837.	873460.	.049043
2014	42063.	881773.	.047703
2013	37177.	829447.	.044821
2012	29355.	760844.	.038582
2011	34495.	588441.	.058621

2 Total of line 1, column (d)	2	.238770
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047754
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	858150.
5 Multiply line 4 by line 3	5	40980.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	368.
7 Add lines 5 and 6	7	41348.
8 Enter qualifying distributions from Part XII, line 4	8	43207.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	368.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	368.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	368.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 284.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 500.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	784.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	416.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax	416. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b	X
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>ELIZABETH F. POTTER</u> Telephone no. ► <u>617-439-2000</u> Located at ► <u>NUTTER 155 SEAPORT BLVD., BOSTON, MA</u> ZIP+4 ► <u>02110-2604</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <u>N/A</u> Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b ☐ Yes ☒ No X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH POTTER	TRUSTEE			
NUTTER 155 SEAPORT BLVD				
BOSTON, MA 02210-2604	0.25	0.	0.	0.
NANCY T. HARMON	TRUSTEE			
P.O. BOX N184				
WESTPORT, MA 02790	0.10	1374.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHED SCHEDULE OF DISTRIBUTIONS	
	35072.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	844897.
b	Average of monthly cash balances	1b	26321.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	871218.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	871218.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13068.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	858150.
6	Minimum investment return. Enter 5% of line 5	6	42908.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42908.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	368.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	368.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	42540.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	42540.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	42540.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43207.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	43207.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	368.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42839.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				42540.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			35071.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 43207.				
a Applied to 2015, but not more than line 2a			35071.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				8136.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				34404.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ..

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ELIZABETH F. POTTER, TRUSTEE, 617-439-2039
NUTTER 155 SEAPORT BOULEVARD, BOSTON, MA 02210

b The form in which applications should be submitted and information and materials they should include:

LETTER

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GEOGRAPHICAL RESTRICTIONS

LOTTA FUND FOR AIDING DISCHARGED

Form 990-PF (2016)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CENTER ON JUVENILE & CRIMINAL JUSTICE 43 BOARDMAN PL SAN FRANCISCO, CA 94103	NONE	PF	GENERAL	4384.
CORRECTIONAL ASSOCIATION OF NEW YORK 135 15TH STREET NEW YORK, NY 10003	NONE	PF	GENERAL	4384.
CRIMINAL JUSTICE MINISTRY 4127 FOREST PARK AVE ST. LOUIS, MO 63108	NONE	PF	GENERAL	4384.
DC CENTRAL KITCHEN 425 2ND STREET NW WASHINGTON, DC 20001	NONE	PF	GENERAL	4384.
JOHN HOWARD ASSN OF ILLINOIS 300 WEST ADAMS STREET CHICAGO, IL 60606	NONE	PF	GENERAL	4384.
Total	SEE CONTINUATION SHEET(S)			35072.
b Approved for future payment				
NONE				
Total				0.

Form **990-PF** (2016)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	24669.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	17560.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		42229.		0.
13 Total. Add line 12, columns (b), (d), and (e)						42229.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHEMOURS CO - 25 SHS	P	02/26/02	01/19/16
b CHEMOURS CO - 70 SHS	P	01/16/03	01/19/16
c CHUBB CORP - 150 SHS	P	04/20/10	01/15/16
d DOW CHEMICAL CO - 175 SHS	P	02/26/02	01/19/16
e EI DU PONT DE NEMOURS & CO - 125 SHS	P	02/26/02	01/19/16
f EI DU PONT DE NEMOURS & CO - 350 SHS	P	01/16/03	01/19/16
g KEYSIGHT TECHNOLOGIES INC - 125 SHS	P	06/29/12	01/19/16
h GNMA MAE PASS THRU POOL #00318 7% - 72.36 UNITS	P	10/16/01	12/20/16
i GNMA MAE PASS THRU POOL #00312 6 1/2% - 70.96 UNI	P	10/05/01	12/20/16
j GNMA MAE PASS THRU POOL #00315 6 1/2% - 64.69 UNI	P	10/16/01	12/20/16
k JOHN DEERE CAPITAL CORP - 10,000 UNITS	P	01/11/13	06/07/16
l WELLS FARGO & CO - 10,000 UNITS	P	01/11/13	12/15/16
m STCGD - VANGUARD S/T INVEST GRADE FUND	P	12/27/16	12/27/16
n CHUBB LTD - .285 SHS	P	01/15/16	01/21/16
o FEDERAL HOME LOAN BANK NOTES - 25,000 UNITS	P	03/29/16	09/30/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 87.		301.	-214.
b 244.		760.	-516.
c 19468.		7922.	11546.
d 7483.		5483.	2000.
e 6718.		5713.	1005.
f 18811.		14427.	4384.
g 2920.		2697.	223.
h 72.		13.	59.
i 71.		16.	55.
j 65.		17.	48.
k 10000.		10496.	-496.
l 10000.		10592.	-592.
m 59.			59.
n 31.		32.	-1.
o 25000.		25000.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-214.
b			-516.
c			11546.
d			2000.
e			1005.
f			4384.
g			223.
h			59.
i			55.
j			48.
k			-496.
l			-592.
m			** 59.
n			** -1.
o			** 0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	17560.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	58.

LOTTA FUND FOR AIDING DISCHARGED

CONVICTS #0710900 NUTTER MCCLENNEN FISH

04-2607208

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MASS SOCIETY FOR AIDING DISCHARGED PRISONERS P.O. BOX 272 SALEM, MA 01970	NONE	PF	GENERAL	4384.
PRODIGAL MINISTRIES P.O. BOX 1484 CRESTWOOD, KY 40014	NONE	PF	GENERAL	4384.
RESURRECTION AFTER EXONERATION 1212 ST. BERNARD AVENUE NEW ORLEANS, LA 70116	NONE	PF	GENERAL	4384.
Total from continuation sheets				13152.

FORM 990-PF	LEGAL FEES			STATEMENT 1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NUTTER GRANTMAKING FEES	1641.	0.	0.	1641.
HARMON GRANTMAKING FEES	240.	0.	0.	240.
NUTTER LEGAL	762.	762.	0.	0.
HARMON LEGAL	570.	570.	0.	0.
TO FM 990-PF, PG 1, LN 16A	3213.	1332.	0.	1881.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NUTTER - TAX PREPARATION FEE	1500.	0.	0.	1500.
TO FORM 990-PF, PG 1, LN 16B	1500.	0.	0.	1500.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NUTTER - PROFESSIONAL FEES	5494.	2747.	0.	2747.
TO FORM 990-PF, PG 1, LN 16C	5494.	2747.	0.	2747.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX 2015					
YEAR END	500.	0.	0.	0.	
FOREIGN TAXES	639.	639.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	1139.	639.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SURETY BOND PREMIUM	285.	0.	0.	285.	
FILING FEES	1035.	0.	0.	1035.	
ADR FEES	60.	60.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	1380.	60.	0.	1320.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GNMA #00318 7%	X		63.	522.	
GNMA #00312 6.5%	X		56.	488.	
GNMA #00315 6.5%	X		60.	319.	
FEDERAL FARM CREDIT BANK NOTES	X		25000.	24383.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			25179.	25712.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			25179.	25712.	

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	377929.	781073.
TOTAL TO FORM 990-PF, PART II, LINE 10B	377929.	781073.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	41740.	40639.
TOTAL TO FORM 990-PF, PART II, LINE 10C	41740.	40639.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	COST	59919.	59122.
TOTAL TO FORM 990-PF, PART II, LINE 13		59919.	59122.

LOTTA FUND FOR AIDING DISCHARGED CONVICTS
 EIN 04-2607208
 FEDERAL FORM 990-PF, PART II, LINE 10a, 10b and Line 13
 CALENDAR YEAR 2016

<u>Part II, Line 10a - Investments - U.S. and State Government Obligations</u>	<u>Units</u>	<u>2016 Beginning of Year Book Value</u>	<u>2016 End of Year Book Value</u>	<u>2016 End of Year Fair Market Value</u>
FEDERAL FARM CREDIT BANK 1.96%				
GNMA 7%	25,000	0	25,000	24,383
GNMA 6.5%	438.72	76	63	522
GNMA 6.5%	420.66	72	56	488
GNMA 6.5%	278.09	77	60	319
Totals		<u>225</u>	<u>25,179</u>	<u>25,712</u>

<u>Part II, Line 10c - Investments - Corporate Bonds</u>	<u>Interest Rate</u>	<u>Maturity Date</u>	<u>2016 Beginning of Year Book Value</u>	<u>2016 End of Year Book Value</u>	<u>2016 End of Year Fair Market Value</u>
ANHEUSER BUSCH	1.38%	7/15/2017	10,113	10,113	10,009
COCA COLA	1.65%	3/14/2018	10,305	10,305	10,034
JOHN DEERE CAPITAL	2.25%	6/7/2016	10,496	0	0
JP MORGAN CHASE	4.25%	10/15/2020	11,125	11,125	10,582
US BANCORP	1.65%	5/15/2017	10,197	10,197	10,014
WELLS FARGO	2.63%	12/15/2016	10,593	0	0
			<u>62,829</u>	<u>41,740</u>	<u>40,639</u>

<u>Part II, Line 13 - Investments - Other</u>	<u>Number of Shares</u>	<u>2016 Beginning of Year Book Value</u>	<u>2016 End of Year Book Value</u>	<u>2016 End of Year Fair Market Value</u>
VANGUARD SHORT TERM INVESTMENT GRADE FUND	5,561.850	<u>59,860</u>	<u>59,919</u>	<u>59,122</u>

LOTTA FUND FOR AIDING DISCHARGED CONVICTS
 EIN 04-2607208
 FEDERAL FORM 990-PF, PART II, LINE 10b
 CALENDAR YEAR 2016

Federal Form 990-PF Part II, Line 10b - Investments - Corporate Stock	2016 Number of Shares	2016 Beginning of Year Book Value	2016 End of Year Book Value	2016 End of Year Fair Market Value
AT&T	150	6,976	6,976	6,380
ACCENTURE PLC IRELAND	150	8,382	8,382	17,570
BOEING	150	8,292	8,292	23,352
CATERPILLAR	200	9,309	9,309	18,548
CHEMOURS	0	1,061	0	0
CHEVRON TEXACO	300	10,421	10,421	35,310
CONSOLIDATED EDISON	150	6,197	6,197	11,052
CROWN CASTLE INT'L CORP	225	0	19,255	19,523
DEERE	200	12,554	12,554	20,608
DOMINION RESOURCES	400	12,306	12,306	30,636
DOW CHEMICAL	0	5,483	0	0
DUPONT	0	20,140	0	0
EXXONMOBIL	400	6,307	6,307	36,104
GENERAL ELECTRIC	1,200	1,447	1,447	37,920
INTEL	300	8,139	8,139	10,881
HERSHEY	200	19,056	19,056	20,686
JP MORGAN	480	14,972	14,972	41,419
MERCK	490	12,971	12,971	28,846
MICROSOFT	800	24,278	24,278	49,712
PAYCHEX	500	16,809	16,809	30,440
RAYTHEON	150	4,286	4,286	21,300
ROCHE HOLDINGS	500	10,972	10,972	14,265
SCHLUMBERGER	300	6,406	6,406	25,185
UNION PACIFIC	800	12,416	12,416	82,944
VERIZON COMMUNICATIONS	704	28,527	28,527	37,580
WALMART STORES	150	8,084	8,084	10,368
WELLS FARGO	250	7,127	7,127	13,778
NOVARTIS	200	12,054	12,054	14,568
CHUBB	0	7,921	0	0
CHUBB LTD	90	0	9,997	11,891
ANHEUSER BUSCH INBEV ADR	250	19,527	19,527	36,360
AGILENT TECHNOLOGIES	250	7,072	7,072	11,390
NEXTERA ENERGY	200	13,769	13,769	23,892
KINDER MORGAN INC	500	17,701	17,701	10,355
MCDONALDS	100	9,473	9,473	12,172
KEYSIGHT TECHNOLOGIES INC	0	2,697	0	0
MICROCHIP TECHNOLOGY INC	250	12,847	12,847	16,038
Totals		385,979	377,929	781,073