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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016Department of the Treasury
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

| | | |
|---|---|--|
| Name of foundation NATHANIEL & ELIZABETH P STEVENS FOUNDATION | | A Employer identification number 04-2236996 |
| Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 111 | Room/suite | B Telephone number (see instructions) 978-688-7211 |
| City or town, state or province, country, and ZIP or foreign postal code NORTH ANDOVER MA 01845 | | C If exemption application is pending, check here <input type="checkbox"/> |
| Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 18,668,451 | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 377,294 | 377,294 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 509,228 | | | |
| | b Gross sales price for all assets on line 6a 4,136,748 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 509,228 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) STMT 1 | 148,391 | 51,045 | | | |
| 12 Total. Add lines 1 through 11 | 1,034,913 | 937,567 | 0 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 80,000 | 8,000 | | |
| | 14 Other employee salaries and wages | 68,040 | 6,804 | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) STMT 2 | 3,850 | | | 3,850 |
| | c Other professional fees (attach schedule) STMT 3 | 78,578 | 78,578 | | |
| | 17 Interest | 306 | 306 | | |
| | 18 Taxes (attach schedule) (see instructions) STMT 4 | 25,767 | 8,317 | | 11,325 |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | 16,154 | | | 16,154 |
| | 21 Travel, conferences, and meetings | 1,144 | 232 | | 680 |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (att sch) STMT 5 | 66,038 | 53,682 | | 12,356 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 339,877 | 155,919 | 0 | 44,365 |
| | 25 Contributions, gifts, grants paid | 937,665 | | | 937,665 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 1,277,542 | 155,919 | 0 | 982,030 | |
| 27 Subtract line 26 from line 12. | | | | | |
| a Excess of revenue over expenses and disbursements | -242,629 | | | | |
| b Net investment income (if negative, enter -0-) | | 781,648 | | | |
| c Adjusted net income (if negative, enter -0-) | | | 0 | | |

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | |
|------------------------------------|--|--|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash – non-interest-bearing | 58,040 | 20,402 | 20,402 |
| | 2 Savings and temporary cash investments | 169,945 | 791,998 | 791,998 |
| | 3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶ | 0 | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments – U.S. and state government obligations (attach schedule) | | | |
| | b Investments – corporate stock (attach schedule) SEE STMT 6 | 10,443,591 | 10,544,962 | 12,918,838 |
| | c Investments – corporate bonds (attach schedule) SEE STMT 7 | 5,890,600 | 4,958,778 | 4,937,213 |
| | 11 Investments – land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶ STMT 8 | 16,823 16,823 | | |
| | 12 Investments – mortgage loans | | | |
| | 13 Investments – other (attach schedule) | | | |
| Liabilities | 14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶ | | | |
| | 15 Other assets (describe ▶ SEE STATEMENT 9) | | 3,382 | |
| | 16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I) | 16,562,176 | 16,319,522 | 18,668,451 |
| | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/> | | | |
| | 24 Unrestricted | 16,562,176 | 16,319,522 | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/> | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances (see instructions) | 16,562,176 | 16,319,522 | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | 16,562,176 | 16,319,522 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|------------|
| 1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 16,562,176 |
| 2 Enter amount from Part I, line 27a | 2 | -242,629 |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 16,319,547 |
| 5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10 | 5 | 25 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 16,319,522 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | (b) How acquired P – Purchase D – Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|-----------------------------------|--|--------------------------------------|----------------------------------|
| 1a | PUBLICLY TRADED SECURITIES | P | | |
| b | PUBLICLY TRADED SECURITIES | P | | |
| c | PUBLICLY TRADED SECURITIES | P | | |
| d | BBH FORM 1099-DIV | | | |
| e | UNRECAPTURED SEC 1250 GAIN | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 133,212 | | 122,277 | 10,935 |
| b 2,638,548 | | 2,795,559 | -157,011 |
| c 1,218,742 | | 709,684 | 509,058 |
| d 144,946 | | | 144,946 |
| e 1,300 | | | 1,300 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|------------------------|--------------------------------------|---|---|
| a | | | 10,935 |
| b | | | -157,011 |
| c | | | 509,058 |
| d | | | 144,946 |
| e | | | 1,300 |

| | | | |
|--|---|----------|----------------|
| 2 Capital gain net income or (net capital loss) | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div> | 2 | 509,228 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | 3 | 10,935 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2015 | 1,143,117 | 19,009,147 | 0.060135 |
| 2014 | 1,021,448 | 19,715,523 | 0.051809 |
| 2013 | 1,045,013 | 18,549,376 | 0.056337 |
| 2012 | 745,570 | 17,041,853 | 0.043749 |
| 2011 | 870,607 | 16,251,490 | 0.053571 |

| | | |
|---|----------|-------------------|
| 2 Total of line 1, column (d) | 2 | 0.265601 |
| 3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.053120 |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 23,386,998 |
| 5 Multiply line 4 by line 3 | 5 | 1,242,317 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 7,816 |
| 7 Add lines 5 and 6 | 7 | 1,250,133 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,199,530 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | |
|-----------|---|-----------|---------------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 15,633 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 15,633 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 15,633 |
| 6 | Credits/Payments | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | 16,856 |
| b | Exempt foreign organizations – tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 8,000 |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 24,856 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 9,223 |
| 11 | Enter the amount of line 10 to be Credited to 2017 estimated tax 9,223 Refunded | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|----------|----------|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) MA | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | | X |

N/A

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|----------|----------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A | X | |
| 14 The books are in care of ► JOSHUA L. MINER P.O. BOX 111 Located at ► NORTH ANDOVER MA ZIP+4 ► 01845 Telephone no. ► 978-688-7211 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year | | |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|----------|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20 , 20 , 20 , 20 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) | N/A | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20 | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | N/A | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here **►** ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| JOSHUA L. MINER 356 MAIN STREET BOXFORD MA 01921 | TRUSTEE 0.00 | 80,000 | 0 | 0 |
| CHRISTOPHER W. ROGERS 46 OCEAN STREET NAHANT MA 01908 | TRUSTEE 0.00 | 0 | 0 | 0 |
| DEBORAH D. PUTNAM 32 ARBOROUGH ROAD ROSLINDALE MA 02131 | TRUSTEE 0.00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|------------------|---|---------------------------------------|
| SANDRA CANNELLA ONE JOHNSON STREET NORTH ANDOVER MA 01845 | OFFICE MANAG 68,040 | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 **►** **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | | (b) Type of service | (c) Compensation |
|---|----------|---------------------|------------------|
| BROWN BROTHERS HARRIMAN | BOSTON | INVESTMENT ADVI | 78,578 |
| 40 WATER STREET | MA 02110 | | |
| | | | |
| | | | |
| | | | |
| | | | |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| | Amount |
|--|--------|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 23,376,623 |
| b | Average of monthly cash balances | 1b | 366,522 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 23,743,145 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 23,743,145 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 356,147 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 23,386,998 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,169,350 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|---|-----------|-----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,169,350 |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 15,633 |
| b | Income tax for 2016 (This does not include the tax from Part VI) | 2b | |
| c | Add lines 2a and 2b | 2c | 15,633 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,153,717 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 1,153,717 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,153,717 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26 | 1a | 982,030 |
| b | Program-related investments – total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | 217,500 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,199,530 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,199,530 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|----------------|----------------------------|-------------|------------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 1,153,717 |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2016: | | | | |
| a From 2011 | 20,497 | | | |
| b From 2012 | | | | |
| c From 2013 | 143,738 | | | |
| d From 2014 | 46,921 | | | |
| e From 2015 | 225,716 | | | |
| f Total of lines 3a through e | 436,872 | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4: \$ 1,199,530 | | | | |
| a Applied to 2015, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | | | |
| c Treated as distributions out of corpus (Election required – see instructions) | | | | |
| d Applied to 2016 distributable amount | | | | 1,153,717 |
| e Remaining amount distributed out of corpus | 45,813 | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 482,685 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount – see instructions | | | | |
| e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions | | | | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) | 20,497 | | | |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 462,188 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2012 | | | | |
| b Excess from 2013 | 143,738 | | | |
| c Excess from 2014 | 46,921 | | | |
| d Excess from 2015 | 225,716 | | | |
| e Excess from 2016 | 45,813 | | | |

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| a Paid during the year SEE ATTACHED SCHEDULE | UNRELATED | 501 (C) (3) CHARITABLE | PURPOSE | 937,665 |
| Total | | | ▶ 3a | 937,665 |
| b Approved for future payment SEE ATTACHED SCHEDULE | UNRELATED | 501 (C) (3) CHARITABLE | PURPOSE | 32,500 |
| Total | | | ▶ 3b | 32,500 |

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash
- (2)** Other assets
- b** Other transactions
- (1)** Sales of assets to a noncharitable exempt organization
- (2)** Purchases of assets from a noncharitable exempt organization
- (3)** Rental of facilities, equipment, or other assets
- (4)** Reimbursement arrangements
- (5)** Loans or loan guarantees
- (6)** Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| | Yes | No |
|-------|-----|----|
| 1a(1) | | X |
| 1a(2) | | X |
| 1b(1) | | X |
| 1b(2) | | X |
| 1b(3) | | X |
| 1b(4) | | X |
| 1b(5) | | X |
| 1b(6) | | X |
| 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Sign
Here**

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

**Paid
Preparer
Use Only**

KEVIN A. SULLIVAN

KEVIN A. SULLIVAN

10/25/17

Firm's name ▶ **ABEL, ROGERS & SULLIVAN**

| | |
|------|-----------|
| PTIN | P00001560 |
|------|-----------|

Firm's address ▶ 1 CORPORATE DR
ANDOVER, MA 01810

Firm's EIN ▶ 04-3073222

Phone no **978-975-3919**

Form **990-PF** (2016)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

| Description | Revenue per Books | Net Investment Income | Adjusted Net Income |
|--------------------------------|-------------------|-----------------------|---------------------|
| BBH K-1 ST CAPITAL GAIN (LOSS) | \$ 40,471 | \$ 40,471 | \$ |
| BBH K-1 LT CAPITAL GAIN (LOSS) | -11,202 | -11,202 | |
| BBH K-1 OTHER INCOME | 21,776 | 21,776 | |
| OFFICE SUPPORT | 13,300 | | |
| SHARED OFFICE EXPENSE | 84,000 | | |
| OTHER INCOME | 46 | | |
| TOTAL | \$ 148,391 | \$ 51,045 | \$ 0 |

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|-------------|----------|----------------|--------------|--------------------|
| | \$ 3,850 | \$ | \$ | \$ 3,850 |
| TOTAL | \$ 3,850 | \$ 0 | \$ 0 | \$ 3,850 |

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|-----------------|-----------|----------------|--------------|--------------------|
| INVESTMENT FEES | \$ 78,578 | \$ 78,578 | \$ | \$ |
| TOTAL | \$ 78,578 | \$ 78,578 | \$ 0 | \$ 0 |

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|------------------|-----------|----------------|--------------|--------------------|
| FOREIGN TAX | \$ 8,317 | \$ 8,317 | \$ | \$ |
| FEDERAL TAX | 6,000 | | | |
| STATE FILING FEE | 125 | | | |
| PAYROLL TAX | 11,325 | | | 11,325 |
| TOTAL | \$ 25,767 | \$ 8,317 | \$ 0 | \$ 11,325 |

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|------------------------------|-----------|----------------|--------------|--------------------|
| EXPENSES | \$ | \$ | \$ | \$ |
| BANK CHARGES | 53 | | | 53 |
| INSURANCE | 2,152 | | | 2,152 |
| OFFICE EXPENSE | 2,699 | | | 2,319 |
| PO BOX RENTAL | 134 | | | 514 |
| MAINTENANCE AGREEMENT | 2,318 | 53,682 | | 2,318 |
| BBH K-1S 2% FLOOR DEDUCTIONS | 53,682 | | | |
| DUES & SUBSCRIPTIONS | 5,000 | | | 5,000 |
| TOTAL | \$ 66,038 | \$ 53,682 | \$ 0 | \$ 12,356 |

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-------------|-------------------|---------------|--------------------|-------------------|
| | \$ 10,443,591 | \$ 10,544,962 | COST | \$ 12,918,838 |
| TOTAL | \$ 10,443,591 | \$ 10,544,962 | | \$ 12,918,838 |

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-------------|----------------------|----------------|-----------------------|----------------------|
| | \$ 5,890,600 | \$ 4,958,778 | COST | \$ 4,937,213 |
| TOTAL | \$ 5,890,600 | \$ 4,958,778 | | \$ 4,937,213 |

Statement 8 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

| Description | Beginning Net Book | End Cost / Basis | End Accumulated Depreciation | Net FMV |
|-------------|-----------------------|---------------------|---------------------------------|------------|
| | \$ | \$ 16,823 | \$ 16,823 | \$ |
| TOTAL | \$ 0 | \$ 16,823 | \$ 16,823 | \$ 0 |

Federal Statements**Statement 9 - Form 990-PF, Part II, Line 15 - Other Assets**

| Description | Beginning of Year | End of Year | Fair Market Value |
|-----------------------------|----------------------|----------------|----------------------|
| BOND COST BASIS ADJUSTMENTS | \$ | \$ 3,382 | \$ |
| TOTAL | \$ 0 | \$ 3,382 | \$ 0 |

Statement 10 - Form 990-PF, Part III, Line 5 - Other Decreases

| Description | Amount |
|-------------|--------|
| PENALTY | \$ 25 |
| TOTAL | \$ 25 |

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

| Description |
|------------------------|
| SEE ATTACHED STATEMENT |

Form 990-PF, Part XV, Line 2c - Submission Deadlines

| Description |
|------------------------|
| SEE ATTACHED STATEMENT |

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

| Description |
|------------------------|
| SEE ATTACHED STATEMENT |

Federal Statements

Taxable Dividends from Securities

| Description | Amount | Unrelated Business Code | Exclusion Code | Postal Code | US Obs (\$ or %) |
|-------------------------------|------------|----------------------------|-------------------|----------------|---------------------|
| BBH WEALTH STRATEGIES K-1 DIV | \$ 98,984 | | 14 | | |
| BBH WEALTH STRATEGIES K-1 INT | 38,929 | | 14 | | |
| BBH FORM 1099-DIV | 214,887 | | 14 | | |
| BBH FORM 1099-INT | 30,325 | | 14 | | |
| BANK INTEREST | 2 | | 14 | | |
| OTHER INTEREST INCOME | 1,204 | | 14 | | |
| BOND PREMIUM | -7,037 | | 14 | | |
| TOTAL | \$ 377,294 | | | | |

Other Investment Income

| Description | Amount | Unrelated Business Code | Exclusion Code | Postal Code |
|--------------------------------|-----------|----------------------------|-------------------|----------------|
| BBH K-1 ST CAPITAL GAIN (LOSS) | \$ 40,471 | | 18 | |
| BBH K-1 LT CAPITAL GAIN (LOSS) | -11,202 | | 18 | |
| TOTAL | \$ 29,269 | | | |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION
 EIN: 04-2236996
 TAX YEAR: 2016
 FORM 990PF
 PART XV 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|--|--|--------------------------------------|--|-----------|
| NAME AND ADDRESS | | | | |
| APPALACHIAN MOUNTAIN CLUB MARGARET MCNAMARA, DIR. OF CORP DEVEL 5 JOY STREET, BOSTON, MA 02108 | | 501(C)(3) CHARITABLE ORGANIZATION | YOUTH OPPORTUNITIES PROGRAM LAWRENCE & LOWELL | 30,000.00 |
| ALL FIVE 5 REYNA PLACE MENLO, CA 94025 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 1,000.00 |
| ALTERNATIVE HOUSE KATHLEEN KELLEY, EXEC. DIR. PO BOX 2100, LOWELL, MA 01851 | | 501(C)(3) CHARITABLE ORGANIZATION | AFTER SCHOOL, SATURDAY & SUMMER PROGRAMMING | 3,000.00 |
| ASIAN TASK FORCE AGAINST DOM. VIOLENCE PO BOX 120108, BOSTON, MA 0212 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 1,000.00 |
| BELLESINI ACADEMY 94 BRADFORD STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| BRUSH ART GALLERY JAMES DYMENT, EXECUTIVE DIRECTOR 256 MARKET STREET, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | EXHIBIT SUPPORT "HISTORY OF THE BRUSH" | 2,500.00 |
| BUDGET BUDDIES ANITA SEVILLE, EX. DIR 14 HIGH STREET, CHELMSFORD, MA 01824 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE & LOWELL PROGRAMMING | 15,000.00 |
| COMMUNITIES TOGETHER VICTOR MARTINEZ, EXEC. DIR PO BOX 428, LAWRENCE, MA 01842 | | 501(C)(3) CHARITABLE ORGANIZATION | HAPPY HANDS PROGRAM AT HANCOCK COUNTS HOUSING PROJECT | 12,000.00 |
| COMMUNITY GIVING TREE PO BOX 508, WEST BOXFORD, MA 01885 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 500.00 |
| COMMUNITY SERVINGS DAVID WATERS, CEO 18 MARBURY TERRACE, JAMAICA PLAIN, MA 02130 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE & LOWELL SERVICES | 4,000.00 |
| COMMUNITY TEAMWORK CONNIE BARKER, GRANTS MANAGER 155 MERRIMACK STREET, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | FINANCIAL LITERACY ACADEMY, INDIVIDUAL DEVEL ACCOUNT PROGRAMS | 6,000.00 |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION

EIN: 04-2236996

TAX YEAR: 2016

FORM 990PF

PART XV 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|-----------------------------------|--|----------|
| NAME AND ADDRESS | | | | |
| BREAD & ROSES HERITAGE COMMITTEE | | 501(C)(3) | | |
| JOSHUA ALBA, FUNDRAISING COMMITTEE | | CHARITABLE ORGANIZATION | LABOR DAY FESTIVAL 2016 | 5,000.00 |
| PO BOX 1137, LAWRENCE, MA 01842 | | | | |
| CREATIVE HAVERHILL | | 501(C)(3) | | |
| DANIELLE SMIDA, CHAIRMAN | | CHARITABLE ORGANIZATION | RENOVATE COGSWELL SCHOOL TO ARTSPACE | 7,000.00 |
| PO BOX 205, HAVERHILL, MA 01831 | | | | |
| DISCOVERY MUSEUM | | 501(C)(3) | | |
| NEIL GORDON, CEO | | CHARITABLE ORGANIZATION | OPEN DOOR CONNECTIONS PROGRAM | 5,000.00 |
| 177 MAIN STREET, ACTON, MA 01720 | | | | |
| ECCF GREATER LAWRENCE SUMMER FUND | | 501(C)(3) | | |
| CAROL SCHUSTER | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 2,000.00 |
| 175 ANDOVER ST STE 101, DANVERS, MA 01923 | | | | |
| ECCF GREATER LAWRENCE SUMMER FUND | | 501(C)(3) | | |
| CAROL SCHUSTER | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 500.00 |
| 175 ANDOVER ST STE 101, DANVERS, MA 01923 | | | | |
| ECCF LAWRENCE EMERGENCY FUND | | 501(C)(3) | | |
| 175 ANDOVER ST STE 101, DANVERS, MA 01923 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| ESC NEW ENGLAND | | | | |
| JULIE CROCKFORD, EXEC. DIRECTOR | | 501(C)(3) | | |
| 176 FEDERAL STREET SUITE 5C, BOSTON, MA 02110 | | CHARITABLE ORGANIZATION | SERVICES TO MERRIMACK VALLEY NON-PROFITS | 3,000.00 |
| ESPERANZA ACADEMY | | 501(C)(3) | | |
| 198 GARDEN STREET, LAWRENCE, MA 01840 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| ESSEX ART CENTER | | 501(C)(3) | | |
| 56 ISLAND STREET, LAWRENCE, MA 01840 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 1,000.00 |
| ESSEX ART CENTER | | 501(C)(3) | | |
| 56 ISLAND STREET, LAWRENCE, MA 01840 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| FRIENDS OF BOSTON LATIN ACADEMY | | 501(C)(3) | | |
| 205 TOWNSEND STREET, BOSTON, MA 02125 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 5,000.00 |
| FRIENDS OF BOSTON LATIN SCHOOL CREW | | 501(C)(3) | | |
| 4 WOODS PLACE, CHARLESTOWN, MA 02129 | | CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 750.00 |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION
 EIN: 04-2236996
 TAX YEAR: 2016
 FORM 990PF
 PART XV 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|--|--|--------------------------------------|--------------------------------------|-----------|
| NAME AND ADDRESS | | | | |
| GAY & LESBIAN ADVOCATES & DEFENDERS 30 WINTER STREET SUITE 800, BOSTON, MA 02108 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 5,000.00 |
| GIRL SCOUTS OF EASTERN MA JESSICA GREUS, GRANT MANAGER 95 BERKELEY ST , BOSTON, MA 02116 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE FAB FACTOR PROGRAM SUPPORT | 5,000.00 |
| GOVERNOR'S ACADEMY 1 ELM STREET, BYFIELD, MA 01922 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| GREATER LOWELL COMMUNITY FOUNDATION SUSAN WINSHIP, EXECUTIVE DIRECTOR 100 MERRIMACK STREET SUITE 202, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | MANAGE & OPERATE SCHOLARSHIP PROGRAM | 7,500.00 |
| HAVERHILL FOUNDATION OF EXCELLENCE IN EDUCATION TINA FULLER, TREASURER PO BOX 186, HAVERHILL, MA 01831 | | 501(C)(3) CHARITABLE ORGANIZATION | DISCOVERY CLUB SUMMER PROGRAM | 5,000.00 |
| HURRICAN ISLAND OUTWARD BOUND 41 MECHANIC STREET SUITE 313, CAMDEN, ME 04843 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| LAKE SUNAPEE PROTECTIVE ASSOCIATION PO BOX 683, SUNAPEE, NH 03782 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| LAWRENCE HERITAGE STATE PARK, FRIENDS OF SUSAN GRABSKI, BOARD MEMBER ONE JACKSON STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | INTERACTIVE SUMMER BOAT TOURS | 1,000.00 |
| GREATER BOSTON FOOD BANK CATHERINE AMATO, PRESIDENT 70 SOUTH BAY AVE., BOSTON, MA 02118-2700 | | 501(C)(3) CHARITABLE ORGANIZATION | FOOD DISTRIBUTION MERRIMACK VALLEY | 6,000.00 |
| BERKLEE COLLEGE OF MUSIC PATRICIA DEMARCO, GRANT WRITER 1140 BOYLSTON ST. MS1140 1A BOSTON, MA 02215 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE SCHOLARSHIP SUMMER PROGRAM | 5,165.00 |
| LAFAYETTE COLLEGE BOX 3000, EASTON, PA 18042 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 250.00 |
| JERICHO ROAD PROJECT - LOWELL DAN HOLIN, EXEC. DIR. 20 LEXINGTON ROAD, CONCORD, MA 01742 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 15,000.00 |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION
 EIN: 04-2236996
 TAX YEAR: 2016
 FORM 990PF
 PART XV 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|--------------------------------------|---|-----------|
| NAME AND ADDRESS | | | | |
| JERICHO ROAD LAWRENCE JOAN KULASH, EXEC. DIRECTOR 3 GREAT POND ROAD, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 30,000.00 |
| LAWRENCE HIGH SCHOOL 10TH GRADE ACADEMY ED WARNSHUIS 70-71 NORTH PARISH ROAD, LAWRENCE, MA 01843 | | 501(C)(3) CHARITABLE ORGANIZATION | ORIENTATION EVENT 8.22.16 | 4,000.00 |
| LOUIS D. BROWN INSTITUTE 15 CHRISTOPHER STREET, DORCHESTER, MA 02112 LOWELL ART ASSOCIATION | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 250.00 |
| SARA BOGOSIAN, PRESIDENT 243 WORTHEN STREET, LOWELL, MA 01852 LOWELL ASSOCIATION FOR THE BLIND ELIZABETH CANNON, EXEC. DIR | | 501(C)(3) CHARITABLE ORGANIZATION | WHISTLER MUSEUM YOUTH SUMMER PROGRAM | 4,000.00 |
| 169 MERRIMACK STREET, 2ND FLOOR, LOWELL, MA 01853 FAMILY SERVICES OF MERRIMACK VALLEY LIZ SWEENEY, EXEC. DIRECTOR 430 NORTH CANAL STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | ADULT SERVICES PROGRAM SUPPORT | 2,500.00 |
| MASSACHUSETTS AUDUBON BOSTON NATURE CTR. 500 WALK HILL STREET, MATTAPAN, MA 02126 FOOD FOR THE WORLD | | 501(C)(3) CHARITABLE ORGANIZATION | PURCHASE NEW PRINTER/COPIER | 10,000.00 |
| JULIA POLANCO, EXCE. DIRECTOR PO BOX 2012, METHUEN, MA 01844 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 5,000.00 |
| LAZARUS HOUSE SUE NEWTON, FOUNDATIONS COORDINATOR PO BOX 408, LAWRENCE, MA 01841 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 10,000.00 |
| LIFESAVER MINISTRIES KEVIN COFFEY, EX. DIR 83 MIDDLESEX STREET, NORTH CHELMSFORD, MA 01863 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 15,000.00 |
| MERRIMACK REPERTORY THEATRE ELIZABETH KEGLEY, EXECUTIVE DIRECTOR 132 WARREN STREET, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 5,000.00 |
| MERRIMACK VALLEY FOOD BANK AMY PESSIA PO BOX 8653, LOWELL, MA 01853 | | 501(C)(3) CHARITABLE ORGANIZATION | STUDENT MATINEE SERIES, LAWRENCE AND LOWELL | 7,500.00 |
| | | 501(C)(3) CHARITABLE ORGANIZATION | PURCHASE REFRIGERATION TRUCK MV DELIVERIES | 5,000.00 |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION
EIN: 04-2236996
TAX YEAR: 2016
FORM 990PF
PART XV 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|--------------------------------------|--|-----------|
| NAME AND ADDRESS | | | | |
| MERRIMACK VALLEY HABITAT FOR HUMANITY RANDY LARSON, EXEC. DIRECTOR 60 ISLAND ST 2ND FL, EAST LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 250.00 |
| MERRIMACK VALLEY HOUSING PARTNERSHIP JIM WILDE, EXEC. DIRECTOR PO BOX 1042, LOWELL, MA 01853 | | 501(C)(3) CHARITABLE ORGANIZATION | FIRST TIME HOME BUYERS PROGRAM SUPPORT | 2,000.00 |
| BOYS & GIRLS CLUB OF GREATER HAVERHILL BRIAN THEIRRIEN, EXEC. DIRECTOR 55 EMERSON STREET, HAVERHILL, MA 01830 | | 501(C)(3) CHARITABLE ORGANIZATION | NEXT STEP SUCCESS ACADEMIC PROGRAM | 4,000.00 |
| MERRIMACK VALLEY YMCA 101 AMESBURY STREET 4TH FL, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | MERRIMACK VALLEY YOUTH LEADERSHIP SUMMIT, YMCA IS FISCAL | 5,000.00 |
| MERRIMACK VALLEY YMCA 101 AMESBURY STREET 4TH FL, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | EDUCATIONAL INITIATIVES AT LAWRENCE BRANCH | 30,000.00 |
| GROUNDWORK LAWRENCE HEATHER MCMANN 60 ISLAND ST, SUITE 508 E, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 2,000.00 |
| GROUNDWORK LAWRENCE HEATHER MCMANN 60 ISLAND ST, SUITE 508 E, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | BUILD OUT OF KITCHEN & OFFICE SPACE AT UNION CROSSING SITE | 75,000.00 |
| GROUNDWORK LAWRENCE HEATHER MCMANN 60 ISLAND ST, SUITE 508 E, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | BUILD OUT OF KITCHEN & OFFICE SPACE AT UNION CROSSING SITE | 75,000.00 |
| ESSEX COUNTY GREENBELT ASSOC. EDWARD O. BECKER 82 EASTERN AVENUE, ESSEX, MA 01929 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 2,000.00 |
| ESSEX COUNTY GREENBELT ASSOC. EDWARD O. BECKER 82 EASTERN AVENUE, ESSEX, MA 01929 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| AMERICAN RED CROSS OF MA PATRICIA GAUTHIER, DIR. OF FOUND. GIVING 139 MAIN STREET, CAMBRIDGE, MA 02142 | | 501(C)(3) CHARITABLE ORGANIZATION | MV DISASTER SERVICES | 7,500.00 |
| MERRIMACK VALLEY YMCA 101 AMESBURY STREET 4TH FLOOR, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE BRANCH CAPITAL | 75,000.00 |

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|---|--|--------------------------------------|--|-----------|
| NAME AND ADDRESS | | | | |
| LAWRENCE HISTORY CENTER SUSAN GRABSKI, EXEC. DIR. 5 ESSE STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 2,000.00 |
| LAWRENCE HISTORY CENTER SUSAN GRABSKI, EXEC DIR. 5 ESSE STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 40,000.00 |
| LAWRENCE PARTNERSHIP DEREK MITCHELL, EXEC. DIRECTOR NECC 420 COMMON STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL OPERATING SUPPORT | 5,000.00 |
| MERRIMACK VALLEY YMCA 101 AMESBURY STREET 4TH FLOOR, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 1,500.00 |
| MI NURSING & RESTORATIVE CENTER GERALD FOLEY, PRES/CEO 172 LAWRENCE ST., LAWRENCE, MA 01841 | | 501(C)(3) CHARITABLE ORGANIZATION | RENOVATE LOBBY, FAMILY AREA & DINING | 7,500.00 |
| MIDDLESEX COMMUNITY COLLEGE BRIDGE ELLEN SPIEGAL, DIRECTOR 33 KEARNEY SQUARE, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | PROJECT GIVE BACK & AFTER SCHOOL | 3,000.00 |
| MILL CITY GROWS LYDIA SISSON, CO-CHAIR PO BOX 7133, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | STAFF EXPANSION & OPERATING | 5,000.00 |
| MUSEUM INSTITUTE FOR TEACHING SCIENCE EMILY WADE, BOARD PRESIDENT 1354 HANCOCK ST. SUITE 302, QUINCY, MA 02169 | | 501(C)(3) CHARITABLE ORGANIZATION | SUMMER INSTITUTE FOR LAWRENCE TEACHERS | 5,000.00 |
| ESSEX ART CENTER 56 ISLAND STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING & PROGRAM SUPPORT | 27,500.00 |
| NORTH ANDOVER HISTORICAL SOCIETY CAROL MAJAHAD, EXECUTIVE DIRECTOR 153 ACADEMY ROAD, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | TEXTILE ARTS WORKSHOPS | 7,500.00 |
| BEYOND SOCCER STEPHANIE MCARDIE, EXEC. DIR 60 ISLAND ST, SUITE 508 E, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM & OPERATING SUPPORT | 16,000.00 |
| NORTH SHORE MEDIATION CENTER CYNTHIA MCCLOREY, EXECUTIVE DIR. 100 CUMMINGS CENTER SUITE 307J, BEVERLY, MA 01915 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE PARTICIPANTS BASIC MEDIATION TRAINING & APPRENTICESHIP | 1,500.00 |

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| NAME AND ADDRESS | | | | |
| NORTHEAST MA YOUTH ORCHESTRAS ANN BROWN, DEVEL. 175 ANDOVER ST SUITE 101, DANVERS, MA 01923 | | 501(C)(3) CHARITABLE ORGANIZATION | YOUTH SERVING THRU MUSIC PROGRAM | 3,000.00 |
| ELEVATED THOUGHT FOUNDATION LESTER SYDNEY, VP 22 HEATHER DRIVE, METHUEN, MA 01844 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 2,000.00 |
| NORTHEAST MA YOUTH ORCHESTRAS ANN BROWN, DEVEL. 175 ANDOVER ST SUITE 101, DANVERS, MA 01923 | | 501(C)(3) CHARITABLE ORGANIZATION | LAWRENCE STUDENTS | 1,000.00 |
| OUR NEIGHBORS TABLE LYNDEY HAIGHT, EXECUTIVE DIRECTOR PO BOX 592, AMESBURY, MA 01913 | | 501(C)(3) CHARITABLE ORGANIZATION | CONSTRUCTION OF NEW FACILITY | 5,000.00 |
| BELLESINI ACADEMY 94 BRADFORD STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | STUDENT & ALUMNI SPONSORSHIP PROGRAM | 25,000.00 |
| OUR RESTORATIVE JUSTICE 175 CABOT ST. SUITE 100, LOWELL, MA 01854 | | 501(C)(3) CHARITABLE ORGANIZATION | MIDDLESEX COUNTY RESTORATIVE JUSTICE PROGRAM | 5,000.00 |
| PHILLIPS ACADEMY PALS SHARON BAHN, MAJOR GIFTS OFFICER 180 MAIN STREET, ANDOVER, MA 01810 | | 501(C)(3) CHARITABLE ORGANIZATION | PALS PROGRAM SUPPORT | 20,000.00 |
| ECCF GREATER LAWRENCE SUMMER FUND CAROL SCHUSTER 175 ANDOVER ST STE 101, DANVERS, MA 01923 | | 501(C)(3) CHARITABLE ORGANIZATION | SUMMER 2016 SUPPORT | 50,000.00 |
| PIKE SCHOOL 34 SUNSET ROCK ROAD, ANDOVER, MA 01810 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 500.00 |
| PRESENTATION OF MARY ACADEMY ROSE MARIA DIFIORE REDMAN 209 LAWRENCE STREET, METHUEN, MA 01844 | | 501(C)(3) CHARITABLE ORGANIZATION | TUITION ASSISTANCE LAWRENCE STUDENTS | 6,500.00 |
| PROFESSIONAL CTR. FOR CHILD DEVEL VERYL ANDERSON, EXEC. DIRECTOR 37 OSGOOD STREET, ANDOVER, MA 01810 | | 501(C)(3) CHARITABLE ORGANIZATION | CAPITAL CLOSE-OUT FOR PLAYGROUND UPGRADE | 7,500.00 |
| PROJECT HOPE 550 DUDLEY STREET, ROXBURY, MA 02119 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 1,500.00 |

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION
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| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|--------------------------------------|---|-----------|
| NAME AND ADDRESS | | | | |
| QUEBEC LABRADOR FOUNDATION 55 SOUTH MAIN STREET, IPSWICH, MA 01938 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| SCHOOL YEAR ABROAD 120 WATER STREET STE.310, NORTH ANDOVER, MA 01845 SI, SE PUEDE | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| LORIE MENDOZA, EXEC. DIRECTOR PO BOX 53, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | OPERATING SUPPORT | 7,500.00 |
| SI, SE PUEDE LORIE MENDOZA, EXEC DIRECTOR PO BOX 53, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 1,000.00 |
| OUTWARD BOUND USA 255 WEST 36TH ST 8TH FLOOR, NEW YORK, NY 10018 SI, SE PUEDE | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| LORIE MENDOZA, EXEC. DIRECTOR PO BOX 53, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 4,000.00 |
| SPECTRUM HEALTH SYSTEMS CHARLES FARIS, CEO 10 MECHANIC STREET SUITE 302, WORCESTER, MA 01608 | | 501(C)(3) CHARITABLE ORGANIZATION | METHUEN FACILITY ADOLESCENT SUBSTANCE ABUSE TREATMENT CTR | 5,000.00 |
| ST. JAMES EPISCOPAL CHURCH 119 WASHINGTON STREET, GROVELAND, MA 01834 THE OPEN DOOR JULIE LAFONTAINE | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 3,000.00 |
| 28 EMERSON AVENUE, GLOUCESTER, MA 01930 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| THOMPSON ISLAND OUTWARD BOUND PO BOX 127, BOSTON, MA 02127 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| TOP NOTCH SCHOLARS JOANNA DEPENA, PRESIDENT 60 ISLAND STREET, LAWRENCE, MA 01841 | | 501(C)(3) CHARITABLE ORGANIZATION | PILOT FUNDING LEADERSHIP DEVELOPMENT PROGRAM | 15,000.00 |
| UNITED TEEN EQUALITY CENTER GREGG CROTEAU, EX. DIR. 34 HURD STREET, LOWELL, MA 01852 | | 501(C)(3) CHARITABLE ORGANIZATION | START-UP OF MENTORING PROGRAM | 15,000.00 |

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| RECIPIENT | | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|--|--|--------------------------------------|--|-----------|
| NAME AND ADDRESS | | | | |
| UP WITH MUSIC TRUDY LARSON, EX. DIR. 1213 SALEM STREET, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | COMMUNITY STRINGS PROGRAM LAWRENCE | 5,000.00 |
| WINDRUSH FARM 479 LACY ST, NORTH ANDOVER, MA 01845 | | 501(C)(3) CHARITABLE ORGANIZATION | GENERAL SUPPORT | 250.00 |
| YOUTH DEVELOPMENT ORGANIZATION MARK KEMPET, EX. DIR. 15 UNION STREET#563, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | CAPITAL | 60,000.00 |
| YWCA OF GREATER LAWRENCE MARY O'BRIEN, EXEC. DIR. 38 LAWRENCE STREET, LAWRENCE, MA 01840 | | 501(C)(3) CHARITABLE ORGANIZATION | CAPITAL FOR RENOV. OF HAVERHILL RESIDENCE FACILITY | 40,000.00 |

TOTAL

937,665.00

NATHANIEL & ELIZABETH P. STEVENS FOUNDATION

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PART XV 3b GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

| RECIPIENT NAME AND ADDRESS | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|--|--------------------------------------|-------------------------------------|-----------|
| | | | |
| ECCF LAWRENCE EMERGENCY FUND 175 ANDOVER ST STE 101, DANVERS, MA 01923 | 501(C)(3) CHARITABLE ORGANIZATION | PROGRAM SUPPORT | 5,000.00 |
| NORTH ANDOVER HISTORICAL SOCIETY CAROL MAJAHAD, EXECUTIVE DIRECTOR 153 ACADEMY ROAD, NORTH ANDOVER, MA 01845 | 501(C)(3) CHARITABLE ORGANIZATION | TEXTILE ARTS WORKSHOPS | 7,500.00 |
| YOUTH DEVELOPMENT ORGANIZATION MARK KEMPert, EX. DIR. 15 UNION STREET#563, LAWRENCE, MA 01840 | 501(C)(3) CHARITABLE ORGANIZATION | CAPITAL | 20,000.00 |

TOTAL

32,500.00

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STATEMENT 4 – FORM 990-PF PART XII, LINE 3b AMOUNT SET ASIDE FOR SPECIFIC CHARTIBABLE PROJECTS THAT SATISFY THE CASH DISTRIBUTION TEST**PROJECT #1 – ECCF LAWRENCE EMERGENCY FUND**

1. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE BEING SET ASIDE:

| <u>NAME</u> | <u>AMOUNT</u> | <u>PURPOSE OF THE PROJECT</u> |
|------------------------------|---------------|-------------------------------|
| ECCF LAWRENCE EMERGENCY FUND | \$5,000 | PROGRAM SUPPORT |
| 175 ANDOVER ST STE 101 | | |
| DANVERS, MA 01923 | | |

2. THE ABOVE AMOUNTS WILL ACTUALLY BE PAID DURING 2017 WHICH IS A PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.
3. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE ABOVE-REFERENCED TAX YEAR (THE YEAR IN WHICH THE SET-ASIDE WAS MADE).
4. A STATEMENT SHOWING THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC.4942(d) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS. NOT APPLICABLE.
5. A STATEMENT SHOWING THE ACTUAL PAYMENTS MADE IN CASH FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

| <u>NAME</u> | <u>YEAR</u> | <u>AMOUNT</u> |
|------------------------------|-------------|---------------|
| ECCF LAWRENCE EMERGENCY FUND | 2017 | \$5,000 |
| | TOTAL | \$5,000 |

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STATEMENT 4 – FORM 990-PF PART XII, LINE 3b AMOUNT SET ASIDE FOR SPECIFIC CHARTIBABLE PROJECTS THAT SATISFY THE CASH DISTRIBUTION TEST**PROJECT #2 – THEATRE ESPRESSO**

1. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE BEING SET ASIDE:

| NAME | AMOUNT | PURPOSE OF THE PROJECT |
|-------------------------|---------------|----------------------------------|
| THEATRE ESPRESSO | \$10,000 | BRING THE BREAD & ROSES PLAY TO |
| 43 BOYNTON STREET | | LAWRENCE HERITAGE STATE PARK FOR |
| JAMAICA PLAIN, MA 02130 | | LAWRENCE 5 TH GRADERS |

2. THE ABOVE AMOUNTS WILL ACTUALLY BE PAID DURING 2017 WHICH IS A PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.
3. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE ABOVE-REFERENCED TAX YEAR (THE YEAR IN WHICH THE SET-ASIDE WAS MADE).
4. A STATEMENT SHOWING THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC.4942(d) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS. NOT APPLICABLE.
5. A STATEMENT SHOWING THE ACTUAL PAYMENTS MADE IN CASH FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

| NAME | YEAR | AMOUNT |
|------------------|-------------|---------------|
| THEATRE ESPRESSO | 2015 | \$20,000 |
| | 2017 | 10,000 |
| | TOTAL | \$30,000 |

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STATEMENT 4 – FORM 990-PF PART XII, LINE 3b AMOUNT SET ASIDE FOR SPECIFIC CHARTABLE PROJECTS THAT SATISFY THE CASH DISTRIBUTION TEST**PROJECT #3 – NORTH ANDOVER HISTORICAL SOCIETY**

6. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE BEING SET ASIDE:

| <u>NAME</u> | <u>AMOUNT</u> | <u>PURPOSE OF THE PROJECT</u> |
|----------------------------------|---------------|-------------------------------|
| NORTH ANDOVER HISTORICAL SOCIETY | \$7,500 | TEXTILE ARTS WORKSHOPS |
| 153 ACADEMY ROAD | | |
| NORTH ANDOVER, MA 01845 | | |

7. THE ABOVE AMOUNTS WILL ACTUALLY BE PAID DURING 2017 AND 2018 WHICH IS A PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.
8. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE ABOVE-REFERENCED TAX YEAR (THE YEAR IN WHICH THE SET-ASIDE WAS MADE).
9. A STATEMENT SHOWING THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC.4942(d) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS. NOT APPLICABLE.
10. A STATEMENT SHOWING THE ACTUAL PAYMENTS MADE IN CASH FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

| <u>NAME</u> | <u>YEAR</u> | <u>AMOUNT</u> |
|----------------------------------|-------------|---------------|
| NORTH ANDOVER HISTORICAL SOCIETY | 2016 | \$7,500 |
| | 2017 | 5,000 |
| | 2018 | 2,500 |
| | TOTAL | \$15,000 |

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STATEMENT 4 – FORM 990-PF PART XII, LINE 3b AMOUNT SET ASIDE FOR SPECIFIC CHARTABLE PROJECTS THAT SATISFY THE CASH DISTRIBUTION TEST**PROJECT #4 – YOUTH DEVELOPMENT ORGANIZATION**

11. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE BEING SET ASIDE:

| <u>NAME</u> | <u>AMOUNT</u> | <u>PURPOSE OF THE PROJECT</u> |
|--------------------------------|---------------|-------------------------------|
| YOUTH DEVELOPMENT ORGANIZATION | \$20,000 | CAPITAL SUPPORT |
| 125 UNION STREET #563 | | |
| LAWRENCE, MA 01840 | | |

12. THE ABOVE AMOUNTS WILL ACTUALLY BE PAID DURING 2017 WHICH IS A PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.

13. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE ABOVE-REFERENCED TAX YEAR (THE YEAR IN WHICH THE SET-ASIDE WAS MADE).

14. A STATEMENT SHOWING THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC.4942(d) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS. NOT APPLICABLE.

15. A STATEMENT SHOWING THE ACTUAL PAYMENTS MADE IN CASH FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

| <u>NAME</u> | <u>YEAR</u> | <u>AMOUNT</u> |
|--------------------------------|-------------|---------------|
| YOUTH DEVELOPMENT ORGANIZATION | 2016 | \$60,000 |
| | 2017 | 20,000 |
| | TOTAL | \$80,000 |

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STATEMENT 4 – FORM 990-PF PART XII, LINE 3b AMOUNT SET ASIDE FOR SPECIFIC CHARTABLE PROJECTS THAT SATISFY THE CASH DISTRIBUTION TEST**PROJECT #5 – MERRIMACK VALLEY YMCA – LAWRENCE BRANCH**

16. THE NATURE AND PURPOSES OF THE PROJECT FOR WHICH THE AMOUNTS ARE BEING SET ASIDE:

| <u>NAME</u> | <u>AMOUNT</u> | <u>PURPOSE OF THE PROJECT</u> |
|--|---------------|---------------------------------|
| MERRIMACK VALLEY YMCA | \$175,000 | LAWRENCE BRANCH CAPITAL SUPPORT |
| 101 AMESBURY STREET 4 TH FLOOR | | |
| LAWRENCE, MA 01840 | | |

17. THE ABOVE AMOUNTS WILL ACTUALLY BE PAID DURING 2017, 2018 AND 2019 WHICH IS A PERIOD THAT ENDS NO MORE THAN 60 MONTHS AFTER THE DATE OF THE SET-ASIDE.

18. THE PROJECT WILL NOT BE COMPLETED BEFORE THE END OF THE ABOVE-REFERENCED TAX YEAR (THE YEAR IN WHICH THE SET-ASIDE WAS MADE).

19. A STATEMENT SHOWING THE DISTRIBUTABLE AMOUNTS DETERMINED UNDER IRC SEC.4942(d) FOR ALL PAST TAX YEARS IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS. NOT APPLICABLE.

20. A STATEMENT SHOWING THE ACTUAL PAYMENTS MADE IN CASH FOR EXEMPT PURPOSES DURING EACH TAX YEAR IN THE TAXPAYER'S START-UP AND FULL PAYMENT PERIODS.

| <u>NAME</u> | <u>YEAR</u> | <u>AMOUNT</u> |
|-----------------------|-------------|---------------|
| MERRIMACK VALLEY YMCA | 2016 | \$75,000 |
| | 2017 | 55,000 |
| | 2018 | 55,000 |
| | 2019 | 65,000 |
| | TOTAL | \$250,000 |