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**Return of Private Foundation**

**2016**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation  
**ROBERT M. BIRMINGHAM TRUST  
C/O JOHN T POLLANO, TRUSTEE**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**280 B MERRIMACK STREET**

City or town, state or province, country, and ZIP or foreign postal code  
**METHUEN, MA 01844**

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
\$ **439,439.** (Part I, column (d) must be on cash basis.)

**A** Employer identification number  
**04-1091024**

**B** Telephone number  
**(978) 683-6700**

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received				<b>N/A</b>	
<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities		<b>11,107.</b>	<b>11,107.</b>		<b>STATEMENT 1</b>
<b>5a</b> Gross rents					
<b>b</b> Net rental income or (loss)					
<b>6a</b> Net gain or (loss) from sale of assets not on line 10		<b>-89.</b>			
<b>b</b> Gross sales price for all assets on line 6a <b>96,208.</b>					
<b>7</b> Capital gain net income (from Part IV, line 2)			<b>0.</b>		
<b>8</b> Net short-term capital gain					
<b>9</b> Income modifications					
<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss)		<b>408.</b>	<b>230.</b>		<b>STATEMENT 2</b>
<b>11</b> Other income		<b>11,426.</b>	<b>11,337.</b>		
<b>12</b> Total. Add lines 1 through 11					
<b>13</b> Compensation of officers, directors, trustees, etc		<b>0.</b>	<b>0.</b>		<b>0.</b>
<b>14</b> Other employee salaries and wages					
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees					
<b>b</b> Accounting fees <b>STMT 3</b>		<b>1,800.</b>	<b>0.</b>		<b>0.</b>
<b>c</b> Other professional fees <b>STMT 4</b>		<b>150.</b>	<b>0.</b>		<b>0.</b>
<b>17</b> Interest					
<b>18</b> Taxes					
<b>19</b> Depreciation and depletion					
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings					
<b>22</b> Printing and publications					
<b>23</b> Other expenses					
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23		<b>1,950.</b>	<b>0.</b>		<b>0.</b>
<b>25</b> Contributions, gifts, grants paid		<b>23,000.</b>			<b>23,000.</b>
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		<b>24,950.</b>	<b>0.</b>		<b>23,000.</b>
<b>27</b> Subtract line 26 from line 12:					
<b>a</b> Excess of revenue over expenses and disbursements		<b>-13,524.</b>			
<b>b</b> Net investment income (if negative, enter -0-)			<b>11,337.</b>		
<b>c</b> Adjusted net income (if negative, enter -0-)				<b>N/A</b>	

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**ROBERT M. BIRMINGHAM TRUST**  
**C/O JOHN T POLLANO, TRUSTEE**

Form 990-PF (2016)

04-1091024

Page 2

<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	1,200.	2,651.	2,651.
	2 Savings and temporary cash investments	211,633.	25,763.	25,763.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <span style="float: right;">STMT 5</span>	196,158.	241,546.	256,701.
	c Investments - corporate bonds <span style="float: right;">STMT 6</span>	31,950.	157,457.	154,324.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	<b>440,941.</b>	<b>427,417.</b>	<b>439,439.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)	<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds ..	548,750.	548,750.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-107,809.	-121,333.	
30 <b>Total net assets or fund balances</b>	<b>440,941.</b>	<b>427,417.</b>		
31 <b>Total liabilities and net assets/fund balances</b>	<b>440,941.</b>	<b>427,417.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	440,941.
2 Enter amount from Part I, line 27a	2	-13,524.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	427,417.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	427,417.

Form 990-PF (2016)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	<b>SEE ATTACHED STATEMENT</b>			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	96,208.	96,297.	- 89.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			- 89.	
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	- 89.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2	Total of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

ROBERT M. BIRMINGHAM TRUST  
C/O JOHN T POLLANO, TRUSTEE

Form 990-PF (2016)

04-1091024 Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	227.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	227.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	227.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	90.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	90.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	137.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Form 990-PF (2016)

ROBERT M. BIRMINGHAM TRUST  
C/O JOHN T POLLANO, TRUSTEE

Form 990-PF (2016)

04-1091024 Page 5

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ JOHN T. POLLANO ESQ Telephone no. ▶ (978) 683-6700 Located at ▶ 280 B MERRIMACK STREET, METHUEN, MA ZIP+4 ▶ 01844		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Form 990-PF (2016)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

5b		
6b		X
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN T. POLLANO 10 CARRIAGE CHASE ROAD NORTH ANDOVER, MA 01845	TRUSTEE/AS NEEDED 0.00	0.	0.	0.
EVAN WILLIAMS II 37 HILLSIDE AVE LAWRENCE, MA 01841	TRUSTEE/AS NEEDED 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	322,417.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	120,624.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	443,041.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	443,041.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	6,646.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	436,395.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	21,820.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	21,820.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	227.
<b>2b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	227.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	21,593.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	21,593.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	21,593.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	23,000.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	23,000.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	23,000.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

ROBERT M. BIRMINGHAM TRUST  
C/O JOHN T POLLANO, TRUSTEE

Form 990-PF (2016)

04-1091024 Page 9

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				21,593.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			17,676.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011				
<b>b</b> From 2012				
<b>c</b> From 2013				
<b>d</b> From 2014				
<b>e</b> From 2015				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 23,000.				
<b>a</b> Applied to 2015, but not more than line 2a			17,676.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2016 distributable amount				5,324.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:	0.			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				16,269.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				
<b>c</b> Excess from 2014				
<b>d</b> Excess from 2015				
<b>e</b> Excess from 2016				

ROBERT M. BIRMINGHAM TRUST  
C/O JOHN T POLLANO, TRUSTEE

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 7**

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ROBERT M. BIRMINGHAM TRUST  
C/O. JOHN T POLLANO, TRUSTEE

Form 990-PF (2016)

04-1091024 Page 11

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ESPERANZA ACADEMY 198 GARDEN STREET LAWRENCE, MA 01843	NONE	501(C)(3)	GENERAL FUNDS EDUCATION	5,000.
LAWRENCE BOATING PROGRAM 1 EATON STREET LAWRENCE, MA 01843	NONE	501(C)(3)	EDUCATION	5,000.
LAWRENCE EXCHANGE SCHOLARSHIP FUND PO BOX 552 LAWRENCE, MA 01842	NONE	501(C)(3)	EDUCATION	5,000.
LAZARUS HOUSE 412 HAMPSHIRE STREET LAWRENCE, MA 01841	NONE	501(C)(3)	POOR AND HOMELESS	5,000.
ESSEX COUNTY COMMUNITY FOUNDATION 175 ANDOVER STREET DANVERS, MA 01923		501(C)(3)	EDUCATION AND CHILDREN	3,000.
<b>Total</b>				<b>23,000.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

▶ 3a

▶ 3b

Form 990-PF (2016)





**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 150 SH CATERPILLAR INC	P	10/25/05	04/08/16
b 200 SH CONSOLIDATED EDISON	P	03/06/02	01/25/16
c 200 SH GENERAL ELECTRIC	P	10/23/00	04/08/16
d 500 SH INTEL	P	01/31/01	04/08/16
e 276 SH MERCK	P	05/30/01	04/08/16
f 200 SH PAYCHEX	P	10/26/01	04/08/16
g 600 SH PFIZER	P	01/10/01	04/08/16
h 500 SH STAPLES	P	05/03/00	04/08/16
i CAPITAL GAINS DIVIDENDS			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,059.		7,628.	3,431.
b 13,297.		8,523.	4,774.
c 6,051.		10,086.	-4,035.
d 15,709.		19,380.	-3,671.
e 15,054.		11,677.	3,377.
f 10,486.		7,108.	3,378.
g 19,117.		25,777.	-6,660.
h 5,318.		6,118.	-800.
i 117.			117.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,431.
b			4,774.
c			-4,035.
d			-3,671.
e			3,377.
f			3,378.
g			-6,660.
h			-800.
i			117.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-89.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS DIVIDENDS	11,224.	117.	11,107.	11,107.	
TO PART I, LINE 4	11,224.	117.	11,107.	11,107.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NON DIVIDEND DISTRIBUTIONS	178.	0.	
DEFERRED INCOME PAYMENT	230.	230.	
TOTAL TO FORM 990-PF, PART I, LINE 11	408.	230.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,800.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,800.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	150.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	150.	0.		0.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK (DETAIL ATTACHED)	241,546.	256,701.
TOTAL TO FORM 990-PF, PART II, LINE 10B	241,546.	256,701.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS AND ETFS (DETAIL ATTACHED)	9,952.	9,714.
MUTUAL FUNDS AND ETFS (DETAIL ATTACHED)	147,505.	144,610.
TOTAL TO FORM 990-PF, PART II, LINE 10C	157,457.	154,324.

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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 7

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT M. BIRMINGHAM TRUST, C/O JOHN T. POLLANO, ATTY AT LAW  
280 B MERRIMACK STREET  
METHUEN, MA 01844

TELEPHONE NUMBER

(978) 683-6700

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS ARE USUALLY IN THE FORM OF LETTERS FROM CHARITABLE AGENCIES  
THAT SPONSOR NEEDY INDIVIDUALS.

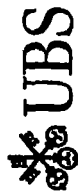
ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

RESTRICTED TO NEEDY RESIDENTS OF THE GREATER LAWRENCE AREA

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Resource Management Account  
December 2016

Account name: JOHN POLLANO, EVAN WILLIAMS  
Account number: MH 15523 WR

Your Financial Advisor:  
STONECOAST FINANCIAL GROUP  
207-774-1008/800-283-1008

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/interest period	Days in period	Unrealized gain or loss (\$)	Holding period
RMA GOVT MONEY MARKET FD	26,069.28	25,763.23	1.00	-0.01%	Nov 1 to Nov 30	30		

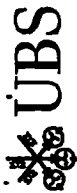
### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>APPLE INC</b>								
Symbol: AAPL Exchange: OTC								
EAI: \$456 Current yield: 1.97%	Apr 8, 16	100.000	110.263	11,026.31	115.820	11,582.00	555.69	ST
Security total	Apr 19, 16	100.000	108.937	10,893.70	115.820	11,582.00	688.30	ST
		200.000	109.600	21,920.01		23,164.00	1,243.99	
<b>CAPITAL ONE FINCL CORP</b>								
Symbol: COF Exchange: NYSE								
EAI: \$320 Current yield: 1.83%	May 3, 16	100.000	73.539	7,353.96	87.240	8,724.00	1,370.04	ST
Security total	Jun 14, 16	100.000	65.943	6,594.34	87.240	8,724.00	2,129.66	ST
		200.000	69.742	13,948.30		17,448.00	3,499.70	
<b>CATERPILLAR INC</b>								
Symbol: CAT Exchange: NYSE								
EAI: \$308 Current yield: 3.32%	Oct 25, 05	100.000	50.855	5,085.55	92.740	9,274.00	4,188.45	LT
<b>CENTURYLINK INC</b>								
Symbol: CTL Exchange: NYSE								
EAI: \$432 Current yield: 9.08%	Jul 26, 00	34.000	56.836	1,932.43	23.780	808.52	-1,123.91	LT
Security total	Aug 29, 01	34.000	70.623	2,401.19	23.780	808.52	-1,592.67	LT
	Oct 5, 10	132.000	41.128	5,429.02	23.780	3,138.96	-2,290.06	LT
		200.000	48.813	9,762.64		4,756.00	-5,006.64	

continued next page





Resource Management Account  
December 2016

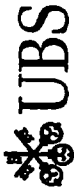
Account name:  
Account number:  
JOHN POLLANO, EVAN WILLIAMS  
MH 15523 WR

Your Financial Advisor:  
STONECOAST FINANCIAL GROUP  
207-774-1008/800-283-1008

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CISCO SYSTEMS INC</b>								
Symbol: CSCO Exchange: OTC								
EAI: \$416 Current yield: 3.44%	Apr 8, 16	200.000	28.199	5,639.86	30.220	6,044.00	404.14	ST
	Apr 12, 16	200.000	28.059	5,611.85	30.220	6,044.00	432.15	ST
Security total		400.000	28.129	11,251.71		12,088.00	836.29	
<b>DIAGEO PLC NEW GB SPON ADR</b>								
Symbol: DEO Exchange: NYSE								
EAI: \$467 Current yield: 3.00%	Apr 21, 16	150.000	111.295	16,694.39	103.940	15,591.00	-1,103.39	ST
<b>GENL ELECTRIC CO</b>								
Symbol: GE Exchange: NYSE								
EAI: \$768 Current yield: 3.04%	Oct 23, 00	300.000	50.492	15,129.67	31.600	9,480.00	-5,649.67	LT
	Apr 15, 08	500.000	32.410	16,205.20	31.600	15,800.00	-405.20	LT
Security total		800.000	39.169	31,334.87		25,280.00	-6,054.87	
<b>GILEAD SCIENCES INC</b>								
Symbol: GILD Exchange: OTC								
EAI: \$282 Current yield: 2.63%	Apr 26, 16	150.000	103.057	15,460.12	71.610	10,741.50	-4,718.62	ST
<b>INTEL CORP</b>								
Symbol: INTC Exchange: OTC								
EAI: \$520 Current yield: 2.87%	Jun 15, 07	500.000	24.433	12,216.94	36.270	18,135.00	5,918.06	LT
<b>JPMORGAN CHASE &amp; CO</b>								
Symbol: JPM Exchange: NYSE								
EAI: \$192 Current yield: 2.23%	May 3, 16	100.000	63.948	6,394.88	86.290	8,629.00	2,234.12	ST
<b>MEDICAL PROPERTIES TRUST INC REIT</b>								
Symbol: MPW Exchange: NYSE								
EAI: \$920 Current yield: 7.48%	Jun 26, 07	1,000.000	12.593	12,593.46	12.300	12,300.00	-293.46	LT
<b>MEDTRONIC PLC</b>								
Symbol: MDT Exchange: NYSE								
EAI: \$344 Current yield: 2.41%	Apr 8, 16	200.000	77.887	15,577.51	71.230	14,246.00	-1,331.51	ST
<b>MERCK &amp; CO INC NEW COM</b>								
Symbol: MRK Exchange: NYSE								
EAI: \$564 Current yield: 3.19%	May 30, 01	12.000	42.307	507.69	58.870	706.44	198.75	LT
	Jun 25, 04	288.000	18.613	5,360.55	58.870	16,954.56	11,594.01	LT
Security total		300.000	19.561	5,868.24		17,661.00	11,792.76	

continued next page



Resource Management Account  
December 2016

Account name: JOHN POLLANO, EVAN WILLIAMS  
Account number: MH 15523 WR

Your Financial Advisor:  
STONECOAST FINANCIAL GROUP  
207-774-1008/800-283-1008

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period	
NIKE INC CL B Symbol: NKE Exchange: NYSE EAI: \$108 Current yield: 1.42%	Apr 8, 16	150,000	60.918	9,137.84	50.830	7,624.50	-1,513.34	ST	
PAYCHEX INC Symbol: PAYX Exchange: OTC EAI: \$552 Current yield: 3.02%	Oct 26, 01	300,000	35.541	10,662.33	60.880	18,264.00	7,601.67	LT	
PROCTER & GAMBLE CO Symbol: PG Exchange: NYSE EAI: \$536 Current yield: 3.19%	Apr 20, 16	200,000	83.573	16,714.65	84.080	16,816.00	101.35	ST	
STAPLES INC Symbol: SPLS Exchange: OTC EAI: \$480 Current yield: 5.30%	May 3, 00	1,000,000	12.235	12,235.88	9.050	9,050.00	-3,185.88	LT	
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol: DIS Exchange: NYSE EAI: \$234 Current yield: 1.50%	Apr 8, 16	150,000	97.911	14,686.73	104.220	15,633.00	946.27	ST	
<b>Total</b>				<u>\$241,546.05</u>		<u>\$256,701.00</u>	<u>\$15,154.95</u>		
<b>Total estimated annual income: \$7,899</b>									

**Closed end funds & Exchange traded products**

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

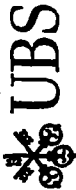
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
UTILITIES SECTOR SPDR FUND ETF Symbol: XLU Trade date: Apr 8, 16 EAI: \$332 Current yield: 3.42%	200,000	49.757	9,951.52	9,951.52	48.570	9,714.00	-237.52	-237.52	ST





Resource Management Account  
December 2016

Account name: JOHN POLLANO, EVAN WILLIAMS  
Account number: MH 15523 WR

Your Financial Advisor:  
STONECOAST FINANCIAL GROUP  
207-774-1008/800-283-1008

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

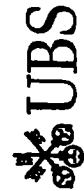
Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ISHARES 1-3 YEAR CREDIT BOND</b>									
<b>ETF</b>									
Symbol: CSJ									
Trade date: Dec 4, 12	300,000	106.500	31,950.18	31,950.18	104.940	31,482.00	-468.18		LT
Trade date: Apr 8, 16	200,000	107.024	21,404.80	21,404.80	104.940	20,988.00	-416.80		ST
EAI: \$763 Current yield: 1.45%									
Security total	500,000	106.710	53,354.98	53,354.98		52,470.00	-884.98		
<b>ISHARES INTER CREDIT BOND ETF</b>									
<b>Symbol: CIU</b>									
Trade date: Apr 12, 16	250,000	111.301	27,825.40	27,825.40	108.190	27,047.50	-777.90		ST
Trade date: Apr 26, 16	250,000	111.349	27,837.25	27,837.25	108.190	27,047.50	-789.75		ST
EAI: \$1,328 Current yield: 2.45%									
Security total	500,000	111.325	55,662.65	55,662.65		54,095.00	-1,567.65		
<b>PIMCO DYNAMIC INCOME FD</b>									
<b>Symbol: PDI</b>									
Trade date: Apr 15, 16	500,000	26.864	13,432.12	13,432.12	27.700	13,850.00	417.88		ST
EAI: \$1,323 Current yield: 9.55%									
<b>SPDR DOUBLELINE TR TACT</b>									
<b>ETF</b>									
Symbol: TOTL									
Trade date: Apr 8, 16	500,000	50.110	25,055.09	25,055.09	48.390	24,195.00	-860.09		ST
EAI: \$745 Current yield: 3.08%									
Total			\$147,504.84	\$147,504.84		\$144,610.00	-\$2,894.84		
Total estimated annual income: \$4,159									



Resource Management Account  
December 2016

Account name:  
Account number:

JOHN POLLANO, EVAN WILLIAMS  
MH 15523 WR

Your Financial Advisor:  
STONECOAST FINANCIAL GROUP  
207-774-1008/800-283-1008

Your assets (continued)

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	25,763.23	5.90%	25,763.23		
Equities	256,701.00		241,546.05	7,899.00	15,154.95
Common stock					
Closed end funds & Exchange traded products	9,714.00		9,951.52	332.00	-237.52
Total equities	266,415.00	60.99%	251,497.57	8,231.00	14,917.43
Fixed income	144,610.00	33.11%	147,504.84	4,159.00	-2,894.84
Closed end funds & Exchange traded products					
Total	\$436,788.23	100.00%	\$424,765.64	\$12,390.00	\$12,022.59

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 1	Dividend	INTEL CORP PAID ON	500
Dec 1	Dividend	UBS RMA GOVERNMENT MONEY MARKET FUND AS OF 11/30/16	130.00
Dec 1	Dividend	UBS RMA GOVERNMENT MONEY MARKET FUND AS OF 11/30/16	0.25
Dec 1	Dividend	PIMCO DYNAMIC INCOME FD PAID ON	500
Dec 7	Dividend	ISHARES 1-3 YEAR CREDIT BOND ETF PAID ON	500
Dec 7	Dividend	ISHARES INTER CREDIT BOND ETF PAID ON	500
Dec 9	Dividend	SPDR DOUBLELINE TR TACT ETF PAID ON	500
Dec 12	Dividend	CENTURYLINK INC PAID ON	200
Dec 14	St Cap Gain	UBS RMA GOVERNMENT MONEY MARKET FUND AS OF 12/13/16	108.00
Dec 27	Dividend	UTILITIES SECTOR SPDR FUND ETF PAID ON	200
Dec 29	Dividend	GILEAD SCIENCES INC PAID ON	150
Dec 29	Dividend	ISHARES 1-3 YEAR CREDIT BOND ETF PAID ON	500
Dec 29	Dividend	ISHARES INTER CREDIT BOND ETF PAID ON	500
Dec 29	Dividend	PIMCO DYNAMIC INCOME FD PAID ON	500
Total taxable dividends			\$1,643.95
Total dividend and interest income			\$1,643.95

