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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation LLOYD SCHMITZ CHARITABLE TRUST FBO ST. PETER'S UNITED METHODIST CHURCH		A Employer identification number 03-6090656
Number and street (or P.O. box number if mail is not delivered to street address) HILLIARD LYONS; P.O. BOX 32760	Room/suite	B Telephone number 502-588-1776
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40232		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,794,441. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		35,875.	35,875.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		13,242.			
b Gross sales price for all assets on line 6a 70,807.					
7 Capital gain net income (from Part IV, line 2)			13,242.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		49,117.	49,117.		
13 Compensation of officers, directors, trustees, etc		15,281.	15,281.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		150.	0.		150.
c Other professional fees					
17 Interest					
18 Taxes		5,284.	242.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		20,715.	15,523.		150.
25 Contributions, gifts, grants paid		129,183.			129,183.
26 Total expenses and disbursements. Add lines 24 and 25		149,898.	15,523.		129,333.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<100,781.>			
b Net investment income (if negative, enter -0-)			33,594.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		106,709.	42,769.	42,769.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 4		40,720.	40,612.	41,697.
	b	Investments - corporate stock STMT 5		635,246.	641,435.	1,182,821.
	c	Investments - corporate bonds STMT 6		143,144.	117,177.	117,648.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 7		387,566.	371,979.	409,506.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,313,385.	1,213,972.	1,794,441.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		904,605.	904,605.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		408,780.	309,367.		
30	Total net assets or fund balances		1,313,385.	1,213,972.		
31	Total liabilities and net assets/fund balances		1,313,385.	1,213,972.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,313,385.
2	Enter amount from Part I, line 27a	2	<100,781.>
3	Other increases not included in line 2 (itemize) ▶ NON-DIVIDEND DISTRIBUTION	3	1,368.
4	Add lines 1, 2, and 3	4	1,213,972.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,213,972.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HILLARD LYONS - SEE ATTACHED	P		
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 67,752.		57,565.	10,187.
b 3,055.			3,055.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,187.
b			3,055.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,242.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	126,322.	1,771,142.	.071322
2014	117,259.	1,763,422.	.066495
2013	111,325.	1,673,759.	.066512
2012	110,700.	1,579,239.	.070097
2011	107,413.	1,510,965.	.071089

2 Total of line 1, column (d)	2	.345515
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.069103
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,678,688.
5 Multiply line 4 by line 3	5	116,002.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	336.
7 Add lines 5 and 6	7	116,338.
8 Enter qualifying distributions from Part XII, line 4	8	129,333.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	336.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	336.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	336.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,800.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,464.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 360. Refunded ☒	11	2,104.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► NONE		
14 The books are in care of ► HILLIARD LYONS TRUST COMPANY Telephone no. ► 502-588-1287		
Located at ► P.O. BOX 32760, LOUISVILLE, KY ZIP+4 ► 40232-2760		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
► 15	N/A	
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HILLIARD LYONS TRUST COMPANY LLC PO BOX 32760 LOUISVILLE, KY 40232-2760	TRUSTEE 0.50	15,281.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,672,950.
b	Average of monthly cash balances	1b	31,302.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,704,252.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,704,252.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,564.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,678,688.
6	Minimum investment return. Enter 5% of line 5	6	83,934.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	83,934.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	336.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	336.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	83,598.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	83,598.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	83,598.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	129,333.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	129,333.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	336.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	128,997.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				83,598.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	32,777.			
b From 2012	33,074.			
c From 2013	29,357.			
d From 2014	30,058.			
e From 2015	40,527.			
f Total of lines 3a through e	165,793.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	129,333.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				83,598.
e Remaining amount distributed out of corpus	45,735.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	211,528.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	32,777.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	178,751.			
10 Analysis of line 9:				
a Excess from 2012	33,074.			
b Excess from 2013	29,357.			
c Excess from 2014	30,058.			
d Excess from 2015	40,527.			
e Excess from 2016	45,735.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

2016.03040 LLOYD SCHMITZ CHARITABLE TR 26953291

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ST PETERS UNITED METHODIST CHURCH 2800 S ST PHILIP RD SOUTH EVANSVILLE, IN 47712	N/A	CHURCH - PUBLIC CHARITY	UNRESTRICTED GRANT - GENERAL CHURCH USE	129,183.
Total			3a	129,183.
b Approved for future payment				
NONE				
Total			3b	0.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	35,875.	
		14	13,242.	
	0.		49,117.	0.

[illegible]

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

VICE PRESIDENT

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

**Paid
Preparer
Use Only**

CHRISTINE N KOENIG

Christine N Koenig

5.8.17

P01022180

Firm's name ► **DEMING MALONE LIVESAY & OSTROFF PSC**

Firm's EIN ► 61-1064249

Firm's address ► 9300 SHELBYVILLE RD STE 1100
LOUISVILLE, KY 40222-5187

Phone no. (502) 426-9660

Form **990-PF** (2016)

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
HILLIARD LYONS TRUST COMPANY	38,930.	3,055.	35,875.	35,875.	
TO PART I, LINE 4	38,930.	3,055.	35,875.	35,875.	

FORM 990-PF	ACCOUNTING FEES				STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX REPORTING FEE	150.	0.		150.	
TO FORM 990-PF, PG 1, LN 16B	150.	0.		150.	

FORM 990-PF	TAXES				STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
2016 FEDERAL ESTIMATED TAX PAYMENTS	2,800.	0.		0.	
FOREIGN TAXES WITHHELD	242.	242.		0.	
2015 FEDERAL EXCISE TAX	2,242.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	5,284.	242.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	4
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT OBLIGATIONS - SEE ATTACHED	X		40,612.	41,697.
TOTAL U.S. GOVERNMENT OBLIGATIONS			40,612.	41,697.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			40,612.	41,697.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITY SECURITIES - SEE ATTACHED	641,435.	1,182,821.
TOTAL TO FORM 990-PF, PART II, LINE 10B	641,435.	1,182,821.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHED	117,177.	117,648.
TOTAL TO FORM 990-PF, PART II, LINE 10C	117,177.	117,648.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITY MUTUAL FUNDS - SEE ATTACHED	COST	94,643.	114,542.
EQUITY EXCHANGE TRADE FUNDS - SEE ATTACHED	COST	82,593.	103,198.
INCOME EXCHANGE TRADED FUND - SEE ATTACHED	COST	179,743.	176,776.
FIXED INCOME MUTUAL FUNDS - SEE ATTACHED	COST	15,000.	14,990.
		371,979.	409,506.

LLOYD SCHMITZ CHARITABLE TRUST FBO ST. P

03-6090656

TOTAL TO FORM 990-PF, PART II, LINE 13

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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CASH & EQUIVALENTS
CASH

INCOME CASH		0.00	0.00	0.00		
PRINCIPAL CASH		0.00	0.00	0.00		

TOTAL CASH

		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
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CASH EQUIVALENTS

GOLDMAN SACHS FINANCIAL SQUARE TREASURY SOLUTIONS FUND INST #520	42,768.98	42,768.98 1.00	42,768.98 1.00	0.00	2.90	
GOLDMAN SACHS FINANCIAL SQUARE TREASURY SOLUTIONS FUND INST #520 (INVESTED INCOME)		0.00 1.00	0.00 0.00	0.00	7.77	

TOTAL CASH EQUIVALENTS

		\$42,768.98	\$42,768.98	\$0.00	\$0.00	0.00%
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TOTAL CASH & EQUIVALENTS

		\$42,768.98	\$42,768.98	\$0.00	\$0.00	0.00%
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HILLIARD LYONS

TRUST COMPANY

LLOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:
STATEMENT PERIOD:

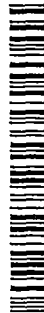
12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
FIXED INCOME						
TREASURY AND FEDERAL AGENCIES						
FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS 3.30% DTD 05/03/2011 DUE 05/03/2019 NON-CALLABLE	40,000	41,696.80 104.24	40,611.96 101.53	1,084.84	1,320.00 212.66	3.17%
TOTAL TREASURY AND FEDERAL AGENCIES		\$ 41,696.80	\$ 40,611.96	\$ 1,084.84	\$ 1,320.00 \$ 212.66	3.17%

NONGOVERNMENT OBLIGATIONS

APPLE INC SR GLBL 2.10% DTD 05/06/2014 DUE 05/06/2019 CALLABLE	20,000	20,189.80 100.95	20,038.20 100.19	151.60	420.00 64.16	2.08%
CISCO SYS INC SR NT 3.00% DTD 06/17/2015 DUE 06/15/2022 CALLABLE	25,000	25,483.00 101.93	25,151.50 100.61	331.50	750.00 33.33	2.94%
GENERAL ELEC CAP CORP MTN SR A 2.30% DTD 04/27/2012 DUE 04/27/2017 NON-CALLABLE	20,000	20,076.40 100.38	20,302.68 101.51	-226.28	460.00 81.77	2.29%
MICROSOFT CORP SR NT 3.00% DTD 09/27/2010 DUE 10/01/2020 NON-CALLABLE	25,000	25,843.25 103.37	25,761.75 103.05	81.50	750.00 187.49	2.90%





HILLIARD LYONS

TRUST COMPANY LLC

CLAYTON SCHMITZ-IRR CHARITABLE FUND

ACCOUNT NUMBER:
STATEMENT PERIOD:

12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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WAL MART STORES INC SR NT	25,000	26,055.25	25,923.00	132.25	812.00	3.12%
3.25% DTD 10/25/2010 DUE 10/25/2020		104.22	103.69		148.95	
NON-CALLABLE						

TOTAL NON-GOVERNMENT OBLIGATIONS

	\$117,647.00	\$117,647.18	\$117,647.18	\$0.00	\$0.00	2.71%
					\$5,670.00	

FIXED INCOME MUTUAL FUNDS
TAXABLE BOND FUNDS

JOHNSON INSTITUTIONAL SHORT DURATION BOND FUND	1,000.667	14,989.99	15,000.00	-10.01	231.00	1.54%
		14.98	14.99			

TOTAL TAXABLE BOND FUNDS

	\$14,989.99	\$15,000.00	\$15,000.00	\$-10.01	\$231.00	1.54%
					\$0.00	

TOTAL FIXED INCOME MUTUAL FUNDS

	\$14,989.99	\$15,000.00	\$15,000.00	\$-10.01	\$231.00	1.54%
					\$0.00	

INCOME EXCHANGE TRADED FUNDS

VANGUARD BD INDEX FD INC SHORT TERM BD ETF	2,225	176,776.25	179,743.15	-2,966.90	2,607.00	1.48%
		79.45	80.78			

TOTAL INCOME EXCHANGE TRADED FUNDS

	\$176,776.25	\$179,743.15	\$179,743.15	\$-2,966.90	\$2,607.00	1.48%
					\$0.00	





HILLIARD LYONS

TRUST COMPANY

LLOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:
STATEMENT PERIOD:

12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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TOTAL FIXED INCOME

	\$351,110.74	\$352,532.24	\$1,421.50	\$7,350.00	2.09%
				\$728.36	

EQUITIES
COMMON STOCK
INDUSTRIALS

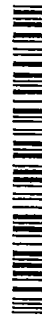
EXPEDITORS INTL WASH INC COM	650	34,424.00 52.96	23,954.98 36.85	10,469.02	520.00	1.51%
FASTENAL CO COM	400	18,792.00 46.98	16,803.68 42.01	1,988.32	480.00	2.55%
GENERAL ELEC CO COM	1,375	43,450.00 31.60	49,431.50 35.95	-5,981.50	1,320.00 330.00	3.04%
UNION PAC CORP COM	275	28,512.00 103.68	25,495.25 92.71	3,016.75	665.00	2.33%

TOTAL INDUSTRIALS

	\$125,778.00	\$115,685.43	\$9,492.59	\$2,985.00	2.39%
				\$330.00	

CONSUMER DISCRETIONARY

DISNEY WALT CO COM	650	67,743.00 104.22	13,350.79 20.54	54,392.21	1,014.00 507.00	1.50%
HARLEY DAVIDSON INC COM	350	20,419.00 58.34	19,971.00 57.06	448.00	490.00	2.40%





HILLIARD LYONS

TRUST COMPANY LLC

LLOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:

STATEMENT PERIOD: 12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS						
ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
HOME DEPOT INC COM	600	80,448.00 134.08	17,020.50 28.37	63,427.50	1,656.00	2.06%
MATTEL INC COM	900	24,795.00 27.55	12,997.79 14.44	11,797.21	1,368.00	5.52%
OMNICOM GROUP INC COM	650	55,321.50 85.11	28,643.61 44.07	26,677.89	1,430.00 357.50	2.58%
STAPLES INC COM	1,700	15,385.00 9.05	27,035.74 15.90	-11,650.74	816.00 204.00	5.30%
TJX COS INC NEW COM	450	33,808.50 75.13	4,911.46 10.91	28,897.04	468.00	1.38%
TOTAL CONSUMER DISCRETIONARY		\$ 297,926.00	\$ 123,930.89	\$ 173,995.11	\$ 7,042.00 \$ 1,068.50	2.49%
CONSUMER STAPLES						
CVS HEALTH CORPORATION	560	44,189.60 78.91	20,766.00 37.08	23,423.60	1,120.00	2.53%
TOTAL CONSUMER STAPLES		\$ 44,189.60	\$ 20,766.00	\$ 23,423.60	\$ 1,120.00 \$ 0.00	2.50%
ENERGY						
EXXON MOBIL CORP COM	350	31,591.00 90.26	24,752.21 70.72	6,838.79	1,050.00	3.32%

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HILLIARD LYONS

TRUST COMPANY LLC

LLOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:
STATEMENT PERIOD:

12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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TOTAL ENERGY		\$ 31,581,100	\$ 24,752,21	\$ 6,838,79	\$ 1,050,00	3.39%
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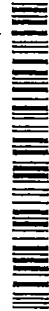
FINANCIALS

BERKSHIRE HATHAWAY INC DEL CL B NEW	325	52,968.50 162.98	15,641.39 48.13	37,327.11		
JPMORGAN CHASE & CO COM	900	77,661.00 86.29	35,068.71 38.97	42,592.29	1,728.00	2.23%
NORTHERN TR CORP COM	350	31,167.50 89.05	17,112.55 48.89	14,054.95	532.00 133.00	1.71%
PROGRESSIVE CORP OH COM	1,100	39,050.00 35.50	23,686.50 21.53	15,363.50	976.00	2.50%
US BANCORP DEL COM NEW	1,100	56,507.00 51.37	29,234.09 26.58	27,272.91	1,232.00 308.00	2.18%
WELLS FARGO & CO NEW COM	1,000	55,110.00 55.11	25,765.20 25.77	29,344.80	1,520.00	2.76%

TOTAL FINANCIALS		\$ 312,464,00	\$ 146,508,44	\$ 165,955,56	\$ 5,988,00	1.99%
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HEALTH CARE

JOHNSON & JOHNSON COM	650	74,886.50 115.21	37,290.00 57.37	37,596.50	2,080.00	2.78%
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HILLIARD LYONS TRUST COMPANY LLC

FLOYD SCHWITZ IRR CHARITABLE TRUA

ACCOUNT NUMBER:

STATEMENT PERIOD: 12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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PFIZER INC COM	1,200	38,976.00 32.48	24,019.20 20.02	14,956.80	1,536.00	3.94%
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TOTAL HEALTH CARE

		\$ 11,152.50	\$ 11,152.50	\$ 59,559.30	\$ 5,161.50	3.18%
					\$ 6.00	

INFORMATION TECHNOLOGY

TE CONNECTIVITY LTD REG SHS	725	50,228.00 69.28	23,591.31 32.54	26,636.69	1,073.00	2.14%
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ALPHABET INC CAP STK CL C

	37	28,557.34 771.82	19,873.81 537.13	8,683.53		
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APPLE INC COM

	525	60,805.50 115.82	34,671.67 66.04	26,133.83	1,197.00	1.97%
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CISCO SYS INC COM

	1,300	39,286.00 30.22	26,006.25 20.01	13,279.75	1,352.00	3.44%
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INTERNATIONAL BUSINESS MACHS CORP COM

	100	16,599.00 165.99	18,222.32 182.22	-1,623.32	560.00	3.37%
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MICROSOFT CORP COM

	1,000	62,140.00 62.14	26,117.00 26.12	36,023.00	1,560.00	2.51%
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TOTAL INFORMATION TECHNOLOGY

		\$ 257,615.00	\$ 145,482.55	\$ 109,133.45	\$ 5,742.00	2.23%
					\$ 6.00	





HILLIARD LYONS

TRUST COMPANY

LLOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:
STATEMENT PERIOD:

12/01/16 - 12/31/16

PORTFOLIO INVESTMENTS

ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
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TOTAL COMMON STOCK

		\$1,821,820.94	\$1,641,431.51	\$541,386.43	\$27,743.00	2.35%
					\$1,839.50	

EQUITY MUTUAL FUNDS
SMALL CAP EQUITY FUNDS

ROYCE TOTAL RETURN FD CLASS #427	2,698,654	36,485.80 13.52	29,857.36 11.06	6,628.44	358.00	0.98%
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TOTAL SMALL CAP EQUITY FUNDS

		\$36,485.80	\$29,857.36	\$6,628.44	\$358.00	0.98%
					\$0.00	

INTERNATIONAL EQUITY FUNDS

AMERICAN EUROPACIFIC GROWTH FUND CLASS F2 #616	1,471,165	66,158.29 44.97	52,729.98 35.84	13,428.31	1,019.00	1.54%
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VIRTUS EMERGING MARKETS OPPORTUNITIES FUND CLASS #1736	1,314,665	11,897.72 9.05	12,055.48 9.17	-157.76	52.00	0.44%
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TOTAL INTERNATIONAL EQUITY FUNDS

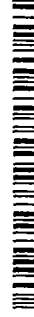
		\$78,056.01	\$64,785.46	\$13,270.55	\$1,071.00	1.37%
					\$0.00	

TOTAL EQUITY MUTUAL FUNDS

		\$114,541.85	\$94,643.82	\$19,898.03	\$1,429.00	1.25%
					\$0.00	

EQUITY EXCHANGE TRADED FUNDS

ISHARES MSCI EAFE ETF	1,075	62,059.75 57.73	68,641.12 63.85	-6,581.37	1,903.00	3.07%
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HILLIARD LYONS

TRUST COMPANY LLC

LEOYD SCHMITZ IRR CHARITABLE TUA

ACCOUNT NUMBER:

12/01/16 - 12/31/16

STATEMENT PERIOD:

PORTFOLIO INVESTMENTS						
ASSET DESCRIPTION	SHARES/ FACE VALUE	MARKET VALUE/ PRICE	TOTAL COST/ AVG UNIT COST	UNREALIZED GAIN/LOSS	EST. INCOME/ ACCRUED INCOME	CUR. YIELD
ISHARES RUSSELL MID-CAP ETF	230	41,137.80 178.86	13,951.80 60.66	27,186.00	706.00	1.72%
TOTAL EQUITY EXCHANGE TRADED FUNDS						
		\$108,187.55	\$12,582.92	\$20,604.63	\$21,803.06	2.53%
					\$0.00	
TOTAL EQUITIES						
		\$114,005.60	\$12,582.92	\$20,604.63	\$21,803.06	2.27%
					\$1,569.10	
TOTAL MARKET VALUE						
		\$123,137.17	\$12,582.92	\$20,604.63	\$21,803.06	2.18%
					\$2,578.53	
TOTAL MARKET VALUE PLUS ACCRUED INCOME						
		\$125,715.70				



FROM 01/01/2016
TO 12/31/2016

HILLIARD LYONS TRUST COMPANY LLC
STATEMENT OF CAPITAL GAINS AND LOSSES
LLOYD SCHMITZ IRR CHARITABLE TUA
TRUST YEAR ENDING 12/31/2016

PAGE 31

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TAX PREPARER: KDL
TRUST ADMINISTRATOR: RJR
INVESTMENT OFFICER: DXV

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	8949
470.4780 AMERICAN EUROPACIFIC GROWTH FUND CLASS F2 #616 - 29875E100 - MINOR = 572									
SOLD		01/12/2016	20000.00						
ACQ	341.6430	02/03/2010	14523.23	12651.04	1872.19	0.00	LT	Y	F
	128.8350	07/12/2010	5476.77	4620.02	856.75	0.00	LT	Y	F
1623.2320 GOLDMAN SACHS SATELLITE STRATEGIES FUND INST #2402 - 38143H332 - MINOR = 573									
SOLD		01/12/2016	11638.58						
ACQ	623.2320	06/02/2011	4468.58	5166.59	-698.01	0.00	LT	Y	F
	1000.0000	08/02/2011	7170.00	8150.00	-980.00	0.00	LT	Y	F
25000.0000 JPMORGAN CHASE & CO SR NT 3.45% DTD 02/24/2011 DUE 03/01/2016 - 46625HHX1 - MINOR = 237									
SOLD		03/01/2016	25000.00						
ACQ	25000.0000	06/27/2011	25000.00	25340.65	-340.65	0.00	LT	Y	F
150.0000 TJX COS INC NEW COM - 872540109 - MINOR = 449									
SOLD		05/13/2016	11113.27						
ACQ	150.0000	09/28/2004	11113.27	1637.15	9476.12	0.00	LT	Y	F
TOTALS			67751.85	57565.45					

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0.00	0.00	10186.40	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	3054.60			0.00
	0.00	0.00	13241.00	0.00	0.00	0.00
STATE						
NONCOVERED FROM ABOVE	0.00	0.00	10186.40	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	3054.60			0.00
	0.00	0.00	13241.00	0.00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
KENTUCKY	0.00	0.00